

1 **Oceanic harbingers of Pacific Decadal Oscillation**
2 **predictability in CESM2 detected by neural networks**

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5 **Key Points:**

- 6 • Artificial neural networks (ANNs) predict Pacific Decadal Oscillation (PDO) per-
7 sistence and transitions in CESM2.
- 8 • Explainable AI unveils regions used by ANNs for predicting the PDO on inter-
9 annual timescales.
- 10 • Predictable PDO transitions can be preceded by a heat build up in off-equatorial
11 western Pacific.

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Abstract

Predicting Pacific Decadal Oscillation (PDO) transitions and understanding the associated mechanisms has proven a critical but challenging task in climate science. As a form of decadal variability, the PDO is associated with both large-scale climate shifts and regional climate predictability. We show that artificial neural networks (ANNs) predict PDO persistence and transitions on the interannual timescale. Using layer-wise relevance propagation to investigate the ANN predictions, we demonstrate that the ANNs utilize oceanic patterns that have been previously linked to predictable PDO behavior. For PDO transitions, ANNs recognize a build-up of ocean heat content in the off-equatorial western Pacific 12-27 months before a transition occurs. The results support the continued use of ANNs in climate studies where explainability tools can assist in mechanistic understanding of the climate system.

Plain Language Summary

The Earth's oceans are capable of storing large amounts of heat with spatial patterns of ocean heat lasting for decades at a time. One such pattern is called the Pacific Decadal Oscillation (PDO). As these patterns indicate how heat is distributed over the globe they are associated with increased predictability of extreme weather events as well as being an important factor in marine ecosystems. Predicting when the PDO will shift from one pattern to the other has proven a tricky proposition in climate science as mechanisms from the atmosphere and the ocean both play a role. Here we show that artificial intelligence can predict PDO transitions over 12 months in advance. We also investigate the predictions and show that they are related to physical mechanisms known in the climate — our models are making the right predictions for the right reasons. We leverage past knowledge, and the new discoveries from artificial intelligence to speculate how ocean patterns can lead to PDO predictability.

1 Introduction

The Pacific Decadal Oscillation (PDO; Mantua et al., 1997; Zhang et al., 1997) is recognised as one of the most important sources of predictability on decadal timescales (Cassou et al., 2018). As such it has been linked to increased predictability of surface variables, including precipitation and temperature, as well as being an important factor in marine ecosystems and resource management. The PDO is not itself considered

43 a single mode of variability, but a manifestation of several different forcings: the inte-
44 gration of stochastic atmospheric forcing associated with the Aleutian low; tropical-subtropical
45 atmospheric teleconnections associated with the El Niño Southern Oscillation (ENSO)
46 phenomenon; the re-emergence of winter-to-winter sea surface temperature (SST) anoma-
47 lies; and ocean gyre dynamics (Newman et al., 2016, and the references therein). In its
48 positive phase, the PDO manifests as a pattern of negative SST anomalies in the cen-
49 tral and western North Pacific Ocean, surrounded by positive anomalies around the east-
50 ern edge, extending southward to around 20°N (Figure 1a).

51 While the combination of mechanisms that contribute to the PDO are considered
52 to be largely understood, challenges still exist in the realm of PDO predictability (Cassou
53 et al., 2018). This is especially true in predicting PDO transitions, i.e. when the PDO
54 shifts from one phase to the other. Stochastic models (Deser et al., 2003; Newman et al.,
55 2003; Schneider & Cornuelle, 2005), linear inverse models (LIMs; Newman, 2007; Alexan-
56 der et al., 2008; Dias et al., 2019), atmosphere-only models (Farneti et al., 2014) and fully
57 coupled climate models (Meehl & Hu, 2006; Meehl et al., 2014) have been used to recre-
58 ate the relevant processes that contribute to PDO variability and by comparing to ob-
59 servations, attempt to estimate how these processes can lead to predictability. This has
60 lead to a single robust theory for PDO transitions: studying periods of mega-droughts,
61 Meehl and Hu (2006) posited that tropical SST anomalies drive surface wind-stress anoma-
62 lies in the off-equatorial Pacific ($\sim 25^\circ$) via atmospheric teleconnections, forcing oceanic
63 Rossby waves that propagate westward on decadal timescales. This results in a build-
64 up of ocean heat content in the off-equatorial western Pacific. If an ENSO event sub-
65 sequently switches the sign of the tropical Pacific SST anomaly, this off-equatorial heat
66 is redistributed via Kelvin waves throughout the equatorial region, leading to a transi-
67 tion in the PDO. Meehl et al. (2016) investigate this mechanism in the context of the
68 Interdecadal Pacific Oscillation (IPO; similar to the PDO but the spatial domain spans
69 the full meridional extent of the Pacific), finding that initialized hindcasts with the Com-
70 munity Climate System Model, Version 4, (CCSM4; Gent et al., 2011) show skill in sim-
71 ulating past IPO transitions with this mechanism appearing to coincide with those par-
72 ticular transitions. Since the PDO is considered the North Pacific manifestation of the
73 IPO, the mechanism outlined above is directly relevant to understanding and predict-
74 ing PDO transitions (Farneti et al., 2014; Lu et al., 2021).

