Linking Atmospheric Cloud Radiative Effects and Tropical Precipitation

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Key Points:

- The atmospheric cloud radiative effect (ACRE) depends on the column relative humidity (CRH) in a way similar to precipitation
- The CRH skillfully estimates ACRE on annual, monthly, and daily time scales in the tropics
- ACRE-cloud feedback suggested to explain the CRH-precipitation relationship by facilitating a shift from convective to stratiform rainfall

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Abstract

Work in recent decades has demonstrated a robust relationship between tropical precipitation and the column relative humidity (CRH). This study identifies a similar relationship between CRH and the atmospheric cloud radiative effect (ACRE) calculated from satellite observations. Like precipitation, the ACRE begins to increase rapidly when CRH exceeds a critical value near 75%. We show that the ACRE can be estimated from CRH, similar to the way that CRH has been used to estimate precipitation. Our method reproduces the annual mean spatial structure of ACRE in the tropics, and skillfully estimates the mean ACRE on monthly and daily time scales in six regions of the tropics. We propose that the exponential dependence of precipitation on CRH is a result of cloud-longwave feedbacks, which facilitate a shift from convective to stratiform conditions.

Plain Language Summary

The tropical precipitation rate can be estimated using a quantity called the column relative humidity (CRH), which quantifies how close the atmosphere is to becoming saturated with water. We show that the CRH can also be used to estimate the local radiative heating of the atmosphere due to clouds. Our simple method can reproduce the average cloud radiative heating of the tropical atmosphere, and we use it to estimate the monthly average and daily average heating in six different tropical regions. We suggest that the relationship between precipitation and CRH is caused by cloud-radiative heating, which promotes precipitation in large-scale systems.

1 Introduction

The effects of clouds on the Earth's radiation balance can be quantified using the cloud radiative effect (CRE), defined as the difference between full-sky and clear-sky radiative fluxes (Ramanathan, 1987). The CRE manifests at the top of the atmosphere, where clouds increase the reflection of solar radiation while they simultaneously enhance greenhouse warming; at the surface, where cloud shading prevents solar absorption at the ground at the same time as clouds emit infrared radiation downwards; or in the atmosphere itself, where clouds warm or cool locally by absorbing or emitting radiation. A large body of work has investigated the impact of this atmospheric cloud radiative effect (ACRE) on the Earth's global circulation patterns (Slingo & Slingo, 1988; Randall

et al., 1989; Sherwood et al., 1994; Stevens et al., 2012; Li et al., 2015; Voigt & Albern, 2019). For example, the ACRE has been found to widen the subsiding branches of the Hadley cells and to narrow the Intertropical Convergence Zone (ITCZ) in idealized numerical simulations (Harrop & Hartmann, 2016; Popp & Silvers, 2017; Albern et al., 2018; Dixit et al., 2018).

The longwave ACRE has been identified as an important feedback mechanism in the context of the persistence of convective self-aggregation, the initial development of tropical cyclones, and the Madden–Julian Oscillation (Bretherton et al., 2005; Chikira, 2014; Arnold & Randall, 2015; Wolding et al., 2016; Wing et al., 2017; Khairoutdinov & Emanuel, 2018; Emanuel, 2019; Ruppert et al., 2020; Benedict et al., 2020; Medeiros et al., 2021). The longwave ACRE can be a strong localized atmospheric heating which induces a thermally direct circulation connecting humid and dry regions. This circulation transports moisture against the gradient into humid regions, which allows for increased precipitation and cloudiness.

Observational and modeling studies in recent decades have shown a strong link between atmospheric humidity and tropical precipitation (Zeng, 1999; Raymond, 2000; Bretherton et al., 2004; Raymond & Zeng, 2005; Raymond et al., 2009; Ahmed & Schumacher, 2015; Rushley et al., 2018; Powell, 2019; Wolding et al., 2020). Bretherton et al. (2004) demonstrated that the mean precipitation rate derived from satellite observations was a strong function of the column relative humidity (CRH, defined as the ratio between the water vapor path and saturation water vapor path). They showed that tropical precipitation could be modeled as an exponential function of CRH, and this relationship has been used in many applications including theoretical studies of the MJO (see Rushley et al. (2018), and references therein). More recently, Ahmed and Schumacher (2015) used observations from the DYNAMO field campaign (Yoneyama et al., 2013) and satellite estimates of precipitation to show that the rapid increase of precipitation in humid regions is due to stratiform rather than convective rainfall. Furthermore, they found that the area covered by stratiform precipitation accounted for much of the non-linearity in the precipitation-humidity relationship, while the area covered by convective precipitation was only weakly non-linear with CRH.

