

# **First Detection of Extremely Enhanced Solar Wind Helium-3 Originated from the Lunar**

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## **Key Points:**

- The first observations of  ${}^3\text{He}^{2+}$  density extremely enhanced to thousand times of average solar wind by SWID/Chang'E-2 is reported.
- Neutrals ionization and surface charging caused by energetic electrons induce  ${}^3\text{He}$  losses from the lunar exosphere and may form ion voids.
- The loss of the exosphere and the formation of ionosphere ion void will be recurrent for the frequent energetic electrons injection.

## Abstract

The first observations of  ${}^3\text{He}^{2+}$  density extremely enhanced to thousand times of average solar wind by SWID/Chang'E-2 is reported in this paper. The two events occurred near the lunar and the Lagrange L2 point respectively. The synchronized energetic electrons increasing can induce the lunar surface charging to a large negative voltage and produce the electron-impact double ionization of helium-3 in the exosphere.  ${}^3\text{He}^{2+}$  will converge to the lunar surface by the negative potentials, and fully pick up via non-resonance stochastic heating process by Alfvén wave of the solar wind. It indicates  ${}^3\text{He}^{2+}$  in the events can originate from the lunar and transport to L2. Besides SEP (solar energetic events), the increasing of energetic electrons occurs frequently in quiet time, so the loss of the lunar exosphere will be recurrent, and the ionosphere ion void may be formed.

## Plain Language Summary

Chang'E-2 is a lunar orbiter of launched in 2010. Solar wind ions are measured by SWIDs on board Chang'e-2. Helium-3 is one stable isotope of helium, which is expensive in earth because of its sparsity. The Solar wind Helium-3 from the sun inject and store in the lunar regolith. So there is abundant helium-3 in the lunar environment. It's the first time we found the abnormal increase to thousands times of helium-3 ions in the solar wind when the Chang'E-2 is near the lunar and at the downstream of the lunar. Due to the scarcity of helium-3 on earth, it can be considered that the sun or the moon is its source. In the past, the observations of helium-3 enhancement in the solar wind originated from the sun have been reported. The enhancement can only reach 100 times. Our observations and researches show that the abnormal increasing of helium-3 originated from the moon rather than the sun, and can be increased to thousands times. These ions can move downstream far from the lunar. It will cause the ion void of the lunar ionosphere and the escape of lunar material.

## 1 Introduction

The photoionization, surface adsorption, and thermal escape are the primary loss mechanisms of the atomic species from the lunar exosphere (Stern, 1999; Killen and Ip, 1999; Wurz et al. 2007). These loss processes are a relatively long and slow process. The rapid loss process and mechanism of the exosphere have not been reported. The moon has a tenuous exosphere and no intrinsic magnetic field. Helium is one of the main components in the lunar exosphere with surface densities of  $2\sim 7\times 10^4/\text{cm}^3$  (Killen and Ip, 1999; Hodges, 1973; Stern et al., 2012; Tirtha et al., 2017). Besides photoionization is regarded as the dominant loss process to helium, the double ionization of helium by the electrons impact can occur once the energy of the injected electrons comes up to 90.2 eV (Shah, et al. 1988). Lunar surfaces exposed to the ambient plasma, charged energetic particles, and UV radiation will become charged and develop a sheath with an electric field normal to the surface. Energy electron injections can induce the lunar surface charging to large negative potentials during SEP or BEE (Halekas, et al. 2009; Wang, et al. 2012, 2016). Newborn ions can be fully pickup by the solar wind Alfvén waves via the non-resonance stochastic heating process (Yoon and Wu, 1991; Wang et al. 2009, 2011, Sun et al. 2014; Liu et al. 2014). In the non-resonance stochastic heating process, ions are picked up by Alfvén wave in several gyro-periods and are heated in the process. The acceleration of low charge-to-mass will be more efficient. The ion velocity distribution becomes gradually isotropic when it catches up with Alfvén speed by stochastic heating. This means that ions in lunar space outside the sheath could be fully pickup by the solar wind in the presence of Alfvén waves. It has

