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Supporting Information for

**Mechanisms of shear band formation in heterogeneous materials under
compression:
The role of pre-existing mechanical flaws**

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36 **S1. Comparison of physical properties between Polystyrene and Granite at 500°C**

37 A range of materials, such as synthetic granular solids, polymers and metals have
38 been used as rock analogues to study crustal deformations in laboratory. For example,
39 recent experiments used GRAM (a polymeric rock analogue) to investigate the
40 development of shear bands under compression (Chemenda & Mas, 2016). We chose
41 polystyrene, a commercial available polymer in our experiments. Interestingly, this
42 material displayed deformation localization behaviour, as shown from GRAM. The
43 following sections present a rheological comparison of polystyrene with common crustal
44 rocks.

45

46 *Yield point versus Young's modulus ratio:*

47 The PS was chosen as an effective rock analogue material based on the rheological
48 equivalence, as required in this kind of experiments. To demonstrate this, we compared the
49 stress versus strain relations of PS with that of granite, which commonly represent the crust.
50 Yield point is one of the most fundamental property of a rock that defines the critical stress
51 at which it plastically yields to deform permanently. Similarly, Young's modulus, the
52 measure of stiffness of an elastic material, is another crucial physical property to determine
53 the pre-yield elastic deformation. To establish our choice of PS as the rock analogue
54 material, we calculated the ratio between yield point and the young's modulus of our
55 material and compared with some classical experimental data given by previous workers
56 (Table S1). As we are considering a depth of upper-mid crustal rocks, we took the yield
57 behaviour of granite at 500°C as our reference.

58

Author	Yield Point : Young's Modulus
Griggs et al., 1960	0.025
Tulis and Yund, 1977	0.027
Jaeger and Cook, 1979 & Ranalli, 1995	0.033
<i>Our Model Material (PS)</i>	<i>0.035</i>

Table S1: Comparison of yield point : young's modulus of granite with polystyrene

59

60 *Poissons' Ratio:*

61 Similar to yield point and young's modulus, Poisson's ratio is another important parameter
62 that governs the elastic property of a material. To establish our choice of polystyrene as
63 model material, we have compared the Poisson's ratio of commonly found upper-mid
64 crustal rocks with Polystyrene (Table S2).

65

Material type	Poisson's Ratio
Granite	0.2-0.3
Marble	0.2-0.3
Quartzite	0.23
<i>Polysterene</i>	<i>0.34</i>

Table S2: Comparison of Poisson's ratio of crustal rocks with polystyrene

66

67

68 *Dihedral Angle of Shear Bands:*

69 Griggs et al. (1960) showed deformation of rocks at 500°C to 800°C. In their study, they

70 showed shear bands developing in granite at high temperature (in the plastic creep regime)

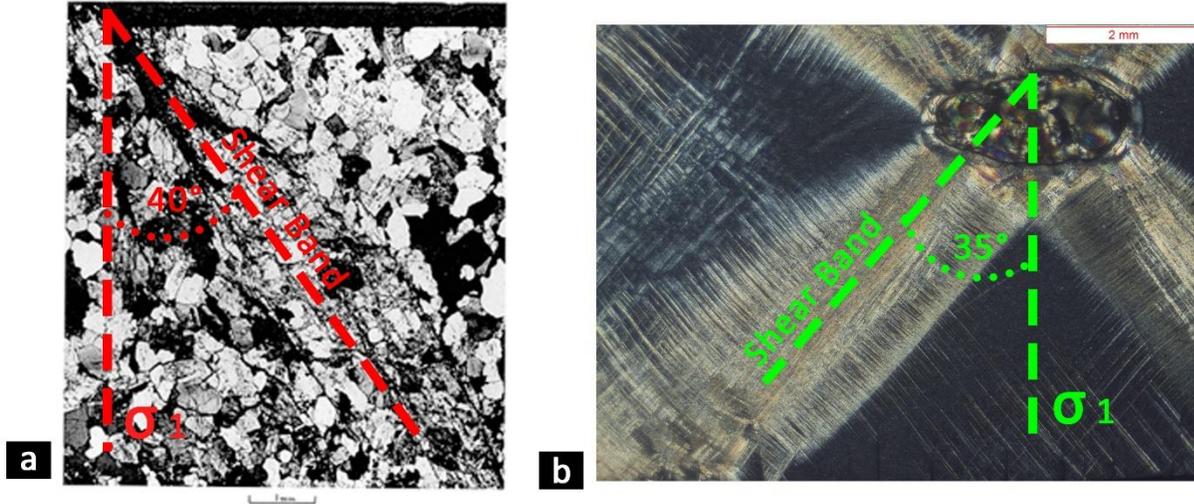


Fig. S1: a) Dihedral angle of granite at high temperature (after Griggs et al., 1960); b) Dihedral angle of polystyrene at room temperature

