

1 Was the observed pre-seismic total electron content enhancement a true precursor of the
2 2011 Tohoku-Oki Earthquake?

3
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8
9 **Abstract**

10 Here we test the precursory enhancement in ionospheric total electron content (TEC)
11 measured by GNSS leading up to the 2011 Mw9.0 Tohoku-Oki Earthquake. We verify the
12 frequency of this TEC enhancement via analysis of a two-month vertical TEC (VTEC)
13 time series that included the Tohoku-Oki Earthquake using the procedure, based on
14 Akaike's information criterion, and threshold of Heki and Enomoto [2015]. The averaged
15 occurrence rate of the TEC enhancement is much larger than that reported by Heki and
16 Enomoto [2015] when all of the visible GPS satellites at a given station are taken into
17 account. We cannot rule out the possibility that the pre-seismic VTEC changes before the
18 great earthquakes that were reported by Heki and Enomoto [2015] are just a product of
19 chance. Furthermore, we analyze the spatial distribution of the pre-seismic TEC
20 enhancement and co-seismic TEC depletion for the Tohoku-Oki Earthquake. We observe
21 significant post-seismic depletion that lasted at least 2 h after the earthquake and extended
22 at least 500 km from the center of the large-slip area. The spatial distribution of this
23 post-seismic depletion appears to be independent of the pre-seismic enhancement. The
24 enhancement reported by Heki [2011] before the Tohoku-Oki Earthquake may therefore be

25 an apparent phenomenon related to the combined effects of a largescale traveling
26 ionosphere disturbance and co-seismic ionospheric disturbance.

27

28 **1. Introduction**

29 Precursory enhancement of the ionospheric total electron content (TEC) within a few tens
30 of minutes before large earthquakes has been reported by Heki [2011] and numerous
31 Global Navigation Satellite System (GNSS) TEC observational studies (e.g., Heki and
32 Enomoto, 2013; Heki and Enomoto, 2015; He and Heki, 2016, 2017, 2018). Heki [2011]
33 extracted the TEC enhancement prior to the 2011 Tohoku-Oki Earthquake using a
34 reference curve to model the slant TEC (STEC) time series, with the departure from the
35 reference curve defining the TEC anomaly in the focal area. He excluded a 48-min time
36 window surrounding the mainshock (from 34 min before to 14 min after the mainshock)
37 from the STEC time series to deduce the reference curve, and showed that the residual
38 STEC began to increase 40 min before the earthquake, returning to the normal state when
39 the post-seismic acoustic wave reached the ionosphere [Heki, 2011]. However, this
40 approach has received criticism (e.g., Kamogawa and Kakinami, 2013; Masci et al., 2015).
41 Kamogawa and Kakinami [2013] attributed the TEC enhancement reported by Heki [2011]
42 to an artifact caused by the combined effects of TEC disturbances under active
43 geomagnetic conditions and an ionospheric hole generated by a tsunami. Heki and
44 Enomoto [2013] revisited the data to address this criticism, and claimed that the tsunami
45 did not make an ionospheric hole since their pre-seismic increase in the vertical TEC
46 (VTEC) was comparable to the post-seismic decrease. They suggested that the
47 post-seismic decrease was due to the recovery from the precursory TEC enhancement,
48 rather than a post-seismic tsunamigenic hole [Heki and Enomoto, 2013]. This

49 interpretation justifies the exclusion of the time window immediately surrounding the
50 mainshock, for which the end time is generally set at 20 min after the mainshock, in
51 deducing the reference VTEC curves in subsequent studies (e.g. He and Heki, 2016, 2017,
52 2018). However, He and Heki [2017] also considered the possibility of a post-seismic hole
53 by studying the pre-seismic enhancement of Mw 7–8 earthquakes using the reference
54 curves. They claimed that these TEC depletions should be spatially limited above the focal
55 area, even if the post-seismic holes persist for a while, such that excluding the ± 30 -min
56 time window surrounding the earthquake is enough to avoid these effects because the
57 ionospheric penetration point (IPP) along the line of sight (LOS) between a station and
58 satellite can pass through the area within this period [He and Heki, 2017]. In addition to
59 these rebuttals, Heki and Enomoto [2015] detected a positive break in the TEC time series
60 (sudden increase in the TEC rate) without using reference curves before five huge
61 earthquakes based on Akaike's information criterion (AIC). They claimed that whether this
62 positive break is space weather origin or not could be judged stochastically, even though
63 the propagation of the positive break resembles a large-scale traveling ionospheric
64 disturbance (LSTID) and there were active geomagnetic conditions during the period
65 surrounding the 2011 Tohoku-Oki Earthquake [Heki and Enomoto, 2015]. They detected
66 positive breaks for five of the eight analyzed Mw 8.2–9.2 earthquakes, and showed that the
67 frequency of the breaks exceeding their TEC unit (TECU) threshold (3.0 TECU/h) was
68 below 1/10, which was the averaged frequency over the three-week period surrounding the
69 2011 Tohoku-Oki Earthquake. They then assumed a random occurrence of these breaks
70 with a probability of 1/10 per hour, and determined that the detection probability of such
71 breaks during the 1.5-h period before the five earthquakes would be $(1.5 \times 1/10)^5$, which is
72 too small to be considered a fortuity. However, their sampling approach would have

73 underestimated the occurrence rate if the TEC enhancement varied between different
74 satellites, even though they only used one satellite to demonstrate the occurrence rate of
75 the break.

76 Here we first test the occurrence rate of the TEC break using all of the visible satellites
77 during a 61-day period surrounding the 2011 Tohoku-Oki Earthquake. We then observe the
78 spatiotemporal pre-seismic and co-seismic VTEC variations at the time of the Tohoku-Oki
79 Earthquake to clarify the spatial relationship between the pre-seismic enhancement and
80 post-seismic depletion.

81

82 **2. TEC data processing**

83 We calculated the VTEC time series from the L1 and L2 carrier phases of the global
84 positioning system (GPS) signal for each GNSS station–satellite pair of the GNSS Earth
85 Observation Network (GEONET) by implementing the following procedures.

