

Seismicity and the State of Stress in the Dezful Embayment, Zagros Fold and Thrust Belt

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Abstract

This study focuses on determining the orientation and constraining the magnitude of the present-day stress in the Dezful Embayment in Iran's Zagros Fold and Thrust Belt. Two datasets are used: the first includes petrophysical data from 25 wells (3 to 4 km), and the second contains 108 earthquake focal plane mechanisms mostly occurring in blind active basement faults (5 to 20 km). Formal stress inversion analysis of the focal plane mechanism demonstrates that the major basement faults are reverse faults with $S_{Hmax} > S_{hmin} \cong S_v$ ($A_\phi=2.0-2.2$). The seismologically determined S_{Hmax} direction is $37^\circ \pm 10^\circ$, nearly perpendicular to the strike of most faults in the region. However, borehole geomechanics analysis using rock strength and drilling evidence leads to the counterintuitive result that the shallow state of stress is a normal/strike-slip regime. These results are consistent with the low seismicity level in the sedimentary cover in the Dezful Embayment, and may be evidence of stress decoupling due to the existence of salt layers. This finding also aligns with the Mohr-Coulomb faulting theory in that the N-S strike-slip basement Kazerun fault has an unfavourable orientation for slip in a reverse fault regime with an average SW-NE S_{Hmax} orientation. The stress state situation in the field was used to identify the optimally oriented fault planes and the fault friction factor. For each focal plane mechanism, the ratio of shear to effective normal stress (the required frictional coefficient) was used to determine a geometrically preferred slip plane. The results are useful for determining the origin of seismic activity in the basin and better assessing fault-associated seismic hazards in the area.

Keywords: Focal mechanisms, Fault Mechanics, Seismicity, Stress State, Dezful Embayment, Zagros Fold and Thrust Belt.

32 1. Introduction

33 The Zagros fold-and-thrust belt (ZFTB), southwest Iran, is one of the most seismically
34 active areas in the world (Berberian, 1995; Talebian and Jackson, 2004), with more than 5000
35 earthquakes with $M_w \geq 3$ recorded between January 1, 2010, and January 1, 2020 (Iranian
36 Seismological Centre). The Dezful Embayment (DE) within the ZFTB is also one of the richest
37 hydrocarbon regions in the world, hosting many onshore hydrocarbon fields and containing
38 about 9% of global hydrocarbon (Bordenave and Hegre, 2010). Improving our knowledge of
39 its state of stress is important, in view of the area's enormous economic value and seismic
40 activity.

41 Understanding the state of stress in the area is important to seismology research
42 (Hauksson, 1994; Levandowski et al., 2018) and to reservoir geomechanics studies at various
43 scales (Dusseault, 2011). Knowing the state of stress in the DE helps us understand crustal-
44 scale seismicity pattern issues (10 km) arising from oil and gas extraction (McGarr et al., 2002),
45 the reservoir scale issues (1 km) of induced seismicity (Shen et al., 2019), and borehole scale
46 engineering issues (10 m) related to casing shear and borehole stability (Dusseault et al.,
47 1998). In the DE area, most seismicity occurs around the Balarud Fault (BL), Kazerun Fault
48 (KZ), and the Mountain Front Fault (MFF), and is restricted to below 6 km and to a surface
49 elevation of fewer than 1500 m above sea level (Figure 1). However, the major oil and gas
50 fields of the DE region are located at low elevation; therefore, combining both earthquake
51 datasets and borehole well logs leads to better coverage of the various scales.

52 Numerous studies have focused on determining stress state using earthquake focal
53 mechanism data in the ZFTB, where most seismicity happens on blind faults at basement level
54 or beneath the sedimentary cover at depths of 5-20 km (Allen et al., 2013; Allen and Talebian,
55 2011; Berberian, 1995; Jackson and Fitch, 1981; Lacombe et al., 2006; Nissen et al., 2011;
56 Sarkarinejad et al., 2017; Talebian and Jackson, 2002, 2004; Tatar et al., 2004; Zarifi et al.,
57 2014). Berberian (1995) stated that active thrust basement fault systems are covered by
58 quiescent sedimentary layers in the ZFTB. A micro-earthquake study (Yamini-Fard et al., 2006)
59 carried out around the Kazerun fault systems also revealed that the shallower sedimentary
60 cover deforms by strike-slip faulting, but that a reverse faulting regime exists at greater
61 depths (>7km). However, very few studies have integrated drilled wellbore datasets for
62 shallower depths (<5 km) to help delineate the area's current state of stress (Haghi et al.,

63 2018; Yaghoubi and Zeinali, 2009). The study by Yaghoubi and Zeinali (2009) in the Cheshmeh-
64 khosh field and by Haghi et al. (2018) in the Mansouri field indicated that normal/strike-slip
65 faulting predominates in the sedimentary cover to a depth of 5 km.

66 The presence of several continuous highly ductile layers in the ZFTB leads the upper
67 sedimentary cover to be decoupled from the basement (Mouthereau et al., 2007). The state
68 of stress in the DE is a classic case of decoupling, where the stress regimes are changed at
69 different depth because of the existence of highly ductile layers. These ductile zones shield
70 the shallower sediments from the compressional strains in the basement rock arising from
71 the collision between the Arabian and Eurasian plates that have generated the Zagros
72 (Bahroudi and Koyi, 2003; Berberian, 1995; Molinaro et al., 2005; Sepehr and Cosgrove, 2004;
73 Walpersdorf et al., 2006).

74 This study determines the orientation and constrains the magnitude of the area's
75 present-day stresses and faulting regimes (e.g., normal, strike-slip, or reverse) based on
76 geophysical wellbore log datasets of 25 wells as well as 108 earthquake focal plane
77 mechanisms. The database includes the style of faulting derived from earthquake focal
78 mechanism and analyses of borehole breakouts and tensile induced fractures. Evidence of
79 stress-induced borehole instability and geophysical data from various wells as well as
80 seismicity datasets are also used to estimate the state of stress in the DE.

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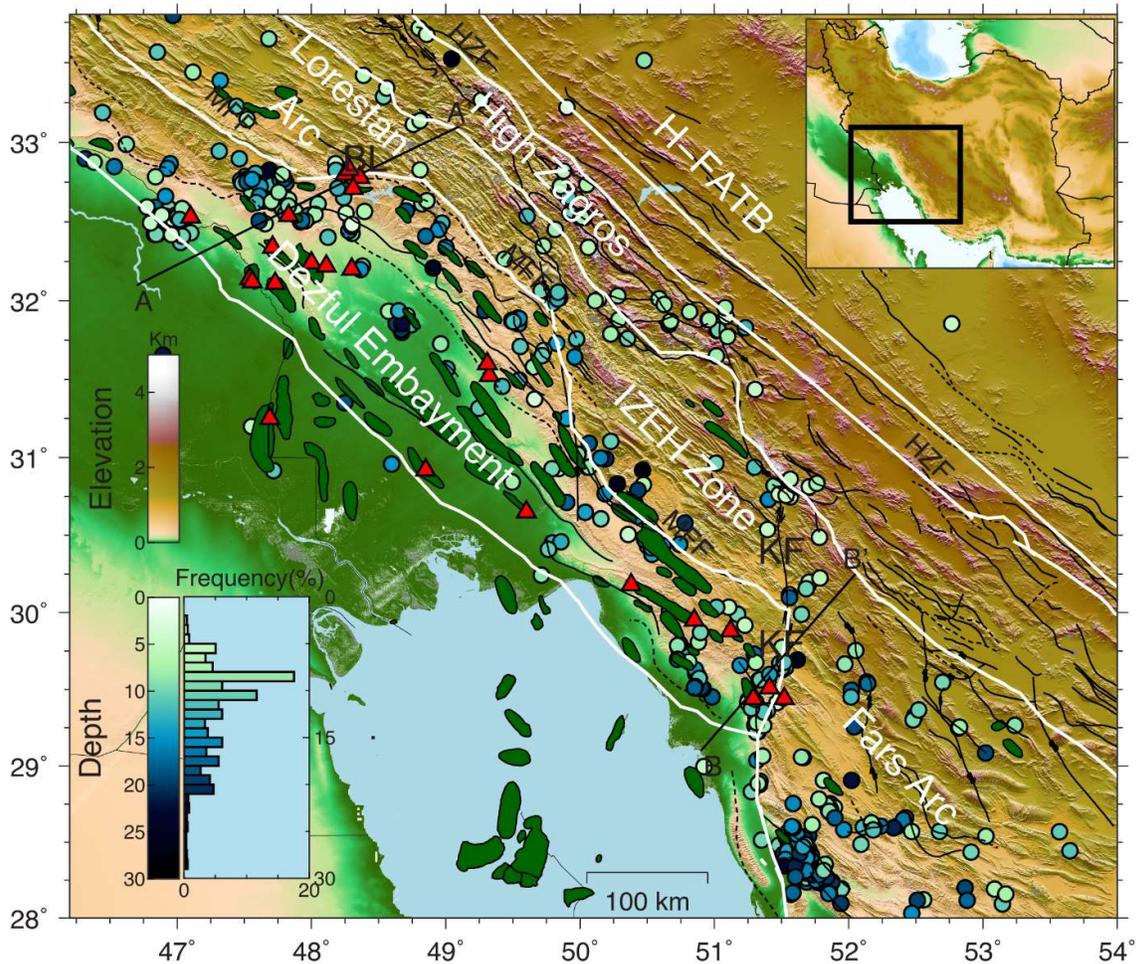
82 2. Regional Tectonic Setting

83 The Zagros Fold and Thrust Belt (ZFTB) results from the active collision of Arabian and
84 Eurasian plates. Extending for almost 1400 km and 100-200 km wide, with an approximately
85 N125°-160° trend, it stretches from eastern Turkey to the northern area of the Strait of
86 Hormuz in the Persian Gulf. The ongoing collision started during the Miocene era as the
87 Arabian plate pushed against central Iranian (Berberian, 1995). This compressional tectonic
88 activity has led to significant crustal shortening across the fold belt, and resulted in faulting
89 and folding, thrusting, and reactivation of large-scale strike-slip faulting of the sedimentary
90 cover sequence (Agard et al., 2005; Alavi, 1994). Among the major faults are the Izeh-Hendijan
91 Fault (IZHF), the Kharg-Mish Fault (KMF), and the Kazerun Fault (KZ) (Figure 1).

92 The deep-seated strike-slip Balarud Fault (BF) from the northwest and the Kazerun Fault
93 from the southeast divide the ZFTB into different geological zones, each with a different

94 structural style and stratigraphy. These zones include two regional embayments: the Kirkuk
95 Embayment to the northwest and the Dezful Embayment (DE) to the southeast. There are
96 also folded belts: from NW to SE, the Lorestan, Izeh and Fars (Central Zagros) provinces
97 (Sepehr and Cosgrove, 2007). An earlier study by Sherkati and Letouzey (2004) showed that
98 the DE has subsided approximately 5000 m compared to the Izeh zone across the Mountain
99 Front Fault (MFF).

100 Extending over 60,000 km², the DE is a discrete structural lowland bounded by the
101 Balarud Fault and the Mountain Front Fault (MFF) to the north and northeast, the Kazerun-
102 Borazjan Fault (KF) to the east and southeast, and the Zagros Foredeep Fault (ZFF) to the south
103 and southeast. This embayment is one of the most prolific oil regions in the world (Bordenave
104 and Burwood, 1995) and hosts more than 40 onshore hydrocarbon fields. Most of the
105 hydrocarbon fields (oval green shape in Figure 1) are elongated along the regional strike of
106 the whaleback folds, NW-SE, orthogonal to the shortening direction (SW-NE). The majority of
107 oil and gas hydrocarbon fields in the DE are found in two regional carbonate zones, the Asmari
108 and the Sarvak Formations. Most seismicity in the area occurs around the Balarud Fault (BL),
109 Kazerun Fault (KZ), and the Mountain Front Fault (MFF). Each coloured dot in Figures 1
110 indicates the epicenter of an earthquake recorded by the Iranian Seismological Centre (IRSC)
111 after 2010. We display that earthquakes have a recorded waveform only for the sake of
112 accuracy (Table S1 in the supplementary materials).



113

114 Figure 1: Topographic, structural and seismicity map of Zagros Fold and Thrust Belt along with
 115 locations of hydrocarbon fields (green oval shape). Coloured circles are IRSC-recorded earthquake
 116 centroid depths since 2010. Details of each earthquake are provided in the supplementary materials
 117 (Table S1). The bottom left histogram shows the depth distribution of earthquakes. Red triangles
 118 denote the location of the 25 wells investigated in this study. A-A' and B-B' are cross-sections of the
 119 seismicity and topography shown in Figure 5. Fault traces (the solid black lines) are inferred and
 120 compiled from Berberian (1995) and Talebian & Jackson (2004). The white lines show main structural
 121 subdivisions of the ZFTB. Major active faults are the HZF, High Zagros Fault; KZ, Kazerun Fault; MFF,
 122 Mountain Front Fault; MRF, Main Recent Fault; MZRF, Main Zagros Reverse Fault; ZFF, Zagros
 123 Foredeep Fault; BL, Balarud fault.

124

125

126 3. Dezful Embayment Stratigraphy

127 The present-day stratigraphic disposition of the Zagros Mountains and the DE is well
 128 established and is the result of a long geological history (Alavi, 1994, 2004, 2007; Bahroudi
 129 and Koyi, 2003; Bahroudi and Koyi, 2004; Fakhari et al., 2008; Jahani et al., 2007; James and
 130 Wynd, 1965; Mobasher and Babaie, 2008; Motiei, 1994; Pirouz, 2018; Pirouz et al., 2011;

131 Sherkati and Letouzey, 2004). Selected highlights of the DE stratigraphy are set out in the
132 following two paragraphs.

133 More than 10 km of Palaeozoic sedimentary successions have been deposited over the
134 infra-Cambrian Hormuz in the ZFTB. The significant difference in the stratigraphy between the
135 DE and Fars geological province is that the sedimentary cover of Fars province has been
136 deposited on top of the infra-Cambrian Hormuz Salt layer, whereas this layer is much thinner
137 or absent in the north Zagros (Jahani et al., 2007). The main detachment levels in the DE are
138 located in the evaporite-rich Triassic Dashtak and Mesozoic Gachsaran Formations (the yellow
139 formations in Figure S1 of the supplementary materials).

140 The Gachsaran Formation (lower Fars) varies in thickness from several hundred to 2000
141 m and includes thick beds of evaporates (anhydrite, gypsum, and salt) with some marl,
142 limestone, dolomite and shale zones (Bahroudi and Koyi, 2004; James and Wynd, 1965). The
143 Gachsaran Formation is a regional seal and is the caprock for the Asmari Formation reservoirs.
144 The Asmari Formation is composed of sandstone in its lower part and carbonates in the upper
145 part (Figure S1), but at the northern edge of the basin, its uppermost part is conglomeratic
146 with clasts derived from the Asmari itself (Mahbaz et al., 2011; Sardar and Mahbaz, 2009).
147 The Upper Cretaceous Sarvak Formation, the second-most important reservoir unit in the DE,
148 is part of the carbonate series of the Sarvak and Ilam Formations (Mahbaz et al., 2011) and
149 overlain by the Gurpi Formation. Motiei (1994) pointed out that the Sarvak Formation consists
150 of three limestone units, together reaching a maximum thickness of 821 m in the DE. The high
151 hydrocarbon productivity of these reservoirs, particularly the Asmari, results mostly from the
152 fracture systems created by the compressive folding characteristic of the Zagros area
153 (Bordenave and Hegre, 2010).

