

1       **The Effect of Different Implementations of the Weak**  
2           **Temperature Gradient Approximation in Cloud**  
3                   **Resolving Models**

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7       **Key Points:**

- 8       • Different implementations of the Weak Temperature Gradient result in divergent  
9       model behaviour in idealized setups  
10      • Divergent model behaviour is caused by different treatment of baroclinic modes

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## Abstract

The weak-temperature gradient (WTG) approximation has been a popular method used to couple convection in limited-area domain simulations to the large-scale dynamics. Two major implementations that use the WTG approximation have gained popular use over the past two decades - the Temperature Gradient Relaxation implementation and the Damped Gravity Wave implementation. Our comparison of these different WTG implementations in an idealised framework result in different model behaviour, with implications on the nature of convective self-aggregation in similarly idealised setups. A further investigation shows that the different model behaviour is caused by the treatment of the different baroclinic modes by the different WTG implementations. More specifically, we hypothesise that the ratio of the strengths of the baroclinic modes is important in determining if multiple-equilibria states are obtained under different WTG implementations. By varying the strengths of these two baroclinic modes, we are thus able to understand the differences between the WTG schemes.

## Plain Language Summary

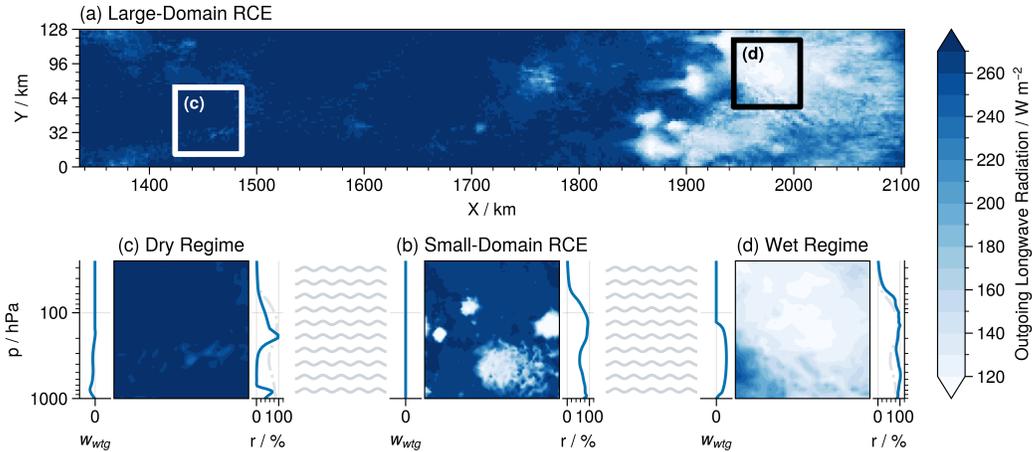
The Weak-Temperature Gradient (WTG) approximation states that temperature gradients are weak in the tropics. Therefore it can be used to approximate the interaction in the tropics between local convection with local vertical motion and the broader-scale climatology. However, there are different implementations of this approximation, which are broadly similar in many aspects, but have been noted in many previous studies to be different in the details. Although some studies aimed to quantify the differences between the implementations in various models, they did not delve into the reason behind these differences.

We investigated the different model behaviours that result when different WTG implementations are utilized in an idealised model setup. We show through both mathematical analysis of the relevant equations in the WTG implementations, and model runs, that model behaviour under the WTG approximation is dependent on how the different WTG implementations treat the structure of the vertical profiles of temperature and vertical velocity in the atmosphere. If we modify these schemes such that they are able to treat the vertical structure of the atmosphere in a similar manner, many of the differences in model behaviour observed when different WTG schemes are used can therefore be reduced.

## 1 Introduction

The weak temperature gradient (WTG) approximation (Sobel & Bretherton, 2000) is a simplified framework for atmospheric dynamics in the deep tropics where the Coriolis force is weak. In such a framework, buoyancy gradients in the free troposphere are rapidly smoothed out by gravity waves, and thus spatial and temporal temperature gradients in the free troposphere are small. Local perturbations in buoyancy caused by heating (cooling) are assumed to be balanced by vertical ascent (subsidence). Thus, vertical motion is strongly coupled to convection within the deep tropics, as opposed to it being a one-way, causal, relationship (Raymond & Zeng, 2005). The WTG approximation is therefore a more suitable framework for parameterizing the large-scale circulation in the tropics as opposed to directly specifying the large-scale vertical ascent.

A number of studies (e.g., Raymond & Zeng, 2005; Sobel et al., 2007; Sessions et al., 2010; Daleu et al., 2012; Emanuel et al., 2014; Daleu et al., 2015, and others) have investigated the WTG approximation framework in small-domain Radiative-Convective Equilibrium (RCE) simulations. One common feature found in these studies is that applying the WTG approximation can cause a bifurcation in model equilibrium, resulting in: (1) dry, often non-precipitating states, or (2) heavily-precipitating states. Emanuel



**Figure 1.** When (a) a large-domain simulation is run to radiative-convective equilibrium, we see that in contrast to a (b) small-domain run, the large-domain simulation will collapse into either (c) a dry, weakly/no-precipitating regime with vertical subsidence or (d) a moist, strongly precipitating regime with vertical ascent. However, using implementations of the weak-temperature gradient approximation, where gravity waves (grey waves) smooth out buoyancy perturbations, previous studies have managed to approximate these two end-regimes with small-domain simulations.

et al. (2014) in particular deduced that these two regimes are actually analogues to the dry and wet regimes of self-aggregation seen in large-domain RCE simulations (Fig. 1a).

Over time, two main implementations have emerged as popular schemes to simulate the WTG approximation in models, the: (1) Temperature Gradient Relaxation (TGR) implementation (Raymond & Zeng, 2005), and the (2) Damped Gravity Wave (DGW) implementation (Kuang, 2008a; Blossey et al., 2009). More elaboration on these schemes is provided in Section 2. Despite the prevalence of these schemes in modelling work for tropical climate, they often produce noticeably different results. For example, it has been repeatedly noted (Romps, 2012b, 2012a; Daleu et al., 2015) that the TGR implementation results in a vertical profile that is noticeably more top-heavy than the DGR implementation.

While some work has gone into quantifying the discrepancies in model results when different implementations are used (Daleu et al., 2015), less thought has been given to understanding why different implementations give rise to different results in the first place. Our study attempts to bridge the gap between the DGW and TGR implementations. In Section 2 we will discuss these two main implementations of the WTG approximation in models, and then show in Section 3 that these two implementations will give markedly different results even in idealized setups. In Section 4, we decompose the model into the first and second baroclinic modes to explain why different implementations give rise to different results, and analyze it in the framework of convectively coupled waves and Gross Moist Stability in Section 5.

## 2 Weak Temperature Gradient Implementations in Models

Since the WTG approximation was conceptualized by Sobel and Bretherton (2000), there are two major implementations of the WTG that are widely used:

- 84 (1) The Temperature Gradient Relaxation (TGR) implementation, which directly cal-  
 85 culates large-scale vertical motion from the perturbations in the large-scale po-  
 86 tential temperature profile.  
 87 (2) The Damped Gravity Wave (DGW) implementation, which uses damped and lin-  
 88 earized momentum equations together with the continuity equation and assump-  
 89 tions of hydrostatic balance, to relate the large-scale vertical motion from the per-  
 90 turbations in the large-scale virtual temperature profile.

## 91 2.1 The Temperature Gradient Relaxation Implementation

92 The TGR implementation assumes that the differences in buoyancy between the  
 93 cloud-resolving model and large-scale environment over a time-scale  $\tau$  are balanced by  
 94 the vertical advection of potential temperature  $w\partial_z\theta$ , such that at a height in the free  
 95 troposphere  $z_i$  the WTG-induced vertical velocity  $w_{\text{wtg}}$  is given by:

$$w_{\text{wtg}}(z_i) \frac{\partial \bar{\theta}}{\partial z} \Big|_{z=z_i} = \frac{\bar{\theta}(z_i) - \theta_0(z_i)}{\tau} \cdot \sin \frac{\pi z}{z_t} \quad (1)$$

96 Where  $z_t$  is the height of the tropopause,  $\theta$  is the model potential temperature,  $\bar{\theta}$   
 97 is its horizontal average, and  $\theta_0$  is the large-scale reference potential temperature. This  
 98 implementation was first done by Raymond and Zeng (2005), and has become popular  
 99 due to the straightforward conceptual picture it provides (e.g. Raymond & Zeng, 2005;  
 100 Sessions et al., 2010; Daleu et al., 2012; Herman & Raymond, 2014). In contrast to Raymond  
 101 and Zeng (2005) who fixed  $z_t = 15$  km, in our runs we allowed  $z_t$  to vary by setting it  
 102 to be the level at which the atmospheric temperature is a minimum, similar to the DGW  
 103 implementation in SAM as implemented by Blossey et al. (2009). In order to prevent  
 104 unrealistically large values of  $w_{\text{wtg}}$ , it is necessary to place a lower-bound on static stab-  
 105 ility  $\partial\bar{\theta}/\partial z$ . We set  $(\partial\bar{\theta}/\partial z)_{\min} = 1$  K km<sup>-1</sup> similar to in Raymond and Zeng (2005).

