

1 **Breaking of internal Kelvin waves shoaling on a slope**

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18 Running title: Breaking of internal Kelvin waves shoaling on a slope

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21 **Key points:**

- 22 • A coastal-jet was shown to occur at the lateral wall of a cyclonically-propagating internal Kelvin
23 wave breaking over a uniform slope.
- 24 • The coastal-jet occurred under a geostrophic balance and generated an oblique downdraft running
25 down the slope, due to Coriolis.
- 26 • An equation was formulated for estimating the residual current due to the coastal-jet.

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31 **Abstract**

32 In stratified flow, breaking of internal waves over slopes induces resuspension of bottom sediments
33 and transport of mass. When internal waves shoal and break, flow dynamics and mass transport
34 differ greatly according to whether the Coriolis force is included or neglected. Despite its importance,
35 the currents generated by breaking internal Kelvin waves remain uninvestigated. Therefore, this
36 study considers breaking of internal waves over a uniform slope under Coriolis with equivalent
37 upper- and lower-layer depths. Laboratory experiments, using a 6.0 m rotating tank, were undertaken
38 to visualize currents using Particle Image Velocimetry. Experimental data validated a
39 three-dimensional fluid dynamics model, in-which a coastal-jet was simulated to occur at the lateral
40 wall (to the right) of the progressive internal Kelvin waves in the breaking zone; with generation of
41 an oblique downslope return flow (downdraft) under Coriolis. The coastal-jet was driven by the
42 geostrophic balance, and the equation for estimating the residual current, due to the jet, was
43 formulated. The results provide insight on mass transport in lakeshore and coastal zones.

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45 **Keywords:** Coriolis; geostrophic balance; coastal-jet; laboratory experiment; oblique downdraft;
46 residual current

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49 Introduction

50 In lakes and the ocean, breaking of internal waves over slopes plays an important role in
51 resuspension and transport of mass (e.g., Wuest and Lorke, 2003; Lamb, 2014; Boegman and Stastna,
52 2019). When internal waves shoal and break, the breaking induces resuspension of bottom sediments
53 (Gloor et al., 1994; Pierson and Weyhenmeyer, 1994; Steinman et al., 1997; Moum et al. 2003).
54 Residual currents, from internal wave shoaling, cause long-term sediment transport, which affects
55 biogeochemistry in coastal areas (Hosegood et al. 2004; Boegman and Ivey 2009; Aghsaei et al.
56 2015; Klymak and Moum, 2003; Scotti and Pineda, 2004; Davis and Monismith, 2011; Walter et al.,
57 2012).

58 For example, Pineda (1994) found that internal bores, from breaking of internal waves,
59 periodically influenced onshore transport of neustonic larvae in Southern California, Sandstrom &
60 Elliott (1984) suggested the occurrence of several large wave-shoaling events per tidal cycle was
61 sufficient to supply the required nutrients to the euphotic zone on the Scotian Shelf (Helfrich 1992),
62 and Reeder et al. (2011) observed sand waves (amplitudes ≤ 16 m and wavelengths ≤ 350 m) on the
63 continental formed by episodic shoaling of extreme internal solitary waves (ISWs) (>100 -m
64 amplitudes) during each lunar cycle. ISW breaking dynamics, including the formation of vortex
65 cores during breaking, Derzo & Grimshaw (1997) is important for long-term mass transport (Lamb,
66 2002). The flow dynamics and mass transport will differ greatly according to whether the Coriolis
67 force is included or neglected (Mortimer, 1952; Csanady, 1967; Hamblin, 1978; Maxworthy 1983;
68 Melville et al. 1990; Asplin et al., 1999; Ingvaldsen et al. 1999; Helfrich, 2007; de la Fuente et al.
69 2008; Grimshaw and Helfrich, 2012).

70 Prior research that considers breaking of internal waves over a slope without Coriolis has
71 demonstrated that the generation of high frequency internal waves, accompanying the change in
72 water depth over the slope, plays an important role in the type of internal wave breaking (Helfrich,
73 Melville & Miles, 1984; Helfrich and Melville, 2006; Nakayama et al., 2012). Boegman et al.
74 (2005a) also showed that degeneration, from a low-frequency internal seiche to high-frequency
75 ISWs is a significant factor, generating dynamic breaking in laboratory experiments using a tilting
76 tank. Similarly, the laboratory experiments and analysis using the mKdV model by Horn et al. (2000;

77 2001; 2002) and the analysis using the fully-nonlinear and strongly-dispersive internal wave
78 equations by Nakayama et al. (2019a) showed that degeneration from low to high frequency internal
79 waves, through fission, will occur readily over a mild slope of $< \sim 0.05$ (Nakayama et al., 2019b).

80 Bourgault et al. (2014) found that mass transport from the breaking of ISWs over a slope
81 differs according to the type of breaking. Boegman et al. (2005a) proposed an internal form of the
82 Iribarren number, modified after Galvin (1968), and three types of breaking for ISWs in a two-layer
83 laboratory system: spilling, plunging and collapsing breakers. Aghsaee et al. (2010) extended this
84 work to include fission and demonstrated that computational results can be classified using bottom
85 slope and wave slope. Sutherland et al. (2013), performed additional experiments and reconciled
86 differences between the prior laboratory and numerical work, classifying both in terms of the
87 internal Iribarren number. Nakayama et al. (2019b) proposed a new wave Reynolds number which
88 can delineate plunging and collapsing breakers based on bottom slope and wave slope.

89 There are many studies related to breaking of internal waves in a two-layer system when the
90 depths of the upper and lower layers are equivalent (e.g., Nakayama and Imberger, 2010). Nakayama
91 and Imberger (2010) showed, in laboratory experiments, that collapsing breakers predominate
92 regardless of layer depth, specific density ratios and wave slope. In general, collapsing breakers
93 occur when the depths of the upper and lower layers are the same (over the flat bottom) using the
94 critical depth, which is obtained from the nonlinear term of the KdV equation (Nakayama et al.
95 2019b).

96 It has long been known that internal wave dynamics vary greatly, when Coriolis effects are
97 included. Helfrich (2007) successfully evaluated the decay of internal Kelvin waves (IKWs), by
98 assuming that there is no change in the transverse direction in order to investigate ISWs with rotation
99 in the ocean (Grimshaw et al., 2014). As the other example of ISWs with rotation, Shimizu and
100 Nakayama (2017) also showed that bow-shaped ISWs propagate under the influence of the Earth's
101 rotation (Grimshaw et al., 2013), and soliton resonance for ISWs was found to occur with rotation.
102 Maxworthy (1983) demonstrated that the transverse scales of the topography are not negligible for
103 internal waves with rotation, in the oceanographic applications to sea straits and the continental

104 shelves. Similarly, Melville et al. (1989 & 1990) investigated the amplitude decay of IKWs,
105 propagating along the lateral wall of a channel.

106 The investigation of IKWs, with the presence of a lateral wall boundary, is of interest when
107 determining long-term mass transport in the coastal boundary layer. For example, Antenucci &
108 Imberger (2001) showed the importance of IKWs within the littoral zone of Lake Kinneret. Csanady
109 (1975) found, through observation and theory, that IKW motion is one of the key factors controlling
110 the coastal-jet in Lake Ontario (Csanady, 1972a and 1972b). Valipour et al. (2019) investigated
111 nearshore/offshore connectivity resulting from IKWs in Lake Erie. For IKWs, in the presence of a
112 lateral wall boundary, steepening occurs (Boegman et al., 2003), but production mechanisms of
113 ISWs remains unclear with energy being lost to internal Poincaré waves (de la Fuente et al., 2008;
114 Mortimer 2004). Moreover, research on steady state ISWs under Coriolis is lacking (Maxworthy
115 1983; Renouard et al., 1987; Melville et al. 1989; Melville et al. 1990); however, IKWs will be
116 preferentially generated over ISWs, for small amplitude waves, when the depths of the upper and
117 lower layers are equivalent and in the presence of a lateral wall boundary (Gill, 1982; Boegman et al.,
118 2005b).