154

155 4. Data Collection

156 Twenty-five wells were examined in our state of stress analysis of the DE. Comprehensive
157 logging data and daily drilling report for the vertical section is available for all wells and
158 includes their image logs. Detailed analysis of these logs has provided a circumferential (360°)
159 picture of the borehole walls based on resistivity or acoustic contrast between fluid and rock.
160 We have examined the drilling-induced wellbore failures, that is, the borehole breakouts and
161 tensile-induced fractures, in all wells. Details of each well, including the depth of their image

162 logs, are provided in Table 1. Red triangles in Figure 1 denote the locations of the examined
163 wells, which were drilled in 15 different hydrocarbon fields at various locations in the DE.

164 A total of 108 individual well-constrained focal mechanisms have been extracted and
165 compiled from previous publications and sources (Adams et al., 2009; Baker et al., 1993;
166 Jackson and Fitch, 1981; Jackson and McKenzie, 1984; Maggi et al., 2000; McKenzie, 1972; Ni
167 and Barazangi, 1986; Nissen et al., 2011; Peyret et al., 2008; Priestley et al., 1994; Skirokova,
168 1967; Talebian and Jackson, 2004) and the Iranian Seismological Centre (IRSC). Details of each
169 focal mechanism and its references are provided in the supplementary materials. We have
170 selected only those focal mechanisms that rank A in their references. Of the 108 focal
171 mechanism, 73 are compiled from the IRCS using broad-wave forms modelling (Hosseini et
172 al., 2019). The selected focal mechanisms range in depth from 5 to 20 km, with an average
173 depth of 10 km. A number of the focal mechanisms belong to the Lorestan Arc and Fars Arc
174 to evaluate the state stress variation on the border of structural subdivisions. Of all
175 earthquakes considered in this focal mechanism study, 86 occurred in response to a thrust
176 faulting regime at various locations, and 22 were triggered by a strike-slip regime that mostly
177 occurred around the NS striking Kazerun Fault.

178

179 5. Constraining the state of stress from borehole data

180

181 5.1 Methodology

182 Since most of the ZFTB's earthquakes have been recorded below a depth of 5 km,
183 datasets obtained from boreholes fill a critical gap in understanding the state of stress at
184 shallower depths in the sedimentary cover. There are well-established techniques for
185 determining stress orientation from borehole geometry and borehole geophysics datasets
186 (Bell and Gough, 1979; Plumb and Cox, 1987). Drilling causes stress concentrations around
187 borehole wall. The local stress concentrations due to drilling a circular hole in an infinite
188 homogenous rock mass can be calculated from the Kirsch solution (Jaeger et al., 2009). In a
189 vertical wellbore, observation of compressive features (breakouts) and tensile features
190 (induced axial fractures) proves to be an effective approach for determining the minimum

191 and maximum horizontal in-situ stress orientation respectively (Mastin, 1988; Schmitt et al.,
192 2012).

193 In the context of the Mohr-Coulomb theory and considering Kirsch equation, compressive
194 and tensile failure will occur when the stress tangential effective stress ($\sigma_{\theta\theta}$) or the vertical
195 effective stress (σ_{zz}) exceeds the effective rock strength

$$196 \sigma_{\theta\theta} = S_{Hmax} + S_{hmin} - 2(S_{Hmax} + S_{hmin}) \cos 2\theta - 2P_p - \Delta P \geq \text{rock strength}$$

$$197 \sigma_{zz} = S_v - 2\nu(S_{Hmax} - S_{hmin}) \cos 2\theta - P_p \geq \text{rock strength}$$

$$198 \sigma_{rr} = \Delta P \tag{1}$$

199 where S_v , S_{Hmax} , S_{hmin} are vertical, maximum, and minimum horizontal stress magnitude
200 respectively; ν is the static Poisson's ratio; P_p is pore pressure; and ΔP is differential borehole
201 fluid pressure. Breakouts occur at the wellbore wall due to stress anisotropy and when the
202 stress concentration exceeds the rock strength. Therefore, knowing the rock strength helps
203 in placing a constraint on the state of stress.

204 The Leak-Off-Test (LOT), Hydraulic Fracturing Test and as well as Pressure While Drilling
205 (PWD) (Ward and Andreassen, 1997) are different direct in-situ stress measurements taken
206 during well drilling. An alternate approach used to constrain the in-situ stress magnitude in
207 the absence of direct stress measurement is to consider that the stress magnitudes are in
208 equilibrium with the frictional strength of pre-existing faults (Jaeger et al., 2009). The ratio of
209 the maximum ($S_1 - P_p$) to minimum ($S_3 - P_p$) effective stress on a well-oriented cohesionless fault
210 is limited by frictional strength:

$$211 (S_1 - P_p) / (S_3 - P_p) = \left[\sqrt{1 + \mu^2} + \mu \right]^2 \tag{2}$$

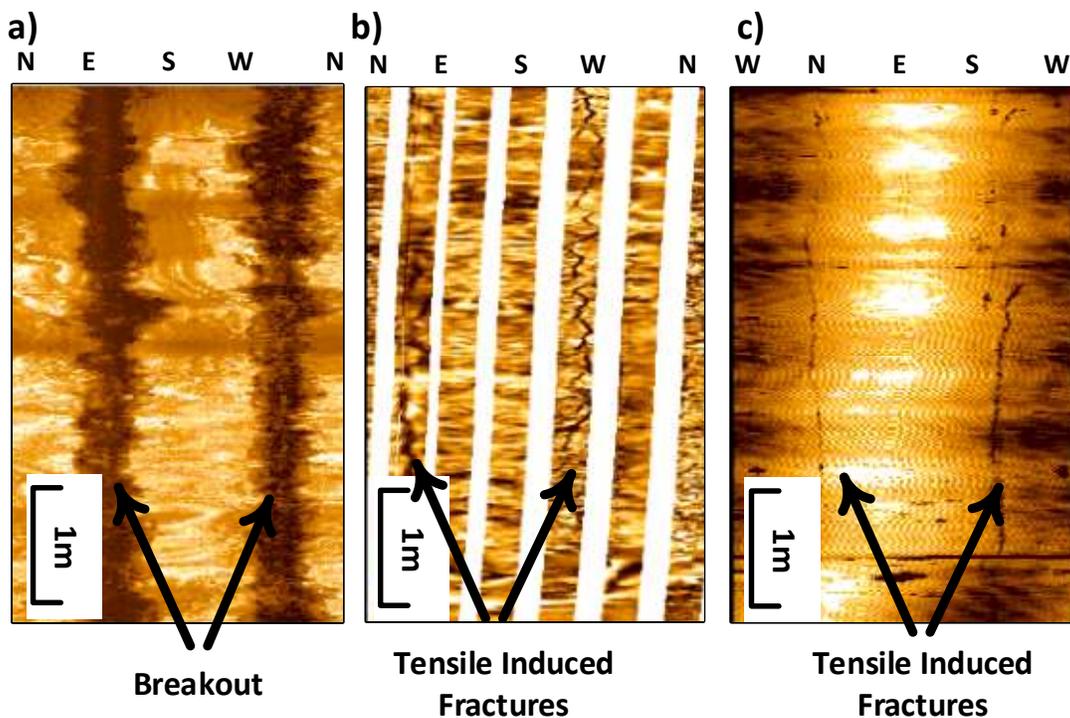
212 where P_p is pore pressure and μ is the coefficient of frictional sliding on a pre-existing fault
213 (Jaeger et al., 2009). The assumption is that one of the principal stresses is vertical. It has been
214 found in laboratory studies and in-situ experiments that the magnitude of the coefficient of
215 friction falls within the range of 0.6 to 1 (Townend and Zoback, 2000).

216 Although many boreholes have been drilled in the ZFTB area, Leak-Off-Tests (LOT) and
217 hydraulic fracturing experiments have been performed only in some of them. Since no direct
218 S_{hmin} measurement was available for this study, the state of stress had to be constrained from
219 the borehole well logs data at the lower depth of the DE. Hence, bounds had to be established
220 on the stress magnitudes using wellbore wall observations.

221

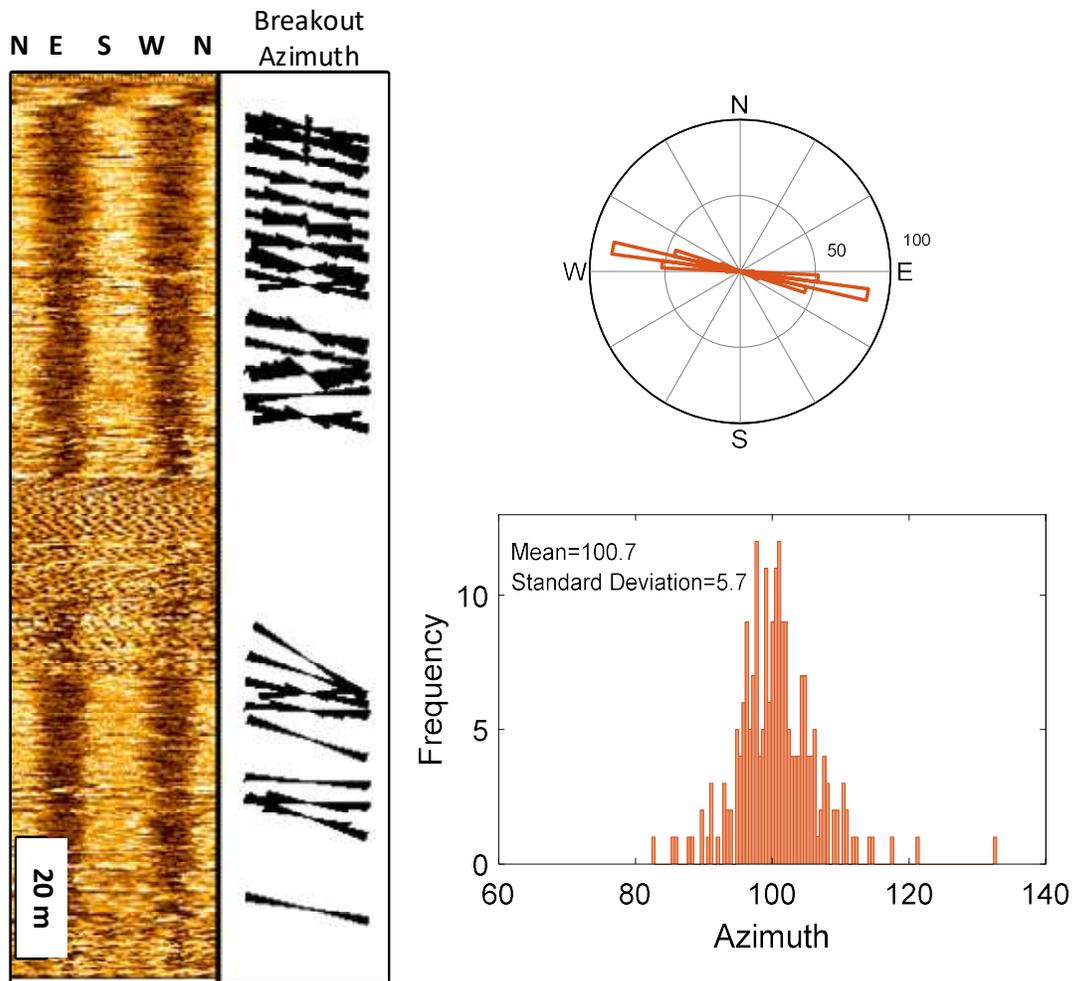
222 5.2 Stress Orientation

223 Detailed analysis was done on the image logs of 25 wells. Figure 2 illustrates examples of
224 a) borehole breakouts, and b) and c) tensile induced fractures detected in different
225 hydrocarbon fields located in the DE. Figure 3 shows the depth, frequency, and orientations
226 of borehole breakouts in well PYW-7. Statistical analysis indicates that the S_{hmin} direction
227 (borehole breakout azimuth) in well PYW-7 is $100.7^{\circ} \pm 5.7^{\circ}$. This result is approximately the
228 same as that for the borehole breakout azimuth analysis in PYW-6, drilled about 4 km away
229 ($101.7^{\circ} \pm 12.1^{\circ}$). The same analysis was performed for different wells in the Paydar, Agha-Jari,
230 Khaviz, Abe-Teymor, Lali, Marun, Dalpari, Cheshmeh-khosh, and Mansori fields. The S_{Hmax}
231 orientations resulting from breakouts, plus the tensile-induced fractures in each well, are
232 ranked A to D according to the World Stress Map quality ranking system (Heidbach et al.,
233 2016; Heidbach et al., 2010). Table 1 presents the result of this analysis of borehole breakouts
234 and tensile-induced fractures in different fields in the DE.



235

236 Figure 2: Examples of borehole breakout in a) the E-W direction in the Dehloran oil field (4150 m) and
237 b) tensile induced fracture in NE-SW direction in the Khesht oil field (2880 m), and c) one in the N-S
238 direction in the Paydar oil field (3210 m).
239



240

241 Figure 3: UBI log indicates that the minimum principal direction (borehole breakout) is $100^{\circ} \pm 5.7^{\circ}$ in
 242 Well 7 of the West Paydar field.

243 Of all wells, wells CK-9, Lali-29, and the wells drilled in the Balarud field show signification
 244 variations and anomalies in breakout azimuths and tensile-induced fractures as a function of
 245 depth, due to stress perturbations associated with geological structures and faults. Figure S3
 246 (supplementary materials) shows how breakout azimuths on the ultrasonic image logs for
 247 wells CK-9 and Lali-29 change abruptly at different depths, resume at slightly greater depths,
 248 and gradually change in the vicinity of the fault. Seismic interpretation (3D) results of the
 249 Cheshmeh-khosh field revealed two sets of faults with a NW-SE and WNW-ESE trend in the
 250 field, one of them close to well CK-9 (internal communication with NIOC). Talebi et al. (2018)
 251 and Hosseini et al. (2015) also observed that the stress orientations in the Lali field varied
 252 from well to well and were too complex to interpret due to an east-west trending strike-slip

253 fault in the Asmari Formation. The Balarud Field is also a fault-bend fold where stress
 254 orientations are significantly affected and perturbed by their geological setting. The stress
 255 orientations in the western and eastern parts of the Balarud Field differ from each other and
 256 are highly variable.

257

258 Table 1: S_{Hmax} orientations by quality ranking derived from both borehole breakout (BO) and drilling
 259 induced fracture (DIF) in the different fields in the DE.