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72 with a dihedral angle of $\sim 80^\circ$. This value closely resembles with the dihedral angle of

73 composite bands ($\sim 75^\circ$) formed in our PS models with heterogeneities, as shown in the

74 Figure S1.

75

76 *Strain-rate sensitivity*

77 The PS material chosen in the present experiments was sensitive to strain rate, showing a

78 spectacular transition in the mode of shear localization (homogeneous to composite band

79 formation) on a small range ($2 \times 10^{-5} \text{ s}^{-1}$ to $3 \times 10^{-5} \text{ s}^{-1}$) of strain-rate variation in laboratory.

80 We thus varied the strain rate in this narrow range in our experiments with heterogeneous

81 PS models. Previous experimental studies (e.g., Bowden and Raha, 1970) also suggest that

82 the mechanisms (micro- versus diffuse) of shear band formation can transform at some

83 threshold strain rates. This strain-rate sensitivity of PS allows us to explore the effect of
84 strain rate variations in geological conditions, e.g., 10^{-12} s^{-1} to 10^{-14} s^{-1} , as commonly
85 reported in literature (Fagereng & Biggs, 2019; Pfiffner & Ramsay, 1982).

86

87 **S2. Birefringence in deformed polymers**

88 PS in its completely amorphous state is characterized by randomly oriented
89 polymer molecular chains, and shows optically isotropic behavior (Fig. S2). The material

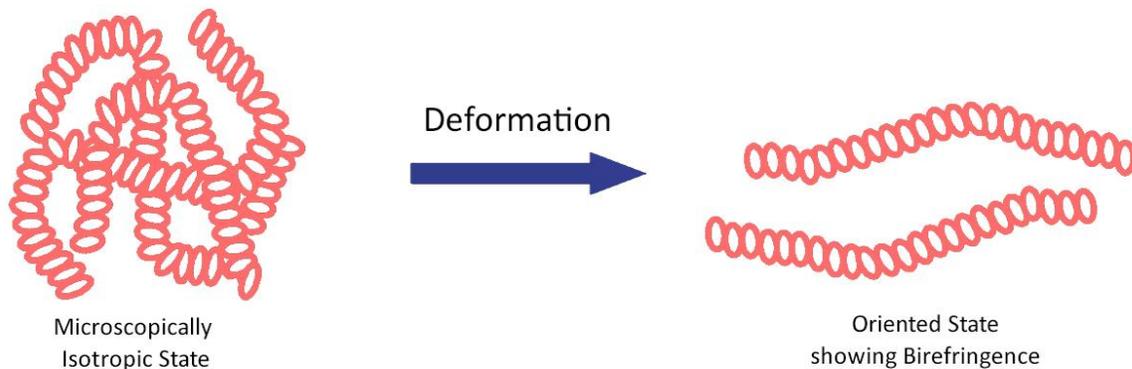


Fig. S2: a) Schematic diagram showing generation of birefringence due to deformation in polymers (after *Tagaya, 2013*).

90 in an undeformed condition is thus devoid of any birefringence. However, under stressed
91 conditions, the randomly oriented polymer chains are aligned in a preferred direction, and
92 such directionality in chain structures gives rise to anisotropic properties, as reflected in
93 optical birefringence (Tagaya, 2013). The magnitude of birefringence holds a good
94 correlation with the amount of plastic strain accumulation that determines the degree of
95 molecular chain orientations. The deformation induced birefringence is irreversible as it
96 develops in response to permanent strains in the material. We could thus qualitatively use
97 this birefringence property to delineate the zones of permanent strain localization in
98 deformed PS blocks.

99 **S3. Homogeneous model experiments at lower strain rate**

100 We performed an additional set of experiments to test the sensitivity of
101 homogenous PS blocks to strain rate in forming shear band structures (Fig. S3). The
102 experiments were run at a lower strain rate, $3 \times 10^{-5} \text{ s}^{-1}$ to $2 \times 10^{-5} \text{ s}^{-1}$, as compared to those
103 presented in the main text (Fig. 3a). This range of strain rates produced sharp and narrow
104 bands that are finely spaced and uniformly distributed in the entire model. They typically
105 formed in conjugate sets, symmetrically oriented with respect to the compression direction.
106 The bands multiplied in number with increasing finite strain, as observed in similar