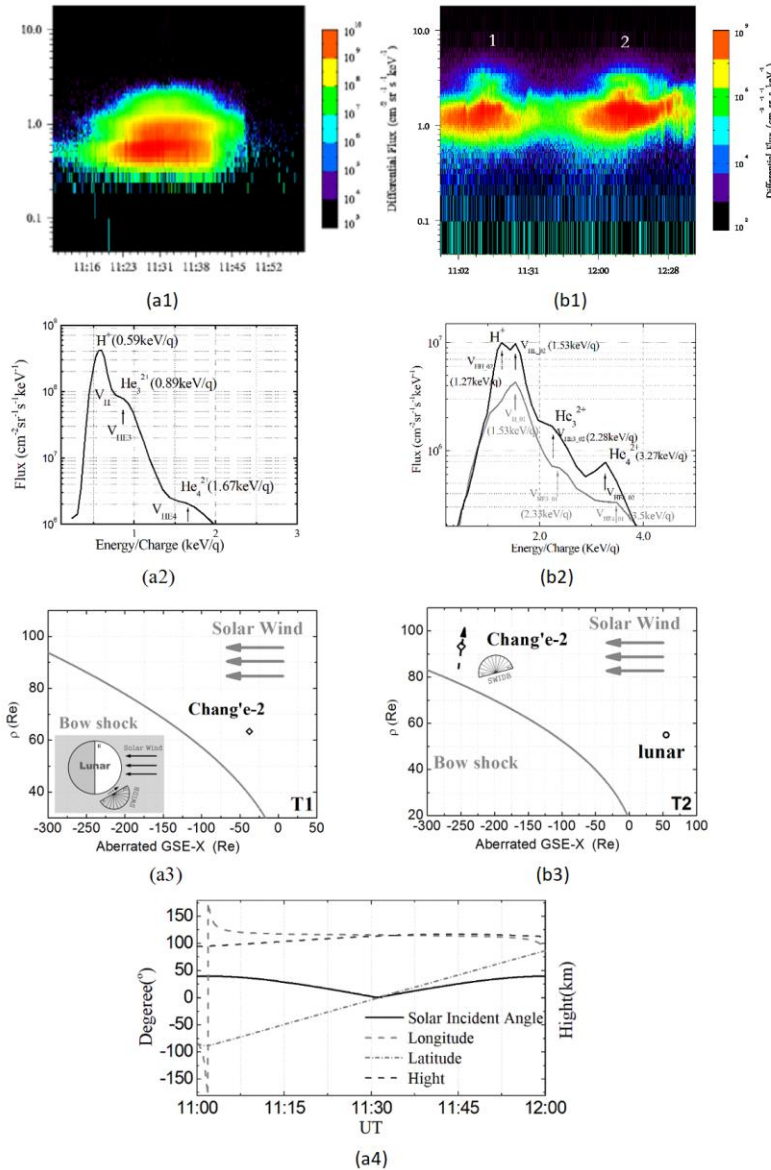
61 been previously observed that the ions picked up by the solar wind from the moon can form a  
 62 partial or incomplete shell, and the phenomenon of fully pickup ions has not been observed.

63 Launched on Oct.1, 2010, Chang'E-2, as the second lunar orbiter of China, successfully  
 64 entered a 100km altitude circular lunar orbit after a cislunar transfer trajectory and lunar capture  
 65 phase. Then during a half-year flight in lunar orbit, Chang'E-2 completed the transfer to the Sun-  
 66 Earth Lagrange L2 point and entered into the L2 point Lissajous orbit in August 2011 (Huang et  
 67 al., 2012; Liu et al., 2014). On Chang'E-2, SWIDs and HPD are the backups of the instruments  
 68 on Chang'E-1 (Wang et al. 2012; Kong et al.2011). SWIDs are designed to observe the  
 69 0.05~20keV/q solar wind ions, the energy sweep step is 48 with the resolution of 8.5%  
 70 (FWHM). HPD is designed to observe the energetic charged particles respectively. SWID  
 71 utilizes a typical top-hat electrostatic analyzer (ESA) with a fan-shaped field-of-view (FOV) of  
 72 about  $180^\circ \times 6.7^\circ$ . The ESA is separated into 12 apertures defined as C1 to C12. The HPD is  
 73 outfitted with a sensor head composed of three silicon semiconductors. Before the flight, SWIDs  
 74 were calibrated by the ion beam facility at IRAP (former CESR of Toulouse in France, Institut  
 75 de Recherche en Astrophysique et Planétologie). HPD was calibrated on a tandem accelerator at  
 76 CIAE (China Institute of Atomic Energy) and cyclotron at IMP (Institute of Modern Physics,  
 77 Chinese Academy of Sciences).

78 On Oct.17, 2010, in the lunar orbit and on Sep.27, 2011, at the L2 point, solar wind  ${}^3\text{He}^{2+}$   
 79 extreme enhancement and the 0.1~2MeV electrons increasing were almost synchronized  
 80 observed by Chang'E-2. In this paper, we analyze the phenomenon and find that the most  
 81 feasible origins of the extremely enhanced  ${}^3\text{He}^{2+}$  lie in the charged particles inducing surface  
 82 charging and the ionization of the neutrals. Ions can concentrate on the surface by the sheath  
 83 electric field and fully pickup by the Alfvén wave of the solar wind. This may lead to the rapid  
 84 loss of helium in the lunar exosphere and the formation of ion void.