75 While stochastic climate models and LIMs model the climate system as linear, it
76 has been suggested that predictive skill, especially of oceanic variability, could be gained
77 using methods that better capture non-linearities in the system (Newman, 2007). Ar-
78 tificial neural networks (ANNs), a form of unsupervised machine learning, offer such a
79 non-linear framework and have proven skillful at predicting processes in the climate sys-
80 tem such as identifying the forced response to climate change, ENSO evolution and Madden-
81 Julian Oscillation teleconnections (Barnes et al., 2020; Ham et al., 2019; Toms et al., 2020;
82 Mayer & Barnes, 2021). Specifically in the case of oceanic predictability, Ham et al. (2019)
83 used a convolutional neural network to predict ENSO evolution, showing significantly
84 higher forecast skill than previous dynamical forecasts, while also identifying spatial SST
85 patterns corresponding to increased predictability. Similarly, Nadiga (2021) demonstrated
86 how reservoir computing (a form of recurrent neural networks) increases predictability
87 of oceanic variability in the North Atlantic Ocean on the interannual timescale, espe-
88 cially during period of infrequent or missing data. Together, these studies suggest that
89 neural networks are effective for investigating and predicting climate processes related
90 to oceanic variability. These, along with explainable AI (XAI, methods designed to aid
91 the interpretation of the decision-making process of a neural network) can identify sig-
92 nals associated with a neural network’s prediction.

93 In this study we show that ANNs are effective tools for predicting the PDO on an
94 interannual timescale. Furthermore, we investigate mechanisms identified by the ANNs
95 that lead to predictability, both long-term persistence and predicting transitions. Most
96 notably, we leverage explainable AI methods to attribute patterns of ocean heat content
97 anomalies to increased PDO predictability. We emphasize that not only are we concerned
98 with optimizing an ANN to solve a prediction problem, but we also explore the decision
99 making process of the ANN to uncover potential sources of predictability (Toms et al.,
100 2020).

101 **2 Data and Methods**

102 **2.1 Data**

103 We use monthly mean sea surface temperature (SST) and ocean heat content (OHC)
104 from the Community Earth System Model Version 2 (CESM2; Danabasoglu et al., 2020)
105 pre-industrial control run for the Coupled Model Intercomparison Project, Phase 6 (CMIP6;

106 Eyring et al., 2016). The presence of realistic ENSO and PDO variability in CESM2 was
107 demonstrated by Capotondi et al. (2020). We use the full 2000 year run, with the large
108 amount of data available (24000 months) desirable for training the ANNs. OHC is cal-
109 culated as the vertical heat content integral from the surface to 100 m depth (Fasullo
110 & Nerem, 2016). Both OHC and SST are interpolated to a $4^\circ \times 4^\circ$ grid and we deseas-
111 onalize both the SST and OHC fields by subtracting their respective monthly mean an-
112 nual cycles at each grid point. Furthermore for OHC (the input for the ANNs), we stan-
113 dardize each grid point by dividing it by its monthly standard deviation and apply a 6-
114 month running mean.

115 The PDO is calculated from the deseasonalized SSTs, defined as the leading em-
116 pirical orthogonal function (EOF) of the North Pacific (110E-260E, 20N-60N) monthly
117 SSTs. This EOF, projected onto the global deseasonalized SST field, is presented in Fig-
118 ure 1a. In contrast to previous studies where the PDO index is defined using low pass
119 filters with between 5–11 year cut-offs, here the PDO index is defined as the 6-month
120 running mean of the principal component time series. This is because PDO transitions
121 are considered to be influenced by interannual variability associated with e.g. ENSO (Meehl
122 et al., 2016) and we want our ANNs to be able to account for these processes. The dis-
123 tribution of phase durations in CESM2 is shown in Figure 1b, demonstrating that there
124 are a large number of phases of shorter duration, with decreasing samples as phase du-
125 ration increases. The PDO representation in CESM2 is considerably improved over pre-
126 vious versions of the model, with periods of long term persistence similar to the obser-
127 vational record. However, the PDO within CESM2 contains extended periods of rapid
128 fluctuation (Capotondi et al., 2020). We choose to retain and investigate these periods
129 because the observational record is relatively short, and furthermore it has been posited
130 the PDO will become weaker and of shorter phase under climate change (Li et al., 2019),
131 hence high frequency PDO variability may become more relevant in future climate sce-
132 narios.