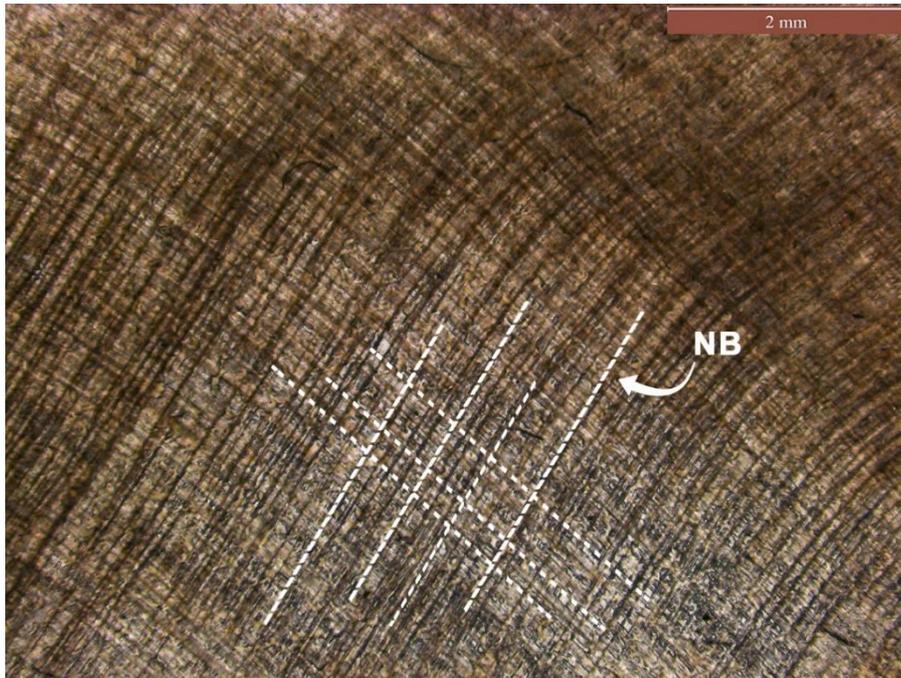


Fig. S3: Uniform development of closely spaced, conjugate narrow bands in homogenous PS models deformed at low strain rate ($\dot{\epsilon} = 2 \times 10^{-5} \text{ s}^{-1}$). Note that strain rate doesn't have any effect on shear band formation in homogeneous model.

107 experiments at relatively higher rates, and they had no tendency to widen, but grow in
108 length. The PS produced distributed narrow bands in its homogeneous state under the entire
109 range of strain rate conditions used in our laboratory experiments. We thus conclude that

110 uniformly thick bands of homogeneous shear in low-strain rate experiments reflect the
111 influence of weak flaws in the model.

112

113 **S4. Experimental result of heterogeneous model with a single hole**

114

115 An additional set of compression experiments was performed on polystyrene blocks

116 containing single flaws in the middle of the model. The flaw diameter was kept 1mm,

117 similar to the experiments with multiple flaws. The experiments were conducted at room

118 temperature under strain rates similar to the previous ones. The single-flaw models