## 106 2.2 The Damped Gravity Wave Implementation

107 At their core, the DGW implementations are based on the damping of gravity waves  
 108 by the Rayleigh damping coefficient  $a_m$  in the momentum equations (2) and (3):

$$u'_t = -\frac{1}{\rho} p'_x + fv - a_m u' \quad (2)$$

$$v'_t = -\frac{1}{\rho} p'_y - fu - a_m v' \quad (3)$$

109 Using the ideal gas law, hydrostatic balance and mass conservation laws, the mo-  
 110 mentum equations are thus transformed into the following governing equation for WTG-  
 111 induced pressure velocity  $\omega_{\text{wtg}}$ :

$$\frac{\partial}{\partial p} \left( \frac{f^2 + a_m^2}{a_m} \frac{\partial \omega_{\text{wtg}}}{\partial p} \right) \approx \frac{k^2 R_d}{\bar{p}} T'_v \quad (4)$$

112 where  $R_d$  is the dry gas constant,  $T_v$  is the virtual temperature, and  $k$  is the hor-  
 113 izontal wavenumber of the gravity wave.  $(\cdot)'$  represents the perturbation of the variable  
 114  $(\cdot)$  from the large-scale reference profile. We used Eq. 4 in our experiments, as it was  
 115 implemented and distributed by Blossey et al. (2009) in the System for Atmospheric Mod-  
 116 elling (SAM). Kuang (2008a) also implemented a similar form in SAM using height co-  
 117 ordinates instead of pressure coordinates. By setting the Coriolis parameter  $f = 0$ , which

118 is valid in the deep tropics, we see that Eq. 4 reduces to that of Kuang (2008a). If we  
 119 further assume that  $a_m$  is constant with height, Eq. 4 can be further simplified to:

$$\frac{\partial^2 \omega'}{\partial p^2} = \frac{k^2}{a_m} \frac{R_d T'_v}{\bar{p}} \quad \text{or} \quad \frac{\partial^2 (\rho w')}{\partial z^2} = \frac{k^2}{a_m} \frac{\bar{p} g^2}{R_d \bar{T}^2} T'_v \quad (5)$$

120 The strength of the implementation is controlled by both  $a_m$  and  $k$ . Our simpli-  
 121 fications ( $f = 0$  and  $a_m(p)$  is constant) mean that varying either will change model be-  
 122 haviour in a similar manner, so we keep  $k = 2\pi/\lambda$  constant, taking  $\lambda/4 = 650$  km as  
 123 in Blossey et al. (2009), and vary  $a_m$ .

### 124 3 Experimental Setup

#### 125 3.1 Model Description

126 We used the System for Atmospheric Modelling (SAM) (Khairoutdinov & Randall,  
 127 2003) version 6.10.9 configured in cloud-resolving mode. The model solves the anelas-  
 128 tic continuity, momentum, and tracer conservation equations, with total nonprecipitat-  
 129 ing water (vapor, cloud water, cloud ice) and total precipitating water (rain, snow, grau-  
 130 pel) included as prognostic thermodynamic variables. Simulations are run in three di-  
 131 mensions with doubly-periodic boundaries and a horizontal resolution at 4 km to per-  
 132 mit clouds. Simulations were also ran at the 2 km resolution, but we found no notice-  
 133 able differences in the model results. There are 64 vertical levels in our model, with the  
 134 vertical spacing increasing from 50 m at the boundary layer to around 500 m at the trop-  
 135 ical tropopause, to a total height of  $\sim 27$  km with a rigid upper-bound. Damping is ap-  
 136 plied to the upper third of the model domain to reduce reflection of gravity waves. A  
 137 simple Smagorinsky-type scheme is used for the effect of subgrid-scale motion.

138 In all our experiments, the sea-surface temperature (SST) is fixed at 300 K, spa-  
 139 tially uniform and time-invariant. We also assume that there is no coriolis force (i.e.  $f =$   
 140  $0$ ). In place of a full radiative scheme, our idealised model framework uses the fixed radiative-  
 141 cooling in the troposphere of Pauluis and Garner (2006), with a cooling rate of  $-1.5$  K  
 142  $\text{day}^{-1}$ , and Newtonian relaxation when the temperature is less than 205 K with a re-  
 143 laxation timescale of 5 days. Furthermore, we idealised the surface fluxes by calculat-  
 144 ing bulk surface-fluxes based on a fixed surface wind speed of  $5$  m  $\text{s}^{-1}$ .

#### 145 3.2 Obtaining the WTG Reference Profile

146 Our reference large-scale profiles were obtained by spinning a 10-member ensem-  
 147 ble in the idealised framework to radiative-convective equilibrium over 2000 days, tak-  
 148 ing the last 500 days for statistics. We then take the average of the vertical profiles of  
 149 temperature and specific humidity of these ensemble members to construct a reference  
 150 large-scale profile. We then take this reference profile and rerun the model to RCE again.  
 151 This cycle was repeated until the final reference-profiles were deemed to be close to the  
 152 initial reference profile used to initialise the model runs.

#### 153 3.3 Implementing the different schemes into SAM

154 Once the models have been spun-up to RCE, we take the average temperature and  
 155 humidity vertical profiles of a 10-member ensemble as the large-scale reference profiles.  
 156 We then apply the WTG approximation schemes to the models over a range of  $\tau$  or  $a_m$   
 157 (depending on the WTG scheme used) values, and run a 5-member ensemble over a pe-  
 158 riod of 250 days for each of the configurations, taking statistics every 3 hours over the  
 159 last 100 days. For each member in the ensembles, perturbations were made to the ini-  
 160 tial state of the model, resulting in a mix of wet and dry final states. In order to force

161 out both wet- and dry-states of the multiple equilibria, we perturbed the large-scale ref-  
162 erence profile uniformly in the vertical by  $-0.05$  K for another 5-member ensemble, and  
163  $+0.05$  K for a final 5-member ensemble respectively.

