

1 **Relationships between intensity of deformation induced Cr-Al**  
2 **chemical zoning and geometrical properties of spinel: An**  
3 **approach applying machine learning analysis**

4 Tae-Hoon Uhm<sup>b\*1</sup> and Katsuyoshi Michibayashi<sup>1,2</sup>

5 1. Department of Earth and Planetary Sciences, Graduate School of Environmental Studies, Nagoya  
6 University, Nagoya 464-8601, Japan

7 2. Volcanoes and Earth's Interior Research Center, Research Institute for Marine Geodynamics,  
8 JAMSTEC, Yokosuka 237-0061, Japan

9 \* Corresponding author

10 **Abstract**

11 Chemical zoning of minerals, which is commonly caused by incomplete chemical reaction, is often  
12 utilized to study magma cooling processes, and metamorphic rock reaction paths. Cr-Al chemical  
13 zoning of spinel has been reported as induced by deformation (lattice diffusion). However, there are no  
14 studies that address the correlates of chemical distribution (intensity) with deformation, and application  
15 methods of the Cr-Al chemical zoning. In this study, we observed differences for intensities of the Cr-  
16 Al chemical zoning with various geometrical properties of spinel grains within a dunite sample in the  
17 Transition Zone in the Horoman Peridotite Complex in Hokkaido, Japan. Using machine learning  
18 analysis, we present relationships between the intensities of the Cr-Al zoning and geometrical properties  
19 of spinel grains. We examine connections between the relationships and deformation mechanisms of  
20 spinel and estimate deformation temperature based on the results. As a consequence, the spinel grains  
21 are clustered into three groups based on the chemical zoning intensity. The intensity is more importantly  
22 affected by grain size than aspect ratio and is much greater with increasing grain size. These results  
23 suggest that lattice diffusion actively contributes more to total diffusion creep of spinel than grain  
24 boundary diffusion with increasing grain size. The deformation temperature of spinel is estimated as  
25 1250°C–1100 °C by comparing diffusion flux ratio ( $R_{diff}$ ) and the spinel grains.

26

27 **Plain Language Summary**

28 Chemical zoning is a feature characterized by optical changes in color or extinction from core to rim in  
29 many species of minerals. The features have been used to estimate magmatic or metamorphic conditions  
30 they are generated, because chemical zoning is commonly developed by chemical reactions during  
31 magma cooling process and metamorphism. Previous studies reported the Cr-Al chemical zoning within  
32 spinel is derived by not cooling process or metamorphism, but deformation. But there are no studies  
33 investigating characteristics and application methods of the Cr-Al chemical zoning. In this study, we  
34 observed that spinel grains show different characteristics of the Cr-Al chemical zoning according to  
35 their size and shape within a dunite in Hokkaido, Japan. Machine learning analysis is a good tool to  
36 help us understand hidden relationships between factors which we do not know. By using the utility of  
37 the tool, we analyzed the relationships between the characteristics of the Cr-Al chemical zoning and  
38 their size and shape. Given the analyzed results, we interpreted connections between the relationships  
39 and deformation mechanisms of spinel. And we estimated deformation temperature of the spinel grains  
40 by using the connections.

41 Keywords: machine learning, Cr-Al chemical zoning, spinel, diffusion creep, lattice diffusion, grain  
42 boundary diffusion, deformation temperature.

43

44 Key points

- 45 1. Intensities of Cr-Al chemical zoning of spinel are different according to geometrical properties  
46 of each spinel.
- 47 2. Using machine learning analysis, we present relationships between the intensity of chemical  
48 zoning and geometrical properties of spinel.
- 49 3. The relationships are explained by diffusion flux ratio of the spinel with diffusion mechanisms,  
50 implying new application method of the Cr-Al chemical zoning.

## 51 **1. Introduction**

52 Chemical zoning is a chemical disequilibrium feature that is observed in igneous and  
53 metamorphic rocks from various mineral species (Hollister, 1970; Loomis, 1976; Tracy and McClellan,  
54 1985; Hickmott and Shimizu, 1990; Allaby, 2013). Chemical zoning is commonly caused by incomplete  
55 chemical reactions attempting to maintain chemical equilibrium with magma or slow diffusion kinetics  
56 of cation inhibiting chemical homogenization during metamorphism or change in the chemical reaction  
57 environment (Vance, 1965; Nakamura, 1973; Loomis, 1983; Hickmott et al., 1987; Chakraborty and  
58 Ganguly, 1991; Hoskin and Schaltegger, 2003). Given the characteristics of chemical zoning, chemical  
59 zoning is commonly used as evidence for interpreting the reaction history of metamorphic rocks and  
60 cooling processes of magma and so on (Vance, 1965; Nakamura, 1973; Loomis, 1983; Hickmott et al.,  
61 1987; Chakraborty and Ganguly, 1991; Hoskin and Schaltegger, 2003).

62 Ozawa (1989) firstly reported that the Cr-Al chemical zoning of elongated spinel grains in  
63 naturally deformed peridotites can be derived by deformation (lattice diffusion), suggesting a model in  
64 which the chemical zoning induced by diffusion creep is derived by a difference of diffusivity between  
65 Cr and Al during deformation. Suzuki et al. (2008) demonstrated that detailed lattice diffusion processes  
66 induced the Cr-Al chemical zoning of spinel grains by measuring Cr and Al self-diffusion coefficients  
67 from the Cr-Al interdiffusion experiment. Ozawa (1983, 1989) also reported that the chemical  
68 distributions of Cr from spinel grains showing the chemical zoning are different in accordance with  
69 each spinel grain, and the differences in element concentration in the spinel grains can be used as a tool  
70 to discriminate deformation conditions. However, no studies have been conducted to analyze and  
71 interpret the chemical properties of the Cr-Al chemical zoning and distinguished application methods  
72 for the chemical properties to estimate deformation conditions.

73 In this study, we observed that each spinel grain showing the Cr-Al chemical zoning exhibits  
74 each different distributions of Cr and Al depending on their geometrical properties including grain size  
75 and aspect ratio from a dunite sample in Horoman Peridotite Complex. Grain size is well known for  
76 one of important factor controlling chemical distributions of chemical zoning in various minerals by  
77 providing information on growth rates of minerals during metamorphism, it has been broadly discussed

78 as a tool estimating metamorphic grade (Jones and Galwey, 1966; Kretz, 1973; Cashman and Ferry,  
79 1988; Carlson, 1989; Carlson and Denison, 1992; Chernoff and Carlson, 1997; Denison and Carlson,  
80 1997; Gaidies et al., 2008; Prenzel et al., 2009). The model for Ozawa (1989) and Suzuki et al. (2008)  
81 is demonstrating that the Cr-Al chemical zoning of spinel is induced by deformation, and aspect ratio  
82 of elongated mineral is widely used to first order factor describing deformation (Bell, 1978; George,  
83 1978; Thayer, 1980; Misseri & Boudier, 1985; Platt and Behrmann, 1986; Goodge et al., 1993; Grujic  
84 and Mancktelow, 1995; Michibayashi et al., 2013). The observations in this study and the importance  
85 for the geometrical properties discussed from previous studies represent that there might be important  
86 relationships between the geometrical properties and the Cr-Al chemical zoning of spinel, but no study  
87 for the relationships has ever been attempted.

88 Machine learning is an algorithm making a model presenting relationships between features  
89 of data from a data set, well known as training data. Due to this specialty of machine learning, machine  
90 learning is often utilized in various fields to perceive hidden patterns or connections between data that  
91 have relationships we do not know (Wang et al., 2009; Angra and Ahuja, 2017; Shinde and Shah, 2018;  
92 Dhall et al., 2020; Shaukat et al., 2020; Dahiya et al., 2022). Considering the usage of machine learning,  
93 we applied the machine learning to find out the relationships that have not been studied.

