

Experimental evaluation of predicted undrained pore pressure generation as function of stress path and orientation in the Draupne shale

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Key Points:

- Effects of stress path and orientation on pore pressure generation are predicted from theory and minimal experimental data
- The predictions are compared to measurements made in a series of undrained, triaxial tests on the North Sea Draupne Formation shale
- Results show that pore pressure can be accurately predicted using a combination of Skempton's formulation and anisotropic poroelasticity

Abstract

Injection or production of fluids from subsurface reservoirs lead to stress changes affecting both reservoir and surrounding rocks. For low-permeable caprocks overlying such reservoirs, the movement of pore fluids to or from the formation is restricted and the immediate and short-term response to changes in the stress field will be undrained. Consequently, stress changes transfer partly into pore pressure changes. The aim of the current study is to investigate theoretical means of forecasting the undrained pore pressure generation in the Draupne Formation shale and to compare predictions with experimental results. Predictions are based on measurements from a single undrained triaxial test on a sample with known orientation, and a combination of Skempton's classical formulation and anisotropic poroelastic theory. The predicted pore pressures are compared to measured pore pressures from a series of triaxial tests on samples with various orientations exposed to different total stress paths. First, it is confirmed that the normalized undrained pore pressure measured is linearly connected to the total stress path. Then it is demonstrated that a tensorial pore pressure parameter can be used to accurately predict the influence of stress orientation on generated pore pressure. Lastly, it is experimentally confirmed that the two predictions can be combined to predict the pore pressure arising from stress changes along any compressional stress path and orientation. The observations herein may contribute significantly to the understanding of induced pore pressure in low-permeable materials and provide valuable input to geomechanical modeling of various field operations.

Plain Language Summary

Fluid production from or injection into geological reservoirs usually cause changes in stresses experienced by both reservoir and surrounding rocks. In the low-permeable caprocks found above reservoirs, fluids occupying the pore space are, at least in the short-term, restricted from moving in or out of the formation in response to these stress changes. The fluids instead carry parts of the stress change and consequently experience changes in pore pressure. The aim of the current study is to investigate means of predicting pore pressure generation following changes in surrounding stresses and to compare them to experimental data from a North Sea shale. Using only limited experimental data and available poroelastic theory, predictions of pore pressure generation under various total stress change scenarios are made. A series of triaxial tests are then performed on the same shale core, and the measured pore pressures are compared to those predicted. The results show that the procedure followed enables accurate prediction of pore pressure development as a function of both material orientation and stress path. These findings highlight how pore pressure changes within anisotropic rocks can be predicted from minimal experimental testing campaigns and provide valuable input to geomechanical modelling of subsurface stress changes.

1 Introduction

Reservoir pore pressure alterations arising from fluid injection or production can cause deformational processes and changes in total stresses within both reservoir and surrounding rocks due to the coupling that exists between pore pressure and stress (e.g. Addis, 1997; Hettema et al., 2000; Teufel et al., 1991; Zoback & Zinke, 2002). These pore pressure- and total stress variations together determine the effective stresses that eventually cause rock deformation (Terzaghi, 1936). Estimation of effective stress evolution for reservoir and surrounding rocks during production or injection is needed to predict mechanical consequences such as reservoir compaction and subsidence/uplift, caprock fracturing, fault reactivation, borehole collapse or casing deformation (e.g. Altmann et al., 2014; Altmann et al., 2010; Angus et al., 2016; Castelletto et al., 2013; Holt et al., 2004; Lynch et al., 2013; Santarelli et al., 1998; Segura et al., 2011; Aadnoy, 1991). Permeable reservoir rocks typically display drained behavior in response to changes in total stresses. However, very little fluid movement occurs in the low-permeable caprocks overlying such reservoirs. Consequently, the caprocks' response to alterations in the stress field will be undrained and can lead to significant pore pressure changes even without fluid movement to or from the reservoir. The amount of undrained pore pressure generation depends on the magnitude and direction of the total stress change and the material's poroelastic parameters. Poroelastic theory addresses the coupling between fluid flow and mechanical deformation of fluid-saturated rocks (e.g. Biot, 1941; Cheng, 2016; Wang, 2017). Within the poroelastic framework, Skempton's pore pressure parameters, A_s and B_s , predict the undrained pore pressure generation in response to deviatoric and isotropic stress changes, respectively (Skempton, 1954). Understanding these pore pressure parameters and what affects them is thus crucial to explain effective stress changes in caprocks. In anisotropic poroelastic theory, the

directional dependency of properties found in most sedimentary rocks are included (e.g. Biot, 1955; Carroll, 1979; Cheng, 2016; Holt, Bakk, & Bauer, 2018; Thompson & Willis, 1991). As a result, Skempton's pore pressure parameter B_s is generalized into a second rank tensor, where the effect of pore pressure by deviatoric stresses is similar to that of A_s .

One consequence of Skempton's original formulation is that the amount of undrained pore pressure generated depends on the ratio between changes in minor ($\Delta\sigma_3$) and major ($\Delta\sigma_1$) principal stresses (i.e. the stress path). In fact, a linear relationship is predicted between the pore pressure change normalized to the total vertical stress change and the stress path (Skempton, 1954). For anisotropic rocks, the influence of relative orientation between principal stresses and material symmetry axis on pore pressure generation from deviatoric stress changes can be predicted from the components of the tensorial B_{ij} (Cheng, 2016; Holt, Bauer, et al., 2018). Both the relationship between stress path and normalized pore pressure and the directional dependence of Skempton's A_s have previously, to a limited extent, been experimentally evaluated for shales (Holt, Bakk, Stenebråten, et al., 2018; Holt, Bauer, et al., 2018). However, to our knowledge, the literature is missing studies combining these two dependencies and thereby enabling a more comprehensive pore pressure forecasting on a well characterized shale. In the current study, the aim is to demonstrate experimentally that the effects of both stress path and stress orientation on pore pressure generation in a low-permeable, anisotropic rock can be predicted using poroelastic theory and require only minimal data from laboratory testing. The experimental work is performed in the triaxial apparatus with shale samples from the North Sea Draupne Formation. Parameters needed to construct the pore pressure predictions are first derived from a single test on a sample with known orientation. Then, a series of undrained tests following different total

stress paths are performed on samples subcored with different sample axis orientations relative to the originally horizontal rock layering. The target is to investigate how measured undrained pore pressure alterations match those predicted by poroelastic theory for various stress paths and stress orientations. If reliable predictions can be made, it demonstrates that relatively simple experimental procedures can be used to obtain data needed to model pore pressure changes under a variety of different field conditions.