119 Therefore, as a first step to investigate breaking of internal waves over a slope considering
120 Coriolis effects, it is necessary to study IKWs generated along the lateral wall when the depths of the
121 upper and lower layers are equivalent. For the same case without Coriolis, a collapsing breaker will
122 predominate over a uniform slope. A residual undertow, due to downdraft, will occur that drives an
123 offshore bottom current from the littoral zone below the density interface and induces long-term
124 mass transport (Nakayama and Imberger, 2010). However, an IKW progresses with a maximum
125 amplitude at the lateral wall and the amplitude decreases greatly in the wall normal direction.
126 Therefore, when Coriolis effects are considered, the mass transport mechanisms will likely differ
127 from those revealed by Nakayama and Imberger (2010).

128 This study investigates how residual currents are generated when the water depths of the upper
129 and lower layer are equivalent under Coriolis effects, using both laboratory experiments and
130 numerical computations. In the laboratory experiments, a 6.0 m rotating tank was visualized using
131 Particle Image Velocimetry (PIV). In the numerical computations, the Fantom model shown to have

132 high reproducibility and accuracy in internal wave breaking by Nakayama et al. (2012) and
133 Nakayama et al. (2019b), was applied to investigate the types of breaking of IKWs and residual
134 currents under varying Coriolis conditions.

135

136 Method

137 Laboratory experiments

138 Laboratory experiments were carried out using a rotating tank with a length of 6.0 m, a width
139 of 0.4 m, a water depth of 0.3 m. The tank was mounted on a rotating table with a width of 3.0 m and
140 a length of 6.0 m at a height 1.0 m from the ground (Figure 1(b)). The bottom slope was 0.3 m / 2.0
141 m. By setting the length of the wave paddle to 0.7 m and the length of the nose-like airfoil to 0.5 m,
142 the distance from the wave generation site to the end of the slope was 4.8 m. IKWs were generated
143 by oscillating the wave paddle, which was first designed by Thorpe (1978) and used in the previous
144 study by Nakayama and Imberger (2010). The nose-like airfoil was attached to prevent mixing
145 adjacent to the area where the pycnocline intersects the airfoil. Density stratification was produced
146 by slowly injecting salt water of $\rho_2 = 1020 \text{ kg m}^{-3}$ from two 0.03 m diameter holes in the bottom of
147 the tank after filling with fresh water of $\rho_1 = 1000 \text{ kg m}^{-3}$ to the desired layer depth. Since IKWs
148 have been shown to progress stably when the ratio of the upper- and lower-layer depths is 1,
149 equivalent 0.15 m depths were specified for each layer. The thickness of the pycnocline was 0.02 m.
150 In all experiments, the tank was spun-up for 30 minutes, so as to be in solid body rotation. From the
151 layer thickness ($= 0.15 \text{ m}$), viscosity ($= 10^{-6} \text{ m}^2 \text{ s}^{-1}$) and the Coriolis coefficient ($= 4\pi/30 \text{ s}^{-1}$), the
152 timescale characterizing spin-down is estimated to be 756 s, showing that 30 minutes is sufficient.
153 To make comparisons under the same conditions, the total cross-sectional flux, for producing IKWs,
154 was made equivalent in all cases of the laboratory experiments and numerical computations.

155 For the laboratory experiments, case ‘A’ without Coriolis effects and case ‘B2’ with a Coriolis
156 coefficient of $4\pi/30 \text{ s}^{-1}$ were carried out (Table 1). The period of IKWs, in both cases, was unified at
157 10.0 s, which corresponds to a wavelength of 1.2 m using a longwave celerity. The amplitude of
158 internal waves in case A was set to 0.01 m, and the same total cross-sectional flux was given in case
159 B2. To verify the computational results, the flow velocity was determined using PIV. The flow field

160 was illuminated over horizontal length of 0.40 m and vertical height of 0.15 m on the right side of
161 the IKW propagation direction using a Yag Laser (JAPAN LASER CORPOPATION, DPGL-2W)
162 with a sheet thickness of 0.005 m (Figure 1(b)). The video camera (CASIO EX-100 Pro) resolution
163 was 3840 x 2160 with a 30 s⁻¹ frame rate (Fujita, 2002; Fujita and Maruyama, 2002). The flow was
164 seeded with nylon crushed particles with a diameter of 80 μm and a specific gravity of 1.02. Since
165 internal waves reach the slope 50 s after generation, PIV measurements were performed for 70 s
166 (from 50 s to 120 s).

167

168 Numerical computations

169 A three-dimensional non-hydrostatic model, Fantom, was used to analyze the breaking of
170 internal Kevin waves shoaling on the uniform slope. Fantom is an object-oriented parallel computing
171 model that has been applied to analyze, not only real scale phenomena (Maruya et al., 2010;
172 Nakamoto et al., 2013; Nakayama et al., 2014; Nakayama et al., 2016), but also laboratory scale
173 phenomena (Nakayama et al, 2012; Nakayama et al., 2019b). Fantom is based on Direct Numerical
174 Simulation (DNS) as well as a generic length-scale (GLS) equation model (Jones and Launder, 1972;
175 Umlauf and Burchard, 2003), with a partial cell scheme used here to represent the uniform bottom
176 slope in the z-coordinate bathymetry (Adcroft, 1997). Nakayama and Imberger (2010) computed a
177 Kolmogorov scale of 0.56 mm, during collapsing breakers occurs over a uniform slope in a
178 laboratory experiment, which is similar to case A. The vertical grid spacings, in this study, of 2.0 mm
179 over the slope is 3.6 of the Kolmogorov scale and well within the grid limits for DNS (Moin and
180 Mahesh, 1998). Arthur and Fringer (2014) further demonstrated that 9 times the Kolmogorov scale is
181 sufficient of ISW breaking. Therefore, a sub-grid-scale closure scheme is not required in the present
182 study.

183 The size of the computational domain was 4.5 m in length and 0.4 m in height, with a 0.3 m
184 water depth and a slope of 0.3 m / 2.0 m (Figure 1(a)). To be consistent with laboratory experiments,
185 we applied an initial hyperbolic tangent stratification:

$$\rho(z) = \rho_1 + \frac{\Delta\rho}{2} \left(1 + \tanh \frac{z - h_2}{0.5\alpha} \right) \quad (1)$$

186

187 where z is the upward-positive vertical coordinate with origin at equilibrium water surface, h_2 is
 188 the lower-layer thickness, ρ_1 is the density of the upper layer, $\Delta\rho$ is the density difference between
 189 the upper and lower layers, and α ($= 0.02$ m) is the thickness of the pycnocline.

190 We considered the case with a lower-layer density $\rho_2 = 1020$ kg m⁻³ and an upper-layer
 191 density $\rho_1 = 1000$ kg m⁻³. IKWs were initialized with the theoretical solution of the flux with a
 192 phase of π in the upper and lower layer (left of Figure 1(a)). As in the laboratory experiments, the
 193 amplitude of IKWs in case A, without Coriolis, was set to 0.01 m and the other computations with
 194 Coriolis were performed by giving the same total cross-section flux in all cases:

$$U_{upper} = \frac{\varepsilon g a}{c_0} \exp\left(-\frac{f_c}{c_0} y\right) \sin\left(\frac{2\pi t}{t_i}\right) \quad (2)$$

195

$$U_{lower} = -U_{upper} \quad (3)$$

196

$$\varepsilon = \frac{\rho_2 - \rho_1}{\rho_2} \quad (4)$$

197

$$a_0 = a_m \frac{B}{\lambda_l} \frac{1}{1 - \exp(B/\lambda_l)} \quad (5)$$

198 where U_{upper} is the flux in the upper layer, a_0 is the amplitude of an IKW at the lateral wall, g is
 199 the gravitational acceleration, c_0 is the celerity of internal Kelvin wave, f_c is the Coriolis
 200 parameter, y is the width coordinate, t is the time, t_i is the period of the internal Kelvin wave, a_m
 201 is the amplitude without Coriolis ($= 0.01$ m), B is the width of the tank, U_{lower} is the flux in the
 202 lower layer, and λ_l is the inertial deformation radius ($= c_0 / f_c$).