Field	Well Name abbreviation	Latitude	Longitude	S_{Hmax} Azimuth (deg)	Type	Number	Total length (m)	Depth (m)	Orientation (deg.)	S.D.	WSM (quality)
Aghajari	AJ-215	30.69	43.86	32	BO	5	110	2475	122	7.3	C
Balarud	BL-2	32.8	48.25	147.6	BO	6	140	2243	57.6	2.1	B
	BL-2	32.8	48.25	152.5	DIF	6	464	2174	152.5	11.1	B
	BL-3	32.78	48.36	175	BO	1	---	1616	85	----	D
	BL-3	32.78	48.36	174.9	DIF	10	269	1805	174.9	20	B
	BL-4	32.83	48.28	42.9	BO	19	503	1846	132.92	19.9	B
	BL-4	32.83	48.28	109.9	DIF	3	18	1705	109.9	18.6	D
BL-6	32.71	48.31	169.08	DIF	24	623	1934	169.08	12.9	A	
Bibi-Hakimih	BH-177	29.95	50.85	87.2	BO	51	210	1972	177.2	11.6	A
	BH-179	30.18	50.38	90.3	BO	109	520	2121	180.3	10.8	A
Chahar Bisheh	CB-4	29.88	51.12	52	BO	149	295	1953	142.0	9.9	A
Cheshmeh-khosh	CK-8	32.24	48.00	176	BO	25	238	3548	86.00	4.2	A
	CK-9	32.34	47.71	75	BO	43	221	4172	165.00	26.0	C
	CK-22	32.20	48.30	182.6	BO	10	106	3522	92.6	6.9	A
Dalpari	DP-08	32.54	47.83	157.3	BO	30	150	2340	67.3	32.1	D
Dehloran	DH-23	32.53	47.1	31.4	BO	52	415	4164	121.4	7.14	A
Khesht	KH-2	29.51	51.41	138	BO	28	402	2810	48.0	9.8	A
	KH-2	29.51	51.41	142.75	DIF	4	191	2845	322.75	8.7	C
	KH-5	29.44	51.29	124.05	BO	36	197	2994	34.05	8.7	A
	KH-5	29.44	51.52	119.4	DIF	19	215	2984	299.4	17.8	B
Lali	LL-22	32.25	134.2	44.2	BO	35	230	2278	44.2	11.2	A
	LL-29	32.22	48.11	135	BO	158	466	2547	46.10	43.3	E
Mansouri	MI-99	30.92	48.85	72.02	BO	22	179	3259	162.02	5.02	A
Maroun	MN446	31.524	49.324	75.7	BO	167	125	4293	165.70	5.3	A
Naft_Sefied	NS-47	31.60	49.31	72.75	BO	29	270	1625	162.75	9.78	A
Paydar	P-2	32.11	47.73	1.3	BO	89	681	3304	91.30	6.8	A
	P-2	32.11	47.73	179.2	DIF	99	576	3294	179.2	20.3	A
	P-6	32.14	47.54	10.7	BO	213	310	4045	100.7	5.7	A
	P-7	32.12	47.56	9.8	BO	135	230	4035	99.8	6.2	A
Ramshir	RR-19	30.65	49.6	59.65	BO	32	248	3061	149.65	6.70	A
	RR-19	30.65	49.6	70.8	DIF	3	48	2818	70.8	3.9	D
Yaran	YRRN-2	31.25	47.69	43.6	BO	11	140	3980	133.60	7.5	A

260

261 5.3 Stress Magnitude

262 Sufficient rock mechanics studies are available for the Sarvak and Asmari Formations to
263 use in establishing the relation between static and dynamic elastic moduli and rock mechanics
264 properties. The study carried out by Najibi et al. (2015) shows that the uniaxial compressive
265 rock strength (UCS) of limestone in the Sarvak and Asmari Formation varies considerably
266 between 30 to 180 MPa, with the most frequent value being 80 MPa (Figure 4.b). The same
267 results have been reported by other studies (Asadi et al., 2013; Asef and Farrokhrouz, 2010;
268 Cheshomia and Ahmadi, 2013; Farrokhrouz et al., 2014; Haghnejad et al., 2014; Haghnejad
269 et al., 2013; Koleini, 2012; Mazidi Saber Mehrabi et al., 2012; Najibi and Asef, 2014; Najibi et
270 al., 2015). Note that the Asmari and Sarvak Formations, as described above, also contain
271 sandstone and shale of lower strength than the carbonate parts.

272 To 7 km depth, three abnormally pressured formations are present in the DE: the Miocene
273 evaporitic Gachsaran Formation (Lower Fars), the Triassic Dashtak Formation and the Lower
274 Cretaceous carbonate Fahliyan Formation. Almost all wells drilled in the Embayment
275 experience difficulties in penetrating the Gachsaran Formation, sometimes leading to
276 blowouts (Nabaei et al., 2011). The pressure gradient in the Gachsaran Formation ranges from
277 15.5 to 22.1 MPa/km in several oil fields located in the DE such as Masjid-i-Sulaiman, Lali,
278 Haft-Kel, Naft Safid, AghaJari, Pazanan, Gachsaran, and Naft Shahar. The Dashtak Formation
279 in the Embayment is located at a greater depth. The pressure variation along the Triassic
280 Dashtak Formation is equivalent to that of the Gachsaran formation due to both having
281 evaporitic rock composites; however, the former is the caprock in the Fars region
282 hydrocarbon fields. Drilling experience in the Fars region shows that high mud weights are
283 needed to drill into the Dashtak Formation (Salehi et al., 2012). The lower Fahliyan Formation,
284 which mainly consists of limestone, is another location of slight overpressure in the ZFTB,
285 mostly in the Abadan plain province (Atashbari, 2016; Soleimani et al., 2017). Figure S2 of the
286 supplementary materials shows variations of mud weight and direct pore pressures with
287 depth from observations of several wells in the DE.

288 Figure 4.a illustrates the required rock strength (UCS) for a case $S_v = 78$ MPa, $S_{Hmax} = 72$
289 MPa, $S_{hmin} = 37$ MPa, and $P_p = 30$ MPa. The Mohr–Coulomb failure criterion and Kirsch
290 formulation (equation (2)) (Jaeger et al., 2009) were used in these calculations. The presence
291 of breakouts provides a lower bound on the stress difference, whereas its absence places an

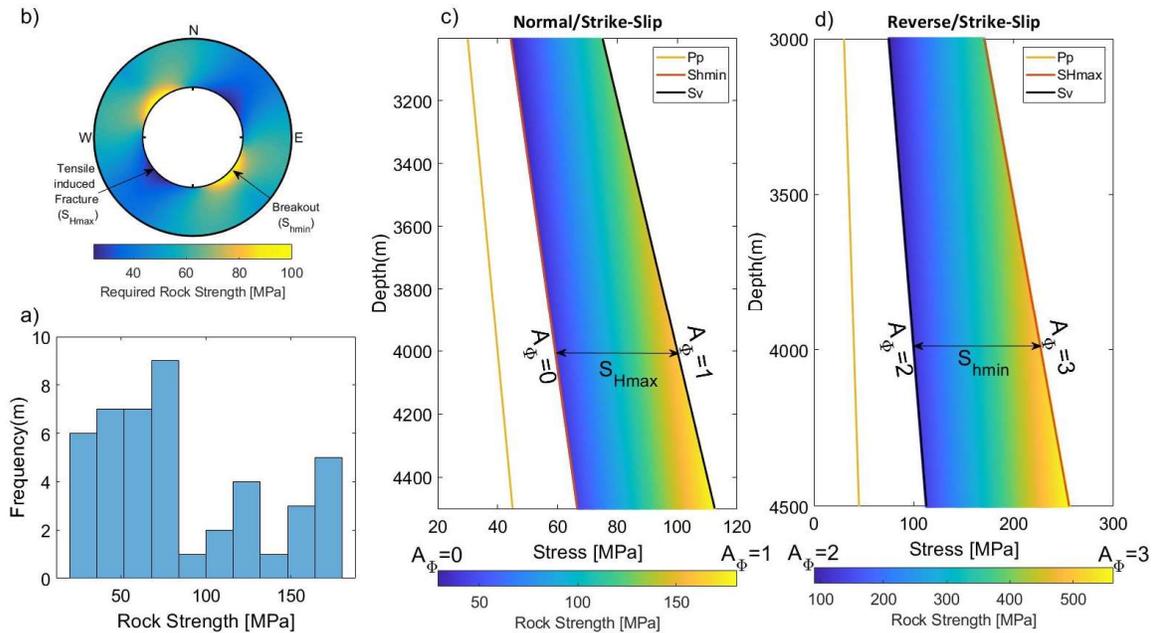
292 upper bound on the stress anisotropy around the borehole. Considering these bounds and
293 applying the above equation based on Anderson's faulting theory (equation (1)), and assuming
294 the state of stress is in frictional failure equilibrium, Figure 4 illustrates two possible states of
295 stress, extensional (normal/strike-slip) (Figure 4.c) and compressional (reverse/strike-slip)
296 (Figure 4.d), in the sedimentary cover of the DE (see Figure 9 Moos and Zoback (1990) for
297 more information). A depth between 3000 to 4500 m has been chosen since the reservoir
298 formations, the Asmari and Sarvak in the DE, are generally located at such depths, and most
299 of the relevant conventional petrophysics logs are usually available. The color in Figure 4
300 shows the effective rock strength needed to prevent breakouts, or the value below which
301 compressive failure occurs. In each case, S_v is assumed to be a principal stress and is equal to
302 the weight of the overburden rocks ($\rho \approx 2.6 \text{ g/cm}^3$).

303 It is clear that in a compressional stress system in the studied region, the minimum
304 effective rock strength to prevent borehole failure should be more than 250 MPa. Hence,
305 considering the average UCS value of 100 MPa in the Sarvak and Asmari Formations, one
306 would expect to observe continuous breakouts at all azimuths around a drilled borehole at
307 almost any depth. Therefore, S_{Hmax} must be less or close to S_v (a normal/strike-slip regime),
308 and the required rock strength is expected to be around 100 MPa (Figure 4.a).

309 Many oil and gas wells have been drilled vertically into the Sarvak and Asmari Formations
310 in the studied area, with no instability problems. Directional wells, however, do experience
311 instability, and normally need more mud weight for safe drilling. Directional wells drilled in
312 the direction of minimum principal stress (borehole breakout azimuth) are much more stable,
313 as less stress anisotropy is acting around the borehole wall. For example, well-7 in the West
314 Paydar Field is side-tracked in two azimuths of 212° and 292°: ST-1, the first side-track at
315 azimuth 212°, was drilled 212 meters in 20 days with a mud weight of 70 pcf, and ST-2 was
316 drilled 717 meters in 15 days at azimuth 292° with a mud weight of 63 pcf. This drilling
317 experience example supports the counterintuitive fact that the state of stress in the
318 sedimentary cover of the DE is a normal/strike-slip regime.

319 These results are similar to those reported by Yaghoubi and Zeinali (2009) in the
320 Cheshmeh-khosh field and Haghi et al. (2018) in the Mansouri field in the southern part of
321 the DE. Their results show that normal/strike-slip faulting predominates in the sedimentary
322 cover to a depth of 5 km. Haghi et al. (2018) performed borehole geomechanics modelling of

323 the Sarvak Formation in the southern part of the DE and determined through extended leak-
 324 off tests (XLOT) that the S_{hmin} gradient varies from 15.2 MPa/km (0.67 psi/ft) to 17.4 MPa/km
 325 (0.77 psi/ft). The normal/strike-slip faulting regime in the shallow sedimentary cover of the
 326 DE is also consistent with little seismicity and fault slip. A micro-earthquake study by (Yamini-
 327 Fard et al., 2006) revealed that the shallower sedimentary cover deforms by less compressive
 328 state of stress and confirms the legitimacy of our results.



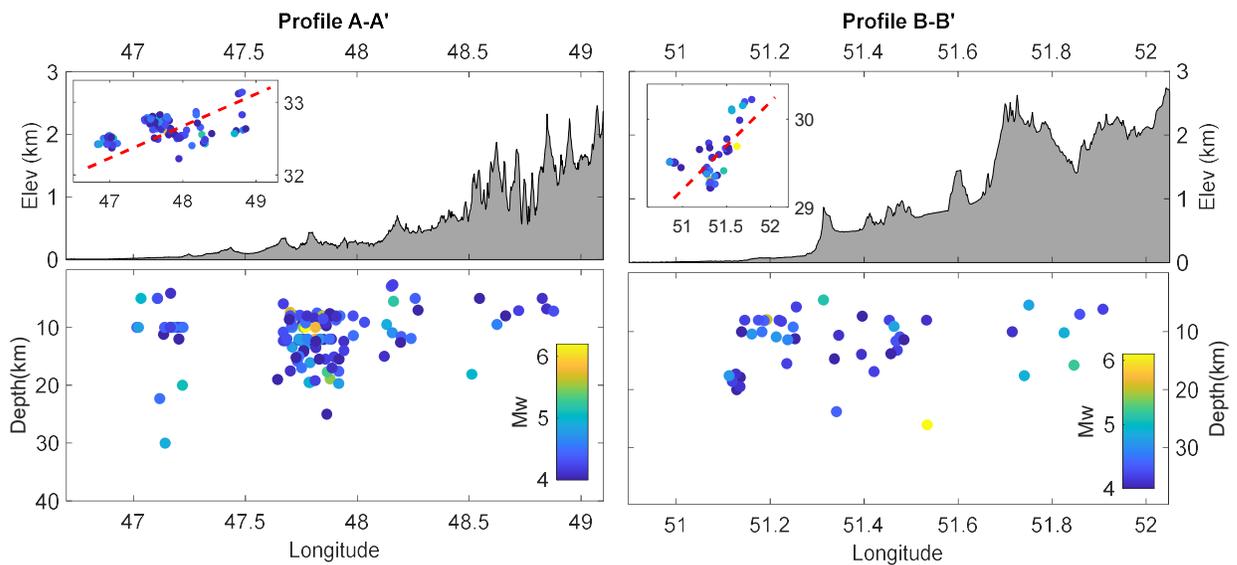
329
 330 Figure 4: b) The uniaxial compressive rock strength (UCS) histogram of limestone in the Sarvak and
 331 Asmari Formations of the Mansori field; b) example of stress concentration around a vertical borehole
 332 and the location of borehole breakout and tensile induced fracture and their relation to principal
 333 stress orientations; c) and d) rock strength required to initiate a breakout in normal and reverse
 334 faulting regimes in the Sarvak and Asmari Formations of the DE, assuming that the stresses at the limit
 335 are constrained by a friction coefficient $\mu = 0.6$, $P_p = 10$ MPa/km, and $S_v = 26$ MPa/km (equation (1)).
 336 The color bar shows rock strength needed to prevent borehole breakout in vertical boreholes. Mohr-
 337 Coulomb failure criterion and Kirsch equations (equation (2)) were used in these calculations.
 338

339 6. State of the Stress from Earthquake Focal Mechanisms

340 More than 5000 earthquakes with $M_w \geq 3$ have been recorded in the area since 2010
 341 (Iranian Seismological Centre). Their magnitude and distribution increase from northwest to
 342 southeast, but they are scattered and rarely associated with co-seismic surface rupture
 343 (except in the case reported by Walker et al. (2005)). Most have occurred on active blind and
 344 hidden faults (Berberian, 1995) beneath sedimentary cover (Figure 1). There is always
 345 uncertainty associated with pinpointing the depth of an earthquake; however, of the

346 earthquakes observed in the ZFTB, most occurred deeper underground and fewer occurred
 347 at shallow depths in the sedimentary cover (histogram in Figure 1). Talebian and Jackson
 348 (2004) stated that rarely have earthquakes in the ZFTB been associated with surface faulting.
 349 Many other scientists have performed studies on the earthquake depths in the ZFTB and
 350 confirmed that the sedimentary cover is less seismically active even though it has been
 351 crisscrossed by many faults, as shown in Figure 1 (Hatzfeld et al., 2010; Lacombe et al., 2006;
 352 Molinaro et al., 2005; Tatar et al., 2004). Rarely have earthquakes with a Mw greater than 7
 353 been recorded in the ZFTB.

