

Unsupervised Deep Clustering of Seismic Data: Monitoring the Ross Ice Shelf, Antarctica

William F. Jenkins II¹, Peter Gerstoft¹, Michael J. Bianco¹, Peter D.
Bromirski¹

¹Scripps Institution of Oceanography, University of California San Diego, La Jolla, CA, USA

Key Points:

- Deep embedded clustering identified classes of seismic signals with similar spectral and temporal features.
- Deep embedded clustering can be adapted to various kinds of data sets, enabling rapid exploration of “big data” in seismology.
- Paired with environmental data, deep embedded clustering could provide insights into the causes of seismicity.

Abstract

Advances in machine learning (ML) techniques and computational capacity have yielded state-of-the-art methodologies for processing, sorting, and analyzing large seismic data sets. In this work, we consider an application of ML for automatically identifying dominant types of impulsive seismicity contained in observations from a 34-station broadband seismic array deployed on the Ross Ice Shelf (RIS), Antarctica from 2014 to 2017. The RIS seismic data contain signals and noise generated by many glaciological processes that are useful for monitoring the integrity and dynamics of ice shelves. Deep embedded clustering (DEC) was employed to efficiently investigate these signals. DEC automatically groups these signals into hypothetical classes without the need for manual labeling, allowing for comparison of their signal characteristics and spatial and temporal distribution with potential source mechanisms. The DEC algorithm uses spectrograms as input and encodes their salient features into a 9-feature representation. Encoding is performed with an autoencoder, a type of deep neural network that is trained iteratively and seeks to reconstruct the input spectrograms from the encoded representation. Eight classes of dominant seismic signals were identified and compared with environmental data such as temperature, wind speed, tides, and sea ice concentration. The greatest seismicity levels occurred at the RIS front during the 2016 El Niño summer, and near grounding zones near the front throughout the deployment. We demonstrate the spatial and temporal association of certain classes of seismicity with seasonal changes at the RIS front, and with tidally driven seismicity at Roosevelt Island.

Plain Language Summary

We demonstrate the ability of a machine learning technique called deep embedded clustering (DEC) to automatically identify different types of impulsive seismic signals. The DEC algorithm encodes spectrograms into simplified representations and separates the representations into distinct clusters of signal types. The DEC technique was applied to seismic data recorded on the Ross Ice Shelf, Antarctica from 2014 to 2017. In addition to knowing when and where signals are detected, DEC enables users to determine the signal characteristics. Paired with environmental data, DEC can be used to identify whether certain environmental factors are associated with particular classes of seismicity.

1 Introduction

Ice sheets and ice shelves in West Antarctica are experiencing rapid change. Between 2003 and 2019, the West Antarctic Ice Sheet (WAIS) experienced a net ice loss of 169 billion tons per year, contributing 7.5 mm to sea level rise (Smith et al., 2020). Warming oceans are enhancing basal melting of ice shelves that reduces the buttressing of grounded ice sheets (De Angelis & Skvarca, 2003; Thoma et al., 2008; Pritchard et al., 2012; Paolo et al., 2015), leading to increased discharge of ice into the ocean and raising sea level (Scambos et al., 2004; Dupont & Alley, 2005; Rignot et al., 2014; Fürst et al., 2016). With West Antarctica alone containing a sea level rise potential of 5.6 m (Smith et al., 2020), monitoring the loss of ice shelves plays a critical role in anticipating future sea level rise and associated societal impacts on coastlines and the environment. Increased seismic activity, such as icequakes resulting from fracturing, can give indications of changes in iceberg calving rates and the integrity of ice shelves and are observable using glacial seismology methods (Aster & Winberry, 2017). However, the prevalence of extensive, continuously recording seismic observing systems has led to an abundance of data which is becoming increasingly difficult to analyze using conventional signal processing. At the same time, advances in computing capabilities and machine learning algorithms have enabled more efficient, data-driven approaches to study natural processes and phenomena. To analyze large seismic data sets more efficiently, we adapt contemporary machine learning techniques to augment existing signal processing and data analysis techniques.

Seismology is a data-intensive field with well-developed signal processing and analytical methods. The recent introduction of machine learning techniques has led to the development of complementary tools that give seismologists novel approaches to traditional analyses, such as earthquake detection and early warning, phase picking, ground-motion prediction, tomography, and geodesy (Kong et al., 2019; Bianco & Gerstoft, 2018; Bianco et al., 2019; Johnson et al., 2019). In this study we present an extension of *clustering* (Mousavi et al., 2016; Snover et al., 2020), a form of unsupervised machine learning used to discover classes of similar signals within a data set (Bishop, 2006; Holtzman et al., 2018; Johnson et al., 2020), and which is commonly used as an exploratory tool for large, unlabeled data sets. A related approach based on sparse modeling, called dictionary learning, has been applied to regularizing seismic inverse problems (Bianco & Gerstoft, 2018; Bianco et al., 2019).

To test the applicability of clustering groups of similar signals for monitoring ice shelves, we focus specifically on the Ross Ice Shelf (RIS), Antarctica, where a 34-station passive seismic array was deployed from November 2014 to January 2017 to observe the response of the RIS to ocean gravity wave impacts and investigate the structural dynamics of the ice shelf (Bromirski et al., 2015). The array, shown in Figure 1, continuously recorded long- and short-period seismic signals that exhibited seasonal and spatial variations related to the shelf’s coupling to the ocean, atmosphere, and crust (Baker et al., 2019). Signals and ambient noise of interest on the RIS include tidally-driven stick-slip seismicity at Whillans Ice Stream (Bindenschadler, King, et al., 2003; Bindenschadler, Vornberger, et al., 2003; D. A. Wiens et al., 2008); basal micro-earthquakes and tremor (Barcheck et al., 2018); tidally and thermally driven rift fractures (Olinger et al., 2019); diurnal seismicity associated with subsurface melting (MacAyeal et al., 2019); wind-generated resonance in the ice (Chaput et al., 2018); flexural and plate waves generated by ocean swell, infragravity waves, and tsunamis (Bromirski & Stephen, 2012; Bromirski et al., 2017; Chen et al., 2018); regional and teleseismic earthquakes (Baker et al., 2020); and icequakes generated by ocean gravity waves (Chen et al., 2019). Ambient seismic noise, which can be used to estimate the RIS structure (Diez et al., 2016), also contains spectra from ocean gravity waves, whose dispersion can be used to identify their source distance and origin (Bromirski et al., 2015; Hell et al., 2019).

The seismic data recorded on the RIS are diverse and encompass numerous source mechanisms with a wide range of spatiotemporal variability. In this study, we apply an unsupervised clustering methodology to the RIS array seismic data to identify classes of seismic events with similar temporal and spectral characteristics. The occurrences and distributions of these signal classes provide information on glaciological processes affecting ice shelf evolution.

2 Background

Grouping seismic signals with similar characteristics (clustering) allows investigation of spatiotemporal variability associated with glaciological processes that result from environmental forcing.

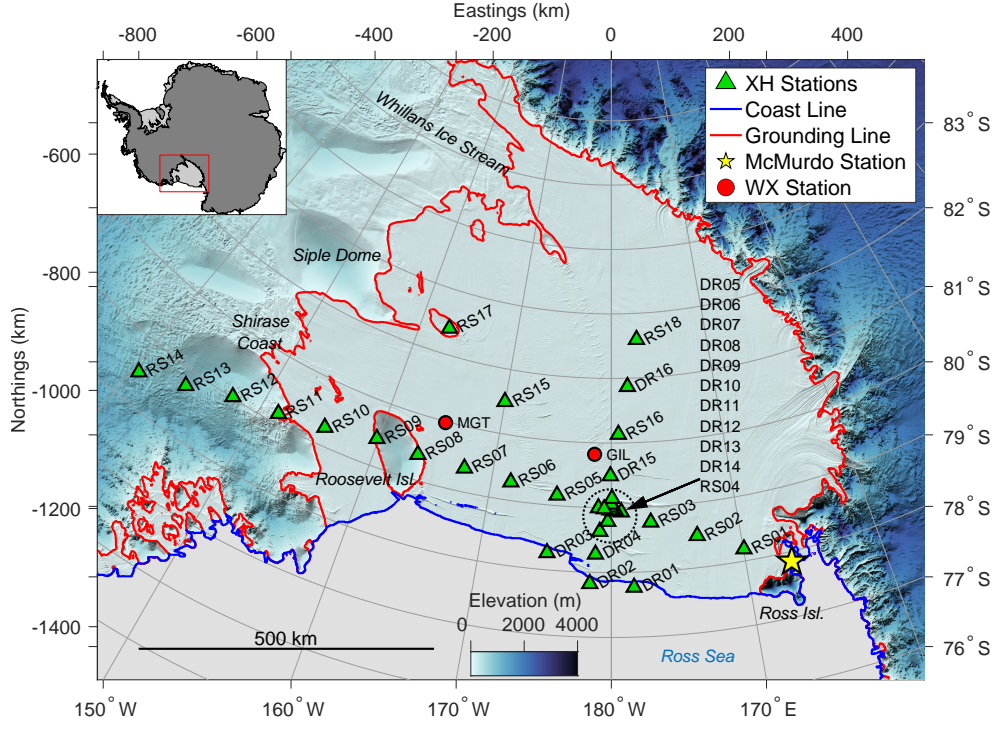


Figure 1. The passive broadband seismic array deployed from November 2014 to January 2017 consisted of 34 seismic stations and was deployed as part of the Ross Ice Shelf Dynamic Response to Wave-Induced Vibrations Project (Bromirski et al., 2015). RIS surface elevation, ice and water layer thicknesses, and grounding and coast lines were obtained from Bedmachine (Morlighem et al., 2017; Greene et al., 2017).

2.1 Clustering

There are numerous methods to cluster data, (Aggarwal & Reddy, 2014), many of which have been adapted for use in seismology and geophysics (Kong et al., 2019). Hierarchical clustering has been used by Mousavi et al. (2016) to automatically discriminate between shallow and deep earthquakes, and by Trugman and Shearer (2017) to more precisely localize earthquakes. Graphical clustering has been used to localize sources in a dense seismic array by Riahi and Gerstoft (2017), and by Telesca and Chelidze (2018) to cluster seismic events in time. Distance-based clustering, like the popular k -means algorithm, (MacQueen, 1967; Hartigan & Wong, 1979) has been used by Chamarczuk et al. (2020) to cluster seismicity based on features extracted from seismic data. Perol et al. (2018) used k -means to define probabilistic earthquake locations as part of their convolutional neural network (CNN) detection and localization technique. A novel approach was presented by Seydoux et al. (2020), who detect and cluster seismic signals and background noise with the use of a deep scattering neural network and a Gaussian mixture model.

Not all clustering methods involve machine learning. Template matching, in which a matched filter is constructed from a template waveform, is used to scan through continuous recordings to locate similar signals (Gibbons & Ringdal, 2006; Beaucé et al., 2018; Chamberlain et al., 2018). Yoon et al. (2015) and Bergen and Beroza (2018) present computationally efficient techniques in which locality-sensitive hashing is used to map seismic signals into a hash table, allowing similar signals to be identified by table entry. Hotovec-Ellis and Jeffries (2016) developed an approach that uses correlation-based similarity search to automatically detect and cluster repeating volcanic seismicity in continuous data. Cole (2020) adopted the method of Hotovec-Ellis and Jeffries (2016) to cluster RIS array data at stations RS09, RS10, and RS11 in order to characterize tidal forcing of seismicity at these stations.

2.2 Dimensionality

Data are considered high-dimensional when many features are required to represent or describe the data. Seismic data represented as time series, spectrograms, scalograms, or energy envelopes can contain thousands of features (e.g., discrete samples in a time series, or bins in a spectrogram). Clustering performed directly on such input data

(Aggarwal & Reddy, 2014) is vulnerable to the “curse of dimensionality” (Bellman, 1961), i.e., as the dimensionality of the input data increases, the number of data points required to maintain sufficient sampling density increases exponentially. A further consideration is that clustering error metrics can give less meaningful results as dimensionality increases.

As high-dimensional data are difficult to cluster (Aggarwal et al., 2001; Steinbach et al., 2004), dimensionality reduction remains a major focus of development (Yang et al., 2017). It is often desirable to transform the input data to a lower-dimensional representation described by fewer, more salient features. A popular approach is to use principal component analysis (PCA), which projects higher dimensional data into lower dimensional space (Goodfellow et al., 2016) and was used by Reddy et al. (2012) to compress seismic data to maximize feature variance.