2 Poroelastic theory and undrained pore pressure generation

The mechanical properties of rocks are affected by the presence of fluids that can move within their porous frames, and poroelastic theory can describe the constitutive behavior of fluid-saturated rocks. Whether or not fluids can leave or enter the pores of rocks subjected to stress changes determine if the material behaves drained or undrained, respectively. If drainage is permitted, the fluid will not take part in the load-bearing process, and any deformation occurring will be the result of deformation of the solid constituents and the porous structure. If drainage is prohibited, fluids occupying the pore space provide additional resistance to stress changes. In such cases, stress variations will be partly transferred to the fluid pressure which will change in response. Skempton (1954) introduced the pore pressure parameters A_s and B_s to quantify the undrained pore pressure change (Δu) of saturated soils during undrained loading. The formulation in Equation 1 was made for stress conditions known as compressional triaxial conditions in which $\Delta\sigma_1$ and $\Delta\sigma_3$ define changes in major and minor principal stresses, respectively (and $\Delta\sigma_2 = \Delta\sigma_3$):

$$\Delta u = B_s[\Delta\sigma_3 + A_s(\Delta\sigma_1 - \Delta\sigma_3)] \quad \text{Equation 1}$$

Skempton's B_s -parameter represents the ratio of undrained pore pressure to change in mean stress ($\left(\frac{2\Delta\sigma_3 + \Delta\sigma_1}{3}\right)$) and varies with the rock frame compressibility (C) relative to that of the pore fluid (C_f) and solid constituents (C_s). In Equation 2, Skempton's B_s -parameter is expressed as a function of these compressibilities and the porosity (n) (Kümpel, 1991):

$$B_s = \frac{1}{1 + n \left(\frac{C_f - C_s}{C - C_s} \right)} \quad \text{Equation 2}$$

Skempton experimentally showed that the parameter B_s is close to unity for saturated and unconsolidated sediments where the frame compressibility significantly exceeds that of the fluids. Materials containing more compressible pore fluids (e.g. gas) or a relatively increased frame stiffness compared to the solids (e.g. rocks) will have B_s -values less than 1.

Skempton's A_s describes the undrained pore pressure response to deviatoric stress changes (i.e. the difference between $\Delta\sigma_1$ and $\Delta\sigma_3$). If a rock is assumed to be isotropic and to follow linear poroelasticity, the pore pressure response is entirely controlled by mean stress ($\Delta u = 1/3(\Delta\sigma_1 + 2\Delta\sigma_3)$) and Skempton's A_s equal to 1/3. However, from Skempton's original experiments on various types of clays, a range of A_s -values from -0.5 to 1.5 was measured. The variation in A_s was attributed to the non-elastic nature of clays.

In the case of compressional triaxial stress conditions, it is convenient to replace the major and minor principal stresses with vertical and horizontal stresses, respectively. In the

current study, discussions are limited to cases where the minor and intermediate principal stresses (horizontal stresses) are equal and smaller than the vertical stress (i.e. $\Delta\sigma_v > \Delta\sigma_H = \Delta\sigma_h$). Furthermore, the ratio of changes in minor and major principal stresses is described by the stress path parameter κ :

$$\kappa = \frac{\Delta\sigma_h}{\Delta\sigma_v} \quad \text{Equation 3}$$

By rearranging Skempton's formulation given in Equation 1 and including the stress path parameter κ , it is shown that the undrained pore pressure normalized to the vertical stress increment is linearly connected to κ :

$$\frac{\Delta u}{\Delta\sigma_v} = (B_s(1 - A_s))\kappa + A_s B_s \quad \text{Equation 4}$$

Equation 4 signify that different undrained stress paths generate varying pore pressure. A consequence of Equation 4 is therefore that if the pore pressure parameters A_s and B_s are known, the undrained pore pressure arising from stress changes along any compressional stress path can be predicted.

So far, the discussion has been limited to isotropic rocks. However, most rocks have a directional dependency in their properties. For anisotropic materials, it is known that undrained

pore pressure generation varies with stress orientation relative to any symmetry direction that exists in the rock (Cheng, 2016). Horizontally layered rocks, such as shales (Piane et al., 2011), are often treated as vertical transverse isotropic (VTI) materials with equal properties in the horizontal plane and different properties in the vertical direction (Fjær et al., 2008). By utilizing anisotropic poroelastic stress-strain relations (and the inverse strain-stress relations) in a similar manner as for anisotropic elasticity, Biot's fluid strain parameter (ζ) can be expressed by the stress tensor (σ_{ij}), pore pressure (u) and Hooke's law constants (C and B_{ij}) (Cheng, 1997):

$$\zeta = C(u + \frac{1}{3}B_{ij}\sigma_{ij}) \quad \text{Equation 5}$$

The fluid strain parameter gives the fluid volume leaving or entering the solid frame per unit volume of solid frame. In the case of undrained stress change with no shear-induced volume change of the solid frame, ζ is zero and Equation 5 reduces to the first part of Equation 1 in which B_{ij} can be viewed as a generalization of Skempton's B_s into a second rank tensor (Cheng, 1997; Cheng, 2016; Holt, Bakk, Stenebråten, et al., 2018; Thompson & Willis, 1991). For vertical transverse materials, the tensorial B_{ij} consists of two independent components, B_v and B_h . Subscripts v and h refer to vertical and horizontal, or perpendicular and parallel to the symmetry plane in VTI materials, respectively (Cheng, 1997):

$$\Delta u = \frac{1}{3}B_{ij}\Delta\sigma_{ij} = \left(\frac{2B_h + B_v}{3}\right)\left(\frac{2\Delta\sigma_h + \Delta\sigma_v}{3}\right) \quad \text{Equation 6}$$

According to Cheng (1997), the significance of Equation 6 is that pore pressure can be generated by incremental normal as well as shear stress, the latter being an effect similar to the concept of Skempton's A_s parameter. The undrained pore pressure response to an isotropic stress change ($\Delta\sigma_{iso} = \Delta\sigma_h = \Delta\sigma_v$) is independent of material orientation relative to the symmetry axis, since $B_{ij} = B_s$ is expressed as a volume-weighted average of the two invariant components (Holt et al., 2017). However, the undrained pore pressure generated from an anisotropic stress change depends on stress orientation. If θ denotes the angle between symmetry axis and major principle stress direction, the undrained pore pressure response in a triaxial compression test can be predicted by Equation 7 (Holt, Bakk, Stenebråten, et al., 2018):

$$\Delta u = B_s \left[\Delta\sigma_3 + \frac{(B_h \sin^2 \theta + B_v \cos^2 \theta)}{2B_h + B_v} (\Delta\sigma_1 - \Delta\sigma_3) \right] \quad \text{Equation 7}$$