354 In Figure 5, two NE-SW cross-sections of a) the northern (A-A') and b) southern parts (B-
 355 B') of the DE delineate topographic profiles accompanied by the location of earthquakes. As
 356 illustrated in Figure 1 inset and Figure 5, most of the earthquakes are located and nucleate at
 357 a depth greater than 5 km, and are confined between 10 and 15 km, below the sedimentary
 358 cover at the site of hidden faults. Seismic events are restricted to an elevation less than 1500
 359 m in the area where most oil and gas fields are located (the embayment area).



360
 361 Figure 5: Cross-sections of DE displaying topography and seismicity in A-A' and B-B' sections shown in
 362 Figure 1. The colour scale represents earthquake magnitudes. The high seismicity density in the area
 363 is restricted to below 4 km. Details of each earthquake are provided in the supplementary materials
 364 (Table S2).
 365

366 6.1 Methodology

367 Earthquake focal mechanisms allow the development of constraints on relative stress
 368 magnitudes. Assuming that S_v is one of the principal stresses, an appropriate stress regime for

369 each earthquake focal mechanism can be assigned based on WSM criteria (Table 3, Zoback
370 (1992)). The S_{Hmax} orientation can also be determined from single earthquake focal mechanism
371 (FMS) and the formal stress inversion of those focal mechanisms (FMF). Whereas the FMS are
372 only approximate indicators of S_{Hmax} orientation, the inversion of sets of earthquake focal
373 mechanisms determines a best-fitting stress field and provides a more accurate estimation of
374 the principal stress orientations (Gephart and Forsyth, 1984; Michael, 1984). In this study, we
375 conduct a formal inversion of moment tensors using MSATSI Matlab code which iteratively
376 inverts for the stress field based on SATSI algorithm (Lund and Townend, 2007; Martínez-
377 Garzón et al., 2014). Assuming also that stress magnitudes at each depth are consistent with
378 Coulomb frictional-failure theory for a coefficient of friction, Angelier (1984) introduced a
379 quantity ϕ , defined by the equation

$$380 \quad \phi = S_2 - S_3 / S_1 - S_2 \quad (3)$$

381 where S_1 , S_2 , and S_3 are the maximum, intermediate, and minimum principal stresses.
382 Depending on the magnitude of the intermediate stress relative to the other two, Angelier's
383 shape parameter ϕ must fall between zero and one (Hurd and Zoback, 2012). Otherwise,
384 none of the nodal planes will be geometrically consistent. Once the stress tensor is known, ϕ
385 values and error limits can be computed at the same time as the principal stresses and axes.
386 Simpson (1997) generalized the parameter ϕ values to provide a quantitative measure as an
387 equation:

$$388 \quad A_\phi = (n + 0.5) + (-1)^n(\phi - 0.5) \quad (4)$$

389 where $n=0, 1, 2$, for normal, strike-slip and reverse types of faulting respectively. The
390 Anderson fault parameter A_ϕ (The style of faulting) ranges continuously from 0 to 1 for
391 normal, 1 to 2 for strike-slip, and 2 to 3 for reverse faults (Yang and Hauksson, 2013).

392

393 6.2 Stress Orientation

394 The S_{Hmax} orientation has been determined from 108 single earthquake focal mechanisms
395 and the formal stress inversion of those focal mechanisms (Table S2 in the supporting
396 material). The total data cluster into three groups based on their location (latitude and
397 longitude), so stress inversion is calculated for each group using the method presented in
398 Martínez-Garzón et al. (2014) (see Figures S4 and S5 for more information). Table 2 contains

399 the results of the formal stress inversion. The red lines crossing the beachballs in Figure 6
 400 show the 108 S_{Hmax} orientations inferred from individual focal mechanisms (P-axis), while the
 401 inward-pointing black arrows (reverse faulting regime) and green arrows (strike-slip)
 402 represent the S_{Hmax} direction calculated from formal stress inversion in the DE.

403 Seismologically determined maximum horizontal stress (S_{Hmax}) orientations show more
 404 overall consistency and spatial uniformity than those obtained from the borehole wall
 405 examination (the blue lines with inward pointing arrows in Figure 7). The study suggests that
 406 the evaluated earthquakes are in both a thrust and a strike-slip faulting regime, with an
 407 average S_{Hmax} orientation of $37^\circ \pm 10^\circ$. However, stress orientation variations are seen in most
 408 of the investigated oil and gas fields, from relatively abrupt changes of borehole breakout
 409 orientation when drilling crosses a fault, to gradual variations over scales of several hundred
 410 meters. The resultant spatial variation of stress orientations in different locations of the study
 411 area shows general stress heterogeneity (discussed later).

412

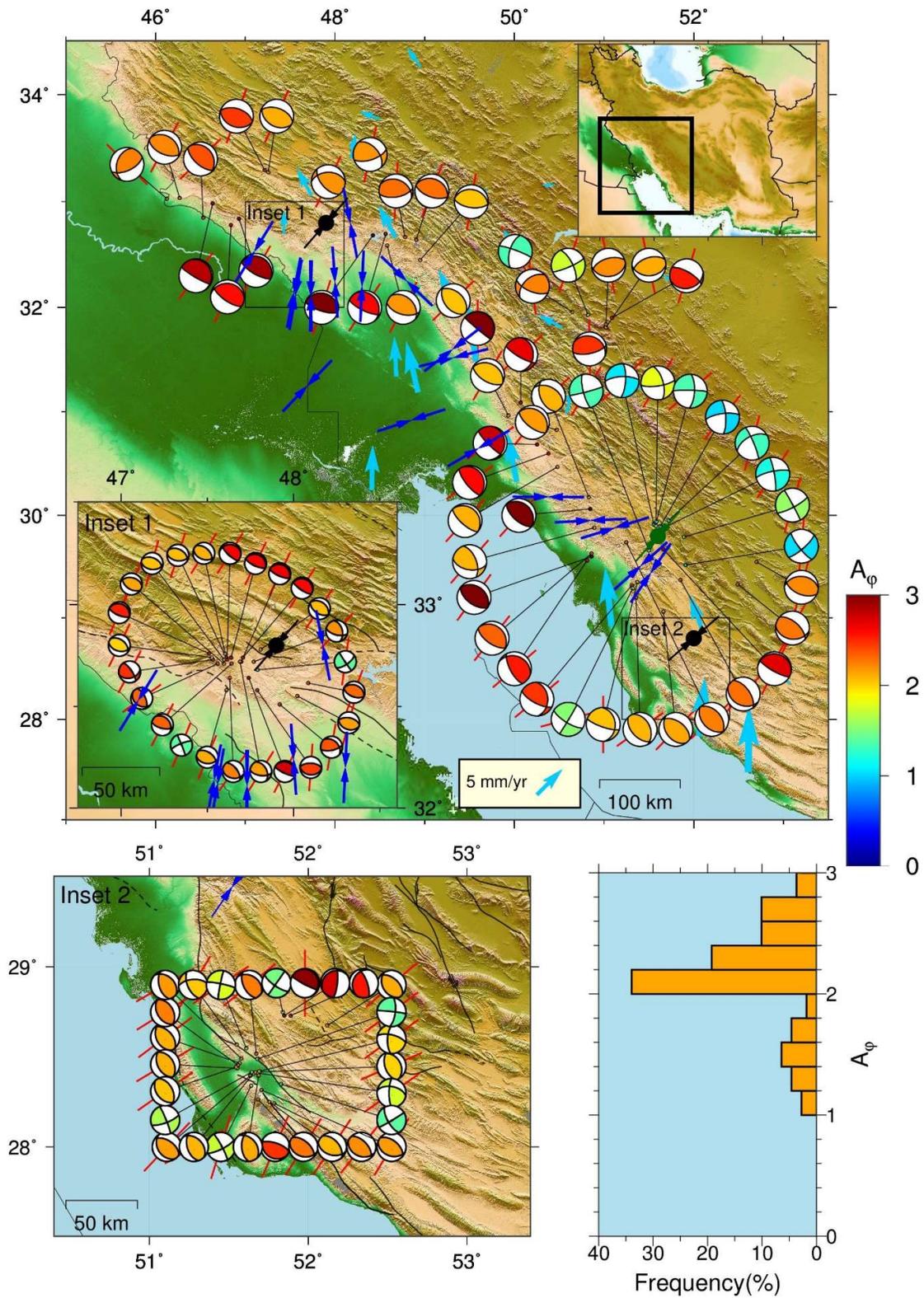
413 Table 2: Stress inversion results at different locations in the DE.

Lat (°N)	Long (°W)	Number of Focal Mechanisms	S1 Azimuth (°)	S1 Plunge (°)	$R=(1-\phi)$	Faulting Regime
48	33	68	205	4.1	0.72 ± 0.2	R
52	29	31	223	5.7	0.78 ± 0.25	R
51.6	29.8	23	221	4.3	0.84 ± 0.15	S

414

415 6.3 Relative Stress Magnitudes and Style of Faulting

416 Employing Simpson's (1997) approach, Figure 6 displays the results of focal
 417 mechanisms analysis and the fault-type regimes on each focal mechanism. The color inside of
 418 each focal beachball indicates the style of faulting based on A_ϕ values. Noticeably, the highest
 419 frequency value for $A_\phi \cong 2.2$, suggesting that S_{Hmax} is considerably greater than the vertical
 420 stress (S_3) and S_{hmin} (S_2) and S_{hmin}/S_V stress permutations. This compressional environment
 421 regime corresponds to a state in which both the reverse fault and strike-slip fault are
 422 potentially active. Of the 108 earthquake focal mechanisms considered, 22 earthquakes
 423 occurred in response to a strike-slip stress state at various locations, but most occurred
 424 around the NS striking Kazerun Fault.



425

426

427

428

Figure 6: Map view of the value of 108 interpreted focal mechanisms in the Dezful Embayment. Colors show the stress regimes, with A_ϕ value ranging 0.0 to 1.0 for normal faulting, 1.0 to 2.0 for strike-slip faulting, 2.0 to 3.0 for reverse faulting. Red and black lines indicate the orientation of S_{Hmax} for

429 individual focal earthquakes (P-Axis) and formal stress inversion respectively. Blue inward arrows
430 show the S_{Hmax} direction derived from borehole breakouts and induced tensile fractures of A quality
431 (Table 1). The light blue arrow is GPS velocity vectors relative to central Iran derived from Walpersdorf
432 et al. (2006). The greatest concentration of earthquakes is around the Balarud fault in the northern
433 part of the embayment (inset 1).

434

435 7. Seismicity and fault slip compatibility

436 The occurrence of fewer earthquakes in an area can generally be explained in two ways.

437 First, the state of stress in an area is not sufficient to exceed the rock's frictional strength to
438 the point that a failure/earthquake is nucleated by the relative stress magnitudes (Snee and
439 Zoback, 2016). Second, there may be no permeable, critically stressed faults in the area with
440 respect to in-situ stress orientation (Snee and Zoback, 2016). Hence, the presence or absence
441 of seismicity with respect to fault orientations in an area with known stress orientation will
442 provide information on the stress magnitudes. This section first investigates which of two
443 nodal planes for an earthquake focal mechanism is geometrically optimal for fault slip, and
444 what the frictional likelihood is of a slip occurring along the preferred nodal plane. Then, the
445 relationship between seismicity and the state of stress is investigated, particularly in the
446 Kazerun Fault area.

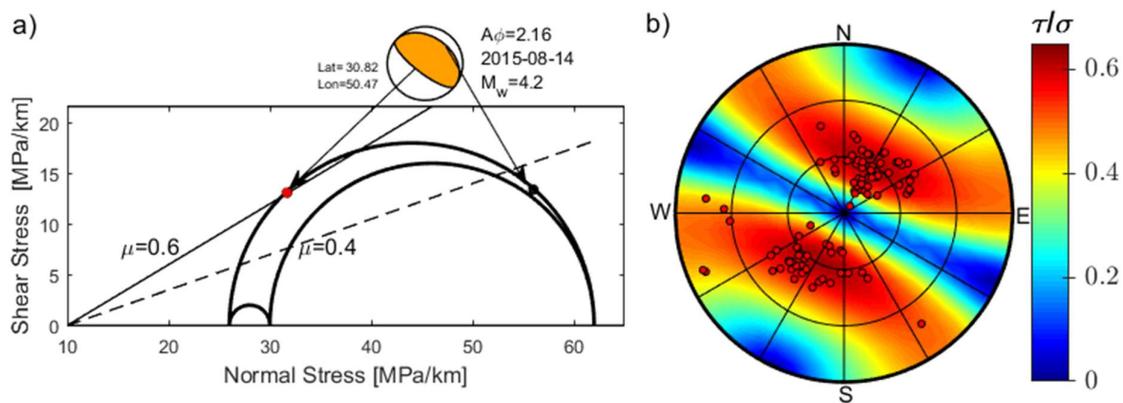
447 Slip along a fault depends on the relative stress magnitude and the angle between the
448 principal stress directions and the fault plane, plus the coefficient of friction μ (Morris et al.,
449 1996). The slip tendency on a pre-existing cohesionless fault can be defined in terms of the
450 Mohr-Coulomb shear failure criteria

$$451 \quad CFF = \tau - \mu\sigma_n \quad (5)$$

452 where τ and σ_n are shear and effective normal stress acting on a pre-existing fault plane, and
453 μ is the coefficient of friction. Slip is likely to occur on a fault plane when the resolved shear
454 stress, τ , equals or exceeds the frictional resistance of the fault's surface ($\tau \geq \sigma_n\mu$), and in
455 which case the fault is called critically stressed.