## 85 2 Observations

86 Distinct  ${}^3\text{He}^{2+}$  fluxes peaks of solar wind ions observed by C12 of SWIDB on Oct.17, 2010,  
 87 UT 11:00-12:00 (T1) and Sep.27, 2011, UT 10:45-12:40 (T2) are shown in Fig.1. And the other  
 88 phenomenon as 0.1 ~ 2MeV electrons increase and solar wind  ${}^4\text{He}^{2+}$  acceleration were also  
 89 observed in Fig.2-3. During T1, Chang'E-2 was in a polar orbit with an altitude of 90-120 km  
 90 and was located at (-50.9RE, 37.6RE, 5.4RE)GSE on the lunar dayside and near side. In T2,  
 91 Chang'E-2 moved to Lagrange-2 point, and the spacecraft traveled to (-243.2RE, 108.0RE,  
 92 7.0RE)GSE, downstream of the lunar. For the limited power source, the observation time  
 93 interval of SWIDs on Sep.27, 2011, just lasted for 2 hours and 37 minutes. The solar incident  
 94 angle between the symmetric axis of the measurement channel C12 and the Sun-Moon  
 95 connection line is about  $23^\circ$ , as shown in Fig.1 (b3). Based on the model of the Earth's distant  
 96 bow shock (Bennett et al. 1997), Chang'E-2 was in the solar wind on both T1 and T2. The  
 97 schematic diagram in Fig. 1(a3), (b3) demonstrates the plasma regimes and the observation  
 98 geometry of SWIDB in aberrated GSE coordinate. The longitude, latitude, and altitude of  
 99 Chang'E-2 in the selenocentric solar ecliptic (SSE) coordinate system of T1 are shown in Fig.1  
 100 (a4).



**Figure 1.** Observations of solar wind fluxes peaks spectrum of  $H^+$ ,  ${}^3He^{2+}$ ,  ${}^4He^{2+}$  at UT 2010-10-17 11:00-12:00 (T1, a1-a4) and UT 2011-9-27 10:55-12:40 (T2, b1-b3) by C12 of SWIDB. (a1, b1) the time-energy spectrogram of solar wind ions in T1 and T2. The vertical coordinate is Energy/Charge and the horizontal is universal time. (a2, b2) the energy-fluxes profiles of Solar wind ion in T1 and T2. The subscript 01 and 02 in (b1) identify the sequential number for the periodical precession of Chang'E-2. (a3, b3) the observation geometry of SWIDB and the location of the spacecraft during T1 and T2, (a4) the solar incident angle of C12, longitude, latitude, and altitude of the Chang'E-2 in selenocentric solar ecliptic (SSE) coordinate system in T1.

## 2.1 Extreme Enhancement of ${}^3He^{++}$ in the solar wind (EEH)

The obvious differential flux peaks of  $H^+$ ,  ${}^3He^{2+}$ , and  ${}^4He^{2+}$  are shown in Fig.1 (a2) and Fig.1 (b2) and are signified by arrows. There are one proton beam and two alpha beams of  ${}^3He^{2+}$  and  ${}^4He^{2+}$  in Fig.1 (a2) and the first period of Fig.1 (b2). But two proton beams and two alpha beams of  ${}^3He^{2+}$  and  ${}^4He^{2+}$  exist in the second period in Fig.1 (b2). The bulk speed of  $H^+$ ,  ${}^3He^{2+}$ , and  ${}^4He^{2+}$  beams are defined as  $V_H$ ,  $V_{HE3}$ , and  $V_{HE4}$  in Fig. 1(a2). In Fig. 1(b2),  $V_{H,01}$ ,  $V_{HE3,01}$ , and  $V_{HE4,01}$  flux peaks in the gray line of the first period indicate the bulk speed of  $H^+$ ,  ${}^3He^{2+}$ , and

${}^4\text{He}^{2+}$  beams. The  $\text{H}^+$  beams and two alpha beams of  ${}^3\text{He}^{2+}$  and  ${}^4\text{He}^{2+}$  are referred to as  $V_{\text{HL}_02}$ ,  $V_{\text{HH}_02}$ ,  $V_{\text{HE3}_02}$ , and  $V_{\text{HE4}_02}$  in the second period with the black line.