133 2.2 Artificial Neural Network

134 We use a single layer artificial neural network (ANN) to predict whether a PDO
135 phase transition will occur within 30 months, i.e. for some input, the output is a clas-
136 sification (yes or no) of whether a PDO transition will occur within the following 30 months.
137 An overview of neural networks is provided in the supplement as well as our rationale

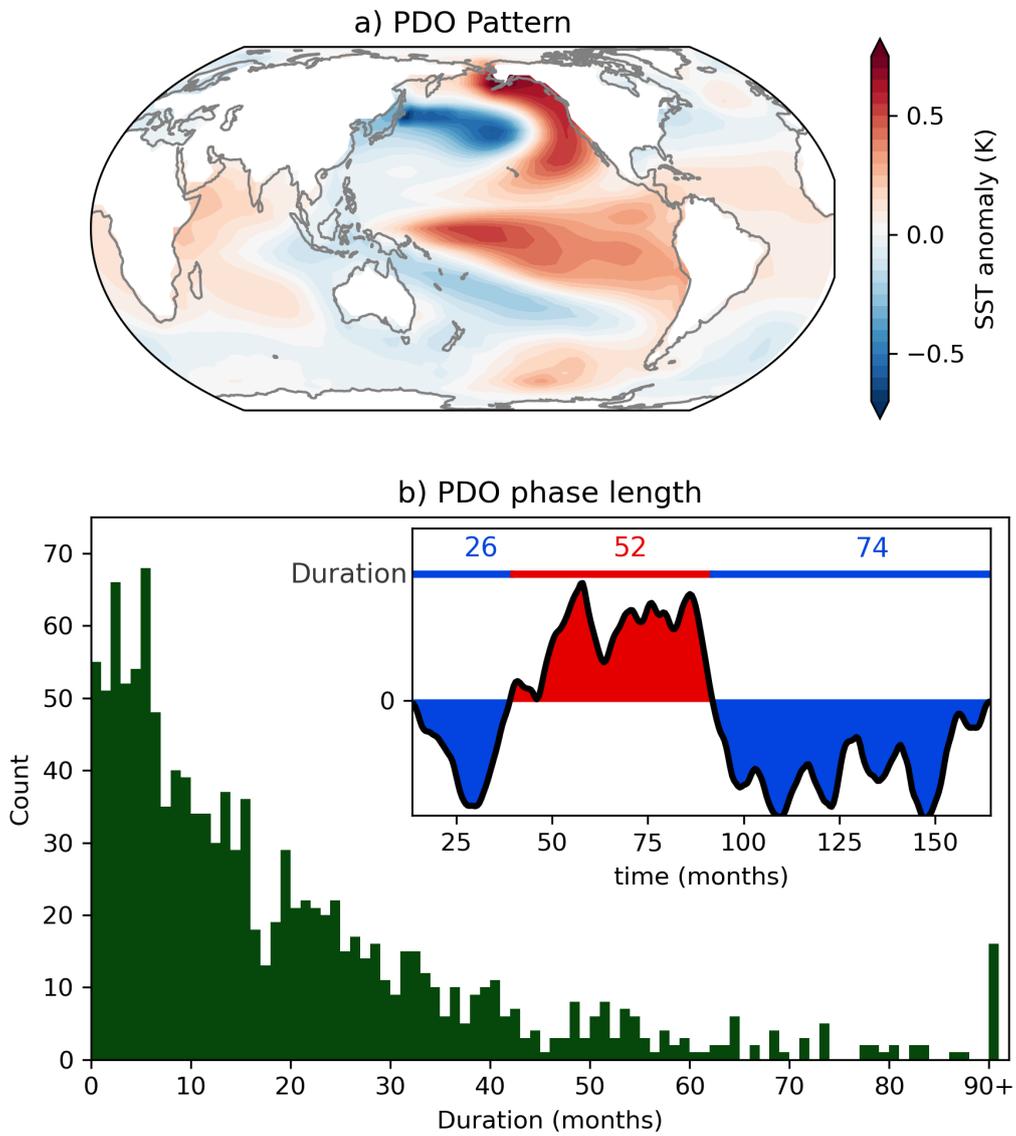


Figure 1. a) North Pacific PC 1 projected onto global de-seasoned SST. b) Histogram showing distribution of PDO phase lengths in CESM pre-industrial control run. Inset: slice of PDO index showing PDO phase length as number of months between phase changes.

138 for using a 30 month lead time in this study. The input layer to the ANN is three maps
139 of deseasoned and standardized $4^\circ \times 4^\circ$ OHC anomalies, four months apart i.e. if the
140 ANN is predicting PDO transition occurrence within some month $\tau = 0$, the three in-
141 put maps are $\tau = -38$, $\tau = -34$, and $\tau = -30$ months. The input fields are flattened
142 and concatenated resulting in an input vector of 12150 pixels. The input vector is fed
143 into a densely connected hidden layer with 8 nodes which utilize the Rectified Linear Unit
144 (ReLU) activation function. Finally, this is fed into an output layer of two nodes with
145 softmax activation, representing the prediction. We interpret the ANN’s prediction as
146 the node with the higher value, and this value is termed the “ANN’s confidence”. For
147 example, if the output is 0.63 on the persistence node, and 0.37 on the transition node,
148 this represents a prediction of persistence with 0.63 confidence. For training, we use the
149 categorical cross entropy loss function. We have found that setting the problem up as
150 a binary classification task – will it or will it not transition in the next 30 months – yields
151 insights into the mechanisms for PDO transition predictability. With that said, we have
152 explored other architectures as well, including setting the problem up as a regression task
153 whereby the network must predict the number of months until the next transition. In
154 this instance, the network struggles to differentiate weak PDO states that may flip sign
155 in the coming months from those weak PDO states that are on their way to persist for
156 years. Since the main goal of this work is to identify mechanisms that offer PDO tran-
157 sition predictability, we present results from the binary classification architecture here
158 although the regression architecture warrants further exploration.