456 Earthquakes in the Zagros area occur along blind/hidden faults for which neither
457 geological mapping nor 3D seismic imaging can clearly determine the geometries (Berberian,
458 1995). However, existing faults can now be inferred from induced seismic events. Using
459 earthquake focal mechanisms that provides two nodal planes, we can deduce the possible
460 fault plane. Figure 7.a illustrates a normalized 3D Mohr diagram with a representative reverse

461 focal event ($M_w=4.2$, 2015-08-14). The stress magnitude in the diagram is based on the
 462 A_ϕ value calculated for the event. The circle points in Figure 7.a correspond to the normalized
 463 shear and normal stress acting on each nodal plane. As shown for this example, the plane
 464 fault striking NW-SE and dipping 30° NE is most likely to slip and is the preferred nodal plane.
 465 Figure 7.b shows the slip-tendency in a case where the state of stress is the reverse faulting
 466 regime ($A_\phi = 2.2$), with an average S_{Hmax} orientation of $N20^\circ E$ and hydrostatic pore pressure.
 467 Red represents critically stressed fault poles and blue corresponds to fault poles with a lower
 468 likelihood of slip (τ/σ_n). Small-circles on the stereonet represent 92 preferentially-oriented
 469 nodal planes. The result shows that in the reverse fault regime, where S_{Hmax} is oriented NEE,
 470 faults striking NW-SE and dipping $40^\circ - 60^\circ$ either NE or SW are most likely to slip. According
 471 to the analysis, a sliding friction coefficient of 0.5–0.6 can be inferred as an optimum friction
 472 angle for NW-SE oriented faults in the Zagros.



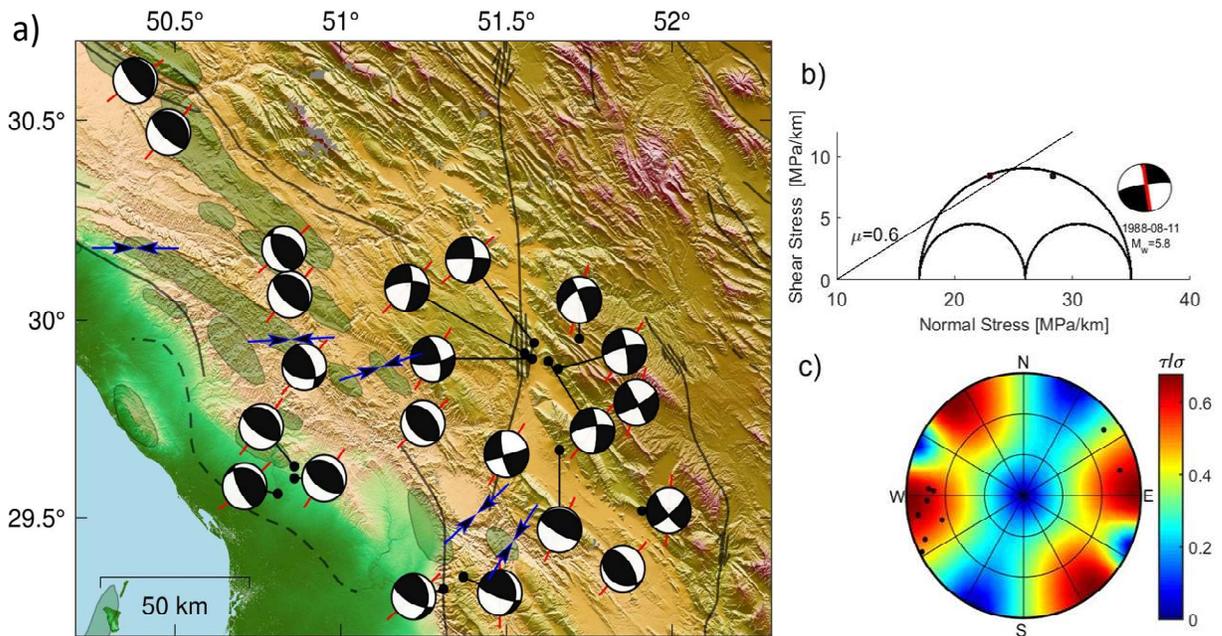
473
 474 Figure 7: a) 3D Mohr's circle showing representative reverse focal plane mechanisms and resolved
 475 shear and normal stresses for each nodal plane. The color inside the beach ball represents A_ϕ and is
 476 based on the color bar shown in Figure 6. b) Lower hemisphere stereonet plot of the preferred nodal
 477 plane for 92 focal plane mechanisms in the DE where the state of stress is a thrust-faulting regime.
 478 Colors show the ratio of shear to effective normal stresses (required μ) needed for shear failure on a
 479 fault plane.

480
 481 Despite relatively uniform compressive stress orientations on both sides of the N-S
 482 Kazerun transverse active fault, the relative principal stress magnitudes inferred from
 483 earthquake focal mechanisms abruptly changed from a reverse faulting regime to a pure
 484 strike-slip faulting regime near the fault segment (Figure 8). Previous studies (Baker et al.,
 485 1993; Maggi et al., 2000; Talebian and Jackson, 2004) have stated that the strike-slip fault
 486 along the old inherited basement Kazerun fault occurred at a depth of 4-10 km, with an
 487 average depth uncertainty ± 3 in the sedimentary cover, and in the uppermost basement. In

488 contrast, reverse-faulting focal mechanisms occurred at greater depths in the basement. In
489 fact, the state of stress in the different sides of the Kazerun faulting zone does not change
490 laterally but rather vertically. The same results were reported after seven weeks' observations
491 of micro-seismicity around the northern end of the near-vertical Kazerun fault, in which slips
492 at greater depths occurred in response to a pure reverse faulting regime (Yamini-Fard et al.,
493 2006). Nearly all of the shallow-depth events resulted from a pure strike-slip regime.

494 This finding aligns with the frictional faulting theory that the N-S trending Kazerun fault
495 is in an unfavorable orientation for slip in a reverse fault regime with an average SW-NE S_{Hmax}
496 orientation. In Figure 8.c, black circles on the stereonet plot represent the seismologically
497 actual fault plane around the Kazerun fault systems showing that the N-S strike fault has a
498 higher slip likelihood. The same fault plane in a reverse faulting regime is not in a geometric
499 state permitting nucleation of an earthquake, except for a fault surface having the frictional
500 strength within $\mu \approx 0.3-0.4$. In fact, because the state of stress varies with depth, the slipping
501 tendency for a N-S strike fault increases when the state of stress changes to the strike-slip
502 regime at the upper depths.

503 High heat flow in fault zones generally indicates a fault's frictional resistance to slip and
504 implies that the fault is frictionally strong. Heat flow measured in different oil and gas wells
505 in the DE reflects significantly higher temperatures in the vicinity of the Kazerun fault (66
506 mW/m^2) (Figure 4 Rudkiewicz et al. (2007)), whereas the central and northern embayment
507 has a mean heat flux between 30-40 mW/m^2 . No particular reason is stated for such a high
508 thermal anomaly around the Kazerun line, but one can assume that it results from friction
509 and that fault is not weak. This supposition is consistent with lack of reverse slip around the
510 fault, as the frictional strength needs to be as low as 0.3-0.4 and explains why most
511 earthquakes around the Kazerun fault are a response to a strike-slip stress state. Note that
512 the reverse slip observed in the northern Kazerun fault system is small in magnitude (Yamini-
513 Fard et al., 2006).



514
 515 Figure 8: a) Earthquake focal mechanisms in the vicinity of the N-S Kazerun transverse active fault. The
 516 state of stress changes from strike-slip faulting around the fault to a reverse faulting regime on either
 517 side whereas the seismologically (red line) determined azimuth S_{Hmax} (P-Axis) is stable and uniform
 518 around the area. b) 3D Mohr's circle showing a representative strike-slip focal plane mechanism and
 519 resolved shear and normal stresses for each nodal plane. The red line on the focal beach-ball indicates
 520 the actual fault plane. c) Lower hemisphere stereonet plot illustrates the slip-tendency (ratio of
 521 resolved shear to normal stress) associated with the strike-slip Kazerun fault and actual nodal plane
 522 for 12 focal plane mechanisms mapped in (a).
 523

524 8. Discussion

525 The stress orientation in the sedimentary rock strata and in the basement shows two
 526 entirely distinct types. The stress orientations constrained from inversion of the focal
 527 mechanisms consistently run in the NE-SW direction in all areas of the ZFTB. However,
 528 variations of stress orientations (blue arrow line in Figure 6) are seen in most of the
 529 investigated oil and gas fields in the area, from relatively abrupt changes of borehole
 530 breakouts to gradual variations over scales of several hundred meters.

531 Stress deflection or second-order stress pattern can be due to lateral density/strength
 532 contrasts, flexural stresses or superimposed geological structures such as faults (Sonder,
 533 1990). The magnitude of local stresses relative to regional stress along with the angle
 534 between local structures and the regional stress orientation are all significant parameters in
 535 measuring the deviation of local stress orientation (Sonder, 1990; Zoback, 1992).

536 The regional horizontal stress difference ($S_{Hmax}-S_{Hmin}$) is the determining factor (Sonder,
537 1990) for these anomalies in the folded and faulted sedimentary cover in the ZFTB. With this
538 compressional state of the stress field at seismogenic depths (7-15 km) in the ZFTB, where
539 $S_{Hmax}-S_{Hmin} \approx 30$ MP, the local uniaxial stress is not sufficient to deflect stress orientation.
540 However, in the sedimentary rock where the regional state of stress has been constrained to
541 be on the border between normal and the strike-slip faulting regime ($S_{Hmax} \cong S_V > S_{Hmin}$),
542 a moderate local horizontal stress difference can cause stress deflection. Thus, variations in
543 stress orientations are seen in most of the oil and gas fields examined in the DE.

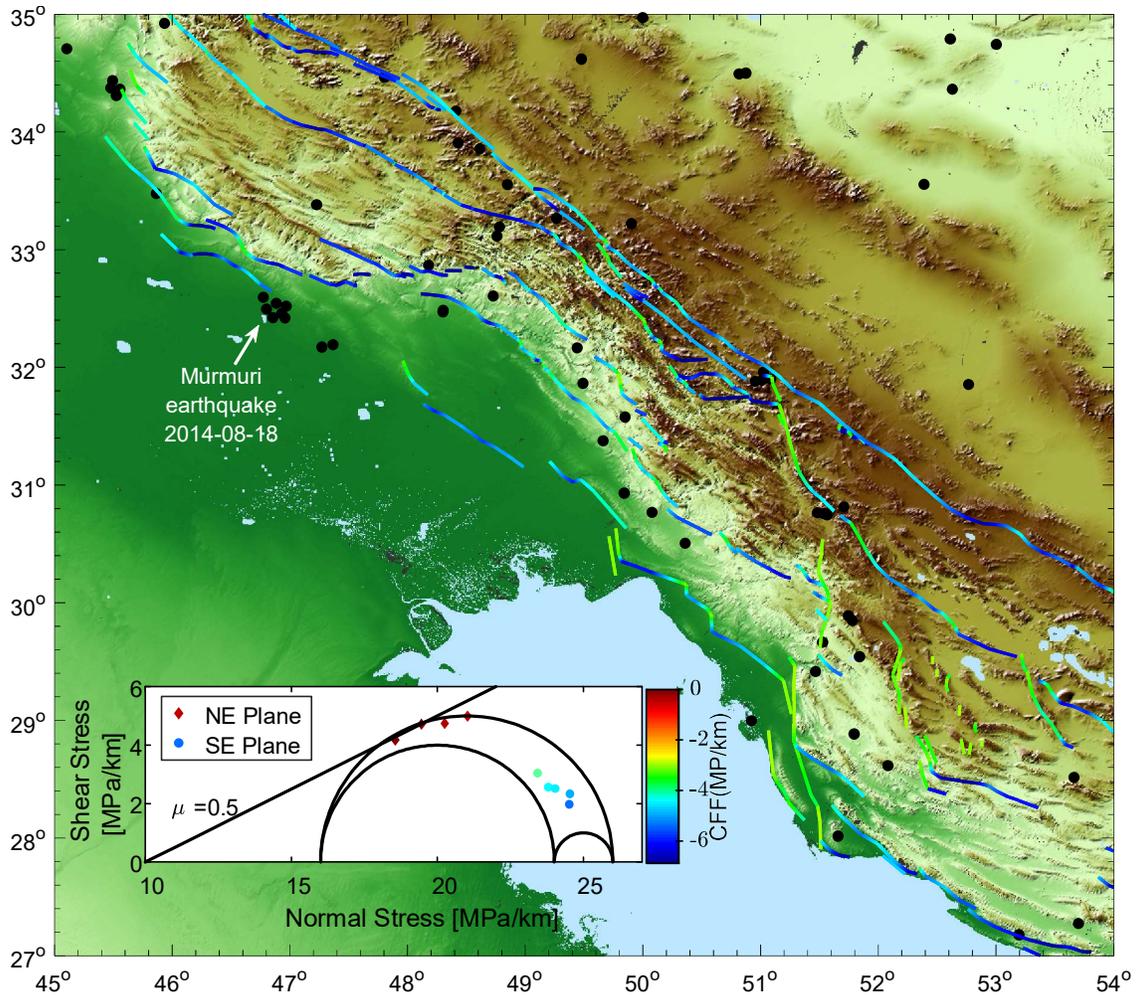
544 Stress variation with depth can be explained by decoupling of the stress because of the
545 existence of a ductile formation (Ahlers et al., 2019; Cornet and Röckel, 2012; Roth and
546 Fleckenstein, 2001). The ZFTB can be considered as a classic case for stress decoupling and
547 varying stress regime with depth in sedimentary basins due to several continuous highly
548 ductile formations: Precambrian Hormuz, Triassic Dashtak, and Miocene Gachsaran
549 Formations. These formations can shield the shallower sediments from the compressional
550 strains in the basement rock arising from the collision between the Arabian and Eurasian
551 plates (Bahroudi and Koyi, 2003; Molinaro et al., 2005; Sepehr and Cosgrove, 2004;
552 Walpersdorf et al., 2006). These detachment horizons also can result in decoupling of the
553 basement and overburden deformation during the crustal compression. Such vertical
554 variation in the state of stress regime has been observed in the eastern part of the Paris Basin
555 (Cornet and Röckel, 2012), the eastern North German Basin (NGB) (Ahlers et al., 2019; Roth
556 and Fleckenstein, 2001), and the Nile Delta (Tingay et al., 2011).

557 Fewer earthquakes in an area can generally be explained by a state of stress in a faulted
558 area that is not sufficient to exceed the rock's frictional strength to the point that an
559 earthquake is nucleated by the relative stress magnitudes. This phenomenon could explain
560 the situation in DE. Both regional geophysics and geological studies of the DE have revealed
561 its sedimentary cover to be crisscrossed by faults at both low and highly elevated areas.
562 Similarly, many oil and gas boreholes have been drilled through local faults (Figure 3S);
563 nevertheless, earthquakes in the sedimentary cover are rare.

564 Since most faults in the area strike NW-SE, almost perpendicular to the maximum
565 horizontal stress orientation, they are critically stressed and associated with seismicity only
566 in the reverse faulting regime (Figure 7). Consequently, the state of stress in the sedimentary

567 cover cannot be as strongly compressional as the basement; otherwise, more earthquakes
568 would be expected in the area. In fact, the few earthquakes occurring at shallow depths
569 compared to the number at greater depths in the area, plus the present NW-SE striking faults,
570 strongly indicate that the state of stress in the sedimentary cover in all areas of the DE is a
571 normal/strike-slip faulting regime. This fact confirms that the state of stress in the
572 sedimentary cover is gradually changing from extensional to compressional from the
573 sedimentary cover to the basement.