94 Using EPMA analysis, we firstly investigate various chemical features of the Cr-Al chemical  
95 zoning observed from spinel grains. We then used machine learning algorithms to investigate the  
96 relationships between Cr-Al chemical zoning and geometrical properties of spinel grains. We  
97 distinguish the deformation temperature of the dunite sample based on the analyzed data and interpret  
98 connections between the analyzed relationships and diffusion mechanisms of spinel grains.

99

## 100 **2. Characteristics of Cr-Al chemical zoning in spinel grains**

### 101 **2.1 Analytical Method**

102 At Nagoya University in Nagoya, Japan, a scanning electron microscope (SEM) equipped with  
103 electron backscatter diffraction (EBSD) equipment (HITACHI S-3400N Type II with HKL Channel5)  
104 is used to analyze the thin section. The accelerating voltage is 20 kV, the working distance is 28 mm,

105 the sample tilt is 70°, and the low-vacuum mode is 30 Pa. EBSD data are collected using large-area  
106 mapping with a step size of 10 µm which is approximately 10 times smaller than the average grain size.  
107 Line scan analysis with an accelerating voltage of 20 kV, a working distance of 28 mm, and a low-  
108 vacuum mode of 30 Pa is used to collect energy dispersive X-ray spectroscopy (EDS) data.

109 The chemical distribution of Cr and Al in spinel grains is investigated using both area mapping  
110 and point analysis of an electron-probe microanalyzer (EPMA, JEOL JXA-8800R) at the Nagoya  
111 University with 100 nA and 12 nA of beam current and 20 kV and 15 kV of accelerating voltage,  
112 respectively. Area mapping is operated with a 1 µm of step size for spinel grains.

113 Image analysis software ImageJ is used to analyze the geometrical properties (grain size and  
114 aspect ratio) of spinel grains. Grain boundaries of spinel grains are traced from optical  
115 photomicrographs. The geometrical properties are estimated from best-fit ellipses of the tracing grain  
116 boundaries computed using ImageJ software.

117

## 118 **2.2 Sample description**

119 The analyzed spinel grains were collected from a dunite sample located in the dunite layer  
120 within the Transition Zone between the Upper and Lower Zone in the Horoman Peridotite Complex in  
121 Hokkaido, northern Japan (Niida, 1974; Ozawa and Takahashi, 1995; Takazawa et al., 1999; Ozawa,  
122 2004; Takahashi, 2004; Sawaguchi, 2004; Malaviarachchi et al., 2008; Malaviarachchi et al., 2010;  
123 Yoshikawa et al., 2019) (Fig. 1a-b). A lineation characterized by a linear arrangement of elongated  
124 spinel grains on a foliation defined by grain shape preferred orientation of olivine and diopside was  
125 observed in the dunite sample. Because the chemical zoning is expected to develop along with the  
126 elongated orientation of spinel grains, microstructures were analyzed from a thin section cut  
127 perpendicular to the foliation and parallel to the lineation. The sample consists mainly of olivine with  
128 minor spinel and diopside (Fig. 1c-d). The grain size of olivine is coarse in a range of about 100–2000  
129 µm. Grain boundaries are interlobate and locally straight. Olivine grains show grain shape preferred  
130 orientation with sweeping undulose extinction and deformation band. Spinel grains exhibit various  
131 grain sizes ranging from 20 to 2200 µm. Spinel grains are elongated parallel to the preferred orientation

132 of olivine grains with various aspect ratios. [Figure 2](#) presents the CPOs of olivine grains from the thin  
133 section. The lineation (X) and the foliation normal (Z) characterize the structural framework of CPOs.  
134 The CPOs are plotted by using one point per grain onto lower-hemisphere equal-area projection. The  
135 CPO patterns show that the [100] axes are parallel to the X, and the [010] axes are normal to the foliation,  
136 suggesting an A-type of olivine crystal fabric. These microstructures indicate that olivine grains were  
137 deformed by plastic deformation with spinel grain.

138

### 139 **2.3 Cr-Al chemical zoning**

140 Distributions of Cr are identified from area mapping of EPMA for spinel grains showing Cr-  
141 Al chemical zoning by greatest concentration at both tip areas of the short axis and lowest concentration  
142 at both tip areas of the long axis of spinel ([Fig. 3a](#)). The distributions of Al are characterized by a reverse  
143 relationship with the distributions of Cr. Distributions of Cr and Al are distinguished as intermediate  
144 concentrations in the center area. These characteristics are identical to those reported by Ozawa (1989)  
145 for multipolar Cr-Al chemical zoning ([Fig. 4](#)). Although most of the spinel grains exhibit an ellipsoidal  
146 shape with a preferred orientation of long axes, each spinel grain has different concentrations of Cr and  
147 Al between at center area and both tip areas ([Fig. 3](#)). In this study, we defined the intensity of Cr-Al  
148 chemical zoning as the degree of difference between Cr and Al concentrations. [Figure 3](#) displays three  
149 representative spinel samples exhibiting different intensities of Cr-Al chemical zoning. [Table 1](#) exhibits  
150 chemical compositions measured by point analysis of EPMA for typical points (P1 to P9 in [Figure 3](#))  
151 within the representative spinel samples. S1 is a spinel grain with strong intensity of Cr-Al zoning and  
152 the gaps of compositions between P1 and P2 are 4.038 wt% for Cr and 3.773 wt% for Al. S2 shows  
153 relatively weak intensity of Cr-Al zoning and the differences in compositions between P4 and P5 are  
154 smaller than the gaps of S1 as 1.16 wt% for Cr and 1.308 wt% for Al. Some spinel grains, such as S3,  
155 even have homogeneous chemical distribution. The differences in Cr and Al compositions between P7  
156 and P8 of S3 are 0.263 wt% and 0.064 wt%, respectively. Spinel grains showing the high intensity of  
157 Cr-Al zoning exhibit high differences of wt% for Cr and Al between the center area and both tip areas.  
158 There are no typical differences of wt% for those for other grains having homogenous chemical

159 distribution. These chemical distributions of Cr and Al suggest that the gap of Cr and Al distributions  
160 between the center area and both tip areas reflect the intensity of Cr-Al chemical zoning, and there are  
161 differences in the intensity of Cr-Al chemical zoning for each spinel grain.

162

## 163 **2.4 Intensity of Cr-Al chemical zoning**

164 We estimated chemical distributions of Cr and Al for each spinel grain by using EDS line scan  
165 analysis to assess a quantification value for the intensity of Cr-Al chemical zoning. Given the inverse  
166 chemical distribution relationship between Cr and Al, we measured EDS data for only Cr to simplify  
167 data analysis. The line scan analysis is conducted along the long axis of each spinel grain from its  
168 margin to the other margin (Fig. 5). We analyzed 87 spinel grains showing various geometrical  
169 properties to determine the intensity of Cr-Al zoning as numerical values. The analyzed data are  
170 exported by files in CSV format for each spinel grain. Each data consists of 200 values that represent  
171 the chemical distribution of Cr. The values are listed in the order from the starting point to the endpoint  
172 of a line scan with outliers. To correct the values by sorting outliers, the statical outlier identification  
173 method is applied by using the Interquartile range (IQR) (Yang et al., 2019). IQR is defined by the  
174 difference between the values of 75% ( $Q_3$ ) and 25% ( $Q_1$ ) of data.

175

$$IQR = Q_3 - Q_1$$

176 Outliers are the values above  $Q_3 + 1.5 \times IQR$  (maximum) or under  $Q_1 - 1.5 \times IQR$  (minimum).  
177 The method is applied to the values of each spinel grain and the sorted outliers are replaced by the  
178 average for each spinel data. The corrected values are divided into five equal parts, A through E and  
179 average values are calculated for each part. The average values for A, E, and C parts represent average  
180 values of Cr at the margin (starting point) area, the other margin (endpoint) area, and the center area,  
181 respectively. The intensity of Cr-Al zoning is expressed by calculating differences in the average values  
182 between C and A ( $\Delta CA$ ), and C and E parts ( $\Delta CE$ ) (Fig. 5). The intensity is much higher as the  
183 differences are higher.