159 We split the data into training and validation, using the first 90% (1800 years, 21600
160 samples) for training and final 10% (200 years, 2400 samples) for validation. Since there
161 are more samples where transitions occur than persistence (see Figure 1b, there are more
162 short duration phases than long), we manually balance the classes in both the training
163 and validation sets. To generate the training data we use all of the persistence samples
164 in the training set, and randomly grab an equal number of transition samples from the
165 training set. We do the same from the validation set. This results in 9386 training sam-
166 ples (4693 of each class) and 1110 validation samples (555 of each class) for each neu-
167 ral network. We train 60 networks total with identical architecture and vary only the
168 random seed which controls how the weights in each network are initialized. Here we present
169 results as averages from the best 3 networks. Full model specifications, descriptions and
170 analysis of all 60 networks is included in Table S1 and the supplement text. After train-

171 ing, we use the ANN to make predictions of both training and validation data. As we
172 are able to rank an ANN’s output by confidence, when presenting results as composites
173 we choose to discard the 50% least confident predictions. Since the network is less con-
174 fident about these predictions, removing them from our analysis suggests our results will
175 focus on those with the strongest signals.

176 To investigate the decisions made by the ANNs, we use the neural network attri-
177 bution technique called layer-wise relevance propagation (LRP; Bach et al., 2015). LRP
178 propagates the prediction from an ANN back through the network and provides in our
179 case, a map of relevance values corresponding to the input grid, with positive values in-
180 dicating points that were relevant to the specific prediction, and negative values indi-
181 cating points that detracted from the prediction. The higher the value, the more “rel-
182 evant” the grid point. The utility of LRP in climate predictability studies has been dis-
183 cussed by Toms et al. (2020); Mamalakis et al. (2021) and used in studies by e.g. Mayer
184 and Barnes (2021); Toms et al. (2021); Sonnewald and Lguensat (2021). Here, we present
185 composites of LRP maps for predictions when the network is correct and confident. Each
186 map is first normalized by the prediction confidence (i.e. LRP map is divided by the win-
187 ning confidence) before compositing, then the composite is scaled by the maximum ab-
188 solute value so the composite has maximum absolute value of 1.

189 **3 Results**

190 **3.1 Detecting Persistence**

191 The average total accuracy of the best three ANNs is 64%, with average conditional
192 accuracy for predicting persistence of 55% (given no transition occurs, the ANN correctly
193 predicts no transition). While this accuracy is above that expected by random chance,
194 the low conditional accuracy across all persistence samples is likely due to the set up of
195 this problem. Consider a sample that transitions 31 months after input; this sample would
196 be designated persistence. However, a sample that transitions 29 months after input would
197 be classified as a transition, despite the similarity of the input samples. Because of this,
198 the samples that persist just beyond 30 months have very low accuracy while those with
199 much longer phase duration (potentially more indicative of long-term PDO persistence)
200 are more rare but have higher prediction accuracy (61% for durations > 40 months).
201 This is demonstrated in Figure 2. In the top panel we show the average distribution of

202 phase duration (green line) with the blue line demonstrating the number of samples cor-
 203 rectly identified by the ANN in the validation data. The bottom panel shows the accu-
 204 racy as a function of phase duration (i.e. blue divided by green). To compare the results
 205 to random chance, the dashed line indicates accuracy of 0.5, with shading indicating the
 206 5th-95th percentile range for each phase duration bin. For samples around the cut off
 207 of 30 months, there is a dramatic drop in accuracy. However, as duration increases so
 208 does prediction accuracy with high accuracy for samples between 45 and 65 months. Note
 209 for samples of duration above 70 months accuracy is again very low. We propose that
 210 this is because these samples will occur early in a PDO phase (i.e. very soon after a tran-
 211 sition) and hence having a weak PDO pattern for the ANNs to discern. It is hence dif-
 212 ficult for the ANN to differentiate between these samples and those where the sign flips
 213 very soon after input. We hence propose that the ANNs have learned patterns relating
 214 to persistence especially for samples where the phase is of longer duration. We also con-
 215 sider the accuracy of the predictions with the top 50% confidence values, shown in the
 216 dashed red line in Fig. 2. This shows that predictions with higher confidence are more
 217 likely to also be accurate, especially for the regime we consider here (transitions that oc-
 218 cur in 12-27 months). As higher confidence corresponds to higher accuracy, this implies
 219 that our networks have learned when patterns are more likely to lead to predictability.