The penultimate particle peak is verified as  ${}^3\text{He}^{2+}$  because of the  $M/Q=1.5$  amu/e in Fig1. The 1-8 orbits  $\text{H}^+$  and  ${}^4\text{He}^{2+}$  observation result from 0:00-16:00 UT on 2010-10-17 around T1 (the 6<sup>th</sup> orbit) was shown in Fig 2. In Fig2(c), the peak of the last particle is verified as  ${}^4\text{He}^{2+}$  because the  $M/Q=2$  amu/e on 1-3<sup>th</sup> orbits.  ${}^4\text{He}^{2+}$  velocity is divided by  $(M_{\text{He4}}/Q_{\text{He4}})^{1/2} = (2)^{1/2}$  and  ${}^3\text{He}^{2+}$  velocity is divided by  $(M_{\text{He3}}/Q_{\text{He3}})^{1/2} = (1.5)^{1/2}$ . In Fig2. (b), the  ${}^4\text{He}^{2+}$  acceleration and moderated to the proton P1 speed is observed. In T1, the ratio of Energy/Charge between the first two peaks of  $\text{H}^+$ ,  ${}^3\text{He}^{2+}$  is factor 1:1.5 with the  $V_{\text{H}}$  and  $V_{\text{HE3}}$  of  $\sim 336\text{km/s}$ . The solar wind bulk speed by ACE/SWEPAM is  $\sim 331\text{km/s}$  as  $V_{\text{H}}$  in T1 through a time shift method of about 1h delay. Moreover, the  $V_{\text{HE4}}$  for  ${}^4\text{He}^{2+}$  of  $\sim 400\text{km/s}$  approximates 64 km/s higher than the  $V_{\text{H}}$  and  $V_{\text{HE3}}$ . In the two periods of T2, the FOV of C12 is derived from the solar wind center of about 23°. For the first period, the ratio of Energy/Charge between the proton peak and  ${}^3\text{He}^{2+}$  peak is factor 1.5:2 with the  $V_{\text{H}_01}$  and  $V_{\text{HE3}_01}$  of  $\sim 541\text{km/s}$ , while the  $V_{\text{HE4}_01}$  for  ${}^4\text{He}^{2+}$  of  $\sim 570\text{km/s}$  approximates 29km/s higher than the  $V_{\text{HE3}_01}$  and  $V_{\text{H}_01}$ . During this period, the average solar wind bulk speed is  $\sim 600\text{km/s}$  by ACE/SWEPAM through a time shift method of about 1.5h delay. In the second period, the Energy/Charge of the middle two peaks of high-speed  $\text{H}^+$  and  ${}^3\text{He}^{2+}$  is factor 1:1.5 with the  $V_{\text{HH}_02}$ , and  $V_{\text{HE3}_02}$  of  $\sim 540\text{km/s}$ , which exceeds the low-speed proton beam's velocity  $V_{\text{HL}_01}$  of  $\sim 493\text{km/s}$  by about 57 km/s. The  $V_{\text{HE4}_02}$  for  ${}^4\text{He}^{2+}$  of  $\sim 560\text{km/s}$  approximates 20 km/s higher than the  $V_{\text{HE3}_02}$  and  $V_{\text{HH}_02}$ . For this period, the average solar wind bulk speed is  $\sim 567\text{km/s}$  by ACE/SWEPAM.

We calculate the ratio of phase space density for  ${}^4\text{He}^{2+}/\text{H}$ ,  ${}^3\text{He}^{2+}/\text{H}$ , and  ${}^3\text{He}^{2+}/{}^4\text{He}^{2+}$  during these two events. The  ${}^4\text{He}^{2+}/\text{H}$  ratio is  $\sim 0.01$ . It is similar to the average solar wind value. The  ${}^3\text{He}^{2+}/\text{H}$  ratio is  $\sim 0.03$  and  ${}^3\text{He}^{2+}/{}^4\text{He}^{2+}$  ratio is  $\sim 3$ .  ${}^3\text{He}^{2+}/{}^4\text{He}^{2+}$  ratio equating to about 6000 times the average solar wind value of  $\sim 4.9 \times 10^{-4}$  (Ansgar et. al 2008; Robert et al.,2002; P. Bochsler et al.,1990; George et al. 1998). In T1, the minimum solar incident angle is about 0°, and the density of  ${}^3\text{He}^{2+}$  is  $\sim 0.01\text{cm}^{-3}$ . Thus, we call these performances as Extreme Enhancement of  ${}^3\text{He}^{2+}$  events (EEH).

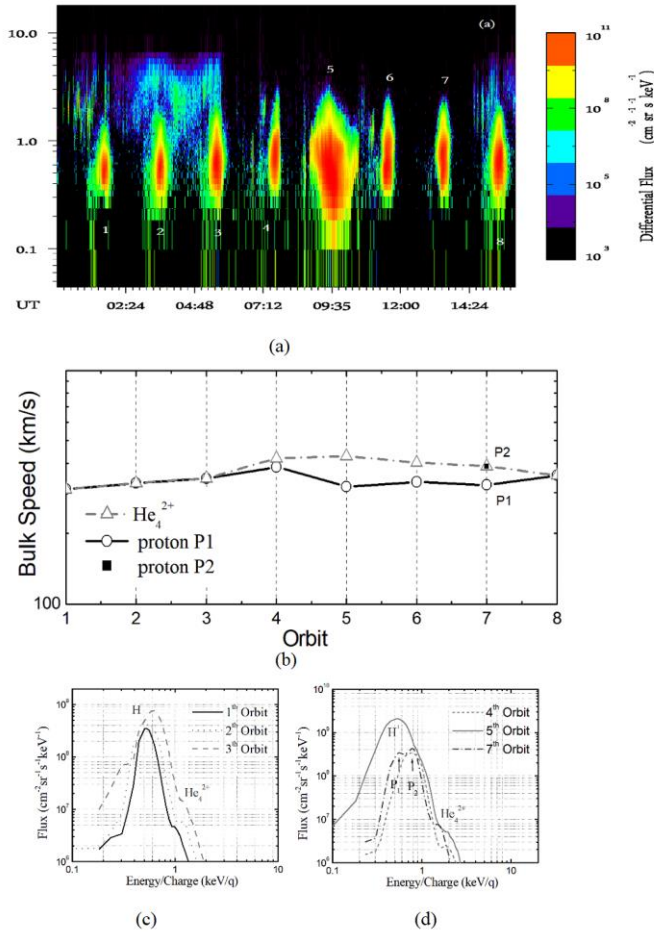
In T1 and T2, the thermal speed/solar wind speed is  $\sim 0.15$  and  $\sim 0.14$  separately. Despite the different solar wind conditions for the two EEH, the core distribution of the thermal is broad. And no obvious  ${}^4\text{He}^+$  observed in these events.