Our goal in this study is to suggest a link between longwave-cloud feedbacks and the observed relationship between tropical precipitation and CRH, which will be further

examined in a companion paper. Section 2 provides a description of data. In section 3, the ACRE is shown to be a strong function of the CRH, which suggests that the CRH can be used to estimate the ACRE. This possibility is explored in section 4, where the estimate is evaluated on annual mean, monthly, and daily time scales. We also suggest that the exponential relationship between CRH and tropical precipitation is a necessary consequence of the longwave cloud feedback described in previous studies which facilitate a shift from convective to stratiform precipitation. Conclusions are discussed in section 5.

2 Data and Methods

The analysis in this study utilizes two primary data sources. Top of atmosphere and surface fluxes of longwave and shortwave radiation come from the CERES SYN1deg Ed4a product (Doelling et al. (2013), hereafter CERES). CERES data were downloaded on a $1° \times 1°$ grid at a daily mean temporal resolution. Radiative fluxes were used to calculate the CRE as the difference between full-sky and clear-sky fluxes. The CRE was evaluated at the top of atmosphere and at the surface, and the ACRE was calculated as the difference between the two.

Reanalysis fields of temperature and specific humidity were downloaded from ERA5 (Hersbach et al., 2018, 2020) at a temporal resolution of 6 hours on the native $0.25° \times 0.25°$ grid. The CRH was calculated as

$$\text{CRH} = \frac{\int_{p_t}^{p_s} q \, dp}{\int_{p_t}^{p_s} q^*(T) dp}, \tag{1}$$

where q^* is the saturation vapor pressure. The ERA5 data were averaged to daily means and to the coarser $1° \times 1°$ CERES grid.

Both data sources span the same 19-year period from January 1, 2001 through December 31, 2019. Analysis was restricted to the tropical belt ranging from 30°S to 30°N. In addition to the tropical belt, the analysis was repeated for six subset regions which represent the Indo-Pacific warm pool, the Pacific ITCZ, the south Pacific convergence zone (hereafter SPCZ), the Pacific cold tongue, the Atlantic ITCZ, and the Atlantic cold tongue.

¹⁰¹ In addition to these two sources, precipitation rates from the TRMM Multisatel-

¹⁰² lite Precipitation Analysis 3B42 product (Huffman et al. (2016) hereafter TRMM) were

¹⁰³ used to show a climatology and to visualize the exponential pickup of precipitation with

¹⁰⁴ CRH described in the introduction. The TRMM data cover the same 19-year period and

¹⁰⁵ were averaged to align with the $1° \times 1°$ CERES grid.

3 ACRE binned by CRH

¹⁰⁷ The tropical band ranging from 30°S to 30°N contains regions with distinct dis-

¹⁰⁸ tributions of precipitation and humidity (Figs. 1.a and 1.b) These include regions of warm

¹⁰⁹ SSTs with enhanced convection (such as the Indo-Pacific warm pool, the SPCZ, and the

¹¹⁰ Atlantic and Pacific portions of the ITCZ), and regions of cool SSTs with suppressed con-

¹¹¹ vection (such as the Atlantic and Pacific cold tongues). Land surface covers approximately

¹¹² 25% of this belt which introduces additional complexity due to factors such as a stronger

¹¹³ diurnal cycle and monsoons.

¹¹⁴ To see how precipitation and ACRE vary with CRH over the tropical belt we per-

¹¹⁵ form a binning analysis, following the method used in previous studies. The area-weighted

¹¹⁶ average TRMM precipitation rate was found for each CRH bin of width 2% ranging from

¹¹⁷ 0% to 100%. The 25th and 75th percentiles were also found as a measure of the spread

¹¹⁸ of precipitation in each bin. This procedure was repeated for the net, longwave, and short-

¹¹⁹ wave ACRE over the entire tropical belt with results shown in Figs 1.c through 1.f.