The approach to reducing dimensionality in this study employs an autoencoder, a model whose output aims to reproduce its input via a series of non-linear transformations employing a deep neural network (DNN) (Hinton, 2006; Murphy, 2012; Yang et al., 2017). These non-linear transformations provide greater capacity in dimension reduction, and can better model data with low-dimensional representations than, for example, PCA. The autoencoder first encodes input data such as an image—in our case, a spectrogram—into a latent feature vector. Next, the autoencoder decodes the latent features and reconstructs the original image. Since the autoencoder provides a non-linear transformation of the data, it must be trained using gradient descent. In this iterative training, the error between the input and output is minimized. In doing so, the salient features of the data are learned by the network weights. With the dimensionality of the input data reduced in the latent feature space, clustering algorithms can be applied to the data’s latent feature space.

2.3 Clustering in Reduced Dimensions

A method that has shown improvement over traditional clustering techniques was developed by Xie et al. (2016), whose *deep embedded clustering* (DEC) uses the latent feature space as input to an adaptive clustering algorithm. DEC consists of two processes: (1) An autoencoder is trained to represent the data’s salient features; and (2) the encoding layers and clustering layer are jointly optimized. Yang et al. (2017) extend the

approach in DEC by jointly optimizing the clustering step with training the entire autoencoder, not just the encoder layers.

Additional variations of DEC have been proposed: Xie et al. (2016) used a stacked de-noising autoencoder (Vincent et al., 2010), and (Min et al., 2018) employed autoencoders composed of CNN layers and other architectures. More recently, Chazan et al. (2019) developed a novel approach in which joint clustering is performed with a mixture of autoencoders, each representing a cluster. Mousavi et al. (2019) used DEC to predict whether seismic detections were local or teleseismic, and Snover et al. (2020) demonstrated DEC’s ability to cluster anthropogenically generated seismic noise.

In this study, we implement DEC on RIS seismic data collected from December 2014 to November 2016, identifying several different classes of signals. Additionally, we demonstrate the utility of DEC as an exploratory tool for large, real-world seismic data sets by associating the clustering results with observed environmental factors.

3 Ross Ice Shelf (RIS) Seismic Array and Data

Each station in the RIS seismic array consisted of 3-component Nanometrics Trillium 120 PHQ seismometers emplaced 1 m below the surface of the ice, powered by solar panels during the austral summers, and lithium-ion batteries during the austral winters. Two subarrays comprised the array. The larger subarray consisted of 18 stations spaced approximately 80 km apart (prefix RS), primarily oriented parallel to the RIS front. The RS stations sampled short-period orthogonal components of ground velocity at a sampling rate of 100 Hz, except for two stations that sampled at 200 Hz. The smaller subarray consisted of 16 stations (prefix DR) arranged approximately orthogonal to the icefront along the international date line, sampling ground velocity with a sampling rate of 200 Hz. For this study, we were primarily interested in the detection and classification of icequakes and local/regional earthquakes, using only vertical component observations with frequencies of interest occurring between 3 and 20 Hz. Representative types of signals detected are shown in Figure 2.

Seismic data from each station were processed in 24-hour segments as follows: 1) Data were linearly de-trended and tapered with a Hann window. 2) Instrument responses for all stations were removed, giving acceleration in m/s^2 . 3) Since the bandwidth of interest was from 3 to 20 Hz, data were decimated to 50 Hz, using low-pass filtering followed-

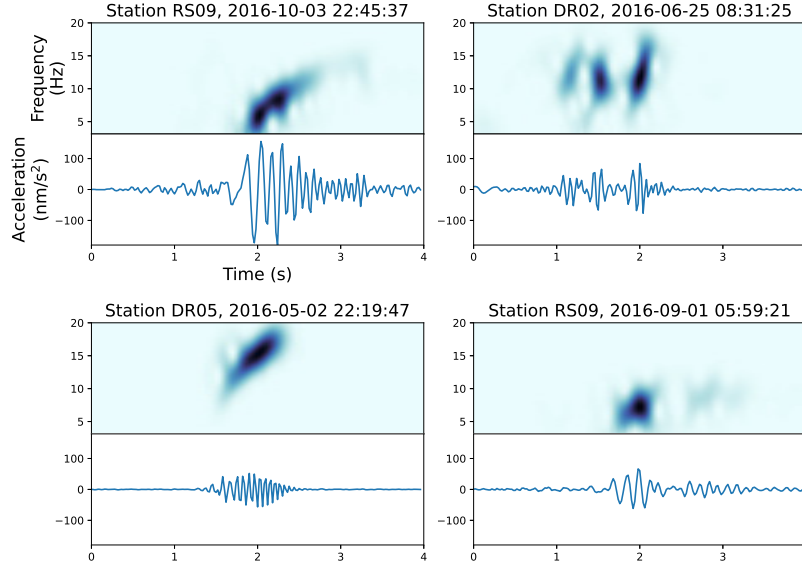


Figure 2. Seismic signals detected on the Ross Ice Shelf were diverse with variation in time, space, and source mechanism. Shown are examples of acceleration response seismograms and their respective normalized spectrograms spanning the 3-20 Hz band that were typical for the data set. The normalized spectrograms were used as input to the deep embedded clustering (DEC) model.

by downsampling. 4) A band-pass filter with cutoff frequencies at 3 and 20 Hz was applied to remove long-period signals originating from tides, tsunamis, infragravity waves, ocean swell, and teleseisms. 5) An event detection algorithm, the Z-detector (Swindell & Snell, 1977; Withers et al., 1998), was used to detect impulsive signals, particularly icequakes and local earthquakes, with a sliding window of 3 s. The detector was applied to data from each station between 2 December 2014 and 20 November 2016 for a total of 719 days of array data, yielding 427,798 detections.

Upon detection, a 4 s trace centered on the spectral peak of each triggered event was saved for processing. For each seismic trace saved, a spectrogram was computed using the short-time Fourier transform with a 0.4 s Kaiser window, NFFT=256, and 90% overlap. Spectrograms (samples) contained one channel of amplitude information, 87 frequency bins, and 100 time bins for a total of 8,700 features per spectrogram. Finally, samplewise normalization was performed by subtracting the mean spectral level from each spectrogram, subsequently normalizing to the interval [0, 1].

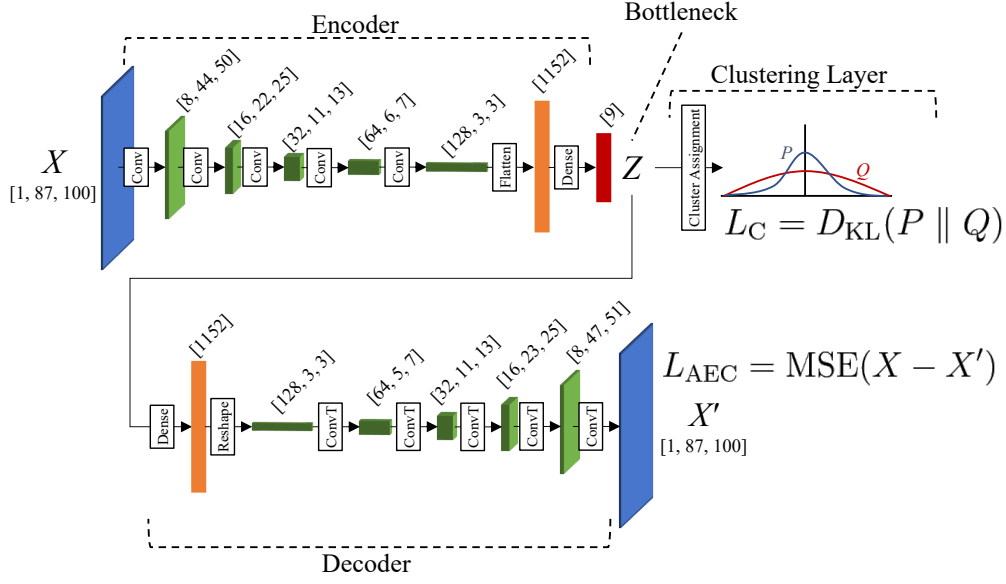


Figure 3. The deep embedded clustering model uses a convolutional autoencoder that encodes the data space X into the latent feature space Z , and a decoder that recovers the original input X from Z . The mean squared error (MSE) between the input X and the reconstruction X' is used as the autoencoder loss function. The latent feature space Z lies at the bottleneck between the encoder and decoder, providing the input to the clustering layer, which separately outputs a loss function. The two loss functions are combined and used to train the parameters that map $X \rightarrow Z \rightarrow X'$.

4 Deep Embedded Clustering Model

The objective of the DEC model, shown in Figure 3, is to encode the input data—in this case, spectrograms of seismic signals—into a layer containing latent (lower-dimensional) features, called the *embedded* layer, to which a clustering algorithm is applied. The outcome of the clustering performance is then used to refine both the autoencoder model and the clustering layer in an effort to obtain more accurate latent space embeddings while improving clustering performance. In the implementation that follows, the 8,700 features of an input spectrogram are reduced to a latent feature space of just 9 embedded features with the use of a convolutional autoencoder, a type of DNN composed of convolutional layers and their transposes.

4.1 Dimensionality Reduction with a Convolutional Autoencoder

Autoencoders provide a useful means of data approximation using a lower-dimensional representation via a sequence of non-linear transformations. The autoencoder model consists of three components: an *encoder*, a *bottleneck*, and a *decoder* (Murphy, 2012). First, the encoder maps input data from a data space X into a latent feature space Z , which is contained within the bottleneck of the model. Next, the decoder attempts to reconstruct X from Z . This process is performed iteratively with the objective of minimizing the error between X and the decoder output, X' . In minimizing the error, the autoencoder learns the salient features of X and accurately encodes them in Z , thus reducing the dimensionality of the clustering task.

Consider a data set of spectrograms $\mathcal{D} = \{\mathbf{x}_i \in X^M\}_{i=1}^N$, where \mathbf{x}_i is a vector representation of the i^{th} spectrogram in a data set containing N spectrograms, and the number of features in \mathbf{x}_i , M , is the spectrogram size (the product of the number of frequency bins and time bins). In the encoder stage, the mapping of X to Z is described by $f_\theta : X \rightarrow Z$, where θ are parameters that are learned through iterative model training. The decoder stage is a mirror operation of the encoder and seeks to map the latent feature space Z to the reconstruction X' by $g_\theta : Z \rightarrow X'$. The overall mapping of the autoencoder can be described as $F_\theta : X \rightarrow Z \rightarrow X'$, where $F_\theta = g_\theta \circ f_\theta$. Input spectrograms \mathbf{x}_i map to their corresponding latent feature vectors by $\mathbf{z}_i = f_\theta(\mathbf{x}_i) \in Z^D$, where D is the number of embedded features, and to their reconstructions by $\mathbf{x}'_i = F_\theta(\mathbf{x}_i) \in X'$.

As the autoencoder is composed of convolutional layers and their transposes, F_θ is a nonlinear mapping that must be appropriately parameterized. This is accomplished by iteratively learning the parameters θ in order to minimize the error between the input and reconstructed data. The mean squared error (MSE) between an input spectrogram with M features and its reconstruction, defined as

$$\ell(\mathbf{x}, \mathbf{x}') = \frac{1}{M} \sum_{m=1}^M (x_m - x'_m)^2, \quad (1)$$

is averaged over the N samples in the data set to obtain the autoencoder loss function:

$$L_{\text{AEC}} = \frac{1}{N} \sum_{i=1}^N \ell(\mathbf{x}_i, \mathbf{x}'_i). \quad (2)$$

Performing this calculation over the entire data set at once is computationally expensive, memory intensive, and can lead to poor convergence. Instead, the loss is calculated

Table 1. *Convolutional Autoencoder Architecture*

Layer Name	Type	Input Shape	Filters	Activation	Output Shape	Trainable Parameters
Input	-	-	-	-	[1, 87, 100]	-
Conv1	Convolution	[1, 87, 100]	8	ReLU	[8, 44, 50]	80
Conv2	Convolution	[8, 44, 50]	16	ReLU	[16, 22, 25]	1,168
Conv3	Convolution	[16, 22, 25]	32	ReLU	[32, 11, 13]	4,640
Conv4	Convolution	[32, 11, 13]	64	ReLU	[64, 6, 7]	18,496
Conv5	Convolution	[64, 6, 7]	128	ReLU	[128, 3, 3]	73,856
Flat	Flatten	[128, 3, 3]	-	-	[1152]	0
Encoded	Fully Connected	[1152]	-	ReLU	[9]	10,377
FC	Fully Connected	[9]	-	ReLU	[1152]	11,520
Reshape	Reshape	[1,152]	-	-	[128, 3, 3]	0
ConvT1	Transposed Conv	[128, 3, 3]	64	ReLU	[64, 5, 7]	73,792
ConvT2	Transposed Conv	[64, 5, 7]	32	ReLU	[32, 11, 13]	18,464
ConvT3	Transposed Conv	[32, 11, 13]	16	ReLU	[16, 23, 25]	4,624
ConvT4	Transposed Conv	[16, 23, 25]	8	ReLU	[8, 47, 51]	1,160
Decoded	Transposed Conv	[8, 47, 51]	1	Linear	[1, 95, 101]	73
Output	Crop	[1, 95, 101]	-	-	[1, 87, 100]	-
Total						218,250

in mini-batch subsets of the data space. For each mini-batch loss, stochastic gradient descent (Goodfellow et al., 2016) is used to update the weights. When all mini-batches have been processed, the next training epoch begins and the process is repeated. After each epoch, a subset of the data separate from the training data is used to validate the model’s performance without updating the weights, yielding a validation MSE. Training is performed until a specified maximum number of epochs is reached, or stopped early if the validation MSE fails to decrease below its minimum value after ten epochs. The early stopping criterion prevents the autoencoder from overfitting the training data.