86

87 **2-1. Convert the geometry-free linear combination (L4) into the VTEC deviation** 88 **(Δ TEC)**

89 We first obtained the phases of the L1 and L2 signals to calculate the carrier phase
90 geometry-free combination (L4). We removed the cycle slips from L4 based on its jump,
91 and then shifted L4 to fit the geometry-free linear combination between the C1 and P2
92 codes to remove the phase ambiguities. This shifted L4 was multiplied by a constant

93 $\frac{10^{-16} f_1^2 f_2^2}{40.308(f_1^2 - f_2^2)}$, where f_1 and f_2 are the dominant frequencies of the L1 and L2 signals,

94 respectively, to obtain the VTEC deviation (Δ TEC). Δ TEC is measured in TECU, where 1
95 TECU is equivalent to 10^{16} electrons m^{-2} , which also corresponds to 0.162 m and 0.2675 m
96 of the L1 and L2 signal delays, respectively.

97 The inter-frequency biases (IFBs) of the stations and differential code biases (DCBs) of

98 the satellites are both included in the ΔTEC data. We corrected for these biases to obtain
99 meaningful slant TEC (STEC) values as follows:

$$100 \quad \text{STEC}_{ij}(t) = \Delta\text{TEC}_{ij}(t) - \text{DCB}_j - \text{IFB}_i, \quad (1)$$

101 where t is the time, and DCB_j and IFB_i correspond to the j -th satellite and i -th
102 receiver, respectively. STEC was then converted to VTEC as follows:

$$103 \quad \text{VTEC}_{ij}(t) = \text{STEC}_{ij}(t) \cos\psi_{ij}(t), \quad (2)$$

104 where ψ_{ij} is the incident angle of the signal penetrating the ionosphere at the IPP. The
105 satellite's DCBs between C1 and P2 were calculated from the P1–C1 and P1–P2 code
106 biases provided by the University of Bern (<ftp.unibe.ch>). The receiver's IFBs between C1
107 and P2 were provided by the Electronic Navigation Research Institute (ENRI) [Sakai,
108 2005].

109

110 **2-2. TEC break detection**

111 Heki and Enomoto [2015] evaluated the occurrence rate of the TEC enhancement in the
112 VTEC time series using only one station–satellite pair; we followed their methodology
113 here. A moving window was adopted that fit a pair of lines to the VTEC curve, with the
114 linear break between the two lines set at the middle of the window. The significance of the
115 break on the fit was determined by calculating the difference of AIC value between the two
116 lines with break and a single line that was fit to the entire VTEC curve in the window. This
117 difference is denoted as $-\Delta\text{AIC}$; a pair of lines is judged to provide a better fit to the VTEC
118 curve than a single line when $-\Delta\text{AIC}$ is positive. The TEC enhancement was then

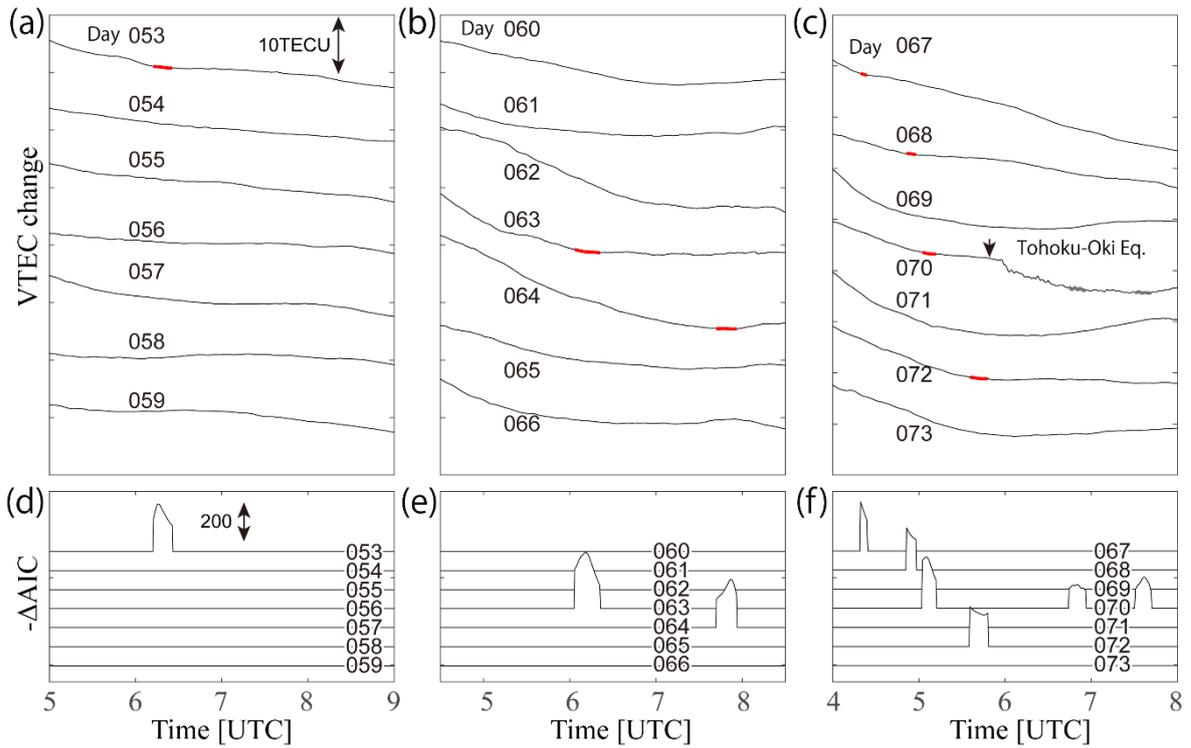
119 evaluated by comparing the increase in slope of the latter line to that of the former line
120 when $-\Delta\text{AIC}$ was positive. The break was regarded as a “significant positive break” when
121 the increase in slope between the two linear fits exceeded a certain threshold. Here we
122 expanded the approach of Heki and Enomoto [2015] by applying this procedure to all of
123 the visible satellites from GNSS station 3009 instead of using only a single satellite–station
124 pair (They used only PRN15). This approach is more reasonable to simulate the situation
125 that a precursor seeker can choose any one of all visible satellites when they look for a
126 positive break prior to a great earthquake. We adopted a ± 30 -min time window and regard
127 an increase that was larger than 3.0 TECU/h (absolute) and 75% of the original rate
128 (relative) as a significant positive break, following Heki and Enomoto [2015].

129

130 3. Results: Spatiotemporal distribution of positive breaks

131 Figure 1 shows the VTEC time series for the three-week period surrounding the 2011
132 Tohoku-Oki Earthquake, using the same dataset as Heki and Enomoto [2015] (satellite
133 PRN15 and GNSS station 3009); the time series looks similar to that in figure 6 of Heki
134 and Enomoto [2015]. Positive breaks are detected seven times (red dots in Figure 1),
135 including the pre-seismic break before the Tohoku-Oki Earthquake, as observed by Heki
136 and Enomoto [2015]. The two breaks just after the Tohoku Oki Earthquake are not taken
137 into account here (gray dots in Figure 1), as they are mentioned by Heki and Enomoto
138 [2015].