184

## 185 **3. Machine learning analysis**

### 186 **3.1 Machine learning framework**

187 Machine learning methods are classified into two types based on how they use data:  
188 unsupervised machine learning methods that learn patterns in feature data without label data, and  
189 supervised machine learning methods that learn functions or relationships between feature and label  
190 data considering examples of feature and label data (Bergen et al., 2019). Mean-shift Clustering, that is  
191 unsupervised machine learning method, and Decision Tree and Random Forest, which are supervised  
192 machine learning methods were used to estimate the relationship between the intensity of Cr-Al  
193 chemical zoning and geometrical properties of spinel grains. The intensity of Cr-Al zoning ( $\Delta CA$ ,  $\Delta CE$ )  
194 were converted to standardized values. The standardized values for each spinel grain are projected as  
195 data points in a scatterplot. The Mean-shift Clustering is used to define the clustering patterns of the  
196 data points. The data points are set as featuring data for applying to the machine learning method and  
197 the defined types of clusters are established as label data for the feature data. The Decision Tree and  
198 Random Forest are used to recognize the relationship based on the label and feature data. The following  
199 is the detailed workflow for running machine learning: data pre-processing → data clustering → data  
200 splitting → classification and node analysis → estimating feature importance → constructing  
201 probability map.

202 The analysis was done in Python 3.7.0 and Jupyter Notebook 6.3.0 environment. The codes in  
203 this study are utilized to construct machine learning models and make diagrams by collaborating various  
204 libraries including scikit-learn, pandas, numpy, matplotlib, and seaborn.

205

### 206 **3.2 Pre-processing**

207 Data standardization is required as a stage of pre-processing before machine learning analysis  
208 to not only accelerate the calculation of machine learning but also improve model accuracy (Hsu et al.,  
209 2003). The analyzed raw data show diversity in scale. The data standardization is used to transform the  
210 analyzed raw data into a format showing a particular scale by normalizing the raw data. In this study,  
211 the data standardization is applied by using a “StandardScaler” algorithm (from Scikit-learn in a Python  
212 library). The algorithm converts original data showing variable scales into normalized data showing

213 that the mean value is zero and the standard deviation is one. Normalized data are obtained by removing  
214 the mean value from each original value and dividing by their standard deviation.

$$215 \quad z = \frac{x - u}{s}$$

216 Where  $x$ ,  $u$ , and  $s$  are the value, the mean, and standard deviation of original data, and  $z$  is the  
217 value of the normalized data.

218

### 219 **3.3 Mean-shift clustering**

220 The Mean-shift Clustering is a method that clusters data points considering locations of a  
221 maximum density of data points (Cheng, 1995; Tuzel, 2009; Zhang et al., 2018). The clustering method  
222 is built on the principle of kernel density estimation (KDE) which is a method to calculate the  
223 probability density function of data. The KDE can be written as

$$224 \quad f(x) = \frac{1}{nh} \sum_{i=1}^n K\left(\frac{x - x_i}{h}\right)$$

225 where  $f(x)$  is density function for a given point,  $x_i$  is the point for input data,  $K$  is Kernel function  
226 (Gaussian kernel),  $h$  is bandwidth. Since  $h$  is the only variable parameter in the equation, the density  
227 function significantly depends on  $h$ .

228 The Mean-shift Clustering works by shifting a point from a random location to a much denser  
229 point as a starting point to find out a center point of the densest cluster of data points upon the density  
230 function, repeatedly calculating the mean coordinate of data points. The data points are clustered based  
231 on the calculated center points. Because the clustering method works on the density function, the  
232 number of clusters for the data points can be changed with a predefined value of  $h$ . The Mean-shift  
233 Clustering has the advantage of calculating the most optimal number of clusters by estimating the most  
234 suitable bandwidth for the data points, as opposed to other clustering algorithms that require a subjective  
235 decision by the user to cluster data points or set up the number of clusters. As a result, we used a  
236 “MeanShift” algorithm (from Scikit-learn in a Python library) to cluster points of the data and an  
237 “estimate bandwidth” to estimate the most optimal number of clusters.

238 To purify the data from outliers that may damage clustering result, outlier data are detected

239 and filtered by using three kinds of outlier detection methods utilizing Mean-shift, DBSCAN, and K-  
240 means algorithms, respectively, as preprocessing step before the clustering (Hautamäki et al., 2005;  
241 Çelik et al., 2011; Thang and Kim, 2011; Gan and Ng, 2017; Yang et al., 2021). We considered data  
242 commonly detected from the three detecting methods as outliers. A value of 'k' in Mean-shift outlier  
243 detection is set up on the basis of logarithmic relationship between the value of 'k' and data size referred  
244 from Yang et al. (2021). In DBSCAN outlier detection, Epsilon is estimated from K-distance graph, and  
245 min sample is set as minimum for analyzing data. The number of clusters (k) in K-means outlier  
246 detection is specified from values of Within Cluster Sum of Squared Errors (WSS) and Silhouette scores.

247

### 248 **3.4 Data splitting**

249 Data splitting is one of the stages that randomly divides data into train data sets and test data  
250 sets to estimate the performance of the model. The train data sets are used to train a model and the  
251 performance of the model is estimated by the test data sets. By using a “train\_test\_split” algorithm (from  
252 Scikit-learn in a Python library), all of the data in this study are split into train data sets and test data  
253 sets as 90% and 10% of volume size, respectively. Proportions of the label data are maintained while  
254 splitting the data sets.

255

### 256 **3.5 Model assessment**

257 To assess the performances of the models, we evaluated accuracy, recall, precision,  
258 f1 score, and ROC-AUC score for each model. The accuracy is the ratio of data sets correctly predicted  
259 from the trained model to test data sets. The accuracy is expressed by

$$260 \quad Accuracy = \frac{TP + TN}{TP + FP + FN + TN}$$

261 where  $TP$  and  $FP$  are cases predicted positive and it is true and false, respectively.  $TN$  and  $FN$  are  
262 cases predicted negative and it is true and false, respectively. The accuracy is maximum as 1.0 when  
263 several correctly predicted data sets are equal to the number of the test data sets. The recall and precision  
264 are defined by

265 
$$recall = \frac{TP}{(FN + TP)}$$

266 
$$precision = \frac{TP}{(FP + TP)}$$

267  $(FN + TP)$  means numbers of actual positive cases, and  $(FP + TP)$  means numbers of cases  
268 predicted positive regardless of both true and false. The recall and precision show a trade-off  
269 relationship. If the score of the recall increase, the score of the precision decrease. To define the trade-  
270 off relationship, we also calculated  $f1$  score. The  $f1$  score, which indicates how much the recall and  
271 precision are skewed, is a harmonic mean combining the recall and precision and expressed by

272 
$$f1 = 2 \times \frac{precision \times recall}{precision + recall}$$

273 The  $f1$  score has a relatively high value when the recall and precision are not biased to either side.  
274 Finally, the ROC-AUC score is calculated to estimate the prediction performance of models. The ROC  
275 curve depicts the trade-off relationships between true positive rate and false-positive rate with changing  
276 threshold. AUC stands for the area under the ROC curve. So, the ROC-AUC score represents the area  
277 under the ROC curve, and the closer to 1, the more reliable model is.

278 The recall, precision,  $f1$  score, and ROC-AUC score are not appropriate for assessing the  
279 performances of multiclass models with more than two species of label data but are suitable for  
280 assessing those of the binary models with only two species of label data. Then, to assess the  
281 performances of the models in this study, we utilized the accuracy, recall, precision,  $f1$  score, and  
282 ROC-AUC score in cases of binary models and utilized only the accuracy in cases of multiclass models.  
283 The assessments are evaluated using the “cross\_val\_score” algorithm (from Scikit-learn in a Python  
284 library), which calculates the average of each score from cross-validation ( $cv = 10$ ), which means  
285 resampling 10 times and calculating the performances for each resample.