The design choice of autoencoder architecture can be informed by prior knowledge of a data set and its features, as well as practical considerations such as computational resources available. Our DNN architecture, detailed in Table 1, is designed to be computationally efficient, simple to construct, and robust enough to learn salient features

Table 2. *Sample Sizes and Hyperparameters used to Train the Deep Embedded Clustering Model.*

Samples			Hyperparameters			
Total	Training	Validation	Initial	Mini-batch	Classes	Clustering loss
(N)	(N_{train})	(N_{val})	learning rate	size	(K)	factor (λ)
427,798	100,000	25,000	0.001	1024	8	0.05

from a noisy seismic data set. In total, θ contains 218,250 trainable parameters under this DNN architecture.

Autoencoder training is implemented using 125,000 spectrograms randomly selected without replacement from the 427,298 detections. Of the selected spectrograms, 80% are used for training and 20% for validation. The trainable parameters are optimized using the Adaptive Moment Estimation (Adam) algorithm (Kingma & Ba, 2017). In training, there are two principal hyperparameters to address. First is the initial learning rate, which controls the initial step size used by Adam to step down the gradient of the loss. The second hyperparameter is the mini-batch size, which sets the number of spectrograms to be passed through the model at one time. The optimal configuration is found through a grid search of the hyperparameters. A summary of the optimal hyperparameters and the number of spectrograms used are listed in Table 2. As seen in Figure 4a, training and validation losses fall off exponentially with each training epoch until the early stopping criterion is met; in this case, after 49 epochs. The effectiveness of the autoencoder’s ability to reconstruct the input spectrogram is illustrated in Figure 5. Though some loss of resolution in time and frequency is expected due to the convolutional layers and their transposes, the structure of the spectrogram is largely preserved, with the salient information of the input encoded into the latent feature space.

4.2 Clustering Layer

In the DEC framework, clustering is performed in the latent feature space, Z , with the goal of finding K distinct classes of signals within the data. We assume that the data form clusters which are separable in Z space, and that these clusters coalesce around unique locations $\{\mu_j \in Z\}_{j=1}^K$, i.e., centroids around which other similar signals may be found.

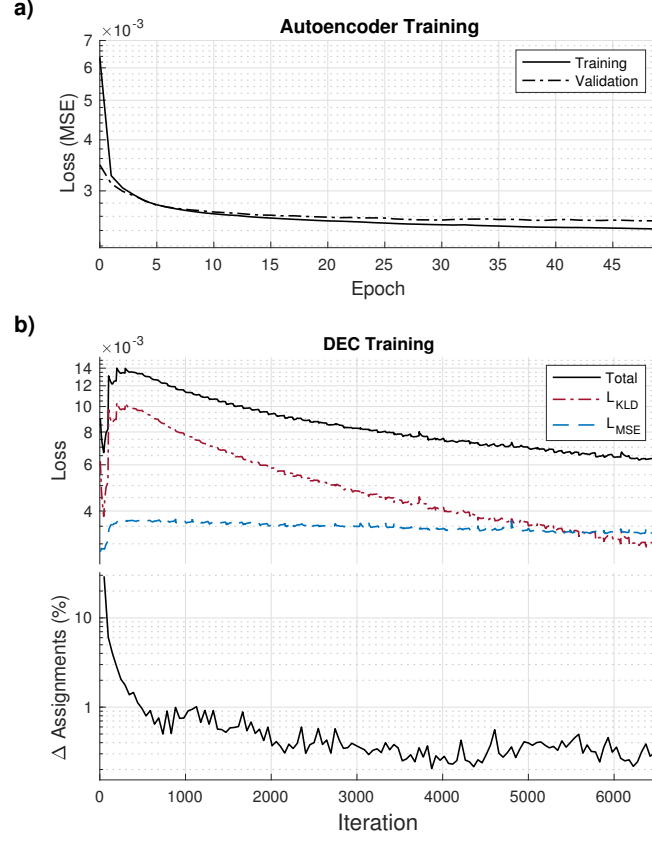


Figure 4. (a) Training and validation losses during autoencoder training. To avoid over-fitting the model, training is stopped when the early stopping criterion is met (in this case, at 49 epochs). (b) In the upper plot, loss curves are shown for deep embedded clustering (DEC). In the lower plot, the percentage of samples which undergo class reassignment at each update interval is shown; training is stopped once the change is less than 0.2%

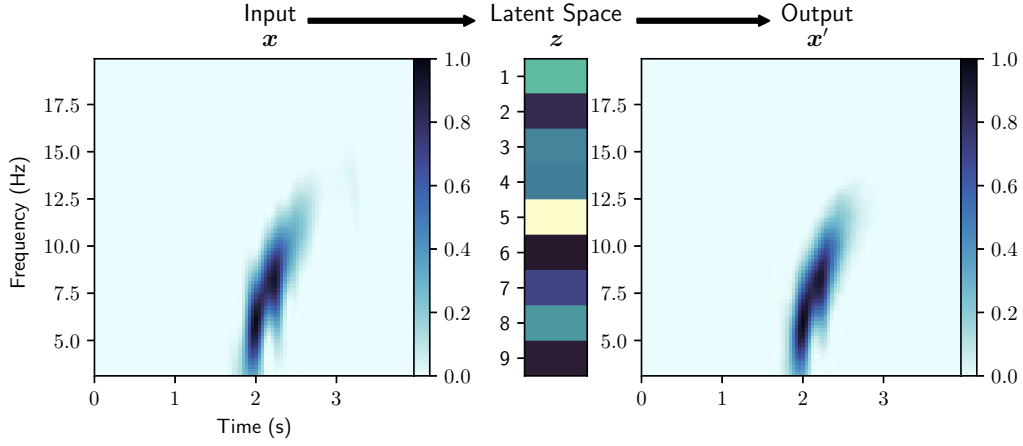


Figure 5. A trained autoencoder takes an input spectrogram x , encodes it into an embedded, 9-dimensional latent feature vector z , then reconstructs the input as x' . The autoencoder preserves features correlated within a given cluster and discards the remaining signal. This can help with signal identification.

The Euclidean distance between a centroid and a latent feature vector is given by

$$d_{i,j} = \|z_i - \mu_j\|_2. \quad (3)$$

The distance metric $d_{i,j}$ is a measure of the similarity between features indexed by i and j , based on their Euclidean distance in the latent space.

Centroids are initialized with the Gaussian mixture model (GMM) clustering algorithm (Bishop, 2006). Among signal classes, GMM accounts for differences in sample size and distributions that are expected in natural seismic data by treating the latent data as a mixture of Gaussian distributions, each with a unique centroid and variance. The clusters and centroids are then updated using an expectation-maximization (EM) algorithm. To accelerate EM convergence, k -means clustering (Hartigan & Wong, 1979) is used to initialize the GMM clustering algorithm.

With the centroids initialized, DEC seeks to further improve clustering by using the difference between the embedded spectrograms and the cluster centroids as an additional loss function for updating model parameters. Because the input data is unlabeled, a self-supervised method is required. We implement the method developed by Xie et al. (2016), who, drawing from the t-Distributed Stochastic Neighbor Embedding (t-SNE) algorithm (van der Maaten & Hinton, 2008), propose measuring the difference be-

tween a t-Student’s distribution kernel of the embedded spectrograms and an auxiliary target distribution. A simplified Student’s t-distribution is used to measure the similarity between an embedded spectrogram, \mathbf{z}_i , and the cluster centroids $\boldsymbol{\mu}_j$:

$$q_{ij} = \frac{(1 + \|\mathbf{z}_i - \boldsymbol{\mu}_j\|^2)^{-1}}{\sum_j (1 + \|\mathbf{z}_i - \boldsymbol{\mu}_j\|^2)^{-1}}. \quad (4)$$

Equation (4) results in a set of soft class assignments, i.e., the probability that embedded spectrogram i will be assigned to class j , which are used to compute the auxiliary target distribution, p . The form of p is designed to improve clustering performance, emphasize embeddings with high-confidence assignments, and normalize each cluster centroid’s contribution to the loss function so that large clusters minimally distort Z (Xie et al., 2016):

$$p_{ij} = \frac{q_{ij}^2 / \sum_i q_{ij}}{\sum_j (q_{ij}^2 / \sum_i q_{ij})}. \quad (5)$$

The dissimilarity between the distributions given by equations (4) and (5) is measured using the Kullback-Leibler divergence (Kullback & Leibler, 1951). From the divergence the clustering layer’s loss function is obtained:

$$L_C = D_{\text{KL}}(P \parallel Q) = \sum_i \sum_j p_{ij} \log \frac{p_{ij}}{q_{ij}}. \quad (6)$$

In DEC, the clustering layer is attached to the trained autoencoder’s bottleneck, as shown in Figure 3. During training of the DEC model, the loss functions from equations (1) and (6) are combined into a total loss function,

$$L = L_{\text{AEC}} + \lambda L_C, \quad (7)$$

where λ is a hyperparameter that balances the contributions of the two losses, since they are of differing magnitudes. λ must be tuned: if it is too large, the clustering loss will cause model instability and lead to distortion of the latent space, in which case the latent space will no longer represent the salient features of the data. If λ is too small, the effect on clustering performance will be minimal. We found that $\lambda = 0.05$ yielded optimal performance for model training and clustering.

Two constituent processes occur simultaneously during DEC model training. First, the full loss from equation (7) is backpropagated through the DEC model parameters, which include the autoencoder as well as the cluster centroids. Second, to account for the cluster centroids changing as training progresses, the distributions q_{ij} and p_{ij} are updated at intervals. The update interval is a hyperparameter that must be tuned. Through

hyperparameter tuning, an update interval of twice per training epoch was found to be optimal for clustering performance, minimizing DEC loss, and training within a reasonable time frame. Training is stopped after the number of samples changing assignments after every update interval reaches less than 0.2% of the total number of samples.

4.3 Selecting Optimal Number of Clusters

Determining the optimal number of clusters, K , is a major challenge in unsupervised machine learning. Although there are statistical methods available for choosing the optimal number of clusters (Rousseeuw, 1987; Tibshirani et al., 2001), in this study we treat K as a hyperparameter, iterating the DEC workflow over a range of values for K and evaluating the results to choose the best value. Results are evaluated both quantitatively and qualitatively. Quantitative evaluation is performed for each class by examining cumulative distribution functions and probability density functions as functions of distance to each class centroid, $d_{i,j}$ (equation (3)). The qualitative approach is to visually inspect the similarity of the latent feature vectors \mathbf{z}_i to their respective class centroids $\boldsymbol{\mu}_j$, and to see if the spectrograms and seismograms assigned to each class likewise exhibit similarity. In general, the formation of two or more similar classes may indicate that too many classes were initialized, and the data can be grouped into a single class in post-processing. Too much variance among the spectrograms within a class may indicate the need for one or more additional classes. We found that $K = 8$ was the optimal number of classes for the RIS data set.

5 Results

5.1 Clustering Performance

Clustering with DEC results in two distinct phases: first, the GMM clustering algorithm sets the initial centroids, but the latent data are left unmodified. Second, during DEC, centroids are further refined while the latent data are moved much closer to their respective centroids, with some data reassigned to different classes altogether.