139



140

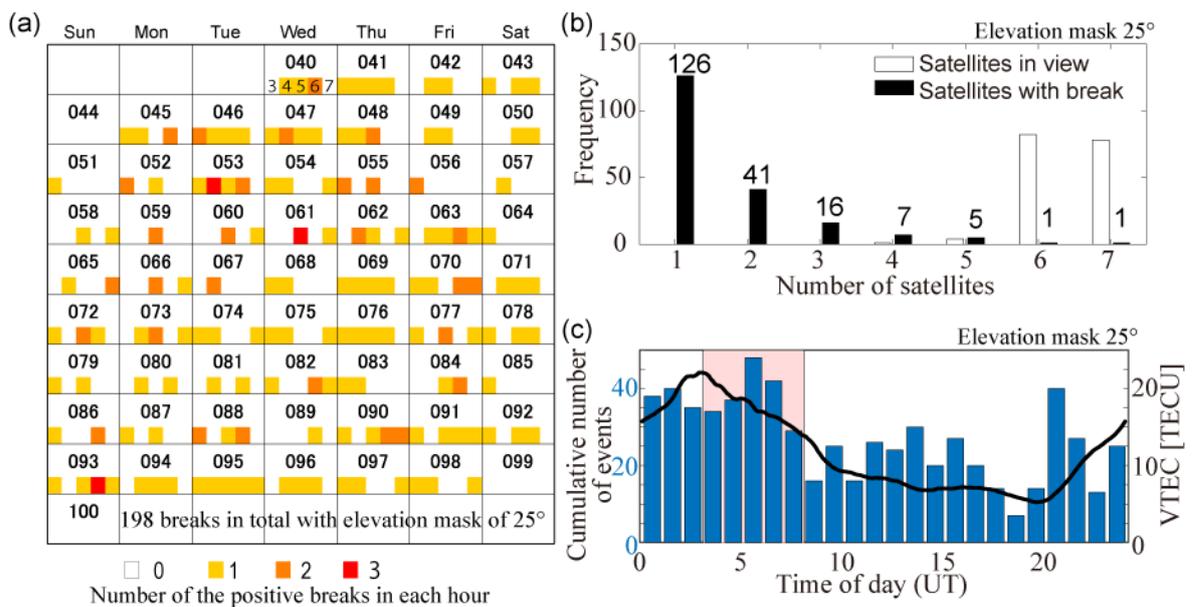
141 Figure 1. VTEC time series for the three-week period surrounding the 2011 Tohoku-Oki
 142 Earthquake (same dataset as used in making figure 6 of Heki and Enomoto [2015]) for the
 143 same satellite (PRN15)–GNSS station (3009) pair. (a–c) VTEC time series. The red
 144 sections represent significant positive breaks (± 30 -min time window) that exceed 3
 145 TECU/h and 75% of the original rate. The gray sections shortly after the Tohoku-Oki
 146 Earthquake also represent positive breaks but not counted considering post seismic
 147 variation. (d–f) $-\Delta AIC$ calculated for (a)–(c), respectively.

148

149 We applied this analysis to the 61-day VTEC time series from 9 February (DOY40) to 10
 150 April 2011 (DOY100). The positive break rate should have been accurately evaluated by
 151 Heki and Enomoto [2015] if it was simultaneously observed by all of the visible satellites.
 152 However, their positive break rate, which was evaluated using only one satellite, would be
 153 an underestimate if it was independently observed by each satellite because they would
 154 have missed positive breaks that occurred at satellites other than PRN15 at different times
 155 in the study period.

156 Figure 2a shows the number of detected significant positive breaks during the daytime

157 (12:00–17:00 local time (LT); 03:00–08:00 UTC) for each day during the 61-day period.
 158 The breaks were calculated using all of the visible satellites with an elevation angle higher
 159 than 25°. If a period where the slope exceeds the threshold overlapped with a period from
 160 one or more other satellites, then these periods were regarded as one event. A total of 198
 161 positive break events were detected within the 305-h observation period, resulting in an
 162 averaged occurrence rate of 0.65 times per hour. Approximately 36% of the breaks were
 163 detected simultaneously by multiple satellites, with the remaining 64% detected by one
 164 satellite (Figure 2b). The positive break 40 min before the Tohoku-Oki Earthquake was
 165 detected by two satellites (PRN15 and 26).
 166



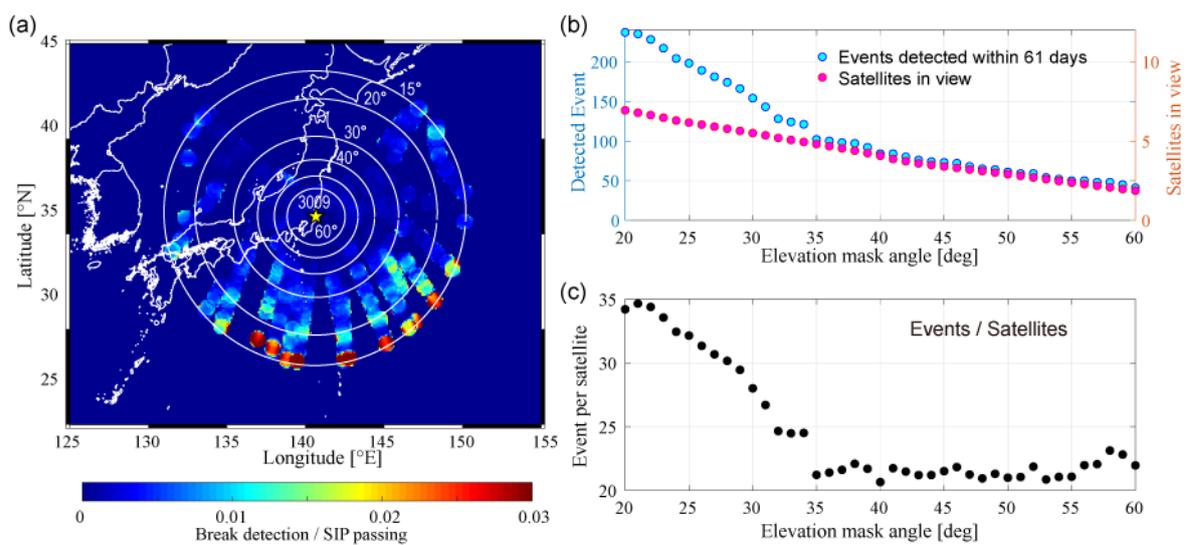
167
 168 Figure 2. Frequency of positive TEC breaks during the 61-day period. (a) Number of
 169 positive breaks in calendar time, with an elevation mask angle of 25°. The number at the
 170 top of each cell is the day of year. The small numbers in the day 040 cell denote the five
 171 1-h periods, which span from 03:00 to 08:00 UTC. The colors indicate the number of
 172 detected breaks in each 1-h period. (b) Frequency of satellites in view that detected a