286

### 287 **3.6 Criteria analysis using Decision tree**

288 A Decision tree is a machine learning algorithm that constructs tree structures to find a model  
289 predicting the value of label data based on training features and label data (Myles et al., 2004; Cho and

290 Kurup, 2011). The tree structures consist of nodes and branches (Fig. 6). The nodes are divided into the  
291 root node which is starting node, decision nodes that can be divided into nodes, and the terminal node  
292 that cannot be split anymore. Each node contains information about the node's criterion, gini score  
293 (gini), the number of data contained in the node (samples), the number of data belonging to each label  
294 data (value), and the type of label data (class). The gini score is a score assessing the purity of the node.  
295 The gini score increases with increasing species of label data included in the node. The gini score is  
296 zero when the node only contains a single label data. As a result, the gini scores of all terminal nodes  
297 are zero. The nodes are classified by the branches. The branch on the left and right sides represents the  
298 true and false criterion of each node, respectively. The algorithm of the Decision tree works downward  
299 from the top (root node) to the bottom (decision node or terminal node) by partitioning off nodes based  
300 on the decision rules of each node. The classification is achieved by repeating this process and growing  
301 the tree structure. In this study, we used a "graphviz" algorithm (from Scikit-learn in a Python library)  
302 to visualize the tree structure as a figure and a "DecisionTreeClassifier" algorithm (from Scikit-learn in  
303 a Python library) to mathematically interpret the criteria of the classification for the data sets by  
304 analyzing the criterion of each node in the visualized tree structure.

305

### 306 **3.7 Importance assessment using Random Forest**

307 Importance assessment in machine learning is a method of calculating which feature data has  
308 the greatest influence on label data as scores for each feature by using a specific machine learning  
309 algorithm (Sung and Mukkamala, 2003; Hu et al., 2009; Park and Kim, 2019). The scores are assigned  
310 based on the importance of the features. We can interpret relationships between feature and label data  
311 using the method by referring to the feature importance. Although there are several ways to estimate  
312 the feature importance according to machine learning algorithms, we used a method based on gini  
313 importance and the Random Forest algorithm. Random Forest is an ensemble machine learning  
314 algorithm operated by assembling a great number of specific Decision trees. A prediction of Random  
315 Forest is achieved by a voting majority for the prediction results of each specific Decision tree. Gini  
316 importance, which is defined by a function describing homogeneity of label data (impurity function) in

317 the Random Forest algorithm, is utilized to calculate node importance. Assuming a Decision tree with  
 318 two terminal nodes split from one decision node, the node importance is expressed by

$$319 \quad ni_j = w_j C_j - w_{left(j)} C_{left(j)} - w_{right(j)} C_{right(j)}$$

320 where  $ni_j$  is the importance of node  $j$ ,  $w_j$  is the weighted number of samples on node  $j$ , and  $C_j$  is  
 321 Gini importance of node  $j$ .  $left(j)$  and  $right(j)$  are left and right terminal nodes of node  $j$ ,  
 322 respectively. The importance of node  $j$  is increased with decreasing impurity of node  $j$ . The feature  
 323 importance of feature  $i$  ( $fi_i$ ) is estimated by dividing the sum of the importance of nodes split by  
 324 feature  $i$  by the sum of the importance of all nodes.

$$325 \quad fi_i = \frac{\sum_{j=node\ j\ split\ by\ feature\ i} ni_j}{\sum_{k \in all\ nodes} ni_k}$$

326 To express  $fi_i$  as value suited to Random Forest level, the feature importance is transformed to  
 327 normalized value by dividing  $fi_i$  by the sum of all importance of features and the normalized feature  
 328 importance on each tree is divided by the number of all Decision trees.

$$329 \quad normfi_i = \frac{fi_i}{\sum_{l \in all\ features} fi_l}$$

$$330 \quad RFFi_i = \frac{\sum_{t \in all\ trees} normfi_{it}}{T}$$

331 The  $normfi_i$  and  $RFFi_i$  are normalized feature importance and final feature importance fitted to  
 332 Random Forest for feature  $i$ , respectively. To estimate the importance of features classified from the  
 333 Random Forest model, we used a “feature importances” algorithm with a “RandomForestClassifier”  
 334 algorithm from Scikit-learn in a Python library.

335

### 336 **3.8 Probability map constructed by logistic regression**

337 Logistic regression is another popular machine learning algorithm that conducts classification  
 338 by calculating the probability for each event using a logistic or sigmoid function via a non-linear  
 339 transformation of ordinary least squares for linear regression. The logistic function distinguishes  
 340 between the logistic regression and linear regression models. The logistic function is expressed by

341 
$$y = \frac{1}{1 + e^{-x}}$$

342  $y$  is the probability and  $x$  is a weighted linear combination of feature data. The probability is assumed  
343 to limit between 0 and 1, as a binary system.  $x$  is defined as

344 
$$x = b_0 + b_1z_1 + b_2z_2 + \dots + b_nz_n$$

345 where  $b_0$  is the intercept,  $n$  is the number of variables,  $b_i$  ( $i = 1, 2, 3, \dots, n$ ) is the corresponding  
346 coefficients, and  $z_i$  is the independent variable for feature data. Considering the model, we can identify  
347 the probability of each feature data for label data showing the binary system as a statistical value.  
348 Relationships between feature and label data can be expressed as a probability map by projecting the  
349 probabilities against feature parameters. In this study, we used a “LogisticRegression” algorithm (from  
350 Scikit-learn in a Python library) to find out appropriate models representing relationships between  
351 feature data and label data. The verified model is visualized by constructing a probability map for each  
352 feature data to interpret the importance of features classified from the Random Forest model.

353

## 354 **4. Result**

### 355 **4.1 Scatterplot for the intensity of Cr-Al chemical zoning**

356 [Figure 7](#) shows a scatterplot for the  $\Delta CA$  and  $\Delta CE$ , which represent the intensity of Cr-Al  
357 zoning. Each data point is standardized from the pre-processing stage. The closer the  $\Delta CA$  and  $\Delta CE$   
358 to 0, the more evenly distributed Cr is within the spinel grains. The intensity of Cr-Al zoning is intense  
359 with increasing the  $\Delta CA$  and  $\Delta CE$  to a positive value. The data points are distributed from  $-2$  to  $3$  for  
360 the  $\Delta CA$  and from  $-3$  to  $3$  from the  $\Delta CE$ . Although the  $\Delta CA$  is diversely distributed with the  $\Delta CE$ ,  
361 the distribution of the data points indicates proportional relationship between the  $\Delta CA$  and  $\Delta CE$ . Data  
362 points that  $\Delta CA$  is less than 0 present  $\Delta CE$  less than 1. Data points that  $\Delta CE$  is more than 1 show  
363  $\Delta CE$  more than 0. This relationship is originally derived by characteristics of Cr-Al chemical zoning.  
364 Considering the features of Cr-Al chemical zoning, Cr is symmetrically distributed along the long axis  
365 and minimally concentrated at both tip areas. The  $\Delta CA$  and  $\Delta CE$  proportionally change in accordance  
366 with the intensity of Cr-Al zoning.