220 Figure 3 shows the composite maps for correct predictions for cases when the PDO
 221 persists in its positive phase. The LRP heatmap of relevance values calculated for month
 222 $\tau = -30$ (the last input month) are shown in Figure 3a, while Figures 3b and 3c dis-
 223 play the standardized OHC anomaly at the input month ($\tau = -30$) and the final month
 224 ($\tau = 0$). OHC anomalies at both the input time and the prediction show a positive PDO
 225 pattern in the North Pacific, with the horse-shoe shaped positive anomalies surround-
 226 ing negative anomalies, verifying that indeed the ANNs have predicted a persisting pat-
 227 tern. Furthermore, the large magnitude anomalies in the North Pacific at input (Fig. 3b)
 228 are suggestive of PDO persistence as they correspond to a high magnitude PDO index
 229 which takes time to decay. It is thus encouraging that the largest relevance values in the
 230 LRP heatmap in Fig. 3a align with the positive horse-shoe shape in 3b. This suggests
 231 that the ANNs recognize large positive OHC anomalies in the North Pacific ocean as be-
 232 ing an indicator that the PDO will persist on the interannual timescale, and this is con-
 233 sistent with our physical understanding.

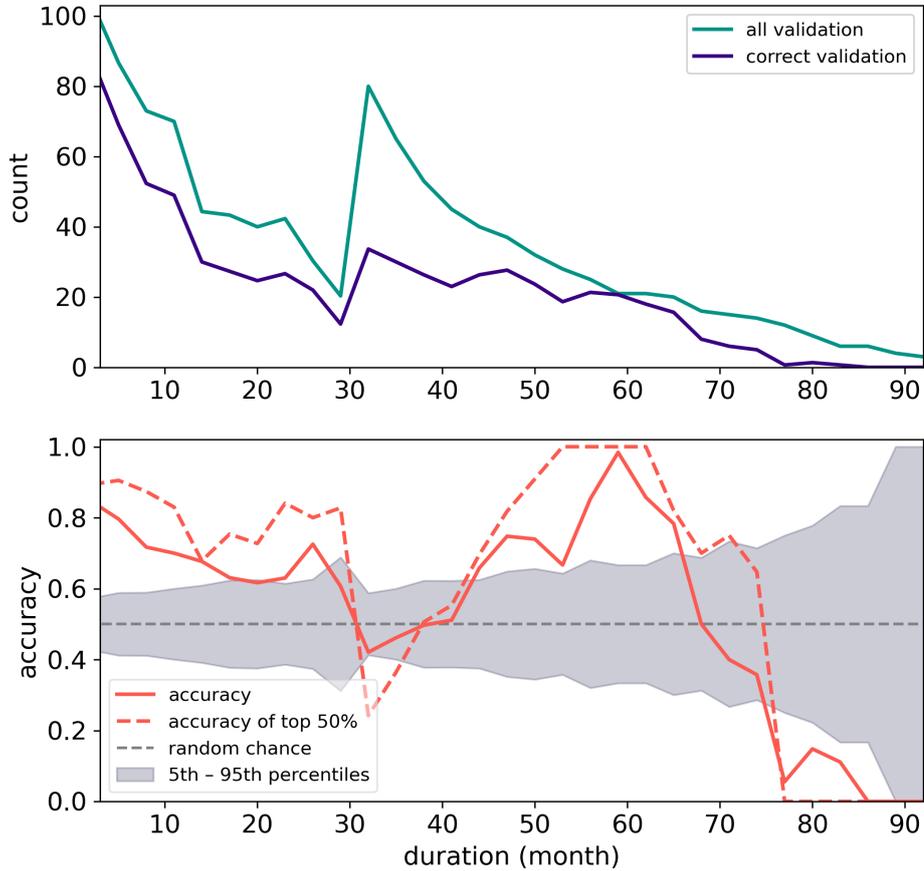


Figure 2. Top: Average distribution of phase duration in the validation data for the three ANNs, green shows all the validation data and blue is number correctly predicted by the ANN with data binned into 3 month averages. Bottom: Red line is accuracy of each phase duration bin (blue divided by green from above), red dashed line is accuracy of each phase duration when we only consider samples with highest 50% confidence. Grey dashed line indicates accuracy of 0.5, or random chance, with shading indicated 5th–95th percentile range for random chance.