574 Figure 9 shows fault stability analysis of faults mapped in the Zagros area color-coded by
575 CFF; it is assumed that $\mu = 0.5$, and the state of stress is on the border of the strike-slip and
576 normal faulting regimes ($S_{Hmax} \cong S_V > S_{hmin}$), as illustrated in the lower left inset in the 3D
577 Mohr diagram. The positive CFF indicates that the shear stress on the fault plane exceeds the
578 effective normal stress ($\tau \leq \mu\sigma_n$), meaning that slip occurs along the failure plane and the
579 fault is unstable. In a normal faulting regime with an average S_{Hmax} orientation of N025°E, the
580 NW striking faults will have highly unfavourable orientations for the slip. The locations of
581 earthquakes since 2009 at a depth above 6 km are mapped as black circles in Figure 9. These
582 earthquakes are scattered over the area, except for the August 2014, Murmuri Mw 6.2 event
583 in the north of the DE. The analysis has determined that earthquakes are concentrated within
584 the basement and concludes that the state of stress at the near-surface deposits is not as
585 compressional as that deeper in the basement.



586

587 Figure 9: Large-scale faults examined in slip tendency analysis, in terms of CFF for normal state of
 588 stress in sedimentary cover of DE. The CFF ($\tau - \mu\sigma_n$) colour value is normalized with depth. Black
 589 circles represent earthquakes at a depth above 6 km with $M_w > 4$ in the area since 2010. The lower
 590 left inset illustrates 3D Mohr diagram frictional slip stability assigned for the slip tendency analysis.
 591

592 9. Conclusions

593 The state of stress and the style of faulting for the DE in the ZFTB was investigated using
 594 data from boreholes drilled for hydrocarbon resources development and from earthquake
 595 focal plane mechanism records. The study supports the following findings:

- 596 1. Geomechanics study on 25 boreholes confirms that the stresses in the sedimentary cover
 597 are less compressional at shallow depths (i.e., normal and strike-slip faulting). This finding
 598 is consistent with fault slip tendency analysis of the sedimentary cover, and the fewer
 599 earthquakes at shallower depths, as well as the leak-off test results reported by other
 600 researchers.

- 601 2. The style of faulting and relative stress magnitudes and stress orientation in the area were
602 investigated using Simpson's (1997) approach in 108 well-constrained earthquake focal
603 plane mechanisms. This analysis shows that the Anderson fault parameter, A_{ϕ} , varies
604 from 2 (strike-slip faulting) to 3 (reverse faulting) in the DE, with the highest frequency
605 being between 2.0-2.2, suggesting that the style of faulting in the basement is
606 compressional (a reverse to strike-slip faulting regime) because the S_{Hmax} and S_V
607 magnitudes are close to one another, but far higher than the minimum horizontal stress
608 value.
- 609 3. Studying both the sedimentary cover and the basement of the DE shows a change from
610 the normal/strike-slip faulting stress regime in the former to a thrust-fault stress regime
611 in the latter.
- 612 4. Critically stressed fault analysis using the Mohr-Coulomb failure criterion was applied to
613 both the sedimentary cover and the basement in the DE. The analysis shows that the
614 most-likely fault plane to slip in the basement is a high angle fault (75° - 80°) aligned NW-
615 SE. The local shallow depth faults, mostly lying NW-SE, are not critically stressed, and in
616 fact, at the current state of stress, they are mechanically quiescent. This observation is
617 consistent with the very few earthquakes occurring in the upper part of the sedimentary
618 cover of the DE.

619

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622 version of the paper. The Generic Mapping Tools (GMT) (Wessel and Smith, 1998) was used
623 to generate some figures. All earthquake data used in this study are openly available from
624 Iranian Seismological Centre (www.irsc.ut.ac.ir) or in the supplementary materials.

625

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Figure 1.

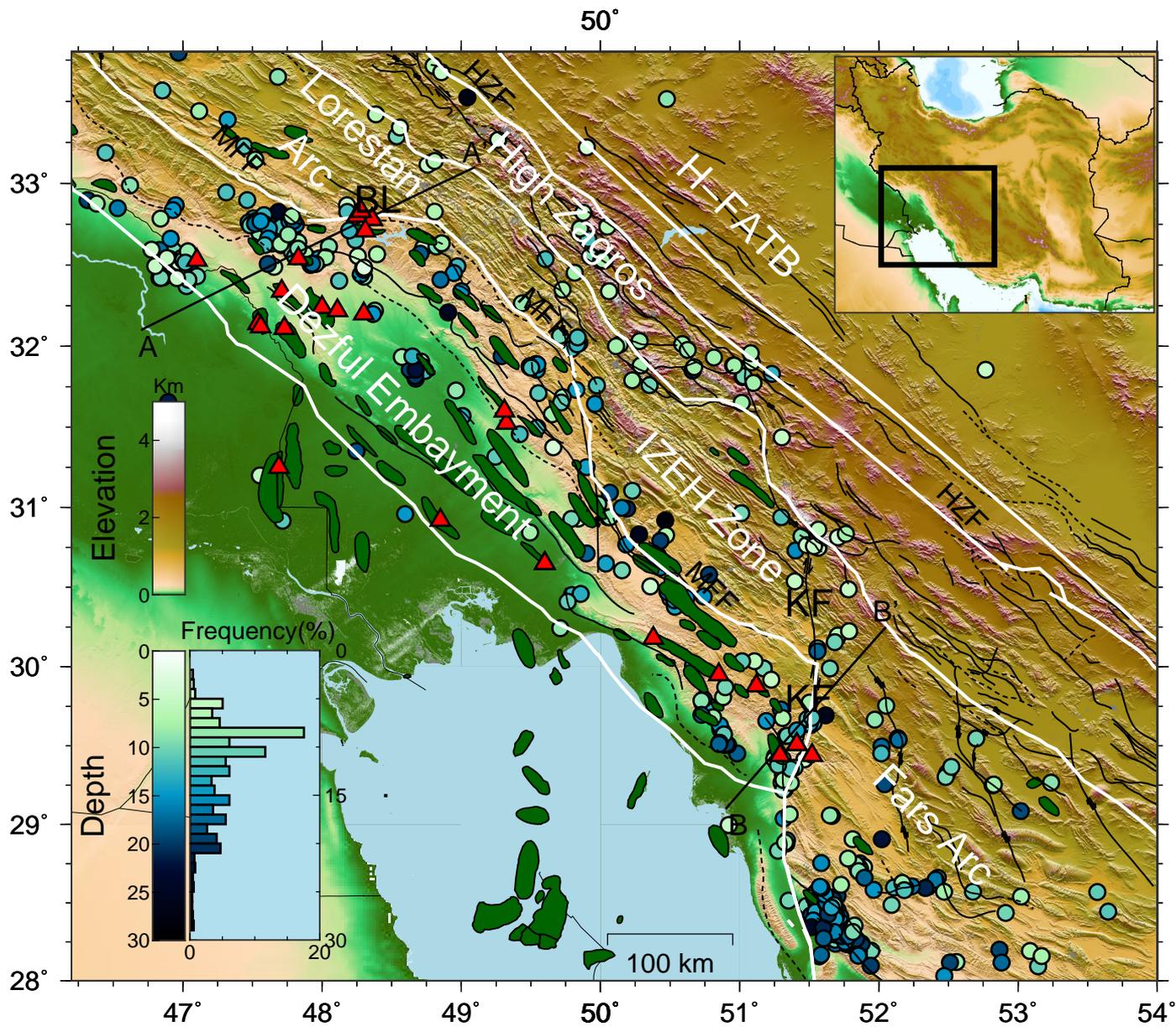


Figure 2.

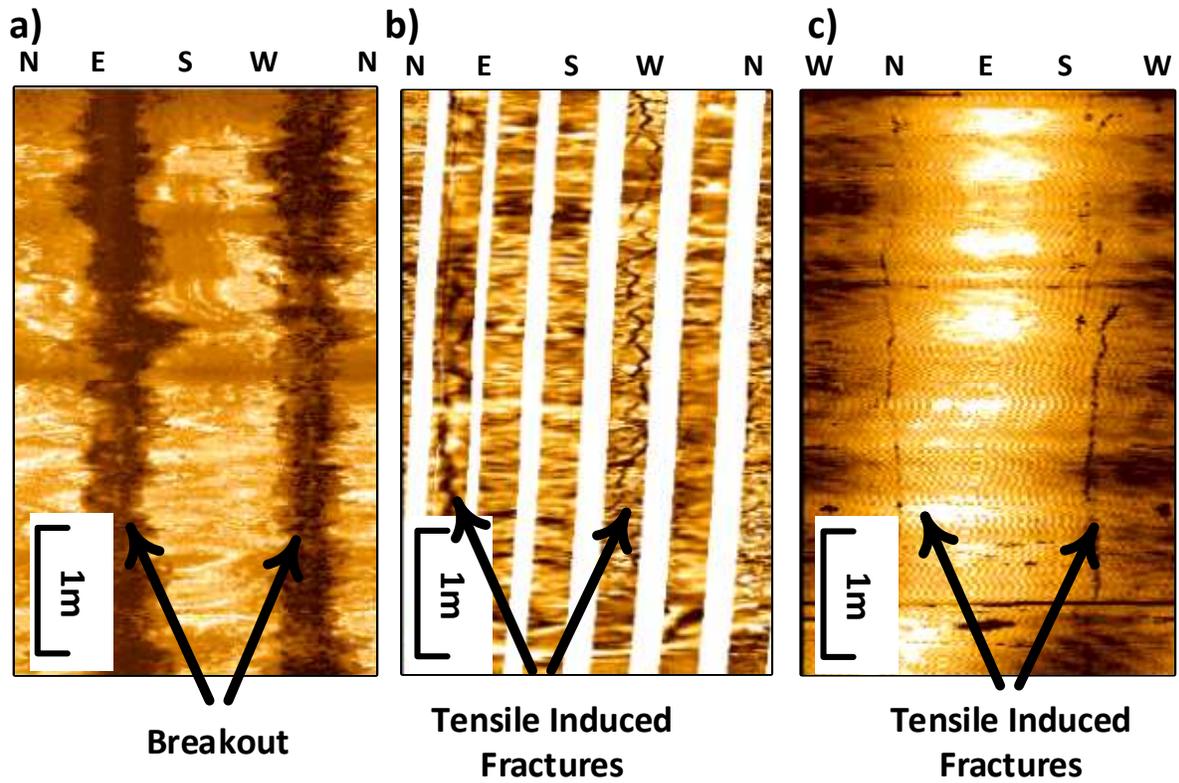


Figure 2: Examples of borehole breakout in a) the E-W direction in the Dehloran oil field (4150 m) and b) tensile induced fracture in NE-SW direction in the Khesht oil field (2880 m), and c) one in the N-S direction in the Paydar oil field (3210 m).

Figure 3.

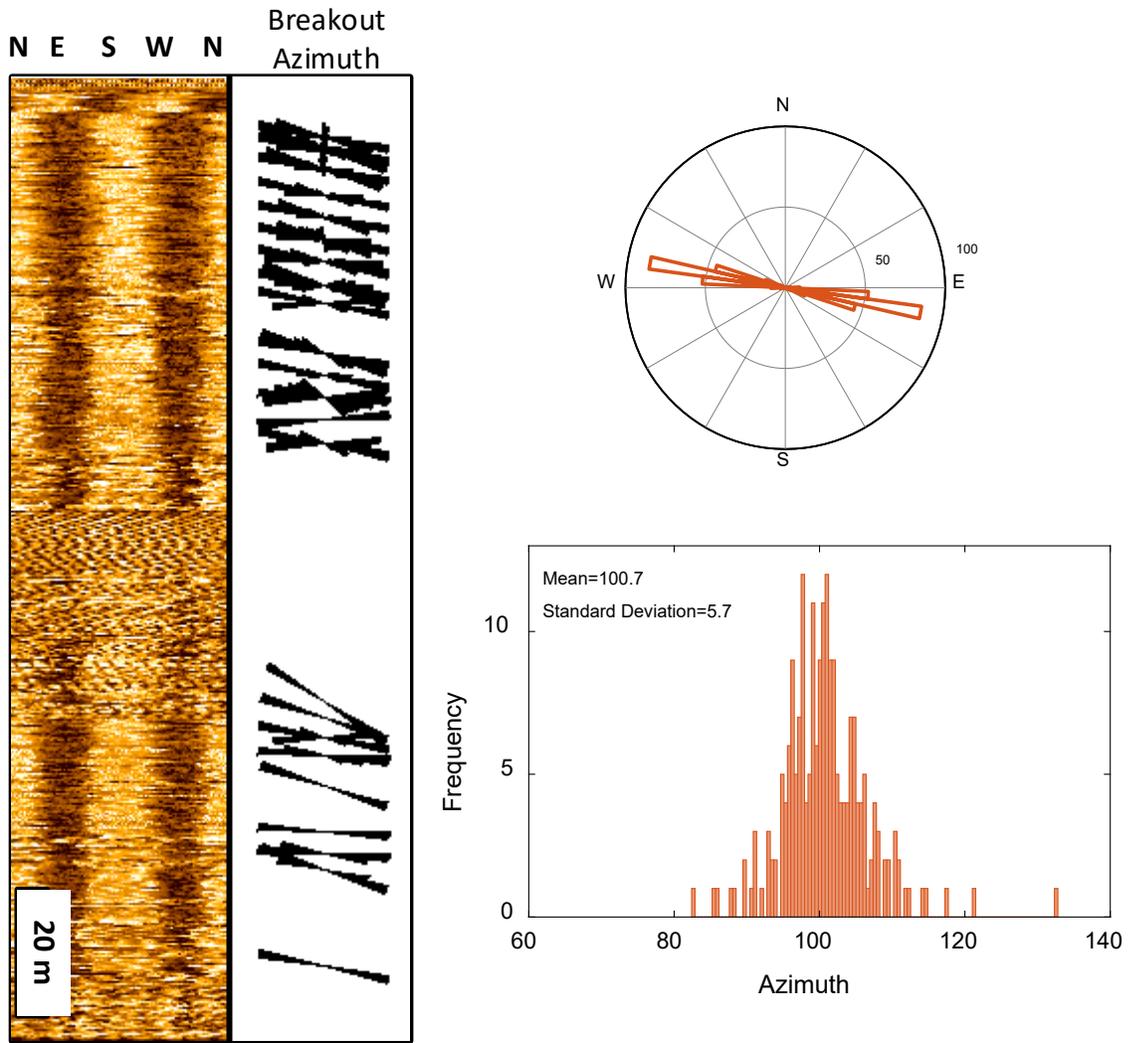


Figure 3: UBI log indicates that the minimum principal direction (borehole breakout) is $100^{\circ} \pm 5.7^{\circ}$ in Well 7 of the West Paydar field.

Figure 4.