367

## 368 4.2 Criteria for clustering

369 Fig. 8 displays results for outlier detection utilizing the three detecting methods as mentioned  
370 in section 3.3. We considered that final outliers are data commonly detected from each of the methods,  
371 and filtered the final outliers. The purified data points are clustered using the Mean-shift Clustering  
372 with 0.938 of bandwidth calculated by the ‘estimate bandwidth’ algorithm (Fig. 9). The data points are  
373 divided into 3 clusters as cluster 1 to cluster 3. The numbers of data points of the 1, 2, and 3 cluster are  
374 54, 17, and 11, respectively. To interpret clustering criteria, the  $\Delta CA$  and  $\Delta CE$  data (feature data) and  
375 types of the clusters (label data) for each spinel grain are split into train data set including 73 spinel data  
376 and test data set including 9 spinel data, and the data are classified using the Decision Tree algorithm.  
377 We estimated only accuracy to assess the performance of this model because this is a multiclass model  
378 as mentioned in section 3.5. The estimated average accuracy is 0.95. The visualized tree structure  
379 trained by the train data set is shown in Figure 10. There are 11 nodes containing a root node, 4 decision  
380 nodes, and 6 terminal nodes. Each cluster is classified by the 6 terminal nodes. Important nodes are root  
381 or decision nodes containing criterion that generates decision or terminal nodes consisting of a large  
382 number of samples and low gini score. Important nodes for cluster 1 are the root node 1 and decision  
383 node 2 deriving the terminal node 4. The root node 1 and decision nodes 3 and 6 are important nodes  
384 for cluster 2 characterized by the terminal node 11. Important nodes for cluster 3 are the root node 1  
385 and decision node 3 defining the terminal node 7. The criteria of the important nodes for each of the  
386 cluster are expressed by

$$387 \quad \text{cluster 1} = \{\Delta CA \leq 0.176, \Delta CE \leq 0.311\}$$

$$388 \quad \text{cluster 2} = \{\Delta CA > 0.176, -0.527 < \Delta CE \leq 0.826\}$$

$$389 \quad \text{cluster 3} = \{\Delta CA > 0.176, \Delta CE > 0.826\}$$

390 Since both the  $\Delta CA$  and  $\Delta CE$  represent the difference of Cr and Al distributions between the tip and  
391 center area, the criteria can be simplified by considering the intersection of the criteria for each cluster.

$$392 \quad \text{cluster 1} = \{\Delta \leq 0.176\}$$

$$393 \quad \text{cluster 2} = \{0.176 < \Delta \leq 0.826\}$$

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$$cluster\ 3 = \{\Delta > 0.826\}$$

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$\Delta$  is the intersection of the criteria of the  $\Delta CA$  and  $\Delta CE$ . The simplified criteria numerically explain that spinel grains are systemically clustered into three clusters based on the difference of Cr and Al distributions between the tip and center area and the difference are high in an order of cluster 3, cluster 2, and cluster 1. Because the difference represents the intensity of the Cr-Al chemical zoning, each cluster can be interpreted as spinel grains having homogeneous chemical distribution for cluster 1, the weak intensity of Cr-Al chemical zoning for cluster 2, and the strong intensity of Cr-Al chemical zoning for cluster 3.

### 4.3 Feature importance

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To determine which geometrical factor controls the intensity of Cr-Al zoning, more importantly, the feature importance is calculated using the feature data that includes information for the  $\Delta CA$  and  $\Delta CE$ , grain sizes (R), and aspect ratios (L) of spinel grains and label data composed by types of clusters. [Figure 11](#) depicts the significance of each feature data. Because this model is also multiclass, we calculated only accuracy to assess the performance of this model. The calculated average accuracy is 0.9139. The  $\Delta CA$  and  $\Delta CE$  are the feature data displaying the first and second highest scores of feature importance, indicating that the  $\Delta CA$  and  $\Delta CE$  have the greatest impact on determining types of the clusters. Grain size is the third most important, and at the same time, the most important among the geometrical properties. The grain size score indicates that grain size is closely related to the types of clusters. Aspect ratio is the feature data showing the lowest score of feature importance. The score of aspect ratio reflects that there are relatively low relationships between aspect ratio and the intensity of Cr-Al chemical zoning.

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### 4.4 Probability map

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We inspect probability maps by using the logistic regression method to examine the relative relationships between each feature and the Cr-Al chemical zoning in greater detail. [Figure 12](#) depicts the probability maps for features including the  $\Delta CA$  and  $\Delta CE$ , grain size (R), and aspect ratio (L). To

421 represent the  $\Delta CA$  and  $\Delta CE$  data as a unified value, the  $\Delta CA$  and  $\Delta CE$  is simplified by calculating  
422 average. The average of the  $\Delta CA$  and  $\Delta CE$  is  $aver\Delta$ . The probability is expressed as values between  
423 1 to 0 and the closer it is to 1, the more likely it is to be included in clusters 2 and 3. In contrast, the  
424 closer the value is to 0, the higher the possibility of being included in cluster 1. Given the criteria for  
425 clusters 1, 2, and 3 in section 4.2, high values of the probability imply that possibility that the Cr-Al  
426 chemical zoning can be observed from the spinel grains is high. The probability maps are constructed  
427 by considering species of features as three cases; the  $aver\Delta$  and grain size, the  $aver\Delta$  and aspect  
428 ratio, and aspect ratio and grain size. The performances of these models are estimated by evaluating  
429 scores for accuracy, recall, precision,  $f1$ , and ROC-AUC, because this is binary model as mentioned  
430 in section 3.5. Scores representing performances for each probability map are described in [Table 2](#)

431 The probability map for the  $aver\Delta$  and grain size shows that the probability increases with  
432 increasing  $aver\Delta$  and grain size. The variances in probability with changing grain size are less than  
433 those with changing the  $aver\Delta$ . Intervals between each of contour line are relatively narrow, suggesting  
434 that the criteria for distinction are relatively clear. The scatter plot for the  $aver\Delta$  and grain size also  
435 represent the meaning of the narrow intervals of the contour lines. In the probability map for the  $aver\Delta$   
436 and aspect ratio, although changes in the probability for the  $aver\Delta$  display a similar trend with those  
437 in the probability map for the  $aver\Delta$  and grain size, there are no relationship for aspect ratio. The gaps  
438 between the contour lines are also similar to those for the probability map for the  $aver\Delta$  and grain  
439 size. In the probability map for the aspect ratio and grain size, the probability is increase with increasing  
440 aspect ratio and grain size. Variances of the probability with changing grain size are bigger than those  
441 with changing aspect ratio. The intervals are much wider than the intervals of the probability maps for  
442 the  $aver\Delta$  and grain size, and the  $aver\Delta$  and aspect ratio.

443

## 444 **5. Discussion**

### 445 **5.1 Interpretation for the analyzed data**

446 In sections 4.3 and 4.4, the machine learning analysis exhibits the feature importance  
447 representing many important factors for the intensity of Cr-Al zoning and the probability maps showing

448 relative relationships between the factors and the intensity of Cr-Al zoning. We interpret the analyzed  
449 data and the relative relationships in this section.

450 In Figure 11, the  $\Delta CA$  and  $\Delta CE$  show the first and second highest scores for feature  
451 importance representing the greatest influence on identifying the types of the clusters. Because the data  
452 points are originally clustered based on the  $\Delta CA$  and  $\Delta CE$ , it is obvious that they are the most  
453 important factors to the intensity of Cr-Al zoning. The connection is also represented by the probability  
454 maps for the  $\Delta CA$  and  $\Delta CE$  (Fig. 12a-b). Feature importance indicates grain size is the third most  
455 important factor to the intensity of Cr-Al zoning. The importance score of grain size is smaller than  
456 those of the  $\Delta CA$  and  $\Delta CE$  and greater than that of the aspect ratio. This importance of grain size can  
457 be also certified from the probability maps (Fig. 12a, c). Although the variances of the probability with  
458 varying grain sizes are much smaller than those with varying  $aver\Delta$  in the probability map for the  
459  $aver\Delta$  and grain size (Fig. 12a), the variances of the probability with altering grain size are much  
460 bigger than those with altering aspect ratio in the probability map for the aspect ratio and grain size (Fig.  
461 12c). Both probability maps for grain size show that the probability increases with increasing grain size,  
462 implying that the Cr-Al chemical zoning is more easily observed with increasing grain size. Figure 13a  
463 depicts the grain size distribution for each cluster type. The grain size trend in clusters 1, 2, and 3 reflects  
464 the relationships between grain size and the Cr-Al zoning. The aspect ratio has the lowest importance  
465 score, about 10 times lower than  $\Delta CA$  and  $\Delta CE$  and 5 times lower than that of grain size. The  
466 probability maps for aspect ratio also reflect the gaps of importance scores for aspect ratio (Fig. 12b-c).  
467 In the probability map for the  $aver\Delta$  and aspect ratio (Fig. 12b), even if variances of the probability  
468 is relatively large with changing the  $aver\Delta$ , there are no variances for the probability with changing  
469 aspect ratio because the  $aver\Delta$  much affect to the Cr-Al zoning compared to aspect ratio as about 10  
470 times enormously. The probability map for aspect ratio and grain size, on the other hand, displays  
471 effects for aspect ratio due to relatively small differences in importance scores (Fig. 12c). The  
472 probability increases with increasing aspect ratio, implying that possibility of observing the Cr-Al  
473 chemical zoning increases with increasing aspect ratio. The intervals of contour lines are wider than  
474 those of the other maps because the criteria for aspect ratio constructing the probability map is relatively

475 unclarity. These relationships are displayed in the scatter plot for aspect ratio and grain size, and box  
476 plots showing aspect ratios of spinel grains for each type of cluster (Figure 13b). Although the aspect  
477 ratio for each type of cluster exhibits variation and the aspect ratio for cluster 1 is the lowest, there is  
478 no critical difference in aspect ratio between clusters 1, 2, and 3.