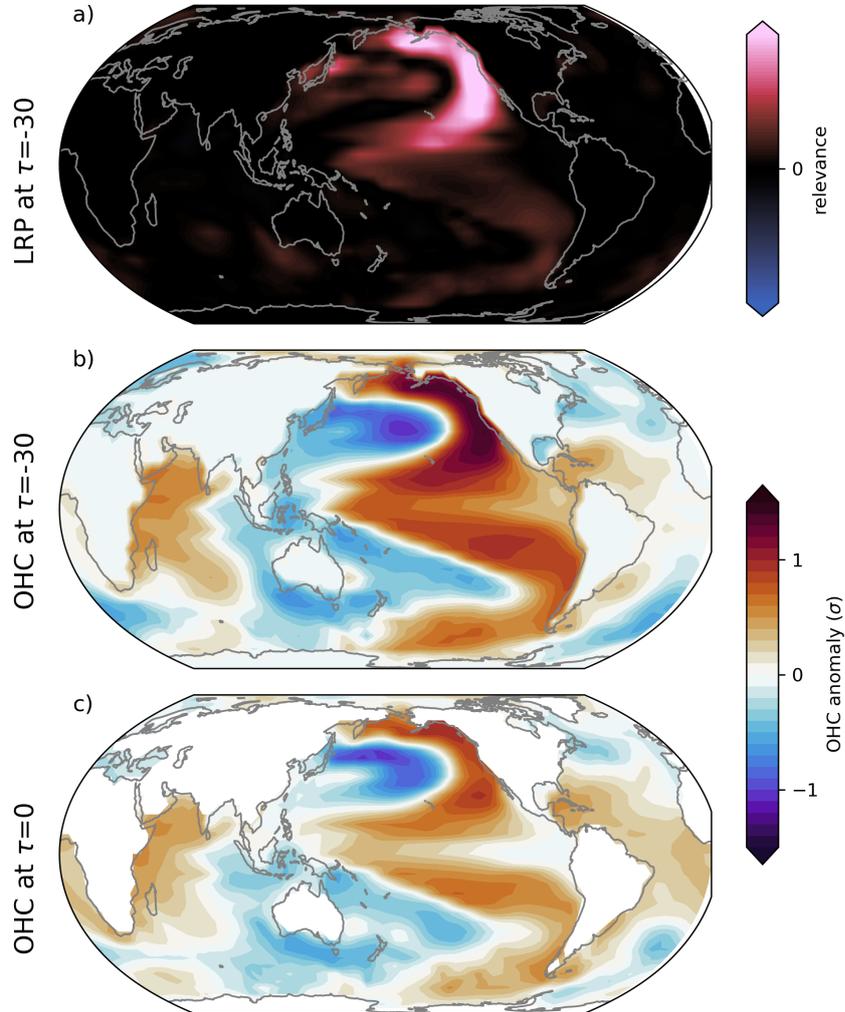


Figure 3. Composite maps when ANN correctly and confidently predicts persistence. a) Composite mean of LRP maps at final input month ($\tau=-30$). Red areas correspond to positive relevance and blue to negative relevance. b) Composite mean of OHC input maps at $\tau=-30$. Color scale is OHC anomaly in units of standard deviation σ at each grid-point. c) Composite mean of OHC at predicted month, color scale as in b).

234 3.2 Detecting Transitions

235 We now consider the ANNs's ability to predict PDO transitions within CESM2.
 236 The average conditional accuracy for predicting a transition (i.e. given a transition oc-
 237 curs, the ANN predicts a transition) is 72%. The conditional accuracy of transitions 12-
 238 27 months after input (given a transition occurs 12-27 months after input, the ANN pre-
 239 dict the transition) is 68%. This is apparent in Figure 2, with high accuracy for tran-
 240 sitions that occur very soon after input with reduced accuracy for transitions that oc-
 241 cur in the 12-27 month window. These later transitions are hence more difficult for the
 242 ANNs to learn because they must learn to detect precursors of transitions more than 12
 243 months before it occurs. Up to 27 months, accuracy values fall on or above the 95th per-
 244 centile of random chance. This suggests that when correct, the ANNs have learned pat-
 245 terns that lead to PDO transitions and furthermore, that they can recognize them more
 246 than 12 months in advance.

247 Figure 4 shows the composite result for correct prediction of PDO transitions when
 248 the transition occurs 12-27 months after input. We choose this window because it means
 249 the ANNs must recognize patterns that signal transitions at least 12 months in advance
 250 while there no loss in accuracy due to the 30 month cutoff. Positive to negative tran-
 251 sitions are displayed in the left column and negative to positive transitions are displayed
 252 in the right column. Figures 4a and 4b are the LRP maps for the final input map (month
 253 $\tau = -30$) with Figure 4c and 5d the corresponding OHC. We highlight the strongest
 254 relevance regions from the LRP maps by superimposing LRP contours (Fig. 4a and 4b)
 255 onto the OHC (Fig. 4c and 4d), with solid lines contours outlining highest 5% relevance
 256 values. Similarly, dashed contours encircle regions with the lowest 5% relevance values.
 257 Furthermore, we include pink squares in Fig. 4a-d to emphasize the regions where a build-
 258 up of OHC has been suggested in the literature to precede a PDO transition (Meehl et
 259 al., 2016). Lastly, to track the OHC evolution throughout the transition process, pan-
 260 els 4e and 4f show the OHC when the transition occurs, and 4g and 4h the OHC at month
 261 $\tau = 0$. Note in Figure S3-S4 we show the LRP maps and associated OHC for each in-
 262 put grid ($\tau = -38$, $\tau = -34$ and $\tau = -30$) but we do not include them here as they
 263 are very similar but with lower relevance values.

264 Large negative anomalies in the northern and southern off-equatorial western Pa-
 265 cific precede the positive to negative PDO transitions (Fig. 4c), while large positive anoma-

