

# **Saturated Water Storage in Shallow Perched Aquifer With Evapotranspiration From the Phreatic Surface and Unsaturated Lacunae: the Saint-Venant Theory Revisited**

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## *Technical Note*

Short title for running head: Perched Aquifer With Evapotranspiration

Submitted to Water Resources Research (Wiley)

on June 29, 2020 as manuscript ...

first set of referees comments received on ..., 2020;

first revised version submitted: on ...;

second set of referees comments received on ...;

second revised version submitted: on ... ;

accepted:..... rejected: .....

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**Abstract.** Two models are compared for Darcian flows in a vadose zone and shallow unconfined aquifer with an intensive evapotranspiration, common for hyperarid climates. An analytical 2-D Dupuit-Forchheimer approximation, in which the vadose zone is considered as a “distributed sink” (similar to a standard “distributed source” which models recharge to the water table in humid climates), is collated with HYDRUS3D. In a planar domain, a vertically-averaged flow from a constant piezometric head contour (seepage from ditches or trenches) is studied and integral criteria (the volume of the saturated zone and the area of unsaturated lacuna) are either evaluated or estimated by the Pòlya and Szegő isoperimetric inequalities. Mixed BVPs for Richards’ equation in cylindrical domains are numerically solved and give the pressure head, moisture content, and velocity fields, streamlines and criteria. Implications for urban hydrology and ecohydrology of water logged drylands are discussed.

**Keywords.** Dupuit-Forchheimer model for unconfined aquifer; evaporation from a phreatic surface; HYDRUS-3D simulations; conformal radius and Poincaré metric; isoperimetric inequalities

## 1. Introduction

Shallow perched aquifers subtended and sustained by caliche (petrocalcic horizons) or other low-permeable (e.g. gypsic) layers are common for arid and semi-arid regions, where evapotranspiration and human abstraction from dug wells are important components of hydrologic balances, with applications to ecohydrology, MAR, and rural water supply (see e.g. Hamutoko et al., 2019, Niswonger and Fogg, 2008, Villeneuve et al., 2015). Groundwater and soil moisture motion in these aquifers and thin vadose zone above the water table is modeled both numerically and analytically.

Dirichlet and mixed boundary value problems (hereafter, BVPs) to the Poisson PDE are solved for phreatic, vertically (Z-coordinate in Fig.2) averaged Darcian flows in porous (soil, rock) volumes (Aravin and Numerov, 1953, Haitjema, 1995, Polubarinova-Kochina, 1962, Strack, 2017 a,b, Zijl et al., 2017). A horizontal cross-section (aerial view) of such a volume is shown in Fig.1a, where  $D$  is a planar flow domain and  $G$  is its external boundary. Vertical cross-sections are shown in Fig.2. Examples of hydrological prototypes are:

- a) in Holland, a rectangular  $D$  represents a cropfield bounded by four drainage ditches ( $G$ ), the water level in which is constant; for a typical dyad  $(a, h_0) \ll (L, H)$  (tens of meters, tens of cm);
- b) in Oman, the Muscat International Airport is bounded by a shallow trench (see the Photogallery), and  $(a, h_0) \ll (L, H)$  (hundreds of meters, tens of cm).

In humid climates (like Holland), a gross-recharge (infiltration) to the aquifer from a vadose zone takes place on a hydrologically-annual time scale and, consequently, the RHS of the Poisson equation,  $\varepsilon$ , is a negative function of two planar coordinates,  $x$  and  $y$ . Physically, accretion results in

groundwater mounds whose summits hydraulically command the regional discharge zones (the curve G in Fig.1, see e.g. Youngs, 1990). Analytical and numerical solutions to these BVPs are applied to groundwater hydrology, agricultural and geotechnical engineering, geomorphology, among others (see e.g. Coffey and Shaw, 2017, Cohen and Rothman, 2017, Haitjema, 1995, Kacimov et al. 2016, 2017a,b, 2020 a, McDonald, 2020, Strack, 2017a). If wells are pumped in D, the mound is drained with a near-well drawdown of the water table. In other words, if  $\varepsilon(x,y)$  changes its sign in D, then minima and maxima on the water table emerge (see e.g. Mahdavi, 2020, Strack, 2017a, Fig.2.3.3).

In Oman and other Gulf countries, where the aridity index  $> 20$ , shallow unconfined aquifers often evaporate from their phreatic surfaces to the vadose zone, rather than gain water from there. Therefore,  $\varepsilon$  is positive i.e. the Poisson equation has a sink term. Also, the roots of phreatophytes transpire with the same sink-effect. Instead of mounds, groundwater troughs are formed, especially, in catchments with intensive pumping (Kacimov et al., 2009). These troughs may become so deep that the phreatic surface reaches the bedrock, which confines the shallow aquifer from below, and unsaturated “gaps” are formed (Kacimov et al., 2004). Physically, no groundwater exists in these zones and the fronts (unknown free boundaries) emerge in Fig.1, sketched there as  $G_d$ . In a mathematical parlance, the “support” domain of the initial Poisson equation shrinks and becomes a part of solution. However, in these “gaps” an unsaturated moisture flow continues, commingled with the groundwater flow. Overall, evapotranspiration, capillarity, gravity and Darcian resistance of the porous matrix are intricately juxtaposed in problems with positive  $\varepsilon$  (or a similar S-sink term in the Richards equation). *A priori* unknown unsaturated lacunae complicate the mathematical tasks of determination of the flow characteristics: the fields of piezometric heads, streamlines, isotachs, isobars and isohumes.

A unique hydrological situation has emerged in rapidly growing cities of the Gulf countries, in

particular, in Muscat: the virgin water table prior to urban development was so deep (often 10 + m under the ground surface) that even in hot and dry climatic conditions evaporation from it could be safely ignored. However, a recent ground surface pavement (construction of roads, buildings, car parks, etc.) in large urban areas, as well as extermination of the wild vegetation, reduced evapotranspiration and the water table rose dramatically, causing a pernicious waterlogging of the urban infrastructure (see e.g. Al-Sefri and Şen, 2006, Al-Senafy et al, 2015, Alsharhan and Rizk, 2020, Kreibich and Thieken, 2008). Therefore, evapotranspiration has become a vital component of hydrological balances. Moreover, municipalities and communities (severley affected by groundwater inundation) started to implement phyto-engineering measures aimed at combatting the groundwater inundation by attempts to enhance evapotranspiration. In other words, urban plants are cultivated not only for ornamental (beautification) purposes but as bioengineers, the main purpose of which is desaturation by intensive transpiration of a critical zone in the urban subsurface (Fig.2a). In the Gulf, the situation is exacerbated by the lack of evapotranspiration-related hypdropedological and hydrogeological data on the subsurface. Indeed, a common perception of urban planners in the Gulf was always a “deep water table”, with no threats of waterlogging. Unlike humid and semi-humid regions of Europe (e.g. UK, Germany, Switzerland), where the urban groundwater is monitored for centuries and the trend of its recent rise is well understood (see e.g. Minnig et al., 2018, Moeck et al., 2018), in Oman the corresponding studies have just started (Kacimov et al., 2020b). The local hydrologists, engineers and mathematicians are urged to conceive at least estimates of the ongoing groundwater inundation and to offer adequate models of groundwater and vadose zone flows, as a component for urban planning.

This paper is organized as following. In Section 2-3, we estimate the volume of groundwater and area of an unsaturated “gap” by the help of a model based on the Dirichlet’s BVP to the Poisson equation with a constant RHS (evaporation intensity). In Section 4, the PDE becomes nonlinear

because evapotranspiration exponentially decreases with the depth of the water table. In Section 5, we use HYDRUS and solve a mixed BVP to the Richards equation, which models evaporation from the water table subtending or “fingering” into a vadose zone. In Appendix 1 (Electronic Supplementary File 2), we provide some details on the conformal radius of planar domains.

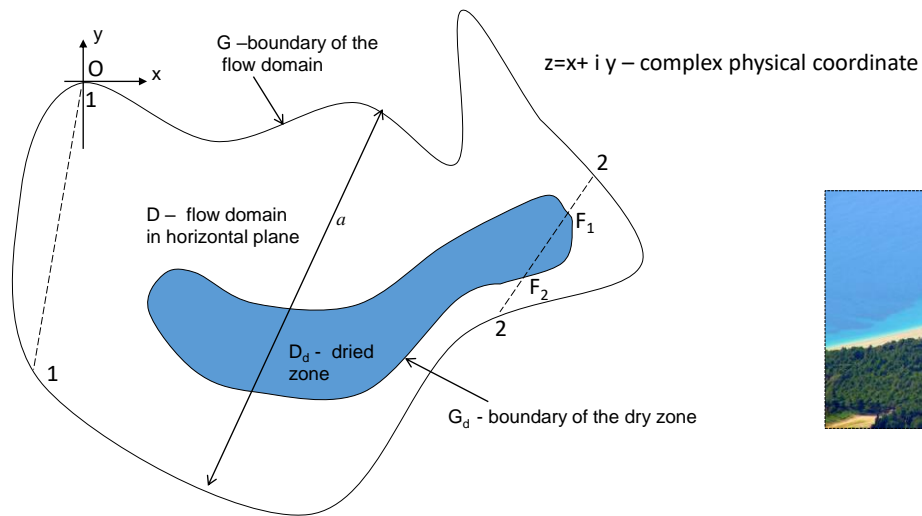


Fig. 1. Plan (aerial) view of an unconfined aquifer.