204 The horizontal mesh size in the vicinity of the wave generator was 0.04 m, with a mesh of
 205 0.005 m given on the bottom slope where breaking of IKWs occurs. The vertical mesh was 0.01 m at
 206 the upper and lower ends and 0.002 m over the bottom slope. The computational time step was 0.01
 207 s, which corresponds to a CFL condition of 0.24 based on a longwave celerity and the smallest mesh
 208 size. A free surface was applied to the top boundary and no-slip conditions were given on the bottom
 209 and lateral boundaries. To investigate the effect of the Coriolis force, 5 different conditions were
 210 given, with Coriolis coefficient of $4\pi/20$ s⁻¹, $4\pi/30$ s⁻¹, $4\pi/40$ s⁻¹, $4\pi/80$ s⁻¹ and $4\pi/160$ s⁻¹ (Table 1).

211 As the long wave celerity was estimated to be 0.121 m s^{-1} , the minimum and maximum inertial
212 deformation radii were 0.19 m, 0.29 m, 0.39 m, 0.77 m and 1.54 m for cases B1 to B5, respectively.
213 The total computational time was set to 100 s, which reproduced the IKW reflecting from the slope
214 and reaching the wave generator.

215

216 Results

217 Laboratory experiments

218 To visualize the internal wave motion, a sequence of 5 images were superimposed (Figures 2a
219 to 2c and Figures 3a to 3c). For example, Figure 2a was made by superimposing the five particle
220 images from 54 s to $54 + 4/30$ s with an interval of $1/30$ s, which enables the particle trajectory
221 streaks to be visualized (shown by orange arrow). The location of the visualization was at $y = 0.005$
222 m, due to the thickness of a laser sheet. In the absence of the Coriolis force, the particle motions
223 revealed a downdraft (Figure 2c), with vertical circulation formed by the shoaling front of the
224 internal wave (Figure 2a), which ran up the slope (Figure 2b). The breaking type was confirmed to
225 be a collapsing breaker by comparing with the collapsing breaker shown in Nakayama and Imberger
226 (2010; their Fig. 6). We confirmed that a collapsing breaker occurred in the different vertical cross
227 section and there was no change in a breaking type in the spanwise direction. In contrast when
228 Coriolis was included, no strong downdraft over the slope was evident at $y = 0.005$ m (Figure 3c).
229 The vertical circulation at the front was also weak compared to the no Coriolis case (Figure 3a)
230 because the front circulation was strengthened by the downdraft in the case of no Coriolis (Figure
231 2a). The type of breaking, within the $y = 0.005$ m plane, was similar to a collapsing-surgng breaker,
232 which has a weaker vertical circulation at the front.

233 PIV was performed using the particle images (Figures 2a to 2c and Figures 3a to 3c).
234 Following the particle images, strong downdraft was found to occur without Coriolis (Figures 4c).
235 As a result of the collision of the downdraft and the front, strong vertical circulation was generated
236 (Figure 4a). The front then ran-up over the slope and separated as a part of the downdraft (Figure 4b).
237 When Coriolis was included, the downdraft did not form (Figure 5c). Thus, the front was revealed to
238 have less vertical circulation and the run-up distance was larger than the no Coriolis case, because

239 baroclinic energy converged on the lateral wall in case with Coriolis (Figures 4a and 5a). Overall, at
240 the lateral wall the velocity with Coriolis was shown to be larger than the no Coriolis case. In the
241 laboratory experiments, accurate visualization was only carried out at $y = 0.005$ m. It was difficult
242 to visualize inside the rotating tank due to the presence of large spanwise velocities perpendicular to
243 the visualization plane, resulting in out-of-plane motion through the laser sheet. Therefore, we relied
244 on the numerical computations to further investigate breaking of IKWs under different Coriolis
245 conditions.

246

247 Numerical computations

248 Collapsing breakers occurred at $y = 0.005$ m without Coriolis (case A), as in the laboratory
249 experiments (Figures 2d, 2e and 2f). During shoaling, the downdraft from the previous wave
250 established a circulation at the internal wave front, which ran-up over the slope, establishing a
251 downdraft. Again, in case B2 when the IKW ran-up over the slope the breaking type was found to be
252 collapsing-surgling at $y = 0.005$ m and the thickness of the front was smaller than the no Coriolis
253 case because downdraft was not evident at $y = 0.005$ m (Figures 3d, 3e and 3f). Good agreement
254 was found between the DNS and velocity vectors from the laboratory experiments, for cases both
255 with and without Coriolis (Figures 4 and 5). The time series of the horizontal velocity, around the
256 breaking zone at $x = 3.45$ m with $z = 0.15$ m, yielded a correlation coefficient, $R^2 = 0.92$
257 showing the quantitative performance of the model relative to the laboratory experiments (Figure 5).
258 In case B2, with a Coriolis coefficient of $4\pi/30$ s⁻¹, there was no clear evidence of a downdraft at y
259 $= 0.005$ m after the IKW ran-up over the slope, which suggests that the lower layer fluid transported
260 up-slope moved in the spanwise direction, during wave breaking, due to Coriolis with a return flow
261 at a different y position. Furthermore, since the inertial deformation radius was 0.29 m that, shorter
262 than the wavelength of IKWs, it was expected that Coriolis enhanced mass transport in the spanwise
263 direction.

264 In order to investigate how Coriolis affected breaking of IKWs, we compared the spanwise
265 pycnocline displacement for case A (without Coriolis) and cases B1 to B3 (with Coriolis coefficients
266 of $4\pi/20$ s⁻¹, $4\pi/30$ s⁻¹ and $4\pi/40$ s⁻¹; Figure 6). In case B1, where the Coriolis coefficient was the

267 maximum and the amplitude at the lateral wall was the largest, the run-up distance was at its
268 maximum and the breaker type was collapsing-surgling (Figure 6b). The definition of breaking
269 point used in Nakayama et al. (2012) was applied (vertical pressure gradient is zero), to investigate
270 the influence of Coriolis on breaking (Figure 6). When Coriolis was neglected, breaking occurred at
271 the point where the downdraft collided with the front; as shown in Nakayama et al. (2012; their
272 Figure 6a). For case B, where Coriolis was considered, the breaking point moved in the upslope
273 direction as y increased. Since the inertial deformation radius was 0.19 m in case B1, the amplitude
274 became too small to break over the slope at $y = 0.2$ m (Figure 6b). In contrast, for cases B2 and B3,
275 because the internal deformation radii were 0.29 m and 0.39 m, the IKW was found to break over the
276 slope at $y = 0.2$ m. The location of the breaking point in case B3 was similar to the no Coriolis case,
277 which suggests that Coriolis changes the breaking type in the spanwise direction. Cases B4 and B5
278 were omitted in Figure 6, because when the Coriolis coefficient is smaller and the internal
279 deformation radius is larger, these showed the same tendency as case B3. In particular, in case B5
280 with the smallest Coriolis coefficient, we could confirm the clear occurrence of vertical circulation at
281 the front, which can be seen under the no Coriolis case.

282

283 Residual current analysis

284 To investigate long-term mass transport, phase-averaged density distributions and velocities
285 were calculated adjacent to the lateral wall over three-wave periods, from the third to the fifth wave
286 after the IKW reached the slope. The phase-averaged pycnocline became thicker in all Coriolis cases
287 compared to the no Coriolis case (Figure 7). The maximum thickness of the phase-averaged
288 pycnocline occurred in case B1, where the amplitude at the lateral wall, was the maximum among all
289 cases (Table 1). The thickness of the phase-averaged pycnocline decreased with the amplitude at the
290 lateral wall (Figure 7). Cases B2 and B4 were omitted in Figure 7 because they showed the same rate
291 of decrease of the phase-averaged pycnocline thickness from case B1 to case B5. Since energy
292 damping due to the breaking of the IKW at the lateral wall becomes larger as the amplitude increases,
293 there is the possibility that the thickness of the phase-averaged pycnocline increases from case B5 to
294 case B1 are due to the strength of turbulence causing mixing during breaking of IKWs.