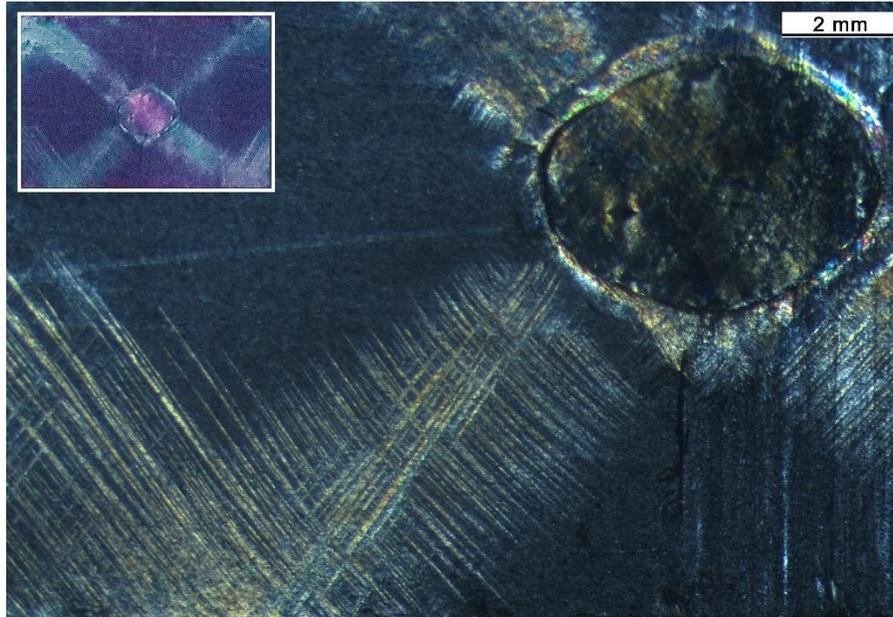


Fig S4: Development of shear band patterns in a single-flaw polystyrene model. The full view of bands around the flaw is shown in the inset. Note that the composite band geometry and its internal band structure are identical to that produced in a model containing a pair of flaws. The single-flaw experiments confirm that there were no mutual interactions between flaws in the experiments presented in the main text.

119 produced a pattern exactly similar to those observed in experiments containing two flaws

120 (Fig. S4). The single-flaw experiments clearly reveal the absence of any mutual interaction

121 between the flaws in the two-flaw experiments. The weak heterogeneities considered in

122 our experimental modelling acted as a single mechanical entity in the mechanics of shear
 123 band localization.

124
 125

126 **S5. Calculation of finite strain**

127 Let $\acute{\alpha}$, $\acute{\beta}$, be the angle formed by the passive marker with shear zone and shear band
 128 boundary respectively. Also, $2y_1$ and $2y_2$ are the shear zone and shear band thickness

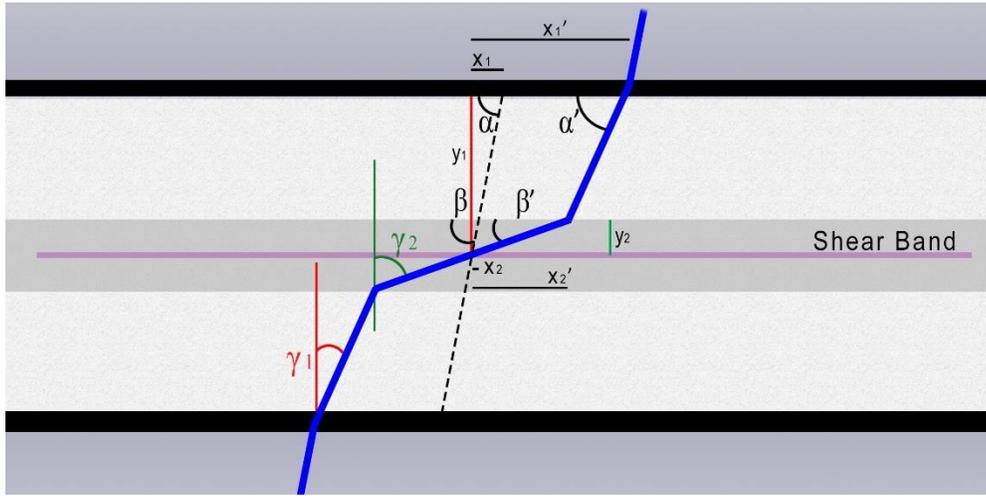


Fig. S5: Schematic representation of finite strain measurement using a passive marker (blue line).

129 respectively. Let γ be the total finite strain. We know that,

130
$$\gamma = \gamma_1 + \gamma_2 \quad (S1)$$

131 From Fig S5,

132
$$\acute{x}_1 = x_1 + \gamma_1 y_1 \quad (S2)$$

133 Dividing both side by y, we get

134
$$\frac{\acute{x}_1}{y_1} = \frac{x_1}{y_1} + \gamma_1 \quad (S3)$$

135
$$\cot \acute{\alpha} = \cot \alpha + \gamma_1 \quad (S4)$$

136 Similarly, from Fig. S5,

137
$$\frac{\acute{x}_2}{y_2} = \frac{x_2}{y_2} + \gamma_2 \quad (S5)$$

138

$$\cot \hat{\beta} = \cot \beta + \gamma_2 \quad (\text{S6})$$

139 We calculate the total finite strain using Eq. S1.

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141 S6. Mesh refinement study

142 We performed a mesh refinement test to evaluate the sensitivity of band structures

143 with varying mesh resolution sensitivity in our elasto-visco-plastic numerical models. The

