

1     **Foreshock Activity Promoted by Locally Elevated Loading Rate on a 4-meter-long**  
2                                    **Laboratory Fault**

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10    **Key Points**

- 11       • Experiments on a 4-m-long rock fault show two stages of slow slip evolution and  
12       foreshock activities preceding main fast rupture.
- 13       • Most foreshocks were triggered by the slow slip at the second stage with a rate faster than  
14       100  $\mu\text{m/s}$  regardless of accumulated slip amount.
- 15       • Magnitude of repeating foreshocks tended to positively correlate with local slip rate in  
16       three of four repeater groups.
- 17

**Abstract**

We report laboratory experimental results to reveal the factors that control the occurrence and magnitude of foreshocks. We conducted rock friction experiments using an apparatus that can shear 4-meter-long rock specimens. We observed many stick-slip events, as well as very slow (several tens of  $\mu\text{m/s}$ ) but long-lasting slips between those main events. These long-term slow slips individually initiated from both the leading and trailing edges of the fault, and kept propagating steadily towards each other. Such steady slips did not immediately trigger any seismic events, regardless of the accumulated slip amount. After the coalescence of the two long-term slow slip fronts, a second phase of slow slip with higher slip rate (several hundreds of  $\mu\text{m/s}$ ) — called precursory slow slip, began at the central area and was accompanied by the occurrence of small seismic events (foreshocks). Subsequently, the main fast rupture eventually developed. We propose that the asperities that hosted foreshocks had similar size to the local critical nucleation length  $h^*$ ; the asperities slipped stably when the local loading rate was low, but could also slip unstably and radiated seismic waves when the local loading rate became high. We further found a clear positive correlation between foreshock magnitude and local slip rate. These results suggest that local loading rate has a significant influence on the occurrence and magnitude of foreshocks. Therefore, its effect should be taken into account during the studies of earthquake nucleation process and other similar phenomena such as Episodic Tremor and Slip (ETS), icequakes, and repeating earthquakes.

**Plain Language Summary**

It is known that two contacting surfaces do not perfectly fit with each other, but with very limited areas in actual contact. The real contacting areas are highly stressed and can serve as asperities — a situation thought to also apply to a natural fault. It is also considered that asperities are more difficult to break, but can generate seismic waves once broken. However, the exact conditions when the asperities break are still unclear. To investigate them, we have conducted laboratory experiments with 4-meter-long rock blocks. We observed extremely slow (several tens of  $\mu\text{m/s}$ ) fault slips during the shear loading stage. While no seismic events occurred during the initial phase, a second phase of slow slips with higher slip rate (several hundreds of  $\mu\text{m/s}$ ) was often accompanied by the occurrence of small seismic events (foreshocks). We also found that the magnitude of foreshocks became larger under a higher local slip rate. These observations suggest that the asperities on the fault slip stably under the low local loading rate, but can slip rapidly and

49 radiate seismic waves under the high local loading rate. Therefore, it is necessary to incorporate  
50 the effect of local loading rate into the studies of natural earthquakes.

## 51 **1 Introduction**

52 To predict when and where the next major earthquake will initiate is of great importance  
53 to both scientific research and disaster mitigation. It is well known that unstable fast rupture does  
54 not suddenly begin on a fault plane, but is often preceded by a quasi-stable slow slip, which is  
55 called the nucleation process. The transition from the slow slip to the fast rupture was first  
56 demonstrated by some pioneer laboratory experiments (Dieterich, 1978; Ohnaka & Kuwahara,  
57 1990; Okubo & Dieterich, 1984). Ever since those earlier studies, more experimental studies  
58 have been conducted to improve the understanding of the nucleation process (e.g. Latour et al.,  
59 2013; McLaskey & Lockner, 2014; Nielsen et al., 2010; Ohnaka & Shen, 1999; Gvirtsman &  
60 Fineberg, 2021). In parallel, theoretical and numerical studies have also been conducted to  
61 investigate the nucleation process and to construct a comprehensive model for it (e.g. Ampuero  
62 & Rubin, 2008; Dieterich, 1992; Lapusta & Rice, 2003; Noda et al., 2013; Rubin & Ampuero,  
63 2005; Uenishi & Rice, 2003).

64 The length of the slipping region, at which a stable slip transitions to an unstable rupture,  
65 is called the critical nucleation length  $h^*$ . Considering the conditions at the seismogenic depth,  
66  $h^*$  and the associated slip amount expected from the above studies were supposed to be too small  
67 to be detected by observations at the Earth's surface. However, recent geodetic observations  
68 showed detectable crustal deformations preceding some large earthquakes (Ito et al., 2013; Ruiz  
69 et al., 2014, 2017; Socquet et al., 2017), though their behaviors are not so simple as the ones  
70 predicted by theoretical models.

71 Another possible way to detect the nucleation process is to monitor seismic activity, i.e.,  
72 foreshocks. Although foreshocks do not always occur and are usually classified retrospectively,  
73 they can still provide us with valuable information for understanding the earthquake nucleation  
74 process. Several studies have reported foreshocks (Bouchon et al., 2013; Ruiz et al., 2017) and  
75 their migration (Kato et al., 2012; Ruiz et al., 2014) preceding some large earthquakes. Recent  
76 study also showed that even the nucleation process for a very small earthquake ( $M3.7$ ) can be  
77 inferred from the detected foreshock activity, and further suggested that those foreshocks could

78 be triggered by an underlying slow slip on the fault (Tape et al., 2018). However, the exact  
79 triggering mechanism is still unknown.

80         Considering the complicated behaviors of earthquake nucleation process and the  
81 uncertainty of foreshock detection under a natural condition, laboratory experiment should be  
82 one of the best approaches for fully understanding those phenomena. Selvadurai and Glaser  
83 (2015) conducted direct shear experiments with PMMA blocks. They observed that high normal  
84 stress could suppress the propagation of slow slip and increase the foreshock size, which were  
85 reproduced by their numerical simulation. McLaskey and Lockner (2014) conducted friction  
86 experiment with saw-cut cylindrical granite samples using a triaxial loading apparatus. They  
87 observed accelerated seismic activity before each stick-slip event but found 98% of premonitory  
88 moment release was due to aseismic preslip. They proposed that the main stick-slip event was  
89 triggered by a cascade-up process assisted by the preslip. McLaskey and Kilgore (2013)  
90 observed foreshocks preceding stick-slip events on a 2-m long granite fault. Since the foreshocks  
91 only occurred at the final phase in the nucleation process, they proposed that the increased  
92 stressing rate during the nucleation caused a reduction of  $h^*$  and then triggered the foreshocks.

93         Recently, apparatuses for meter-scale rock friction experiments have been newly  
94 developed, which can provide more realistic conditions for investigating the earthquake  
95 nucleation process than the conventional small-scale experiments since the nucleation zone size  
96 can be within the sliding fault area. An apparatus using a large-scale shaking table has been  
97 developed and installed at the National Research Institute for Earth Science and Disaster  
98 Resilience (NIED) in Japan (Fukuyama et al., 2014). Large-scale friction experiments using this  
99 apparatus have been conducted, and effects of fault width (Fukuyama et al., 2018), fault  
100 roughness (Yamashita et al., 2018), and loading rate (Xu et al., 2018) on the rupture nucleation  
101 process were reported in detail. The research group also demonstrated that foreshocks, the  
102 locations of which coincided well with those of generated wear materials, were triggered right  
103 after the passage of a precursory slow slip front prior to the main rupture on a less heterogeneous  
104 fault (Yamashita et al., 2021). At Cornell University in the U.S., an apparatus that can shear 3-m  
105 long granite blocks has been developed. This apparatus successfully produced the initiation,  
106 propagation, and also termination of ruptures on the fault, which should be closer to natural  
107 earthquakes than the conventional stick-slip events (Ke et al., 2018). Using this apparatus,  
108 McLaskey (2019) proposed that the smooth nucleation process predicted by theory is hard to

109 occur on natural rough faults, but foreshocks, which are produced during the nucleation process,  
110 finally trigger the main dynamic rupture.

111 In this paper, we also investigate the nucleation process of earthquakes in the laboratory,  
112 but with an even larger rock fault and much better instrumentations than previous studies. These  
113 advantages allow us to quantitatively understand the key factors that control the occurrence and  
114 magnitude of foreshocks during the experimentally simulated nucleation process. In the  
115 followings, we first introduce the basic experimental setup. Then, we show slow slip activity as  
116 part of the nucleation process leading to the main event. Next, we compare the spatiotemporal  
117 distribution of foreshocks with that of local slip or local slip rate, and investigate the factors that  
118 control the occurrence and magnitude of foreshocks. Finally, we discuss the implications of the  
119 obtained results, and make the concluding remarks.

## 120 **2 Method**

### 121 2.1 Experimental setup

122 Figure 1a shows a schematic diagram of the newly developed apparatus for the current  
123 study. This apparatus enables us to shear 4-meter-long rock specimens. We used a pair of  
124 metagabbro blocks from India as the experimental specimen. The physical properties are listed in  
125 Table 1. The shorter block was stacked on the longer one as shown in Figure 1a. The nominal  
126 contacting area was 4.0 m long and 0.1 m wide, and is treated as the simulated fault in the  
127 current study. Normal load was applied with eight flat jacks installed side by side between the  
128 top frame of the apparatus and a steel plate on the top surface of the upper specimen. The  
129 dimension of a single flat jack was 0.5 m long and 0.1 m wide. The flat jacks were pressurized  
130 by the mixed fluid of oil and air in order to keep the pressure constant even if the flat jacks were  
131 deformed during an experiment. The pressure of the flat jacks was built up to an objective value  
132 before shearing and was maintained at a constant value by shutting each oil circuit off during an  
133 experiment. The pressure of each flat jack was separately monitored by a pressure gauge (GP-  
134 M100, Keyence Corp., Japan) to estimate the amount of normal stress on the simulated fault.  
135 According to a preliminary calibration by the manufacturer of the flat jack, the actual pressure  
136 applied by the flat jack is around 67% of its oil pressure, because the edges of the flat jack are  
137 not in contact with the pressurized face and thus the total contacting area is inevitably smaller

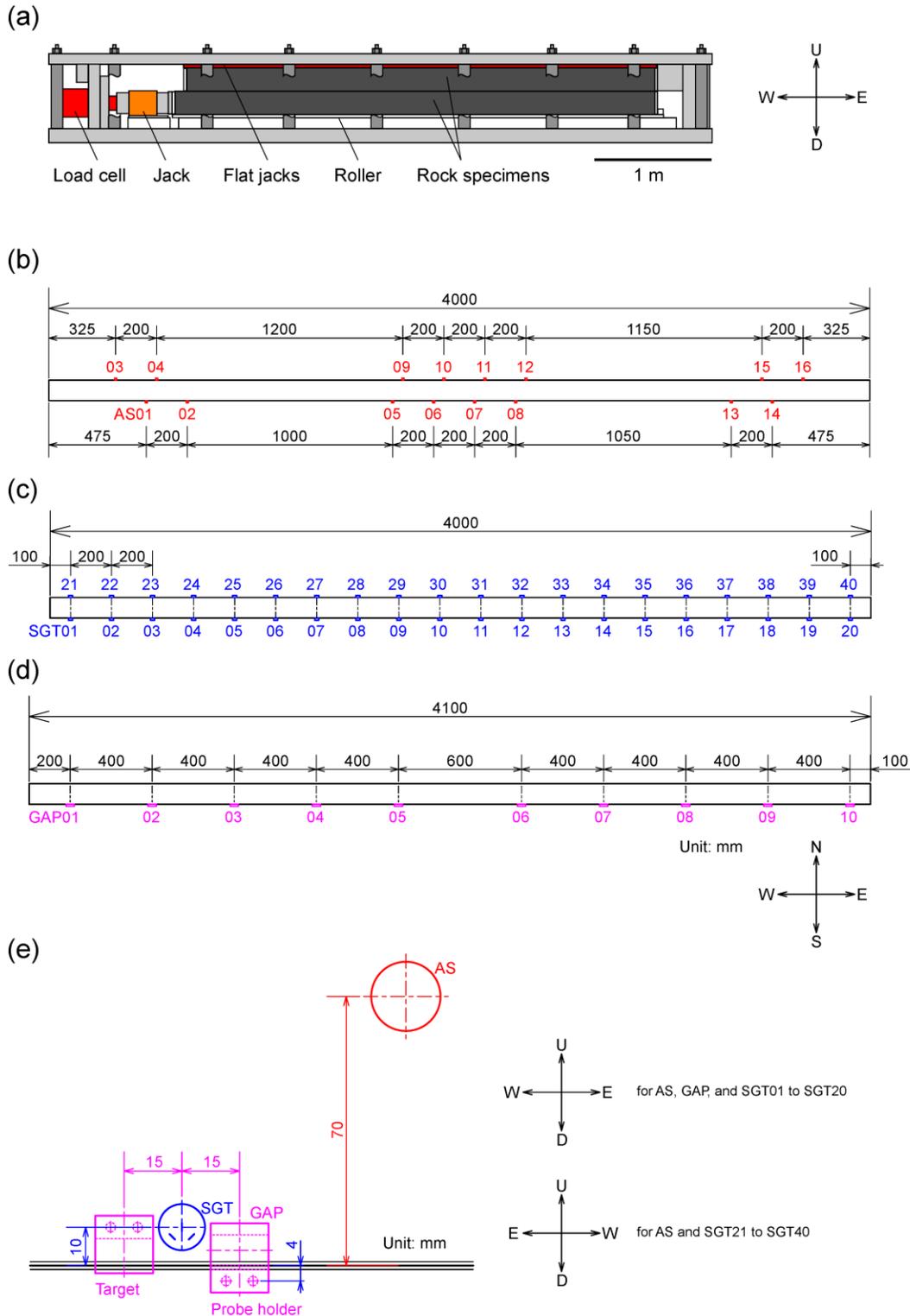
138 than the whole fault area. To retrieve the actual pressure value, we first averaged the oil  
 139 pressures over eight flat jacks and then reduced the averaged value to 67%. We treated the  
 140 obtained value after the 33% reduction as the macroscopic normal stress ( $\sigma$ ) on the simulated  
 141 fault. Shear load was applied by a hydraulic jack installed at the western end of the apparatus.  
 142 The western end of the lower specimen was loaded by this jack. The lower specimen was put on  
 143 a low-friction roller on the bottom frame, so that only the simulated fault supported the shear  
 144 load. The amount of shear load was measured with a load cell (CLP-2MNS006, Tokyo  
 145 Measuring Instruments Laboratory Co., Ltd., Japan) installed between the hydraulic jack and the  
 146 lower specimen. Therefore, the amount of macroscopic shear stress ( $\tau$ ) was obtained from the  
 147 measured shear load divided by the fault area.