¹²⁰ The net ACRE (Fig. 1.d) is negative or zero when the CRH is small, due to long-

¹²¹ wave and shortwave contributions which mostly offset (Figs 1.e and 1.f). When the CRH

¹²² becomes large the longwave component changes sign and begins to increase. The short-

¹²³ wave component increases as well, but at a slower rate. The combination of the two terms

¹²⁴ leads to a rapid increase of the net ACRE above a threshold of about 60% CRH. The

¹²⁵ rapid pickup in ACRE is evocative of the dependence of precipitation on CRH (Fig. 1.c).

¹²⁶ As will be discussed in section 4.3, this similarity is not a coincidence, but is likely the

¹²⁷ result of a longwave-cloud feedback in humid regions of the tropics that promotes a shift

¹²⁸ from convective to stratiform precipitation. While the precipitation appears to depend

¹²⁹ exponentially on the CRH, dependence of the ACRE more closely resembles a linear re-
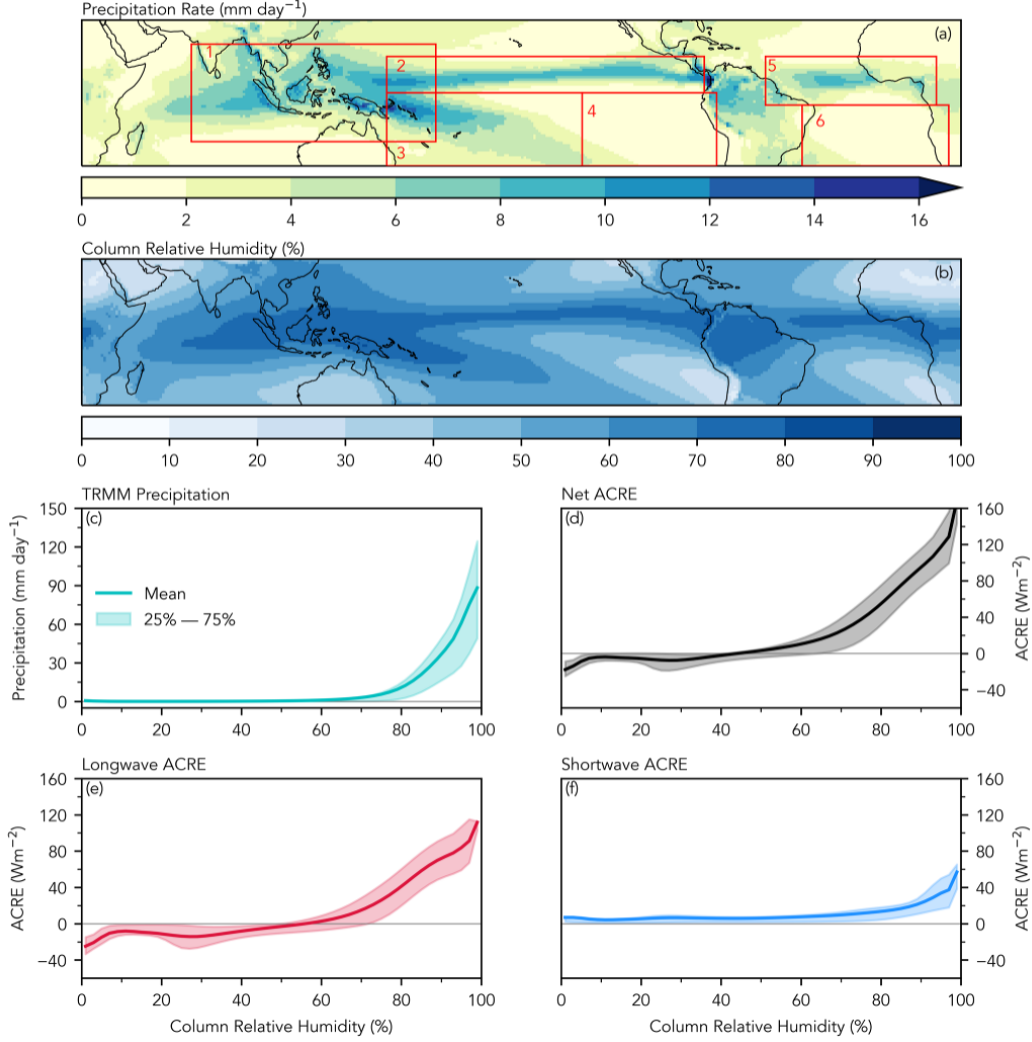
¹³⁰ lationship above the threshold level.