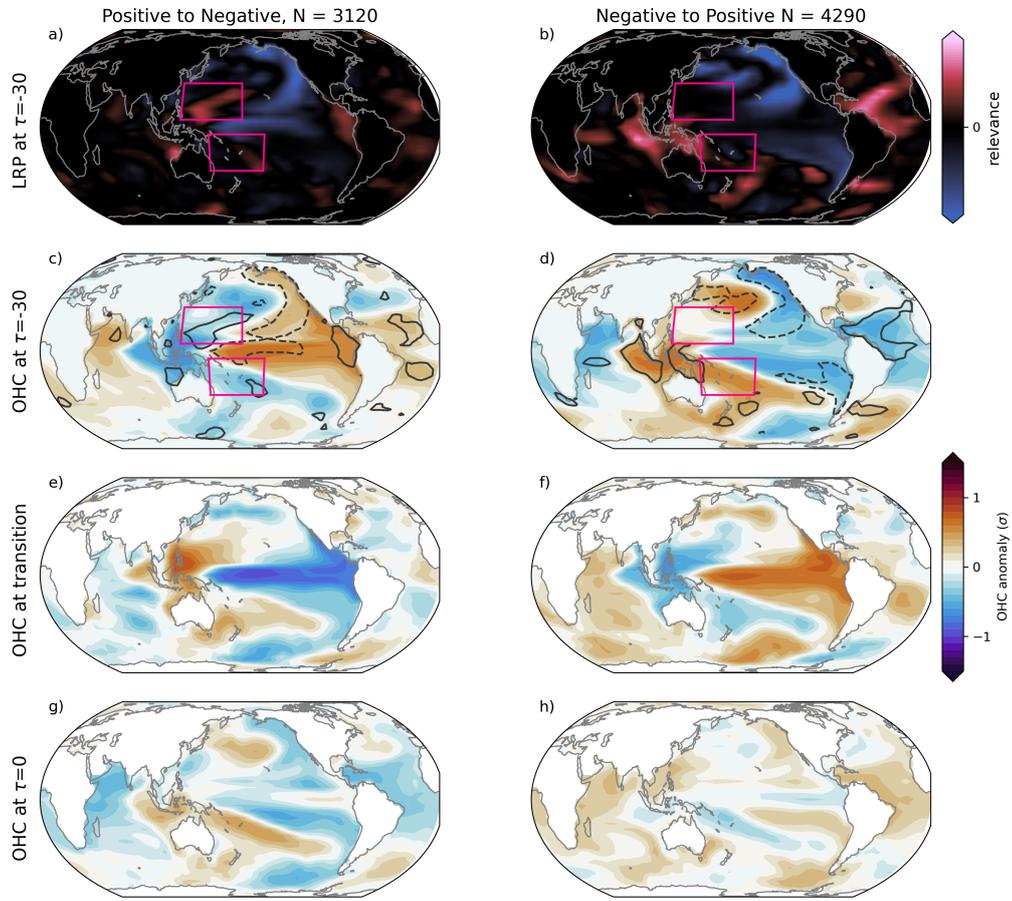


Figure 4. Composite maps of correct and confident predictions of PDO transition when transition occurs 12-27 months after input. Left column is positive to negative transitions, and right column is negative to positive transitions. Number of samples in each column is included in the title. Panels a) and b) are composite LRP 30 months before predictions. Red regions correspond to highest relevance and blue to lowest. Pink boxes highlight regions where OHC build-up is considered to precede PDO transitions (125E-180E, 5N-30N, and 150E-200E, 5S-30S). Panels c) and d) are the composite OHC maps 30 months before prediction, with color scale OHC anomaly in units of standard deviation. Dashed contours in c) and d) correspond to regions with highest 5% relevance in a) and b) respectively with dotted contour the lowest 5%. Panels e) and f) show composite OHC when transition occurs and panels g) and h) show OHC at the predicted month.

lies precede negative to positive transitions in the southern off-equatorial western Pacific (Fig. 4d). Together, these suggest the presence of a build up of OHC in either the northern or southern off-equatorial Pacific at least 12-27 months before a PDO transition occurs. In conjunction with the anomalies in Fig. 4c, the ANNs have recognized the northern region of heat content build up, with high relevance in the LRP composite in Fig. 4a. Conversely for negative to positive transitions, the ANNs mostly focus on the large positive anomalies over the maritime continent as well as the negative anomalies in the Atlantic, as shown by the high relevance values in Fig. 4b. The large relevance values in the Atlantic could signify the ANN detecting Atlantic teleconnections driving PDO transitions, which we discuss further in section 4. We also speculate that the lack of high relevance in the specific regions previously posited to contain anomalies leading to transitions (Meehl et al., 2016, pink boxes in Fig. 4b) could be due to a westward shift of these anomalies in CESM2 leading to the high relevance values in the maritime continent. Conversely, the larger number of samples in Fig. 4b compared to positive to negative transitions ($N = 4290$ for negative to positive compared to $N = 3120$ for positive to negative), results in weaker relevance signals. In supplement figure S6 we show by k-means clustering the LRP maps that there are indeed several distinct patterns within the LRP composite likely corresponding to different transition regimes detected by the ANNs, and cluster three of Fig. S6 (right-hand column) shows high relevance corresponding to the off-equatorial western Pacific for negative to positive transitions. So there appear to be different OHC patterns leading to PDO predictability. Furthermore the regions of high relevance in the composite in Fig. 4b suggest that the ANNs are using the OHC anomalies in these regions for its correct predictions, hence, we suggest future investigation into how these OHC anomaly patterns may preempt PDO transitions. Furthermore, the ANNs appear to be better at predicting negative to positive transitions than positive to negative transitions as there are more correct samples in the latter category (note there approximately the same number of transitions in each category). It is unclear whether this is due to PDO representation in CESM2, or whether there are fundamental differences in the transition process.