148

149 **Table 1** *Properties of rock specimen*

Item	Property
Rock type	Metagabbro
Dimension for upper specimen	L4.0 m x W0.1 m x H0.2 m
Dimension for lower specimen	L4.1 m x W0.1 m x H0.2 m
Nominal dimension of contacting area	L4.0 m x W0.1 m
Initial undulation of contacting surface	< 10 $\mu\text{m}$
Young's modulus	103 GPa
Poisson's ratio	0.31
P-wave velocity*	6919 m/s
S-wave velocity*	3631 m/s

150 \*Seismic wave velocities were estimated from Young's modulus, Poisson's ratio, and density.



151

152 **Figure 1.** Experimental setup in this study. (a) Schematic diagram of rock friction apparatus at  
 153 NIED. An upper rock specimen is vertically stacked on a lower rock specimen. Normal load is  
 154 applied by eight flat jacks inserted side by side between upper frame of the apparatus and the

155 upper specimen. Oil pressures of the flat jacks are maintained at a constant value by closing the  
 156 oil circuit during an experiment. Shear load is applied by laterally pushing the lower specimen  
 157 with a hydraulic jack. The amount of normal stress ( $\sigma$ ) on the simulated fault is assumed to be  
 158 67% of the averaged oil pressure of eight flat jacks. The amount of shear stress ( $\tau$ ) is estimated  
 159 from the amount of load measured with a load cell. Map view of the upper specimen indicating  
 160 the locations of (b) 16 acoustic sensors (AS) and (c) 40 triaxial semiconductor strain gauges  
 161 (SGT). (d) Map view of the lower specimen indicating the location of 10 eddy current gap  
 162 sensors (GAP). (e) Side view showing the configurations of various sensors. The target of GAP  
 163 is installed on the side surface of the upper specimen.

164

165 We repeated the experiments with the same pair of rock specimens (named FB02) under  
 166 the same normal stress around 4 MPa. Because we manually pumped up the hydraulic jack to  
 167 apply the shear load in the experiments, the loading rate was not well controlled. Nevertheless,  
 168 we tried to keep the shear loading rate as uniform as possible, but also with fast or slow rates in  
 169 order to investigate the influence of macroscopic loading rate on the fault rupture process. In the  
 170 current study, we investigate two experiments FB02-006 and FB02-008 with relatively high  
 171 shear loading rate, as well as two other experiments FB02-007 and FB02-010 with relatively low  
 172 loading rate. See Table 2 for the actual loading rate in each experiment. Because of the effective  
 173 range of eddy current gap sensor described in the next section, the total amount of displacement  
 174 during a single experiment was limited within 2 mm. Five experiments have been done before  
 175 FB02-006. It is supposed that some amount of wear materials was produced on the fault. The  
 176 wear materials were kept on site throughout all the experiments.

177

178 **Table 2** *Macroscopic shear loading rate and local slip rate during long-term slow slip*

Experiment ID	Macroscopic shear loading rate (kPa/s)	Slip rate at GAP01 during long-term slow slip ( $\mu\text{m/s}$ )
FB02-006	92.7 $\pm$ 15.5	11.8 $\pm$ 3.4
FB02-007	3.5 $\pm$ 1.7	0.7 $\pm$ 0.3
FB02-008	163.4 $\pm$ 28.5	19.5 $\pm$ 9.5
FB02-010	4.7 $\pm$ 1.5	1.5 $\pm$ 0.6

179

## 180 2.2 Measurements

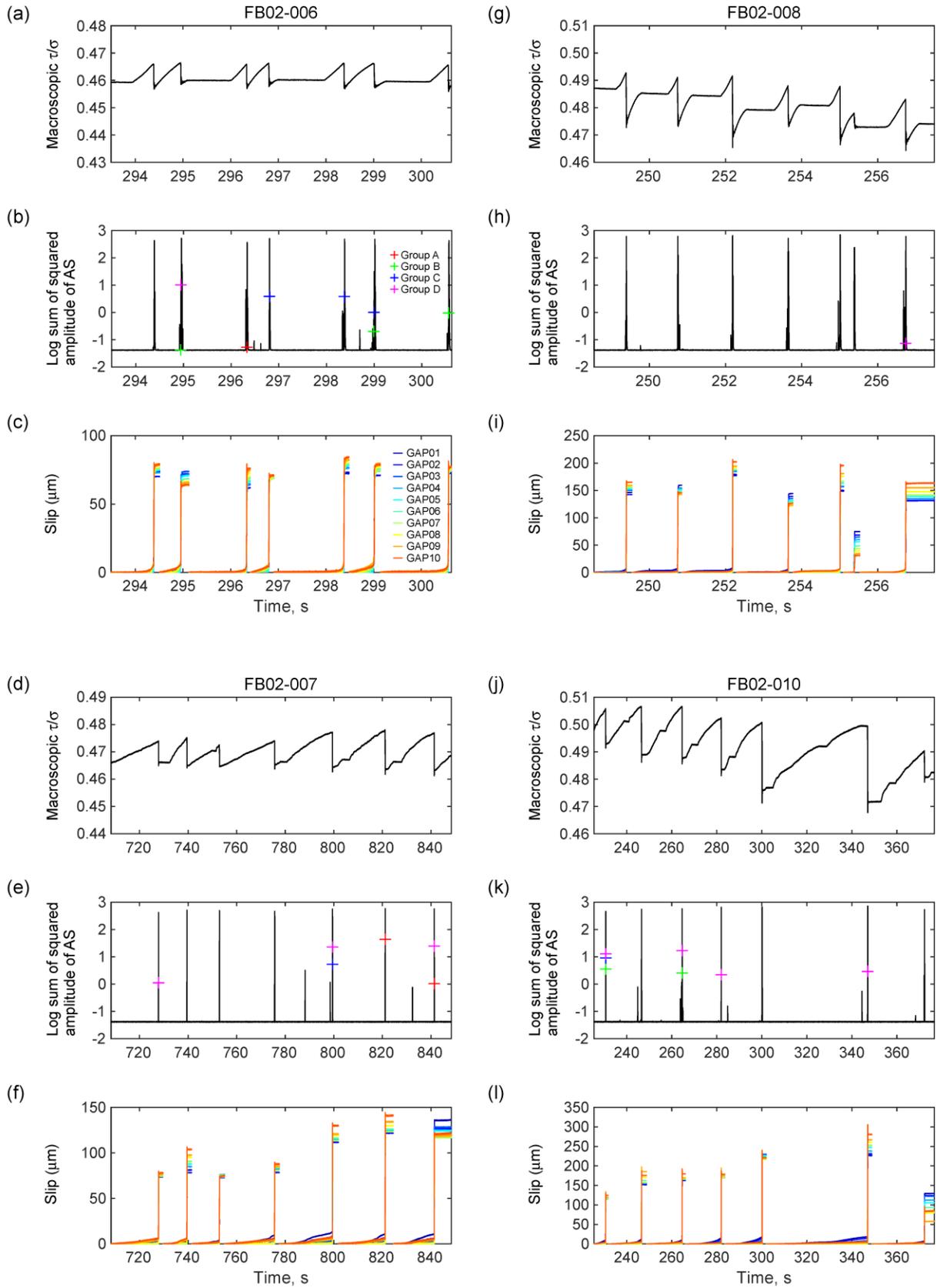
181 Signal from the load cell was processed with a strain amplifier (CDV-71A, Kyowa  
182 Electronic Instruments Co., Ltd., Japan). The oil pressure of the pressure gauge for the flat jack  
183 was output as electrical signals. Those signals were continuously sampled at 1 MHz with 16-bit  
184 resolution (M2i4741-mgt, Spectrum Instrumentation, Germany). In addition to the macroscopic  
185 monitoring, we installed 16 broadband acoustic sensors (V103-RM, Olympus Corp., Japan)  
186 named AS, 40 triaxial semiconductor strain gauges (SKS-30282, Kyowa Electronic Instruments  
187 Co., Ltd., Japan) named SGT, and 10 eddy current gap sensors (FK-202F, Shinkawa Electric  
188 Co., Ltd., Japan) named GAP along the fault, in order to monitor the local phenomena on the  
189 fault in detail (Figures 1b-1e). ASs were installed on both side surfaces of the upper specimen,  
190 70 mm away from the simulated fault (Figures 1b and 1e). AS has a resonance frequency at 1  
191 MHz and the signal was amplified 20 times by a custom-made amplifier (Turtle Industry Co.,  
192 Ltd., Japan). The amplified signal was continuously sampled at 10 MHz with 14-bit resolution  
193 (M2i4032, Spectrum Instrumentation, Germany). SGTs were installed on both side surfaces of  
194 the upper specimen, 10 mm away from the simulated fault (Figures 1c and 1e). Three strain  
195 gauge components were offset by 45, 90, and 135 degrees from the horizontal fault plane as  
196 shown in Figure 1e. The strain signal from each component was individually processed with a  
197 signal conditioner (CDA-700A or CDA-900A, Kyowa Electronic Instruments Co., Ltd., Japan)  
198 and continuously sampled at 1 MHz with 16-bit resolution (M2i4741-mgt, Spectrum  
199 Instrumentation, Germany or PXIe-6358, National Instruments Corp., USA). GAP can measure  
200 the relative horizontal displacement between the edge of a probe and a steel target. The probe  
201 was fixed by an aluminum holder glued on the side surface of the lower specimen. The steel  
202 target was glued on the side surface of the upper specimen (Figure 1e). This transducer has a flat  
203 sensitivity up to 10 kHz, and the signal was continuously sampled at 50 kHz with 24-bit  
204 resolution (LX-120, TEAC Corp., Japan). The measurement range of GAP was from 0.25 mm to  
205 2 mm.

## 206 3 Basic results

207 In every experiment, stick-slip events were observed, which is consistent with the  
208 velocity-weakening behavior of metagabbro in the framework of the rate- and state-dependent  
209 friction (RSF) law reported by a previous study (Urata et al., 2017). In order to compare the

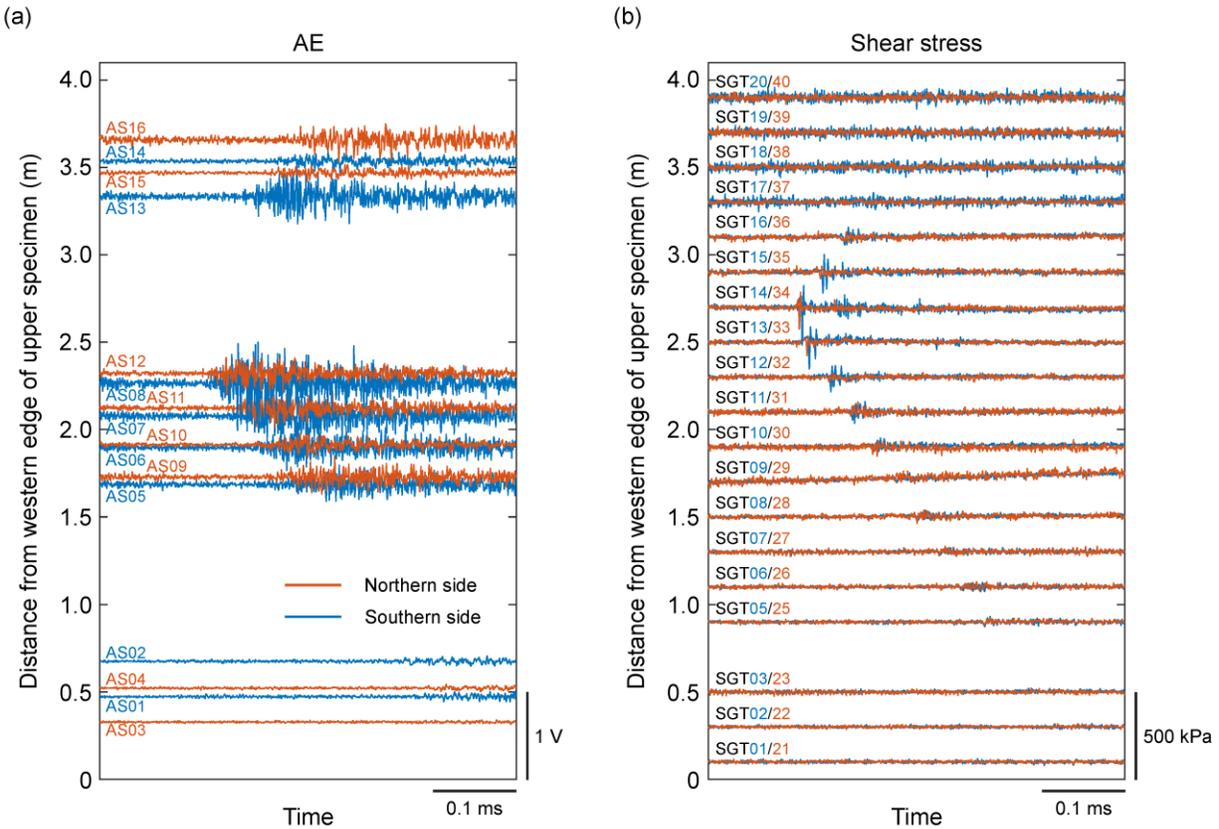
210 results of the four experiments under a similar condition, we picked up the last seven stick-slip  
211 events right before the total amount of slip reached 2 mm and then named those events evt-1 to  
212 evt-7. Figures 2a, 2d, 2g, and 2j show the evolution of macroscopic  $\tau/\sigma$  for the last seven events.  
213 The macroscopic loading rate shown in Table 2 was obtained from the averaged trends during  
214 the shear loading stage before each stick-slip event.

215 Seismic signals associated with the stick-slip events are presented in Figures 2b, 2e, 2h,  
216 and 2k. Those panels show the sum of squared amplitude over all AS channels (on a logarithmic  
217 scale) during a 0.1 ms time interval. High value of the sum means a large seismic energy release  
218 at the time. Colored cross symbols represent detected repeating seismic events, which will be  
219 explained in Section 4.4. We detected local peaks of the calculated sum and then picked up a  
220 time-window like the one in Figure 3. Figures 3a and 3b show the records of AS and those of  
221 shear stress (converted from SGT) over the same time period, respectively. As seen, a small  
222 seismic event (sevt) was clearly captured by both the AS array (Figure 3a) and the SGT array  
223 (Figure 3b).