Figure 1. **(a):** Annual mean precipitation rate from 2001 through 2019, calculated using the TRMM 3b42 product (Huffman et al., 2016). Boxes 1 - 6 show the boundaries of six regions used in section 4.2, with specific boundaries recorded in Tbl. S1. **(b):** Annual mean column relative humidity calculated from ERA5 reanalysis. **(c):** TRMM Precipitation rate binned by CRH for the belt ranging from 30°S to 30°N. The shaded area shows the region bounded by the 25th and 75th percentiles for each CRH bin. **(d):** Same as **(c)**, but for the ACRE. **(e)** and **(f):** ACRE from **(d)**, decomposed into longwave and shortwave components.

131   A comparison of Figs. 1.e and 1.f shows that the ACRE is largely determined by

132   the absorption of longwave radiation, consistent with previous studies (Slingo & Slingo,

133   1988; Allan, 2011). When this analysis is repeated for the six regions shown in Fig. 1.a,

134   nearly identical curves are returned, even though the regions have markedly different dis-

135   tributions of CRH (Fig. S1 and S2 of supporting information). The only obvious differ-

136   ence in the regional curves is due to the influence of marine stratus clouds in the cold

137   tongue regions (Klein & Hartmann, 1993), which have a large shortwave ACRE and tend

138   to be found in regions with low CRH.

4 Estimating ACRE from CRH

140   The 25th and 75th percentiles of the ACRE in Fig. 1.d are close to the mean value,

141   which suggests little spread in the distribution of ACRE at a particular CRH. This in-

142   dicates that the CRH may be used to estimate the ACRE, similar to how it has been

143   used to estimate tropical precipitation. To estimate the ACRE, the daily mean CRH at

144   a grid cell at a particular timestep was mapped onto the curves in Figs. 1.e and 1.f to

145   give an estimate of the longwave and shortwave components. These two fields were then

146   added together to give the daily mean net ACRE at each grid cell.

147   It is possible that a different method which uses an optimized rectifier or exponen-

148   tial fit may give a better estimation of the ACRE. Additionally, a method that takes into

149   account the total condensed liquid or ice water path to help separate low and high clouds

150   may more accurately estimate the ACRE and help to remove regional biases. These pos-

151   sibilities are left for future work because our purpose here is only to demonstrate that

152   the CRH can plausibly estimate the ACRE.

4.1 Time Mean Estimation of ACRE

154   Fig. 2.a shows the annual mean ACRE calculated from the observed CERES fluxes.

155   The ACRE is positive over the Indo-Pacific, SPCZ and ITCZ regions due to the absorp-

156   tion of longwave radiation by convective clouds and organized systems. In the cold-tongue

157   regions the reflection of sunlight by marine stratus clouds reduces the shortwave radi-

158   ation that would otherwise been absorbed, which leads to a negative ACRE. The cool-

159   ing albedo effect is smaller than the warming greenhouse effect so that the ACRE av-