## 2.2 The turbulence of the space environment

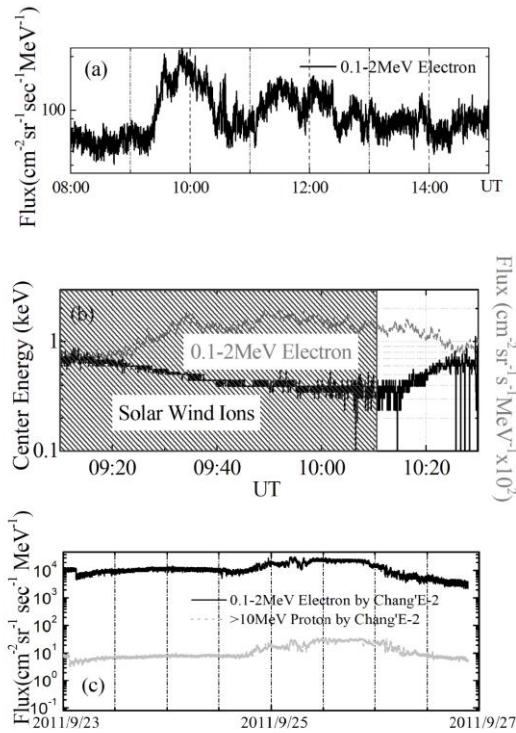
The weekly highlights of Space Weather Prediction Center (SWPC) reported that a transient-like feature was observed at ACE on October 17 between 0111 UTC and 1150 UTC as Bz went through an extended negative period. The peak negative values around -7nT when a possible source of the slow CME was observed on October 14. And a group of super-thermal ions from UT01:20 to 06:10 were observed simultaneously by Chang'E-2 at 1-3<sup>th</sup> orbit in Fig.2 (a). The bulk speed variations of the protons and  ${}^4\text{He}^{2+}$  are unveiled in Fig.2 (b).  ${}^4\text{He}^{2+}$  acceleration is commencing on the 4<sup>th</sup> orbit. The difference of bulk velocity between the proton beam and  ${}^4\text{He}^{2+}$  beam was 34-110km/s in 4-6 orbit. In Fig.2 (a), the acceleration of the ions occurred at 10:10 and lasted until the FOV of C12 was far from the solar wind center. The ions' central energy increased at least 0.4keV in 20 minutes. The EEH of T1 that occurred in the 6<sup>th</sup> orbit is mentioned in section 2.1. The energy-fluxes profiles of the protons and  ${}^4\text{He}^{2+}$  in 4, 5, 7<sup>th</sup> orbit can be seen from Fig.2(c). In the 5<sup>th</sup> orbit, the energy-fluxes profiles broadened in the polar direction, the total flux increased and the temperature does not change significantly. The double

proton beams for P1 and P2 arose in the 7<sup>th</sup> orbit. The bulk speed of P2 in sync with  $4\text{He}^{2+}$  and the velocity difference between the two proton beams is  $\sim 66\text{km/s}$ . In the 8<sup>th</sup> orbit, the solar wind proton beam reverted to a single peak with the coincidence of bulk speed of  $4\text{He}^{2+}$  and proton.

A SEP began on Sep. 23, 2011, was reported by SWPC and observed by HPD. In Fig3.(b), the flux peaks of  $>10\text{MeV}$  protons reached to  $40 (\text{cm}^2 \cdot \text{sr} \cdot \text{s} \cdot \text{MeV})^{-1}$ . For T2, an ICME without the magnetic cloud, starting from 20:00 on Sep.26 and ending at 15:00 on Sep.28, was publicized in the ICME tables list by Richardson and Cane (<http://www.srl.caltech.edu/ACE/ASC/DATA/level3/icmetable2.htm>). During T2, the obvious acceleration of ions was observed at 12:22~12:32 in Fig.1 (b1) and Fig.3 (d). The ions sustained acceleration until the FOV of the C12 far from the solar wind center, and the peak energy of the ions increased  $\sim 0.46\text{keV}$ .