The performance of DEC is qualitatively checked by comparing centroids to their respective assigned latent data samples. Results for the training data set are shown in Figure 6. Each class j is represented by the columns in Figure 6, with each centroid $\boldsymbol{\mu}_j$ and its reconstruction $g_\theta(\boldsymbol{\mu}_j)$ plotted along the top row. Although the centroid is not

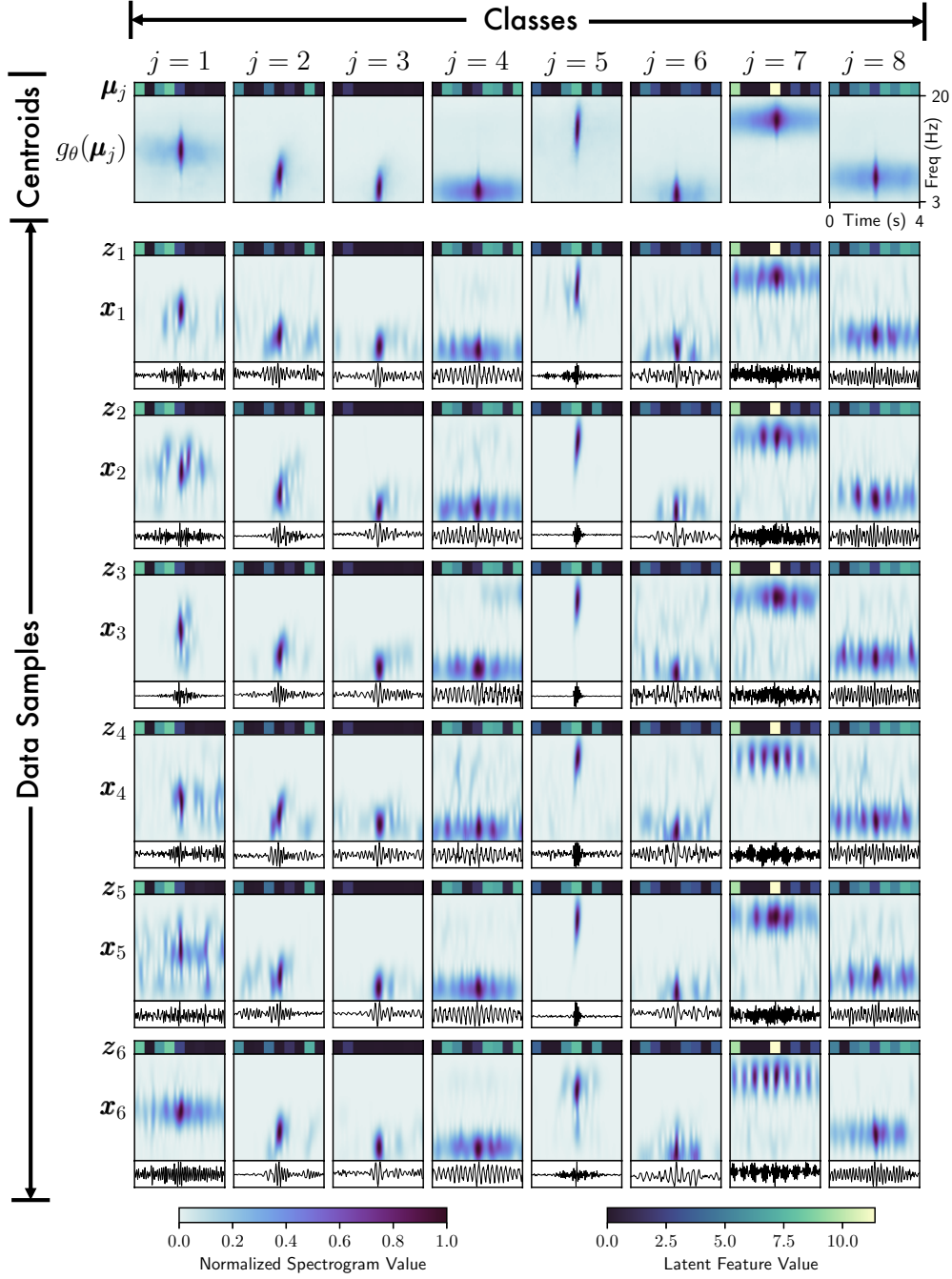


Figure 6. Within a given class j , the cluster centroids μ_j are similar to the latent feature data z_i . Though the centroids are not members of the data set, their reconstructions $g_\theta(\mu_j)$ exhibit similar characteristics to the spectrograms x_i assigned to each class. Seismograms plotted above each spectrogram also exhibit similarity within each class. The data samples closest to their respective centroids are shown.

a member of the data set, because the centroid represents the salient features of its class, its reconstruction is expected to resemble the spectrograms \mathbf{x}_i assigned to its class. Subsequent rows show the latent feature vectors \mathbf{z}_i , spectrograms \mathbf{x}_i , and associated seismograms of the data samples assigned to the respective classes.

For each class, latent feature vectors \mathbf{z}_i exhibit similar values to the class centroid $\boldsymbol{\mu}_j$, indicating that DEC has successfully grouped similar latent data samples into a class, and that the centroid is representative of the data in its class. The spectrograms in each class are likewise similar to each other and to the centroid reconstruction $g_{\theta}(\boldsymbol{\mu}_j)$, confirming that the latent features embedded in the centroids are representative of the spectrograms in the class. Finally, the similarity in the latent space and the time-frequency domain extends to the time domain, where seismograms in each class are similar to one another.

In addition to checking the efficacy of the clustering, visual examination of the results in Figure 6 permits an indication of whether or not an appropriate number of clusters was chosen. For example, classes 4 and 8 exhibit similar characteristics in time and frequency, distinct from each other primarily in peak frequency. If such distinctions are not useful or if similarities are redundant, classes can be combined in post-processing. If too few clusters are selected, classes may contain widely differing signals, indicating the need to increase the number of clusters.

To determine to what extent DEC further improves clustering over GMM clustering, t-SNE is used to visualize the 9-dimensional latent space in two dimensions (van der Maaten & Hinton, 2008). t-SNE can illuminate possible clusters within data in an unsupervised manner by displaying data in geometrically separated clusters. In Figure 7, t-SNE results of the latent feature space clustered with GMM show that the data are largely contiguous with few exceptions. Applying the labels assigned by GMM clustering to the data points shows that, while there is some geometric separation between the clusters, the embedding is characterized by overlapping and dispersed class members, indicating poor separation in the latent space and potentially incorrect assignment of samples to classes. Contrast this with Figure 7, in which t-SNE results at the conclusion of DEC show both geometric separation as well as homogeneous class assignments.

While t-SNE offers an intuitively visual way to look for clusters in data, results are sometimes difficult to interpret and are impossible to reproduce exactly due to the in-

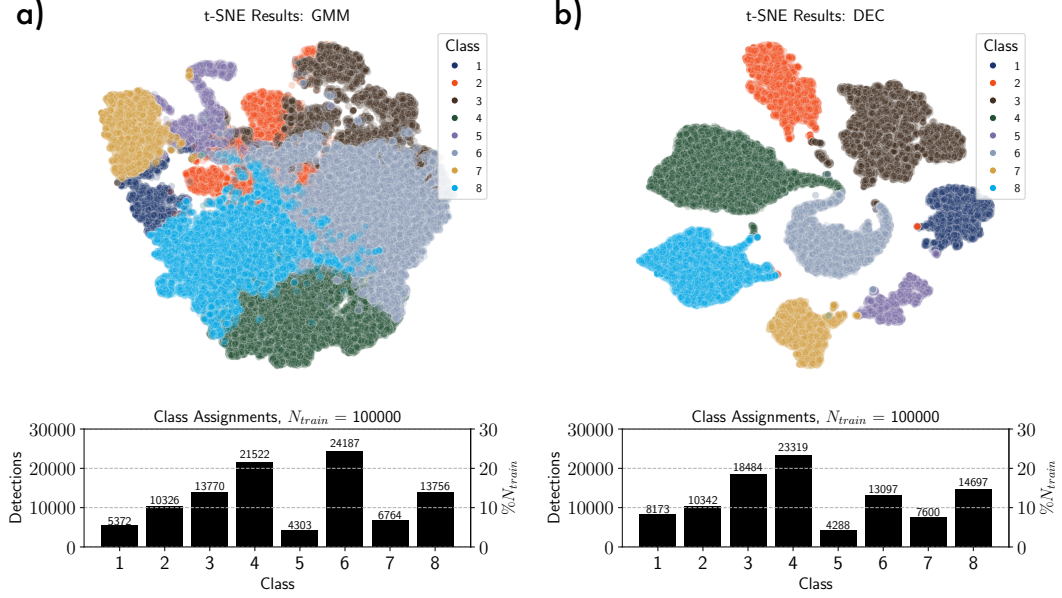


Figure 7. Visualization of the 9-dimensional latent data space is shown in two dimensions using the t-Student Stochastic Neighbor Embedding (t-SNE) plot. (a) Clustering and label assignment is performed with Gaussian mixture model clustering and exhibits limited separation within the data and overlapping classes. (b) After DEC, clusters are well separated and contain nearly homogeneous class members. Class histograms (a) before and (b) after DEC reveal the extent to which DEC reassigns latent data samples to different classes.

herent randomness of the algorithm. Running t-SNE iteratively and with the same random seed can mitigate these limitations, but examination of the effects of DEC on the densities of the clusters provides a more concrete visualization. In Figure 8a, the cumulative distribution functions (CDF) for each class are shown as functions of distance to the centroid (equation (3)). For each class, the latent data move substantially closer to their assigned centroid, as evidenced by the decreased mean and variance of the CDF. Of interest to the ability for DEC to distinguish between clusters is the relation of each cluster to the others. In Figure 8b, the probability density functions (PDF) of all clusters are shown as functions of distance to each centroid. Before DEC, though GMM clustering results in the PDF of each class being closest to its centroid, there is significant overlap with other clusters, and the clusters themselves are not particularly dense. After DEC, the PDF of each class is closer to its centroid, denser, and farther removed from the other clusters. Thus, DEC effectively separates each cluster from the others, allowing for better distinction between clusters in the latent space.

The effects of DEC become readily apparent when the latent feature vectors are stacked and sorted according to their distance from each centroid, as shown in Figure 9. By sorting the latent space by sample index i such that $d_{i+1,j} > d_{i,j}$, cluster separation can be visualized directly in the latent space. Before DEC, centroids are initialized with the GMM clustering algorithm without modification to the latent data. Closest to each class centroid, the latent feature vectors are similar in appearance to the centroid, but transition continuously to different patterns as the sorted index i increases. The contrast with the latent space after DEC is stark: because DEC moves latent data assigned to a particular class closer to the centroid, the effect is that the latent feature vectors take on similar values, and therefore appearance, to the centroid. The result is that the latent space appears more sharply segmented after DEC, with the samples closest to the centroid of nearly uniform appearance to the centroid itself. For reference, the relative location of the other class centroids are marked with white vertical lines. Before DEC, the latent feature vectors belonging to the other classes are not readily apparent, whereas after DEC, most of the other centroid locations are associated with their distinctive latent feature vectors.

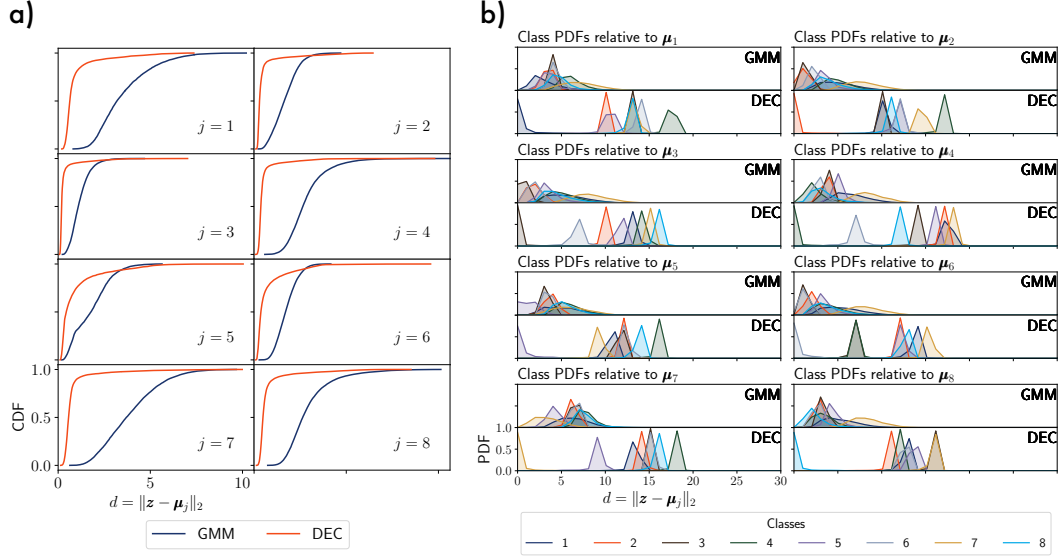


Figure 8. (a) Within each class, deep embedded clustering (DEC) reduces the mean distance of the assigned latent data to the centroid. The variance of the distance also decreases. As a result, the cumulative distribution functions shift to the left and have a steeper slope. (b) The effects of DEC are also evident for each class probability density function (PDF) with respect to the distance from the centroids. In addition to moving the assigned class members closer to the centroid, DEC also increases the distance to the other class centroids and PDFs. The total effect is to separate the latent data samples of one class from the other classes.