225 **Figure 2.** (a) Macroscopic  $\tau/\sigma$ , (b) logarithmic sum of squared amplitude of AS output, and (c)  
 226 amount of slip for experiment FB02-006. (d)-(f) Similar to (a)-(c) but for experiment FB02-007.  
 227 (g)-(i) Similar to (a)-(c) but for experiment FB02-008. (j)-(l) Similar to (a)-(c) but for experiment  
 228 FB02-010. Cross symbols in (b), (e), (h), and (k) indicate the occurrence time of repeating  
 229 foreshocks (see section 4.4). The amount of slip at each channel in (c), (f), (i), and (l) is reset to  
 230 zero after each main stick-slip event.

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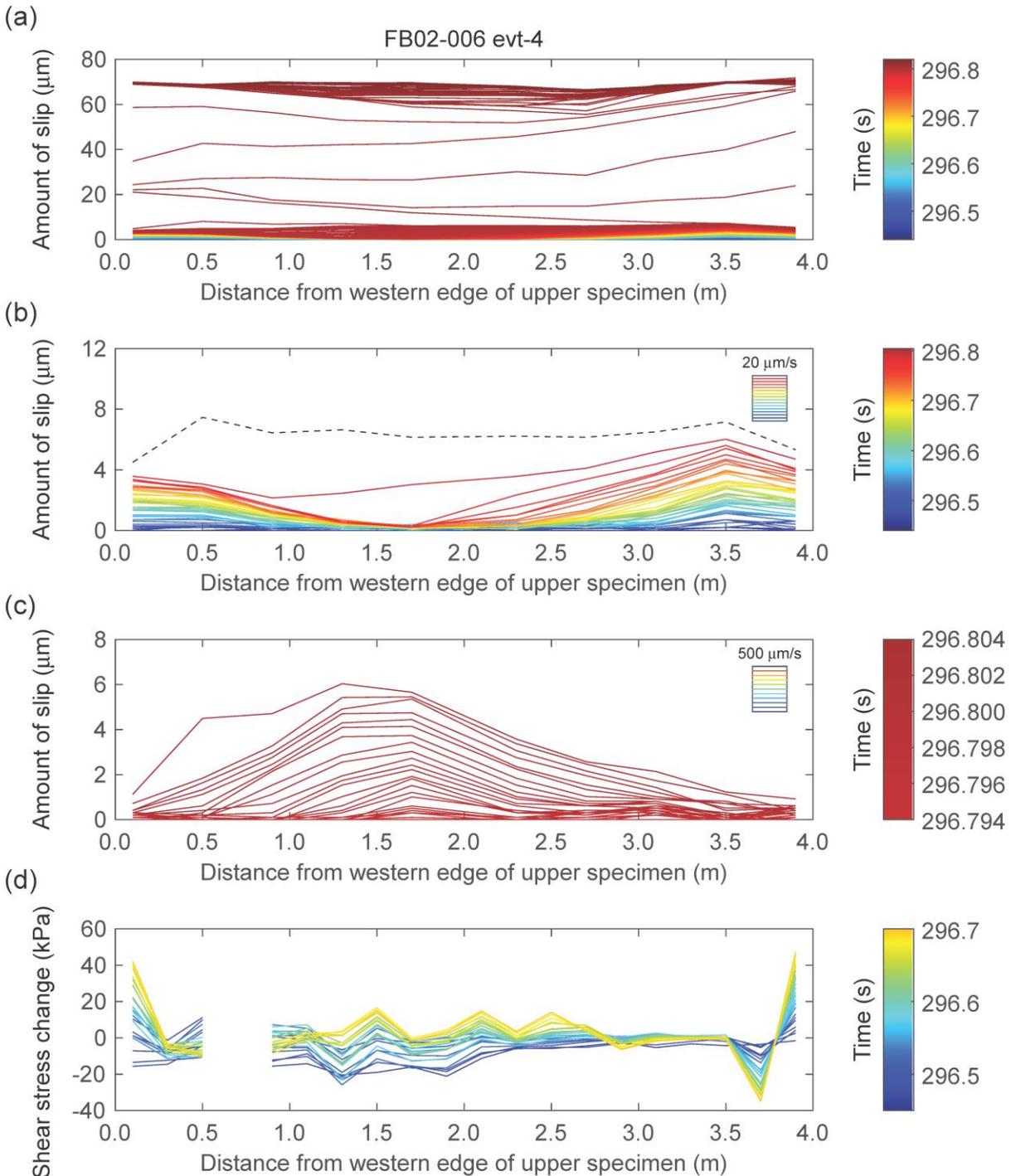
233 **Figure 3.** Typical foreshock waveforms observed by (a) the acoustic sensor AS array and (b) the  
 234 strain gauge SGT array over the same time period. The waveform in (b) shows shear stress along  
 235 the fault, which was calculated from the measured strain. Data of SGT04 and SGT24 are not  
 236 displayed because of their abnormal values caused by a local crack in the rock specimen. The  
 237 label at the left end of each waveform indicates the location of the associated sensor.

238

239 Figures 2c, 2f, 2i, and 2l show the fault slips between and during the stick-slip events.  
 240 Note that the amount of slip was reset to zero after every stick-slip event in these panels. The  
 241 total amount of slip over a single stick-slip cycle was around 100  $\mu\text{m}$  on average. It should be  
 242 noted that the slips at both the leading and trailing edges were gradually increasing even at the  
 243 shear loading stage, which is more significant in the experiments with low loading rate (FB02-  
 244 007 and FB02-010). Figure 4a shows the detailed spatiotemporal distribution of slip along the

245 fault in a stick-slip cycle, where dense and sparse contour lines represent slow and fast slip  
246 during different stages of the stick-slip event, respectively. Figure 4b shows the early-stage  
247 evolution of local fault slip before the main slip event, which clearly reveals that both the leading  
248 and trailing edges began to slip at first and kept slipping for a long time; we call this slip  
249 behavior "long-term slow slip" in this study. Comparison between the macroscopic loading rate  
250 and the local slip rate suggests that this long-term slow slip is dominantly controlled by the  
251 macroscopic shear loading (see Table 2 and Appendix A.2). The slipped areas gradually enlarged  
252 toward the central area of the fault, and then fast slip was initiated after the two slipped areas  
253 coalesced at the along-fault location of  $\sim 1.7$  m. Figure 4c shows the slip evolution after the  
254 coalescence, which is called "precursory slow slip" in this study. We will discuss why and how  
255 the long-term slow slip was generated in section 4.1, and will examine the relation between  
256 seismic activity and local slip activity in sections 4.2, 4.3 and 4.4.

257



258

259 **Figure 4.** (a) Spatiotemporal evolution of slip along the fault for a stick-slip event evt-4 in FB02-  
 260 006. Contour lines are drawn every 0.4 ms. The amount of local slip was reset to zero after the  
 261 previous event evt-3. (b) Enlarged view of the amount of local slip until the initiation of the main  
 262 fast slip. Contour lines are drawn every 10 ms. Black dashed line indicates the amount of slip at  
 263 the moment when the main fast slip initiated (see Appendix A.1 for the procedure of detecting  
 264 the moment). (c) Slip evolution during the last 10 ms time window right before the initiation of  
 265 the main fast slip. The amount of local slip was reset to zero at the beginning of this 10 ms time

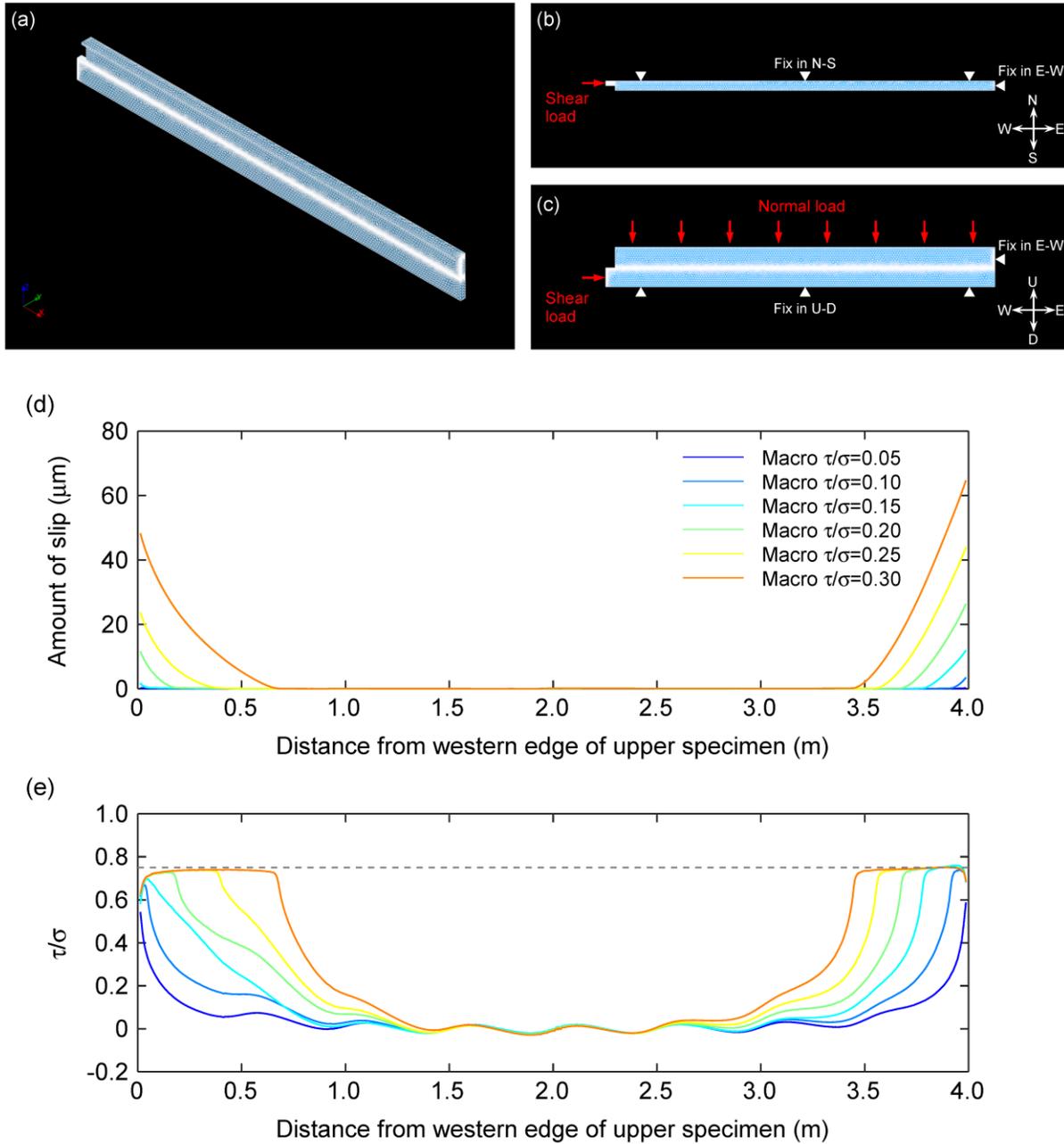
266 window. Contour lines are drawn every 0.4 ms. (d) Change in the local shear stress from 296.45  
267 s to 296.70 s estimated from the northern strain gauge array (SGT21 - SGT40). The amount of  
268 shear stress was reset to zero at the beginning of this time window. Contour lines are drawn  
269 every 10 ms. The data of SGT24, which was installed at 0.7 m from the western edge, was  
270 removed from this plot because of its abnormal value caused by a local crack in the rock  
271 specimen. Colors of contour lines indicate time, and the indexes are common among (a), (b), (c),  
272 and (d).

273

## 274 **4 Detailed analyses**

### 275 4.1 Long-term slow slip

276 In order to understand why the long-term slow slip initiated from both edges of the fault  
277 (Figure 4b), we conducted a finite element method (FEM) modeling. We used a FEM program,  
278 Salome-Meca produced by EDF (Électricité de France, <http://www.code-aster.org>). This  
279 program composed of a pre- and post-processor SALOME and a solver Code\_Aster. Figures 5a-  
280 c show a model constructed for the FEM calculation. This model consisted of vertically stacked  
281 rock blocks and a steel plate on the upper rock block, whose dimensions were the same as those  
282 for real specimens and part of the apparatus in the current experiments. Note that only the  
283 southern half of the system was modeled because of the symmetry in the north-south direction.  
284 Young's modulus and Poisson's ratio for the steel plate were 200 GPa and 0.30, respectively,  
285 and those for the rock blocks were shown in Table 1. The contacting interfaces between the  
286 upper and the lower rock blocks had a static friction coefficient of 0.75. We divided this model  
287 into 357,783 elements, the shape of which was tetrahedron except for regions around the  
288 contacting interfaces. In order to make rectangular mesh on the interfaces, quadrangular-  
289 pyramid-shaped elements were arranged there. The maximum mesh size was 25 mm and that on  
290 the contacting interfaces was 5 mm. Because of the symmetry, a fixed boundary condition was  
291 applied to the northern side face of the model in the north-south direction (Figure 5b). At the top,  
292 6-MPa-pressure was applied at eight rectangular regions on the steel plate, to simulate the  
293 normal load from the eight flat jacks to the fault (Figure 5c). The bottom face of the lower rock  
294 block was fixed in the up-down direction (Figure 5c). The eastern side face of the upper rock  
295 block was also fixed in the east-west direction (Figures 5b and 5c). After applying the normal  
296 load, we gradually increased the shear load to the western side face of the lower rock block  
297 (Figures 5b and 5c).