Equation 7 resembles the formulation by Skempton in Equation 1, with A_s displaying a directional dependence given by the B_s tensorial components:

$$A[\theta] = \frac{(B_h \sin^2 \theta + B_v \cos^2 \theta)}{2B_h + B_v} = \frac{(B_h \sin^2 \theta + B_v \cos^2 \theta)}{3B_s} \quad \text{Equation 8}$$

Worth noting is that the subscript s is herein reserved for the original Skempton's A_s parameter. Since the tensorial B_{ij} equals B_s for VTI materials under triaxial stress conditions, the two are used interchangeably. However, $A[\theta]$ is an elastic parameter, in contrast to Skempton's original A_s (Raaen et al., 2019). Combining Equation 4 and Equation 8 thus allows for theoretical

predictions of normalized pore pressure to be made from the tensorial components of Skempton's B_s on VTI materials oriented at all directions relative to rock layering and exposed to elastic loading along all different compressive stress paths:

$$\frac{\Delta u}{\Delta \sigma_v} = \left(\frac{2B_h + B_v}{3} \right) * (1 - A[\theta]) * \kappa + A[\theta] * \left(\frac{2B_h + B_v}{3} \right) \quad \text{Equation 9}$$

B_s -value measurements on saturated shales found in the literature typically vary between 0.5 and 0.9 (e.g. Belmokhtar et al., 2016; Mohajerani et al., 2011; Favero et al., 2018; Giger et al., 2018; Lozovyi & Bauer, 2019; Wild et al., 2017; Holt, Bauer, et al., 2018; Ma & Gutierrez, 2020). Soldal et al. (2021b) previously reported B_s -values between 0.52 and 0.71 for the Draupne shale. There are fewer reports of Skempton's A_s from laboratory testing on low-permeable rocks in the literature. One example is from Lozovyi and Bauer (2019) who measured A_s -values between 0.13 and 0.6 during triaxial testing on sandy and shaly facies of Opalinus Clay. Their testing on samples subcored with different angles between sample axis and rock layering also clearly showed that the undrained pore pressure during deviatoric loading varied with the sample orientation. Even less experimental data has been reported from studies seeking to demonstrate the directional variations in $A[\theta]$ predicted by anisotropic poroelastic theory. Cheng (1997) used the laboratory data collected by Aoki et al. (1993) to compute tensorial B_s -value components of the Trafalgar shale from triaxial testing ($B_h = 0.51$ and $B_v = 0.63$). The components were not, however, used to predict undrained pore pressure variation with orientation. Holt, Bakk, Stenebråten, et al. (2018) reported anisotropic poroelastic coefficients from several different, unspecified shales. For a field core of 'soft shale', the horizontal and vertical B_s -value

components were experimentally determined to 0.57 and 1.33, respectively. $A[\theta]$ -values measured in undrained triaxial experiments on samples with different orientations were in line with those predicted from the B_s -value components. A similar experimental campaign on a more porous overburden field shale showed that Skempton's $A[\theta]$ decreased from 0.6 to 0.2 between samples oriented parallel and perpendicular to the symmetry axis. The novelty of the present study is that the directional variation in $A[\theta]$ is used to predict the pore pressure variation in stress path dependence as a function of orientation.

3 Material

The shale material tested in the current study is from the Draupne Formation, which is considered both one of the main petroleum source rocks and caprocks in the North Sea (Faleide et al., 2010). It belongs to the Viking group and was deposited under anoxic conditions in several over-deepened basins during the Upper Jurassic (Faleide et al., 2010; Færseth et al., 1995; Underhill, 1998; Whipp et al., 2014). Samples tested were extracted from core material collected from well 16/8-3S in the Ling depression. The Ling depression is located south of the Horda platform and separates the basement highs of Utsira and Sele (Fossen & Hurich, 2005; Færseth et al., 1995). From the well, 9 meters of Draupne core material was retrieved from a depth of 2574.5 – 2583.5 m MD. The mineralogy and mechanical properties of the Draupne core material from this well have been characterized by several authors in recent studies (e.g. Bohloli et al., 2020; Koochak Zadeh et al., 2017; Skurtveit et al., 2015; Smith, 2019; Soldal et al., 2021a). The clay content of the Draupne shale is approximately 50 % and the total organic content is between 6 and 8 wt.%. Due to the low hydraulic permeability (10^{-15} m/s) and high CO_2 capillary breakthrough pressure (≈ 4 MPa) (Skurtveit et al., 2012), intact Draupne shale is expected to make an excellent caprock above potential CO_2 storage formations in the North Sea. The

porosity ranges between 13 – 17 % and the average bulk density is 2.25 g/cm³. Assumed vertical and horizontal effective in-situ stresses for the core material used in the current study is 26.1 and 17.2 MPa, respectively (Koochak Zadeh et al., 2017), and the material is considered normally to slightly overconsolidated (Soldal et al., 2021a).

4 Method

4.1. Sample preparation

Since shales are highly sensitive to changes in saturation (e.g. Ewy, 2015, 2018; Valès et al., 2004), the Draupne core material has been kept submerged in mineral oil since retrieval to prevent drying. Recent fluid content measurements after several years of storage showed no change in saturation (Soldal et al., 2021a). Core sections from which triaxial rock samples were prepared were initially separated from the remaining core using a circular saw. The end surfaces of the core sections were then made parallel and planar using a grinding machine in a controlled humidity environment. Next, cylindrical samples were sub-cored using an oil-cooled, custom-made drill bit with an internal, air-pressure supported piston maintaining a small, constant axial load on the sample during drilling. Immediately after completion of the coring procedure, the samples were inspected for visible fractures, before they were placed inside a small aluminum rack providing some vertical support and submerged in oil until testing. The tested samples had diameters of 25 mm and height to diameter ratio from 2 - 2.5:1. Prior to testing, the samples' surfaces were wiped free of mineral oil using oil-only absorbent pads.