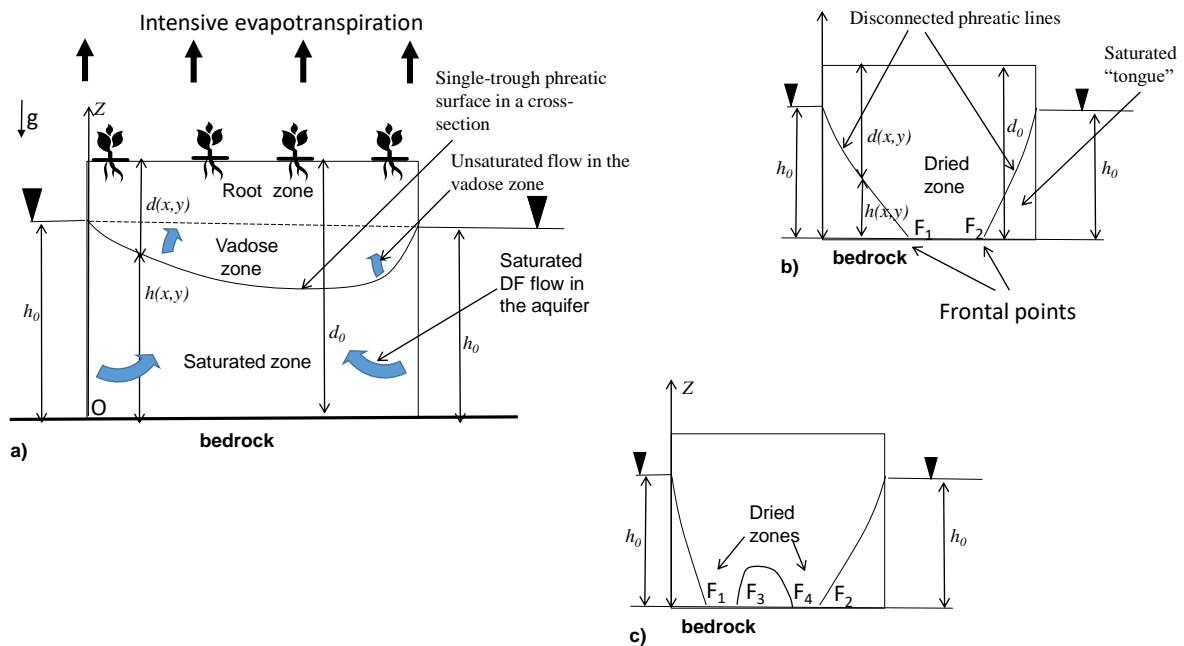


Fig.2. Vertical cross-sections. Unconfined aquifer with: no unsaturated lacunae a), one lacuna b), two lacunae c).

## 2. Constant Evaporation Rate

We assume that without evaporation (e.g. during the winter season in Muscat) and/or prior to phyto-drainage (no transpiration), groundwater in an unconfined homogeneous and isotropic aquifer is static i.e. we neglect a regional flow. Therefore, the water table is horizontal, at an elevation  $h_0$  (m) above a horizontal impermeable bedrock (Fig.1-2). A flat soil surface is at the level  $d_0$  (m) above the bedrock.  $D$  has a characteristic size  $a$  (m).

The land surface in zone  $D$  may be covered by xerophytic plants (e.g. *Australian prosopis* reeds, Ghaf tree, Christ's thorn tree, acacia tortilis, eucalyptus, or other species), which intensively uptake soil water from the vadose zone (and, perhaps, even from the saturated zone) such that evapotranspiration from  $D$  is even higher than from a bare soil. Examples of phreatophytic plants

growing over a shallow water table in Oman are illustrated in the Photogallery (Electronic Supplementary File 1) attached to this MS; an example of a designed wetland is presented in Zhang et al. (2020).

The domain  $D$  (for simplicity assumed to be simply-connected in Fig.1) is bounded by a closed curve  $G$  (e.g. a drainage ditch). Kacimov et al. (2016), Toller and Strack (2019) modeled  $D$  as a promontory (see photo in Fig.1) or island.

Evapotranspiration induces pore water flow from  $G$  into  $D$  in the following way: the saturated flow is quasi-horizontal and the vadose zone flux (controlled by root water uptake and evaporation) is quasi-vertical. In the analytical (steady) solutions (Sections 2-3), capilarity is ignored. In Section 4, a transient saturated-unsaturated 3-D flow is numerically studied.

If evapotranspiration is very high (or  $h_0$  is small, or  $a$  is large), then a subdomain  $D_d \subset D$  can form (Kacimov et al., 2004). There is no saturated groundwater inside  $D_d$ . Fig.2a shows a vertical cross-section 1-1 (Fig.1) without an unsaturated lacuna, whereas Fig.2b depicts another cross-section 2-2 (see also Fig.1) in which two saturated tongues extend into  $D$  up to frontal points  $F_1$  and  $F_2$  i.e. the phreatic line in Fig.2b tapers towards these points from the left and right (zone  $D$  in Fig.1 is double-connected)

Obviously, even in a double-connected  $D$  and simply-connected  $D_d$  (Figs. 1 and 2b) we can get two or more disconnected unsaturated zones  $D_{d1}, D_{d2}, \dots$ . In other words, depending on the choice of the cross-section 2-2 (Fig.1), more than two frontal points can exist (see e.g. a vertical section in Fig.2c where two unsaturated lacunae and four frontal points are shown).

In this Section, we select cartesian coordinates  $xyZO$  (Figs.1 and 2); the vertical axis  $OZ$  is counteroriented with the gravitaitonal acceleration.



In  $D$ , we follow Strack (2017,a, Chapter 2) and consider a vertically-averaged flow in the  $x, y$ -directions of Fig.1. In other words, we employ the Dupuit-Forchheimer (hereafter abbreviated as DF) approximation (valid for domains  $D$  with sufficiently small  $h_0/a$  in Fig.2a). Strictly speaking, flow regimes in Fig.2b,c require a full 3-D groundwater flow analysis, which even in 2-D flows with non-vertical trench boundaries AC is cumbersome (Kacimov et al., 2004, 2016).

In the DF model, the saturated thickness of the aquifer is  $h(x, y)$  (m). Aquifer's conductivity is  $K$  (m/day) and we first assume the evaporation rate  $\varepsilon$  (m/day) to be independent of the depth of the water table such that  $e = \text{const} = 2\varepsilon/K > 0$ . It is well-known (Polubarinova-Kochina, 1962, Strack, 2017 a) that  $h(x, y)$  obeys the linear Poisson PDE:

$$\frac{\partial^2 h^2(x, y)}{\partial x^2} + \frac{\partial^2 h^2(x, y)}{\partial y^2} = e, \quad e > 0 \quad (1)$$

In what follows, we impose the Dirichlet boundary condition along  $G$  :

$$h_g = h_0, \quad h_0 = \text{const} > 0, \quad h_0 \leq d_0 \quad (2)$$

Eqn. (2) is an approximation of variable (in space) boundary conditions in drainage ditches, rivers and their tributaries, wadis, etc. Mixed BVPs to eqn.(1) modeling seepage have been solved in Kacimov et al. (2020a).

Physically,  $h > 0$  for groundwater and  $h = 0$  corresponds to  $G_d$  in Figs.1, 2b,c. One of the key integral characteristics of a hydrological system is the total groundwater storage in  $D$ :

$$V_w = \iint_D h(x, y) dx dy. \quad (3)$$

Explicit calculation of  $V_w$  is possible for simple shapes of  $D$  only, when the BVP can be analytically solved. For arbitrary  $D$ , reasonable bounds of  $V_w$  are needed such that solution to the BVP is circumvented. Thus, we formulate:

**Problem 1.** Estimate  $V_w$ .

We suppose that there exists  $h(x, y) \geq 0$  on the domain  $D$ . For positive  $e$ ,  $h(x, y) < h_0$ . Consequently,

$$V_w = \iint_D h(x, y) dx dy < h_0 A(D),$$

where  $A(D)$  is the area of the domain  $D$ . Clearly, there exists a quantity  $\kappa = \kappa(e, h_0, D)$  such that

$$0 < \kappa(e, h_0, D) < h_0 A(D) \quad (4)$$

and

$$V_w = \iint_D h(x, y) dx dy = h_0 A(D) - \kappa(e, h_0, D), \quad (5)$$

Obviously, the inequalities (4) are trivial.

Our main aim is to obtain non-trivial bilateral estimates of  $\kappa = \kappa(e, h_0, D)$ , better than inequalities (4). To do this we consider the domain  $D$  as a Lobachevsky plane endowed with the hyperbolic Poincaré metric<sup>1</sup>. More precisely, we engage the conformal radius  $R(z, D)$  ( $z = x + iy \in D$ ) defined by the equation

$$R(z, D) = \frac{1}{\lambda_D(z)}, \quad z = x + iy \in D,$$

where we introduced a complex variable  $z$ ;  $\lambda_D(z)$  is the coefficient of the Poincaré metric on the domain  $D$  with the Gaussian constant curvature  $c = -4$ .

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<sup>1</sup> In the Appendix, we elaborate on the Poincaré metric.

210 In our improved estimates, we will also use a novel charactersitic,  $I_c(D)$ , the conformal  
 211 inertia moment of the domain  $D$  defined by Avkhadiev (1998) as follows:

212

$$213 \quad I_c(D) = \iint_D R^2(x + iy) dx dy.$$

214

215  $I_c(D)$  generalizes the well known moment of inertia, which in classical mechanics is evaluated  
 216 with respect to a certain line, rather than a bounding curve.

217 Here we list several basic properties of the conformal radius (the details see in  
 218 Bandle and Flucher, 1996, Avkhadiev and Wirths, 2009, ):

219 (i) The radius  $R(z, D)$  satisfies the non-linear Liouville equation

$$220 \quad \Delta U = \exp(-2U), \quad U = U(x, y) = \ln R(z, D), \quad z = x + iy \in D,$$

221 which is equivalent to the following non-linear PDE

$$222 \quad R(z, D) \Delta R(z, D) = |\nabla R(z, D)|^2 - 4, \quad z = x + iy \in D.$$

223 (ii) Inside the domain  $D$ ,  $R(z, D) > 0$  and  $R(z, D) = 0$  for boundary points  $z \in G$ .

224 Moreover,

$$225 \quad R(f(\zeta), D) \equiv |f'(\zeta)|(1 - |\zeta|^2), \quad z = f(\zeta) \in D,$$

226 where  $f$  is a univalent conformal mapping of the unit disc  $|\zeta| < 1$  in a reference plane  $\zeta = \xi + i \eta$   
 227 onto the domain  $D$ .