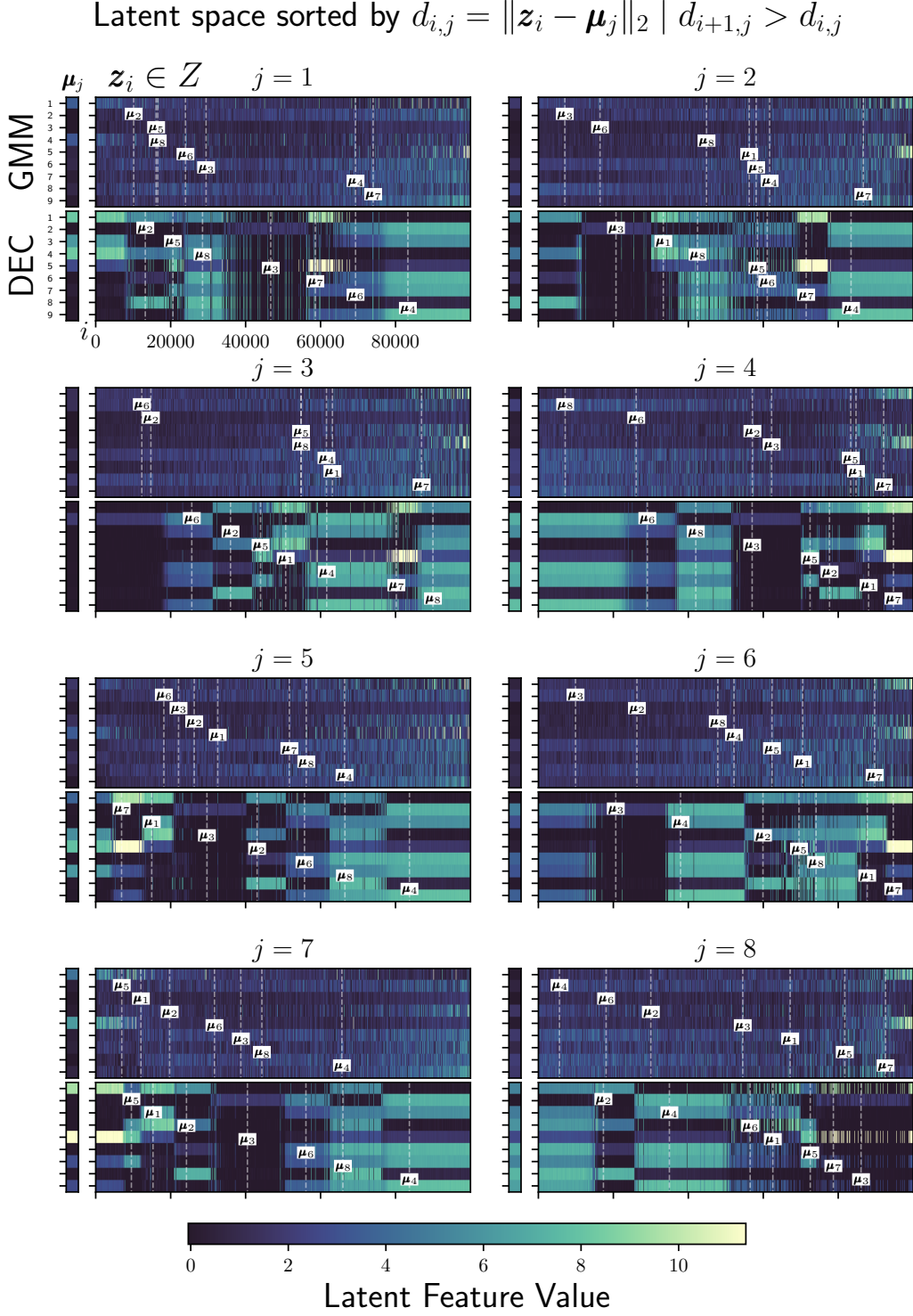


Figure 9. For each class j , latent data samples \mathbf{z}_i are shown stacked according to their distance $\|\mathbf{z}_i - \boldsymbol{\mu}_j\|$ from the centroid $\boldsymbol{\mu}_j$ (shown to the left). Distance of the other cluster centroids relative to the selected class j are indicated with vertical dotted lines. Deep embedded clustering (DEC) brings assigned data \mathbf{z}_i closer to the class centroid, resulting in homogeneity among the latent feature vectors assigned to that class.

5.2 DEC Methodology Considerations

One of the key strengths of DEC is its employment of an autoencoder to reduce the dimensionality of the input data to obtain more effective clustering performance. By reducing the dimensionality of the data space, the complexity of the clustering problem is similarly decreased and the distance metrics gain relevance. The ability of the autoencoder to quickly learn the salient features of the data and embed them into the latent space makes the technique adaptable to new data sets. While the autoencoder design choice for this study was sufficiently robust, autoencoder design presents opportunities for further experimentation and improvement. Design variables that could be altered in the DNN architecture include the number of layers, dimensions of the latent feature space, activation function types, incorporation of max-pooling and drop-out layers, and filter size, depth, and stride.

A second key strength of DEC is that clustering improvement and model optimization occur simultaneously. The outcome is denser, more separated clusters. This is a desirable effect in distance-based clustering, but it introduces a vulnerability: the success of the results may depend on the quality of the initial centroids. This challenge can be suitably mitigated by initializing the centroids with the GMM clustering algorithm, which accommodates clusters with unbalanced populations, elongated shapes, and differing variances. Additionally, Xie et al. (2016) demonstrated that DEC is robust against imbalances in class sample sizes, including size disparities up to a factor of 10. We assess that further refinement of centroid initialization is not necessary to achieve a workflow capable of performing satisfactory data exploration.

The flexibility afforded by DEC extends not only to model design, but also to data pre- and post-processing. Whereas model design is largely concerned with *how* the salient features are learned, data pre-processing is concerned with *what* is supplied to the model. This information is dependent on the choice of signal processing parameters, particularly signal duration, filter cutoff frequencies, and seismic event detection algorithm. Additionally, various data transforms commonly used to characterize seismic waveforms can be used as input to DEC (Mousavi et al., 2016). In our case, we used spectrograms, but other transforms, such as continuous wavelet transform scalograms, could just as easily be used as input to the DEC model. In post-processing, redundant or similar results can be combined.

6 Discussion: Glaciological Implications

The full RIS array data set contains 427,798 seismic detections. A summary of the data set statistics and class characteristics (Table 3) shows the total number of detections for each class, as well as the percentage of detections occurring in the austral summers (January, February, and March) versus the austral winters (June, July and August). Classes 1, 4, and 7 have pronounced differences (more than 10%) between the number of detections occurring in the summers versus the winters, while differences for classes 2, 3, 5, and 8 are less pronounced (between 5% and 10%). Class 6 appears to have little difference (less than 5%) between austral summers and winters. Inter-annual comparisons for each season show that classes 1, 2, 5, and 8 experienced an increase in activity in the 2016 austral summer over the 2015 austral summer, with classes 5 and 8 exhibiting the largest changes. Classes 5 and 8 also increase by factors of three and two, respectively, in the 2016 austral winter over the 2015 austral winter. These trends can be investigated in more detail from Figure 10a, where detection occurrences shown as a function of station and month exhibit spatiotemporal patterns that reveal associations between environmental forcing and seismicity. Clustering enables these patterns to be further explored by class and month (Figure 10b), and by class and station (Figure 10c).

From Figure 10a, certain patterns are readily apparent, such as increased seismic detections during the austral summer months at stations DR01, DR02, and DR03. These three stations were located approximately 2 km from the ice front and detected seismicity associated with ocean gravity waves impacting the shelf front that cause fracturing (icequakes) and calving (Chen et al., 2019). Furthermore, seismicity at these stations during the 2016 austral summer is higher than the same period in 2015, indicative of the impact of El Niño on Antarctic ice shelf fronts (Nicolas et al., 2017). The remaining DR stations and stations RS01 through RS07 exhibit the opposite pattern: austral summers are relatively quiet, with increased detection frequencies in the austral winters. The four most seismically active stations were located near grounding zones: station RS09 (41,615 detections) on the eastern flank of Roosevelt Island; station RS11 (25,884 detections) on the Shirase Coast; station RS08 (18,655 detections) on the western flank of Roosevelt Island; and station RS17 (18,653 detections) on Steershead Ice Rise. All of these stations exhibited persistent seismicity throughout the two deployment years, with the exception of station RS17, which was offline for several weeks from August to September 2016. These

Table 3. *Austral Summer (January-February-March) and Winter (June-July-August) Detection Statistics, Average Peak Frequencies, and Amplitude Characteristics for Each Signal Class over the Entire Seismic Array*

Class	N	Detections			Amplitude (accel., nm/s ²)				
		%N Summer (JFM)		%N Winter (JJA)		Mean peak		Std.	
		Total	2015 2016	Total	2015 2016	freq (Hz)	Mean	Median	Max.
1	34,919	19	9 10	31	10 21	10.5	53	5	400
2	45,079	31	15 16	23	11 12	7.2	150	46	992
3	78,861	32	17 15	24	14 11	5.3	187	38	6,706
4	100,009	19	10 9	31	17 13	4.8	13	6	129
5	18,268	18	7 11	25	6 18	14.8	409	15	5,684
6	55,633	30	17 13	27	16 11	4.4	54	8	315
7	32,276	11	7 4	43	27 16	16.1	7	4	22
8	62,753	23	10 13	29	10 19	6.9	18	4	334

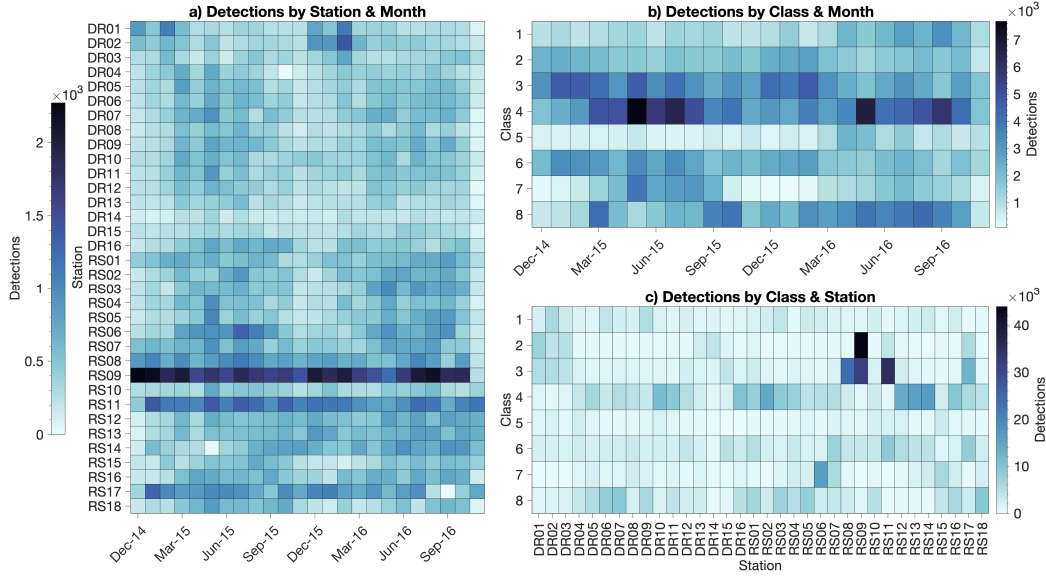


Figure 10. (a) The frequency of detections comprising the Ross Ice Shelf data set is shown by station and month. Deep embedded clustering (DEC) provides a further breakdown by (b) class and month for all stations, and (c) class and station.

stations are on either fully or partially grounded ice, suggesting that the seismicity results from interactions of basal ice with the solid earth.

Some classes of signal detections exhibit temporal patterns that are visible in Figure 10b. Classes 1 and 5 have increased detection frequencies in the austral winter of 2016, while classes 2 and 3 have increased detections in the austral summers. Class 6 also exhibits increased detections in the austral summers, though detections in the austral winter of 2015 are also high. Meanwhile, classes 4, 7, and 8 exhibit low seismicity during the austral summers. A further dimension to the analysis is shown in Figure 10c, which shows the distribution of classes by station. The most frequently occurring class in the data set is class 4, whose waveform and spectral variation resemble seismic tremor (Figure 6). Class 4 signals occur across the array with peak activity in the austral winters when local storms are more intense, suggesting meteorological forcing. Class 3 is the prominent signal type at stations near grounding zones (RS08, RS09, RS11, and RS17), as is class 2 at RS09.