4.2. Experimental device

Testing was done inside a traditional type of triaxial pressure cell where changes in confining pressure normally cause equal changes in vertical and horizontal stresses. Deviatoric stresses is supplied by a stepping motor located beneath the cell base, and confining pressure and top and bottom pore pressures are independently controlled by hydraulic pressure controllers. Vertical and horizontal sample deformations are measured internally inside the cell over the middle third of the sample height using two vertical and two horizontal Linear Variable Differential Transducers (LVDTs). Vertical load is measured internally by a vented load sensor located just beneath the bottom end piece. The membrane surrounding the sample is made of a material that prevents water diffusion from the rock sample without being too stiff. Four vertical side drains connecting the porous filters at the top and bottom were used for radial drainage to speed up pore pressure equilibration. A more detailed description of the equipment used can be found in Berre (2011) and Soldal et al. (2021a). During undrained testing, the measured pore pressure will be affected by the presence of a non-zero dead-volume with a certain compressibility between the sample and the closed valve(s) (e.g. Bishop, 1976; Ghabezloo & Sulem, 2008; Ghabezloo & Sulem, 2010; Wissa, 1969). Efforts have been made to reduce the dead-volume by using only 1/16" steel tubing and by keeping the length of the tubing at a minimum. The 70 MPa pore pressure sensor, having an accuracy of 0.05 % of full scale, between the sample and the drainage valve has also been modified to contain a minimal internal fluid volume. The compressibility of the drainage system was evaluated by measuring the volume needed to pressurize the system with only a steel dummy inserted into the triaxial cell, both with open and closed drainage valves. The difference between the two can be used together with the absolute volume of the drainage system to calculate compressibility. The procedure described by

Ghabezloo and Sulem (2008) for system compliance evaluation indicate that compliance of the current testing system would result in a corrected Skempton's B_s -value less than 10 % higher than the measured. Due to the relatively small error introduced by the none-zero dead volume and the differences in testing procedures followed in the current study, however, the corrections are not applied to the results presented herein.

4.3. Test procedures

Results from a total of nine triaxial tests are presented in the current study (see Table 1). The purpose of Test 1 was to derive the parameters needed to predict pore pressure variation as a function of stress path and orientation, whereas the purpose of all the other tests was generate experimental data to compare to the predictions. Test 1 was done on a sample with its axis parallel with the symmetry axis, and the experimental procedure followed will be described in detail later. To investigate potential effects of consolidation conditions on the generated pore pressure, the anisotropic consolidation in Test 1 was replaced by isotropic consolidation in Test 2. In Tests 3 and 4, the same procedure as in Test 1 was followed for samples with orientation $\theta = 90^\circ$. The reason for doing two identical tests here was to evaluate potential influence of heterogeneity between samples. Results from Tests 5 – 9 have previously been reported by Skurtveit et al. (2015) and Soldal et al. (2021b) and will only be briefly described here. It is emphasized that only Test 1 was needed to formulate the pore pressure predictions; the purpose of the remaining tests was to evaluate experimentally the applicability of those predictions.

310

			Consolidation		Elastic stress cycles			
Test #	θ (°)*	ϕ (%)**	Iso.	Aniso.	1 st cycle κ	2 nd cycle κ	3 rd cycle κ	4 th cycle κ
Test 1	0	14.2		✓	1	0.7	0	-0.5
Test 2	0	13.4	✓		1	0.5	0	-0.5
Test 3	90	15.9		✓	1	0.7	0	-0.5
Test 4	90	16.1		✓	1	0.7	0	-0.5
Test 5	0	13.9	✓		✕			
Test 6	30	16.4	✓		✕			
Test 7	45	15.8	✓		✕			
Test 8	60	16.2	✓		✕			
Test 9	90	16.3	✓		✕			

311 Table 1: Overview of the 9 triaxial tests included in the current study. * Orientation given as the
312 angle θ between the major principal stress direction and the symmetry axis. ** Initial porosity
313 calculated from the initial and final fluid contents and a grain density of 2.55 g/cm³.
314

315 The experimental protocol followed in Test 1 is schematically illustrated in Figure 1. The
316 confining pressure was immediately increased to 1 MPa after inserting the sample surrounded by
317 four side drains and the sleeve into the triaxial cell. The vertical stress was then increased to give
318 the correct in-situ ratio between principal stresses. Next, the stresses were simultaneously
319 increased to the absolute values of the in-situ effective stresses ($\sigma_v' = 26.1$ MPa and $\sigma_h' = 17.2$
320 MPa). Degassed analog pore fluid (NaCl = 37 g/l) could now enter the porous end filters and
321 flushed through the side drains. Then the backpressure was increased to the in-situ pore pressure
322 of 27.9 MPa (rate 0.6 MPa/hr), whilst maintaining constant horizontal and vertical effective
323 stresses. After this, a minimum of 40 hours was given for the sample pore pressure to equilibrate
324 before the tests proceeded.

325

326 The valve between the backpressure system and the sample was then closed in Test 1,
327 leaving the samples under undrained conditions. The sample was subsequently subjected to four
328 stress cycles, each following a different stress path; isotropic, uniaxial strain, uniaxial stress and
329 constant mean stress path. In all stress cycles, the total vertical stress increment was 4 MPa and
330 was applied over a period of 8 hours. After completion of the total vertical stress increase, a
331 minimum of 10 hours was granted for equilibration of excess pore pressure before the stress was
332 decreased again at the same rate ($\Delta\sigma_v = 0.5$ MPa/hour). In the isotropic stress cycle, the total
333 horizontal stress increment was equal to the total vertical stress increment, in the uniaxial strain
334 cycle the total horizontal stress was adjusted to prevent horizontal sample deformation, in the
335 uniaxial stress cycle there was no change in total horizontal stress, whereas in the constant mean
336 stress cycle the total horizontal stress increment was -0.5 times the total vertical stress
337 increment (maintaining $\frac{2\Delta\sigma_h + \Delta\sigma_v}{3} = 0$). Between all stress cycles, drainage was re-opened, and
338 the pore pressure controlled at the initial value of 27.9 MPa. The pore pressure was controlled for
339 a minimum of 8 hours before the drainage valve was again closed and the next stress cycle
340 initiated.