At the month the PDO transition occurs, note the large equatorial anomalies via La Nina and El Nino (Fig. 4e and 4f respectively). Furthermore, the anomalies in the western off-equatorial Pacific have switched sign in each panel at the transition as well. These factors are consistent with the mechanism posited by e.g. Meehl et al. (2016), that

299 an ENSO event following the OHC build-up causes the OHC to be redistributed by equa-
300 torial Kelvin waves. This redistribution of heat, and the associated atmospheric telecon-
301 nections, effect a PDO transition. Lastly, after the transition occurs (Fig. 4g and 4h),
302 OHC anomalies have largely shifted into the opposite PDO phase pattern as we would
303 expect.

304 The evolution of OHC throughout the PDO transition and corresponding LRP heatmaps
305 suggest that not only are PDO transitions preceded by OHC build-up in the off-equatorial
306 western Pacific 12-27 months before the transition, but for positive to negative transi-
307 tions, our ANNs detect this heat build up as relevant to its predictions. Furthermore,
308 we suggest that this is also the case for negative to positive transitions but it is likely
309 that regimes where this is detected by the ANNs are averaged out in the composite (Fig
310 S7). The ability of the ANNs to apparently detect a known precursor to PDO transi-
311 tions supports their use in climate variability problems to identify and possibly discover
312 regions leading to predictability.

313 **4 Discussion and Conclusion**

314 We show that PDO transitions are preceded by large amplitude OHC anomalies
315 in either the northern or southern off-equatorial western Pacific 12-27 months before the
316 transition occurs. Furthermore, using LRP we show that these anomalies are detected
317 by the ANNs and were relevant to their correct predictions of positive to negative tran-
318 sitions. This finding is similar to the work of Meehl et al. (2016) however in their anal-
319 ysis they suggest that OHC must build up in the off-equatorial western Pacific over a
320 period of 10-15 years before a transition occurs. The transition predictions analyzed here
321 only have inputs 12-27 months before the transition occurs, yet the ANNs do make cor-
322 rect predictions above random chance, implying that perhaps the timescale of the OHC
323 build-up is less important than the fact that the anomaly is present. This is similar to
324 the finding of Lu et al. (2021) whose network analysis did not necessarily require OHC
325 to build-up over a long period of time as long as it reached a certain threshold. More-
326 over, as we have applied 6 month smoothing, it is perhaps surprising that mechanisms
327 contributing to PDO transition predictability were able to be detected by the ANNs. This
328 suggests that the decadal scale of OHC build-up, and the interannual scale of ENSO in-
329 teract cooperatively and hence filtering out shorter duration signals may hinder the de-
330 tection of mechanisms relating to PDO transitions. This was also suggested by Lu et al.

331 (2021), who found their method less likely to detect their “early warning signal” when
332 an 11-year low pass filter is applied.

333 The maps in Figures 3 and 4 are presented as composite means of correct predic-
334 tions. As we have suggested, the signals detected by LRP and presented in these figures
335 may not necessarily be cooperating on every prediction. We check for this by using clus-
336 ter analysis on the LRP composites in Figure 4. Figures S5-S6 show how k-means clus-
337 tering highlights different signals in the LRP maps. Notably, the off-equatorial western
338 Pacific is highlighted in at least one cluster for both positive-to-negative transitions and
339 negative-to-positive transitions. Interestingly, there are regimes when the Atlantic Ocean
340 seems to be a highly relevant region for predictability. Since Atlantic teleconnections are
341 hypothesized to influence both PDO variability and ENSO events, and an ENSO event
342 is considered to be required to trigger a PDO transition (Kucharski et al., 2016; Chikamoto
343 et al., 2020; Johnson et al., 2020) it is not unrealistic that Atlantic OHC signals could
344 assist in predicting PDO transitions. It is hence possible the ANNs detect mechanisms
345 other than these discussed here leading to PDO transitions.

346 We show how ANNs and interpretability techniques can aid in the discovery and
347 investigation of mechanisms behind climate predictability. In the future, we suggest in-
348 vestigating regions highlighted here as potentially connected to PDO transitions, such
349 as the Atlantic Ocean. This is especially important in examining the possibility of dif-
350 ferent pathways that can lead to PDO transitions and hence we support the continued
351 use of methods such as ANNs and k-means clustering in objectively identifying poten-
352 tial regimes. In a broader sense, we encourage the future use of ANNs and XAI in cli-
353 mate predictability studies. We have shown that they are not just a tool for maximiz-
354 ing prediction accuracy, but also as a way of investigating potential mechanisms that lead
355 to predictability, and to advance our understanding of our chaotic climate system.

356 **Acknowledgments**

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359 The authors declare that they have no conflicts of interest.

360 Analysis was carried out in Python 3.7 and 3.9, ANNs were developed using Ten-
361 sorFlow (Abadi et al., 2016), while LRP visualizations were created with iNNvestigate

362 (Alber et al., 2019). Colormaps were used from CMasher (van der Velden, 2020). Re-
 363 gridding was achieved using Climate Data Operators (CDO; Schulzweida, 2019).

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