228 (iii) As consequences of the Koebe one-quarter theorem and the Schwarz-Pick inequality  
 229 (Garnett and Marshall, 2005, Avkhadiev and Wirth, 2009) we have

$$230 \quad \frac{1}{4} R(z, D) \leq \text{dist}(z, G) \leq R(z, D), \quad z \in D, \quad (A1)$$

231 where  $\text{dist}(z, G)$  is the distance from  $z \in D$  to the boundary of  $D$ , i. e.

$$dist(z, G) = \min_{w \in G} |z - w|, \quad z \in D.$$

Clearly, the quantity  $dist(z, G)$  is the distance between a point inside the domain and the boundary of  $D$ . Distance is common in the Euclidean geometry, i.e. is defined independent of any conformal mappings.

Now, we consider the estimates of  $V_w$  from (3) in the case, when  $h(x, y)$  is solution to the BVP (1)–(2).

We prove the inequalities (6) and (7):

$$V_w = \iint_D h(x, y) dx dy \geq h_0 A(D) - \frac{e}{8\pi h_0} A^2(D), \quad (6)$$

and

$$\frac{3e}{4h_0} \leq \frac{\kappa(e, h_0, D)}{I_c(D)} \leq \frac{2e}{h_0}, \quad (7)$$

These inequalities are equivalent to the bilateral estimates:

$$h_0 A(D) - \frac{e}{h_0} \iint_D R^2(z) dx dy \leq V_w \leq h_0 A(D) - \frac{3e}{8h_0} \iint_D R^2(z) dx dy, \quad (8)$$

For a fixed  $h_0$ , from inequalities (8) it follows that

$$\lim_{e \rightarrow 0} V_w = h_0 A(D).$$

i.e. a trivial limit of a static water table with no evapotranspiration. It is noteworthy that the rough estimate  $V_w = \iint_D h(x, y) dx dy < h_0 A(D)$  becomes asymptotically sharp, if the number  $e/h_0$  is sufficiently small.

To prove the inequalities (6)–(8) we use the results from the theory of elasticity, viz.

torsion of bars (see e.g. Arutyunyan and Abramyan, 1963). In this theory, a characteristic function obeys the same BVP (1)-(2) but with a negative constant in the RHS of eqn.(1). In dimensionless quantities, the torsional rigidity,  $P(D)$ , of an elastic bar having a cross section  $D$  is defined (see e.g. Saint Venant, 1856, Timoshenko, 1954) by the integral

$$P(D) = 2 \iint_D u(x, y) dx dy,$$

where the stress function  $u = u(x, y)$  is the solution of the Dirichlet BVP:  $\Delta u = -2$  on  $D$  and  $u = 0$  on  $G$ . The functional  $P$  quantifies the resistance to twisting of a cylindrical bar having a cross section  $D$  in Fig.1. Let now

$$u(x, y) = (2/e)(h_0^2 - h^2(x, y))$$

that gives

$$P(D) = \frac{4}{e} \iint_D (h_0^2 - h^2(x, y)) dx dy,$$

where the function  $h^2(x, y)$  is defined as the solution of the BVP (1)–(2). Using the simple inequalities

$$\frac{h_0^2 - h^2(x, y)}{2h_0} \leq h_0 - h(x, y) \leq \frac{h_0^2 - h^2(x, y)}{h_0}$$

we obtain that

$$\frac{e}{8h_0} \leq \frac{\kappa(e, h_0, D)}{P(D)} \leq \frac{e}{4h_0}, \quad (9)$$

and that

$$h_0 A(D) - \frac{e}{4h_0} P(D) \leq V_w \leq h_0 A(D) - \frac{e}{8h_0} P(D). \quad (10)$$

According to the Saint Venant - Pòlya isoperimetric inequality (see Pòlya and Szegö, 1951, Timoshenko, 1954):

$$P(D) \leq \frac{A^2(D)}{2\pi}.$$

274 Applying this inequality and the left hand site inequality in (10), we get the inequality (6).

275 We obtain the estimates (7) and (8) by using (9) and (10) and applying the bilateral  
276 estimates

277

$$278 \quad I_c(D) \leq P(D) \leq 4I_c(D)$$

279

280 obtained by Avkhadiiev (1998), as well as the isoperimetric inequality  $(3/2)I_c(D) \leq P(D)$  of  
281 Salahudinov (2001).

282 **Remark.** The quantity  $P(D)$  has been evaluated and utilized in mechanics of solid bodies  
283 and fluids, see, e.g. Saint Venant (1856), Pòlya and Szegő (1951), Timoshenko (1954), Arutyunyan  
284 and Abramyan, 1963, Bandle (1980), Avkhadiiev and Kacimov (2002), Carbery et al. (2014), Kacimov  
285 et al. (2017a), Avkhadiiev (1995, 2015, 2020), Keady and Wiwatanapataphee (2020). One can  
286 readily obtain several estimates, similar to (6)–(8), using other known results on the quantity  $P(D)$   
287 and eqn. (10). In particular, one can apply the classical formulas by Cauchy and Saint Venant (see  
288 Timoshenko, 1954, Arutyunyan and Abramyan, 1963):

$$289 \quad P(D) \approx 4 \frac{I_x I_y}{I_p}, \quad P(D) \approx \frac{A^4}{4\pi^2 I_p}.$$

290 Here  $I_p$ ,  $I_x$ ,  $I_y$  are the inertia moments of  $D$ :

$$291 \quad I_p = \iint_D [(x - x_0)^2 + (y - y_0)^2] dx dy,$$

292

$$293 \quad I_x = \iint_D (y - y_0)^2 dx dy, \quad I_y = \iint_D (x - x_0)^2 dx dy,$$

294 where the point  $(x_0, y_0)$  is the center of mass of  $D$ .

295

### 3. Lower and upper estimates for the area of the unsaturated zone

As we have mentioned, in hydroecological applications it is important to know the size of  $D_d$ , in particular its area  $A_d$  (shaded in Fig.1a). Specifically, the roots of phreatophytes, if located in  $D_d$ , can not get water from the water table i.e. the plants there may wilt.

Similarly to Problem 1, we formulate

**Problem 2.** Estimate  $A_d$ .

In order to solve this Problem we inscribe circles into  $D$ . Let  $\delta_0 > 0$  be the Euclidean inradius defined by

$$\delta_0 = \max_{z \in D} \text{dist}(z, G).$$

It is evident that  $\delta_0$  as a minimax is the radius of the largest circle, inscribed in the domain  $D$  and there exists a disc  $D(x_0, y_0, \delta_0)$  such that

$$D(x_0, y_0, \delta_0) = \{(x, y): (x - x_0)^2 + (y - y_0)^2 < \delta_0^2\} \subset D.$$

Again, we draw an analogy with the theory of elasticity and consider the Saint Venant stress function,  $u = u(x, y)$ , defined as the solution of the BVP:  $\Delta u = -2$  on  $D$  and  $u = 0$  on  $G$ .

In view of the identity  $u(x, y) = (2/e)(h_0^2 - h^2(x, y))$ , the domain  $D_d$  is defined by

$$D_d = \{(x, y) \in D: u(x, y) > (2/e)h_0^2\}.$$

Assume that

$$\delta_0 > \frac{2 h_0}{\sqrt{e}}. \quad (11)$$

If the condition (11) on the Euclidean inradius  $\delta_0$  is valid for  $D$ , then the unsaturated domain  $D_d$  is not an empty set.

Indeed, from comparing  $u(x,y)$  with the stress function for the inscribed disc  $D(x_0, y_0, \delta_0)$  the inequality follows:

$$u(x, y) \geq \frac{1}{2} \left( \delta_0^2 - (x - x_0)^2 - (y - y_0)^2 \right), \quad (x, y) \in D(x_0, y_0, \delta_0) \quad (A2)$$

Consequently,

$$\left\{ (x, y) \in D : \delta_0^2 - (x - x_0)^2 - (y - y_0)^2 > \frac{4h_0^2}{e} \right\} \subset D_d$$

Therefore, the domain  $D_d$  contains the disc  $\left\{ (x, y) \in D : (x - x_0)^2 + (y - y_0)^2 < \delta_0^2 - \frac{4h_0^2}{e} \right\}$ . Thus

if the inequality (11) is valid, then

$$A_d \geq \pi \left( \delta_0^2 - \frac{4h_0^2}{e} \right)$$

Evidently, if  $D$  is a disc, then this inequality is sharp.

Next, suppose that there exists a domain  $D_d$  and we target an upper estimate of its area  $A_d$ .

Since the stress function  $u(x,y) \geq 0$  on  $D$  and  $u(x,y) \geq (2/e)h_0^2$  on  $D_d$ , one immediately obtains

$$P(D) = 2 \iint_D u(x, y) dx dy \geq 2 \iint_{D_d} u(x, y) dx dy \geq (4/e)h_0^2 A_d.$$

that yields

$$A_d \leq \frac{e}{4h_0^2} P(D).$$

Now, one can apply the known isoperimetric inequalities for the torsional rigidity. In particular, one has the following inequalities:

$$A_d \leq \frac{e}{8\pi h_0^2} A^2(D), \quad A_d \leq \frac{e}{h_0^2} \frac{I_x I_y}{I_p}, \quad A_d \leq \frac{e I_c}{h_0^2}$$



336

337 **Example 1.** Consider a disc as domain  $D$ . Namely, we take

338 
$$D = D(x_0, y_0, r_0) = \{(x, y): (x - x_0)^2 + (y - y_0)^2 < r_0^2\}, \quad r_0 > 0.$$

339 The solution of the BVP (1)–(2) for infiltration (negative RHS in eqn.(1)) is (Strack, 2017a)

340 
$$h^2(x, y) = \frac{e}{4} [(x - x_0)^2 + (y - y_0)^2] + h_0^2 - \frac{e}{4} r_0^2.$$

341 Assume that

342 
$$h_0 \geq \frac{\sqrt{e}}{2} r_0,$$

343 then  $h(x, y) \geq 0$  at every point of the disc i.e. no dried zone emerges at the centre. For this case,

344 straightforward computations and some algebra give that

345 
$$V_w = \iint_D h(x, y) dx dy = \frac{8\pi}{3e} \left( h_0^3 - \left( \sqrt{h_0^2 - e r_0^2 / 4} \right)^3 \right) =$$

346

347 
$$= \frac{2A}{3} h_0 \left( \tau + \frac{1}{1+\tau} \right), \quad (A3)$$

348 where  $\tau = \sqrt{1 - e r_0^2 / (4 h_0^2)} \in [0, 1)$  and  $A = \pi r_0^2$  is the area of the disc. It is evident that