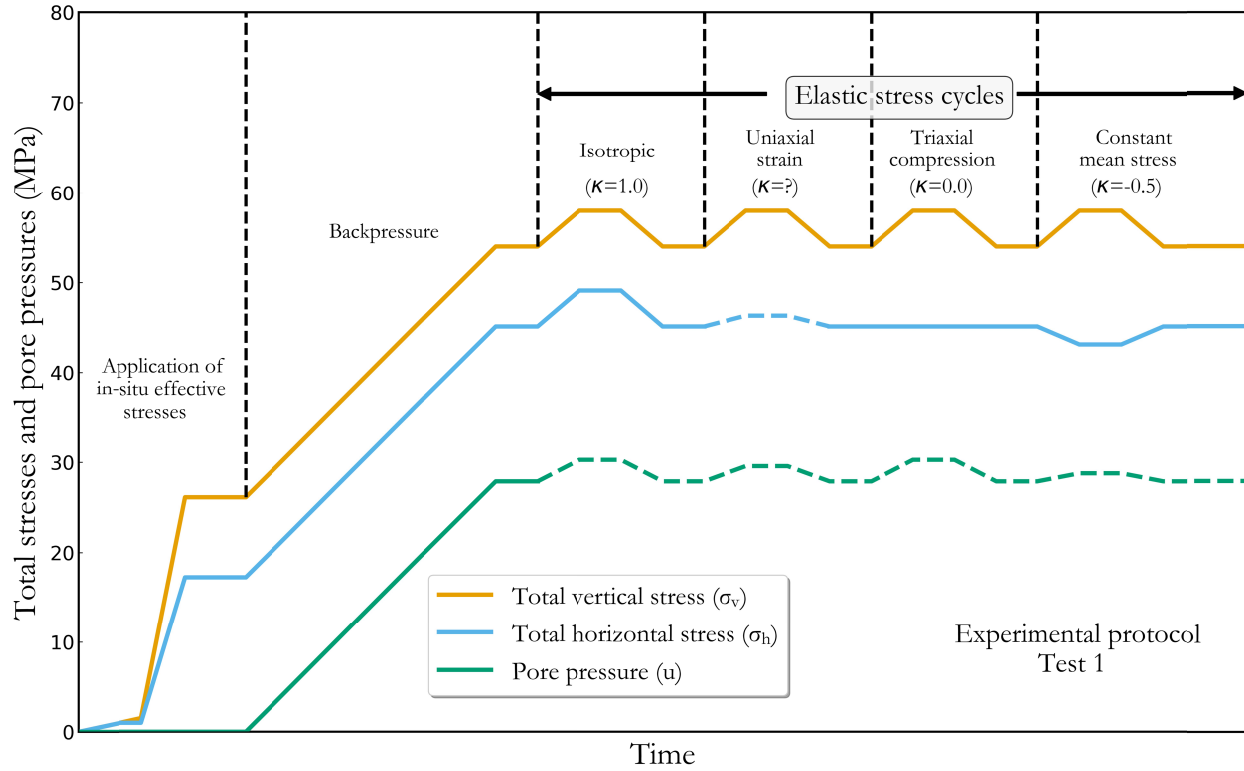


Figure 1: Schematic illustration of the triaxial testing procedure followed in Test 1 ($\theta=0^\circ$). The effective in-situ stresses were $\sigma_v' = 26.1$ MPa and $\sigma_h' = 17.2$ MPa and the backpressure was 27.9 MPa. The total vertical stress increments in all four elastic load cycles were 4 MPa. The same procedure was also followed in Test 2, with the exception that consolidation was isotropic ($\sigma' = 23.5$ MPa) and the backpressure was 20 MPa. In Tests 3 and 4, the procedure in Figure 1 was reproduced on samples with ($\theta = 90^\circ$).

In Test 2, the effective isotropic consolidation stress and backpressure was 23.5 and 20 MPa, respectively. Instead of a uniaxial strain load cycle, the second load cycle in Tests 2 – 4 was replaced with a constant stress path parameter cycle ($\kappa = 0.5$ and 0.7 for Tests 2 and 3 & 4, respectively). In Tests 5 – 9 samples of different orientations were isotropically consolidated and subjected to undrained shearing. The effective consolidation stresses and backpressures in Tests 5 – 9 were 20 and 30 MPa, respectively. Undrained shearing was done by increasing the vertical stress to give a specified axial strain rate. A very low axial strain rate (10^{-9} s^{-1}) was used to ensure that the generated undrained pore pressure had ample time to equilibrate within the

sample pore volume (Soldal et al., 2021b). The only measurements from Tests 5 – 9 used in the current study, are the pore pressure changes relative to the increase in total vertical stresses between the start of shearing and sample failure.

5 Results

The undrained pore pressures (Δu) generated in each of the four stress cycles in Tests 1 – 4 are plotted against the change in total vertical stress ($\Delta \sigma_v$) in Figure 2. Figure 2a and b show the results from Tests 1 and 2 with sample axis perpendicular to layering, whereas Figure 2c and d are from tests 3 and 4 with samples oriented parallel with layering. In the same plots, the ratios of maximum pore pressure change to total vertical stress change are given as single values (i.e. 'normalized pore pressure' = $\Delta u / \Delta \sigma_v$). It is observed that the changes in undrained pore pressure during the isotropic stress cycles in all tests have similar magnitude, demonstrating that Skempton's B_s is independent of material orientation. Furthermore, results show that gradually less pore pressure is generated going from the isotropic to the constant mean stress cycle (i.e. reducing κ). In Tests 1 and 2, the pore pressure generation relative to the total vertical stress increment when the mean stress is kept constant is less than half of that during the isotropic stress cycle. Results show very little difference in terms of pore pressure generation between the anisotropically (Test 1) and isotropically (Test 2) consolidated tests on samples with identical orientation. During the uniaxial strain cycle in Test 1, the change in total horizontal stress needed to prevent changes in horizontal deformation resulted in a secant stress path parameter κ of 0.7. This stress path was used when controlling the second stress cycles in Tests 3 and 4. The reduction in generated pore pressure from isotropic to constant mean stress cycle is larger in Tests 3 and 4 compared to Tests 1 and 2. During loading (i.e. increasing total vertical stress)

under constant mean stress conditions, the pore pressure in fact decreased. Also, worth noting is that pore pressure after unloading generally returned back to values close to the pore pressure before loading was initiated.