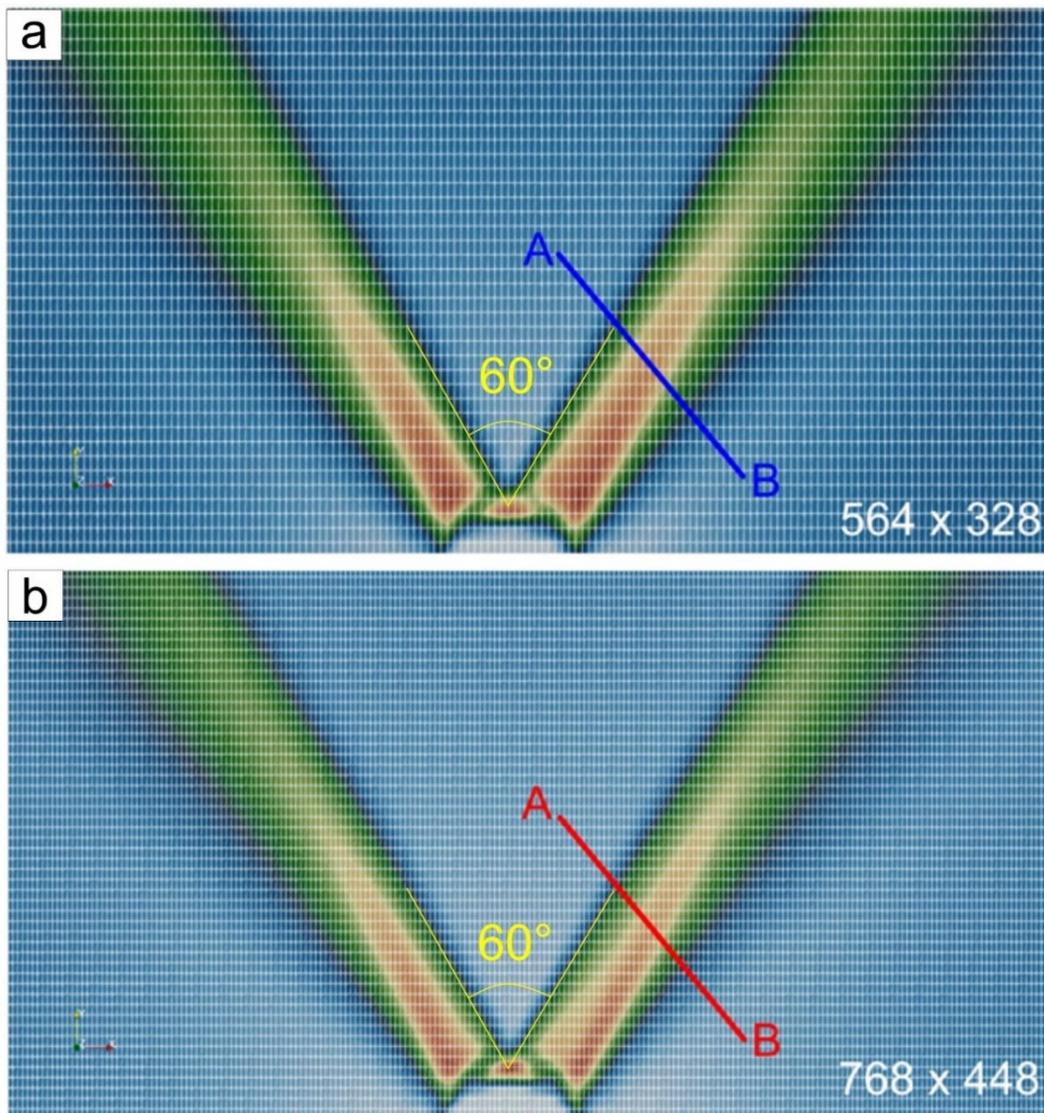
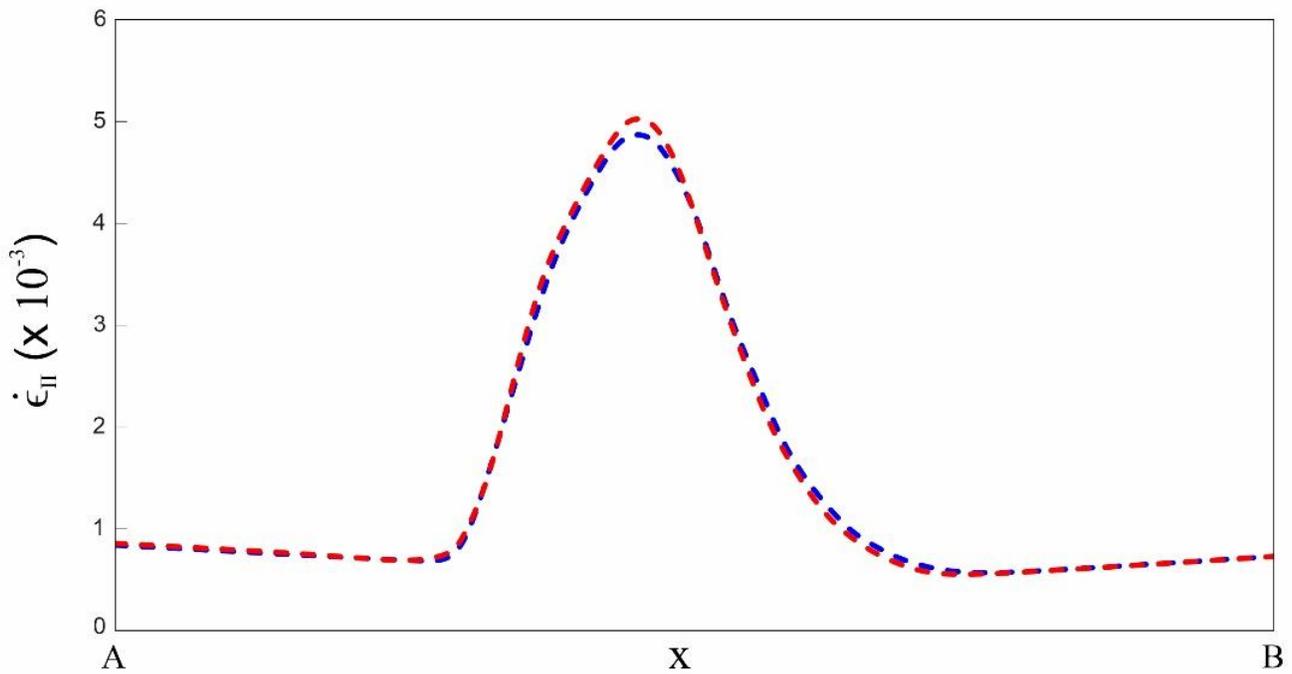