An important caveat for the detection statistics shown in Table 3 and Figure 10 arises from the physics governing seismic propagation. For a given amplitude, low frequency seismic energy propagates farther than high frequency seismic energy. We thus expect the seismometers in the RIS array to detect low-frequency signals originating farther away than high-frequency signals. For example, from Figure 6, class 6 is similar to classes 2 and 3, with the notable difference in that class 6 lacks energy above 8 Hz, and has much smaller amplitude than classes 2 and 3. Thus, class 6 may be generated by a similar source mechanism as classes 2 and 3, but has a longer propagation path.

Factoring in signal amplitude also affects the range at which seismic energy is detected. From Table 3, class 7 has an average spectral peak at 16.1 Hz, the highest of the classes, with a total of 32,276 detections, the second lowest of the classes. Similarly, class 5 has the second-highest average spectral peak at 14.8 Hz, with the least amount of detections among the classes. These two classes are nevertheless distinct from each other in amplitude and waveform type: from Table 3, class 7 has a mean amplitude of 7.0 nm/s², while class 5 has a mean amplitude of 408.5 nm/s². From Figure 6, class 7 consists of continuous signals, while class 5 signals are impulsive and likely result from fracturing. Detection statistics are further affected by signal-to-noise ratios at the seismometers and

by limitations of the automated seismic event detector, such as the inability to separate signals from different classes that are received nearly simultaneously.

Consideration should also be given to determining if classes are duplicates of the same seismic source mechanism. Seismic surface waves in the ice undergo dispersion as they propagate, which DEC may interpret as separate signal classes. Propagation modeling can be used to calculate expected dispersion relations to confirm if this is the case. Such distinctions could be useful in identifying common propagation paths or providing source range discrimination.

Though the sources of uncertainty in the detection statistics are nontrivial, with a proper understanding of these limitations and when paired with environmental data, the clustering results can nevertheless be used to analyze the association of potential seismic source mechanisms that may be related to ice shelf dynamics. In the following sections, we provide vignettes using stations DR02 and RS09 to demonstrate the utility of DEC in exploring data and identifying potential causes of seismicity when examined in conjunction with environmental data.

6.1 Seasonal seismicity at the RIS front

Approximately 2 km from the RIS front on Nascent Iceberg, station DR02 exhibits a seasonal pattern of seismicity associated with changes in air temperature and sea ice concentration in the Ross Sea. During the austral winter, sea ice coverage on the Ross Sea reaches nearly 100%, damping ocean swell. During the austral summer, sea ice concentration (Figure 11a) decreases to approximately 25%, permitting ocean gravity waves to directly impact the ice shelf front and cause iceberg calving. Additionally, warmer air temperatures (Figure 11b) may promote calving with associated increased icequake activity (Chen et al., 2019).

Increased levels of seismicity are observed for classes 2, 3, and 6 at DR02 (Figure 11e,f,i) during the austral summers. Classes 3 and 6 are especially active during the 2016 austral summer, when strong El Niño conditions led to anomalously persistent high temperatures across West Antarctica (Nicolas et al., 2017) and ocean-ice shelf interactions were enhanced. Patterns similar to the seismicity at DR02 were observed at stations DR01 and DR03, also located near the RIS front, and can be seen in the total detections by station and month in Figure 10a. Widespread surface melt on the RIS was observed be-

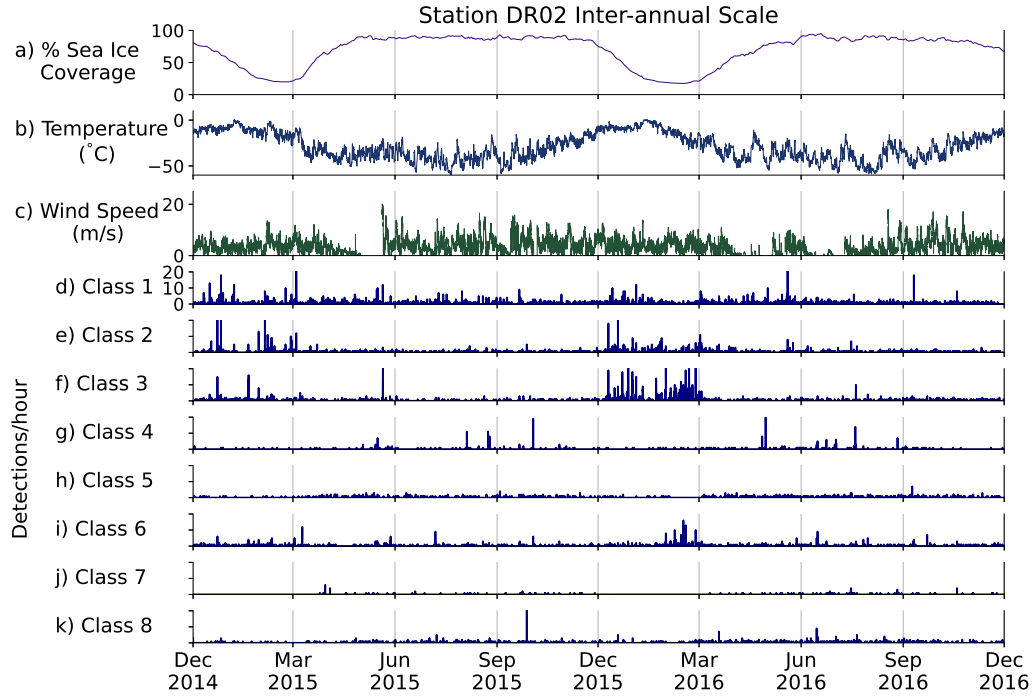


Figure 11. Two years of (a) sea ice coverage on the Ross Sea, (b) temperature and (c) wind speed at Gill automated weather station (approximately 223 km south of DR02), and (d-k) icequake detection statistics for each signal class. Classes 2, 3, and 6 exhibit increased seismicity during the austral summers. Sea ice concentration from NSIDC (Cavalieri et al., 1996, updated yearly); weather station data from AMRC, SSEC, UW–Madison.

tween 10-21 January 2016 (Nicolas et al., 2017; Chaput et al., 2018), which can lead to hydrofracture and contribute to ice shelf disintegration (Hubbard et al., 2016; Alley et al., 2018).

Although class 1 has elevated activity during the summers, it maintains activity throughout the winter months, suggesting that gravity wave activity is not the dominant forcing. The persistence of class 1 signals, which often consist of impulse trains, suggests they may be caused by icequakes resulting from the motion of the ice shelf itself, as the ice flow velocity in the vicinity of station DR02 is among the highest observed on the RIS (Klein et al., 2020). Classes 4, 5, 7, and 8 (Figure 11g,h,j,k) are more active during the coldest periods of the year (April-September), suggesting that these signals may be associated with extremely cold temperatures or strong wind events. Cold-weather enhanced seismicity occurs at a rift approximately 140 km south of the ice front (Olinger et al., 2019). Alternatively, from Table 3, these classes are lower amplitude than those most active during the austral summer, which suggests that these detections may be masked by higher amplitude signals associated with classes 2 and 3. Across all classes, discrete instances of high seismicity occur that do not correspond to environmental forcing. Such instances may indicate the occurrence of fracturing ice (icequakes) or events associated with crevasse expansion.

6.2 Diurnal seismicity on Roosevelt Island

Station RS09 on the eastern flank of Roosevelt Island experienced the most detections on the array, comprising 9.7% of detections in the full data set. In Figure 12, potential environmental sources of seismicity are compared to the seismicity of each class. Temperature and wind speed (Figure 12a,b) were recorded at a nearby automated weather station, Margaret, 122 km southwest of RS09. Tides (Figure 12c) were realized from the CATS2008 model (Padman et al., 2002) at station RS10, which is on floating ice and approximates the tidal signal in the basin between Roosevelt Island and the Shirase Coast. Seismicity for classes 2, 3, and 6 (Figure 12d,e,i) dominate the detections at RS09 and are active throughout the year, with classes 2 and 3 comprising 52.8% and 38.0% of the detections, respectively. Classes 1, 4, 5, 7, and 8 (Figure 12d,g,h,j,k) are comparatively sparse, with seismicity limited to what appear to be discrete signals that could be associated with large fracture or crevasse events.

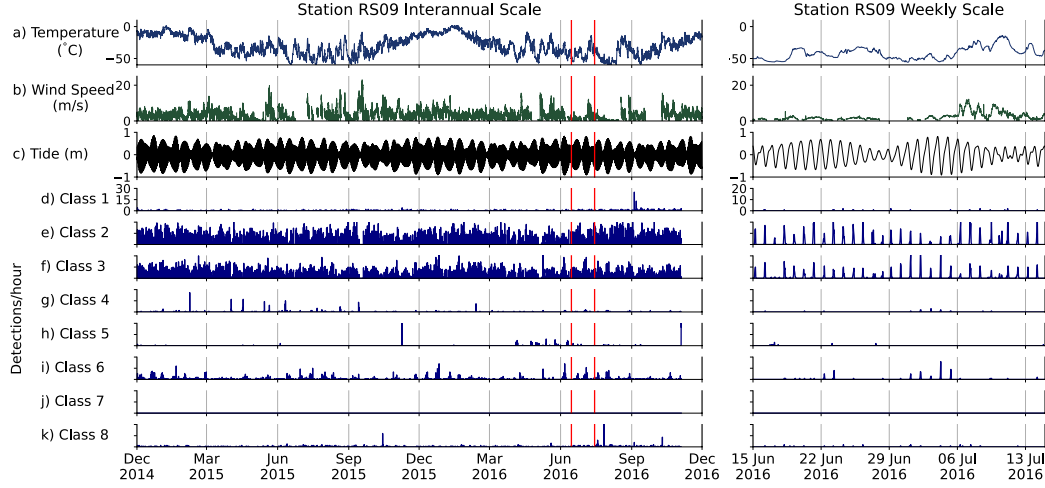


Figure 12. Two years of (a) temperature and (b) wind speed at Margaret automated weather station (MGT, approximately 122 km southwest of RS09, Figure 1), (c) model-derived tides calculated at station DR10, and (d-k) icequake detection statistics for each signal class. Inter-annual timescale is shown at left with vertical red lines indicating the subset weekly time-scale at right. The diurnal tidal signal corresponds to seismicity for classes 2, 3, and 6. Tidal model from (Padman et al., 2002); weather station data from AMRC, SSEC, UW–Madison.

Of particular interest at station RS09 is evidence of seismicity associated with the diurnal tide (Figure 12). On an inter-annual timescale, class 6 exhibits a periodic modulation of seismicity which tends to correlate with spring tides. Variability over fortnight tidal cycles is shown between 15 June 2016 and 15 July 2016. This weekly timescale shows that classes 2 and 3, the dominant signal classes, correlate with diurnal tides. Even some relatively non-active classes (1, 4, and 8) show signs of diurnal seismicity. These results are consistent with a previous study that found more than 95% of detections at RS09 were from tidally induced swarms of icequakes that occur throughout the year (Cole, 2020).

Other stations located at grounding zones exhibit similar patterns of seismicity, though to a lesser extent than RS09. Station RS11, located east of RS09 on the Shirase Coast, exhibits patterns of seismicity similar to RS09. These similarities indicate that ice shelf seismicity at grounding zones is associated with similar ice shelf processes. RS08, on the western flank of Roosevelt Island, and RS17, at Steershead Ice Rise, also exhibit diurnal seismicity, suggesting a dynamic diurnal process common to the grounding zones. These patterns of seismicity indicate that the interaction of the ice shelf with the solid

earth at grounding zones is modulated by tides. Among the four stations at grounding zones, class 3 signals are the most common. With a mean peak frequency of 5.3 Hz and a mean amplitude of 187 nm/s², these signals are among the strongest detected on the array.

7 Conclusions

Application of deep embedded clustering (DEC) to the Ross Ice Shelf (RIS) array data set identified eight classes of impulsive signals, with linkage of three of the classes to tidal variability near grounding zones. Additionally, stations near the RIS front showed increased icequake activity during the 2016 El Niño austral summer. The highest seismicity was observed at grounding zones, particularly along the eastern flank of Roosevelt Island.

DEC is an effective way to explore large seismic data sets, particularly in its ability to identify dominant types of seismicity. The results provided by DEC, when contextualized with non-seismic environmental data, can assist in the identification or correlation of seismic source mechanisms, as demonstrated with the RIS environmental data. Additionally, DEC can be readily tailored to investigate different aspects of the same or new data sets. Combined with its effectiveness at clustering seismic detections, this flexibility suggests that DEC can be incorporated into existing seismic workflows in order to speed up exploratory data analysis.