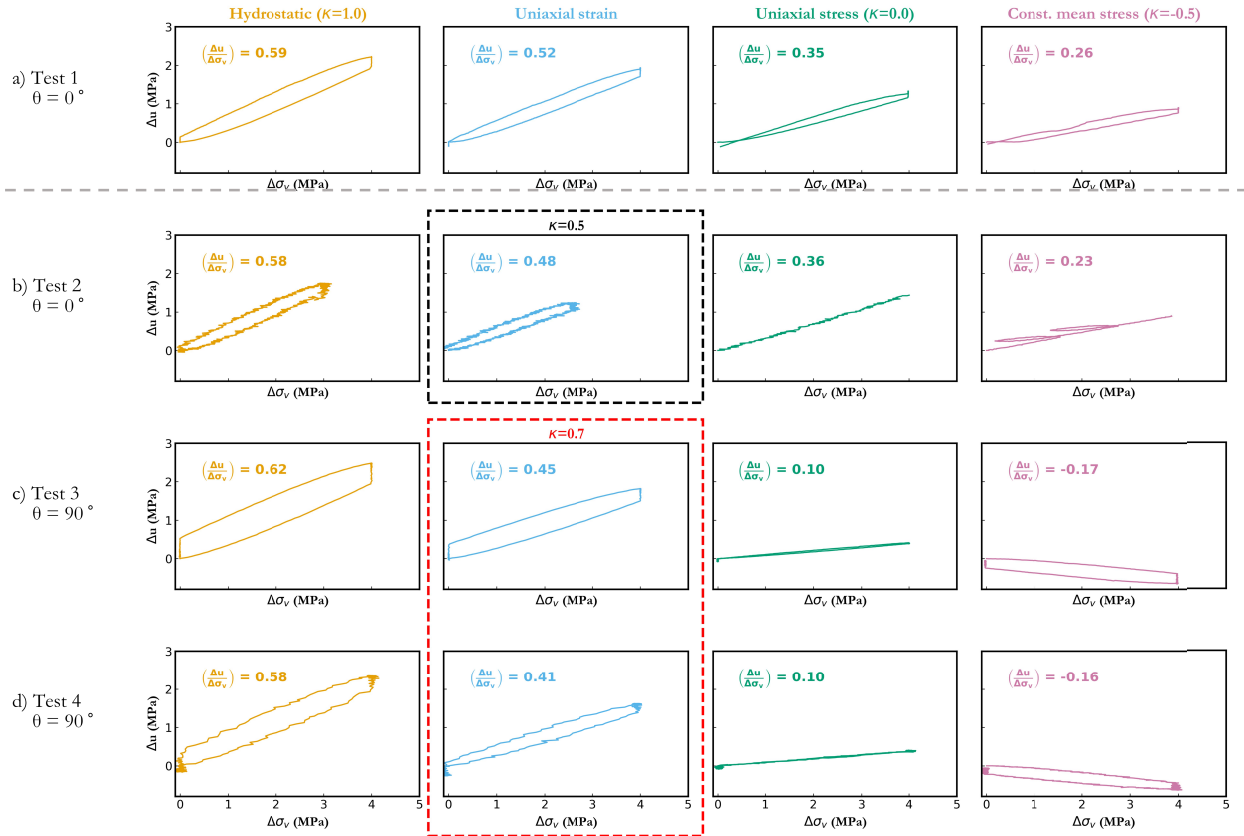


Figure 2: a) Pore pressure generation versus change in total vertical stress measured in Test 1 ($\theta = 0^\circ$) during undrained hydrostatic, uniaxial strain, uniaxial stress and constant mean stress cycles. b) Pore pressure generation versus change in total vertical stress measured in Test 2 ($\theta = 0^\circ$) during undrained hydrostatic, $\kappa = 0.5$, uniaxial stress and constant mean stress cycles. c) Pore pressure generation versus change in total vertical stress measured in Test 3 ($\theta = 90^\circ$) during undrained hydrostatic, $\kappa = 0.7$, uniaxial stress and constant mean stress cycles. d) Pore pressure generation versus change in total vertical stress measured in Test 4 ($\theta = 90^\circ$) during undrained hydrostatic, $\kappa = 0.7$, uniaxial stress and constant mean stress cycles.

One of the aims of the current study is to examine whether reliable predictions of undrained pore pressure variation with orientation can be made using components of the tensorial B_s parameter. To this end, the horizontal and vertical components need first to be

quantified. Figure 3 plots the undrained pore pressure normalized to the change in total vertical stress against stress path parameter κ for Tests 1 and 2. Results from both tests display the same linearity predicted by Skempton's formulation, and any possible influence of isotropic as opposed to anisotropic consolidation on pore pressure generation is therefore considered negligible. The resulting slope and intercept from linear regression can be used together with Equation 4 to calculate Skempton's A_s and B_s values of 0.61 and 0.59, respectively. Since the sample orientation is known ($\theta = 0^\circ$), Skempton's B_s can then be divided into a horizontal component of 0.34 and a vertical component of 1.09 using Equation 6 and Equation 8.

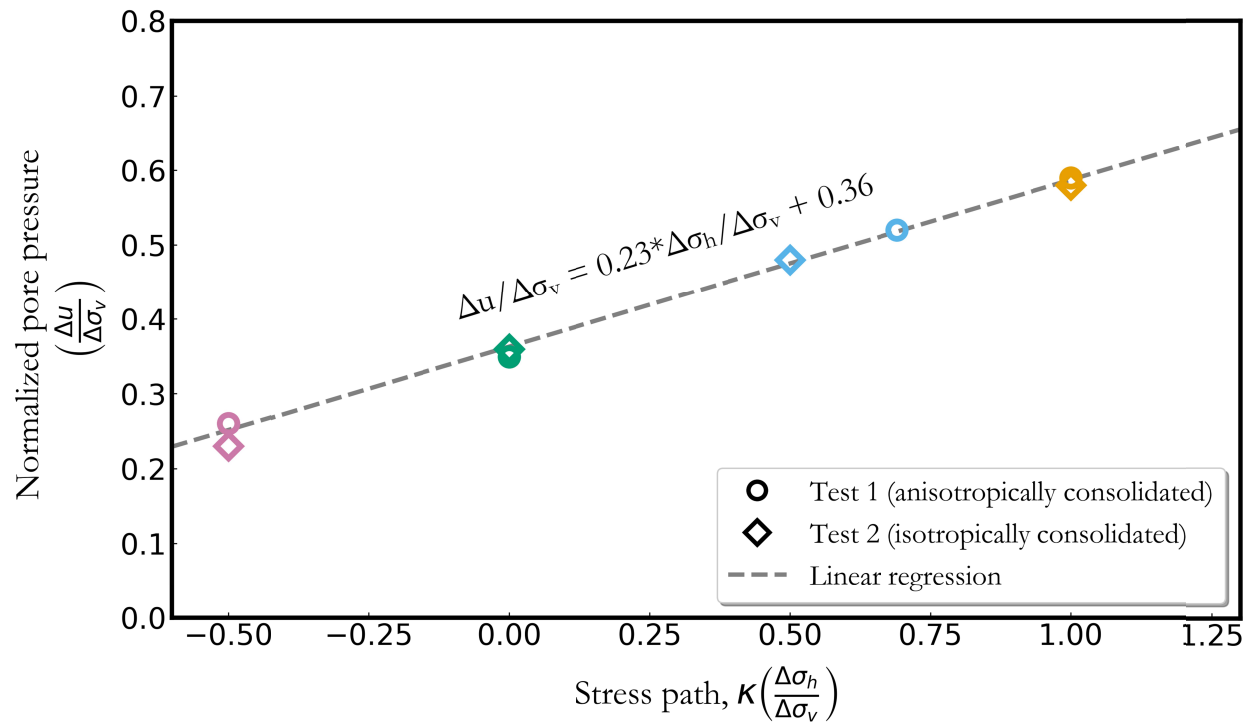


Figure 3: Normalized pore pressure plotted against the stress path parameter κ for Tests 1 and 2 ($\theta = 0^\circ$). Open circles are drawn from the anisotropically consolidated Test 1 and open diamonds are drawn from the isotropically consolidated Test 2. The result of linear regression given above the dashed line.