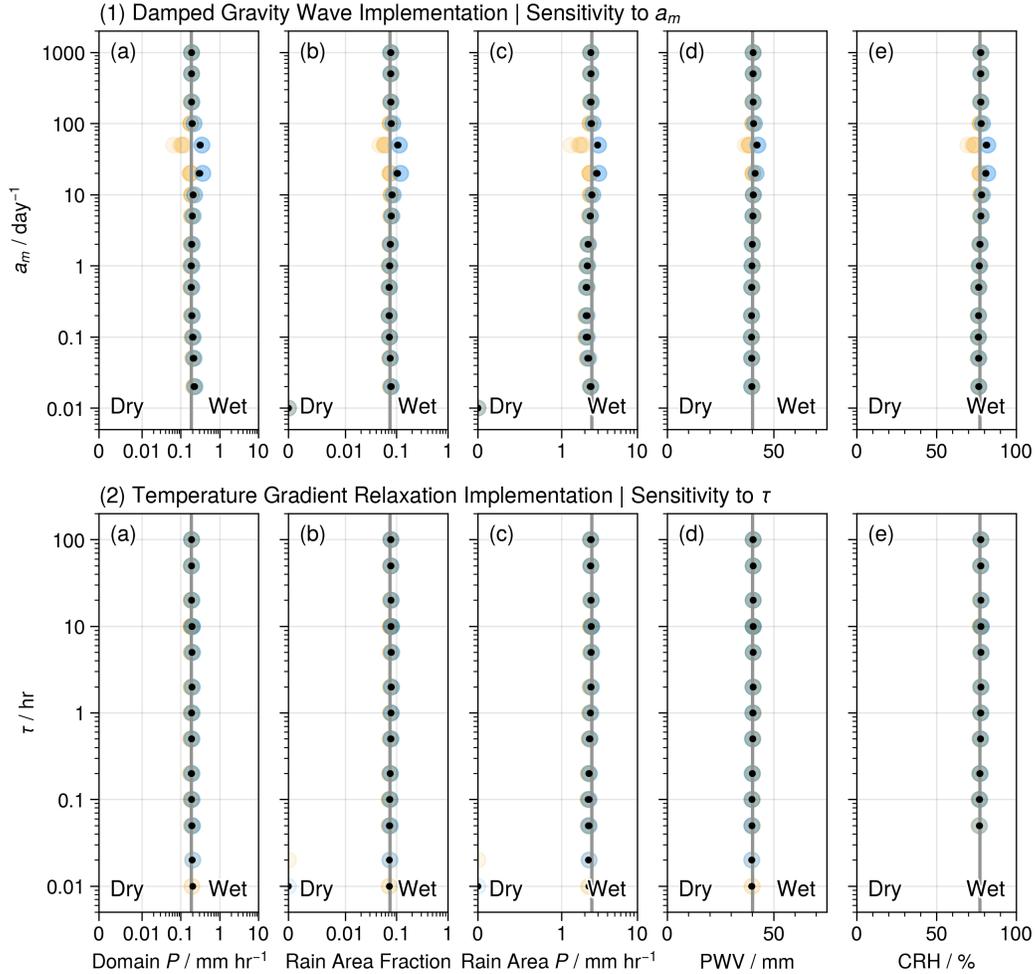
164 In order to showcase the difference between the RCE and WTG states, we imple-  
165 ment a smooth transition from a pseudo-RCE state ( $a_m(t=0) = \tau(t=0) = \infty$ ) to  
166 a WTG state ( $a_m = a_{m,0}$  or  $\tau = \tau_0$ ), where  $a_{m,0}$  and  $\tau_0$  are the final strength of the  
167 WTG approximation at  $t = t_{\text{wtg}}$ . In this manner, we will be able to better distinguish  
168 in between models in RCE and models in WTG in time-series plots. In all our exper-  
169 iments, we take  $t_{\text{wtg}} = 50$  days, which means that in our experimental runs the WTG  
170 implementations will reach maximum strength at 50 days from model startup.

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#### 4 Divergence in Model Behaviour with different WTG Schemes under an Idealised Model Framework

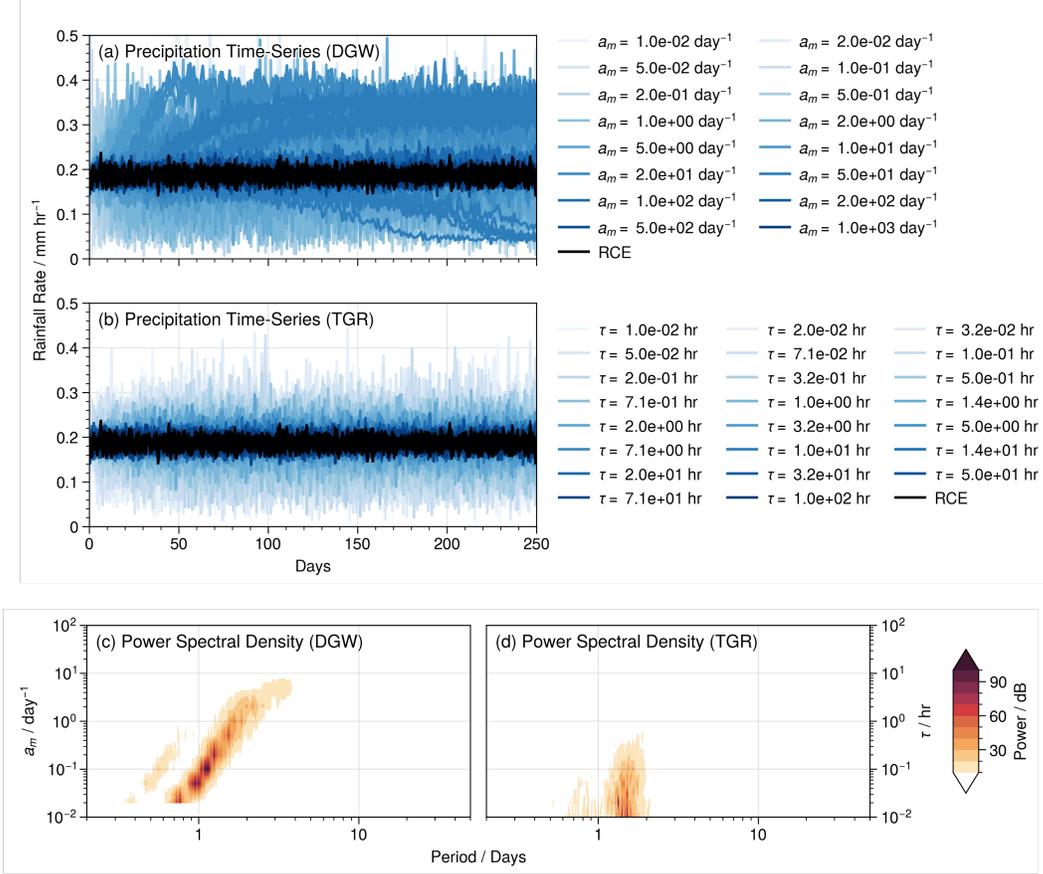
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Our implementation of both the TGR and DGW frameworks to small-domain models with interactive radiative and surface-flux schemes results in multiple-equilibria that is consistent with the results of Emanuel et al. (2014) using the MITgcm in single-column mode (see Fig. S1). However, in the idealised framework described in Section 3, we see that the model behaviour varies quite markedly between the different schemes. When the DGW implementation is used, as  $a_m$  decreases to between 10 and 100  $\text{day}^{-1}$  the model first enters the multiple-equilibria regime with noticeable bifurcation between wet and dry states (Fig. 2). As  $a_m$  continues to decrease, the model transitions out of this multiple-



**Figure 2.** We plot (a) domain-mean precipitation, (b) rain area fraction, (c) rain area precipitation rate, (d) domain-mean precipitable water vapour and (e) domain-mean column relative humidity that result when the DGW (Kuang, 2008a; Blossey et al., 2009) and TGR (Raymond & Zeng, 2005; Sessions et al., 2010) schemes are implemented with different strengths to three different 5-member small-domain model ensembles. Black dots denote the model ensemble using the default reference profile, yellow dots represent the ensemble results from a reference profile perturbed by +0.05 K at all levels, and blue dots indicate ensemble results from a reference profile perturbed by -0.05 K at all levels.

181 equilibria regime and the time-averaged domain-mean climatology returns to near-RCE  
 182 values. Yet, plotting the full time-series shows that the model has not returned to an RCE-  
 183 equivalent state, but rather oscillates between wet and dry regimes in a manner remi-  
 184 niscent to that of convectively-coupled waves (Fig. 3a,b). However, when the TGR im-  
 185 plementation is used, the model transitions directly from an RCE state to an oscillatory  
 186 state is characteristic of convectively coupled waves as  $\tau \rightarrow 0$  without displaying multiple-  
 187 equilibria.



**Figure 3.** We plot the daily time-series of precipitation for the (a) DGW and (b) TGR implementations of varying strengths. We see that while in Fig. 2 the time-average is close to RCE, the time-series shows that the model actually fluctuates between wet and dry regimes in a manner similar to convectively coupled wave behaviour. Furthermore, we also plot the power spectrum for the timeseries in (c) and (d) respectively, where we see that rough analogues of convectively coupled waves appear when the implementation strength is great enough.

188 Furthermore, the power spectrum of the time-series (Fig. 3c,d) indicates that the  
 189 nature of the wet-dry oscillation is also different. When the TGR implementation is used,  
 190 the oscillatory frequency seems to remain constant as the relaxation timescale  $\tau$  decreases,  
 191 but when the DGW implementation is used, the frequency decreases approximately lin-  
 192 early with decreasing  $a_m$ . We do see that for both the DGW and TGR implementations,  
 193 the amplitude of the oscillations reaches a maximum point at a certain value (between  
 194  $a_m = 0.1-0.05$  day<sup>-1</sup> and  $\tau = 0.01-0.02$  hr) and decreases away from it. The disparate  
 195 model behaviour that results when different WTG implementations are used, even in this  
 196 idealised framework, indicates that there are significant differences between them.