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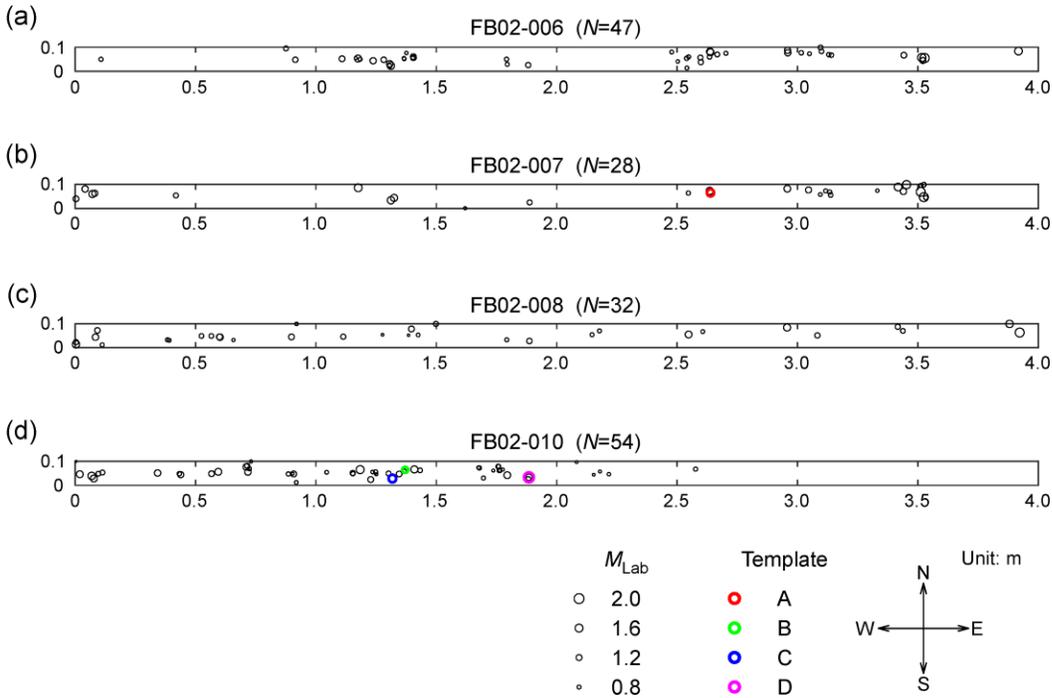
299 **Figure 5.** Configuration of FEM calculation for the current experimental setup and the results.  
 300 View of the mesh model from (a) diagonally above, (b) top, and (c) south. Only the southern half  
 301 was modeled because of symmetry. Evolutions of (d) the local slip and (e) the local  $\tau/\sigma$   
 302 distribution with increasing the macroscopic shear stress obtained from the FEM calculation.  
 303 Dashed line in (e) represents  $\tau/\sigma$  of 0.75, which is the static friction coefficient of the contacting  
 304 interfaces defined in the FEM calculation. Features of the slip initiations and the increase in  
 305 shear stress from both edges of the fault are consistent with the observations shown in Figures 4b  
 306 and 4d, respectively.

307

308           The calculated evolutions of local slip and  $\tau/\sigma$  are shown in Figures 5d and 5e, which  
309 simulated the situations when the macroscopic  $\tau/\sigma$  increased from 0.05 to 0.30. Note that  
310 displayed slip and  $\tau/\sigma$  were averaged values along the north-south axis. As shown in Figure 5e,  
311 the local  $\tau/\sigma$  increased and attained the assumed static friction coefficient at both edges, which  
312 led to the local fault slips as shown in Figure 5d. With increasing the applied shear load and thus  
313 macroscopic  $\tau/\sigma$ , the slipped area expanded toward the central locked area from both edges,  
314 which is similar to the observed behavior shown in Figure 4b. We also note that the calculated  
315 evolution of  $\tau/\sigma$  (Figure 5e) is also similar to the observed shear stress evolution (Figure 4d).

#### 316           4.2 Foreshock activity and accumulated slip

317           The occurrence times of seismic events, as roughly estimated from the AS amplitude  
318 (Figures 2b, 2e, 2h, and 2k), suggest that most of the small seismic events occurred right before  
319 the main stick-slip events (see Figure B1 for a close-up view of FB02-006 evt-1). Therefore, they  
320 can basically be categorized as "foreshocks". We defined the seismic events that occurred in the  
321 second half of recurrence time since the previous stick-slip event as foreshocks and then focused  
322 on their activities in the current study. Based on the seismic record, we determined the origin  
323 time and hypocenter location of the foreshocks (See Appendix B.1 for the procedure). Figure 6  
324 shows the located hypocenters of the foreshocks. The numbers of located foreshocks for FB02-  
325 006, FB02-007, FB02-008, and FB02-010 are 47, 28, 32, and 54, respectively, which suggests  
326 that foreshock activity is not directly relevant to the macroscopic loading rate (Table 2) in the  
327 current experiments.

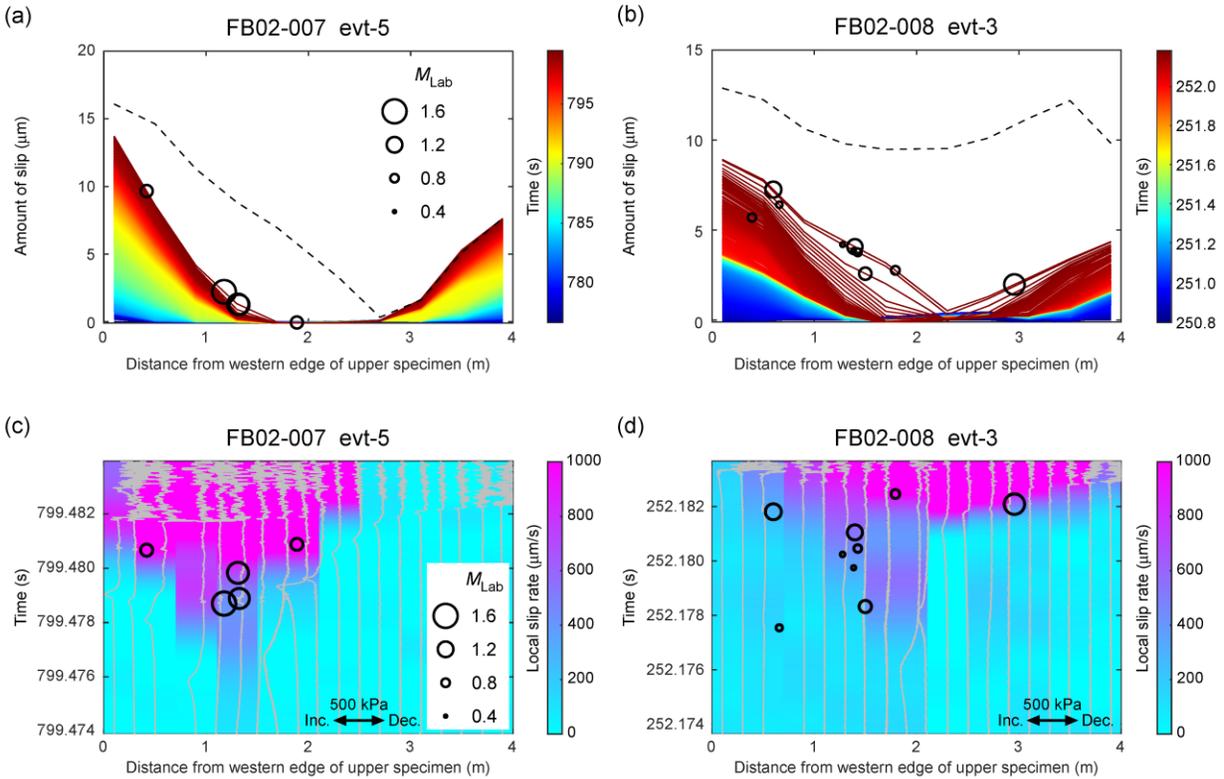


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329 **Figure 6.** Hypocenters of foreshocks for (a) FB02-006, (b) FB02-007, (c) FB02-008, and (d)  
 330 FB02-010. The size of circles scales with the laboratory-specific magnitude ( $M_{Lab}$ ) of foreshocks.  
 331 The colored circles indicate the hypocenters of template foreshocks (see section 4.4).

332

333 Based on the foreshock catalog, we investigated the relationship between foreshock  
 334 activity and local slip activity. Figure 7a compares spatiotemporal distribution of the foreshocks  
 335 with that of the local slip right before a main stick-slip event (evt-5) in the experiment with low  
 336 loading rate (FB02-007). Note that the shown result represents the amount of slip accumulated  
 337 after the previous main stick-slip event (evt-4). There exists a significant variation in the  
 338 accumulated slip along the fault: the accumulated slip reached more than 10  $\mu\text{m}$  at the western  
 339 edge whereas it was almost zero at the central area. Figure 7a also shows that foreshocks  
 340 occurred right before the main stick-slip event (black dashed line), regardless of the amount of  
 341 accumulated slip there. This situation is common among other events including the case with  
 342 high loading rate, for example FB02-008, as shown in Figure 7b.



343

344 **Figure 7.** Comparison between spatiotemporal distribution of the foreshocks and that of the slip  
 345 accumulated after the previous stick-slip event right before the initiation of the main fast slip for  
 346 (a) evt-5 in FB02-007 and (b) evt-3 in FB02-008. Contour lines are drawn every 20 ms for (a) and  
 347 2 ms for (b). Black dashed line indicates the amount of slip at the moment when the main fast  
 348 slip initiated. The amount of accumulated slip shows no clear correlation with the occurrence of  
 349 the foreshocks. Comparison between spatiotemporal distribution of the foreshocks and that of the  
 350 slip rate during a 10 ms time window right before the initiation of the main fast slip for (c) evt-5  
 351 in FB02-007 and (d) evt-3 in FB02-008. Gray lines show changes in shear stress estimated from  
 352 the northern strain gauge array (SGT21 - SGT40). Bottom end of each gray line indicates the  
 353 location where the associated strain gauge was installed. Foreshocks occurred when the local slip  
 354 rate reached at several hundreds of  $\mu\text{m/s}$ .

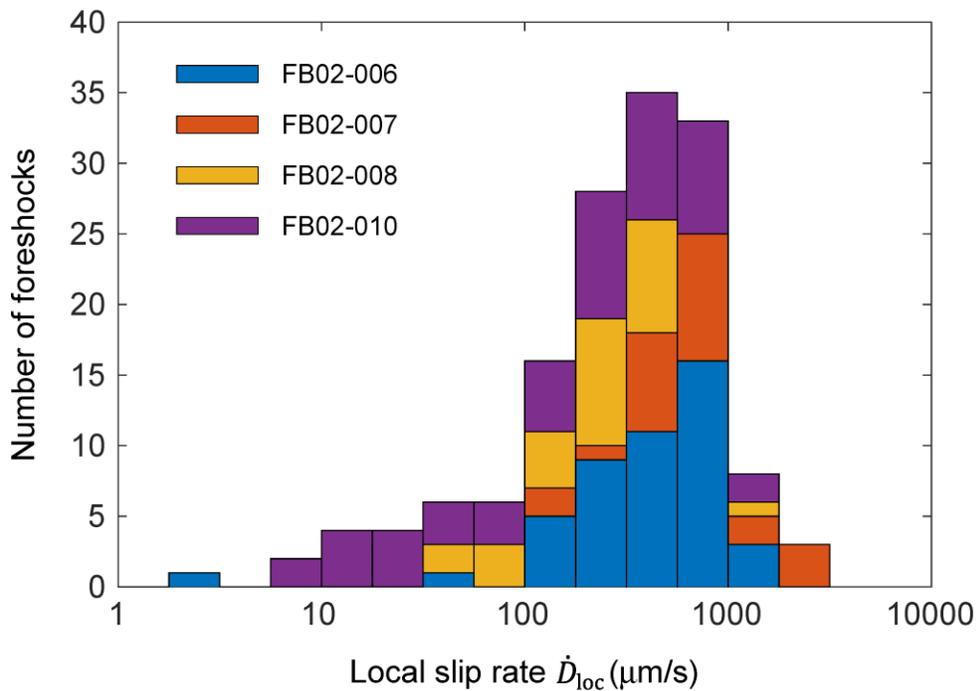
355

### 356 4.3 Foreshock activity and local slip rate

357 Next we compared the spatiotemporal distribution of the foreshocks with that of the local  
 358 slip rate. To obtain the slip rate, we calculated the time derivative of slip measured by each GAP  
 359 sensor. Color map in Figure 7c shows the distribution of the calculated slip rate. Note that the  
 360 slip data were smoothed with splines before the calculation of time derivative just for clear view  
 361 in this figure. Gray lines show changes in shear stress estimated from the northern SGT array  
 362 (SGT21-SGT40). The strain data show temporal increase and subsequent decrease in the shear

363 stress and its outward propagation from the central area, which should represent a nucleation  
 364 process. An example for the slip evolution during the nucleation process (called precursory slow  
 365 slip) is already shown in Figure 4c, which is a common feature among the nucleation processes  
 366 of many other events. The local slip rate increased with the progression of the nucleation  
 367 process, and then the foreshocks occurred when the local slip rate reached at several hundreds of  
 368  $\mu\text{m/s}$ . This situation is also common for the experiment with high loading rate (Figure 7d).

369 In order to quantify the relationship between foreshock occurrence and local slip rate, we  
 370 estimated the local slip rate around the site of foreshock hypocenter,  $\dot{D}_{\text{loc}}$  (See Appendix A.3 and  
 371 Figure A3 for the estimation), which was obtained by spatial interpolation. Figure 8 shows a  
 372 histogram of foreshocks as a function of the estimated  $\dot{D}_{\text{loc}}$ . As clearly demonstrated, the number  
 373 of foreshocks is significantly high between 100  $\mu\text{m/s}$  and 1000  $\mu\text{m/s}$  of  $\dot{D}_{\text{loc}}$ .



374

375 **Figure 8.** Histogram of foreshocks as a function of local slip rate  $\dot{D}_{\text{loc}}$  estimated at the same site  
 376 and time. The number of foreshocks increases rapidly when  $\dot{D}_{\text{loc}}$  exceeds 100  $\mu\text{m/s}$ .