349 
$$\frac{2}{3} \leq \frac{V_w}{A h_0} < 1. \quad (A4)$$

350 Next, assume that

351 
$$0 < h_0 < \frac{\sqrt{e}}{2} r_0.$$

352 Evidently, in this case  $D_d$  is a smaller “internal” disc

353 
$$(x - x_0)^2 + (y - y_0)^2 < r_d^2, \quad r_d = \sqrt{r_0^2 - \frac{4}{e} h_0^2},$$

354 and

355 
$$A_d = \pi \left( r_0^2 - \frac{4}{e} h_0^2 \right), \quad V_w = \int_{D \setminus D_d} h(x, y) dx dy = \frac{8\pi}{3e} h_0^3 \quad (A5)$$

356 **Example 2.** Let  $D$  is an ellipse with semiaxes  $a_0$  and  $b_0$ . Namely, we assume that

$$357 \quad D = D(x_0, y_0, a_0, b_0) = \left\{ (x, y) : \frac{(x-x_0)^2}{a_0^2} + \frac{(y-y_0)^2}{b_0^2} < 1 \right\}, a_0 > 0, b_0 > 0.$$

358 Similarly to Strack (2017a, Section 2.5.8), who tackled the Poisson equation with a negative RHS,  
359 the BVP (1)–(2) has the solution defined by

$$360 \quad h^2(x, y) = \frac{e a_0^2 b_0^2}{2(a_0^2 + b_0^2)} \left( \frac{(x-x_0)^2}{a_0^2} + \frac{(y-y_0)^2}{b_0^2} \right) + h_0^2 - \frac{e a_0^2 b_0^2}{2(a_0^2 + b_0^2)}.$$

361 The condition  $h(x, y) \geq 0$  on  $D(x_0, y_0, a_0, b_0)$  is equivalent to the inequality

$$362 \quad h_0 \geq \frac{a_0 b_0 \sqrt{e}}{\sqrt{2(a_0^2 + b_0^2)}}.$$

363 Using the generalized polar coordinates  $x = a_0 r \cos \theta$ ,  $y = b_0 r \sin \theta$  by straightforward  
364 computations we obtain

$$365 \quad V_w = \iint_D h(x, y) dx dy = \frac{4\pi(a_0^2 + b_0^2)}{3e a_0 b_0} \left( h_0^3 - \left( \sqrt{h_0^2 - \frac{e a_0^2 b_0^2}{2(a_0^2 + b_0^2)}} \right)^3 \right) =$$

366

$$367 \quad = \frac{2A(a_0, b_0)}{3} h_0 \left( \tau_0 + \frac{1}{1 + \tau_0} \right),$$

368 where  $\tau_0 = \sqrt{1 - e(a_0^2 + b_0^2)/(2a_0^2 b_0^2 h_0^2)} \in [0, 1)$  and  $A(a_0, b_0) = \pi a_0 b_0$  is the area of the  
369 domain  $D(x_0, y_0, a_0, b_0)$ . Again, we obtain that

$$370 \quad \frac{2}{3} \leq \frac{V_w}{A(a_0, b_0) h_0} < 1.$$

371 Now, we assume that

$$372 \quad 0 < h_0 < \frac{a_0 b_0 \sqrt{e}}{\sqrt{2(a_0^2 + b_0^2)}}.$$

373 For this case, the domain  $D_d$  is

$$374 \quad \frac{e a_0^2 b_0^2}{2(a_0^2 + b_0^2)} \left( \frac{(x-x_0)^2}{a_0^2} + \frac{(y-y_0)^2}{b_0^2} \right) < \frac{e a_0^2 b_0^2}{2(a_0^2 + b_0^2)} - h_0^2$$

and  $G_d$  is a small “internal” ellipse, the area of which is

$$A_d = \pi a_0 b_0 \left( 1 - \frac{2 h_0^2 (a_0^2 + b_0^2)}{e a_0^2 b_0^2} \right).$$

#### 4. The Evaporation Rate Varying with Depth

In this Section, we consider the evaporation rate decreasing with the depth of the water table,  $d(x, y) = d_0 - h(x, y)$  (Fig. 2). Linear or nonlinear functions  $e(d)$  were experimentally examined (see, e.g., Hu et al., 2008, Katz, 1968, PK-62, Shokri-Kuehni et al., 2019).

Without any loss of generality, we select the exponential function in the RHS of the Poisson equation (Kacimov et al., 2019). Then the BVP (1)-(2) is transformed into a nonlinear one:

$$\frac{\partial^2 h^2(x, y)}{\partial x^2} + \frac{\partial^2 h^2(x, y)}{\partial y^2} = e_0 \exp[-\lambda d(x, y)], \quad (12)$$

where

$$e_0 = \text{const} > 0, \quad \lambda = \text{const} \geq 0, \quad d_0 = \text{const} \geq h_0 = \text{const} \geq 0, \quad h_G = h_0.$$

**Problem 3.** Estimate  $V_w$  and  $A_d$ .

Again, we engage the classical Saint Venant model. Namely, we use the earlier defined torsional rigidity  $P(D)$  where the function  $u = u(x, y)$  is superharmonic one, defined as the solution of the BVP:  $\Delta u = -2$  on  $D$  and  $u = 0$  on  $G$ .

Assume that there exists the solution  $h(x, y)$  such that  $0 \leq h(x, y) \leq h_0$  at every point  $(x, y) \in D$ . We use eqn. (12) and the estimates

$$e_0 \exp[-\lambda d_0] \leq \Delta h^2(x, y) = \frac{\partial^2 h^2(x, y)}{\partial x^2} + \frac{\partial^2 h^2(x, y)}{\partial y^2} \leq e_0 \exp[-\lambda(d_0 - h_0)]$$

juxtaposed with the equation

$$\Delta u(x, y) = \frac{\partial^2 u(x, y)}{\partial x^2} + \frac{\partial^2 u(x, y)}{\partial y^2} = -2,$$

That yields

$$\Delta \left( -h_0^2 + h^2(x, y) + \frac{e_0}{2} \exp[-\lambda d_0] u(x, y) \right) \geq 0$$

and the inequality

$$\Delta \left( -h_0^2 + h^2(x, y) + \frac{e_0}{2} \exp[-\lambda(d_0 - h_0)] u(x, y) \right) \leq 0$$

which holds at every point  $(x, y) \in D$ . Since the functions  $-h_0^2 + h^2(x, y)$  and  $u(x, y)$  vanish on the boundary of the domain, we get

$$\frac{e_0}{2} \exp[-\lambda d_0] u(x, y) \leq h_0^2 - h^2(x, y) \leq \frac{e_0}{2} \exp[-\lambda(d_0 - h_0)] u(x, y) \quad (13)$$

at every point  $(x, y) \in D$ . By integrating we obtain

$$\frac{e_0}{4} \exp[-\lambda d_0] \leq \frac{\iint_D (h_0^2 - h^2(x, y)) dx dy}{P(D)} \leq \frac{e_0}{4} \exp[-\lambda(d_0 - h_0)]. \quad (14)$$

Using inequalities (14) and the inequalities

$$(h_0^2 - h^2(x, y))/(2h_0) \leq h_0 - h(x, y) \leq (h_0^2 - h^2(x, y))/h_0,$$

we get

$$\frac{e_0}{8h_0} \exp[-\lambda d_0] P(D) \leq h_0 A(D) - V_w \leq \frac{e_0}{4h_0} \exp[-\lambda(d_0 - h_0)] P(D). \quad (15)$$

From inequalities (15) it follows that

$$V_w \approx h_0 A(D),$$

if the quantity  $e_0$  is sufficiently small.

Using inequalities (15) and the known inequalities for the torsional rigidity  $P(D)$  one can find several estimates for  $V_w$ . We present here two of them. Applying (15) and the Saint Venant-Pòlya isoperimetric inequality  $P(D) \leq A^2(D)/(2\pi)$ , we obtain that

$$V_w \geq h_0 A(D) - \frac{e_0}{8\pi h_0} \exp[-\lambda(d_0 - h_0)] A^2(D).$$

Applying the bilateral estimates  $(3/2)I_c(D) \leq P(D) \leq 4I_c(D)$  that are valid for every simply connected domain  $D$ , one gets

$$\frac{3e_0}{16h_0} \exp[-\lambda d_0] \leq \frac{h_0 A(D) - V_w}{\iint_D R^2(x+iy, D) dx dy} \leq \frac{e_0}{h_0} \exp[-\lambda(d_0 - h_0)]. \quad (16)$$

By the above-used property (A1) of the conformal radius we obtain

$$\iint_D \text{dist}^2(z, G) dx dy \leq \iint_D R^2(z, D) dx dy \leq 16 \iint_D \text{dist}^2(z, G) dx dy. \quad (17)$$

Inequalities (16) and (17) imply the following estimates

$$\frac{3e_0}{16h_0} \exp[-\lambda d_0] \leq \frac{h_0 A(D) - V_w}{\iint_D \text{dist}^2(x+iy, G) dx dy} \leq \frac{16e_0}{h_0} \exp[-\lambda(d_0 - h_0)],$$

#### Lower and upper estimates for the area of the unsturated zone

First, we assume that

$$\delta_0 > \frac{2h_0}{\sqrt{e_0}} \exp(\lambda d_0 / 2) \quad (18)$$

where  $\delta_0$  is the Euclidean inradius of the domain  $D$ . Using the estimate (A2) and the left hand side in eqn.(13) we infer the following: if the inequality (18) is satisfied, then  $D_d$  is not an empty set and

$$A_d \geq \pi \left( \delta_0^2 - \frac{4h_0^2}{e_0} \exp(\lambda d_0 / 2) \right)$$

Now, suppose that the domain  $D_d$  is not an empty set. We obtain an upper estimate of the area  $A_d$  of  $D_d$ .