Fig. S6.1: Two numerical simulations run under the same conditions, but with different mesh resolution: a) 564x328 b) 768x448.

144 test revealed that the band structures hardly changed after attaining a fine resolution. For
145 example, two simulations run under exactly the same conditions but with different mesh
146 resolutions, 768x448 and 564x384, produce almost identical shear band structures with
147 minute details (Fig. S6.1). The mesh resolution of 564x384 was thus chosen for all the
148 simulation runs presented in this study. Earlier workers have also used the mesh resolution
149 of the same order in their simulations (Duretz et al., 2019).

150 The two experiments show similar dihedral angle between the shear bands (60°)
151 and similar pattern of the magnitude of accumulated strain along the profile AB (Fig.
152 S6.2) across the shear bands.



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Fig S6.2: Profiles of accumulated strain probed across the shear bands of two different set of numerical simulation with resolution 564x328 (blue line) and 768x448 (red line).

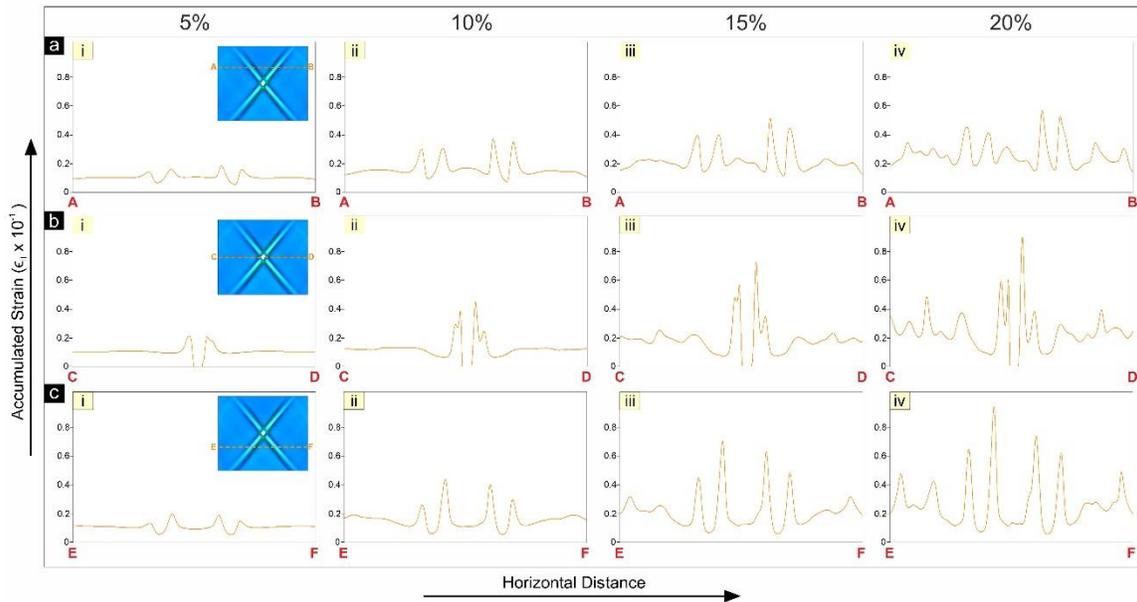
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157 **S7. Across-band strain profiles in numerical models**