**Figure 2.** The observation result of C12 measurement channel of SWID-B from 0:00-16:00 UT on 2010-10-17. 1-8 is the sequential number for Chang'E-2 orbiting the lunar and indicates the spacecraft orbits the lunar for 8 times. (a) Energy/Charge-Time spectrogram of C12. (b) The bulk speed of the solar wind  $4\text{He}^{2+}$  and protons in each of the orbits. The curve with circles, squares, and triangles are the bulk speed of protons and  $4\text{He}^{2+}$ . P1 and P2 show the velocity of double proton beams in the 7<sup>th</sup> orbit. (c) Energy/Charge-Fluxes profiles of Solar wind ions in 1, 2, 3<sup>rd</sup> orbit. (d) Energy/Charge-Fluxes profiles of Solar wind ions in 4, 5, 7<sup>th</sup> orbit.



**Figure 3.** 0.1-2.0MeV keV energetic electrons increase and the acceleration of solar wind ions observed by HPD/Chang'E-2. (a) The rising of the energetic electrons manifests a BEE event that occurred on 2010-10-17 9:17 and lasted for about 5 hours. (b) In the 5<sup>th</sup> orbit on 2010-10-17, the 0.1-2.0MeV electron fluxes peaked at 9:50 and subsequently increased at least 0.4keV of the ions' central energy. (c) The fluxes of energetic electrons increased greatly during SEP on 2011-9-23 16:00 ~2011-9-27 16:00. (d) The peak energy of the ions increased ~0.46keV on 2011-9-27 12:26~12:36.

### 2.3 Increasing of Energetic electrons and lunar surface charging

The rise of 0.1-2.0MeV energetic electrons was observed by HPD/Chang'E-2 during the two EEH in Fig3. In T1, the bursting of the energetic electrons indicated the occurrence of a BEE event (Wang et al. 2012, 2011). The energetic electrons during BEE are directional obviously. However, in T2, the fluxes of energetic electrons surged is due to the solar energetic events (SEP). The general magnitude of the ubiquitous background 0.1~2.0MeV electrons fluxes observed by HPD was  $\sim 10^1 (\text{cm}^2 \cdot \text{sr} \cdot \text{s} \cdot \text{MeV})^{-1}$ . On Oct.17, 2010, 9:17 UT, 0.1~2.0MeV energetic electrons injected impulsively in Fig.3 (a) and sustained high flux for about 5 hours with the flux peaks reaching the magnitude of  $\sim 10^2 (\text{cm}^2 \cdot \text{sr} \cdot \text{s} \cdot \text{MeV})^{-1}$ . For T2, the 0.1~2.0MeV energetic electrons injected sustained high flux with the peak fluxes reaching the magnitude of  $\sim 10^4 (\text{cm}^2 \cdot \text{sr} \cdot \text{s} \cdot \text{MeV})^{-1}$ .

The increasing of energetic electrons suggested the EEHs were subsequent with the lunar and spacecraft surface charging. Wang et al. (2012, 2016) demonstrated that BEE can induce circumlunar spacecraft and the lunar surface charging to negative kilovolts when the temporal integral of the energetic electrons fluxes exceeds  $10^{11} \text{ cm}^{-2}$ . And the charging process is sustained for the same interval as the BEE. For T1, we calculated the surface potentials of the lunar utilizing the simulation method by Wang et al. (2012, 2016). The calculation result shows that the temporal integral of the electrons fluxes can reach up to  $>10^{11} \text{ cm}^{-2}$  and the lunar surface charging voltage can reach up to more than  $-0.75\text{kV}$ . The result is consistent with the magnitude



of at least 0.4keV increase of solar wind ions energy as mentioned in section 2.2. For the lunar surface potentials is much more negative than  $kT_e/e$ , an ion matrix or transient sheath forms around the surface and expands to the solar wind. The total sheath thickness ( $S_C$ ) can be obtained from the quasistatic Child–Langmuir law

$$S_C = \frac{\sqrt{2}}{3} \left( \frac{2eU_0}{kT_e} \right)^{3/4} \lambda_D.$$

In the above expressions,  $\lambda_D$  is the Debye length,  $T_e$  is the electron temperature,  $U_0$  is negative surface bias. According to the above formula, sheath thickness  $S_C$  is at least 30 meters.

For T2, SEP occurred with the fluxes of 0.1~2MeV electrons reaching to  $>10^3 \text{ (cm}^2 \cdot \text{sr} \cdot \text{s} \cdot \text{MeV})^{-1}$  and sustained for more than 4 days from Sep.23, 2011, to Sep.27, 2011. Halekas et al. (2009) proposed that the spacecraft and lunar surface potentials can reach negative kilovolts values during SEP. This indicates that in T2, the lunar surface charging potentials can reach up to a large negative voltage. It was confirmed by the 0.46keV increment of ion energy in section 2.2. So the lunar surface potentials amplitude of variations can reach up to -0.46kV.