197 As both these WTG schemes are widely used, it is important for us to understand  
 198 the differences between these implementations. We begin by recalling previous studies  
 199 which have shown that the basic dynamics of convectively coupled tropical waves can  
 200 largely be captured by models which contain the first two baroclinic modes of the ver-  
 201 tical structure of the tropical atmosphere (e.g. Mapes, 2000; Majda & Shefter, 2001; Khouider  
 202 & Majda, 2006; Haertel & Kiladis, 2004; Kuang, 2008b). Similar to (Kuang, 2008b), we  
 203 expand both the vertical velocity and temperature perturbation components of Raymond  
 204 and Zeng (2005) in terms of the first two vertical eigenmodes  $G_j$ :

$$w' = \frac{\theta'}{\tau \cdot \partial_z \bar{\theta}} \qquad \frac{\partial^2 \omega'}{\partial z^2} = \frac{k^2}{a_m} \frac{\bar{p} g^2}{R_d \bar{T}^2} T'_v \quad (6)$$

$$w' = \sum_{j=1}^2 w_j G_j(z) \qquad \omega' = \sum_{j=1}^2 \omega_j G_j(z) \quad (7)$$

$$\frac{\theta'}{\partial_z \bar{\theta}} = \sum_{j=1}^2 \theta_j G_j(z) \qquad \frac{\bar{p} T'_v}{\bar{T}^2} = \sum_{j=1}^2 T_j G_j(z) \quad (8)$$

where the vertical modes are of the form:

$$G_j(z) = \frac{\pi}{2} \sin\left(\frac{j\pi z}{z_t}\right) \quad (9)$$

205 Here,  $z_t$  is the height of the troposphere. Note that in Eq. (7),  $\omega' = \sum_{j=1}^2 \omega_j G_j(z)$ ,  
 206 but the equations in the DGW implementation solve not for  $\omega'$ , but for  $\partial_{zz}\omega'$ . There-  
 207 fore, we see that

$$\partial_{zz}\omega' = -\frac{z_t^2}{\pi^2} \sum_{j=1}^2 j^2 \omega_j G_j(z) \quad (10)$$

208 Because  $j^2$  increases with higher-order baroclinic modes, it follows that the am-  
 209 plitude of higher-order baroclinic modes of vertical velocity in the DGW implementa-  
 210 tion is proportionally weaker for temperature perturbations of the same amplitude. This  
 211 means that the vertical structure of vertical velocity will be different across the differ-  
 212 ent WTG implementations. The first baroclinic mode of vertical velocity is likely to be  
 213 stronger than the second baroclinic mode in the DGW implementation, compared to if  
 214 the TGR implementation is used.

215 We therefore conclude that vertical profiles resulting from the TGR implementa-  
 216 tion are likely to be more top- or bottom-heavy compared to the profiles resulting from  
 217 the DGW implementation. Indeed, the top-heavy nature of vertical profiles of vertical  
 218 velocities in modelling studies using the TGR implementation has been well-documented  
 219 (Romps, 2012b; Daleu et al., 2015). We further hypothesize that the strength of this sec-  
 220 ond baroclinic mode relative to the first baroclinic mode is critical in determining the  
 221 presence of a multiple-equilibria regime when the model is coupled to the WTG schemes.

To test this hypothesis, we modified both of the WTG schemes and calculated the  
 WTG-induced vertical velocities for the TGR and DGW implementations respectively  
 to be:

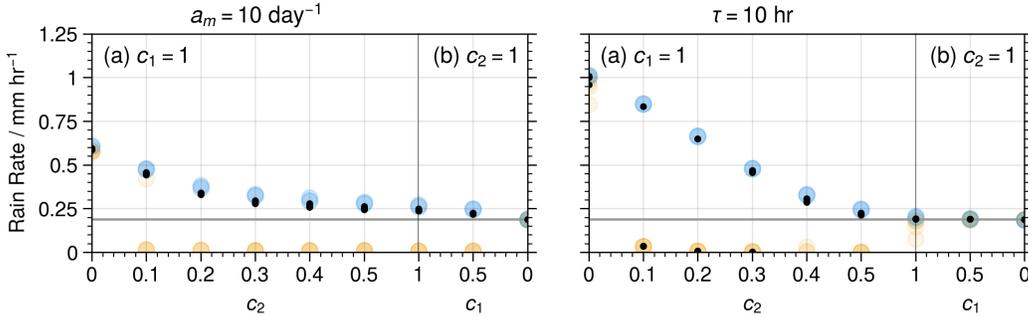
$$\omega' = c_1 \omega_1 \sin \frac{\pi z}{z_t} + c_2 4\omega_2 \sin \frac{2\pi z}{z_t} \qquad w' = c_1 w_1 \sin \frac{\pi z}{z_t} + c_2 w_2 \sin \frac{2\pi z}{z_t} \quad (11)$$

222 where  $c_1$  and  $c_2$  vary the strength of the response of the first and second baroclinic  
 223 modes of the vertical velocity to the first and second baroclinic modes of the tempera-  
 224 ture perturbation. The constant factor of 4 in front of  $\omega_2$  ensures that the ratios of the  
 225 first and second baroclinic modes are the same across the induced vertical velocity and  
 226 temperature perturbation.

227 We vary different configurations of  $c_1$  and  $c_2$  as follows:

$$(c_1, c_2) = \begin{cases} 0 \leq c_1 \leq 1 & c_2 = 1 \\ 0 \leq c_2 \leq 1 & c_1 = 1 \end{cases} \quad (12)$$

228 We then plot the results below for  $a_m = 10 \text{ day}^{-1}$  and  $\tau = 10 \text{ hr}$  (Fig. 4). We  
 229 see that the presence and strength of multiple-equilibria is tied to the ratio of  $c_r = c_2/c_1$ ,  
 230 with smaller values of  $c_r$  resulting in stronger bifurcation between the wet and dry equi-  
 231 librium states. When  $c_2 = 0$  (i.e. when only the first baroclinic mode is allowed to re-  
 232 spond), the model is unable to force out a dry regime. Alternatively, when  $c_1 = 0$ , there  
 233 is no bifurcation between wet and dry equilibrium states, and an analysis of the time-  
 234 series (not shown) shows no distinction between the model to the 2-day wave behaviour,  
 235 even at much lower values of  $\tau$ . Although the change in magnitude of the bifurcation is  
 236 quantitatively different, this can be attributed to the fact that the TGR and DGW im-  
 237 plementations solve for the pressure velocity and vertical velocity respectively, and these  
 238 two variables are not precisely the same.



**Figure 4.** We plot the daily time-series of precipitation for the (a) DGW and (b) TGR imple-  
 mentations of varying strengths. We see that while in Fig. 2 the time-average is close to RCE,  
 the time-series shows that the model actually fluctuates between wet and dry regimes in a man-  
 ner similar to the 2-day wave behaviour of Takayabu et al. (1996). Furthermore, we also plot the  
 power spectrum for the timeseries in (c) and (d) respectively, where we see that rough analogues  
 of this 2-day wave behaviour appear when the implementation strength is great enough.

## 239 5 Bringing the different WTG Schemes together

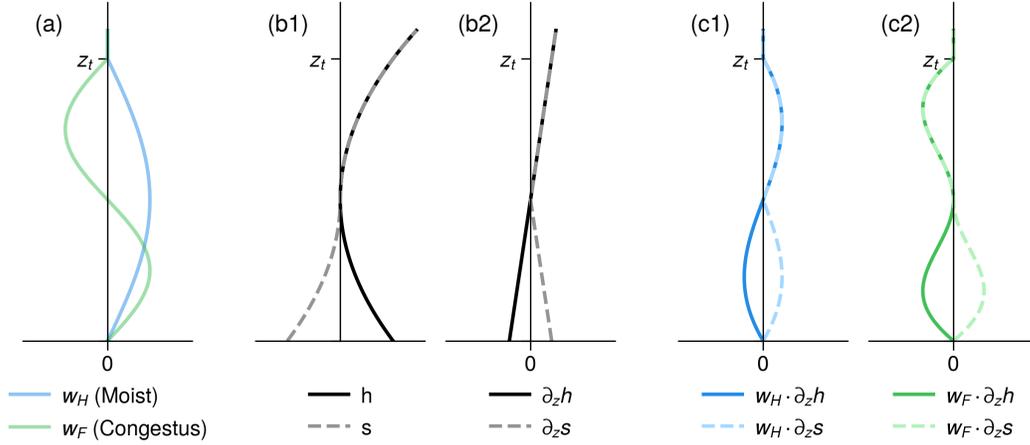
240 We analyze both WTG implementations in the framework of Gross Moist Stabil-  
 241 ity (Inoue & Back, 2015, 2017), which we define in this instance to be:

$$\text{GMS} = \frac{\langle w \cdot \partial_z h \rangle}{\langle w \cdot \partial_z s \rangle} = \frac{\langle w_H \cdot \partial_z h \rangle + \langle w_F \cdot \partial_z h \rangle}{\langle w_H \cdot \partial_z s \rangle + \langle w_F \cdot \partial_z s \rangle} \quad (13)$$