295 In the absence of Coriolis (case A) an upslope residual current was generated above the
296 phase-averaged pycnocline and a downslope return flow beneath the phase-averaged pycnocline
297 (residual undertow) to satisfy conservation of mass; as shown in Nakayama and Imberger (2010)
298 (Figure 8a). When Coriolis was included, the residual current reached 0.03 m s⁻¹ in case B1, which
299 was much larger than the no Coriolis case, because of energy concentration at the lateral wall. The
300 residual current, around the phase-averaged pycnocline, due to breaking of the internal Kelvin waves
301 was found to be unidirectional, upslope from the offshore breaking point. The phase-averaged
302 pycnocline elevation increased in the breaking zone (from $x = 3.4$ m to $x = 3.6$ m) with an increase
303 in the Coriolis coefficient. Csanady (1975) theoretically demonstrated that IKWs play a strong role
304 in generating the observed coastal-jets in Lake Ontario (Csanady, 1972a; Csanady, 1972b). Valipour
305 et al. (2019) also showed that coastal-jets are generated in Lake Erie due to IKWs using field
306 observations and a three-dimensional numerical model. Although IKW breaking is a key factor
307 driving the unidirectional residual current in this study, we may also refer to the residual current as a
308 ‘coastal-jet’ because the residual current is found to have the same unidirectional characteristics.

309 To analyze the coastal-jet in more detail, residual currents at $z = 0.15$ m and 1 mm above the
310 slope are shown in Figure 9. In case A (no Coriolis), there was no change in the residual current in
311 the spanwise direction (Figure 9a). However, a large difference in the spatial distribution of the
312 residual current existed among all Coriolis cases (Figure 9b). In case B3, the magnitude and
313 direction of the residual current changed greatly in the spanwise direction, which resulted in the
314 absence of a strong residual current at $y = 0.2$ m at $z = 0.15$ m. Furthermore, in the residual
315 current just above the slope, a downdraft was uniformly generated in the offshore direction without
316 Coriolis (Figure 9c), but ‘oblique downdraft’ occurred under Coriolis (Figure 9d). The existence of
317 the oblique downdraft, due to Coriolis, is expected to drive the coastal-jet around the phase-averaged
318 pycnocline (Figure 8).

319

320 Discussion and Conclusions

321 The numerical computations showed that the maximum velocity of the coastal-jet, u_B , that
322 occurred in case B1 was three times larger than that in case B5 (Table 1). To understand this great

323 effect of IKW breaking on the coastal-jet, we computed the phase-averaged velocity at the lateral
 324 wall by only considering wave motion (Equation (2)). It was found that the phase-averaged velocity
 325 without IKW breaking was much smaller than the coastal-jet, which suggests that the coastal-jet was
 326 induced by IKW breaking (Figure 10). In other words, there may be a possibility that IKW breaking
 327 enhances the speed of a coastal-jet adjacent to the lateral wall within the breaking zone. It is
 328 well-known that such a phase-averaged current is associated with a mean surface elevation in terms
 329 of the radiation stress, in the depth-integrated momentum-flux. Therefore, by decomposing the
 330 pycnocline elevation and the flow velocity into components greater-than-and-equal-to and
 331 less-than-and-equal-to the IKW period, the equilibrium momentum balance relation in the spanwise
 332 direction within the breaking zone in the lower layer over the wave period can be obtained:

$$\underbrace{\frac{\partial v_I}{\partial t} + u_I \frac{\partial v_I}{\partial x} + v_I \frac{\partial v_I}{\partial y}}_{\text{negligible}} + \overline{f_C u_I} = -\varepsilon g \frac{\partial \eta_I}{\partial y} - \underbrace{\frac{\rho_1}{\rho_2} g \frac{\partial \eta_S}{\partial y}}_{\text{negligible}} \quad (6)$$

333

$$f_C u_B = -\varepsilon g \left. \frac{\partial \eta}{\partial y} \right|_{\text{lateral wall}} \quad (7)$$

334

335 Here the upper horizontal bar indicates the phase average, v_I is the velocity in y direction in
 336 the lower layer, u_I is the velocity in x direction in the lower layer, η_I is the pycnocline elevation,
 337 η_S is the water surface elevation, u_B is the phase-averaged velocity within the breaking zone
 338 adjacent the lateral wall and η is the phase-averaged pycnocline elevation in the breaking zone.
 339 This approach is similar to the theoretical investigation of baroclinic currents by Csanady (1979), in
 340 which geostrophic balance was important for the generation of baroclinic currents. Charney (1955)
 341 also showed the occurrence of a coastal-jet under the geostrophic balance.

342

343 A clear breaking zone was found in the range of $x = 3.4$ m to 3.5 m (Figures 6 and 7), and
 344 we computed $\partial \eta / \partial y$ at the lateral wall from the numerical computations. As a result, the velocity
 345 of the coastal-jet from Equation (7) agrees with the numerical computations, which shows that the
 346 geostrophic balance assumed in Equation (7) was established (Figure 10). Since it has been shown
 347 that a phase-averaged surface elevation drives mean flow under an oscillatory external force, such as
 radiation stress, the coastal-jet we obtained in this study is expected to be caused by an analogous

348 effect, which is distinct in that Coriolis controls mean flow. It should be noted that the
 349 phase-averaged advection term and the spanwise change in the phase-averaged surface elevation
 350 were confirmed to be negligible from the numerical computations.

351 From the numerical simulations it is possible to readily obtain the spatial distribution of a
 352 phase-averaged pycnocline, and so we applied Equation (7) to successfully estimate the velocity of
 353 the coastal-jet. However, it will be difficult from field observations to estimate $\partial\eta / \partial y$ at lakeshore
 354 or the lateral boundary of a sea strait. Therefore, we modify Equation (7) by introducing a new
 355 parameter, the effective pycnocline elevation at the lateral wall η_{B0} , and assuming that the gradient
 356 of η in the y direction is associated with η_{B0} and an inertial deformation radius, λ_I . The velocity
 357 of the coastal-jet, u_B , can be modelled as a function of η_{B0} when f_c and c_0 are constant
 358 (Equation (9)).

$$\left. \frac{\partial\eta}{\partial y} \right|_{lateral\ wall} = \frac{\partial}{\partial y} \left[\eta_{B0} \exp\left(-\frac{1}{\lambda_I} y\right) \right]_{lateral\ wall} = -\frac{\eta_{B0}}{\lambda_I} \quad (8)$$

359

$$u_B = \frac{\varepsilon g \eta_{B0}}{f_c \lambda_I} = \frac{\varepsilon g \eta_{B0}}{c_0} \quad (9)$$

360 where η_{B0} is the effective pycnocline elevation at the lateral wall.

362 The unknown parameter, η_{B0} , can be obtained by comparing Equations (7) and (9) as
 363 follows:

$$\eta_{B0} = -\frac{c_0}{f_c} \left. \frac{\partial\eta}{\partial y} \right|_{lateral\ wall} \quad (10)$$

364 Since the parameter, η_{B0} , is expected to be associated with turbulence, following Nakayama
 365 and Imberger (2010) we evaluated the critical amplitude, describing the strength of turbulence in
 366 internal wave breaking, to normalize the amplitude of the IKWs at the lateral wall and made
 367 comparisons with η_{B0} (Equation (11)) (Figure 11):

$$a_c = C_S h_2 = \sqrt{\frac{8}{\pi B_P^5}} h_2 \quad (11)$$

369

$$B_P = \frac{2\omega_0}{f_S} \quad (12)$$

370

$$t_0 = \frac{l_0}{t_B} \quad (13)$$

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$$t_0 = \frac{l_0}{t_B} \quad (13)$$

372

$$\omega_0 = \frac{2\pi t_0}{\sqrt{\varepsilon g a_0}} \quad (14)$$

373

374 where, a_C is the critical amplitude of internal wave breaking over a uniform slope, f_S is the
 375 breaking parameter over a uniform slope ($= 0.9$), t_B is the wave period of internal waves, l_0 is the
 376 horizontal length of a slope in the lower layer, and h_2 is the lower layer depth.