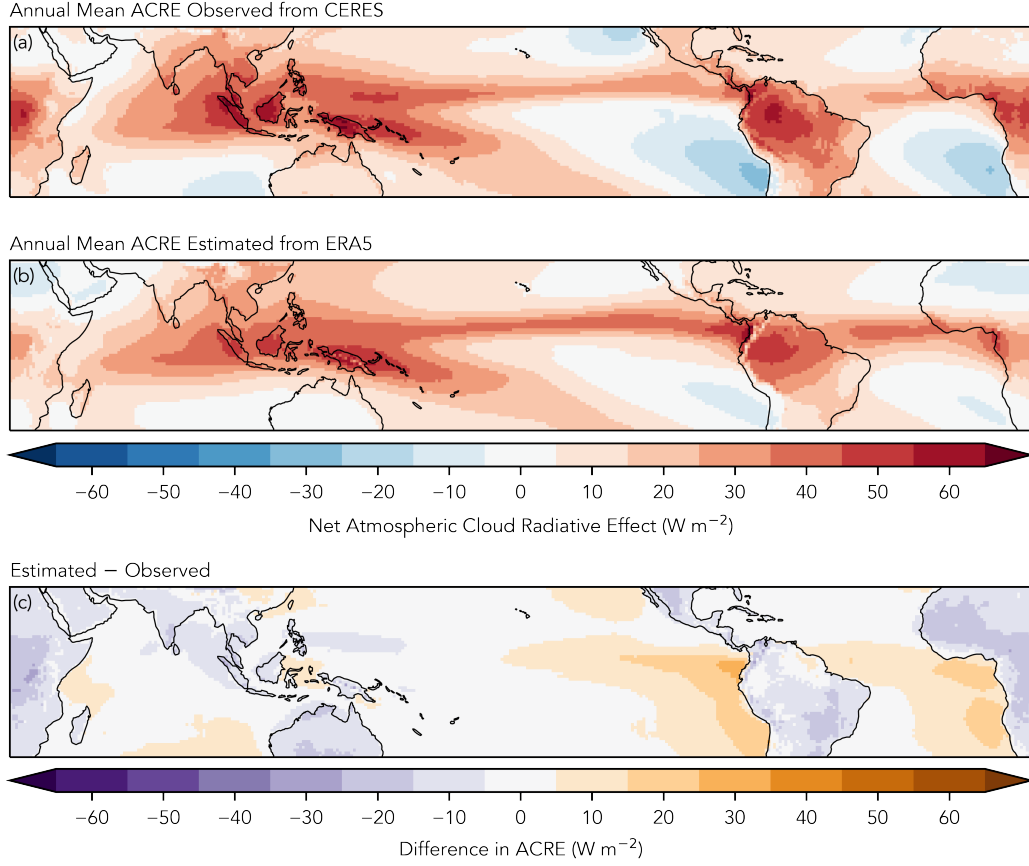160   eraged over the 30°S to 30°N belt is 15.193 W m^{-2}. In Fig. 2.b the time mean of the

Annual Mean ACRE Observed from CERES

Annual Mean ACRE Estimated from ERA5

Net Atmospheric Cloud Radiative Effect (W m^{-2})

Estimated − Observed

Difference in ACRE (W m^{-2})

Figure 2. **(a):** Annual mean ACRE calculated from CERES radiative fluxes. **(b):** Same as **(a)**, but estimated from ERA5 column relative humidity. **(c):** Difference calculated as panel **(b)** minus panel **(a)**.

estimated ACRE is shown. The estimated ACRE largely reproduces the same spatial

structure as the observed ACRE, which includes large positive values over the Indo-Pacific,

SPCZ, and ITCZ regions, and negative values in the marine stratus regions. The annual

mean ACRE estimated from CRH is 15.203 W m^{-2}, which is an error of only about 0.01

W m^{-2} compared to the ACRE calculated from satellite observations.

The difference between the estimated and observed ACRE is shown in Fig. 2.c, which

shows that the small error in the domain averaged ACRE is due to positive and nega-

tive errors that largely cancel. The estimation method appears to have a positive bias

in the east Pacific relative to the west Pacific. This is partially due to the longwave CRE

at the top of the atmosphere (not shown), and is consistent with Kubar et al. (2007) who

found that the temperature of high tropical clouds in the east Pacific was about 5 K warmer

compared to similar clouds in the west Pacific. In addition, the estimation method gives

negative errors over land compared to mostly positive errors over oceans. Although the

errors discussed here are not negligible, we emphasize that this is merely the first attempt

to estimate the ACRE from the CRH.

4.2 Accuracy of the Estimation on Shorter Time Scales

The estimation largely reproduces the annual mean spatial structure of the ACRE.

How well does it perform on shorter time scales? To answer this, Fig. 3 compares the

observed and estimated monthly mean ACRE anomaly for each of the six regions out-

lined in Fig. 1.a. Anomalies were calculated as the monthly average ACRE over the re-

gion minus the annual mean ACRE for that region for each month, which effectively re-

moves the seasonal cycle. The agreement between the observed and estimated ACRE

was evaluated using Pearson's R^2 correlation, which is shown in the lower left-hand cor-

ner of each panel.