The stress function  $u(x, y) \geq 0$  on  $D$ . From the RHS of inequality (13) it follows that  $u(x, y) \geq (2/e_0)h_0^2 \exp(\lambda(d_0 - h_0))$  at any point  $(x, y) \in D_d$ . From this inequality, it follows that

$$P(D) = 2 \iint_D u(x, y) dx dy \geq 2 \iint_{D_d} u(x, y) dx dy \geq (4/e_0)h_0^2 \exp(\lambda(d_0 - h_0)) A_d.$$

437 Therefore,

438 
$$A_d \leq \frac{e_0}{4 h_0^2} \exp(-\lambda(d_0 - h_0)) P(D).$$

439 Applying the known isoperimetric inequalities for  $P(D)$ , one has the following inequalities:

440 
$$A_d \leq \frac{e_0}{8\pi h_0^2} \exp(-\lambda(d_0 - h_0)) A^2(D), \quad A_d \leq \frac{e_0}{h_0^2} \exp(-\lambda(d_0 - h_0)) \frac{I_x I_y}{I_p}. \quad (19)$$

441

## 442 5. HYDRUS Simulations

443 In this Section, we use HYDRUS (Šimůnek et al., 2016), which is a finite element code solving a

444 3-D transient Richards' equation:

$$\frac{\partial \theta}{\partial t} = \nabla (K(p) \nabla h) - S, \quad (20)$$

where  $\theta(t, x, y, Z)$ =volumetric moisture content,

$K(p)$  = the Van Genuchten's hydraulic conductivity function,

445  $h(t, x, y, Z) = p + Z$  = total head,  $p$  = pressure head,  $Z$  = vertical coordinate,

$S$  = volume of water uptake by plant roots from a volume of soil per time (1/s),

$S = S_p \alpha(h)$ ,  $S_p$  = potential water uptake rate (1/s),

$\alpha$  = Feddes' stress response function (unitless),  $0 \leq \alpha \leq 1$

446

447 Eqn.(20) generalizes the model used in Sections 2-4 by taking into account the unsaturated zone  
 448 and capillarity of the soil. Even in humid countries where the phreatic surface is a netto-recipient of  
 449 water from the vadose zone, flow in the capillary fringe and unsaturated zone, conjugated with  
 450 groundwater beneath, is not so trivially-vertically 1-D (see e.g. Hunt et al., 2008, Silliman et al.,  
 451 2002), as often misconcepted.

452 In this Section, we use the notation  $p(t, x, y, Z) = h - Z$  for the pressure head<sup>2</sup>,  $x$  for the radial

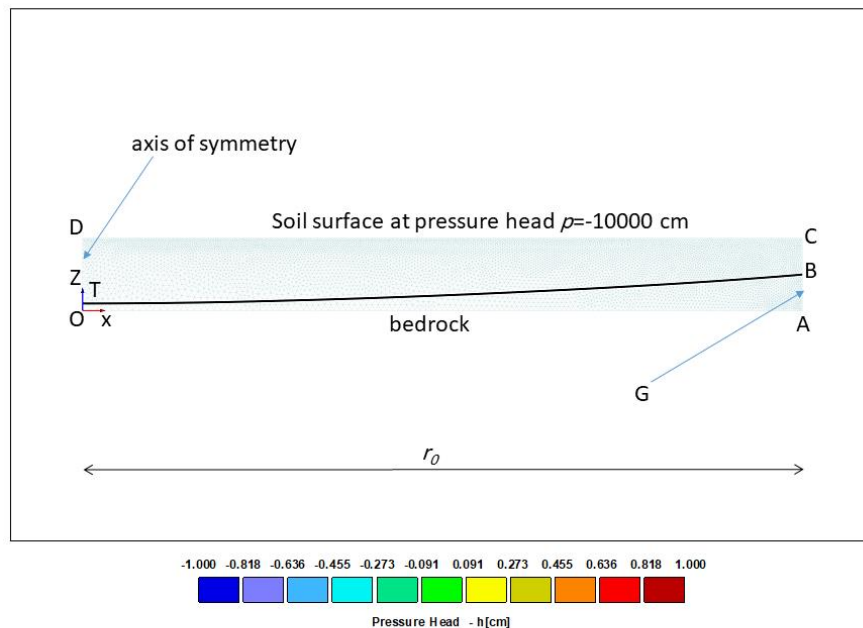
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<sup>2</sup> In HYDRUS, the pressure head is denoted as  $h$  that is contrary to standard notation in groundwater hydrology where  $h$  is reserved for the total (piezometric) head (see e.g. PK-62, Strack, 2017)

coordinate (we solve axisymmetric problems), and  $\nabla$  is the corresponding nabla operator.

For comparisons with analytical results, we engage the following options of HYDRUS-3D:

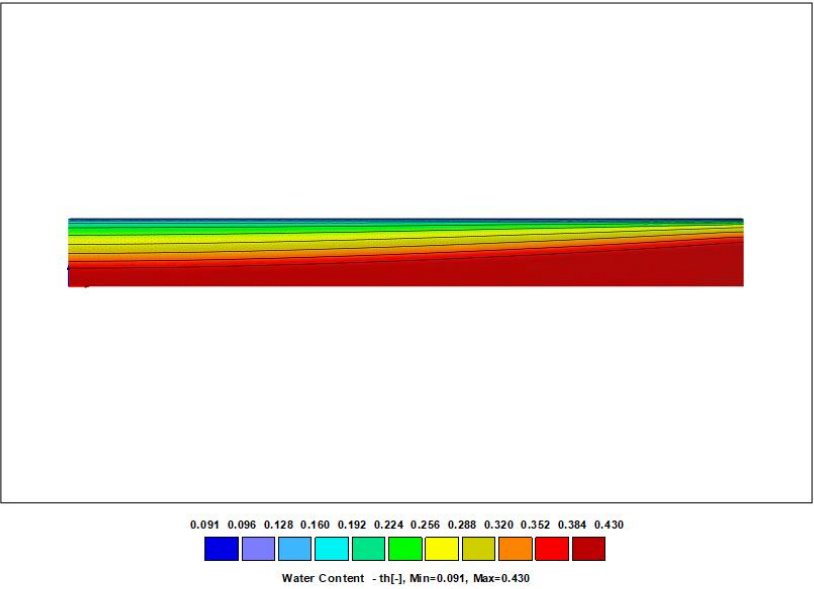
- “2D –Axisymmetric Vertical Flow” for a circular G in Sections 2-4
- transient seepage during the time interval  $0 < t < T$ ; at  $t=0$  a certain initial condition in a 3-D porous domain is selected for  $p(0,x,y,z)$ ;  $T$  is the simulation time (we fixed it to be 600 days) at which flow becomes steady-state and, therefore, comparisons with the analytical solutions in Sections 2-4 are possible
- a default HYDRUS initial condition of  $p=-100$  cm in the whole flow domain is used
- default HYDRUS iteration criteria, time step controls, and internal interpolation are used
- hysteresis-free loam from the HYDRUS Soil Catalogue, with the pentad parameters, which determine the Van Genuchten capillary pressure relation  $p(\theta)$ , is used



Project dryjune 8 - 50 cm -  
Results, Pressure Head, Time 6 - 600 days

a)

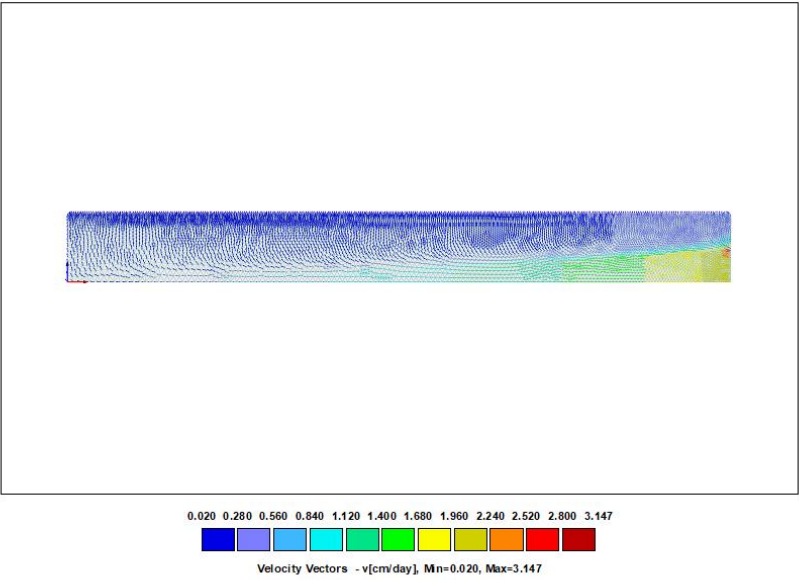
466



Project dryjune 8 -50 cm -  
Results, Water Content, Time 6 - 600 days

b)