The generated pore pressures during undrained shearing of samples with different orientation in Tests 5 – 9 are plotted against changes in total vertical stress in Figure 4a. As the angle between the sample axis and the symmetry axis increases, the generated pore pressure decreases. The total undrained pore pressure in Test 5 ($\theta = 0^\circ$) is almost 2.5 times that in Test 9 ($\theta = 90^\circ$). To calculate A_s from Tests 5 – 9, the secant total pore pressure change recorded between the initiation of shearing and sample failure (defined as the highest measured shear stress) was first divided by the corresponding change in total vertical stress. The result is equal to the product of Skempton's two pore pressure parameters, and A_s was then found by dividing this by $B_s = 0.59$. The calculated A_s values are plotted as open circles in Figure 4b. The predicted variation in $A[\theta]$ based on B_s -value components and Equation 8 is represented by the dashed line in the same figure. Figure 4b shows that the poroelastic expression of $A[\theta]$ made up of the B_s -value components can be used to accurately predict undrained pore pressure generation as a function of material orientation, even in cases where significant plastic deformation most probably has occurred (i.e. $A_s \approx A[\theta]$).

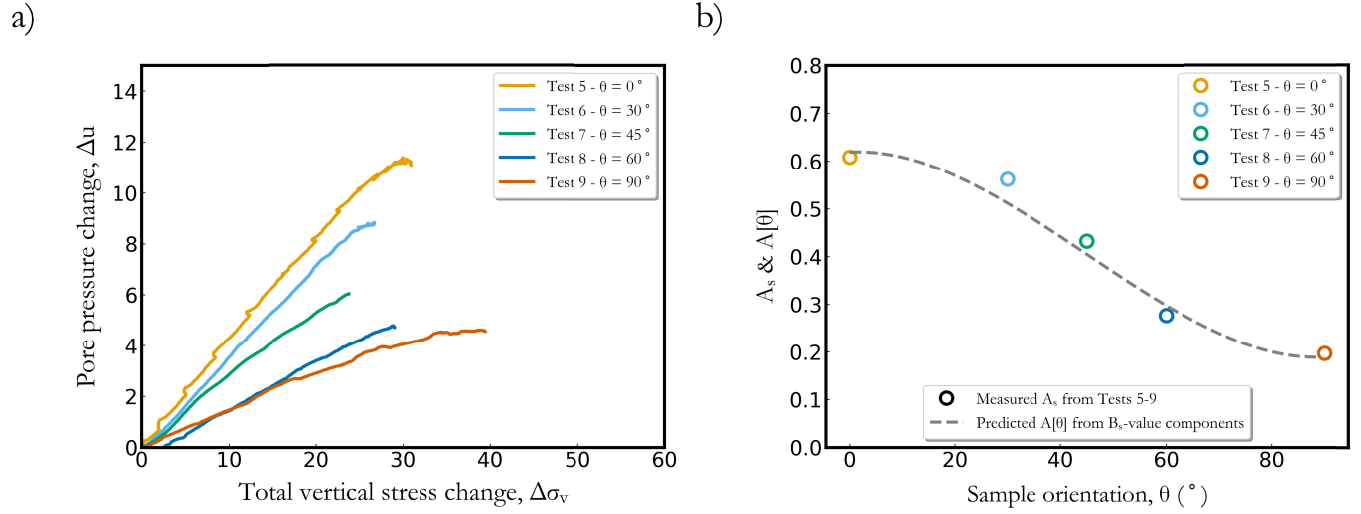


Figure 4: a) Measured pore pressure change plotted against change in total vertical stress during undrained shearing of the isotropically consolidated samples in Tests 5 – 9. The orientation of sample axis relative to symmetry axis for each test is given in the figure legend. b) Values of A_s from Tests 5 – 9 plotted as open circles together with the predicted variation in $A[\theta]$ based on B_s -value components.

Once it is demonstrated that the variation in Skempton's A_s with material orientation can be predicted from the tensorial B_s components, the next step is to examine how the relationship between normalized pore pressure and stress path will vary with orientation. The dashed lines in Figure 5 are predictions of how the normalized pore pressures will vary as functions of stress path based on a constant B_s -value and A_s -values changing with orientation. The orange line is drawn for samples oriented with $\theta = 0^\circ$ and the blue line for samples oriented with $\theta = 90^\circ$. The diamond markers representing measured values in Tests 1 – 4 follow the predicted trends extremely well. Furthermore, the consistency between Tests 1 & 2 and 3 & 4 indicates both the applicability of the current method and that there is no significant heterogeneity between the tested samples.