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This is the ratio of the vertical export of moist static energy  $h$  to the vertical export of dry static energy  $s$ .  $w_H$  and  $w_F$  are the first and second baroclinic modes of vertical velocity. Taking idealised vertical profiles of the dry and moist static energies shown in Fig. 5, we see that Eq. 13 can be reduced to:

$$\text{GMS} = \frac{\langle w \cdot \partial_z h \rangle}{\langle w \cdot \partial_z s \rangle} \approx \frac{\langle w_F \cdot \partial_z h \rangle}{\langle w_H \cdot \partial_z s \rangle} = \frac{w_2 \langle \sin(2\pi z/z_t) \cdot \partial_z h \rangle}{w_1 \langle \sin(\pi z/z_t) \cdot \partial_z s \rangle} \quad (14)$$



**Figure 5.** We plot an idealized profile of the (a) first two baroclinic modes of WTG-induced vertical velocity, (b) vertical profiles of (1) dry and moist static energy and (2) their vertical derivatives, and lastly (c) the product of the vertical derivatives of the static energies with the (1) first and (2) second baroclinic modes. We see that the vertical export of moist and dry static energies are dominated by the 2nd and 1st baroclinic modes respectively.

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We therefore see that any change to the Gross Moist Stability is ultimately dominated by the ratio of the baroclinic modes of the WTG-induced vertical velocity. However, as we have discussed in Section 4 the ratio of strengths between the baroclinic modes is different across the WTG implementations. For example, because the TGR implementation results in vertical velocity profiles that have larger 2nd baroclinic modes, it would favour higher GMS magnitudes than the DGW implementation and thus larger magnitudes of vertical export (or import) of moist static energy. This is in line with more recent characterisations of Gross Moist Stability as a quantity that describes the (de)stabilisation mechanisms of convective disturbances in the atmosphere (Inoue & Back, 2015, 2017). We believe that the ratio  $w_2/w_1$  therefore constrains how rapidly these convective disturbances are magnified/reduced.

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For example, when  $w_2/w_1$  is small, the impact of  $w_2$  on the convective disturbances represented by  $w_1$  is small. This results in the persistence of these convective disturbances and allows for sustained bifurcation of wet and dry multiple-equilibria states we see in  $a_m \sim 20\text{-}50 \text{ day}^{-1}$ . However, when  $w_2/w_1$  is moderate in nature, these perturbations are large enough to impact the convective disturbances, while small enough to allow these disturbances room to grow, causing noticeable oscillatory behaviour between wet- and dry-states that are reminiscent of convectively-coupled waves. Conversely, when  $w_2/w_1$  is large, the growth of these potential convective disturbances, and thus the oscillatory behaviour that is characteristic of convectively coupled waves, is small.

266 We therefore believe that the discrepancies in model behaviour when different WTG  
 267 schemes are used can be attributed to the differences in treatment of the baroclinic modes  
 268 between the two schemes. If we modify the TGR implementation such that the response  
 269 strength of higher baroclinic modes is reduced, the multiple-equilibria regime appears.  
 270 Furthermore, as the strength of the WTG implementations increase we see that the model  
 271 in both schemes tend to converge towards the oscillatory behaviour that is reminiscent  
 272 of convectively-coupled waves, even if the paths they take to reach this state are differ-  
 273 ent.

## 274 6 Conclusions

275 Implementing different WTG implementations results in different model behaviour  
 276 even in an simplified framework with idealised radiation and surface-flux schemes. A multiple-  
 277 equilibria regime appears when the DGW scheme is implemented with consistently wet  
 278 and dry states. As the strength the implementation increases, the model then transitions  
 279 into a regime that oscillates between these wet and dry states in a behaviour that is rem-  
 280 iscent of convectively coupled waves. However, when the TGR scheme is implemented  
 281 we see that there is no multiple-equilibria regime, and the convectively-coupled wave be-  
 282 haviour only appears when the relaxation occurs over unrealistically short timescales ( $\tau \sim$   
 283 5 min).

284 We have shown that these discrepancies in model behaviour to different WTG im-  
 285 plementations even in this idealised framework can be attributed to their different treat-  
 286 ments of the vertical baroclinic modes. Specifically, we postulate that the TGR scheme  
 287 overemphasises higher-order vertical modes. By replacing each of the WTG schemes with  
 288 equivalent models for the first two baroclinic modes (Eq. 11), we see that when the sec-  
 289 ond baroclinic mode is stronger relative to the first baroclinic mode, the degree of bi-  
 290 furcation between the wet- and dry-states in the multiple-equilibria regime decreases.

291 Lastly, we can understand these differences in the framework of Gross Moist Sta-  
 292 bility (GMS), specifically in reference to how Inoue and Back (2017) characterized the  
 293 GMS as a measure of feedback effects to convection. By approximating GMS as the ra-  
 294 tio of export of moist static energy to that of dry static energy (Eq. 14, see also Inoue  
 295 and Back (2015)), we see that the choice of WTG implementation used will play a sig-  
 296 nificant role in the GMS of the system, particularly because the response of the 2nd baro-  
 297 clinic mode of vertical velocity to the 2nd baroclinic mode of the temperature pertur-  
 298 bations are treated differently. Thus when the DGW implementation is used in our ide-  
 299 alized model setup, the smaller 2nd baroclinic mode in vertical velocity will lead to a smaller  
 300 GMS response in the system, which gives rise to a measure of stability in the convective  
 301 states seen in the multiple-equilibria regime, which in contrast is entirely absent when  
 302 the TGR implementation is chosen.

303 As we first touched upon in our introduction, while some work has gone into quan-  
 304 tifying the discrepancies in model results when different implementations are used (e.g.  
 305 Daleu et al., 2015), less thought has been given to understanding why different imple-  
 306 mentations give rise to different results in the first place. We hope that this set of ide-  
 307 alized model experiments begins to close the gap between quantifying and understand-  
 308 ing the differences in model results when different WTG implementations are used.

## 309 7 Open Research

310 The model code used is built upon a modified version of Marat Khairoutdinov’ Sys-  
 311 tem of Atmospheric Modelling (Khairoutdinov & Randall, 2003) by Peter Blossey as in  
 312 Blossey et al. (2009). Our modified version of the source code for the model is available  
 313 at [https://github.com/KuangLab-Harvard/SAM\\_SRCv6.10](https://github.com/KuangLab-Harvard/SAM_SRCv6.10) and is meant to replace the  
 314 SRC folder of SAM v6.10.6.

315 The Julia Language code that was used in setting up the model experiments, an-  
316 alyzing our results, and the notebooks used in producing our figures, available at:

```
317 @software{nathanael_wong_2023_7903686,  
318   author      = {Nathanael Wong},  
319   title       = {natgeo-wong/2023GL104350: v0.1},  
320   month       = may,  
321   year        = 2023,  
322   publisher   = {Zenodo},  
323   version     = {v0.1},  
324   doi         = {10.5281/zenodo.7903686},  
325   url         = {https://doi.org/10.5281/zenodo.7903686}  
326 }
```

327 The raw data used in this paper is available at:

```
328 @data{DVN/YPXNPG_2023,  
329   author = {Wong, Nathanael},  
330   publisher = {Harvard Dataverse},  
331   title = {{Dataset for ‘‘The Effect of Different Implementations of the Weak Temperature Gradient  
332   year = {2023},  
333   version = {V1},  
334   doi = {10.7910/DVN/YPXNPG},  
335   url = {https://doi.org/10.7910/DVN/YPXNPG}  
336 }
```

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340 the computing resources for this work.