158 This section discusses the strain profiles calculated from the numerical simulation
159 MS2 run at relatively high rates (results presented in the main text). The profiles are
160 constructed along the lines AB, CD, EF, as shown in Figure 6 in the main text. They
161 represent plots of the 2nd invariant of the strain rate tensor (sum of the elastic, viscous and
162 plastic strain components) as a function of distance in the model. The strain profiles contain
163 multiple peaks that reveal the composite nature of shear bands, as observed in the PS
164 experiments at a high strain rate ($3 \times 10^{-5} \text{ s}^{-1}$).



165

166 **Fig S7:** Across-band strain profiles in MS2 (locations of the profile lines: AB, CD, and EF,
167 shown in inset). Note that the strain profiles show multiple peaks signifying the formation
168 of multiple shear bands in the core zone.

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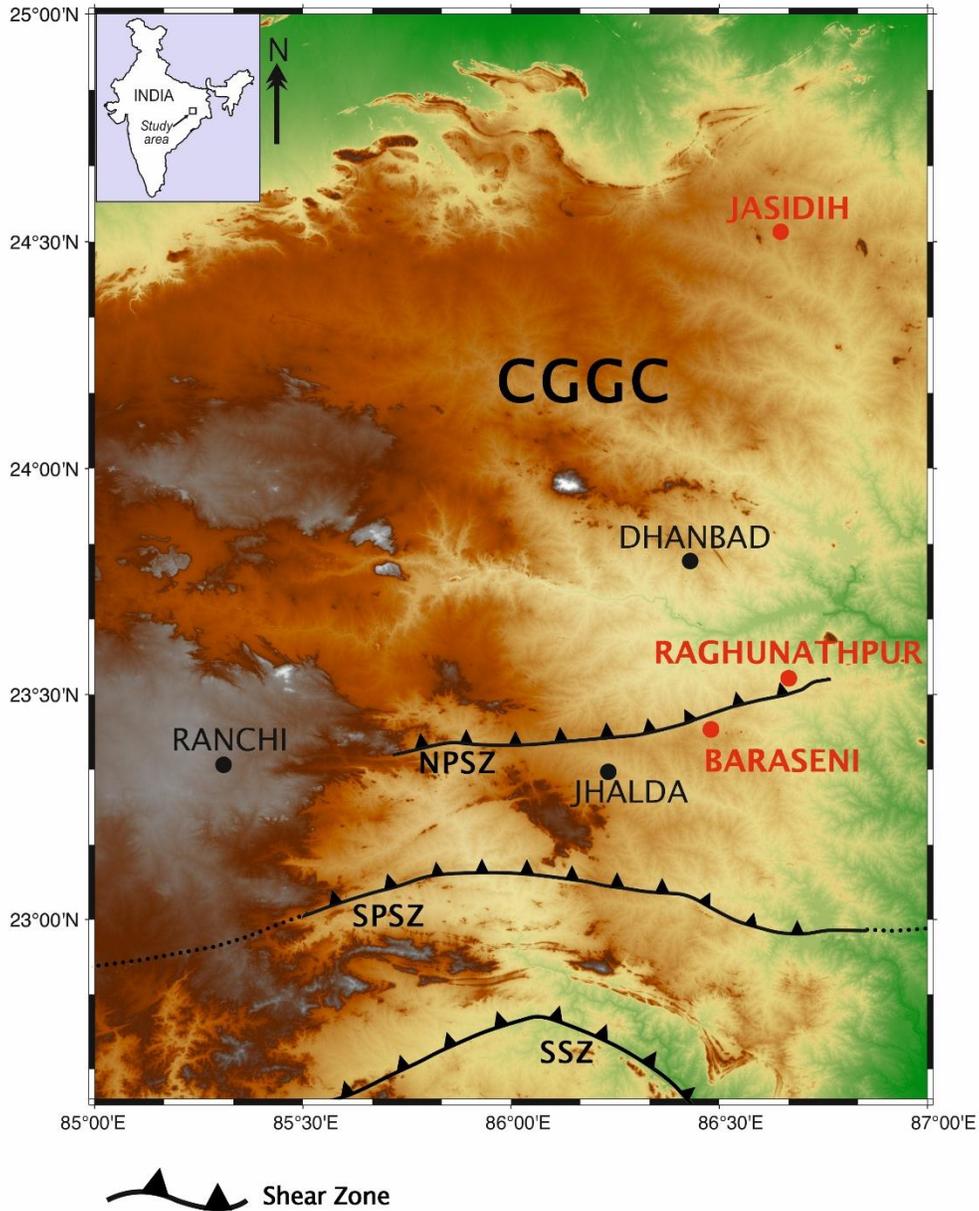
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174 **S8. Field study of natural shear zones**