173 positive break, with an elevation mask angle of 25° . The white bars show the number of
 174 satellites in view when a positive break is detected. The black bars show the number of
 175 satellites that simultaneously detected a break. (c) Frequency of positive TEC breaks as a
 176 function of time of day during the 61-day period. The blue bars indicate the cumulative
 177 number of positive TEC break events that were detected during each hour. The solid line
 178 shows the 61-day-averaged VTEC time series. The shaded magenta region highlights the
 179 time of day that was the focus of the analysis in (a) and (b) (03:00–08:00 UTC).

180

181 The diurnal variations in Figure 2c show that the occurrence rate of the positive break is
 182 higher in the daytime (09:00–17:00 LT; 00:00–08:00 UTC) and early morning (05:00–
 183 07:00 LT; 20:00–22:00 UTC). Positive breaks are detected about three times more
 184 frequently during the daytime than in the predawn hours (02:00–05:00 LT (17:00–20:00
 185 UTC), which is explained by variations in the background VTEC level. The high rate of
 186 break detection in the early morning is explained by TEC enhancement at dawn.

187



188

189 Figure 3. (a) Number of detected events and satellites in view against various elevation

190 mask angles. (b) Number of events detected within the 61-day period (cyan) and average
191 number of satellites in view (magenta) for a range of elevation mask angles. (c) Number of
192 detected positive break events divided by the number of satellites in view. The expected
193 number of events per satellite is approximately constant when the elevation mask angle is
194 larger than 35° .

195

196 The positive break detection is also highly dependent on the LOS configuration. Figure 3a
197 shows the spatial distribution of the detection rate of breaks at sub-ionospheric points
198 (SIPs), which is calculated by dividing the number of detected positive breaks by the SIP
199 density. More positive breaks tend to be detected when the satellites are at a lower
200 elevation angle. The detection rate is very high when the elevation is below 20° , especially
201 in the southern sky. Figure 3b shows the relationship between the elevation mask and
202 number of detected TEC breaks. The number of detected events is proportional to the
203 number of satellites in view when the elevation mask angle is larger than 35° . However,
204 the number of detected events increases much more rapidly than the number of satellites in
205 view when the elevation mask angle is less than 35° . This trend should be due to unstable
206 VTEC behavior in the low-angle LOS, as in this case the ray paths travel longer distances
207 through the ionosphere. Each satellite detected an average of 21–22 breaks during the
208 61-day period when the elevation mask angle was larger than 35° (Figure 3c). This
209 detection rate is similar to that in Heki and Enomoto [2015], where seven breaks were
210 detected with satellite PRN15 over a 21-day period. A total of 98 positive TEC breaks are
211 detected during the 61-day period when a 37° elevation mask angle is applied. Therefore,
212 the occurrence rate of positive breaks is ~ 0.31 times per hour.

213

214 **4. Discussion**

215 The results of the statistical TEC evaluation illustrate that the significant positive breaks
216 are observed much more often than reported by Heki and Enomoto [2015]. The average
217 occurrence rate of the TEC positive breaks measured under the same conditions and
218 threshold as those of Heki and Enomoto [2015], and the inclusion of all of the visible
219 satellites, is 0.65 times per hour with a 25° elevation mask angle. This suggests that the
220 pre-seismic TEC enhancement reported by Heki [2011] as being a precursory phenomenon
221 may have been a product of chance.

222 Here we first evaluate the positive TEC breaks observed before the eight great
223 earthquakes reported by Heki and Enomoto [2015] from a stochastic viewpoint. We then
224 discuss the spatiotemporal VTEC distribution before and after the 2011 Tohoku-Oki
225 Earthquake.

226

227 **4-1. Stochastic evaluation of the pre-seismic breaks**

228 Heki and Enomoto [2015] reported significant positive TEC breaks (exceeding the
229 absolute 3.0 TECU/h and relative 75% threshold) before five Mw 8–9 earthquakes. We
230 evaluate the probability of the case where the breaks are observed within 90 min before
231 five of the eight events assuming a Poisson process. The probability of observing n events
232 during a time period when μ events occur is expressed as follows:

$$233 \quad f(n) = \frac{\mu^n}{n!} e^{-\mu}. \quad (3)$$

234 The average rate is 0.98 times per 90 min ($\mu = 0.98$) when a 25° elevation mask angle is
235 assumed, and the probability of observing at least one event during the time period is
236 $1 - f(0) = 0.62$. The 25° elevation mask angle that is adopted in this evaluation is not too
237 small, as the pre-seismic breaks that were extracted by Heki and Enomoto [2015] included

238 breaks at very low elevation angles, such as 15° for the 2012 Mw 8.6 North Sumatra
239 Earthquake.