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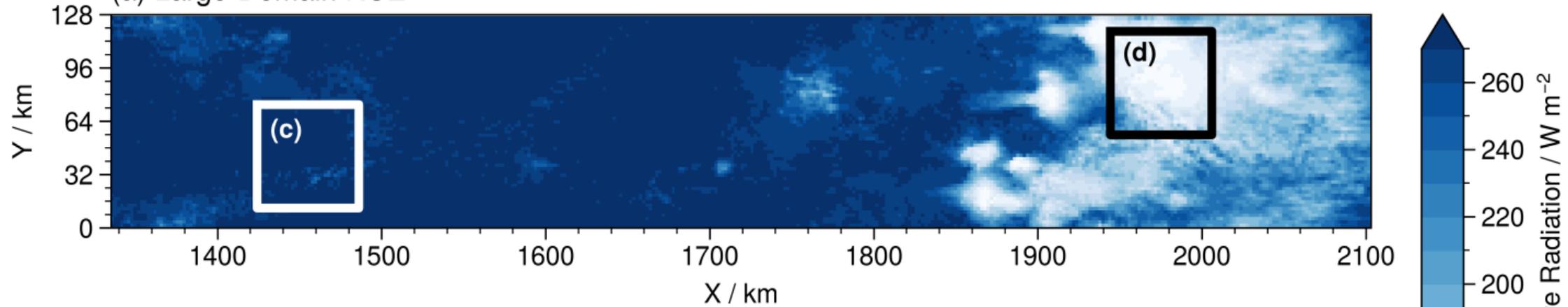
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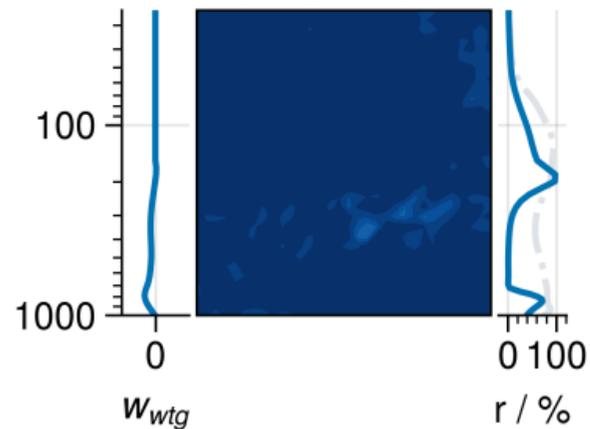
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Figure 1.

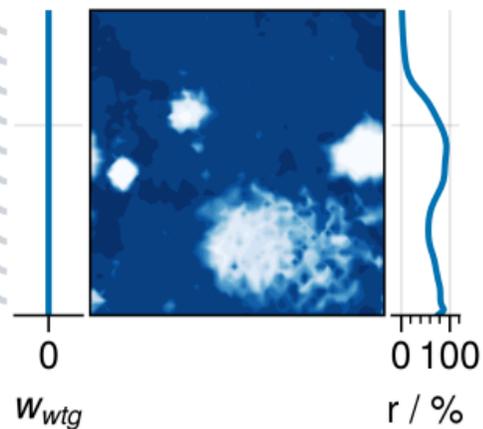
(a) Large-Domain RCE



(c) Dry Regime



(b) Small-Domain RCE



(d) Wet Regime

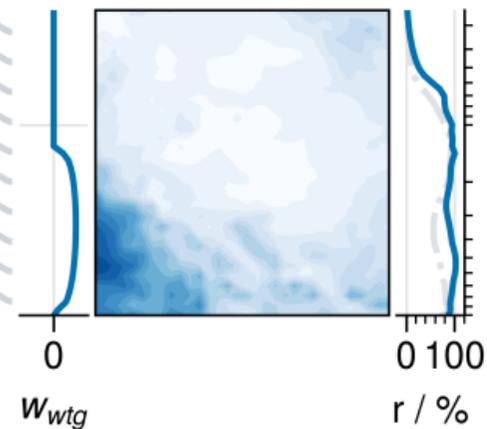
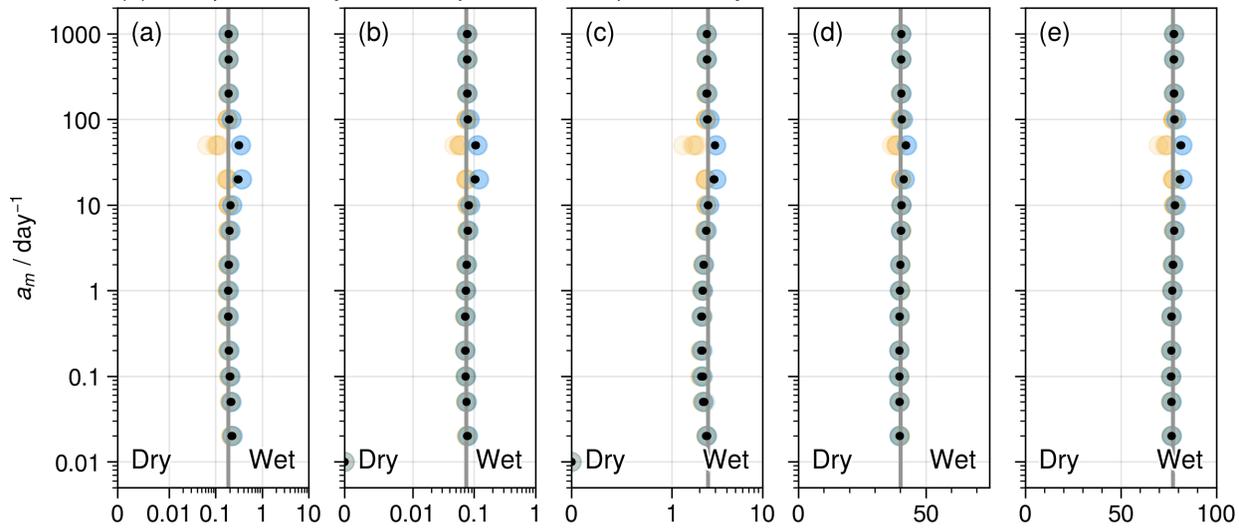


Figure 2.

(1) Damped Gravity Wave Implementation | Sensitivity to  $a_m$



(2) Temperature Gradient Relaxation Implementation | Sensitivity to  $\tau$

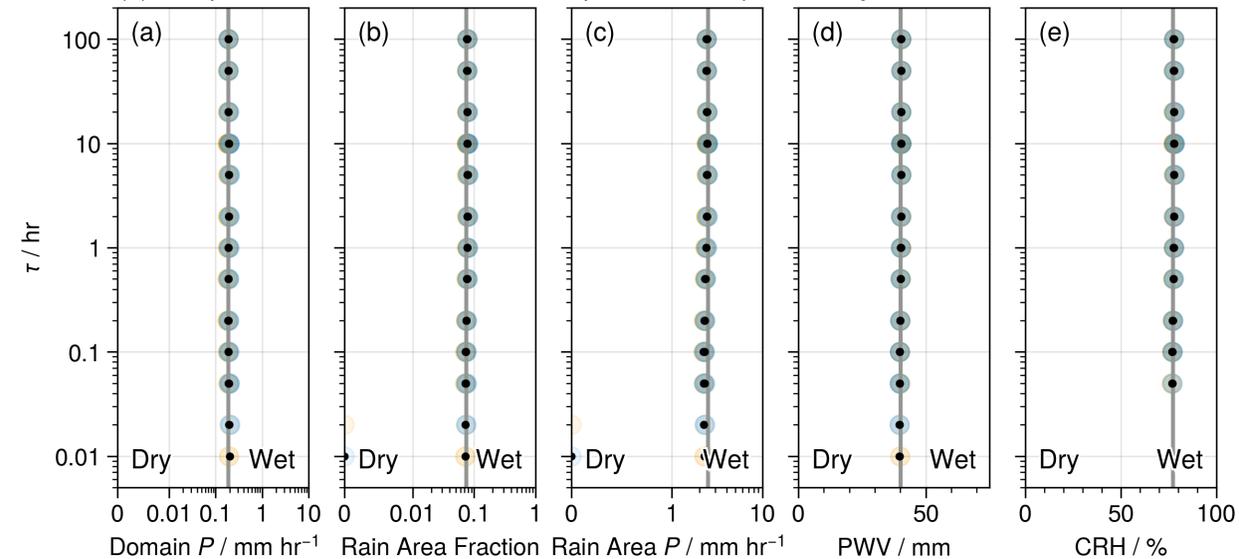


Figure 3.