377

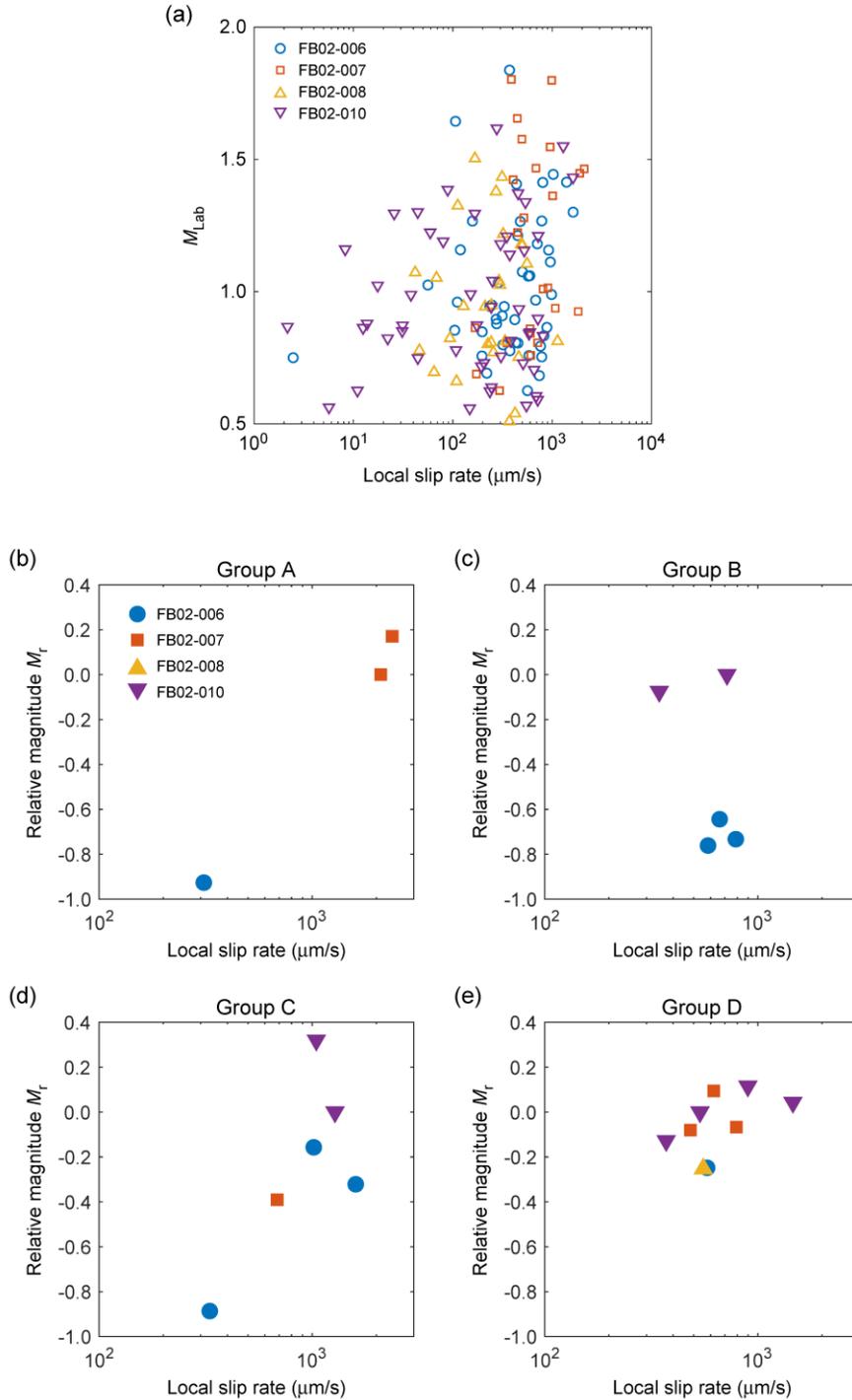
#### 378 4.4 Foreshock magnitude and local slip rate

379 We next investigated the relationship between foreshock magnitude and local slip rate.

380 We first simply compared a laboratory-specific magnitude  $M_{\text{Lab}}$  (See Appendix B.1) with  $\dot{D}_{\text{loc}}$ ,

381 which resulted in a weak positive correlation between them as shown in Figure 9a (correlation  
382 coefficient between common logarithm of  $\dot{D}_{\text{loc}}$  and  $M_{\text{Lab}}$  equals 0.22). Here, it should be noted  
383 that this comparison was performed over all the foreshocks, at different locations, with various  
384 magnitudes, and under different loading conditions. In order to focus on the specific role of local  
385 slip rate and to exclude other effects, we searched for foreshocks that repeatedly occurred at the  
386 same location over four experiments by adopting the matched filter technique (MFT; See  
387 Appendix B.2 for the procedure). MFT has been widely used for detecting various seismic events  
388 such as low-frequency earthquakes (Shelly et al., 2007), aftershocks (Peng & Zhao, 2009), and  
389 foreshocks (Kato et al., 2012). Here we assume that the status of each location that could host  
390 repeating foreshocks was stable throughout the four experiments. As the result of MFT, we  
391 identified four groups of repeating foreshocks (See Figures 2b, 2e, 2h, 2k, 6, and Table B1). We  
392 then compare the magnitude of the repeating foreshocks with  $\dot{D}_{\text{loc}}$ .

393 For the foreshock magnitude, we have already obtained  $M_{\text{Lab}}$  as a laboratory-specific  
394 magnitude. However, it should be noted that the estimation might not be precise enough for  
395 capturing a subtle variation in the magnitude of the repeating foreshocks. For example, error in  
396 the hypocenter location can affect the estimation because the distance between the hypocenter  
397 and the station is included in its calculation as shown in eq. (B.4). Therefore, for more robust  
398 comparison, we estimated the relative magnitude of the foreshock  $M_{\text{r}}$  based on the amplitude  
399 ratio of the waveforms (see Appendix B.3 for the procedure). Figures 9b-e compare the  
400 estimated  $M_{\text{r}}$  with  $\dot{D}_{\text{loc}}$ . Those figures show a clear positive correlation between them except for  
401 Group B (correlation coefficients between common logarithm of  $\dot{D}_{\text{loc}}$  and  $M_{\text{r}}$  for Group A, B, C,  
402 and D are 1.00, -0.44, 0.72, and 0.50, respectively).



403

404 **Figure 9.** (a) Comparison between the local slip rate  $\dot{D}_{\text{loc}}$  and the laboratory-specific magnitude  
 405  $M_{\text{Lab}}$ . Correlation coefficient between common logarithm of  $\dot{D}_{\text{loc}}$  and  $M_{\text{Lab}}$  is 0.22. Comparison  
 406 between the local slip rate  $\dot{D}_{\text{loc}}$  and the relative magnitude  $M_r$  for (b) Group A, (c) Group B, (d)  
 407 Group C, and (e) Group D. Correlation coefficients between common logarithm of  $\dot{D}_{\text{loc}}$  and  $M_r$   
 408 for Group A, B, C, and D are 1.00, -0.44, 0.72, and 0.50, respectively.

409 **5 Discussion**

## 410 5.1 The cause of long-term slow slip and its characteristics

411 We have conducted rock friction experiments using the newly developed apparatus. The  
412 most distinctive feature of this apparatus is that it can shear 4-m-long rock specimens, which  
413 could cause unique phenomena such as the long-term slow slip shown in Figure 4b. We observed  
414 that the long-term slow slip individually initiated from both the leading and trailing edges of the  
415 fault and the slipped areas gradually expanded toward the central fault area before most of the  
416 main stick-slip events. This behavior is quite consistent with the results of the FEM calculation  
417 as shown in Figures 5d and 5e. It is well known that local normal and shear stresses can  
418 concentrate at around edges of a laboratory fault by the edge effect (e.g. Kammer et al., 2015),  
419 even if the macroscopic normal load is homogeneously applied. Such stress concentrations can  
420 cause a distorted local stress distribution like the ones shown in Figures 4d and 5e. Therefore, it  
421 can be considered that the basic features (e.g., location, duration, stability) of the long-term slow  
422 slip are derived from stress heterogeneity (generated by specimen geometry and loading  
423 configuration). Especially, the strong dependence of local slip evolution on the macroscopic  
424 shear loading (Table 2 and Figure A2) suggests a stable nature for the long-term slow slip. We  
425 suspect the reason is that the slow slip evolved toward the central area of the fault where the  
426 stored shear strain was relatively low (Figure 4d and Figure 5e), which could cause long-lasting  
427 slip evolution without immediate instability even on the fault with a velocity-weakening  
428 frictional property (in the framework of RSF). This is quite similar to the stable growth of non-  
429 interacting mode-I wing cracks under compression, where the flaw-induced mode-I stress  
430 intensity factor scales with the background loading but decreases with the length of the wing  
431 cracks (Ashby & Sammis, 1990). In addition, we also consider that the rheological property of  
432 fault rocks can contribute to the long-lasting stable slip. According to the compiled data and the  
433 proposed physical model in Aharonov and Scholz (2018), the steady-state rock friction behaves  
434 as velocity strengthening up to a critical thermal velocity  $V_t$  ( $\sim 10\text{-}100\ \mu\text{m/s}$ ), independent of the  
435 sign of the RSF parameter  $b-a$ . Beyond  $V_t$ , thermal heating effect will become evident. In our  
436 case, the rate of long-term slow slip seldom reached  $100\ \mu\text{m/s}$ , implying that the velocity-  
437 strengthening fault rheology could also act to impede the acceleration of the long-term slow slip.  
438 Similarly, a recent experimental study has also invoked the involvement of viscous-type

439 dissipation for understanding the initial stable growth of nucleation front (Gvirtzman &  
440 Fineberg, 2021). All in all, we conclude that stress heterogeneity and fault rheological property  
441 are responsible for generating the stable fault slip.

## 442 5.2 Accelerated slip following the coalescence of multiple slip patches

443 In the current experiments, precursory slow slip with much higher slip rate (several  
444 hundreds of  $\mu\text{m/s}$ ) developed, following the coalescence of two long-term slow slip fronts.  
445 Apparently, the coalescence of multiple slip patches has the potential to cause accelerated slip.  
446 Indeed, similar phenomenon has been documented by numerical simulations (Fukuyama &  
447 Madariaga, 2000; Kaneko & Ampuero, 2011), by experimental studies on a laboratory fault  
448 along the anti-plane direction (Fukuyama et al., 2018), and by natural observations of slow slip  
449 events on the Cascadia subduction zone (Bletery & Nocquet, 2020). Other similar examples  
450 include the sudden increase of fault slip during the surface breakout of a forward-propagating  
451 rupture, which can be considered as due to the coalescence of the forward-propagating rupture  
452 front with its backward-propagating mirror (Dieterich, 1979; Xu et al., 2015), and to a lesser  
453 extent, the coalescence of tensile and/or shear cracks during rock fracture experiments (Bobet &  
454 Einstein, 1998; Renard et al., 2019). The aforementioned phenomena may be explained by the  
455 intense stress concentrations between approaching rupture/crack tips and the subsequent rapid  
456 relaxation of those stress concentrations (Fukuyama & Madariaga, 2000). From a kinematic  
457 point of view, they may also be explained by applying a slip-length scaling relation to an  
458 increased patch length (Dieterich, 1979; Bletery & Nocquet, 2020), or by invoking the full-crack  
459 and half-crack models (Xu et al., 2015). Given the above examples under a variety of conditions,  
460 it appears that the coalescence of multiple slip patches (or multiple cracks) through an increased  
461 range of elastic interaction could serve as a generic mechanism to cause large events and  
462 unstable failure.

## 463 5.3 Asperity and loading rate on it

464 The detected repeating foreshocks suggest that small asperities, which could slip unstably  
465 and radiate seismic waves, persistently existed and repeatedly slipped in a similar way on the  
466 fault surface over the current four experiments (Figures 2b, 2e, 2h, and 2k). We presume that  
467 those asperities originated from tiny topographic undulations on the fault at first. Stress

468 concentration around the undulations facilitated the generation of wear materials (fault grooves  
 469 and gouge) during frictional slip, which could further enhance the degree of stress concentration  
 470 via a positive feedback loop (Yamashita et al., 2015). Consequently, some gouge bumps may  
 471 have already been formed during the previous experiments on the fault surface, and they could  
 472 play the role of seismic asperities especially for relatively large foreshocks. In fact, well-  
 473 correlated distributions of generated gouge and hypocenters of small seismic events have been  
 474 reported in a previous study (Yamashita et al., 2021), and hence can support our above  
 475 presumption.

476 Unfortunately, AS output is not calibrated in the current study and therefore the absolute  
 477 seismic scale cannot be obtained. As an alternative, below we roughly estimate the foreshock  
 478 magnitude by referring to previous studies. Regarding the foreshock-like seismic events  
 479 observed on a meter-scale laboratory fault, it has been reported that their typical moment  
 480 magnitude ranged from -7.0 to -5.0 (McLaskey et al., 2014; Yamashita et al., 2021). We consider  
 481 that the foreshocks observed during the current experiments fall into a similar range of  
 482 magnitude. By applying the Brune's model (Brune, 1970),

$$483 \quad \Delta\sigma = \frac{7}{16} M_0 r^{-3} \quad (1),$$

484 with the definitions of seismic moment  $M_0$  as

$$485 \quad M_0 = \mu D (\pi r^2) \quad (2)$$

486 and moment magnitude ( $M_w$ ) becomes

$$487 \quad M_w = \frac{2}{3} \log_{10}(M_0) - 6.067 \quad (3),$$

488 where  $\Delta\sigma$  is the stress drop,  $r$  is the source radius,  $D$  is an average seismic slip, and  $\mu$  is the shear  
 489 modulus (39.3 GPa) calculated from the Young's modulus and the Poisson's ratio in Table 1. A  
 490 typical  $D$  for a foreshock in the current experiments ranges from 0.048  $\mu\text{m}$  to 0.48  $\mu\text{m}$ . Here we  
 491 assumed  $\Delta\sigma$  of 1 MPa, which is the standard value for seismic events observed in a previous  
 492 meter-scale experiment also using metagabbro specimens (Yamashita et al., 2021). Even for the  
 493 largest possible foreshock in the current study ( $M_w \sim -4.4$ , when  $r$  equals half fault width, 0.05 m  
 494 with the assumption of  $\Delta\sigma$  of 1 MPa),  $D$  equals only 0.93  $\mu\text{m}$ . Considering these significantly  
 495 small amounts of  $D$  relative to the accumulated slip shown in Figures 7a and 7b, the asperities

496 that hosted foreshocks could not be locked completely but probably slipped stably/episodically at  
 497 their periphery during the long-term slow slip.

498 Before discussing the observed relationship between local slow slip rate and foreshock  
 499 activity, the physical meaning of local slip rate should be clarified. Recalling the interpolated slip  
 500 rate  $\dot{D}_{loc}$  at the site of asperity, it is natural to consider that  $\dot{D}_{loc}$  was not exactly the same as the  
 501 actual slip rate on the asperity (which was partially locked at the time). In this sense, the local  
 502 slip rate in the region surrounding the asperity should be a proxy of local loading rate applied to  
 503 the asperity. For the above reason, we mainly use the term “local loading rate” to discuss the  
 504 influence on foreshock activity in the following sections.