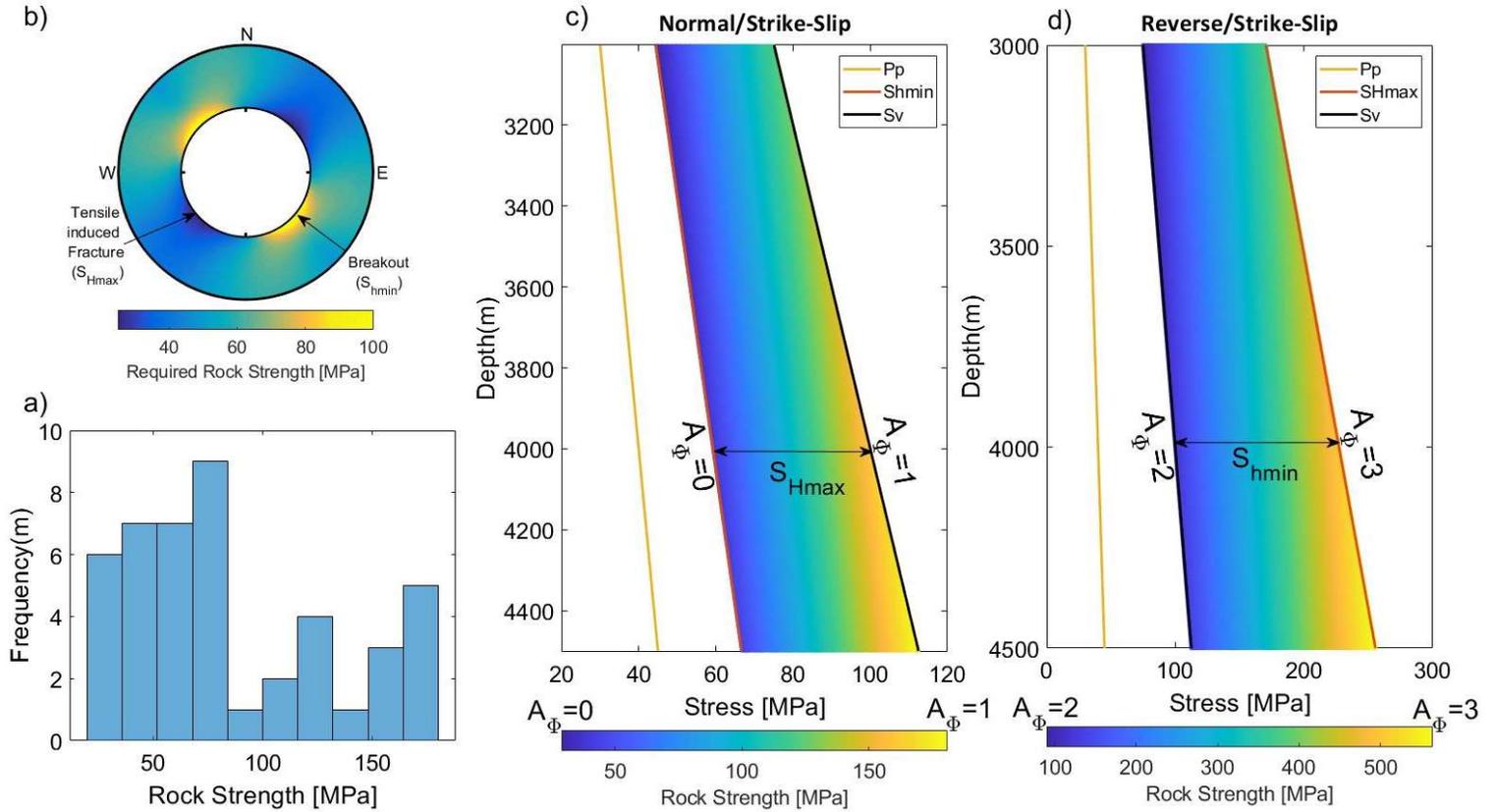


Figure 4: b) The uniaxial compressive rock strength (UCS) histogram of limestone in the Sarvak and Asmari Formations of the Mansori field; b) example of stress concentration around a vertical borehole and the location of borehole breakout and tensile induced fracture and their relation to principal stress orientations; c) and d) rock strength required to initiate a breakout in normal and reverse faulting regimes in the Sarvak and Asmari Formations of the DE, assuming that the stresses at the limit are constrained by a friction coefficient $\mu = 0.6$, $P_p = 10 \text{ MPa/km}$, and $S_v = 26 \text{ MPa/km}$ (equation (1)). The color bar shows rock strength needed to prevent borehole breakout in vertical boreholes. Mohr–Coulomb failure criterion and Kirsch equations (equation (2)) were used in these calculations.

Figure 5.

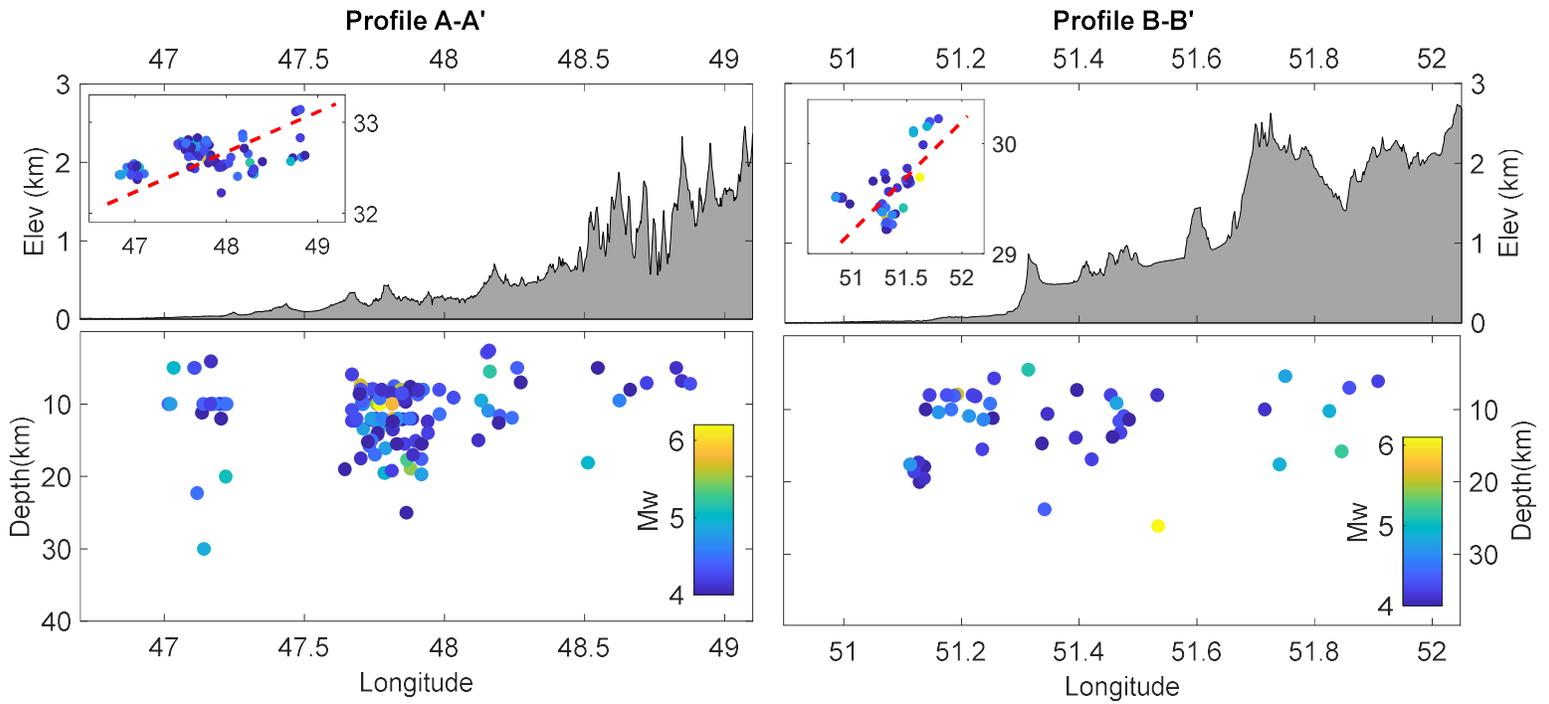


Figure 5: Cross-sections of DE displaying topography and seismicity in A-A' and B-B' sections shown in Figure 1. The colour scale represents earthquake magnitudes. The high seismicity density in the area is restricted to below 4 km. Details of each earthquake are provided in the supplementary materials (Table S2).

Figure 6.

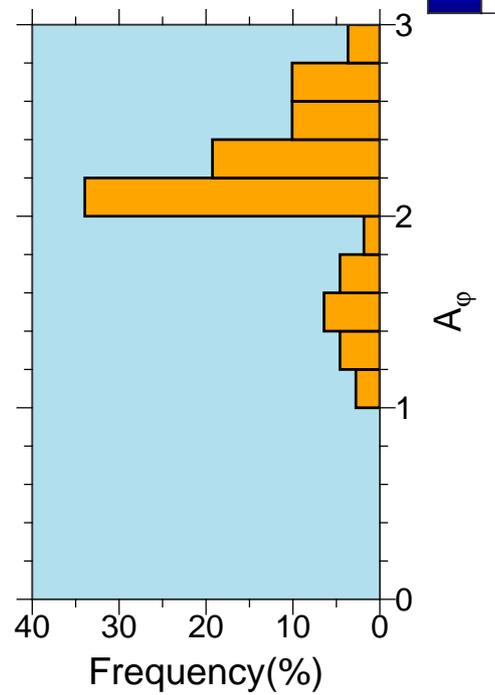
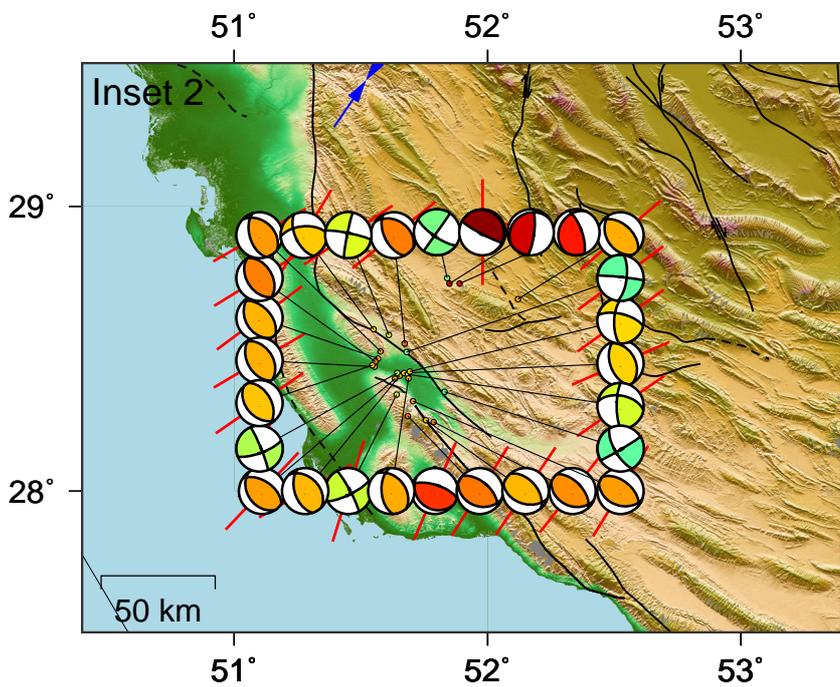
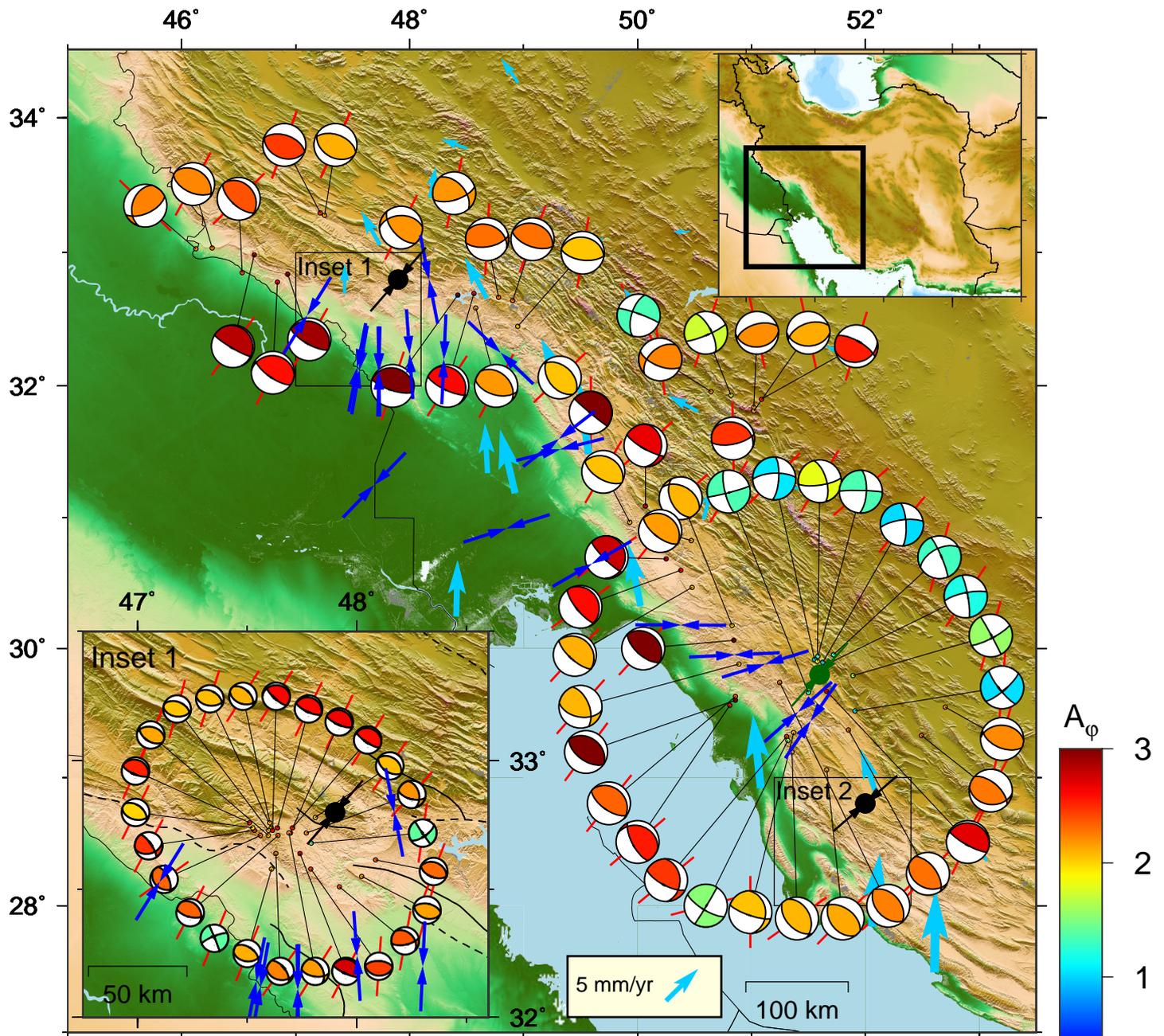


Figure 7.