The Indo-Pacific, SPCZ, and both ITCZ regions each show a high degree of cor-

relation, with R^2 greater than either 0.6 or 0.7. The estimation method is able to account

for the large peaks in magnitude in the warm pool and pacific ITCZ regions in 2010 and

2015 to 2016 which are likely associated with the strong El Niño events of those years

(National Weather Service (2020), see Figs. S3 through S6 from supporting information).

The correlation is slightly lower for the cold tongue regions, with R^2 equal to 0.56 and

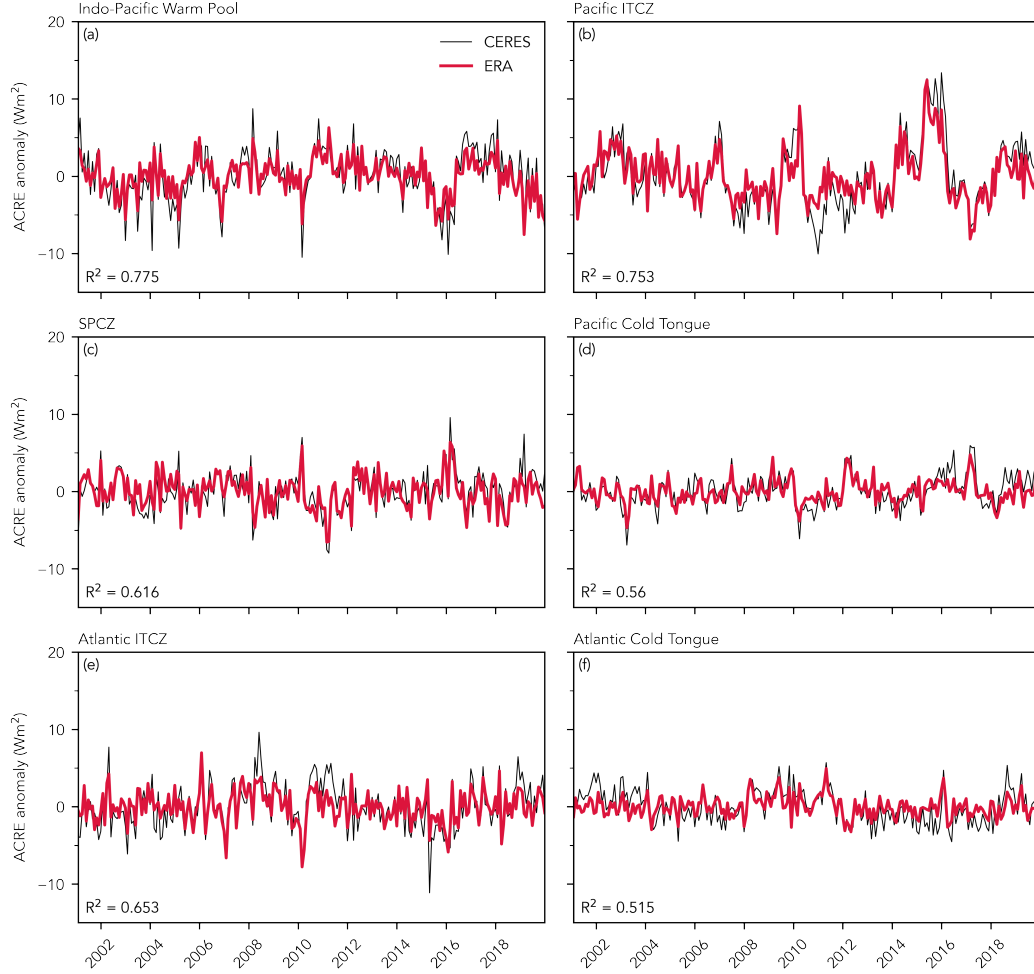0.515 in the Pacific and Atlantic, respectively. Together, this indicates that more than

Figure 3. **(a)** De-seasonalized time series of monthly mean ACRE anomaly averaged over the Indo-Pacific warm pool. Black line shows the ACRE anomaly observed from CERES satellite fluxes, while the red line shows the ACRE anomaly estimated from ERA5. **(b)-(f):** same as **(a)**, but averaged over, respectively, the pacific ITCZ, the SPCZ, the Pacific cold tongue, the Atlantic ITCZ, and the Atlantic cold tongue. Outlines of the six regions are shown as boxes in Fig. 1 Each r leading to the calculation of an R^2 is significant at the 0.05 level.