### 3 Origin, ionization, and pickup of ${}^3\text{He}^{2+}$ in EEHs

#### 3.1 The origin of ${}^3\text{He}^{2+}$ enhancements

The abnormal increase of helium-3 in the two EEHs can originate from the sun or the moon. In these events,  ${}^3\text{He}^{2+}/{}^4\text{He}^{2+}$  ratios elevated by a factor of 6000 exceedingly surpass those in early reports of keV  ${}^3\text{He}^{2+}$  enhancement events (EHs) in the solar wind. In EHs, the factors are 4~100 than those in the average solar wind (Ho, 1998; Gloeckler, 1999; Wittenberg, 2000). The EHs are associated with CME passage and  ${}^3\text{He}^{2+}$  stems from the solar. Most of the EHs were within or trailing the magnetic clouds. An overabundant  ${}^4\text{He}^+$  was observed in these EHs. It implied that because of the expansion and cooling of the CME transit from the Sun, the temperature in EHs is much lower than ( $<10^5 \text{ K}$ ) the typical million-degree freeze observed in the normal solar wind. However, an ICME without magnetic cloud was reported during T2 and none of the ICME occurred during T1. Unlike EHs, none of the noticeable  ${}^4\text{He}^+$  was observed in EEHs. The temperature is of  $\sim 2 \times 10^5 \text{ K}$  in T1 and  $\sim 3 \times 10^5 \text{ K}$  in T2 confirmed that the core distributions of the thermal plasma are not as narrow as in CME. Extra high fluxes of  ${}^3\text{He}^{2+}$  ions, the absence of  ${}^4\text{He}^+$ , and high kinetic temperature suggest the different origins of solar wind  ${}^3\text{He}^{2+}$  enhancements between the EHs and EEHs.

In addition to the origin of the sun, there are two mechanisms of the lunar origins. The first is from the helium ionization of the lunar exosphere. Since the majority of the lunar exosphere Helium is of the solar wind origin, it is known to scale with the solar wind alpha particle flux (Hurley et al., 2016). The diurnal variation of Helium is at around  $\sim 2 \times 10^3/\text{cm}^2$  to  $\sim 4 \times 10^4/\text{cm}^2$  (Hodges, 1975). CHACE/MIP /Chandrayaan-1 evidenced that the upper limit of neutral helium density can reach  $8 \times 10^2/\text{cm}^2$  in the sunlit when the Helium abundance in the Moon had hit one of its lowest values (Tirtha et al., 2017). Because of the observations of Helium rich solar wind in two EEHs, we assume that the concentration of helium in the exosphere can reach the magnitude of  $10^2 \sim 10^4/\text{cm}^2$ . The double ionization of helium-3 can occur once the energy of the injected electrons  $>90.2\text{eV}$ . The cross section is  $\sim 10^{-20} \text{ cm}^2$  for the helium double ionized by  $>1\text{keV}$  electrons (Shah et al. 1998). The trend of surface charge to negative potentials is consistent with that of energetic electron increasing. Combined with the average  ${}^3\text{He}/{}^4\text{He}$  ratio, the total amount of double ionized  ${}^3\text{He}^{2+}$  in the sheath with a thickness of 30 meters and an area of  $1 \text{ cm}^2$  is around 0.0002~0.02. The produced ions will converge to the lunar surface in the negative sheath



electric field. Since the lunar regolith is an electrical insulator, deposited charged particles can remain in the lunar surface regolith for prolonged intervals. When the lunar surface potential is reversed, the ions deposited on the surface will be modulated by the solar wind electromagnetic field and pick up by the solar wind.

There is another possible loss mechanism by energetic particles penetrate the lunar regolith cause deep dielectric charging and breakdown (Jordan et al. 2014, 2019). Campins and Krider's experiments suggest that dielectric breakdown can melt and boil off material. The breakdown can occur when the difference in fluxes between protons and electrons is at least  $\sim 10^{10} \text{ cm}^{-2}$ . It can be derived that the helium-3 released from the area of  $1 \text{ cm}^2$  is about several to hundreds of particles in the two EEHs. According to the collision cross section, the ionized helium-3 is much lower than the observations in the EEHs. Therefore, the deep dielectric charging and breakdown in lunar regolith is not enough to comprehend the abnormal increase of helium-3 in the solar wind. These results indicate that the primary source of abnormal helium 3 in this paper should be the ionization of the exosphere.