#### 505 5.4 Dependence of foreshock occurrence on local loading rate

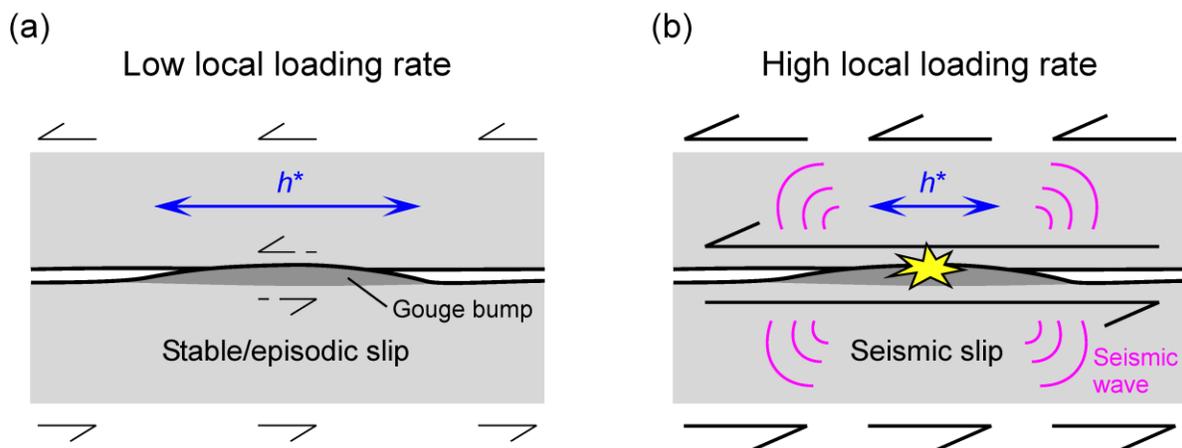
506 To understand the positive correlation between  $\dot{D}_{loc}$  (as a proxy of local loading rate) and  
 507 the foreshock occurrence (Figures 7c, 7d, and 8), it is crucial to investigate the critical nucleation  
 508 length  $h^*$  and its dependence on other parameters. In the framework of RSF law (Dieterich,  
 509 1992),  $h^*$  can be expressed as

$$510 \quad h^* = \frac{\mu D_c}{\sigma(b-a)} \quad (4),$$

511 where  $D_c$  is the characteristic slip distance over which friction evolves and  $b-a$  is the frictional  
 512 parameter that controls the slip stability with a change in slip velocity. It should be noted that  $h^*$   
 513 is inversely proportional to  $\sigma$ . According to Urata et al. (2017), the estimated values of RSF  
 514 parameters  $b-a$  and  $D_c$  for a meter-scale metagabbro laboratory fault are 0.0014 and 0.3  $\mu\text{m}$ ,  
 515 respectively. Inserting these values into Eq. (4) results in an  $h^*$  value of 2.1 m under 4-MPa-  
 516 normal stress, which seems consistent with the macroscopic slip behavior (Figures 4c, 7c, and  
 517 7d). If we assume that the real contact area on the fault is 1/1000 to 1/100 of the nominal fault  
 518 area (Dieterich & Kilgore, 1996), which corresponds to a local normal stress on the asperity of  
 519 100 to 1000 times of the macroscopic one, and if we also assume that Eq. (4) is still applicable to  
 520 the real contact area, then the local  $h^*$  becomes 2.1 to 21 mm, which is comparable to the  
 521 asperity size (5.2 to 52 mm in diameter for  $M_w$  from -7.0 to -5.0, respectively, under the  
 522 assumption of  $\Delta\sigma$  of 1 MPa) in the current experiments. Although the theoretical expression of  
 523  $h^*$  is still under investigation in the presence of stress/frictional heterogeneity, a recent numerical  
 524 simulation has shown that the above discussion is reasonable (Schaal & Lapusta, 2019).

525 Besides the dependence on normal stress, it has also been shown by both experimental  
 526 (e.g. Guérin-Marthe et al., 2019; Kato et al., 1992; Xu et al., 2018) and numerical studies (e.g.  
 527 Kaneko et al., 2016) that  $h^*$  can shrink with the increase of loading rate. We also consider that  
 528 the local loading rate on an asperity increased with the slip rate in the surrounding region, which  
 529 could shrink the  $h^*$  of the asperity and hence could promote an unstable slip (Figure 10). This  
 530 scenario is similar to the one studied by McLaskey and Yamashita (2017). Furthermore, it is also  
 531 supported by the results of a recent numerical simulation (Schaal & Lapusta, 2019), the transient  
 532 behaviors of seismicity modulated by a nearby major earthquake (Cheng & Ben-Zion, 2019;  
 533 Hatakeyama et al., 2017), and the correlation between local slip rate and tremor activity on the  
 534 Cascadia subduction zone (Bartlow et al., 2011).

535 We also consider the rheological property (Aharonov & Scholz, 2018) mentioned in  
 536 section 5.1 as a possible alternative mechanism for the foreshock occurrence at high local  
 537 loading rate. The velocity-strengthening friction below the thermal velocity  $V_t$  can help store  
 538 elastic energy on the asperities, which later can be rapidly released during the transition to  
 539 velocity-weakening friction when local slip rate crosses  $V_t$ . The sudden increase in the number of  
 540 foreshocks shown in Figure 8 might be related to the transition of frictional regime.



541  
 542 **Figure 10.** Schematic illustration of foreshock activity controlled by local loading rate. (a) Case  
 543 for low local loading rate. The contacting asperity made of gouge bump could slip stably or  
 544 episodically, because the critical nucleation length  $h^*$  is comparable to or larger than the asperity  
 545 size. (b) Case for high local loading rate. The asperity can slip abruptly and seismically because  
 546  $h^*$  shrinks and becomes smaller than the asperity size due to the fast loading.

547

## 548           5.5 Dependence of foreshock magnitude on local loading rate

549           The current experimental results clearly show a positive correlation between the  
550 foreshock magnitude and the local loading rate (Figures 9b, 9d, and 9e), except for Group B  
551 (Figure 9c). We suspect that the lack of a clear correlation in Group B could be derived from a  
552 change in the size of relevant asperities between the experiments FB02-006 and FB02-010. We  
553 also note that Figure 9c actually shows a positive correlation within each experiment (in FB02-  
554 006 or in FB02-010), which suggests that the size of asperities might not change during each  
555 experiment and the dependence of foreshock magnitude on the local loading rate is still valid.

556           Considering the definition of seismic moment expressed in Eq. (2), either the amount of  
557 seismic slip or the source dimension needs to increase with the local loading rate, in order to  
558 match the positive correlation between the foreshock magnitude and the local loading rate. In  
559 fact, a previous experimental study has shown that high loading rate not only can enhance the  
560 efficiency for accumulating stress during the shear loading stage (by suppressing concurrent  
561 diffusional process such as aseismic slip), but also can promote more efficient energy release  
562 during the slip stage (Xu et al., 2018). Similarly, a numerical study of repeating earthquakes has  
563 shown that high loading rate can enlarge the source region for accumulating slip deficit, which  
564 ultimately will lead to a larger co-seismic rupture area as well as a larger amount of co-seismic  
565 slip (Yoshida et al., 2015). While we could not reveal the individual change(s) in foreshock  
566 source properties (slip, source area) by our current instrumentations, we think the loading rate  
567 effects mentioned above may apply to our experiments. We will continue the investigation of the  
568 relation between foreshock magnitude and loading rate in our future studies. Especially, denser  
569 acoustic and displacement sensor arrays and direct imaging technique can help achieve a more  
570 complete understanding of the topic.

## 571           5.6 Implications for natural earthquake sequences

572           Elevated seismicity rate and its migration before and after a large earthquake are  
573 sometimes detected and reported in nature (e.g. Kato et al., 2012; Peng & Zhao, 2009). It is often  
574 interpreted that those seismic activities are driven by the propagation of aseismic slow slip and  
575 they occur at the slip front. However, if the situation in nature is similar to that in the current  
576 experiments, the slip front would have already passed through a potential asperity and then the  
577 associated seismic event on it may be activated by the surrounding slip with an elevated rate. In

578 other words, the migration front of seismicity could lag behind the actual slow slip front (see also  
579 the discussion in Bartlow et al. (2011)), which would then support the idea of treating asperities  
580 as strong-but-brittle sticky spots — an equivalent term used in the field of icequakes (Podolskiy  
581 & Walter, 2016, and references therein). If that is the case, using the observed seismic events to  
582 estimate the actual slow slip front may cause some errors. Further investigation of the space-time  
583 relation between the seismic events and the slow slip will be needed in nature and laboratory.

584 As another example, creep or slow slip on a tectonic fault are often estimated based on  
585 the activities of repeating earthquakes (Uchida, 2019; Uchida & Bürgmann, 2019, and references  
586 therein). In those analyses, it is basically assumed that the asperities hosting repeating  
587 earthquakes are locked during the stick phase and the related seismic moment positively scales  
588 with the recurrence interval. However, if the seismic moment can be influenced by the local  
589 loading rate as shown by the current study, the estimated amount of creep or slow slip may  
590 contain some errors, especially for the situation right before or after a major earthquake, where  
591 locally elevated loading rate can decrease the recurrence interval and increase the magnitude of  
592 repeating earthquakes. Therefore, the analytical/empirical method(s) for analyzing repeating  
593 earthquakes may need to be improved by taking into account the effect of local loading rate.

## 594 **6 Conclusions**

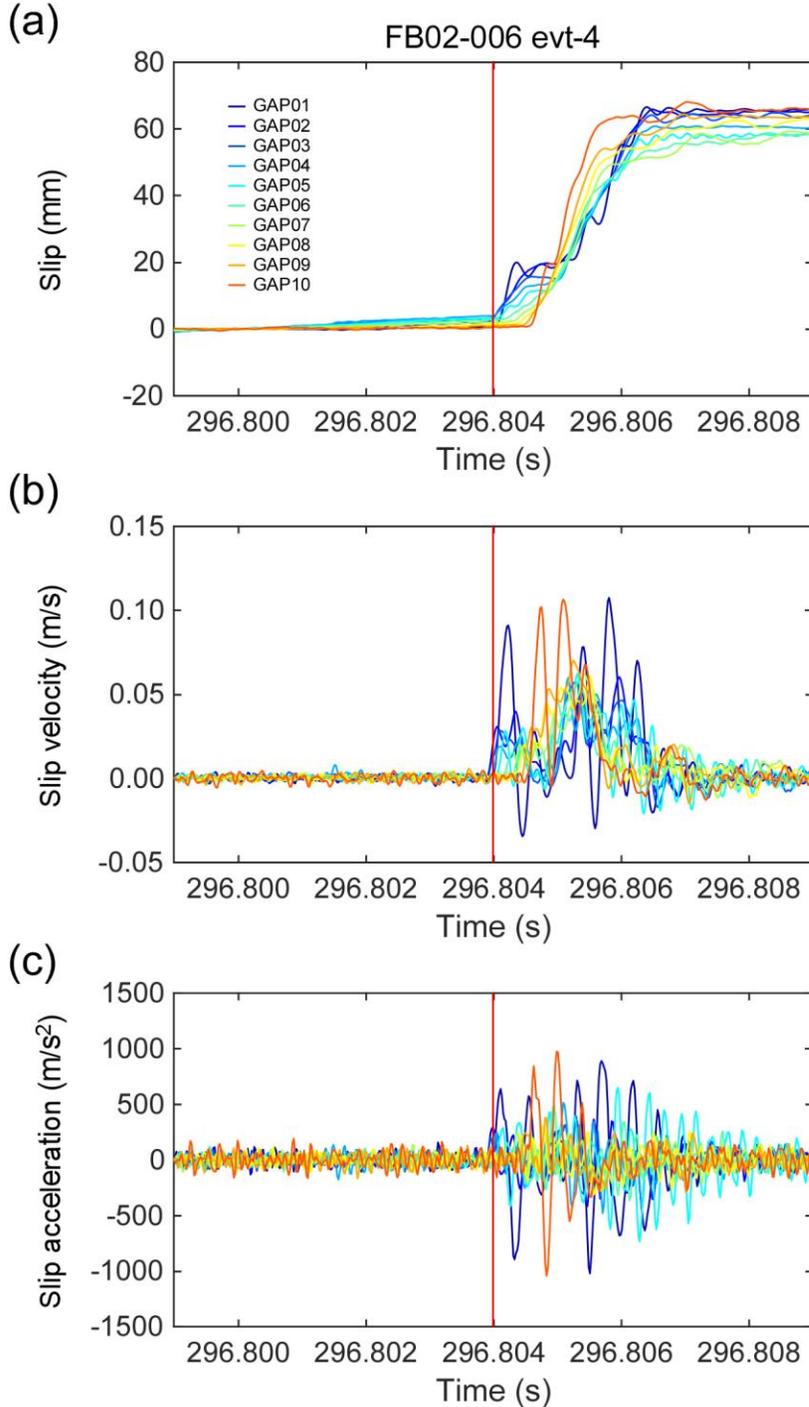
595 We have conducted rock friction experiments using a newly developed large-scale  
596 apparatus that can shear 4-m-long rock specimens. We gradually increased shear load with  
597 normal stress kept at around 4 MPa, and observed many stick-slip events. The local displacement  
598 data showed that slips individually initiated from both the leading and trailing edges and kept  
599 propagating toward the central fault area during the long shear loading stage in each stick-slip  
600 cycle. After the coalescence of two long-term slow slip fronts, faster slow slip as part of the  
601 nucleation process began at the central fault area and eventually led to main fast rupture over the  
602 fault. FEM calculation confirmed that the basic features of the long-term slow slip were derived  
603 from stress heterogeneity generated by the experimental configuration. Examination of foreshock  
604 activity and local slip data showed that foreshocks could occur regardless of the amount of  
605 accumulated slip. On the other hand, foreshocks were often observed when the local slip rate  
606 reached around 100  $\mu\text{m/s}$  during the nucleation process. We propose that there exist asperity  
607 areas on the fault, whose size is similar to the local critical nucleation length  $h^*$ . Those asperities

608 slip stably when the local loading rate is low, but can also slip unstably and radiate seismic  
609 waves when the local loading rate becomes high, due to the shrinkage of  $h^*$  by the fast loading.  
610 We also found a clear positive correlation between the relative magnitude of repeating  
611 foreshocks and the local loading rate. Our results suggest that the local loading rate has a  
612 significant influence on the occurrence and magnitude of foreshocks. Therefore, its effect should  
613 be taken into account during the studies of earthquake nucleation process and other similar  
614 phenomena such as Episodic Tremor and Slip (ETS), icequakes, and repeating earthquakes.

## 615 **Appendix A: Fault slip activity**

### 616 A.1 Onset of main fast slip

617 To discuss the slip behaviors preceding the main fast fault rupture, the onset of the fast  
618 slip needs to be specified. We determined it based on the slip acceleration. We first low-pass  
619 filtered the slip data with a cut-off frequency of 5 kHz to remove the high-frequency noise, and  
620 then calculated the first- and second-time derivatives of the slip for obtaining the slip velocity  
621 and acceleration, respectively. We considered the time when the acceleration first exceeded a  
622 threshold as the beginning of the fast slip. In this study, we used six times the standard deviation,  
623 which was obtained from the slip acceleration in the stable period, as the threshold. We searched  
624 for the time in each channel and regarded the earliest time in all channels as the onset of the main  
625 fast slip. Figure A1 shows an example for the detected onset of the fast slip.