50% of the variance of the ACRE on monthly time scales can be explained by the CRH in each of these regions.

The R^2 correlations for the monthly mean time series are recorded in Tbl. S1, alongside the R^2 correlations for the daily mean time series, which were constructed in much the same way. On daily time scales the agreement is lower than monthly time scales, although the correlation is still greater than 0.6 in the warm pool, and greater than 0.4 in all regions except for the Pacific cold tongue. From this, it appears that the CRH method is shows some skill at estimating the ACRE even on time scales shorter than a month.

4.3 Discussion

What accounts for this relationship between CRH and the ACRE? We believe that the explanation lies in the curves in Figs. 1.c and 1.d, which show that precipitation and ACRE depend on CRH in a similar way. Both are small when the CRH is small, and both increase rapidly when the CRH exceeds a critical threshold. We suggest that this similarity is more than just coincidence, and that the dependence of precipitation on CRH is linked to the ACRE because of cloud-longwave feedbacks which have been recently identified in the context of organized tropical systems.

In a companion paper, Needham and Randall (2021) discuss this type of feedback in the context of a set of idealized simulations. They find that the ACRE in extremely humid regions is powerful enough to change the sign of the net radiation tendency, which leads to an atmospheric energy convergence. The net heating drives stratiform ascent which lifts water vapor and moistens the troposphere (Chikira, 2014; Ahmed & Schumacher, 2015; Jenney et al., 2020). Through mass continuity the ascent leads to low-level convergence which transports water vapor into regions that are already quite humid (Riehl & Malkus, 1958; Neelin & Held, 1987), which provides moisture to continue the feedback.

We argue that the exponential dependence of the precipitation rate on the CRH is a result of this cloud-longwave feedback. The ascent driven by ACRE favors a shift from isolated convection to more organized systems that are characterized by stratiform precipitation. As discussed by Ahmed and Schumacher (2015), the area covered by stratiform precipitation increases exponentially at high CRH, and accounts for the characteristic pickup of the precipitation rate above the critical threshold.

5 Conclusions

We have shown that the ACRE varies with the CRH in a way that is similar to the well-documented relationship between precipitation and CRH. When the ACRE from $1° \times 1°$ daily mean satellite observations is binned by the CRH, the net ACRE increases rapidly above a critical threshold, with very little spread in the distribution of ACRE at a particular CRH. This suggests that the ACRE can be estimated from the CRH, in the same way that CRH has been used to estimate precipitation. Our estimation method is able to reproduce the large-scale annual mean spatial distribution of ACRE in the tropics, which includes a well defined ITCZ and Indo-Pacific warm pool. The difference in the observed and estimated ACRE is 0.01 W m^{-2} averaged over the domain, due to positive and negative errors which mostly cancel. Comparisons of the observed and estimated regional time series of ACRE show a high degree of agreement on monthly time scales, with slightly less agreement on daily time scales. The method is also able to reproduce large peaks in the magnitude of the ACRE in the ITCZ and warm pool regions associated with ENSO variability. Generally the method works better in regions associated with deep convective clouds, compared to the cold tongue regions that are characterized by marine stratus clouds.

An explanation for the exponential relationship between precipitation and CRH is proposed in the form of a moisture feedback driven by ACRE. Cloud-longwave heating leads to stratiform rising motion and moisture convergence, which in turn lead to the formation of more clouds. The rising motion coincides with a shift from convective to stratiform precipitation, which has been identified as a key component of the non-linear relationship between precipitation and CRH. This and other aspects of tropical cloud-longwave feedbacks are discussed further in a companion paper.

Open Research

All of the data used in this study are freely available online. ERA5 reanalysis data were downloaded from the ECMWF Copernicus Climate Data Store (CDS), accessible at `https://cds.climate.copernicus.eu/`. CERES SYN1deg_Ed4a data were obtained from the NASA Langley Research Center Atmospheric Science Data Center (ASDC), accessible at `https://ceres.larc.nasa.gov/`. TRMM data were downloaded from the Goddard Earth Sciences Data and Information Services Center (GES DISC), accessible at `https://disc.gsfc.nasa.gov/`.

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