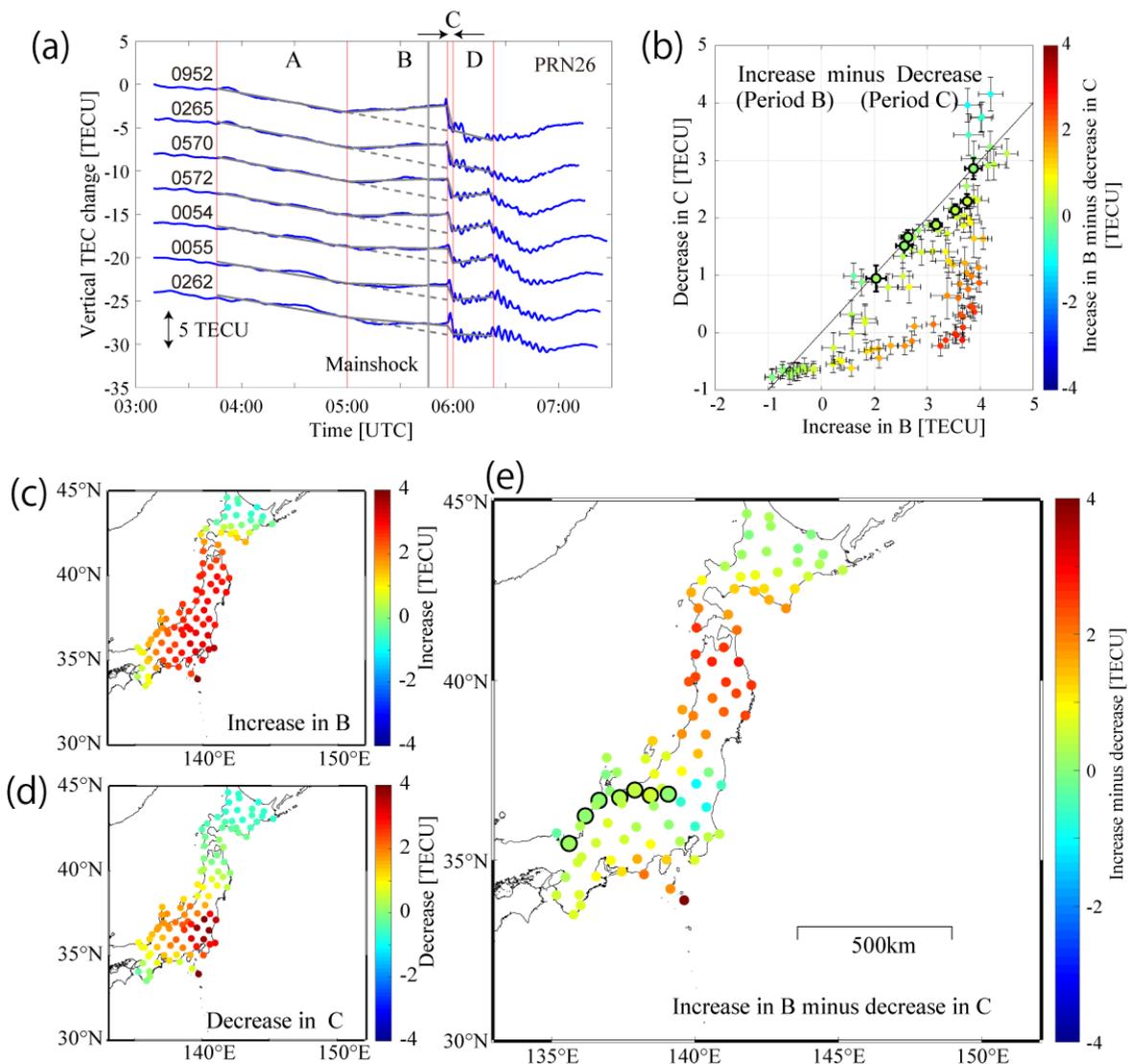
240 This 62% probability indicates that a pre-seismic positive TEC break is expected for 62 of
241 100 earthquakes. The reported significant pre-seismic positive TEC breaks for five of the
242 eight great earthquakes analyzed in Heki and Enomoto [2015] is reasonable from this
243 probabilistic viewpoint.

244

245 **4-2. Correspondence between the pre-seismic and post-seismic TEC changes**

246 We next test the correspondence between the pre-seismic and post-seismic TEC changes
247 reported by Heki and Enomoto [2013], where they proposed a temporal TEC variation
248 model, with the post-seismic drop representing a recovery from the pre-seismic increase
249 (as opposed to a net decrease). We follow their analysis by testing the correlation between
250 the pre-seismic increase and post-seismic decrease in the VTEC time series around the
251 source area. They modeled the VTEC time series from satellite PRN26 during the 3-h
252 period surrounding the mainshock, which consisted of four lines connected by three breaks
253 (Figure 4a; same as figure 3a in Heki and Enomoto [2013], but with the data analyzed
254 using our procedure). They assumed that period A represented the background steady
255 decrease in afternoon VTEC. Periods B and C correspond to the pre-seismic increase and
256 co-seismic decrease, respectively. They compared the integrated changes during B and C
257 relative to the trend during A, and found that the increase in B was comparable to the
258 decrease in C, which led them to report no net post-seismic VTEC decrease [Heki and
259 Enomoto, 2013]. However, their analysis only incorporated seven GNSS stations that were
260 approximately aligned. We extend the GNSS station coverage to test the spatial
261 distribution of the VTEC changes. Figure 4b shows the relationship between the two

262 quantities for the broad GNSS station distribution shown in the map. This result indicates
 263 that the coincidence between the increase and decrease is not universal across the region,
 264 but rather limited to the stations selected by Heki and Enomoto [2013]. The spatial
 265 distributions of the increase and the decrease during periods B and C, respectively, exhibit
 266 notably different patterns (Figures 4c and 4d).



267
 268 Figure 4. (a) VTEC time series from satellite PRN26 at seven GPS stations with various
 269 focal distances from the Tohoku-Oki Earthquake epicenter. The ~3-h period surrounding
 270 the mainshock (marked by the vertical gray line), spanning from 03:45 UTC (2 h before