467

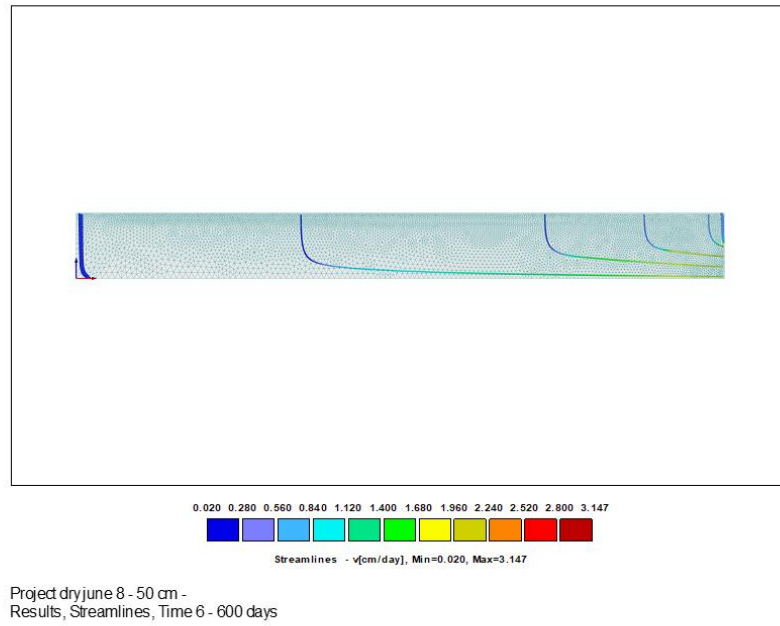


Project dryjune 8 -50 cm -  
Results, Velocity Vectors, Time 6 - 600 days

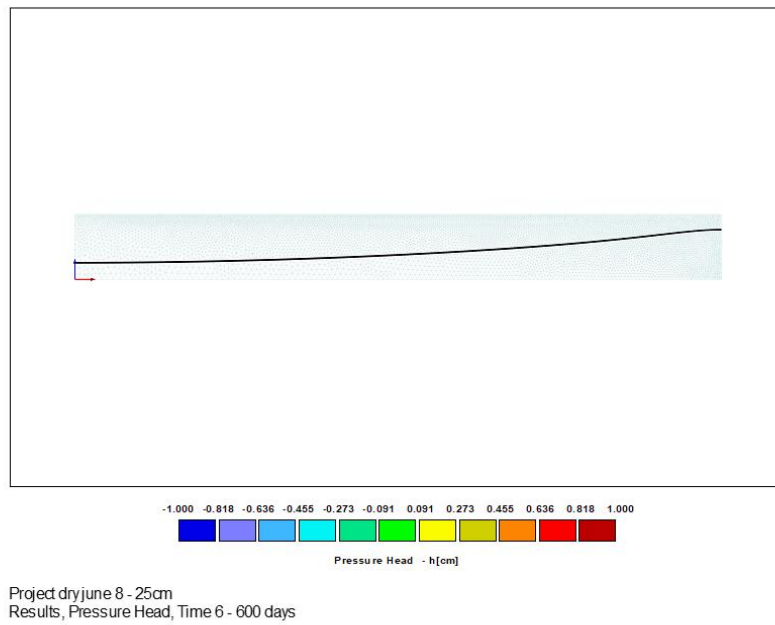
c)

468





d)



e)

Fig.3. HYDRUS simulations for saturated-unsaturated axisymmetric flow in a circular cylinder

having  $r_0=10$  m at  $t=600$  days: a) phreatic surface TB for  $|AB|=50$  cm.; b) isohumes  $\theta(x,Z)$ ; c)

vectors of Darcian velocities; d) streamlines; e) phreatic surface with concave-up and concave-down segments for  $|AB|=75$  cm.

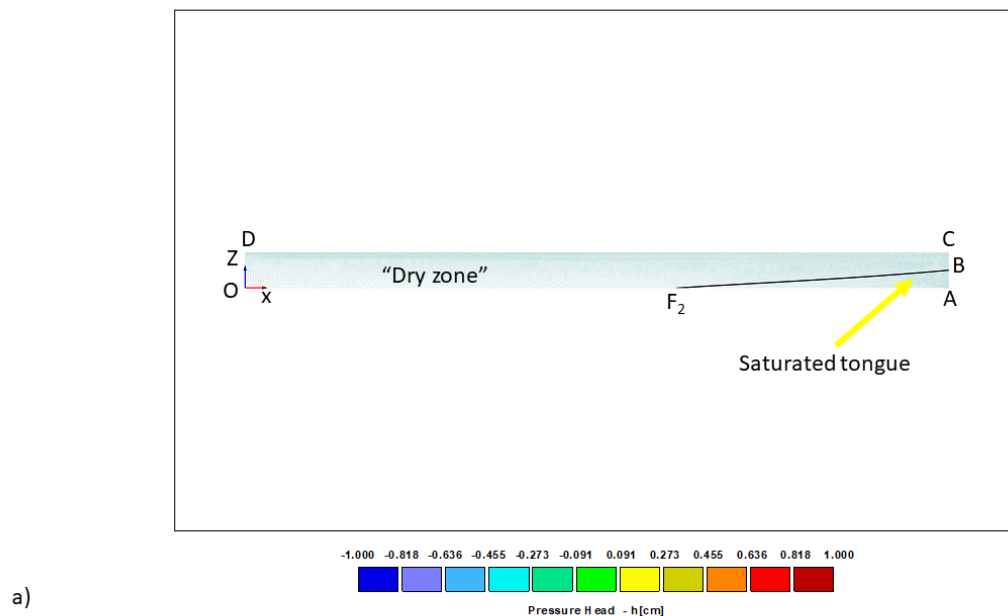
In simulations shown in Figs.3-4 we assumed no transpiration i.e.  $S=0$  in the RHS of eqn.(20).

Fig.3 presents the results of simulations for the case of flow without an unsaturated “gap”. In this HYDRUS project, we selected a porous cylinder of a radius  $r_0=10$  m (see Example 1 in Section 3). Due to symmetry we show only one half of an axisymmetric section. The vertical coordinate OZ coincides with the axis of symmetry, the horizontal (radial) axis Ox coincides with the bedrock. In the selected cross-section, the flow domain is a rectangle OABC. We assumed the soil thickness  $d_0 = 1$  m.

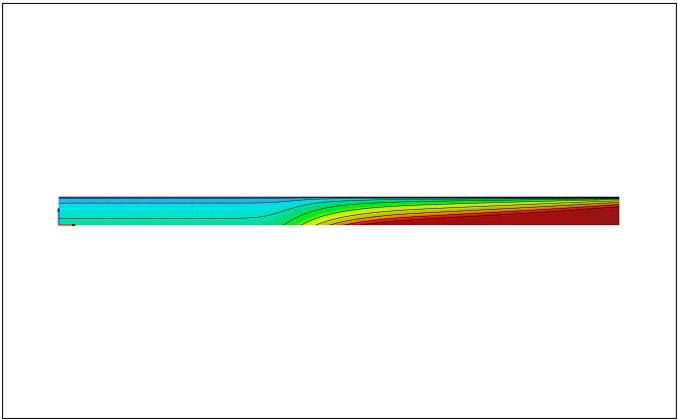
The boundary conditions are: no flow along OA (an impervious substratum), OD (the line of symmetry) and BC. The latter condition is common in the Vedernikov-Bouwer model (see e.g. PK-62, Kacimov et al., 2019) and is physically justified by the fact that evaporation from vertical slopes of excavations (see the Photogallery) are relatively minor, compared with evaporation from a horizontal soil surface). Evaporation from segment BC can be also modeled (see Kacimov, 2006). Along DC we assumed  $p=-10000$  cm that is equivalent to very dry soil conditions. In the field, we measured the moisture content,  $\theta$ , along the soil surface and found this value in May-June to be as low as 3-5% (see the Photogallery) that is even less than  $\theta(-10000)$  according to the VG function for loam in HYDRUS. In sensitivity analysis, we varied  $p_{DC}$  between -10000 cm and -1000 cm and showed that the variations of the quantitative properties shown in Fig.3 (the case of the driest soil surface) are minor. We recall that according to Philip (1991),  $p_{DC} = -\infty$  corresponds to the upper bound of evaporation. Along AB in Fig.3a, the total head  $h=h_0=50$  cm i.e.  $p$  decreases linearly upward from 50 (point A) cm to 0 (point B).

The targeted size of finite elements was 10 cm. The mesh was refined along AC and CD. The number of mesh entities is: 7332 nodes, 498 1-D elements, and 14164 2-D elements.

Curve BT in Fig.3a represents a phreatic surface  $p=0$ . Point T is the bottom of the water table trough. Fig.3b shows the colour map of isohumes i.e.  $\theta(x,Z)$ . Fig.3c illustrates the vector field of Darcian velocities. This field corroborates the DF analytical model used in Sections 2-4. Indeed, in the saturated zone flow is prevalently horizontal and becomes almost vertical close to the soil surface (albeit, close to the phreatic line the vertical and axial components of the velocity vectors are comparable). The same qualitative behavior can be inferred from the streamlines plotted in Fig.3d. In Fig.3e we increased  $h_0$  from 50 to 75 cm. As result, we see not only a drawup of the phreatic line (that is trivial) but also the appearance of an inflexion point. The DF approximation can not predict such points and a full 2-D potential theory is needed for comparisons with HYDRUS (Craster, 1994, Kacimov et al., 2018, Kacimov and Obnosov, 2006, Kacimov and Youngs, 2005).



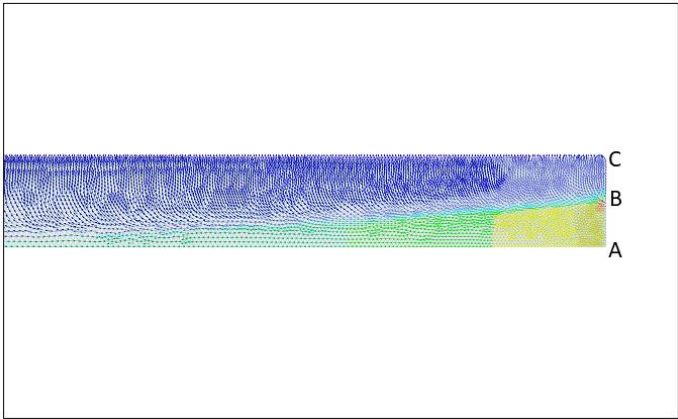
Project dry june 9 - 25cm - 20m  
Results, Pressure Head, Time 6 - 600 days



0.091 0.096 0.128 0.160 0.192 0.224 0.256 0.288 0.320 0.352 0.384 0.430  
Water Content -  $\theta[\%]$ , Min=0.091, Max=0.430

Project dry june 9 - 25cm -20m  
Results, Water Content, Time 6 - 600 days

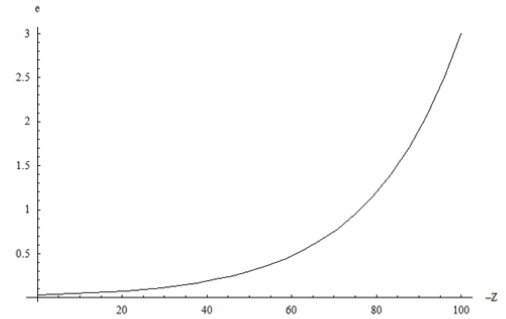
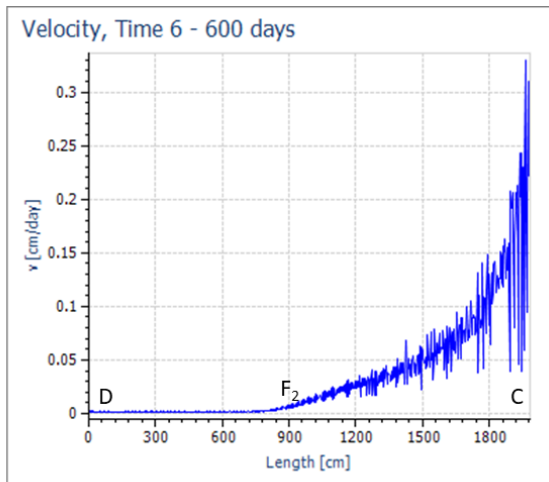
b)