175 We studied a few centimetres to tens of metres long ductile shear zones in the
176 Chotanagpur Granite Gneissic Complex (CGGC), focusing upon regions north of the South
177 Purulia Shear Zone (SPSZ). The shear zones are associated with alkali granite, brecciated
178 quartzite, apatite-magnetite bearing chert, U-Th mineral-bearing pegmatite and mafic-
179 ultramafic rocks. The host rock types include banded, porphyritic and augen granite
180 gneisses, garnet-bearing quartzo-feldspathic gneisses, khondalite, amphibolites and mafic
181 granulites, which generally contain penetrative tectonic foliations of single or multiple
182 generations. The host foliations act as markers, showing sharp deflections across shear
183 bands that allow us to identify the mode of shear localization. In places we could recognize
184 mechanical heterogeneities as nucleating agents of shear zones. For example, high-
185 temperature metamorphic rocks in the Jasidih area show band localization in the vicinity of
186 quartzo-feldspathic aggregates, which possibly represent melt lenses (weak zones)
187 produced by partial melting during the granulite facies metamorphism. This kind of field
188 examples support our experimental interpretation that mechanically weak heterogeneities
189 can be a crucial factor for the formation of isolated shear zones in continua.

190 We chose three prominent locations: 1) Bero Hillocks (23°32'09.5" N, 86°40'01.3"
191 E) near Raghunathpur town, 2) Purulia-Asansol Road transect near Baraseni (23°25'20.9"N
192 86°28'48.8"E), and 3) Jasidih (24° 31' 19.2" N, 86° 38' 51.72" E) (Fig S8). Location 1 is
193 predominantly composed of biotitic granite gneiss, which shows excellent shear band
194 structures with thick strongly shear core, sometimes flanked by excellent drag zones on
195 both sides, while some shows relatively weakly deformed matrix. Lithologically, Location
196 2 is a fine-grained granulite-facies rock, primarily composed of alkali-feldspar, with minor

197 amounts of quartz, mica, garnet and tourmaline. Classically this rock type is also termed
198 as Leptynite and they often show a planar gneissic structure. Location 2 exhibits extensive
199 micro shear band structures with a cross cutting relationship throughout the exposure (Fig.
200 8 a). Location 3 is situated near the Jasidih area, which lies in the northernmost part of
201 CGGC. Lithologically, this area is predominantly of migmatitic felsic orthogneiss origin,
202 with random enclaves of meta -sedimentary and meta-mafic rocks. We found excellent
203 shear bands occurring in the vicinity of elliptical to semi-elliptical heterogenous clasts (Fig
204 8b), that can be well correlated with our heterogenous models (Fig. 3 b).



206

207 **Fig. S8:** A simplified geological map of the East Indian Precambrian craton, showing the
 208 locations of the Singhbhum Shear Zone (SSZ), the South Purulia Shear Zone (SPSZ), the
 209 North Purulia Shear Zone (NPSZ) and the Chotanagpur Granite Gneiss Complex
 210 (CGGC). Field areas are marked by red dots in the map.

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216 **S9. Strain profiles from field studies**

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218 This section presents the strain profiles obtained from strain analyses performed in
219 field outcrops. Strain profiles were obtained by calculating the finite strain (ϵ) across
220 various types of shear zones. Type I shear zones containing narrow shear bands observed
221 in an area near Purulia town, showed a characteristic curve with a high peak showing large
222 ϵ values implying intense shear localisation across the narrow shear bands (Fig 9b-i). Type
223 II shear zones showed gradational shear strain variation from weakly deformed wall to
224 highly sheared core forming a typical bell-shaped curve (Fig 9b-ii). On the contrary, Type
225 III shear zones are characterized by a plateau like strain profile with very narrow
226 gradational zone (Fig 9b-iii). This characteristic shape results due to formation of a very
227 narrow drag zone on both sides of the homogenous core zone.

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