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We found $a_C = 0.00126$ m, which is much smaller than the amplitude at the lateral wall, showing that in the absence of Coriolis the normalized amplitude $a_0 / a_C = 8$, which means that reflection from the slope can be ignored (Nakayama and Imberger, 2010). In case B5, where Coriolis was the smallest, the velocity of the coastal-jet was found to be almost the same as the offshore current in case A (no Coriolis) (Figure 8). In other words, when turbulence due to IKW breaking occurs under conditions where λ_I is larger than the wavelength of the IKW (1.2 m), the geostrophic balance may be negligible in the phase-averaged field and consequently the coastal-jet becomes similar to the offshore current under the no Coriolis case. Melville et al. (1990) similarly demonstrated the importance of Coriolis when the Rossby radius was smaller than the wavelength of an IKW (Csanady, 1975). In addition, it appears that η_{B0} / a_C is a linear function of a_0 / a_C (Figure 11). Therefore, the velocity of coastal-jet may be estimated using the following equation when λ_I is equal to or smaller than the IKW wavelength.

$$u_B = \beta \frac{\varepsilon g a_0}{c_0} \quad (15)$$

389

390 where $\beta \sim 0.75$ from Figure 11.

391 The proposed equations presented in this paper may allow predictions to be made for a
392 coastal-jet in lakes and sea straits. As an example, we refer the results from a field study in Lake Erie
393 by Valipour et al. (2019). They investigated two coastal-jets generated by IKWs in Lake Erie by
394 deploying acoustic doppler current profilers and thermistor chains. One was a westward coastal-jet
395 with a phase speed of 0.37 m s^{-1} in the eastern basin, and the other is also a westward coastal-jet with
396 a phase speed of 0.22 m s^{-1} in the central basin. The specific density difference was about 0.0029
397 between a $5 \text{ }^\circ\text{C}$ hypolimnion and $25 \text{ }^\circ\text{C}$ epilimnion. The Estuary and Lake Computer Model
398 (ELCOM) was applied to estimate the velocity of the coastal-jets to be $\sim 0.3 \text{ m s}^{-1}$ in the eastern
399 basin and $\sim 0.2 \text{ m s}^{-1}$ in the shallower central basin. Valipour et al. (2015) measured the
400 displacement of pycnocline in the central basin of Lake Erie to be $< \sim 5 \text{ m}$. We may thus use
401 Equation (15) to estimate the velocity of a coastal-jet in the central basin to be $u_B = 0.10 \text{ m s}^{-1}$, 0.20
402 m s^{-1} and 0.30 m s^{-1} when the amplitudes of IKWs are 1.0 m, 2.0 m and 3.0 m, respectively, which
403 reasonably agree with the velocity of the coastal-jets from ELCOM, 0.2 m s^{-1} . But, the computed
404 velocity of the coastal-jets by ELCOM was also similar to the linear baroclinic phase speed.
405 Therefore, further research is needed to confirm the generation mechanisms energizing coastal-jets.

406 Experiments on breaking of IKWs over a slope using a rotating tank showed that the presence
407 of the Coriolis force drives collapsing-surgings breakers adjacent to the lateral wall. The type of
408 breaking changed, in the spanwise direction, when the depths of the upper and lower layers were the
409 same; although collapsing breakers dominated when Coriolis was neglected. Using a
410 three-dimensional DNS model, a coastal-jet was shown to occur adjacent to the lateral wall on the
411 right side of the progressive IKWs under Coriolis. The coastal-jet was found to be driven by an
412 oblique downdraft running down the slope due to Coriolis. Therefore, in addition to the existing
413 theory on known mechanisms, a new mechanism to generate coastal-jets was proposed in this study
414 characterized by IKW breaking.

415 Furthermore, an equation for estimating the residual current, due to the jet, was formulated, it
416 was demonstrated that the normalized amplitude (a_0 / a_C) has a value of about 0.5 to 20 at
417 field-scale (Nakayama and Imberger, 2010). It is possible for the range of (a_0 / a_C) to be from 6 to
418 20, to predict the occurrence of the coastal-jet, which may control long-term mass transport, using

419 Equation (15). Therefore, even when the thicknesses of the upper and lower layers are the same, it is
420 important to collect additional data, in future, with different Coriolis coefficients and normalized
421 amplitudes in order to verify Equation (15) for predicting u_B using f_C , a_0/a_C and η_{B0} ;
422 especially the case when a_0/a_C is from 0.5 to 6.0. Additionally, in the future, it is necessary to
423 investigate the long-term mass transport due to IKWs under different upper- and lower-layer
424 thicknesses.

425

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429 binary (windows, mac, linux) of the three-dimensional hydrodynamic model, Fantom, used in this
430 study, is available from <http://www.comp.tmu.ac.jp/shintani/fantom.html>. The model outputs
431 are available from <https://github.com/kuaqua/JGR2019>, “The model outputs for Nakayama et
432 al. (2019)”.

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613 Figure captions:

614

615 Figure 1 Schematic diagram of the (a) computational domain and (b) laboratory experiment tank.

616

617 Figure 2 PIV particle and density distributions without the Coriolis effect under case A at $y = 0.005$
618 m. PIV particle distributions in the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t =$
619 60 s. Density distributions in the numerical computations at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t =$
620 60 s. Green squares indicate the PIV analysis region corresponding to Figures 2a, 2b and 2c.

621

622 Figure 3 PIV particle and density distributions with the Coriolis coefficient of $4\pi/30$ (s^{-1}) under case
623 B2A at $y = 0.005$ m. PIV particle distributions in the laboratory experiments at (a) $t = 54$ s, (b) $t =$
624 58 s and (c) $t = 60$ s. Density distributions in the numerical computations at (d) $t = 54$ s, (e) $t =$
625 58 s and (f) $t = 60$ s. Green squares indicate the PIV analysis region corresponding to Figures 3a,
626 3b and 3c.

627

628 Figure 4 Velocity vectors without the Coriolis effect under case A at $y = 0.005$ m. PIV analysis in
629 the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t = 60$ s. Numerical computations
630 at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t = 60$ s. Green squares indicate the PIV analysis region
631 corresponding to Figures 4a, 4b and 4c.

632

633 Figure 5 Velocity vectors with the Coriolis coefficient of $4\pi/30$ s^{-1} under case B2 at $y = 0.005$ m.
634 PIV analysis in the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t = 60$ s. Numerical
635 computations at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t = 60$ s. Green squares indicate the PIV analysis
636 region corresponding to Figures 5a, 5b and 5c. (g) Horizontal velocity at $x = 3.45$ m and $z = 0.15$
637 m.

638

639 Figure 6 Spanwise pycnocline displacement when breaking points appear at the lateral wall (thick
640 solid lines), at $y = 0.1$ m (thin solid lines) and at $y = 0.2$ m (broken lines). Green circles indicate
641 breaking points. (a) case A: no Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20$ s^{-1} , (c) case
642 B2: Coriolis coefficient of $4\pi/30$ s^{-1} and (d) case B3: Coriolis coefficient of $4\pi/40$.

643

644 Figure 7 Phase averaged density distribution for one IKW period at the lateral wall (a) case A: no
645 Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20$ s^{-1} , (c) case B3: Coriolis coefficient of
646 $4\pi/40$ s^{-1} and (d) case B5: Coriolis coefficient of $4\pi/160$ s^{-1} .

647

648 Figure 8 Residual current adjacent to the lateral wall. Solid lines indicate phase-averaged pycnocline
649 elevation for one IKW. (a) case A: no Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20$ s^{-1} ,
650 (c) case B3: Coriolis coefficient of $4\pi/40$ s^{-1} and (d) case B5: Coriolis coefficient of $4\pi/160$ s^{-1} .

651

652 Figure 9 Residual current at $z = 0.15$ m of (a) case A: without the Coriolis effect, (b) case B3: with a
653 Coriolis coefficient of $4\pi/40$ s^{-1} . Residual current adjacent to the slope of (c) case A: no Coriolis
654 effect, and (d) case B3: Coriolis coefficient of $4\pi/40$ s^{-1} .