0.000 0.320 0.640 0.960 1.280 1.600 1.920 2.240 2.560 2.880 3.200 3.383  
Velocity Vectors -  $v[\text{cm/day}]$ , Min=0.000, Max=3.383

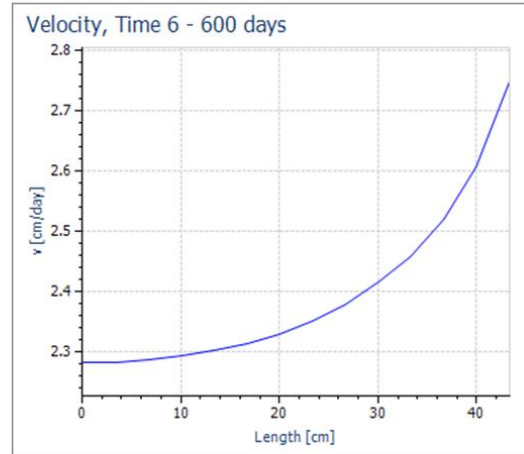
Project dry june 9 - 25cm -20m  
Results, Velocity Vectors, Time 6 - 600 days

c)



d)

512



e)

513

514

515 Fig.4. HYDRUS simulations for a cylinder having  $r_0=20$  m at  $t=600$  days: a) phreatic surface TB  
 516 for  $|AB|=50$  cm.; b) isohumes; c) Darcian velocities near AC; d) velocity magnitude along CD (left

panel) and evaporation rate  $e(Z)$  computed in Wolfram's (1991) *Mathematica* (right panel); e) velocity along AB.

In Fig.4 simulation results are presented for  $r_0=20$  m (other parameters are the same as in Fig.3). In Fig.4a, a large unsaturated lacuna appears in the centre of the original 3-D porous cylinder. The phreatic line  $BF_2$  bounds a saturated "tongue"  $BF_2A$  with the coordinate of the front point  $F_2$ , and  $r_f=12.4$  m. Remarkably,  $BF_2$ , unlike a "strongly" curved BT in Fig.3a, e, is almost a straight line that is in comport with the analytical solutions in terms of both the DF and potential theories (Kacimov and Obnosov, 2006, 2019, Kacimov et al, 2004).

Fig.4b and 4c show the isohumes and velocity vectors in a zone close to G. The left panel in Fig.4d presents the HYDRUS-computed magnitudes of velocity vectors along CD. The wiggling is caused by numerical approximations. The right panel in Fig.4d shows "smoothing" of this curve by adopting the exponentially decreasing evaporation rate, according to eqn.(12) in Section 4. Specifically, we did the following: we retrieved the values of velocity at  $Z=1$  m,  $x=r_f$  and at  $Z=1$  m,  $x=r_0$  from HYDRUS simulations in Fig.4, viz.  $V=0.03$  cm/day and  $0.3$  cm/day, respectively. Next, we solved the system of equations

$$e_0 \exp[-100 * \lambda] = 0.03,$$

$$e_0 \exp[-50 * \lambda] = 0.3$$

with respect to  $e_0$  and  $\lambda$  that gave  $e_0=3$  cm/day and  $\lambda=0.046$  1/cm. The corresponding evaporation function is plotted in Fig.4d, right panel.

Now we compare the HYDRUS results in Fig.3-4 with the analytical solutions and estimates in Sections 2-4. We selected Example 1 from Section 3.

First, we evaluated the HYDRUS-simulated  $\varepsilon$  from the distribution of the Darcian velocity

along CD in Fig.3a (a wiggling curve similar to one shown in Fig.4e). We used the **Interpolation** routine of *Mathematica* (interpolation order  $\rightarrow 4$ ) to smoothen this velocity, integrated this interpolation function from  $x=0$  to  $x=1000$  cm and calculated the integral average of  $\varepsilon=0.084$  cm/day. Then, for the loam having the HYDRUS-catalogued value  $K=25$  cm/day we got  $e=0.00672$ . Eqn. (A3) gives  $V_w=1.266*10^8$  cm<sup>3</sup> and the dual bounds (A4) are:  $1.047*10^8$  cm<sup>3</sup>  $< V_w < 1.571*10^8$  cm<sup>3</sup> i.e.  $V_w$  is almost perfectly an arithmetic average of the bounds. HYDRUS does not have an option to evaluate the volume of the saturated zone. So, we did the following. The water table BT in Fig.3e is a smooth curve and we selected the HYDRUS coordinates  $x=0, x=100, x=200, \dots, x=1000$  cm and found the corresponding  $Z$  values at which  $p=0$ . Next, we interpolated in *Mathematica* the obtained water table equation  $x(Z)$ . Next, we evaluated by integration of this interpolation function the volume of the body of revolution that gave us  $V_{wH}=9.65*10^7$  cm<sup>3</sup> that is about 30 % less than  $V_w$  computed by the DF theory.

Second, for the case of an unsaturated “gap” ( $r_0=20$  m) in Fig.4 we retrieved from HYDRUS the distribution of nodal values of the Darcian velocity along AB. This smooth curve is depicted in Fig.4e. We interpolated these discrete values in *Mathematica*. Next, by integrating the obtained interpolation function we evaluated an average value of velocity along AB,  $v_{AB}=2.39$  cm/day and an approximate quantity of water seeping into the cylinder,  $q_a=2 r_0 h_0 v_{AB}= 1.5*10^6$  cm<sup>3</sup>. Next, from HYDRUS we got the radial coordinate of point F<sub>2</sub>,  $r_{dH}=1242$  cm. After that we assumed that all  $q_a$  evaporates from the phreatic surface with a constant  $\varepsilon = q_a / \pi (r_0^2 \cdot r_{dH}^2) = 0.194$  cm/day i.e.  $e=0.016$ . Then from (A5) we evaluate  $A_d=1.05*10^7$  cm<sup>2</sup> while HYDRUS gives  $A_{dH}= \pi * 1242^2 = 4.85*10^6$  cm<sup>2</sup>. If we assume an exponentially decreasing evaporation rate with the above-computed  $e_0$  and  $\lambda$  then the first inequality in (19) gives  $A_d < 6.13*10^6$  cm<sup>2</sup> that well bounds  $A_{dH}$ . Overall, the discrepancy between HYDRUS and analytical results is more for the case of the unsaturated “gap” scenario as

compared with the scenario in Fig.3.

The major problem of both the DF and potential theories in modeling evaporation from a non-flat water table is in the assumption of a constant  $\varepsilon$  (see PK-77). This simplification works reasonably well if the water table is almost flat and close to the ground surface. PK and her students attempted to model  $\varepsilon$  depending on the water table depth but the obtained results in the potential (2D) model were poor.

It is noteworthy that the scenarios modeled in Figs.3-4 correspond to hyper-dry climatic conditions of the Gulf. For the climate in Holland, Rezaei et al. (2017) studied a shallow unconfined aquifer having the depth  $d$  (Fig.2) similar to ours (around 100 cm). They modeled the vadose zone flow by HYDRUS1D, assuming a quasi-flat water table, i.e. ignored both the lateral groundwater flow and 2-,3-D unsaturated flow. In the saturated-unsaturated flows pictured in Figs.3-4, evaporation is so strong that 1-D simplification in the Richards equation would be far-fetched: both lateral groundwater motion and essentially 3-D moisture flow have to be considered.

## 6. Concluding Remarks

Subsurface hydrologists in arid/semi-arid environments of the South West (Arizona, Nevada) or Australia are equipped with the opulence of multi-decadal public-domain records from a dense network of weather stations and observation piezometers, the cornucopia of various computer codes, multidisciplinary expertise of nearby academics and consultants, advanced instruments (e.g. weighing lysimeters), among others. In the deserts of Arabia, one has to muddle through limited modeling resources, in particular, scanty parametric depositaries in physically-based models and lacunary (or even spurious) proprietary data from field observations. In this context, our paper tries to stitch the results of a simplified analytical 2D groundwater model with ones from an advanced 3D



584 saturated-unsaturated numerical code.

585         The hydrological systems tackled in this paper are unique for the hyperarid climates of the  
 586 Gulf: for example in Oman, despite a very high  $ET_0$  (3500-1500 mm/year) versus only 50-350  
 587 mm/year of precipitation (Empty Quarter – Jabel Al Akdar), the water table of unconfined aquifers in  
 588 many urban areas of Muscat (as well as in Kuwait City, Jeddah, Medina, Al-Ain, among others), has  
 589 risen to  $d$  (Fig.2) of only few cm –tens of cm from the ground surface. That has never been expected  
 590 and no contingency hydrological urban planning was mulled to confront waterlogging and  
 591 evapotranspiration directly from the water table, which has become a crucial component of the water  
 592 balance of these shallow (perched) aquifers. It is noteworthy, that the focus of Western hydrologists  
 593 (see e.g. Hogan et al., 2004) working in arid/semi-arid regions was mostly on recharge to deep water  
 594 tables, i.e. evapotranspiration was prevalently a realm of soil physicists who work with  
 595 “redistribution” in the vadose zone, rather than aquifers.

596         Mathematically, the dual bounds, obtained in this paper for the groundwater storage and areal  
 597 extension of desaturation zone, like eqn. (A4), generalize the one-sided inequalities in the theory of  
 598 elasticity, electrostatics and other branches of mathematical physics, reported in the Pölya and Szegő  
 599 (1951) compendium. Physically, our bounds for integral quantities of interest for arid zone  
 600 hydrology, serve the same purpose as pedotransfer functions. Indeed, the isoperimetric estimates of  
 601 Pölya and Szegő assess an integral physical quantity, which is difficult to measure/calculate, via  
 602 another, which is easier to get. For example,  $V_w$  in (A3)-(A5) is not easy to find by solving the  
 603 Richards flow problem, while geometrical properties of  $D$  are readily determined.