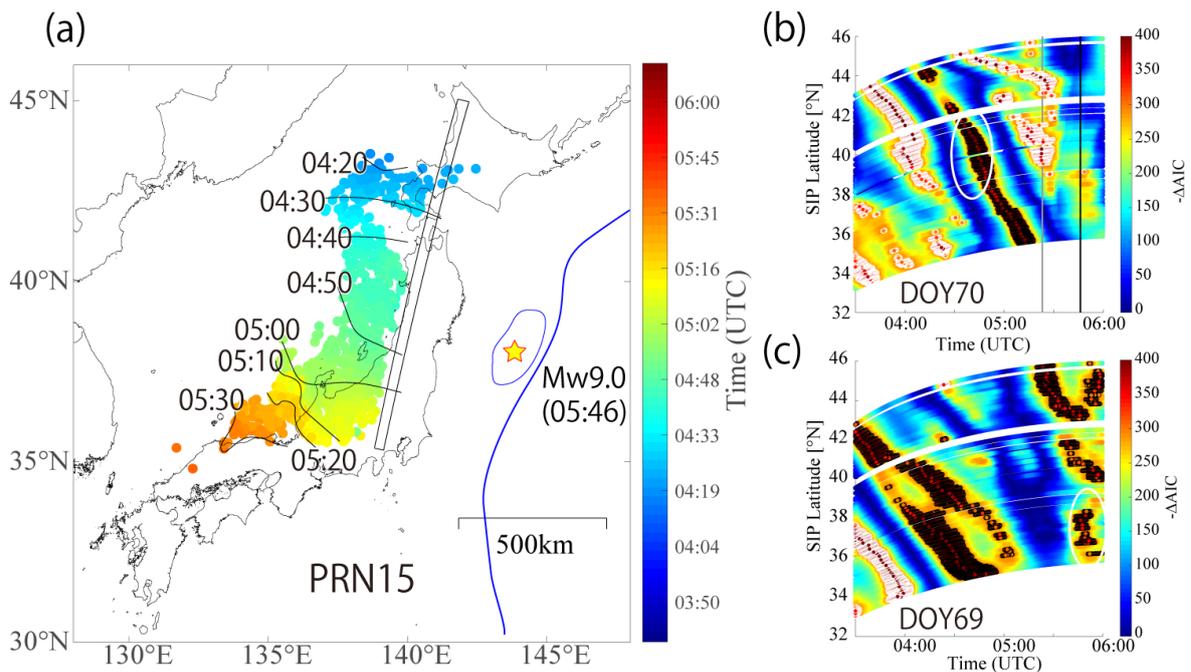
271 the earthquake) to 06:25 UTC (~40 min after the earthquake), is divided into four segments
272 (marked by the vertical red lines), which represent the (A) normal background, (B)
273 precursory enhancement, (C) co-seismic drop, and (D) post-seismic periods, and a linear fit
274 to each segment is determined (gray line segments). This figure is the same as figure 3a in
275 Heki and Enomoto [2013], with the exception of the estimated VTEC time series used here.
276 (b) Difference between the period B increase and period C decrease. The stations that were
277 used by Heki and Enomoto [2013] are indicated by circles with thick lines. The error bars
278 denote 1σ uncertainties. The observed difference is shown by the marker color. This figure
279 is the same as figure 3c in Heki and Enomoto [2013], with the exception of the additional
280 stations used in the analysis. (c) Spatial distribution of the degree of pre-seismic increase
281 during period B at the stations. (d) Spatial distribution of the degree of post-seismic
282 decrease during period C at the stations. (e) Spatial distribution of the difference between
283 the period B increase and period C decrease. The stations that were used by Heki and
284 Enomoto [2013] are indicated by the larger circles with thick lines.

285

286 **4-3. Propagation of the pre-seismic enhancement**

287 Heki and Enomoto [2013] has already pointed out that a LSTID, which traveled at ~0.3
288 km/s from north to south and arrived at the source area ~1 h before the mainshock, can
289 provide one potential explanation for the TEC enhancement before the Tohoku-Oki
290 Earthquake. However, Heki and Enomoto [2015] showed that the appearance of the breaks
291 within the latitude range of the ruptured fault area is simultaneous, and then suggested that
292 the signatures of the breaks differ from that due to space weather. Figure 5a shows the
293 arrival time distribution of the TEC breaks for satellite PRN15. The break is represented by
294 the $-\Delta AIC$ peak, which propagates from north to south, with a temporary acceleration seen

295 around 04:50 UTC above the source region of the Tohoku-Oki Earthquake. This
 296 acceleration corresponds to the reported simultaneous enhancement. However, these
 297 accelerations/decelerations often occur during LSTID propagation, such that the LSTID
 298 propagation is not necessarily constant in velocity and direction. Figures 5b and 5c, and
 299 Movie S1 show the $-\Delta AIC$ propagation on the day of the Tohoku-Oki Earthquake
 300 (DOY70) and the previous day (DOY69). The positive/negative breaks change the
 301 propagation velocity, and frequently appear and disappear during the LSTID propagation,
 302 as their nature. For example, positive breaks appear simultaneously even on DOY69 (from
 303 35 to 37°N around 05:40 UTC).
 304



305
 306 Figure 5. (a) Arrival time of the TEC break in the ± 30 -min window about the mainshock
 307 (05:46 UTC). The circles show the SIPs for satellite PRN15 at the time of the peak $-\Delta AIC$
 308 value, whose slope is larger than the threshold (3.0 TECU/h and 75%), which represents
 309 the positive TEC break. The contours show the arrival time in 10-min intervals. The thick
 310 blue line marks the Japan Trench, and the star shows the epicenter of the 2011 Tohoku-Oki

311 Earthquake. The area enclosed by the thin blue line is the large-slip area (>10 m) that was
312 determined by Ikuta et al. [2012]. The rectangle extending from 35 to 45°N shows the area
313 of the selected stations that was used to depict the $-\Delta\text{AIC}$ propagation in Figures 5b and 5c.
314 (b) $-\Delta\text{AIC}$ propagation among the selected stations for satellite PRN15 before the
315 Tohoku-Oki Earthquake (05:46 UTC on DOY70). The circles with black and white edges
316 indicate the positive and negative breaks, respectively, for $-\Delta\text{AIC}$ values larger than 300.
317 The vertical black line marks the time of the mainshock. The vertical gray line denotes
318 05:23 UTC, which corresponds the start of the 30-min window, which includes the
319 co-seismic disturbance (CID) starting at 05:53 UTC. The white ellipse around 04:40 UTC
320 shows the acceleration of the LSTID propagation (a positive break), which Heki and
321 Enomoto [2015] highlighted as a simultaneous appearance. (c) Same as Figure 5b, but for
322 the previous day (DOY69). The white ellipse around 05:40 UTC shows the acceleration of
323 the LSTID propagation (a positive break).