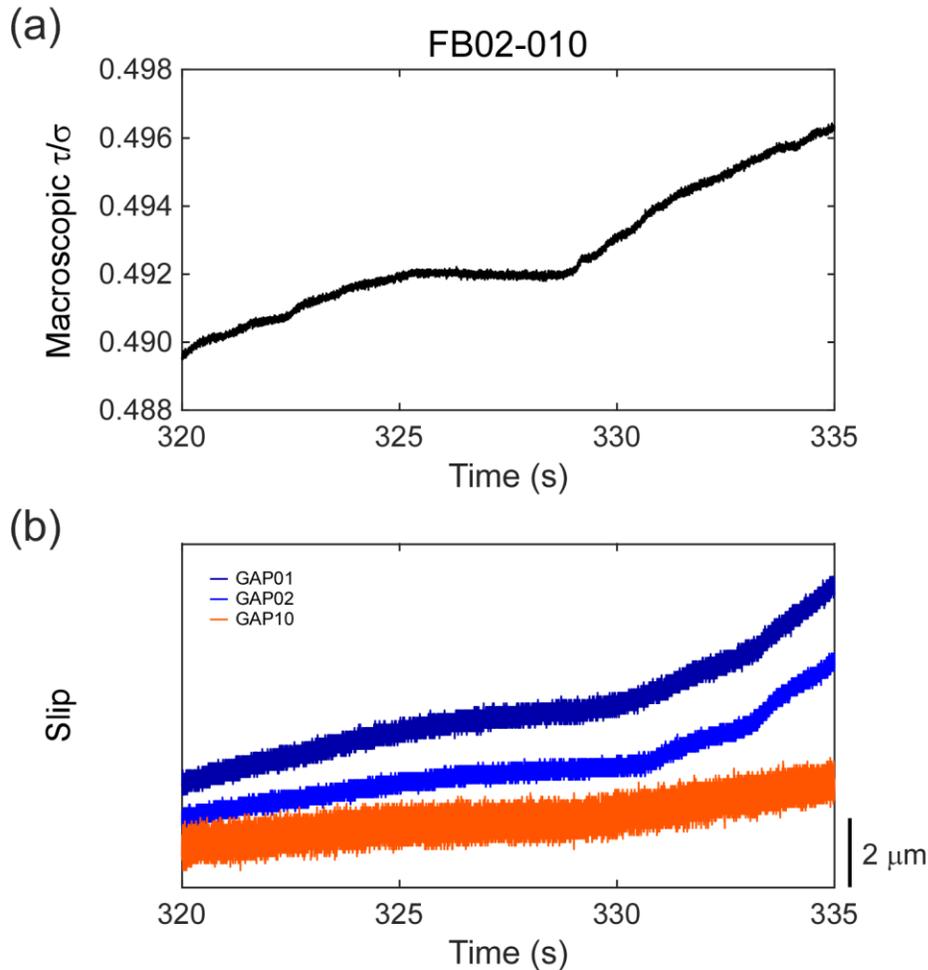


626 **Figure A1.** Detection of the onset of the main fast slip. (a) Slip, (b) slip velocity, and (c) slip  
 627 acceleration before and during a stick-slip event evt-4 in FB02-006. The slip data was low-pass  
 628 filtered with a cut-off frequency of 5 kHz before calculating the velocity and the acceleration.  
 629 The specified moment of the onset of the main fast slip is indicated with the vertical red line in  
 630 (a), (b), and (c).

631           A.2 Dependence of long-term slow slip on shear loading

632           Since the shear load was manually built up, it was unsmooth and sometimes suspended  
633 during the loading, which is pronounced in the slow-loading experiments (Figures 2d and 2j).  
634 Figure A2a is a close-up view that shows such a temporal suspension of the shear loading in a  
635 time period much before the stick-slip event (Figure 2j). Figure A2b shows the slip data at both  
636 the edges (GAP01, GAP02, and GAP10) during the same time period as Figure A2a, and it also  
637 shows temporally-slowed slip evolution synchronized with the temporal suspension of shear  
638 loading. Clear positive correlations between the macroscopic shear loading rate and the local slip  
639 rate at GAP01 can be seen in Table 2 too. These features suggest a strong dependence of the  
640 long-term slow slip on the shear loading.

641



642 **Figure A2.** (a) Temporal suspension of shear loading during FB02-010. The intermittent shear  
 643 loading is caused by the manual pumping. (b) Behavior of local slip at GAP01, GAP02, and  
 644 GAP10 during FB02-010. The time period is the same as Figure A2a. The increasing rate of slip  
 645 is slowed down during the temporal suspension of shear loading.

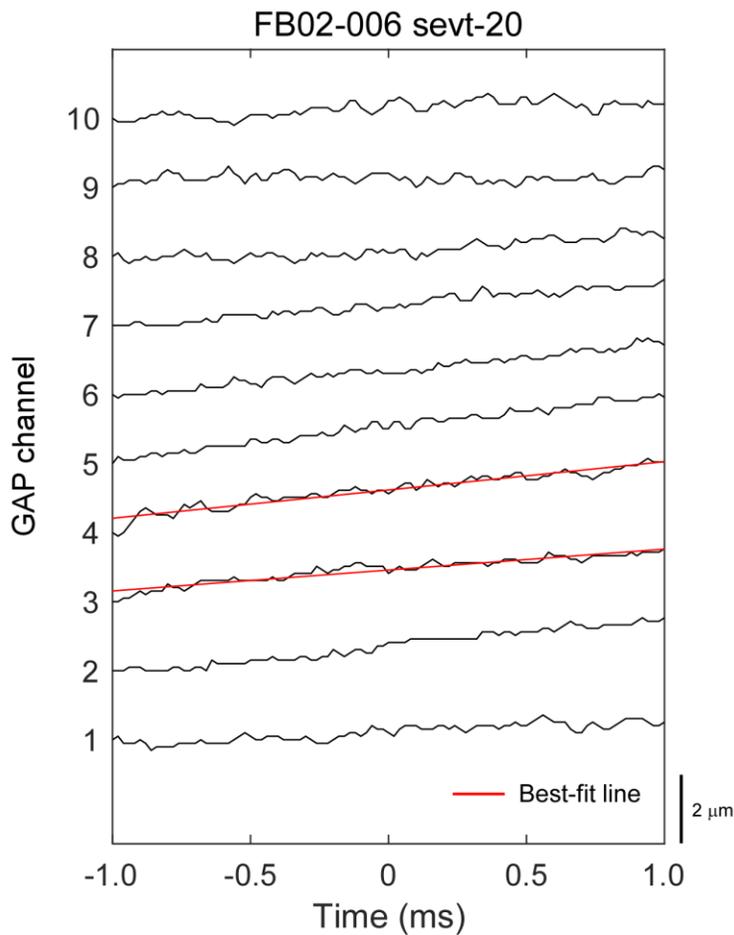
646

### 647 A.3 Local slip rate $\dot{D}_{loc}$

648 In order to quantitatively investigate the relationship between the local slip rate and the  
 649 foreshock occurrence, we estimate the amount of local slip rate at the same site and time as the  
 650 foreshock. Figure A3 shows the records of GAP for 1 ms before and after the occurrence of a  
 651 foreshock sevt-20 in FB02-006. In this case, the foreshock was located between GAP03 and  
 652 GAP04. We fitted a linear line to each record for 2 ms at both neighboring GAP stations and

653 determined each trend, which corresponds to the slip rate at each GAP station. We then linearly  
 654 interpolated the slip rate at the foreshock hypocenter under the assumption of one-dimensional  
 655 slip distribution along the fault. Since slip data at both neighboring GAP stations are needed for  
 656 this estimation, the local slip rate for the foreshock that occurred outside of GAP array was not  
 657 estimated. We name this spatially-interpolated local slip rate  $\dot{D}_{loc}$ . Figure 8 shows a histogram of  
 658 foreshocks as a function of  $\dot{D}_{loc}$ .

659



660 **Figure A3.** An example of estimation of local slip rate related to a seismic event. This panel  
 661 shows the local slip data for 1 ms before and after the occurrence of a seismic event sevt-20 in  
 662 FB02-006. The local slip rate at the same site and time as the seismic event is estimated from  
 663 linear interpolation of the best-fit trends for the slip data at the neighboring slip sensors as shown  
 664 by red lines.

665 **Appendix B: Seismic activity**

## 666 B.1 Hypocenter and size of seismic events

667 Based on the seismic record, we determined the origin time and hypocenter location of  
 668 the foreshocks. We first specified the time when a foreshock occurred by referring to local peaks  
 669 of the sum of squared AS amplitude (Figure B1b). We next picked up the time-window for each  
 670 foreshock as shown in Figure 3, and then picked the arrival times of seismic waves. For the  
 671 picking, we did not use AS waveform but used SGT waveform, because AS array is sparse  
 672 relative to SGT array and the arrival of *S* wave was clearer for the record of SGT as shown in  
 673 Figure 3. After picking the arrival time of *S* wave at each station, we conducted a grid search to  
 674 determine the origin time and the location of hypocenter. We searched for the optimum  
 675 parameters including the wave velocity (*V*), so that the following  $L^2$  norm becomes minimum:

$$676 \quad L^2 = \sum_{i=1}^N \left( t_i - t_0 - \frac{D_i}{V} \right)^2 \quad (\text{B.1}),$$

677 where  $N$  is the number of SGT stations,  $t_i$  is the arrival time at  $i$ -th SGT station,  $t_0$  is the origin  
 678 time, and  $D_i$  is the distance between the hypocenter ( $x_0, y_0, z_0$ ) and the location of  $i$ -th SGT  
 679 station ( $x_i, y_i, z_i$ ) as follow:

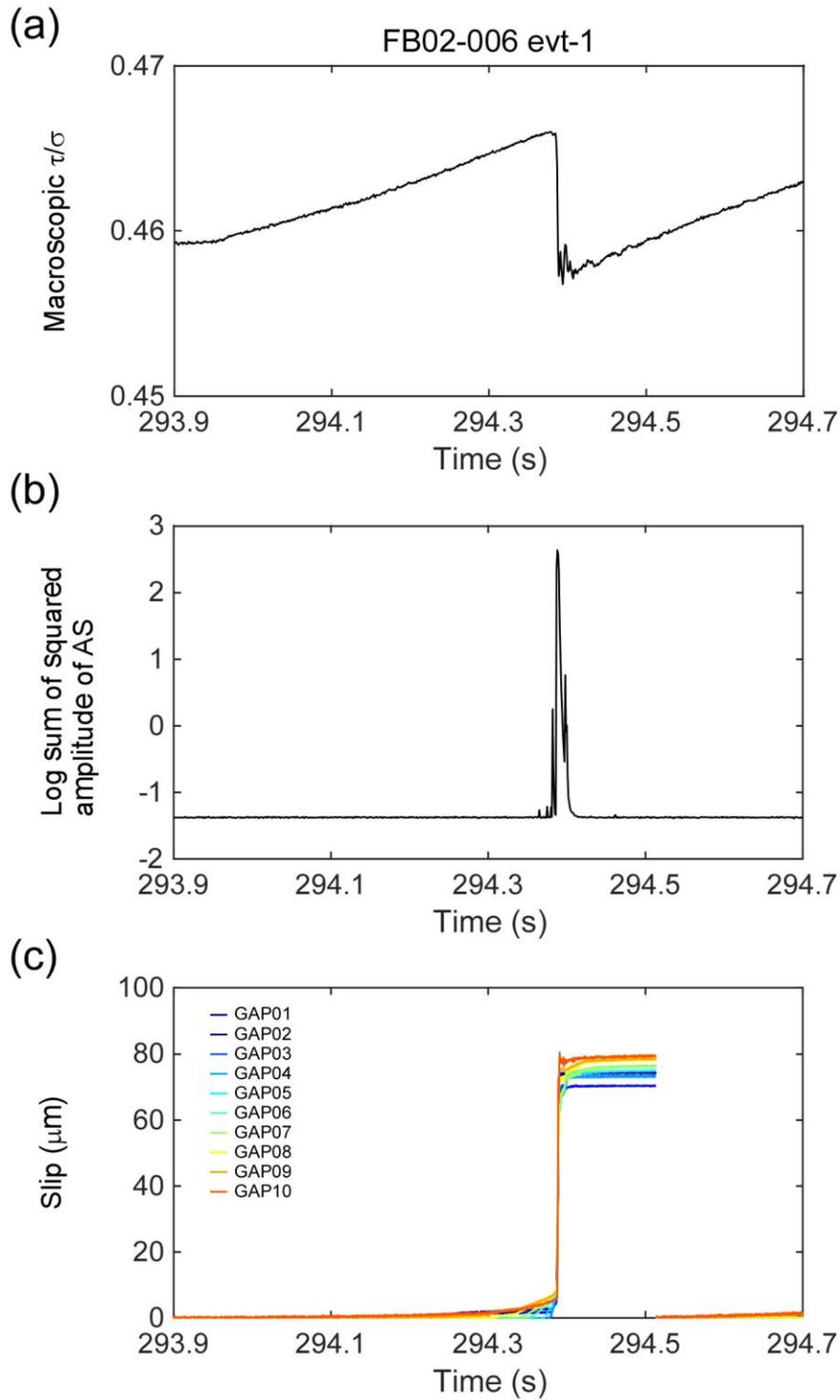
$$680 \quad D_i = \sqrt{(x_i - x_0)^2 + (y_i - y_0)^2 + (z_i - z_0)^2} \quad (\text{B.2}).$$

681 In this search, we assumed that the foreshocks occurred on the fault surface (i.e.  $z_0=0$ ).  
 682 After locating the hypocenter, we estimated the magnitude of the foreshock based on the  
 683 amplitudes of AS. Unfortunately, we could not calibrate the response of AS sensor. Instead, we  
 684 estimated a laboratory-specific magnitude  $M_{\text{Lab}}$  following Zang et al. (1998):

$$685 \quad M_{\text{Lab}} = \log_{10} A \quad (\text{B.3}), \text{ and}$$

$$686 \quad A = \sqrt{\frac{1}{K} \sum_{i=1}^K \left( \frac{r_i}{10} A_{i_{\text{max}}} \right)^2} \quad (\text{B.4}),$$

687 where  $K$  is the number of AS stations,  $r_i$  is the distance between the hypocenter and  $i$ -th AS  
 688 station in mm, and  $A_{i_{\text{max}}}$  is the maximum amplitude of seismic wave at  $i$ -th AS station in volt.  
 689  $A_{i_{\text{max}}}$  is mainly derived from *S* wave. The estimated hypocenters and  $M_{\text{Lab}}$  values of foreshocks  
 690 are shown in Figure 6.