604         HYDRUS3D is a wonderful package but the Richards equation requires a pentad of the Van  
 605 Genuchten parameters, as compared with only two in the the DF model. Also, only HYDRUS1D is a  
 606 free software (needs a basic training to run), while the analytical estimates, albeit based on a “crude  
 607 model”, can be used by field hydrologists as a “back-of-an-envelope” precursor in a scaffolding

ascend to a more advanced and resource-consuming models. Haitjema (2006) advocated analytical “equations”, while our paper advocates “isoperimetric inequalities”.

The perspectives of our work are:

- a) In the analytical DF model, we can consider “leaky” layers, instead of an impervious bedrock in Fig.2. This will transform eqn. (1) into a nonlinear modified Helmholtz equation, to which we plan applying the same technique of Poincaré’s metric.
- b) Waterlogged areas in several urban districts of the Muscat governorate are currently contemplated for implementing MAD (Managed Aquifer Discharge). One phytoengineering option to mitigate the harm caused by a rising water table is construction of reedbeds. In the numerical Richards-equation based model, we plan to involve the root water uptake by desert plants (Australian *prosopis*), using the Feddes “trapezoidal” stress function from HYDRUS. For this purpose, we will meter sap flow through desert vegetation in Oman (HYDRUS does not have catalogued Feddes’ functions for this type of plants). MAD would diversify the hydrological practices-vernacular of MAR (see e.g. Healy, 2010, Hogan et al., 2004).
- c) Estimates for mixed, rather than Dirichlet’s boundary conditions in the BVPs for the Poisson equation (Kacimov et al., 2020a) can be attempted to derive. In HYDRUS3D a new reservoir boundary condition (see e.g. Sasidharan et al., 2018), which allows considering a finite water storage in the ditch (trench) depicted in Fig.2, i.e.  $h_0(t)$  devoured by evaporation from D via the mass-balance conservation (Al-Shukaili et al., 2020), can be involved.
- d) Even in hyper arid climates of Oman and UAE, phytoengineering (growing trees) may hydrologically make the RHS of the Poisson equation negative in one part of the catchment (D in Fig.1) due to enhanced infiltration during heavy surface ponding periods

(see e.g. Al-Maktoumi et al., 2020), and positive in other parts of  $D$ . Deriving isoperimetric estimates for BVPs with an alternating sign of  $\varepsilon$  is another interesting task. Along with the above considered dyad of integral quantities ( $V_w$ ,  $A_d$ ), other – local – criteria can be targeted, e.g. the ordinate of point  $T$  in case of no unsaturated lacuna (Fig.2a). If the RHS in the elliptic eqn.(1) does not change its sign inside  $D$ , the minimum principle applies for evapotranspiration regimes. Estimating the value and locus of this minimum within  $D$ , without solving the BVP itself, is important in ecohydrological applications.

A fascinating research area is to find optimal shapes of  $D$ , which give “sharp” Pólya and Szegő (1951) bounds. We recall: a circular elastic bar maxes the rigidity in the class of all equi-area bars. Does a circular  $D$  in Fig.1 possess the property of maximum  $V_w$  in case of an unsaturated lacuna? Does  $A_d$  attain an extremum on a circle? Mathematical questions of this kind can be extended and solutions – if found – adapted to arid zone hydrology in the Gulf.

644

## 645 **Appendix (Electronic Supplementary File)**

646

647 In this Appendix, we elaborate on the conformal radius, its definition and some known  
648 properties. The conformal radius is a characteristic of planar domains, which is not well-perceived  
649 even by mathematicians. Specifically, in the classical book by Pólya and Szegő (1951)  $R$  of domains is  
650 considered as a constant that is true if the point  $z_0$  is fixed. Only Bandle and Flucher (1996) and  
651 Avkhadiev and Wirth (2009) investigated the properties of  $R(x,y)$  for various  $D$ .

652 Let  $D$  be a simply connected plane domain such that  $\infty \notin D$ . Let  $z_0 = x + i y_0 \in D$  be a fixed  
653 point.

654 According to the Riemann mapping theorem there exists an analytic function  $w = g(z)$  that  
655 satisfies the conditions  $g(z_0) = 0$ ,  $g'(z_0) = \text{Re } g'(z_0) > 0$  and maps conformally the domain  $D$  onto the

unit disc  $D^* = D(0, 0, 1)$ , defined by  $|w| < 1$  in the  $w$ -plane.

The function  $g(z)/\operatorname{Re} g'(z_0)$  maps conformally the domain  $D$  onto the disc  $D(0, 0, R)$  of a radius  $R = 1/\operatorname{Re} g'(z_0) > 0$ . This positive number,  $R(z_0, D)$ , is called the conformal radius of the domain  $D$  at the point  $z_0$  (see, for example, Pölya and Szegő, 1951). By this definition, the number  $R$  is determined for every point  $z_0$  in  $D$ . Therefore, the conformal radius is a function, defined at every point  $z_0 \in D$  (see, for instance, Bandle and Flucher, 1996, Avkhadiev and Wirths, 2009). Using explicit conformal mappings one easily gets the following known (see e.g. Bandle and Flucher, 1996) formulas

$$R(x + iy, D^*) = 1 - x^2 - y^2, \quad R(x + iy, D^{**}) = 2x, \quad R(x + iy, D^{***}) = 2 \sin x$$

where  $D^{**}$  is the half-plane defined by  $x > 0$ , and  $D^{***}$  is the strip, defined by  $0 < x < \pi$ .

Of course, in the general case of an arbitrary domain  $D$  one has no explicit formulas for conformal radii of domains. Fortunately, there are several useful identities, integral formulas and explicit estimates for the conformal radius of arbitrary simply connected domains.

Consider an arbitrary conformal map by the function  $z = f(\zeta)$  of the unit disc onto the domain  $D$ . As a simple consequence of the definition of the conformal radius one has the following identity

$$R[f(\zeta), D] \equiv |f'(\zeta)| (1 - |\zeta|^2), \quad z = f(\zeta) \in D, \quad \zeta \in D^* = D(0, 0, 1)$$

Using this formula for a disc of a radius  $r_0$ , one easily gets

$$R[z, D(x_0, y_0, r_0)] = r_0 - \frac{(x - x_0)^2 + (y - y_0)^2}{r_0}$$

We emphasize that the conformal radius is connected with the hyperbolic Lobachevsky geometry in  $D$  via the formula  $R(z, D) \equiv 1/\lambda_D(z)$ , where  $\lambda_D(z)$  is the coefficient of the hyperbolic Poincaré metric in  $D$  with the Gaussian curvature  $c = -4$  (more details see, for instance, in Bandle and

Flucher, 1996, Avkhadiev and Wirths, 2009). This fact, which is not widely known even in the community of mathematicians working with the geometric theory of functions of complex variables, implies many useful consequences. In particular, we have used the Liouville equations and the estimates

$$\frac{1}{4}R(z, D) \leq \text{dist}(z, G) \leq R(z, D), \quad z \in D,$$

which are inferred from the classical Koebe one-quarter theorem and the Schwarz-Pick inequality (Avkhadiev and Wirth, 2009). It is noteworthy that the Liouville equation in the form

$$R(z, D)\Delta R(z, D) = |\nabla R(z, D)|^2 - 4, \quad z \in D,$$

where  $\Delta$  and  $\nabla$  are the Laplacian and gradient operators in the  $(x, y)$  plane, as well as a conformally invariant version of the Hardy inequality, were the pillars in the analysis of the properties of the moment of inertia  $I_c$  with respect to  $G$  (Avkhadiev, 1998).

In addition to the described classical properties of the conformal radius of a simply connected domain, we provide below three useful integral formulas, proved by Avkhadiev (2004). Namely, one has the following integral equalities:

$$A(D) = \frac{1}{2} \iint_D |\nabla R(z, D)|^2 dx dy,$$

$$\iint_D R^2(z, D) dx dy = \iint_D R^2(z, D) |\nabla R(z, D)|^2 dx dy,$$

and the inequality

$$2 \frac{\left( \iint_D R(z, D) dx dy \right)^2}{A(D)} \leq P(D),$$

696 where equality occurs if and only if  $D$  is a disc.

697 Using the latter inequality and the right side inequality in eqn.(10) we obtain

$$698 \quad V_w = \iint_D h(x, y) dx dy \leq h_0 A(D) - 2 \frac{e \left( \iint_D R(z, D) dx dy \right)^2}{4 h_0 A(D)}.$$

699 where the function  $h(x, y)$  is defined by the BVP (1)-(2) and  $h(x, y) \geq 0$  on the domain  $D$ .

700

701 **Data Availability Statement.** We did not use any new data.

702

## 703 Acknowledgments

704 Avkhadiev was supported by the Russian Science Foundation under the grant no.  
705 18-11-00115. Kacimov was supported by Sultan Qaboos University (SQU) via the grants  
706 IG/AGR/SWAE/18/01 and DR/RG/17.

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## List of Acronyms

**BVP**=Boundary value problem

**DF** =Dupuit-Forchhemier

**PDE**=Partial differential equation

**PK-62**=reference to Polubarinova-Kochina (1962)

**VG**=Van Genuchten

## Figures Legends

Fig. 1. Plan (aerial) view of an unconfined aquifer.

Fig.2. Vertical cross-sections. Unconfined aquifer with: no unsaturated lacunae a), one lacuna b), two lacunae c).

Fig.3. HYDRUS simulations for saturated-unsaturated axisymmetric flow in a circular cylinder

883 at  $t=600$  days: a) phreatic surface TB for  $|AB|=50$  cm.; b) isohumes  $\theta(x,Z)$ ; c) vectors of Darcian  
884 velocities; d) streamlines; e) phreatic surface with concave-up and concave-down segments for  
885  $|AB|=75$  cm.

886 Fig.4. HYDRUS simulations for a cylinder having  $r_0=20$  m at  $t=600$  days: a) phreatic surface TB  
887 for  $|AB|=50$  cm.; b) isohumes; c) Darcian velocities near AC; d) velocity magnitude along CD(left  
888 panel) and evaporation rate  $e(Z)$  computed in Wolfram's (1991) *Mathematica* (right panel); e)  
889 velocity along AB.

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