655

656 Figure 10 Comparisons of u_B between computations and theoretical solutions at $x = 3.5$ m.

657

658 Figure 11 Normalized amplitude, a_0 / a_C , and η_{B0} / a_C .

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663 Table caption

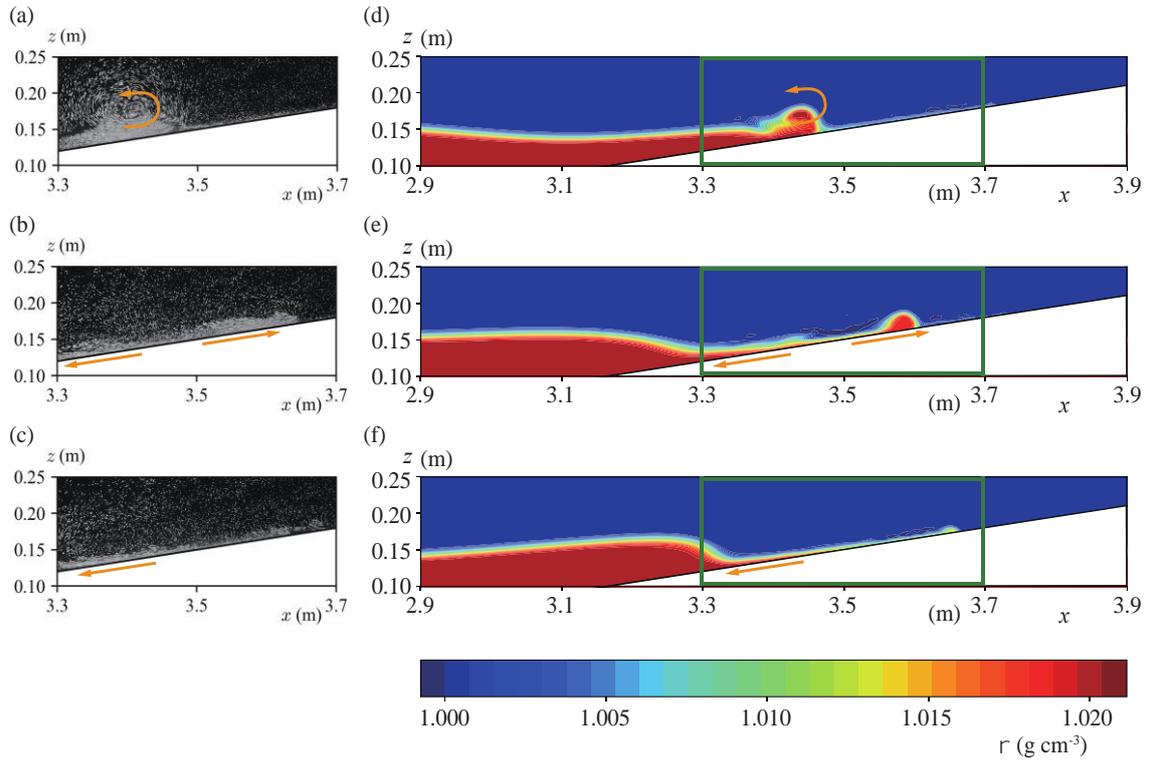
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665 Table 1 Computational conditions. The upper- and lower-layer depths were 0.15 m and 0.15 m,
666 respectively, ε was 0.02, the period of an IKW was 10.0 s, and the width of the tank was 0.4 m.

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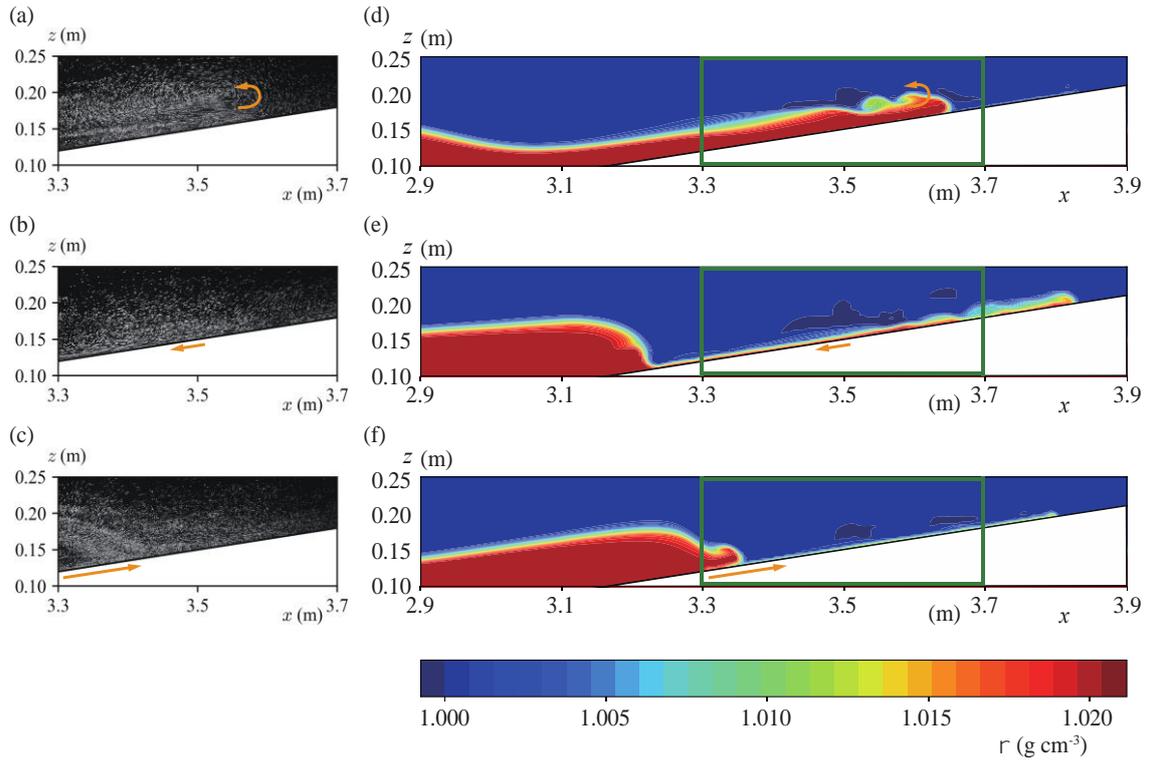
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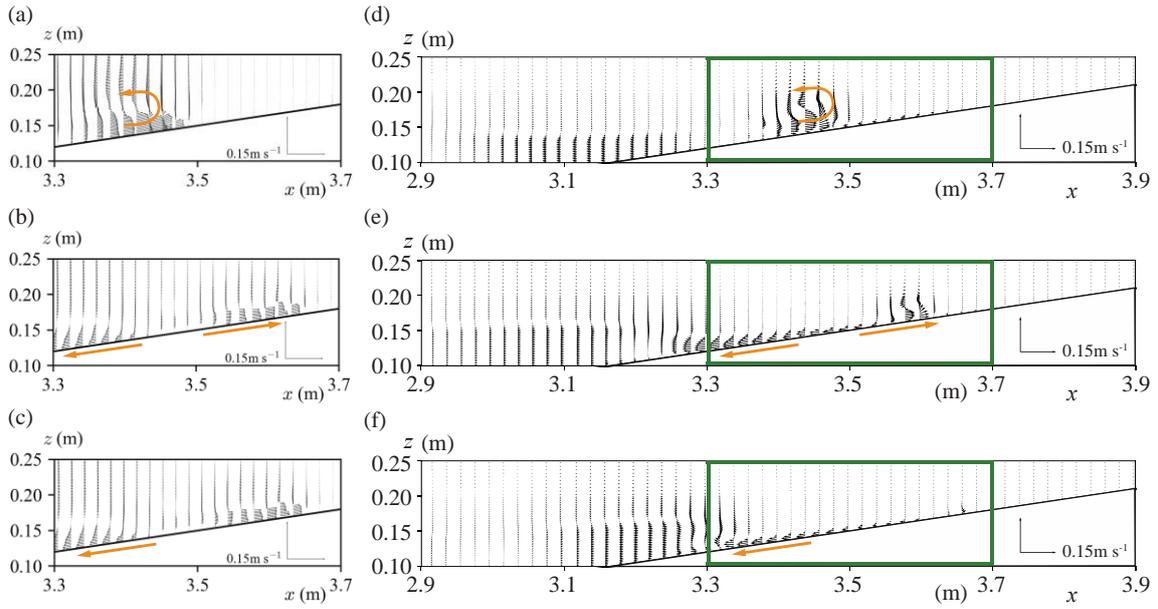
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Figure 2 PIV particle and density distributions without the Coriolis effect under case A at $y = 0.005$ m. PIV particle distributions in the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t = 60$ s. Density distributions in the numerical computations at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t = 60$ s. Green squares indicate the PIV analysis region corresponding to Figures 2a, 2b and 2c.