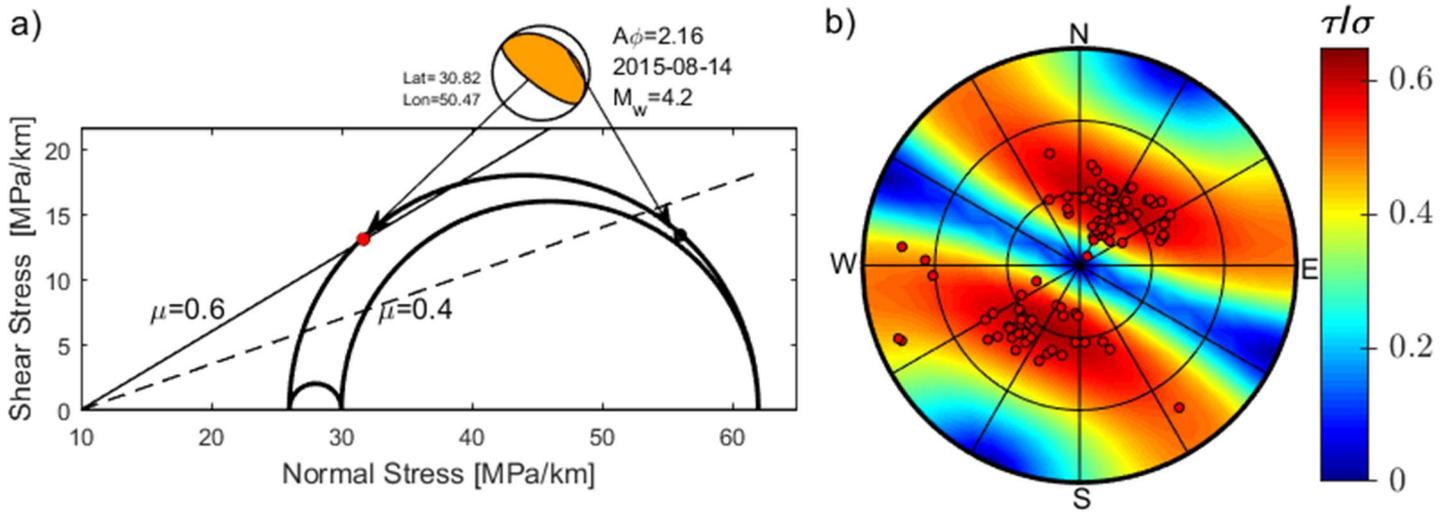


Figure 7: a) 3D Mohr's circle showing representative reverse focal plane mechanisms and resolved shear and normal stresses for each nodal plane. The color inside the beach ball represents A_ϕ and is based on the color bar shown in Figure 6. b) Lower hemisphere stereonet plot of the preferred nodal plane for 92 focal plane mechanisms in the DE where the state of stress is a thrust-faulting regime. Colors show the ratio of shear to effective normal stresses (required μ) needed for shear failure on a fault plane.

Figure 8.

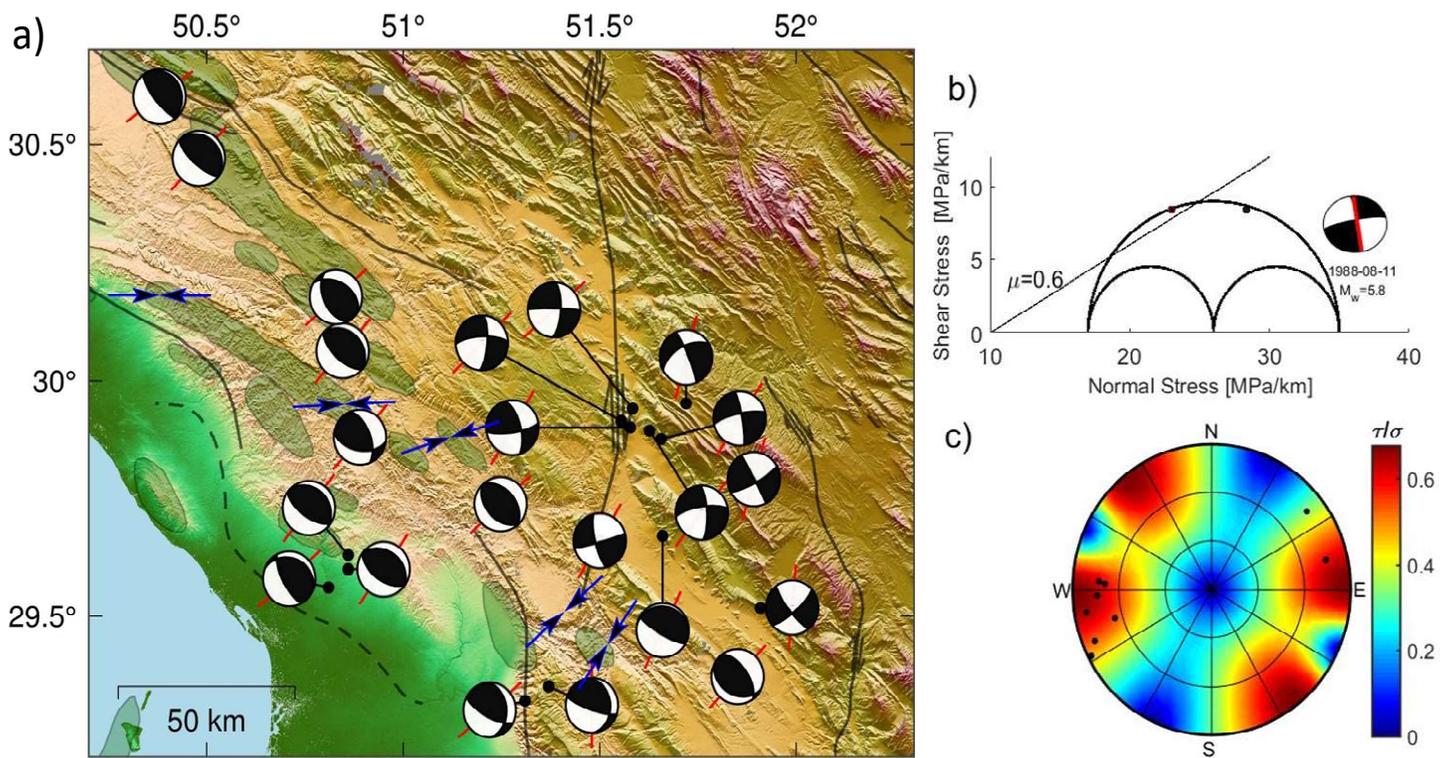


Figure 8: a) Earthquake focal mechanisms in the vicinity of the N-S Kazerun transverse active fault. The state of stress changes from strike-slip faulting around the fault to a reverse faulting regime on either side whereas the seismologically (red line) determined azimuth S_{Hmax} (P-Axis) is stable and uniform around the area. b) 3D Mohr's circle showing a representative strike-slip focal plane mechanism and resolved shear and normal stresses for each nodal plane. The red line on the focal beach-ball indicates the actual fault plane. c) Lower hemisphere stereonet plot illustrates the slip-tendency (ratio of resolved shear to normal stress) associated with the strike-slip Kazerun fault and actual nodal plane for 12 focal plane mechanisms mapped in (a).

Figure 9.

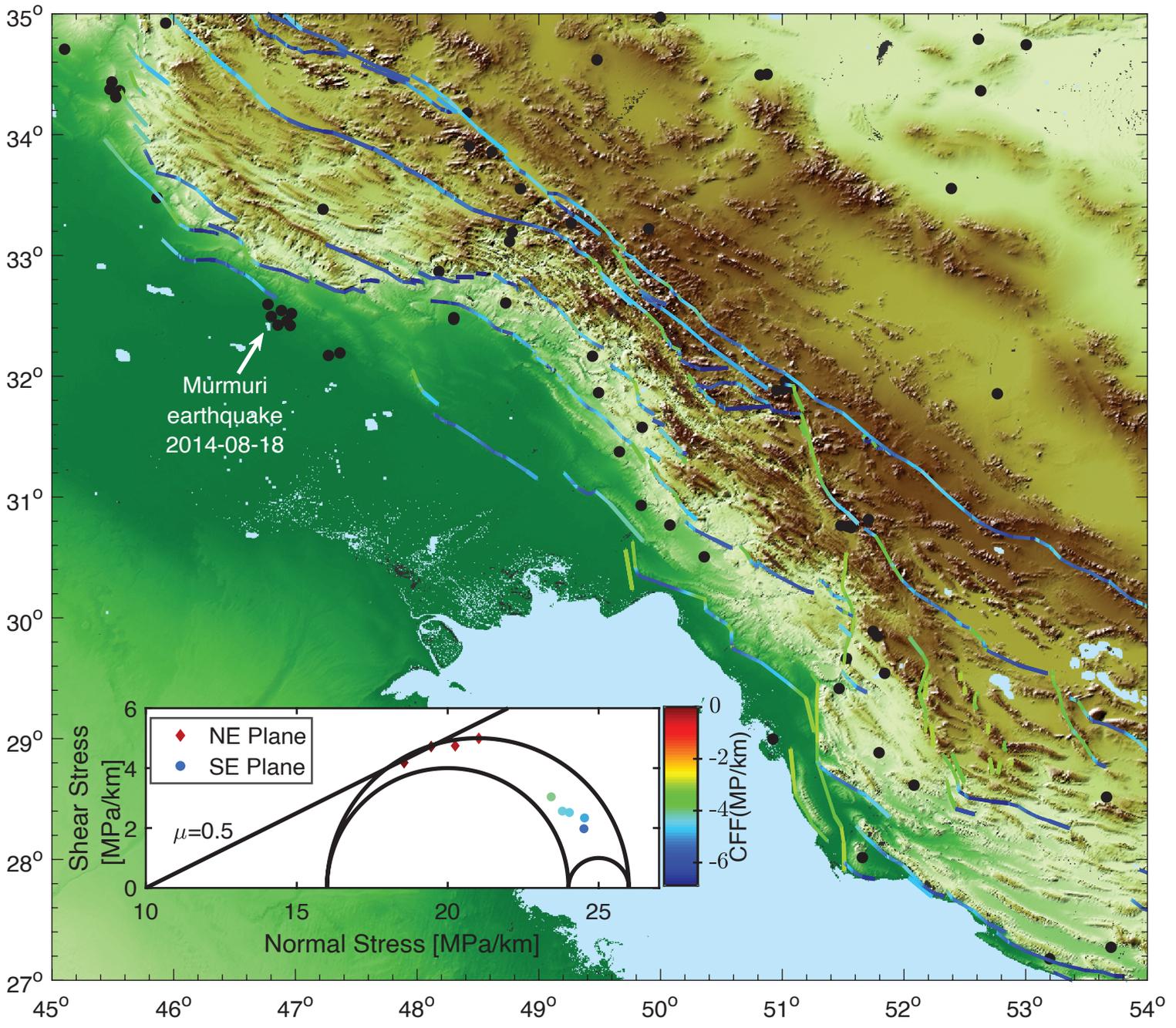


Table 1: S_{Hmax} orientations by quality ranking derived from both borehole breakout (BO) and drilling induced fracture (DIF) in the different fields in the DE.

Field	Well Name abbreviation	Latitude	Longitude	S_{Hmax} Azimuth (deg)	Type	Number	Total length (m)	Depth (m)	Orientation (deg.)	S.D.	WSM (quality)
Aghajari	AJ-215	30.69	43.86	32	BO	5	110	2475	122	7.3	C
Balarud	BL-2	32.8	48.25	147.6	BO	6	140	2243	57.6	2.1	B
	BL-2	32.8	48.25	152.5	DIF	6	464	2174	152.5	11.1	B
	BL-3	32.78	48.36	175	BO	1	---	1616	85	----	D
	BL-3	32.78	48.36	174.9	DIF	10	269	1805	174.9	20	B
	BL-4	32.83	48.28	42.9	BO	19	503	1846	132.92	19.9	B
	BL-4	32.83	48.28	109.9	DIF	3	18	1705	109.9	18.6	D
Bibi-Hakimih	BL-6	32.71	48.31	169.08	DIF	24	623	1934	169.08	12.9	A
	BH-177	29.95	50.85	87.2	BO	51	210	1972	177.2	11.6	A
Bibi-Hakimih	BH-179	30.18	50.38	90.3	BO	109	520	2121	180.3	10.8	A
	Chahar Bisheh	CB-4	29.88	51.12	52	BO	149	295	1953	142.0	9.9
Cheshmeh-khosh	CK-8	32.24	48.00	176	BO	25	238	3548	86.00	4.2	A
	CK-9	32.34	47.71	75	BO	43	221	4172	165.00	26.0	C
	CK-22	32.20	48.30	182.6	BO	10	106	3522	92.6	6.9	A
Dalpari	DP-08	32.54	47.83	157.3	BO	30	150	2340	67.3	32.1	D
Dehloran	DH-23	32.53	47.1	31.4	BO	52	415	4164	121.4	7.14	A
Khesht	KH-2	29.51	51.41	138	BO	28	402	2810	48.0	9.8	A
	KH-2	29.51	51.41	142.75	DIF	4	191	2845	322.75	8.7	C
	KH-5	29.44	51.29	124.05	BO	36	197	2994	34.05	8.7	A
	KH-5	29.44	51.52	119.4	DIF	19	215	2984	299.4	17.8	B
Lali	LL-22	32.25	134.2	44.2	BO	35	230	2278	44.2	11.2	A
	LL-29	32.22	48.11	135	BO	158	466	2547	46.10	43.3	E
Mansouri	MI-99	30.92	48.85	72.02	BO	22	179	3259	162.02	5.02	A
Maroun	MN446	31.524	49.324	75.7	BO	167	125	4293	165.70	5.3	A
Naft_Sefied	NS-47	31.60	49.31	72.75	BO	29	270	1625	162.75	9.78	A
Paydar	P-2	32.11	47.73	1.3	BO	89	681	3304	91.30	6.8	A
	P-2	32.11	47.73	179.2	DIF	99	576	3294	179.2	20.3	A
	P-6	32.14	47.54	10.7	BO	213	310	4045	100.7	5.7	A
	P-7	32.12	47.56	9.8	BO	135	230	4035	99.8	6.2	A
Ramshir	RR-19	30.65	49.6	59.65	BO	32	248	3061	149.65	6.70	A
	RR-19	30.65	49.6	70.8	DIF	3	48	2818	70.8	3.9	D
Yaran	YRRN-2	31.25	47.69	43.6	BO	11	140	3980	133.60	7.5	A

Table 2: Stress inversion results at different locations in the DE.

Lat (°N)	Long (°W)	Number of Focal Mechanisms	S1 Azimuth (°)	S1 Plunge (°)	$R=(1-\varphi)$	Faulting Regime
48	33	68	205	4.1	0.72 ± 0.2	R
52	29	31	223	5.7	0.78 ± 0.25	R
51.6	29.8	23	221	4.3	0.84 ± 0.15	S