As seismic data sets grow ever larger, novel machine learning techniques will be necessary to enable researchers to fully utilize this data. DEC has the potential to become an important tool for exploring these large data sets, and to complement other machine learning-based tools as well as conventional signal processing approaches. The incorporation of such tools will enable more thorough and timely geophysical data analysis, thus improving the response of geophysical research to the needs of society in a rapidly changing earth.

Acknowledgments

This work was funded by the Office of Naval Research through the National Defense Science and Engineering Graduate Fellowship Program, and by National Science Foundation (NSF) grant PLR 1246151, with support for Bromirski by NSF 1744856. Seismic

data from network XH (D. Wiens & Bromirski, 2014) were downloaded through IRIS Web Services (<https://service.iris.edu/irisws/>). Seismic data were processed using Obspy software (Beyreuther et al., 2010). Figures were generated in MATLAB (<https://www.mathworks.com>) and with Matplotlib (<https://matplotlib.org>). The DEC model was produced using PyTorch (<https://pytorch.org>). Antarctica elevation data, grounding line, and coast line were obtained from Bedmachine (Morlighem et al., 2017) and plotted using Antarctic Mapping Tools for MATLAB (Greene et al., 2017). Surface temperatures were obtained from AMRC, SSEC, University of Wisconsin–Madison (<https://amrc.ssec.wisc.edu>). Tide data were generated by the CATS2008 model (Padman et al., 2002). Ross Sea ice coverage was obtained from NASA NSIDC (Cavalieri et al., 1996, updated yearly). Code for this workflow is available at <https://github.com/NeptuneProjects/RISClusterPT>.

References

- Aggarwal, C. C., Hinneburg, A., & Keim, D. A. (2001). On the Surprising Behavior of Distance Metrics in High Dimensional Space. In G. Goos, J. Hartmanis, J. van Leeuwen, J. Van den Bussche, & V. Vianu (Eds.), *Database Theory — ICDT 2001* (Vol. 1973, pp. 420–434). Berlin, Heidelberg: Springer Berlin Heidelberg. doi: 10.1007/3-540-44503-X_27
- Aggarwal, C. C., & Reddy, C. K. (Eds.). (2014). *Data clustering: Algorithms and applications*. Boca Raton: Chapman and Hall/CRC.
- Alley, K., Scambos, T., Miller, J., Long, D., & MacFerrin, M. (2018, June). Quantifying vulnerability of Antarctic ice shelves to hydrofracture using microwave scattering properties. *Remote Sensing of Environment*, 210, 297–306. doi: 10.1016/j.rse.2018.03.025
- Aster, R. C., & Winberry, J. P. (2017, December). Glacial seismology. *Reports on Progress in Physics*, 80(12), 126801. doi: 10.1088/1361-6633/aa8473
- Baker, M. G., Aster, R. C., Anthony, R. E., Chaput, J., Wiens, D. A., Nyblade, A., ... Stephen, R. A. (2019, December). Seasonal and spatial variations in the ocean-coupled ambient wavefield of the Ross Ice Shelf. *Journal of Glaciology*, 65(254), 912–925. doi: 10.1017/jog.2019.64
- Baker, M. G., Aster, R. C., Wiens, D. A., Nyblade, A., Bromirski, P. D., Gerstoft, P., & Stephen, R. A. (2020, October). Teleseismic earthquake wave-

- fields observed on the Ross Ice Shelf. *Journal of Glaciology*, 1–17. doi:
10.1017/jog.2020.83
- Barcheck, C. G., Tulaczyk, S., Schwartz, S. Y., Walter, J. I., & Winberry, J. P.
(2018, March). Implications of basal micro-earthquakes and tremor for ice
stream mechanics: Stick-slip basal sliding and till erosion. *Earth and Planetary
Science Letters*, 486, 54–60. doi: 10.1016/j.epsl.2017.12.046
- Beaucé, E., Frank, W. B., & Romanenko, A. (2018, January). Fast Matched Fil-
ter (FMF): An Efficient Seismic Matched-Filter Search for Both CPU and
GPU Architectures. *Seismological Research Letters*, 89(1), 165–172. doi:
10.1785/0220170181
- Bellman, R. E. (1961). *Adaptive Control Processes: A Guided Tour*. Rand Corpora-
tion.
- Bergen, K. J., & Beroza, G. C. (2018, June). Detecting earthquakes over a seismic
network using single-station similarity measures. *Geophysical Journal Interna-
tional*, 213(3), 1984–1998. doi: 10.1093/gji/ggy100
- Beyreuther, M., Barsch, R., Krischer, L., Megies, T., Behr, Y., & Wassermann,
J. (2010, May). ObsPy: A Python Toolbox for Seismology. *Seismological
Research Letters*, 81(3), 530–533. doi: 10.1785/gssrl.81.3.530
- Bianco, M. J., & Gerstoft, P. (2018, December). Travel Time Tomography With
Adaptive Dictionaries. *IEEE Transactions on Computational Imaging*, 4(4),
499–511. doi: 10.1109/TCI.2018.2862644
- Bianco, M. J., Gerstoft, P., Olsen, K. B., & Lin, F.-C. (2019, December). High-
resolution seismic tomography of Long Beach, CA using machine learning. *Sci-
entific Reports*, 9(1), 14987. doi: 10.1038/s41598-019-50381-z
- Bindschadler, R. A., King, M. A., Alley, R. B., Anandakrishnan, S., & Padman, L.
(2003, August). Tidally Controlled Stick-Slip Discharge of a West Antarctic
Ice Stream. *Science*, 301(5636), 1087–1089. doi: 10.1126/science.1087231
- Bindschadler, R. A., Vornberger, P. L., King, M. A., & Padman, L. (2003). Tidally
driven stick-slip motion in the mouth of Whillans Ice Stream, Antarctica. *An-
nals of Glaciology*, 36, 263–272. doi: 10.3189/172756403781816284
- Bishop, C. (2006). *Pattern Recognition and Machine Learning* (First ed.). Springer-
Verlag New York.
- Bromirski, P. D., Chen, Z., Stephen, R. A., Gerstoft, P., Arcas, D., Diez, A., ... Ny-

- blade, A. (2017, July). Tsunami and infragravity waves impacting Antarctic ice shelves. *Journal of Geophysical Research: Oceans*, 122(7), 5786–5801. doi: 10.1002/2017JC012913
- Bromirski, P. D., Diez, A., Gerstoft, P., Stephen, R. A., Bolmer, T., Wiens, D. A., ... Nyblade, A. (2015, September). Ross ice shelf vibrations. *Geophysical Research Letters*, 42(18), 7589–7597. doi: 10.1002/2015GL065284
- Bromirski, P. D., & Stephen, R. A. (2012). Response of the Ross Ice Shelf, Antarctica, to ocean gravity-wave forcing. *Annals of Glaciology*, 53(60), 163–172. doi: 10.3189/2012AoG60A058
- Cavalieri, D. J., Parkinson, C. L., Gloersen, P., & Zwally, H. J. (1996, updated yearly). *Sea Ice Concentrations from Nimbus-7 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data, Version 1*. Boulder, Colorado USA. NASA National Snow and Ice Data Center Distributed Active Archive Center.
- Chamarczuk, M., Nishitsuji, Y., Malinowski, M., & Draganov, D. (2020, January). Unsupervised Learning Used in Automatic Detection and Classification of Ambient-Noise Recordings from a Large-N Array. *Seismological Research Letters*, 91(1), 370–389. doi: 10.1785/0220190063
- Chamberlain, C. J., Hopp, C. J., Boese, C. M., Warren-Smith, E., Chambers, D., Chu, S. X., ... Townend, J. (2018, January). EQcorrscan: Repeating and Near-Repeating Earthquake Detection and Analysis in Python. *Seismological Research Letters*, 89(1), 173–181. doi: 10.1785/0220170151
- Chaput, J., Aster, R. C., McGrath, D., Baker, M., Anthony, R. E., Gerstoft, P., ... Stevens, L. A. (2018, October). Near-Surface Environmentally Forced Changes in the Ross Ice Shelf Observed With Ambient Seismic Noise. *Geophysical Research Letters*, 45(20). doi: 10.1029/2018GL079665
- Chazan, S. E., Gannot, S., & Goldberger, J. (2019, March). Deep Clustering Based on a Mixture of Autoencoders. *arXiv:1812.06535 [cs, stat]*.
- Chen, Z., Bromirski, P. D., Gerstoft, P., Stephen, R. A., Lee, W. S., Yun, S., ... Nyblade, A. A. (2019, August). Ross Ice Shelf Icequakes Associated With Ocean Gravity Wave Activity. *Geophysical Research Letters*, 46(15), 8893–8902. doi: 10.1029/2019GL084123
- Chen, Z., Bromirski, P. D., Gerstoft, P., Stephen, R. A., Wiens, D. A., Aster, R. C., & Nyblade, A. A. (2018, October). Ocean-excited plate waves in the Ross and

- 720 Pine Island Glacier ice shelves. *Journal of Glaciology*, 64(247), 730–744. doi:
721 10.1017/jog.2018.66
- 722 Cole, H. M. (2020). *Tidally Induced Seismicity at the Grounded Margins of the*
723 *Ross Ice Shelf, Antarctica* (Master’s Thesis). Colorado State University, Fort
724 Collins, Colorado.
- 725 De Angelis, H., & Skvarca, P. (2003, March). Glacier Surge After Ice Shelf Collapse.
726 *Science*, 299(5612), 1560–1562. doi: 10.1126/science.1077987
- 727 Diez, A., Bromirski, P., Gerstoft, P., Stephen, R., Anthony, R., Aster, R., ... Wiens,
728 D. (2016, May). Ice shelf structure derived from dispersion curve analysis
729 of ambient seismic noise, Ross Ice Shelf, Antarctica. *Geophysical Journal*
730 *International*, 205(2), 785–795. doi: 10.1093/gji/ggw036
- 731 Dupont, T. K., & Alley, R. B. (2005). Assessment of the importance of ice-shelf but-
732 tressing to ice-sheet flow. *Geophysical Research Letters*, 32(4). doi: 10.1029/
733 2004GL022024
- 734 Fürst, J. J., Durand, G., Gillet-Chaulet, F., Tavard, L., Rankl, M., Braun, M., &
735 Gagliardini, O. (2016, May). The safety band of Antarctic ice shelves. *Nature*
736 *Climate Change*, 6(5), 479–482. doi: 10.1038/nclimate2912
- 737 Gibbons, S. J., & Ringdal, F. (2006, April). The detection of low magnitude seis-
738 mic events using array-based waveform correlation. *Geophysical Journal Inter-*
739 *national*, 165(1), 149–166. doi: 10.1111/j.1365-246X.2006.02865.x
- 740 Goodfellow, I., Bengio, Y., & Courville, A. (2016). *Deep learning*. MIT Press.
- 741 Greene, C. A., Gwyther, D. E., & Blankenship, D. D. (2017, July). Antarctic Map-
742 ping Tools for Matlab. *Computers & Geosciences*, 104, 151–157. doi: 10.1016/
743 j.cageo.2016.08.003
- 744 Hartigan, J. A., & Wong, M. A. (1979). Algorithm AS 136: A K-Means Clustering
745 Algorithm. *Applied Statistics*, 28(1), 100. doi: 10.2307/2346830
- 746 Hell, M. C., Cornelle, B. D., Gille, S. T., Miller, A. J., & Bromirski, P. D. (2019,
747 November). Identifying Ocean Swell Generation Events from Ross Ice Shelf
748 Seismic Data. *Journal of Atmospheric and Oceanic Technology*, 36(11), 2171–
749 2189. doi: 10.1175/JTECH-D-19-0093.1
- 750 Hinton, G. E. (2006, July). Reducing the Dimensionality of Data with Neural Net-
751 works. *Science*, 313(5786), 504–507. doi: 10.1126/science.1127647
- 752 Holtzman, B. K., Paté, A., Paisley, J., Waldhauser, F., & Repetto, D. (2018,