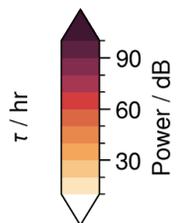
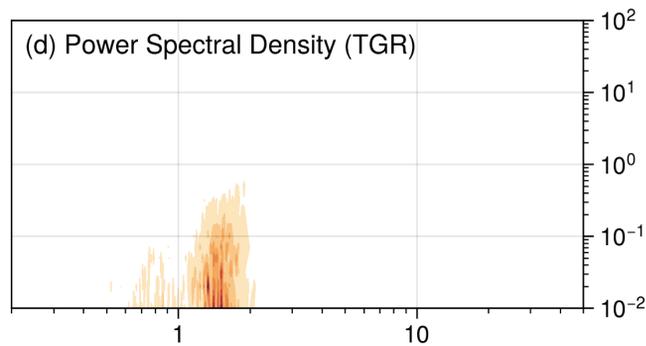
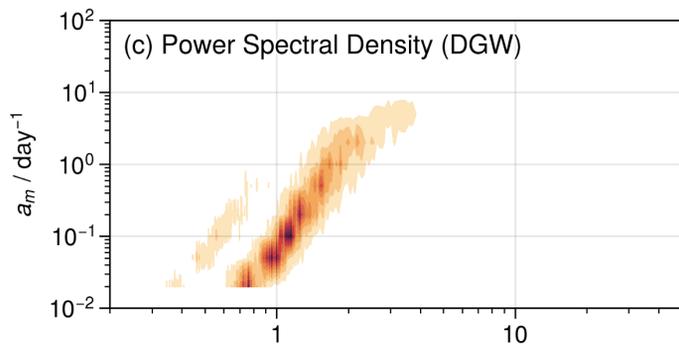
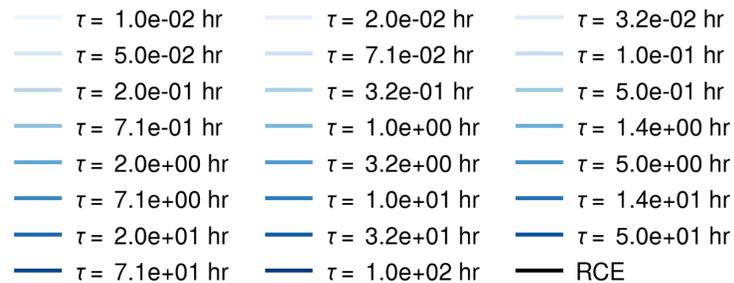
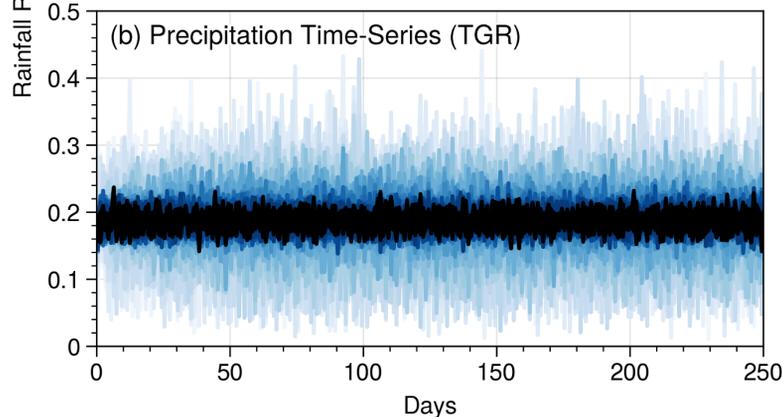
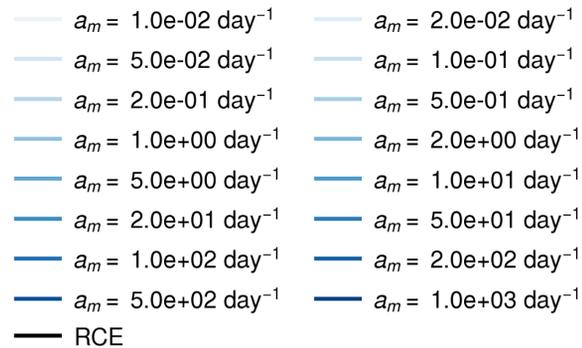
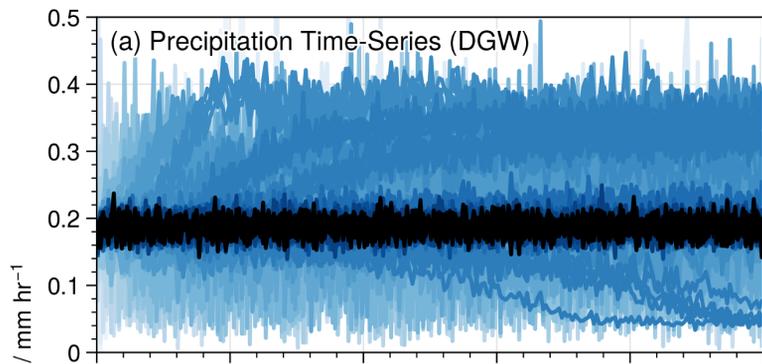


Figure 4.