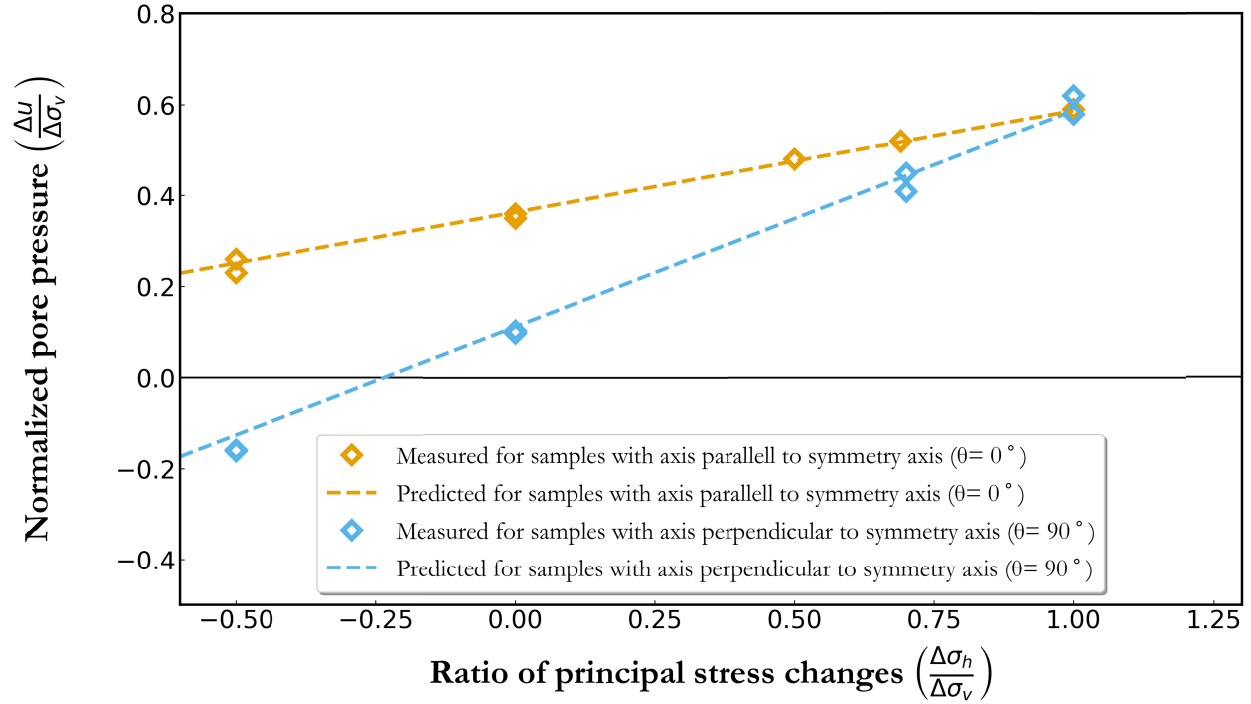


Figure 5: Predicted normalized pore pressure as a function of stress path in dashed lines. Orange line predicts the linear relationship for samples with sample axis parallel to the symmetry axis, and blue line for samples with axis perpendicular to symmetry axis. Orange and blue diamonds show the measured normalized undrained pore pressures in Tests 1 – 4.

6 Discussion

The objective of the current study was to examine experimentally predictions of undrained pore pressure in a typical North Sea shale exposed to varying stress paths and stress orientations. Using components of the tensorial B_s parameter, the effect of stress orientation on pore pressure generation from deviatoric stress changes were predicted. Subsequent measurements of pore pressure in undrained triaxial tests on samples subcored at different angles relative to the layering in the rock were in accordance with the predictions. Then a linear relationship between undrained normalized pore pressure and stress path was demonstrated on a sample with its axis parallel to the material symmetry axis. Knowing how Skempton's A_s varied with orientation, the effect of stress direction on the relation between normalized undrained pore

pressure and stress path was predicted. Again, the predicted pore pressure generation was reproduced in undrained tests on samples with their axis oriented perpendicular to the symmetry axis. The importance of what is presented in Figure 5 is that from relatively simple undrained stress cycling of one sample with a known orientation, the pore pressure generation for all orientations and compressional stress paths of that same material can be accurately predicted using Equation 9.

The results shown herein demonstrate that significant variation in induced pore pressure generation as a function of stress orientation and stress path can be expected and should be accounted for. The importance of including anisotropy in poroelastic parameters when predicting undrained pore pressure generation has been examined in recent studies by Raaen et al. (2019). They investigated numerically differences in predicted undrained pore pressure around a horizontal borehole in an anisotropic material using isotropic versus anisotropic Skempton's B_s . In the isotropic case, the components of B_s are equal, whereas for the anisotropic case the B_s -value components were estimated from a given set of elastic material properties. Simulations showed that whether choosing isotropic scalar B_s or anisotropic tensorial B_s would significantly affect pore pressure predictions. Since the formation considered was assigned anisotropic elastic properties, it must be assumed that the anisotropic solution was closer to reality than the isotropic simplification.

Asaka and Holt (2021) compared an anisotropic approach with a conventional approach in terms of predicting unwanted borehole instability during drilling operations. The conventional method assumes isotropic rock properties and ignores pore pressure changes resulting from total

stress changes. Both anisotropy in elastic properties and pore pressure parameters were respected in the anisotropic approach. Considerable differences in predicted failure regions and modes resulted from the comparison of the two approaches. Field observations of wellbore failure in shale section at the sides of highly inclined boreholes were only forecasted if anisotropic poroelasticity was included. Furthermore, sensitivity analysis showed that the risk of failure was significantly influenced by the amount of undrained pore pressure, and, accordingly, the anisotropic pore pressure parameters. Skempton parameters used by Asaka and Holt (2021) were estimated from the inverse anisotropic Gassmann's equation. Consequently, there is an uncertainty related to the pore pressure parameters which can only be reduced by more experimental data addressing anisotropic poroelasticity of shales.

Finally, it is mentioned again that since the influence of plastic deformation on pore pressure parameters is unknown, the predictions herein are strictly speaking limited to loading within the elastic domain. Duda et al. (2021) recently performed experiments to examine how Skempton's pore pressure parameters are affected by plastic deformation. Cyclic triaxial testing of the relatively porous Pierre II shale ($\phi = 40\%$) indicated that although B_s -values remained unaffected throughout shearing, Skempton's A_s reduced quite significantly as the degree of plastic deformation increased. Future research should also consider the potentially variable effects of plastic deformation on pore pressure parameters following different stress paths since this may aid the description of stress evolution in faulted or fractured rock formations.

7 Conclusion

This study has shown experimentally that the variation in undrained pore pressure as a function of stress path and stress orientation can be predicted using Skempton's B_s -value components and the stress path parameter κ . A series of undrained triaxial tests on the Draupne Formation shale was designed and executed to enable predictions of pore pressure generation to be made and to later examine experimentally the applicability of those predictions. The tensorial components of B_s were first derived from the measured linear relationship between stress path and normalized pore pressure in one triaxial test on a sample with its axis parallel with the material symmetry axis. Subsequent measurements in five undrained triaxial tests on samples with various relative orientations reproduced the predicted decrease in Skempton's A_s with increasing θ . The variation in A_s with orientation was then incorporated into the relation between normalized undrained pore pressure and stress path. Results from another two tests on samples with their axis perpendicular to the symmetry axis exposed to various stress paths demonstrated that also the variation in stress path dependence as a function of orientation could be forecasted.

The significant variation in pore pressure measured as a function of orientation emphasizes that anisotropic poroelasticity need to be considered to accurately predict undrained pore pressure generation in materials with different properties in different directions. If not, risks related to e.g. borehole stability or caprock fracturing cannot be properly evaluated prior to operations involving fluid injection or production. The experiments herein have shown that tensorial B_s -value components can be very useful in predicting the undrained pore pressure response over a wide range of stress orientation- and stress path scenarios. Even though the predictions do not incorporate any effect of plastic deformation on the pore pressure parameters,

the undrained pore pressure in the Draupne shale could be satisfactorily forecasted even in cases involving loading with associated plastic deformations. Characterizing the influence of non-elastic deformation on pore pressure generation is most likely relevant for already fractured or faulted sections of the subsurface and will be subject for future research.

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724 **Open Research**

725 All experimental data used from triaxial testing herein is published at DataverseNO (Magnus
726 Soldal, 2022, "Replication Data for: Experimental evaluation of predicted undrained pore
727 pressure generation as function of stress path and orientation in the Draupne shale").

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