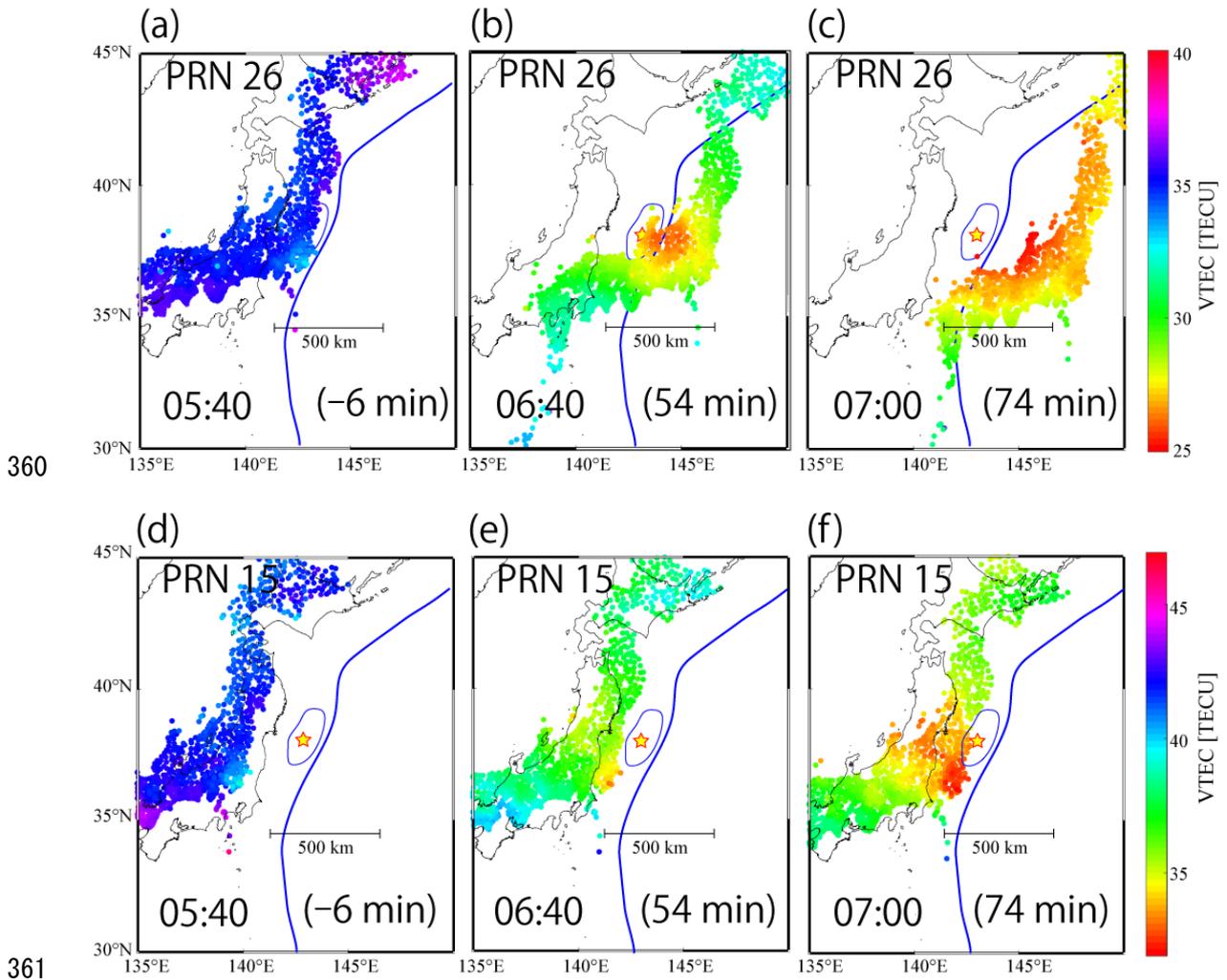
324

325 **4-4. Spatiotemporal distribution of post-seismic VTEC depletion**

326 A large post-seismic TEC depletion was observed around the source region at the time of
327 the Tohoku-Oki Earthquake, as reported from observations (e.g., Saito et al., 2011;
328 Kakinami et al., 2012) and numerical models [Shinagawa et al., 2013]. Here we analyze
329 this post-seismic depletion from a spatiotemporal perspective.

330 We correct the inter-trace biases (ITBs) due to the ambiguity of the code pseudo range, as
331 mentioned in the Appendix, to observe the faint spatial variations in the VTEC time series.
332 Figure 6 shows the spatial distribution of the corrected VTECs for satellite PRN26, whose
333 SIPs pass through the large-slip area around the time of the mainshock. A round-shaped
334 hole is seen around the epicenter, even 54 min after the earthquake (Figures 6b and 6c).

335 The TEC values at the center of the depletion area are ~5 TECU less than those of the
336 surrounding area. The diameter of the hole seems to expand with time (Figures 6b and 6c,
337 and Figures 6e and 6f). Movie S2 shows the pre- and post-seismic TEC variations at a 30-s
338 sampling interval. The movie indicates that the first significant co-seismic disturbance
339 (CID) appears above the source area at 05:55 UTC for satellite PRN26, which is ~9 min
340 after the mainshock. This CID propagation has been reported by many papers (e.g.
341 Tsugawa et al. 2011; Astafeyva et al. 2011; Kakinami et al. 2012). At least four positive
342 peaks, each with a different velocity, propagate from the source region to the southwest
343 and to the north, with the amplitude of the first wave being especially large. A hole that is
344 centered at the radiant point of the CID emerges at around 06:05 UTC, after these peaks
345 propagated across the area. Four other satellites also show a post-seismic hole, even though
346 its outline is not as sharp as that observed with satellite PRN26 (Movie S3). Movie S3
347 shows that post-seismic VTEC depletion is observed, even 120 min after the mainshock,
348 and extends at least 500 km from the high-slip area for all of the satellites in view (PRN9,
349 15, 12, and 27). The spatial extent of the depletion area is not necessarily isotropic, but
350 rather elongate in the northwest direction from the radiant point, which may reflect the
351 alignment of the lifted area along the trench. He and Heki [2017] studied the pre-seismic
352 TEC enhancement before M 7–8 earthquakes using the reference curves, and claimed that
353 these depletions should be limited spatially above the focal area, even if post-seismic holes
354 exist, such that excluding the approximately ± 30 -min window around the earthquake is
355 enough to avoid these effects since the IPP passes through the area within this period.
356 However, they must have considered the spatial extent of the post-seismic depletion more
357 carefully when adopting the window of data to exclude, since the spatial extent of the
358 depletion area is much larger than the focal area in the case of the Tohoku-Oki Earthquake.



362 Figure 6. Absolute VTEC distribution. Satellite PRN26 at (a) 05:40, (b) 06:40, and (c)
 363 07:00 UTC, and satellite PRN15 at (d) 05:40, (e) 06:40, and (f) 07:00 UTC on March 11,
 364 2011. The dots are color-coded to show the absolute VTEC value for each IPP location at
 365 300 km height. The thick blue line shows the Japan Trench, and the red star shows the
 366 epicenter of the 2011 Tohoku-Oki Earthquake. The area enclosed by the thin blue line is
 367 the large-slip area (>10 m) that was determined by Ikuta et al. [2012]. The times in
 368 parentheses indicate the lapse times relative to the mainshock.