$$a_m = 10 \text{ day}^{-1}$$

$$\tau = 10 \text{ hr}$$

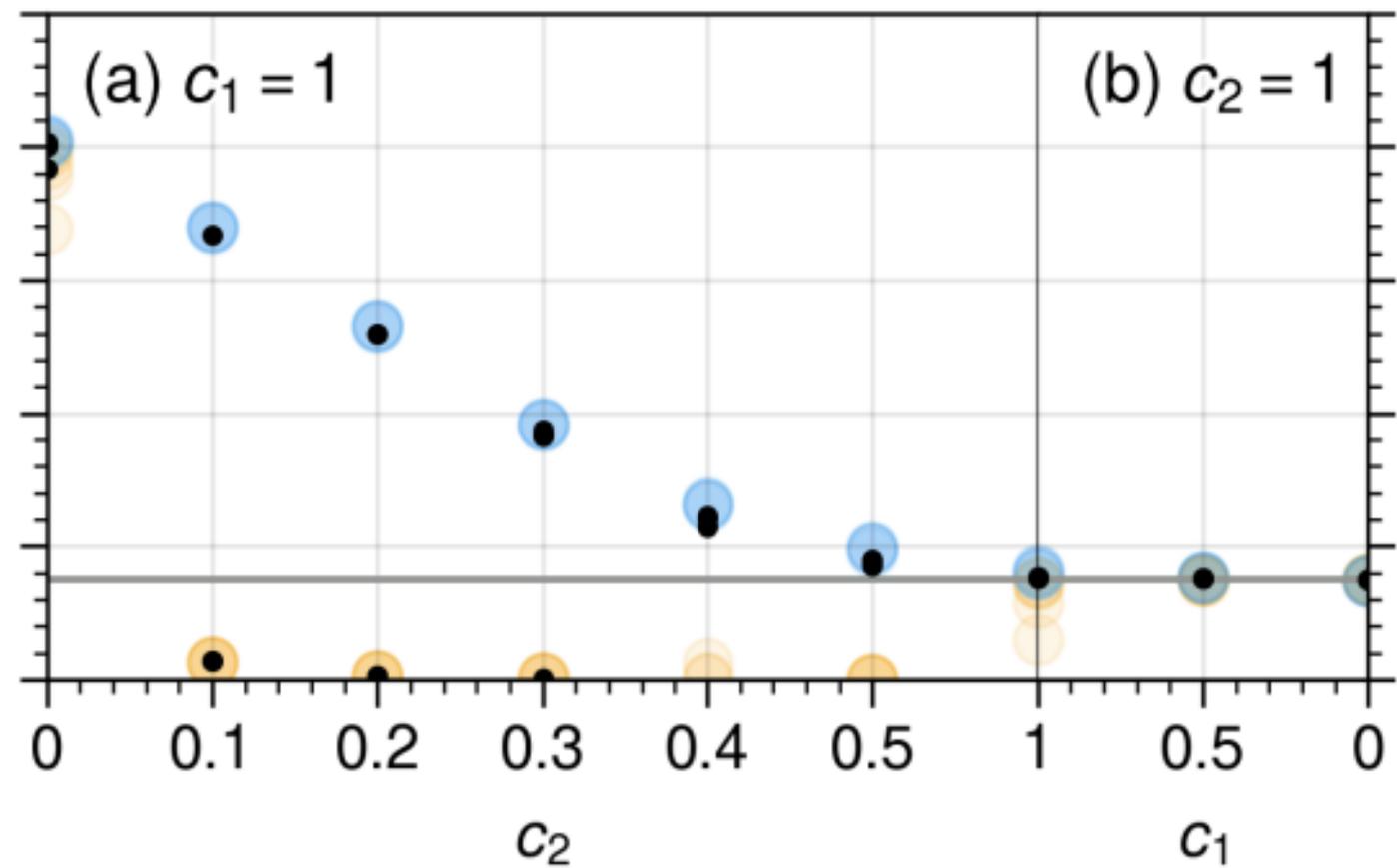
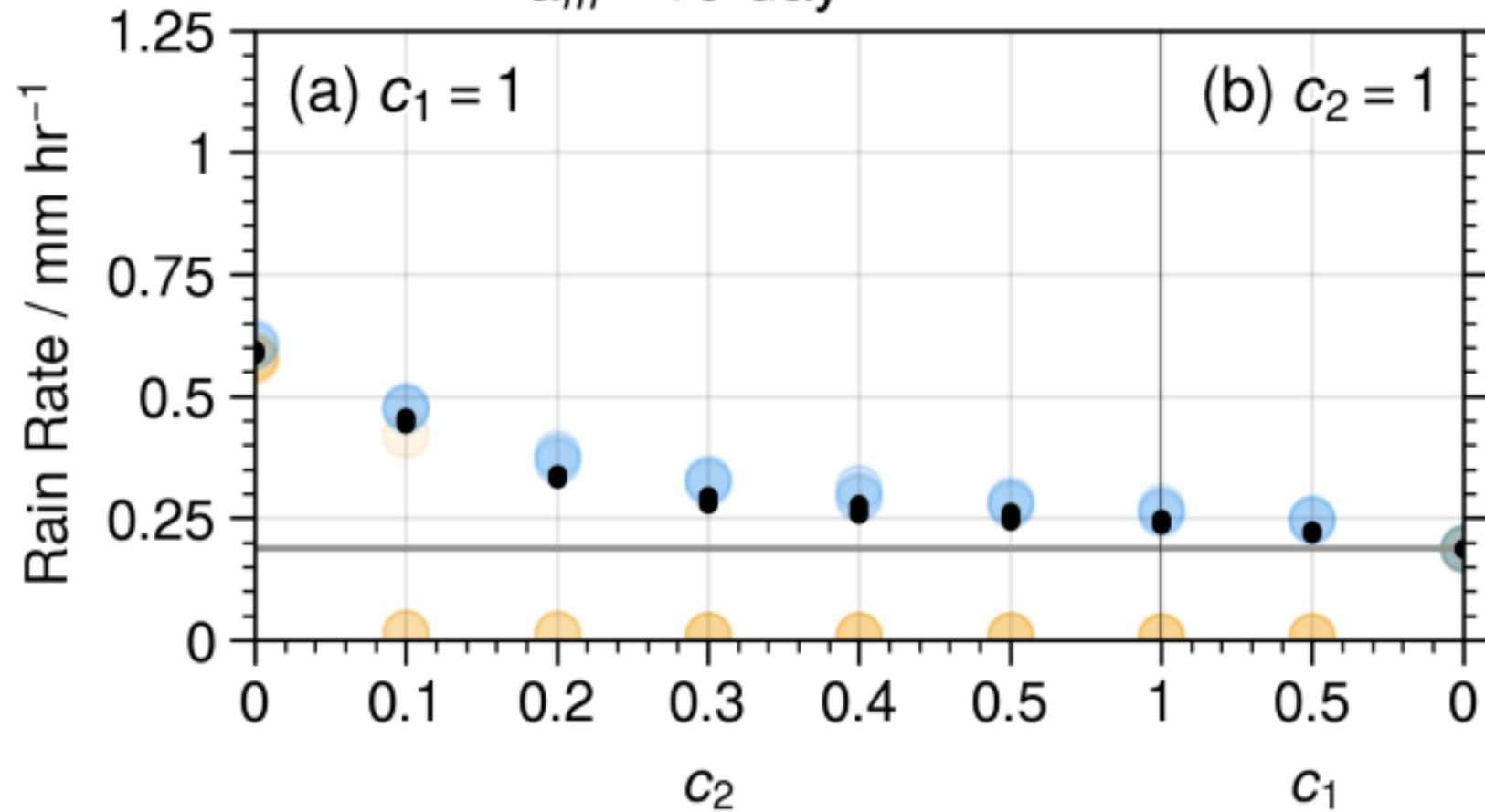
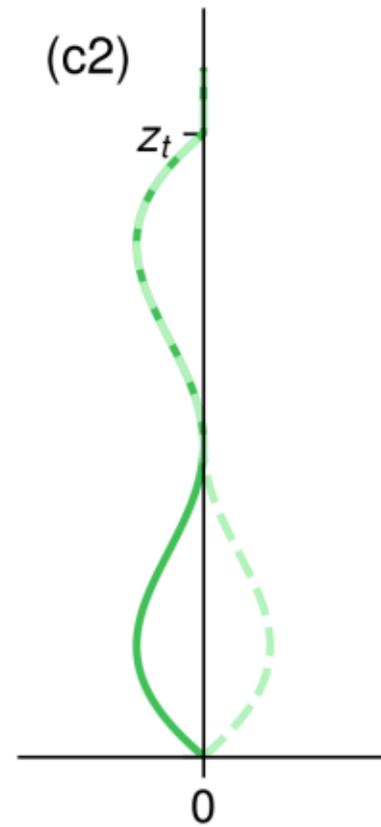
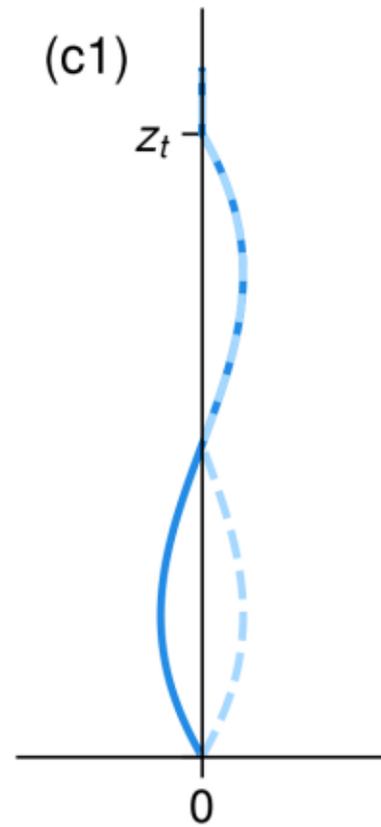
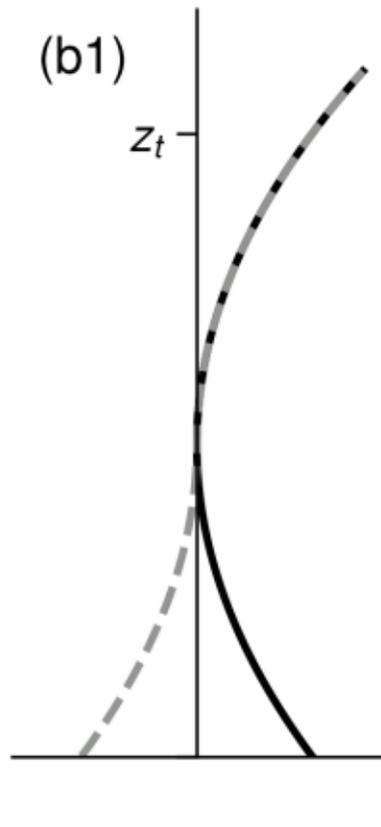
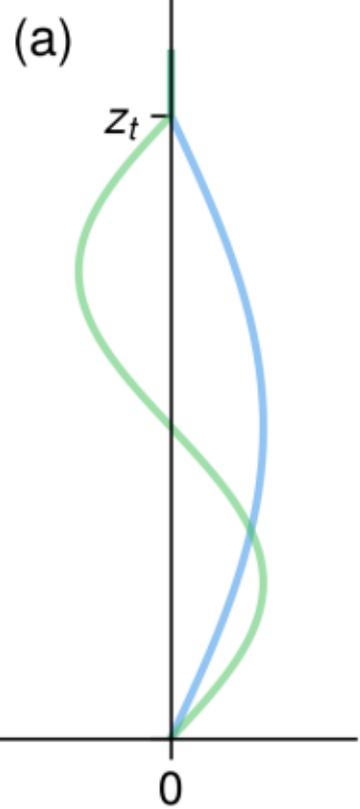


Figure 5.



—  $w_H$  (Moist)  
—  $w_F$  (Congestus)

—  $h$   
- -  $s$

—  $\partial_z h$   
- -  $\partial_z s$

—  $w_H \cdot \partial_z h$   
- -  $w_H \cdot \partial_z s$

—  $w_F \cdot \partial_z h$   
- -  $w_F \cdot \partial_z s$