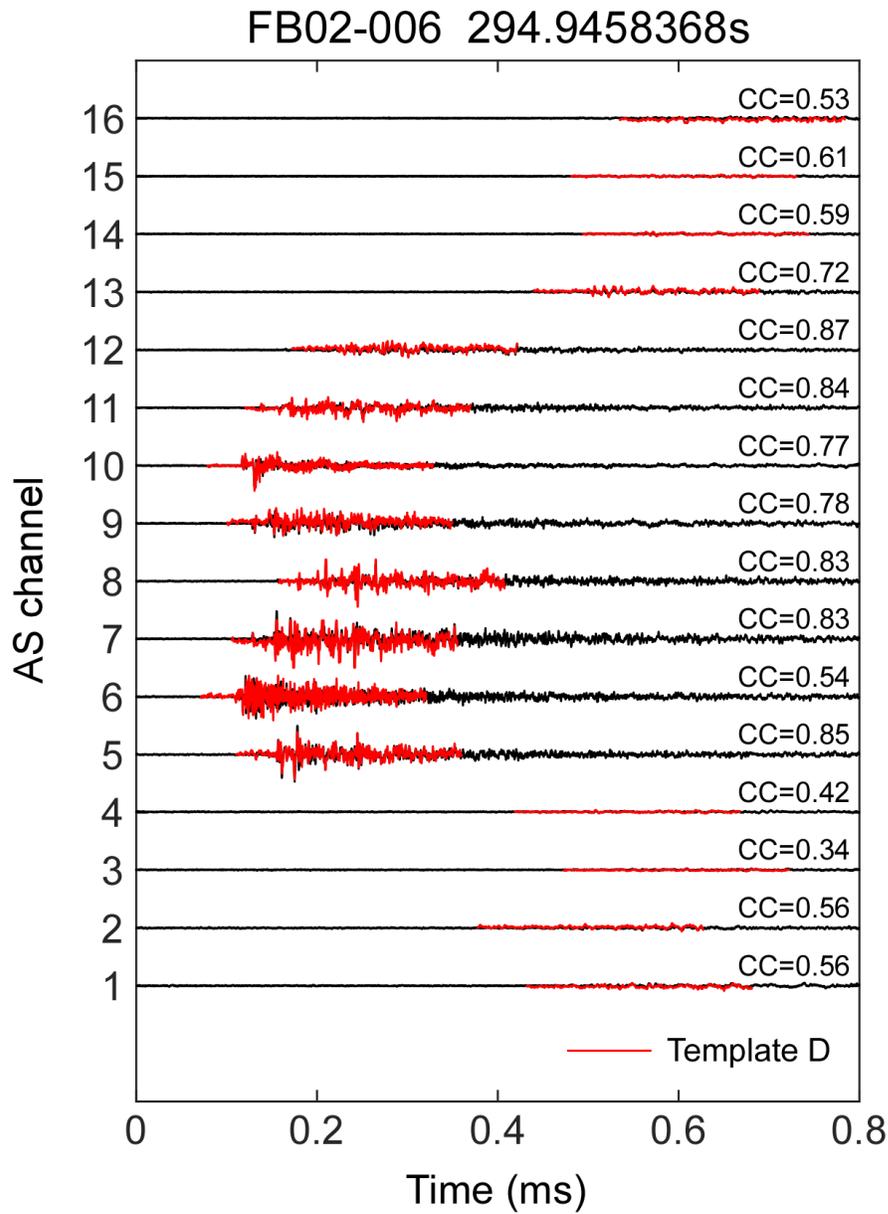


691 **Figure B1.** Close-up view of (a) Macroscopic  $\tau/\sigma$ , (b) logarithmic sum of squared amplitude of  
 692 AS output, and (c) the amount of slip for experiment FB02-006 evt-1.

## 693 B.2 Matched filter technique

694 Matched filter technique (MFT) is an effective means for detecting a seismic event whose  
695 hypocenter and focal mechanism are close to those of a template event. MFT scans a continuous  
696 seismic record and detects similar waveforms to the template waveforms. This technique has  
697 been widely used for detecting various seismic events such as low-frequency earthquakes (Shelly  
698 et al., 2007), aftershocks (Peng & Zhao, 2009), and foreshocks (Kato et al., 2012).

699 For applying MFT, we first need to determine template foreshocks. We searched for  
700 groups producing more than five foreshocks within 15 mm of relative hypocentral distances, and  
701 then defined the foreshock with the largest  $M_{\text{Lab}}$  (whose signal-to-noise ratio should be high) in  
702 each group as the template foreshock. We excluded one template foreshock whose signals  
703 saturated in many AS stations. As a result, we found four template foreshocks in total and named  
704 them template A, B, C, and D. The hypocenters of the template foreshocks are shown in Figure  
705 6. As the template waveforms for scanning, we used a 0.25-ms-long time window beginning 0.05  
706 ms prior to the estimated  $S$  wave arrival time. The waveforms of template foreshock D are  
707 displayed in Figure B2 as an example. By using the four sets of the template waveforms, we  
708 scanned throughout the continuous seismic records of four experiments. We computed  
709 correlation coefficients between the template waveforms and those in the seismic records with  
710 shifting one data point ( $10^{-7}$  s). The calculated correlation coefficients were averaged over all  
711 station channels at each step. We set two thresholds to detect a repeating foreshock: (1) the  
712 averaged correlation coefficient normalized by standard deviation is more than 8, and (2) the  
713 averaged correlation coefficient is more than 0.3. As a result, we detected 3, 5, 6, and 9 repeating  
714 foreshocks for template A, B, C, and D, and then named them Group A, B, C, and D,  
715 respectively. An example for the waveforms of a detected repeating foreshock is shown in Figure  
716 B2. The cross symbols in Figures 2b, 2e, 2h, and 2k represent the occurrence times of the  
717 repeating foreshocks in each group. The results suggest that the repeating foreshocks did not  
718 recur during a single stick-slip cycle but were activated before different main stick-slip events.  
719 The detailed information of the repeating foreshocks is shown in Table B1.



720

721 **Figure B2.** An example of a detected repeating foreshock event by the matched filter technique.

722 Waveforms for the template foreshock D are overlaid with red lines on those for the detected

723 repeating foreshock. Amplitudes are normalized by the maximum amplitude in all channels.

724

725 **Table B1** *Properties of repeating foreshocks*

Group	X (m)*	Y (m)**	ID	Experiment ID	Time (s)	$M_r$	Proportion***	$\dot{D}_{loc}$ ( $\mu\text{m/s}$ )
A	2.639	0.065	1	FB02-006	296.3303582	-0.932	0.98	310.3
			2	FB02-007	821.1738689	0.179	0.89	2374.9
			3	FB02-007	841.3512460	0	1	2097.2
B	1.371	0.064	1	FB02-006	294.9423958	-0.770	0.97	583.3
			2	FB02-006	298.9895940	-0.738	0.97	787.5
			3	FB02-006	300.5599234	-0.642	0.90	661.4
			4	FB02-010	230.6809219	0	1	716.2
			5	FB02-010	264.6059238	-0.080	0.93	344.4
C	1.318	0.028	1	FB02-006	296.8006003	-0.160	0.84	1017.4
			2	FB02-006	298.3732842	-0.302	0.81	1601.5
			3	FB02-006	298.9958516	-0.851	0.94	330.8
			4	FB02-007	799.4798362	-0.390	0.87	685.6
			5	FB02-010	230.6815791	0	1	1280.0
			6	FB02-010	264.6074621	0.326	0.84	1045.9
D	1.885	0.033	1	FB02-006	294.9459368	-0.267	0.91	577.5
			2	FB02-007	727.8334448	-0.087	0.89	482.6
			3	FB02-007	799.4808790	-0.065	0.90	792.6
			4	FB02-007	841.3518215	0.116	0.81	620.5
			5	FB02-008	256.7321134	-0.239	0.90	554.3
			6	FB02-010	230.6831404	0.046	0.86	1460.0
			7	FB02-010	264.6069558	-0.123	0.89	371.2
			8	FB02-010	281.9832687	0	1	535.0
			9	FB02-010	347.0520783	0.131	0.96	897.5

726 \*Distance from western edge of the upper specimen.

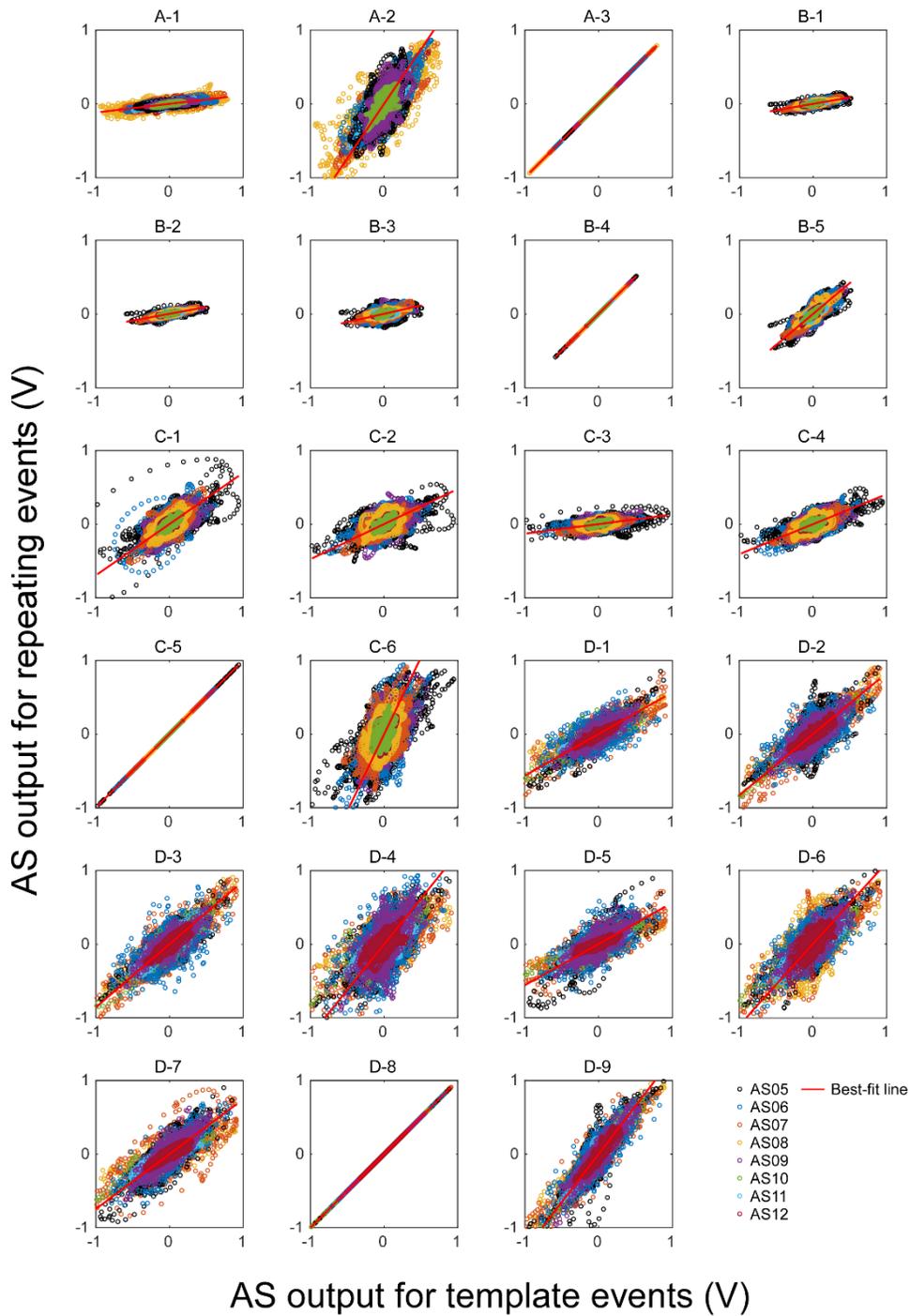
727 \*\*Distance from southern edge of the upper specimen.

728 \*\*\*Proportion of the variance for positive correlation of waveforms between template foreshock  
729 and repeating one to the total variance.

730

## 731 B.3 Relative magnitude of repeating foreshocks

732 For simple but robust estimation of the relative magnitude of repeating foreshocks, we  
733 directly compared the amplitudes of the observed waveforms in the time domain. For this  
734 comparison, we used the same data window as MFT, that is, the 0.25-ms-long time window  
735 beginning 0.05 ms prior to the *S* wave arrival time. According to a previous study (McLaskey et  
736 al., 2014) that used the same acoustic sensor (V103) as the current study, the sensor output is  
737 almost proportional to ground displacement in the frequency band of 30 kHz-1 MHz. Therefore,  
738 we band-pass filtered the waveforms of the template and the repeating foreshocks with that  
739 frequency band before the comparison. Figure B3 shows the AS output for the repeating  
740 foreshocks against that for the template foreshock at each AS station. Since all groups of the  
741 repeating foreshocks were distributed around the central fault area (Figure 6), we only used the  
742 waveforms recorded by the central eight AS stations (AS05 to AS12). All data points recorded at  
743 the eight stations are stacked in each panel and used for the following analysis. The best-fit trend  
744 is equal to the amplitude ratio of the repeating foreshocks to the template one. This trend  $R$   
745 should be roughly proportional to the ratio of the seismic moment of the repeating foreshock to  
746 that of the template foreshock. It should be noted that effects of geometric attenuation and  
747 instrumental responses are canceled out by taking the ratio. Considering the relation  $M'_w - M''_w =$   
748  $\frac{2}{3} \log_{10} \left( \frac{M'_0}{M''_0} \right)$ , where  $M'_w$  and  $M''_w$  are arbitrary moment magnitudes and  $M'_0$  and  $M''_0$  are associated  
749 seismic moments, respectively, from the definition of Eq. (3), common logarithm of  $R$  should  
750 scale with a relative magnitude of the repeating foreshock to the magnitude of template  
751 foreshock. We name it  $M_r$  and show the estimated values in Table B1. For the estimation of the  
752 trend, we applied a principal component analysis with two variables. Proportion of the variance  
753 for the first principal component (positive correlation of waveforms between the template  
754 foreshock and the repeating one) to the total variance, which indicates how well the distribution  
755 is explained by the associated relation, is high enough as shown in Table B1.



756 **Figure B3.** Band-pass filtered outputs of acoustic sensors (AS) for matched foreshocks against  
 757 those for the template foreshocks. The records obtained at AS05 to AS12 are displayed and used  
 758 for the estimation of relative waveform amplitude. Red linear line shows the best-fit trend  
 759 estimated with the principal component analysis. The associated event ID is displayed above  
 760 each panel. Note that A-3, B-4, C-5, and D-8 are the template foreshocks for each group.

761

762 **Acknowledgments, Samples, and Data**

763 The data presented in this study are available at <http://doi.org/10.5281/zenodo.5513461>. This  
 764 study was supported by the NIED research project "Large Earthquake Generation Process" and  
 765 JSPS Kakenhi Grant Numbers JP17H02954, JP16H06477, JP21H05201. S.X. acknowledges  
 766 fund support by NSFC grant 42074048.

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