479

## 480 **5.2 Interpretation for relationships between grain size and the Cr-Al chemical zoning**

481 As stated in section 5.1, grain size is proportional to the intensity of Cr-Al chemical zoning.  
482 Based on Ozawa's model, we assumed that spinel grains with Cr-Al chemical zoning are deformed by  
483 lattice diffusion to interpret the relationship. Considering the assumption, the relationship between grain  
484 size and the intensity of Cr-Al chemical zoning can be interpreted as lattice diffusion becoming  
485 significant with increasing grain size of spinel. This interpretation can be explained by the ratio between  
486 grain boundary diffusion flux and lattice diffusion flux. The ratio ( $r_{diff}$ ) is expressed by

$$487 \quad r_{diff} = \frac{D_b \delta_b}{D_l R}$$

488  $D_b$  and  $D_l$  is grain boundary diffusion coefficient and lattice diffusion coefficient, respectively.  $\delta_b$  is  
489 grain boundary width and  $R$  is grain size. The  $r_{diff}$ , which is inverse proportional to grain size, and  
490 is related to dominant types of diffusion creep mechanisms. Lattice diffusion becomes important with  
491 decreasing the  $r_{diff}$ , and grain boundary diffusion become dominant with increasing the  $r_{diff}$ .  
492 Considering the ratio,  $D_b$ ,  $D_l$ , and  $\delta_b$  are relatively constant between minerals of the same species  
493 within the same rock sample and the only variable for the  $r_{diff}$  becomes  $R$ . Based on the relations,  
494 lattice diffusion is more active with increasing grain size. Considering the Ozawa's model that the Cr-  
495 Al chemical zoning in spinel grain is kinetic demixing caused by the difference of diffusivity between  
496 Cr and Al during cation transport through the lattice, lattice diffusion in spinel grain is associated with  
497 flux of Cr and Al. This means that the more lattice diffusion is active, the more cation is transported  
498 through the lattice and the high flux of cation derives the high intensity of Cr-Al zoning. Then, the  
499 relationship between grain size and the intensity of Cr-Al chemical zoning suggests that the intensity is  
500 increase with increasing grain size because lattice diffusion is much more active in coarser spinel grains.

501 For types of clusters, lattice diffusion is most intensely activated in cluster 3, intermediately in cluster  
502 2, and relatively not activated in cluster 1.

503

### 504 **5.3 The $R_{diff}$ and deformation temperature**

505 The ratio for diffusion flux ( $r_{diff}$ ) is controlled by grain boundary diffusion coefficient ( $D_b$ )  
506 and lattice diffusion coefficient ( $D_l$ ), grain boundary width ( $\delta_b$ ), and grain size ( $R$ ). And the  $D_b$  and  
507  $D_l$  are changed with varying temperatures. Thus, we can calculate variations of the  $r_{diff}$  following  
508 changing of temperature and the  $R$ . There were studies investigating specific values of the  $r_{diff}$   
509 representing the transition of diffusion creep mechanisms from grain boundary diffusion to lattice  
510 diffusion. Considering the specific values of the  $r_{diff}$ , critical grain size ( $R_c$ ), which is grain size  
511 deriving the transition of diffusion creep mechanisms, can be determined according to each temperature  
512 condition. By comparing the calculated  $R_c$  and grain size distribution for each type of the clusters, we  
513 estimated the deformation temperature of spinel grain.

514 The  $D_b$  is grain boundary diffusion coefficient for the slowest atom on grain boundary of  
515 spinel grain and the  $D_l$  is lattice diffusion coefficient for the slowest atom within the lattice of spinel  
516 grain, because the slowest atoms control diffusion creep of mineral. To calculate the  $r_{diff}$ , we supposed  
517 that O and Cr are the slowest atom in grain boundary and lattice of spinel grain, respectively (Joesten,  
518 1991; Suzuki et al., 2008; Nakakoji and Hiraga, 2018). The  $\delta_b$  is assumed as 1 nm. Because there has  
519 been no direct estimation of the O grain boundary diffusion coefficient for spinel grain, the O grain  
520 boundary diffusion coefficient is derived by referring to results of previous studies (Oishi and Ando,  
521 1975; Reddy and Cooper, 1981; Ando and Oishi, 1983), in which O self-diffusion coefficient of  
522 polycrystalline spinel is approximately 4 orders faster than that of single spinel crystal due to diffusion  
523 of O improved along grain boundaries. Given the result, we calculated the approximate O grain  
524 boundary diffusion coefficient by multiplying 4 orders by the O self-diffusion coefficient estimated by  
525 the result. According to Suzuki et al. (2008), the approximately calculated O grain boundary diffusion  
526 coefficient is about 5 orders greater than the O self-diffusion coefficient estimated by Reddy and Cooper

527 (1981) and Ando and Oishi (1983). The Cr lattice diffusivity is computed by extrapolating Cr self-  
528 diffusion coefficients data from Suzuki et al. (2008). We evaluated the  $r_{diff}$  for various temperatures  
529 and grain sizes by applying the calculated  $D_b$  and  $D_l$  to the  $r_{diff}$  (Table3).

530 Swaroop et al. (2005) reported that the  $R_c$  deriving a transition from grain boundary diffusion  
531 to lattice diffusion is determined by

$$532 \quad R_c \approx \frac{1.2D_b\delta}{D_l}$$

533 The specific value of the  $r_{diff}$  can be calculated by modifying the equation.

$$534 \quad 0.83 \approx \frac{D_b\delta}{D_lR} = r_{diff}$$

535 Shibutani et al. (1998) reviewed that there are three types of diffusion processes controlling cavity  
536 growth derived by diffusion, depending on the  $r_{diff}$ . The  $r_{diff}$  for the types of processes are  
537 introduced as

- 538 (a)  $r_{diff} > 1$ : Grain boundary diffusion dominant
- 539 (b)  $0.1 < r_{diff} < 1$ : Grain boundary diffusion + Lattice diffusion
- 540 (c)  $r_{diff} < 0.1$ : Lattice diffusion dominant

541 Since this model indicates that the transition occurs from the (b) range of the  $r_{diff}$ , the transition may  
542 have occurred from a grain size achieving  $r_{diff} = 1$ .

543 We estimated the  $r_{diff}$  (Table 3) and the  $R_c$  (Table 4) with various temperature and grain  
544 sizes based on the two models. Both the model represents that the  $r_{diff}$  is decrease with increasing  
545 grain size and temperature, and the  $R_c$  decrease with increasing temperature, suggesting that the  
546 relative significance of lattice diffusion to total diffusion creep increases with increasing temperature  
547 (Fig. 14).