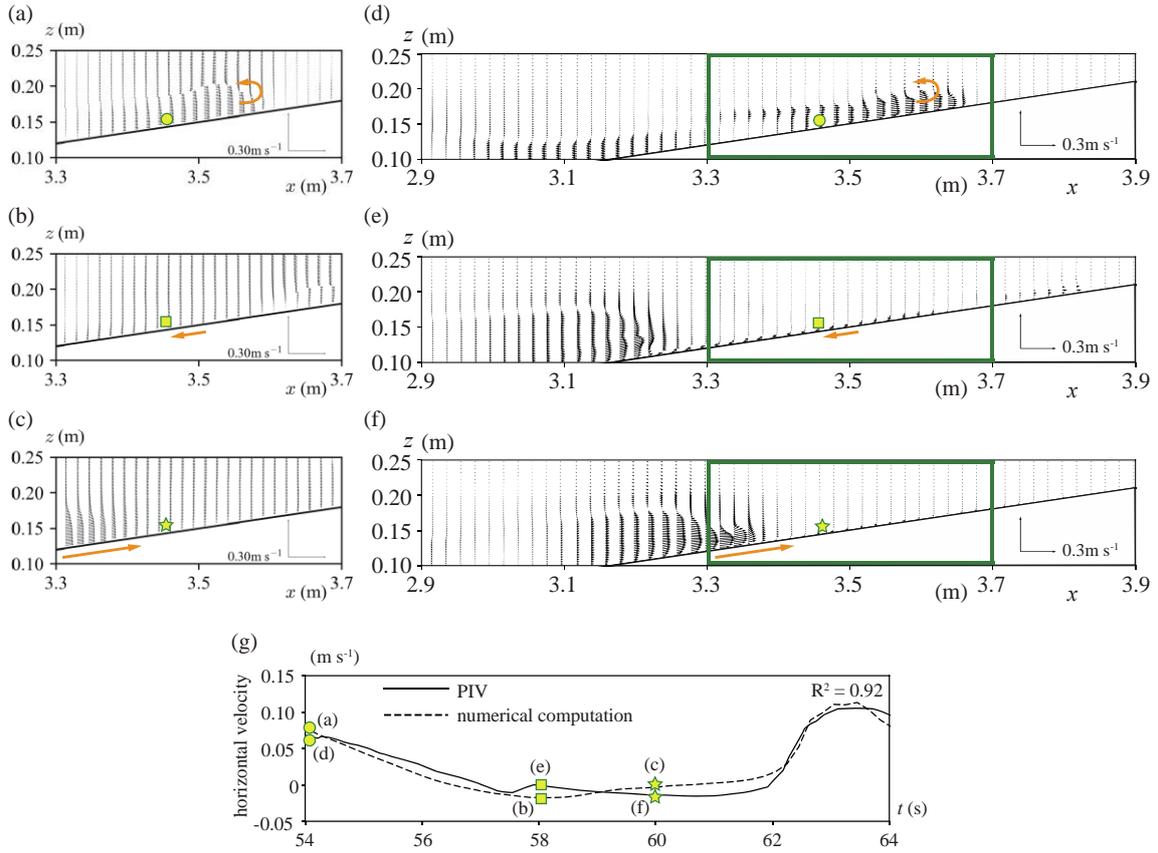
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Figure 3 PIV particle and density distributions with the Coriolis coefficient of $4\pi/30$ (s^{-1}) under case B2A at $y = 0.005$ m. PIV particle distributions in the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t = 60$ s. Density distributions in the numerical computations at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t = 60$ s. Green squares indicate the PIV analysis region corresponding to Figures 3a, 3b and 3c.



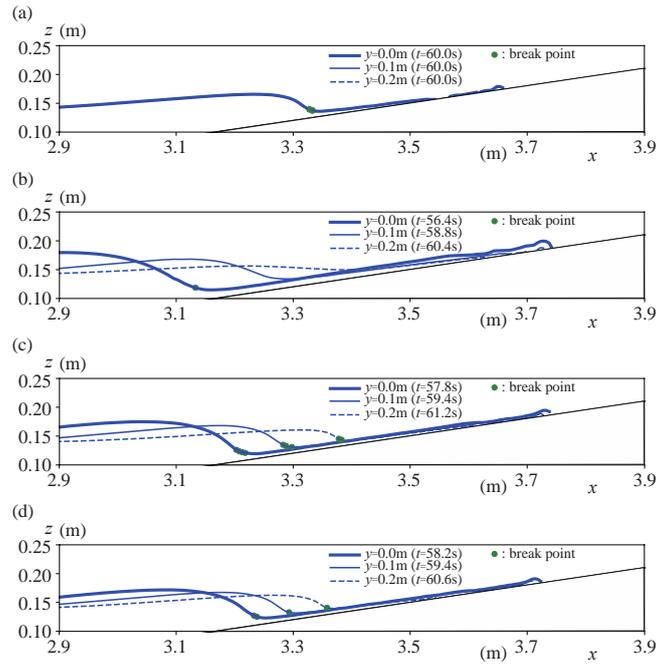
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Figure 4 Velocity vectors without the Coriolis effect under case A at $y = 0.005$ m. PIV analysis in the laboratory experiments at (a) $t = 54$ s, (b) $t = 58$ s and (c) $t = 60$ s. Numerical computations at (d) $t = 54$ s, (e) $t = 58$ s and (f) $t = 60$ s. Green squares indicate the PIV analysis region corresponding to Figures 4a, 4b and 4c.



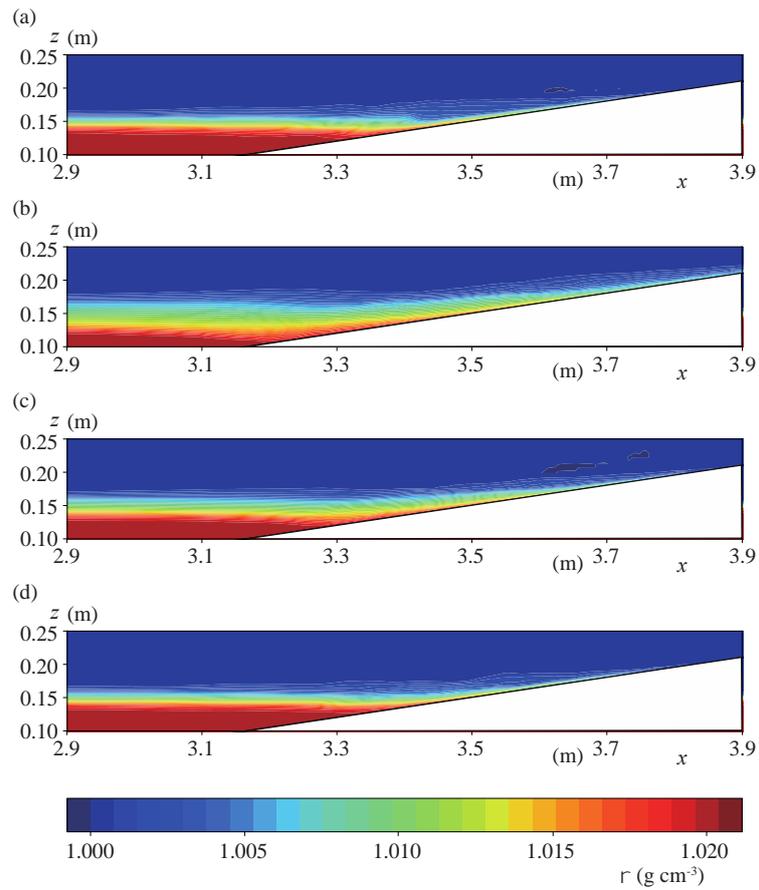
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Figure 5 Velocity vectors with the Coriolis coefficient of $4\pi/30 \text{ s}^{-1}$ under case B2 at $y = 0.005 \text{ m}$. PIV analysis in the laboratory experiments at (a) $t = 54 \text{ s}$, (b) $t = 58 \text{ s}$ and (c) $t = 60 \text{ s}$. Numerical computations at (d) $t = 54 \text{ s}$, (e) $t = 58 \text{ s}$ and (f) $t = 60 \text{ s}$. Green squares indicate the PIV analysis region corresponding to Figures 5a, 5b and 5c. (g) Horizontal velocity at $x = 3.45 \text{ m}$ and $z = 0.15 \text{ m}$.