- May). Machine learning reveals cyclic changes in seismic source spectra in Geysers geothermal field. *Science Advances*, 4(5), eaao2929. doi: 10.1126/sciadv.aao2929
- Hotovec-Ellis, A. J., & Jeffries, C. (2016, April). *Near Real-time Detection, Clustering, and Analysis of Repeating Earthquakes: Application to Mount St. Helens and Redoubt Volcanoes* [Invited]. Reno, NV, USA.
- Hubbard, B., Luckman, A., Ashmore, D. W., Bevan, S., Kulesa, B., Kuipers Munneke, P., ... Rutt, I. (2016, September). Massive subsurface ice formed by refreezing of ice-shelf melt ponds. *Nature Communications*, 7(1), 11897. doi: 10.1038/ncomms11897
- Johnson, C. W., Ben-Zion, Y., Meng, H., & Vernon, F. (2020, August). Identifying Different Classes of Seismic Noise Signals Using Unsupervised Learning. *Geophysical Research Letters*, 47(15). doi: 10.1029/2020GL088353
- Johnson, C. W., Meng, H., Vernon, F., & Ben-Zion, Y. (2019, August). Characteristics of Ground Motion Generated by Wind Interaction With Trees, Structures, and Other Surface Obstacles. *Journal of Geophysical Research: Solid Earth*, 124(8), 8519–8539. doi: 10.1029/2018JB017151
- Kingma, D. P., & Ba, J. (2017, January). Adam: A Method for Stochastic Optimization. *arXiv:1412.6980 [cs]*.
- Klein, E., Mosbeux, C., Bromirski, P. D., Padman, L., Bock, Y., Springer, S. R., & Fricker, H. A. (2020, October). Annual cycle in flow of Ross Ice Shelf, Antarctica: Contribution of variable basal melting. *Journal of Glaciology*, 66(259), 861–875. doi: 10.1017/jog.2020.61
- Kong, Q., Trugman, D. T., Ross, Z. E., Bianco, M. J., Meade, B. J., & Gerstoft, P. (2019, January). Machine Learning in Seismology: Turning Data into Insights. *Seismological Research Letters*, 90(1), 3–14. doi: 10.1785/0220180259
- Kullback, S., & Leibler, R. A. (1951, March). On Information and Sufficiency. *The Annals of Mathematical Statistics*, 22(1), 79–86. doi: 10.1214/aoms/1177729694
- MacAyeal, D. R., Banwell, A. F., Okal, E. A., Lin, J., Willis, I. C., Goodsell, B., & MacDonald, G. J. (2019, September). Diurnal seismicity cycle linked to subsurface melting on an ice shelf. *Annals of Glaciology*, 60(79), 137–157. doi: 10.1017/aog.2018.29

- 786 MacQueen, J. (1967). Some methods for classification and analysis of multivariate
787 observations. In *Proceedings of the fifth berkeley symposium on mathematical*
788 *statistics and probability, volume 1: Statistics* (pp. 281–297). Berkeley, Calif.:
789 University of California Press.
- 790 Min, E., Guo, X., Liu, Q., Zhang, G., Cui, J., & Long, J. (2018). A Survey of Clus-
791 tering With Deep Learning: From the Perspective of Network Architecture.
792 *IEEE Access*, 6, 39501–39514. doi: 10.1109/ACCESS.2018.2855437
- 793 Morlighem, M., Williams, C. N., Rignot, E., An, L., Arndt, J. E., Bamber, J. L., ...
794 Zinglensen, K. B. (2017, November). BedMachine v3: Complete Bed Topog-
795 raphy and Ocean Bathymetry Mapping of Greenland From Multibeam Echo
796 Sounding Combined With Mass Conservation. *Geophysical Research Letters*,
797 44(21). doi: 10.1002/2017GL074954
- 798 Mousavi, S. M., Horton, S. P., Langston, C. A., & Samei, B. (2016, October).
799 Seismic features and automatic discrimination of deep and shallow induced-
800 microearthquakes using neural network and logistic regression. *Geophysical*
801 *Journal International*, 207(1), 29–46. doi: 10.1093/gji/ggw258
- 802 Mousavi, S. M., Zhu, W., Ellsworth, W., & Beroza, G. (2019, November). Un-
803 supervised Clustering of Seismic Signals Using Deep Convolutional Autoen-
804 coders. *IEEE Geoscience and Remote Sensing Letters*, 16(11), 1693–1697. doi:
805 10.1109/LGRS.2019.2909218
- 806 Murphy, K. P. (2012). *Machine learning: A probabilistic perspective*. Cambridge,
807 MA: MIT Press.
- 808 Nicolas, J. P., Vogelmann, A. M., Scott, R. C., Wilson, A. B., Cadeddu, M. P.,
809 Bromwich, D. H., ... Wille, J. D. (2017, August). January 2016 extensive
810 summer melt in West Antarctica favoured by strong El Niño. *Nature Commu-*
811 *nications*, 8(1), 15799. doi: 10.1038/ncomms15799
- 812 Olinger, S. D., Lipovsky, B. P., Wiens, D. A., Aster, R. C., Bromirski, P. D., Chen,
813 Z., ... Stephen, R. A. (2019, June). Tidal and Thermal Stresses Drive Seismic-
814 ity Along a Major Ross Ice Shelf Rift. *Geophysical Research Letters*, 46(12),
815 6644–6652. doi: 10.1029/2019GL082842
- 816 Padman, L., Fricker, H. A., Coleman, R., Howard, S., & Erofeeva, L. (2002). A new
817 tide model for the Antarctic ice shelves and seas. *Annals of Glaciology*, 34,
818 247–254. doi: 10.3189/172756402781817752

- 819 Paolo, F. S., Fricker, H. A., & Padman, L. (2015). Volume loss from Antarctic ice
820 shelves is accelerating. *Science*, *348*(6232), 327–331. doi: 10.1126/science
821 .aaa0940
- 822 Perol, T., Gharbi, M., & Denolle, M. (2018, February). Convolutional neural net-
823 work for earthquake detection and location. *Science Advances*, *4*(2), e1700578.
824 doi: 10.1126/sciadv.1700578
- 825 Pritchard, H. D., Ligtenberg, S. R. M., Fricker, H. A., Vaughan, D. G., van den
826 Broeke, M. R., & Padman, L. (2012, April). Antarctic ice-sheet loss
827 driven by basal melting of ice shelves. *Nature*, *484*(7395), 502–505. doi:
828 10.1038/nature10968
- 829 Reddy, T. A., Devi, K. R., & Gangashetty, S. V. (2012, March). Nonlinear principal
830 component analysis for seismic data compression. In *2012 1st International*
831 *Conference on Recent Advances in Information Technology (RAIT)* (pp. 927–
832 932). Dhanbad, India: IEEE. doi: 10.1109/RAIT.2012.6194558
- 833 Riahi, N., & Gerstoft, P. (2017, March). Using graph clustering to locate sources
834 within a dense sensor array. *Signal Processing*, *132*, 110–120. doi: 10.1016/j
835 .sigpro.2016.10.001
- 836 Rignot, E., Mouginot, J., Morlighem, M., Seroussi, H., & Scheuchl, B. (2014).
837 Widespread, rapid grounding line retreat of Pine Island, Thwaites, Smith, and
838 Kohler glaciers, West Antarctica, from 1992 to 2011. *Geophysical Research*
839 *Letters*, *41*(10), 3502–3509. doi: 10.1002/2014GL060140
- 840 Rousseeuw, P. J. (1987, November). Silhouettes: A graphical aid to the interpre-
841 tation and validation of cluster analysis. *Journal of Computational and Applied*
842 *Mathematics*, *20*, 53–65. doi: 10.1016/0377-0427(87)90125-7
- 843 Scambos, T. A., Bohlander, J. A., Shuman, C. A., & Skvarca, P. (2004). Glacier
844 acceleration and thinning after ice shelf collapse in the Larsen B embay-
845 ment, Antarctica. *Geophysical Research Letters*, *31*(18). doi: 10.1029/
846 2004GL020670
- 847 Seydoux, L., Balestriero, R., Poli, P., de Hoop, M., Campillo, M., & Baraniuk, R.
848 (2020, December). Clustering earthquake signals and background noises in
849 continuous seismic data with unsupervised deep learning. *Nature Communica-*
850 *tions*, *11*(1), 3972. doi: 10.1038/s41467-020-17841-x
- 851 Smith, B., Fricker, H. A., Gardner, A. S., Medley, B., Nilsson, J., Paolo, F. S., ...

- 852 Zwally, H. J. (2020, June). Pervasive ice sheet mass loss reflects compet-
 853 ing ocean and atmosphere processes. *Science*, 368(6496), 1239–1242. doi:
 854 10.1126/science.aaz5845
- 855 Snover, D., Johnson, C. W., Bianco, M. J., & Gerstoft, P. (2020). Deep clustering
 856 to identify sources of urban seismic noise in Long Beach, CA. *Geophysical Re-*
 857 *search Letters*, 33.
- 858 Steinbach, M., Ertöz, L., & Kumar, V. (2004). The Challenges of Clustering High
 859 Dimensional Data. In L. T. Wille (Ed.), *New Directions in Statistical Physics:*
 860 *Econophysics, Bioinformatics, and Pattern Recognition* (pp. 273–309). Berlin,
 861 Heidelberg: Springer Berlin Heidelberg. doi: 10.1007/978-3-662-08968-2_16
- 862 Swindell, H., & Snell, N. (1977). *Station processor automatic signal detection sys-*
 863 *tem, phase I: Final Report, Station Processor Software Development, Texas*
 864 *Instruments Report No. ALEX (01)-FR-77-01.* (Tech. Rep.). Texas Instru-
 865 ments.
- 866 Telesca, L., & Chelidze, T. (2018, November). Visibility Graph Analysis of Seismic-
 867 ity around Enguri High Arch Dam, Caucasus. *Bulletin of the Seismological So-*
 868 *cietty of America*, 108(5B), 3141–3147. doi: 10.1785/0120170370
- 869 Thoma, M., Jenkins, A., Holland, D., & Jacobs, S. (2008). Modelling circumpolar
 870 deep water intrusions on the amundsen sea continental shelf, antarctica. *Geo-*
 871 *physical Research Letters*, 35(18). doi: 10.1029/2008GL034939
- 872 Tibshirani, R., Walther, G., & Hastie, T. (2001, May). Estimating the number
 873 of clusters in a data set via the gap statistic. *Journal of the Royal Statistical*
 874 *Society: Series B (Statistical Methodology)*, 63(2), 411–423. doi: 10.1111/1467
 875 -9868.00293
- 876 Trugman, D. T., & Shearer, P. M. (2017, March). GrowClust: A Hierarchical Clus-
 877 tering Algorithm for Relative Earthquake Relocation, with Application to the
 878 Spanish Springs and Sheldon, Nevada, Earthquake Sequences. *Seismological*
 879 *Research Letters*, 88(2A), 379–391. doi: 10.1785/0220160188
- 880 van der Maaten, L., & Hinton, G. (2008). Visualizing data using t-SNE. *Journal of*
 881 *Machine Learning Research*.
- 882 Vincent, P., Larochelle, H., Lajoie, I., Bengio, Y., & Manzagol, P.-A. (2010, Decem-
 883 ber). Stacked Denoising Autoencoders: Learning Useful Representations in a
 884 Deep Network with a Local Denoising Criterion. *Journal of Machine Learning*

- 885 *Research*, 38.
- 886 Wiens, D., & Bromirski, P. (2014). *Collaborative Research: Dynamic Response of the*
887 *Ross Ice Shelf to Wave-Induced Vibrations, and Collaborative Research: Mantle*
888 *Structure and Dynamics of the Ross Sea from a Passive Seismic Deployment*
889 *on the Ross Ice Shelf.* International Federation of Digital Seismograph Net-
890 works.
- 891 Wiens, D. A., Anandakrishnan, S., Winberry, J. P., & King, M. A. (2008, June). Si-
892 multaneous teleseismic and geodetic observations of the stick-slip motion of an
893 Antarctic ice stream. *Nature*, 453(7196), 770–774. doi: 10.1038/nature06990
- 894 Withers, M., Aster, R., Young, C., Beiriger, J., Harris, M., Moore, S., & Trujillo, J.
895 (1998, February). A Comparison of Select Trigger Algorithms for Automated
896 Global Seismic Phase and Event Detection. *Bulletin of the Seismological*
897 *Society of America*, 88(1), 12.
- 898 Xie, J., Girshick, R., & Farhadi, A. (2016). Unsupervised Deep Embedding for Clus-
899 tering Analysis. *Proceedings of the 33rd international conference on machine*
900 *learning*, 10.
- 901 Yang, B., Fu, X., Sidiropoulos, N. D., & Hong, M. (2017, June). Towards
902 K-means-friendly Spaces: Simultaneous Deep Learning and Clustering.
903 *arXiv:1610.04794 [cs]*.
- 904 Yoon, C. E., O'Reilly, O., Bergen, K. J., & Beroza, G. C. (2015, December). Earth-
905 quake detection through computationally efficient similarity search. *Science*
906 *Advances*, 1(11), e1501057. doi: 10.1126/sciadv.1501057