548 The activity of lattice diffusion for types of the clusters discussed in section 4.3 show that  
549 lattice diffusion begins to be active from cluster 2. Deformation temperature can be estimated by  
550 comparing the grain sizes of Q1 (73.5  $\mu\text{m}$ ) and minimum (22  $\mu\text{m}$ ) of cluster 2, and the critical grain  
551 sizes in Table 4. By considering the difference between the Q1 and minimum grain sizes, deformation

552 temperature is expressed as ranges. For the equation of Swaroop et al. (2005), the temperature  
553 representing the critical grain size closest to the grain size of Q1 is 1100°C and the temperature for the  
554 grain size of minimum is 1250°C. Then, the deformation temperature range is estimated as 1250°C–  
555 1100°C. The estimated deformation temperature calculated by supposing the equations of Shibutani et  
556 al. (1998) is also 1250°C–1100°C.

557 The CPO patterns of the olivine grains and the P-T trajectory of the Horoman Peridotite  
558 Complex based on chemical compositional zoning of pyroxenes and whole-rock compositions (Ozawa  
559 and Takahashi, 1995 Takahashi, 2004) support the proposal that the estimated deformation temperature  
560 range is 1250°C–1100°C. The A-type CPO of the olivine grains represents that the olivine grains are  
561 deformed in high-temperature conditions (approximately > 1100°C). Since we supposed the  
562 deformation of the olivine grains forming A-type CPO coincides with the deformation of the spinel  
563 grains in section 2.1 based on their microstructures, the range of the estimated temperature is consistent.  
564 Based on the P-T trajectory of the Horoman Peridotite Complex, the equilibrium temperature of spinel  
565 peridotite for the Transition Zone including the dunite sample is estimated to be the intermediate  
566 temperature between the equilibrium temperature of spinel peridotite of the Upper (1150°C–1100°C)  
567 and Lower Zone (950°C–900°C). According to Takahashi (2004), the temperature difference between  
568 the Upper and the Lower Zones is gradual. Given the sampling area that is located very near to the  
569 Upper Zone, the equilibrium temperature for the dunite sample may be closer to that of the Upper Zone  
570 than the Lower Zone, implying that the estimated temperature range is consistent.

571

#### 572 **5.4 Interpretation for relationships between aspect ratio and the Cr-Al chemical zoning**

573 Although aspect ratio is less correlated with the intensity of Cr-Al chemical zoning than grain  
574 size, aspect ratio also represents a relationship with the intensity (Fig. 12c). The probability map and  
575 scatter plot in Fig. 12c describe two of characteristics for aspect ratio, which are that the probability  
576 decrease with decreasing aspect ratio and aspect ratio for spinel grains smaller than about 100 μm is  
577 relatively low, representing that spinel grains having homogeneous chemical distribution show  
578 relatively fine grain size and low aspect ratio. According to the characteristics, the relationship between

579 aspect ratio and the chemical zoning is correlated with grain size. The effect of the rounding process  
580 introduced by Toriumi (1987), Okamoto and Michibayashi (2005), and Uhmb and Michibayashi (2022)  
581 was thought to be responsible for the relationship between chemical zoning, aspect ratio, and grain size.  
582 Isolated mineral inclusions tend to round out to a spherical shape to minimize their surface energy,  
583 which is referred to as the rounding process, during the post-deformational annealing stage, and the  
584 effects of the rounding process are related to grain size and temperature because the rounding process  
585 is controlled by diffusion creep. The rounding process has greater effects as grain size decreases,  
586 increasing deformation temperature, and increasing annealing time. Because the deformation  
587 temperature and annealing time are identical along with the dunite sample, the effect of the rounding  
588 process on the sample is only activated by the following variable of grain size. Given the effect of the  
589 rounding process, we thought that the fine spinel grains became more round in shape than coarse grains  
590 during the annealing stage and the trend of decreasing the probability with decreasing aspect ratio is  
591 derived from the relationship between grain size and the chemical zoning, that the chemical zoning is  
592 less observable in finer grains.

593

## 594 **6. Summary and conclusion**

595 We investigated the relationship between the intensity of Cr-Al chemical zoning ( $\Delta CA$  and  
596  $\Delta CE$ ) and the geometrical properties of spinel grains collected from a dunite sample within the dunite  
597 layer in the Transition Zone of the Horoman Peridotite Complex, northern Japan using unsupervised  
598 (Mean-shift Clustering) and supervised (Decision tree, Random Forest, and Logistic regression)  
599 machine learning analyses.

- 600 ● Using Mean-shift Clustering, the  $\Delta CA$  and  $\Delta CE$  data were clustered into three kinds of  
601 clusters (cluster 1, 2, and 3).
- 602 ● The Decision tree classification revealed that the spinel grains are systematically clustered  
603 based on degree of the intensity of Cr-Al chemical zoning.
- 604 ● The feature importance analyzed by Random Forest method feature importance analysis  
605 suggested that grain size is more important factor closely related to the intensity of Cr-Al

606 chemical zoning than aspect ratio.

607 ● The probability maps constructed by the Logistic regression displayed the relationships  
608 between the trends of the geometrical properties and the intensity of Cr-Al chemical zoning.

609 The relationship is interpreted as lattice diffusion is most strongly activated in cluster 1, intermediately  
610 in cluster 2, and not activated in cluster 1, implying that lattice diffusion is much more active in coarser  
611 spinel grains and there is a critical grain size switching diffusion mechanism. Given the relationships,  
612 we estimated the critical grain size ( $d_c$ ) for the spinel grains with various temperature conditions (Fig.  
613 14). The comparison between the estimated  $d_c$  and observed grain size from the dunite sample  
614 suggests that deformation temperature of the spinel grains within the sample is 1250°C–1100°C.

615 Our newly suggested model in this study, which is constructed by machine learning analyses,  
616 describes the relationships between the intensity of the Cr-Al chemical zoning and the geometrical  
617 properties. The model allows us to understand motive of various intensities of the Cr-Al chemical  
618 zoning and diffusion mechanisms of spinel grains according to their geometrical properties, and further  
619 derive a new application method of the chemical zoning for estimating deformation temperature of  
620 spinel grains. It will be achievable to not only find out new model for the chemical zoning of spinel  
621 grains but improve the model, as more other data of spinel are applied to the machine learning analysis.

622

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630

## 631 **Data Availability Statement**

632 All data for machine learning analysis are available as the format using in this study from

633 Figshare (<https://doi.org/10.6084/m9.figshare.20131157.v1>). The all data include not only modeling  
634 codes described by python languages, but also analyzing data for spinel grains.

635

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799 dash lines. (c) Representative phase maps for the dunite sample were obtained by SEM-EBSD. (d)  
800 Optical photomicrographs (PPL) of the spinel grains within the phase map of (c). The elongated  
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803 Figure 2. CPOs of olivine grains in the dunite sample. Equal-area lower-hemisphere projection. Right  
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806