370 **5. Conclusion**

371 We statistically evaluated the occurrence rate of the positive TEC breaks proposed by
372 Heki and Enomoto [2015] using the same procedure and threshold as in their study. Our
373 averaged occurrence rate of TEC enhancement is much larger than that reported by Heki
374 and Enomoto [2015] since we used all of the visible GPS satellites at GNSS station 3009.
375 We detected 198 positive breaks within the 305-h time period using a 25° elevation mask
376 angle. There was a 62% probability of at least one positive break occurring within a given
377 90-min period. Therefore, we cannot rule out the possibility that the pre-seismic VTEC
378 changes, detected using the same procedure and threshold within 90 min before the 2011
379 Tohoku-Oki Earthquake and the other four great earthquakes, are just a product of chance,
380 as the space weather-related LSTID and instability of the observed VTEC are potential
381 candidates for these pre-seismic VTEC changes. Post-seismic VTEC depletion lasted at
382 least 2 h and extended more than 500 km from the epicenter of the 2011 Tohoku-Oki
383 Earthquake. This suggests that we must consider the spatial extent of post-seismic TEC
384 depletion carefully when we adopt a reference curve that excludes a time window to
385 estimate VTEC enhancement.

386

387 **Appendix**

388 **Inter-trace bias (ITB) correction**

389 The TEC traces still show biases of up to a few TECU, even between adjacent stations,
390 after correcting the DCBs and IFBs for the satellites and stations, respectively. An example
391 of the VTEC distribution at a moment for PRN15 is shown in Figure A1a. A random
392 variation up to a few TECU is seen in the residual distribution after the local spatial
393 averages are subtracted from the VTEC. A pair of STEC traces with a common satellite
394 will show almost constant bias during a period when the satellite is continuously visible.

395 We recognize these biases as ITBs, which should arise from uncertainties in the code
 396 pseudo range. The pseudo range has large variances up to a few TECU, as well as a drift
 397 bias that cannot be fit very well by the L4 shift (as described in section 2-1), even though
 398 the pseudo range is free of integer ambiguity. We therefore need to correct the ITB to study
 399 the faint spatial variation in TEC. We estimate the ITB based on the spatial average of the
 400 VTEC every hour. We define $VTEC_{ave\ ij}$ for the i -th station and the j -th satellite by the
 401 weighted average of the measured VTEC as follows:

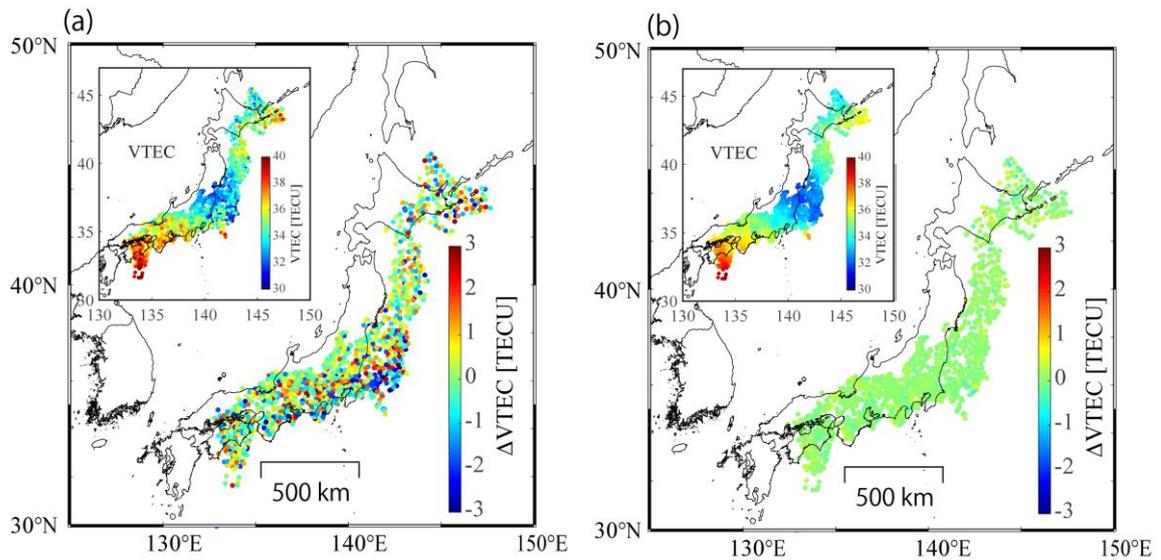
$$402 \quad VTEC_{pre\ ij}(t) = \frac{\sum_{m \neq i} VTEC_{mj}(t) \exp(-\frac{r_{mi}}{D})}{\sum_{m \neq i} \exp(-\frac{r_{mi}}{D})}, \quad (3)$$

403 where r is the horizontal distance from the SIP to the grid point at location (x, y) and D
 404 is the decay distance, which is set to 20 km. The summation is done for the stations within
 405 60 km of the i -th station. One ITB is estimated for the trace of each satellite–station pair as
 406 the residual between the observed and predicted VTEC:

$$407 \quad ITB_{ij} = \frac{1}{l} \sum_{n=1}^l \frac{\{VTEC_{ij}(t_n) - VTEC_{pre\ ij}(t_n)\}}{\cos\psi_{ij}(t_n)}, \quad (4)$$

408 where l is the number of hours in the trace. To deduce the $VTEC_{pre\ ij}$, we select the
 409 stations that possess a residual of less than 3 TECU from $VTEC_{pre\ mj}$ for a robust
 410 estimation of $VTEC_{pre\ ij}$. We excluded 06:00 UTC during the ITB estimation to avoid the
 411 affect of the CID, which starts around 05:55. Each trace generally continues for 1–5 h. We
 412 finally obtain the corrected VTEC time series by subtracting $ITB_{ij} \cos\psi_{ij}(t)$ from the
 413 initial VTEC time series.

414



415

416 Figure A1. VTEC distribution before and after the correction with PRN15 satellite at
 417 7:20UT (1h34m after the main shock). (a) VTEC residual from the local spatial average
 418 before the correction. The dots are color-coded to show the VTEC residual value for each
 419 IPP location at 300 km height. Imposed panel show the absolute VTEC. (b) Same with
 420 Figure A1a but after the correction. The color scale for the main and the imposed panels
 421 are common with that in Figure A1a.

422

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429

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