### 3.2 ${}^3\text{He}^{2+}$ ions fully pickup by the solar wind

The double proton beams and the acceleration of the solar wind helium ions mentioned in sections 2.1-2.2 suggested the existence of Alfvén wave during T1 and T2. For T1, The IMF  $|B|$  value for the orbit 4-7<sup>th</sup> in Fig.2 is 5-10nT from ACE by the time-shift method and the solar wind density is  $3.0 \text{ cm}^{-3}$  by Chang'E-2. Accordingly, the local Alfvén velocity was calculated as 63~126km/s. It is almost consistent with the variation of velocity difference between the  ${}^4\text{He}^{2+}$  and proton P1 in Fig.2 (b). For the orbit 2-3<sup>th</sup>, the difference between the center speed of the super-thermal ions and the bulk speed of the proton in Fig.2 (a) was  $\sim 120 \text{ km/s}$ . In the 7<sup>th</sup> orbit, the high-speed proton beam P2 appeared with the same speed as  ${}^4\text{He}^{2+}$ . Then in the 8<sup>th</sup> orbit, the single proton beam recovered with the same velocity as  ${}^4\text{He}^{2+}$ . It suggests that the Alfvén wave has existed in the solar wind for more than 3 hours before T1. Although the resonance conditions were not satisfied because the initial bulk speed of  ${}^3\text{He}^{2+}$  is of  $\sim 0$ ,  ${}^3\text{He}^{2+}$  ions can be picked up by the solar wind via non-resonance interaction and sustaining stochastic heating due to the existence of the Alfvén wave. It is generally known that solar wind Alfvén waves originate from the sun. Solar wind proton and helium-4 were accelerated by Alfvén wave except for helium-3 ions, which suggests that helium-3 is not originating from the solar.

For T2, the spacecraft was located in the solar wind downstream distant to the lunar. Although the FOV has deviated from the solar wind center, the  ${}^3\text{He}^{2+}$  and  ${}^4\text{He}^{2+}$  beams of  $\sim 57 \text{ km/s}$  and  $\sim 25 \text{ km/s}$  higher than the low-speed proton beam's velocity were observed in the two periods respectively. It is indicating the existence of Alfvén wave and consistent with the non-resonance stochastic heating process, where the velocity of the solar wind pickup ions will increase by an Alfvén speed after enough time.

## 4 Discussion and conclusions

Two extreme enhancements of  ${}^3\text{He}^{2+}$  by thousands of times average solar wind value and the 0.1~2MeV electrons bursting are observed by SWIDs and HPD when Chang'E-2 orbits around the moon and at L2 point in the solar wind. The two EEHs occurred when the spacecraft was in the solar wind around the lunar and the lunar downstream respectively. The difference from EHs indicates the helium-3 in EEHs is not originated from the sun. Both the lunar

exosphere and lunar regolith are rich in helium, the lunar should be the potential candidate to be the provenance. The dielectric breakdown caused by energetic particles penetrates the lunar regolith can release outgases to the exosphere. Double ionization of helium-3 will occur by the  $>90.2\text{eV}$  energetic electrons injection. Energetic electrons increasing can induce the lunar surface charging to negative kilovolts in the sheath of  $>30$  meters. The ions in the sheath will converge to the surface by the electric field. When the lunar surface potential is reversed, the  ${}^3\text{He}^{2+}$  ions distributed on the lunar surface will be pickup by the turbulent solar wind alternatively. The speed difference of the solar wind proton and helium beams in both EEHs identified the existence of Alfvén wave. Helium-3 deriving from the lunar can be fully picked up by the solar wind in the non-resonance stochastic heating process and traveling downstream to L2 point. In the two events, the calculation shows that helium-3 produced by dielectric breakdown is much lower than in EEHs. The maximum surface density for  ${}^3\text{He}^{2+}$  produced in the exosphere can reach  $0.02\text{ cm}^{-2}$ . So the primary lunar source of solar wind abnormal helium-3 in the two EEHs should be the lunar exosphere.

In this paper, we find only two EEHs, which is much lower than the probability of BEE. Firstly, the SWIDs were unable to distinguish M/Q in the isotropic plasma; secondly, the different processes of ions with diverse mass numbers or charges, such as the acceleration by the electric field, and the non-resonance stochastic heating process, leading to their fractionation. And for the lack of magnetic field data, it's difficult to deduce the exact local positions of the Helium-3 deriving from the lunar or to confirm the concrete pickup process. The results indicate the occurrence of ions void in the lunar exosphere. Since the frequent occurrence of BEEs can lead the surface charging to large negative potentials and the neutrals ionizing, the phenomenon of ion void in the exosphere will be recurrent. It will cause the instability of the lunar ionosphere and the loss of lunar material. Moreover, because the lunar surface potentials can be charged to large negative potentials and last a long time during SEP, the speculation can be made that the neutrals ionizing and loss in the lunar exosphere could arise frequently in the high-level solar activity period.

Future more, the detection of the electromagnetic field, neutrals, and charged particles on the following Chang'E series could offer us the chance to evidence the effects of energetic electron injection in the lunar space environment and verify the lunar Ionospheric disturbances.

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