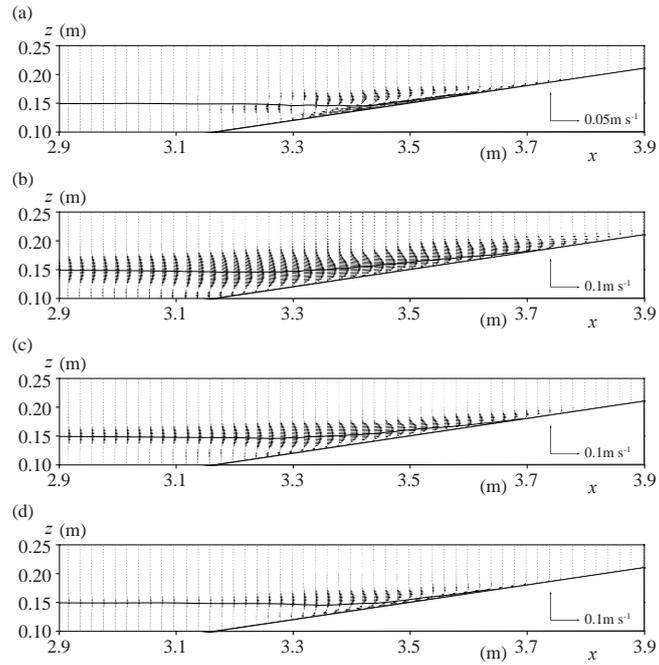
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Figure 6 Spanwise pycnocline displacement when breaking points appear at the lateral wall (thick solid lines), at $y = 0.1$ m (thin solid lines) and at $y = 0.2$ m (broken lines). Green circles indicate breaking points. (a) case A: no Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20$ s^{-1} , (c) case B2: Coriolis coefficient of $4\pi/30$ s^{-1} and (d) case B3: Coriolis coefficient of $4\pi/40$.



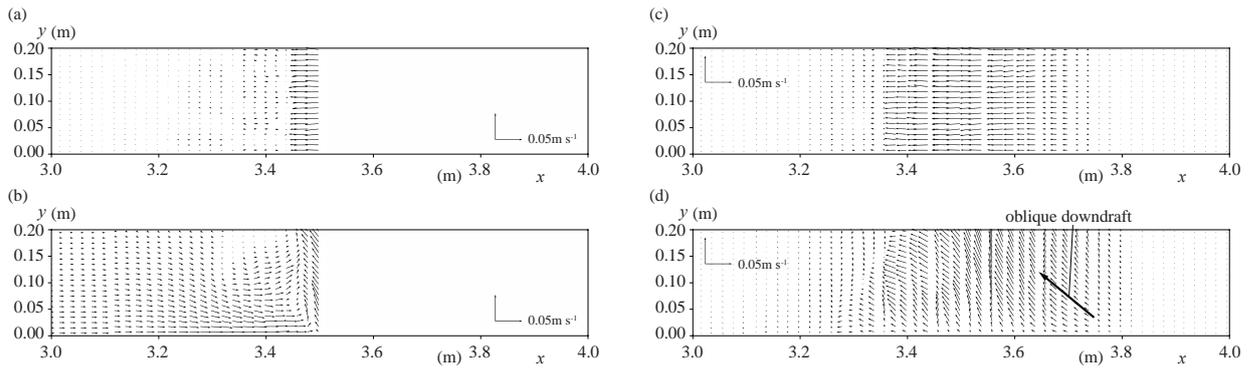
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Figure 7 Phase averaged density distribution for one IKW period at the lateral wall (a) case A: no Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20 \text{ s}^{-1}$, (c) case B3: Coriolis coefficient of $4\pi/40 \text{ s}^{-1}$ and (d) case B5: Coriolis coefficient of $4\pi/160 \text{ s}^{-1}$.



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Figure 8 Residual current adjacent to the lateral wall. Solid lines indicate phase-averaged pycnocline elevation for one IKW. (a) case A: no Coriolis effect, (b) case B1: Coriolis coefficient of $4\pi/20 \text{ s}^{-1}$, (c) case B3: Coriolis coefficient of $4\pi/40 \text{ s}^{-1}$ and (d) case B5: Coriolis coefficient of $4\pi/160 \text{ s}^{-1}$.



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Figure 9 Residual current at $z = 0.15$ m of (a) case A: without the Coriolis effect, (b) case B3: with a Coriolis coefficient of $4\pi/40 \text{ s}^{-1}$. Residual current adjacent to the slope of (c) case A: no Coriolis effect, and (d) case B3: Coriolis coefficient of $4\pi/40 \text{ s}^{-1}$.

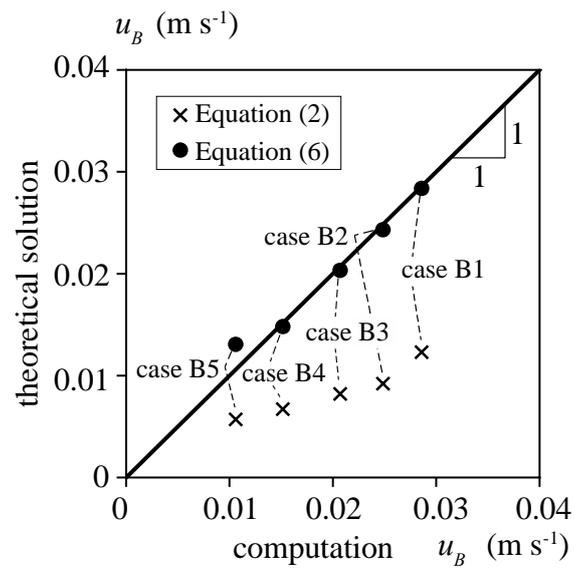


Figure 10 Comparisons of u_B between computations and theoretical solutions at $x = 3.5$ m.

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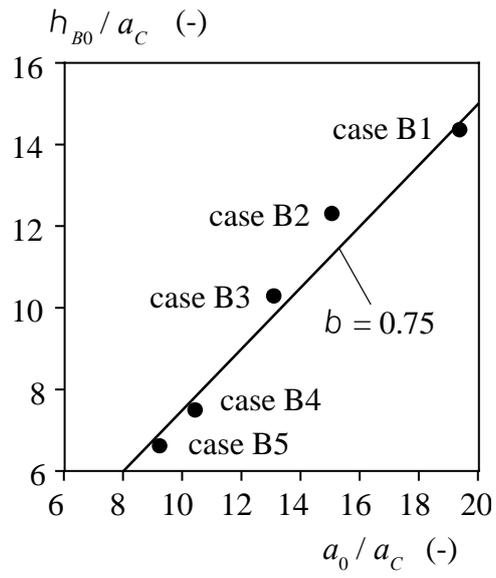


Figure 11 Normalized amplitude, a_0 / a_C , and η_{B0} / a_C .

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745 Table 1 Computational conditions. The upper- and lower-layer depths were 0.15 m and 0.15 m,
746 respectively, ε was 0.02, the period of an IKW was 10.0 s, and the width of the tank was 0.4 m.

	f_C (s^{-1})	λ_I (m)	a_0 (m)	η_{B0} (m)	u_B ($m\ s^{-1}$)
case A	-	-	0.010	-	-
case B1	$4\pi/20$	0.19	0.024	0.018	0.029
case B2	$4\pi/30$	0.29	0.018	0.015	0.025
case B3	$4\pi/40$	0.39	0.016	0.013	0.021
case B4	$4\pi/80$	0.77	0.013	0.009	0.015
case B5	$4\pi/160$	1.54	0.011	0.008	0.011

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