807 Figure 3. EPMA-WDS mapping data for three representative spinel samples showing each different  
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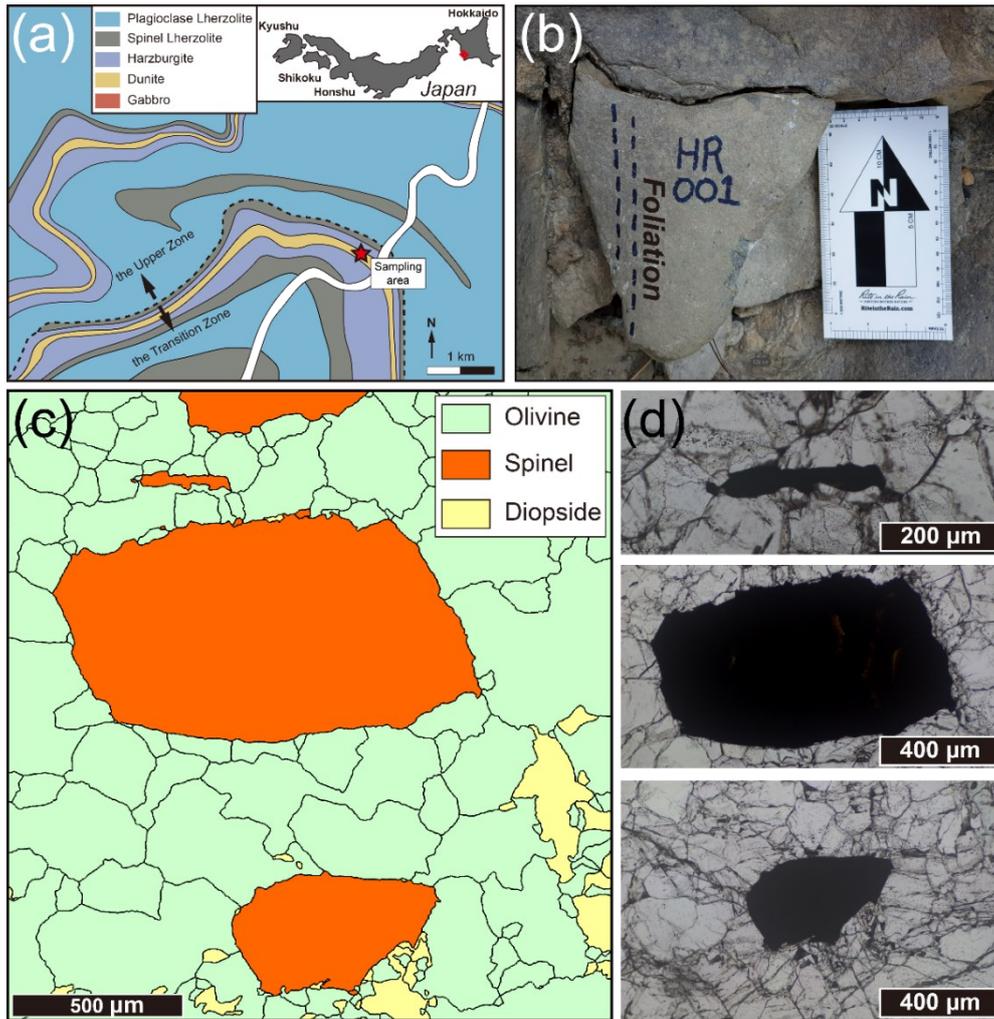
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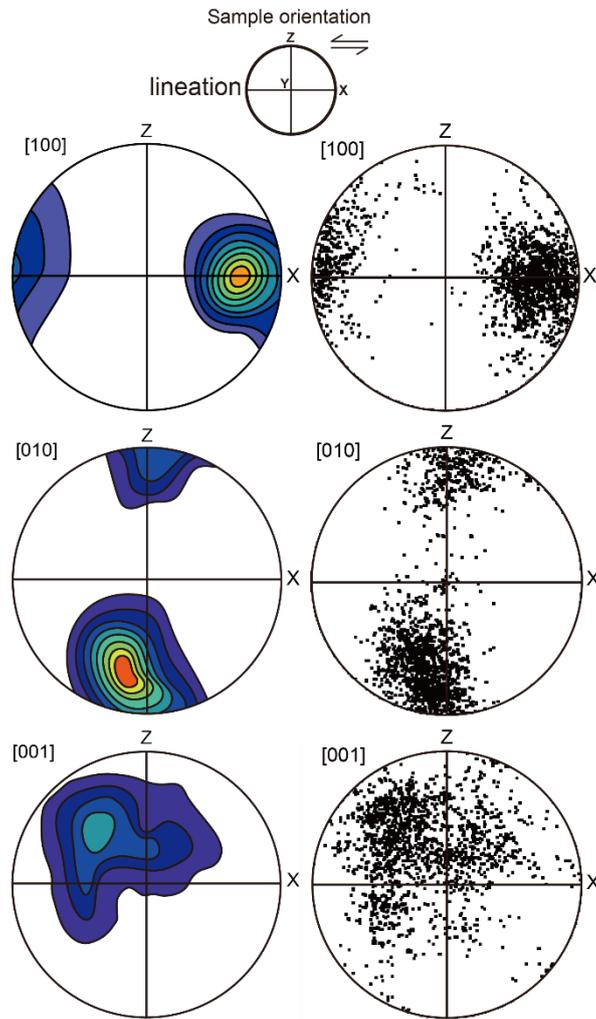
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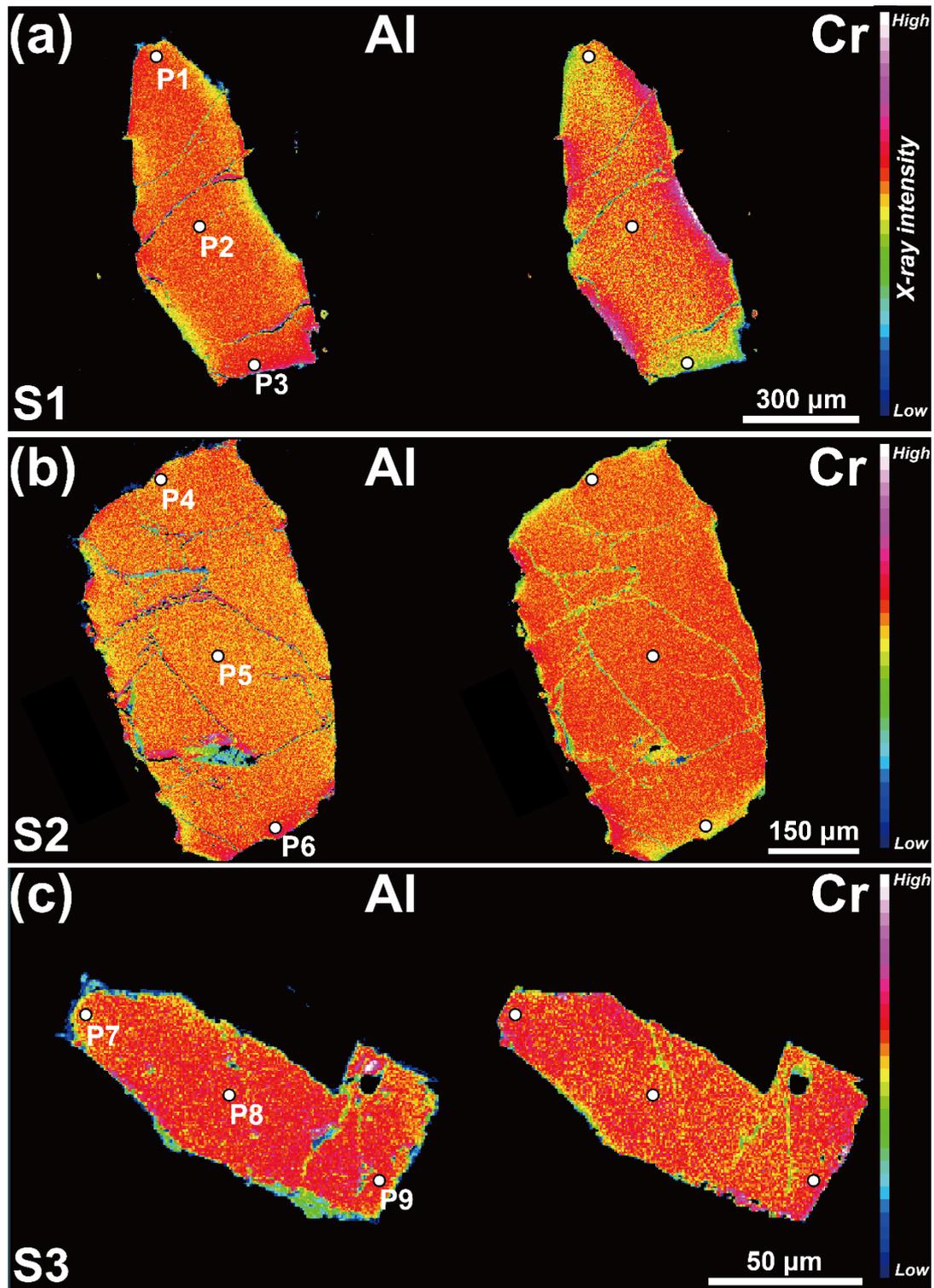


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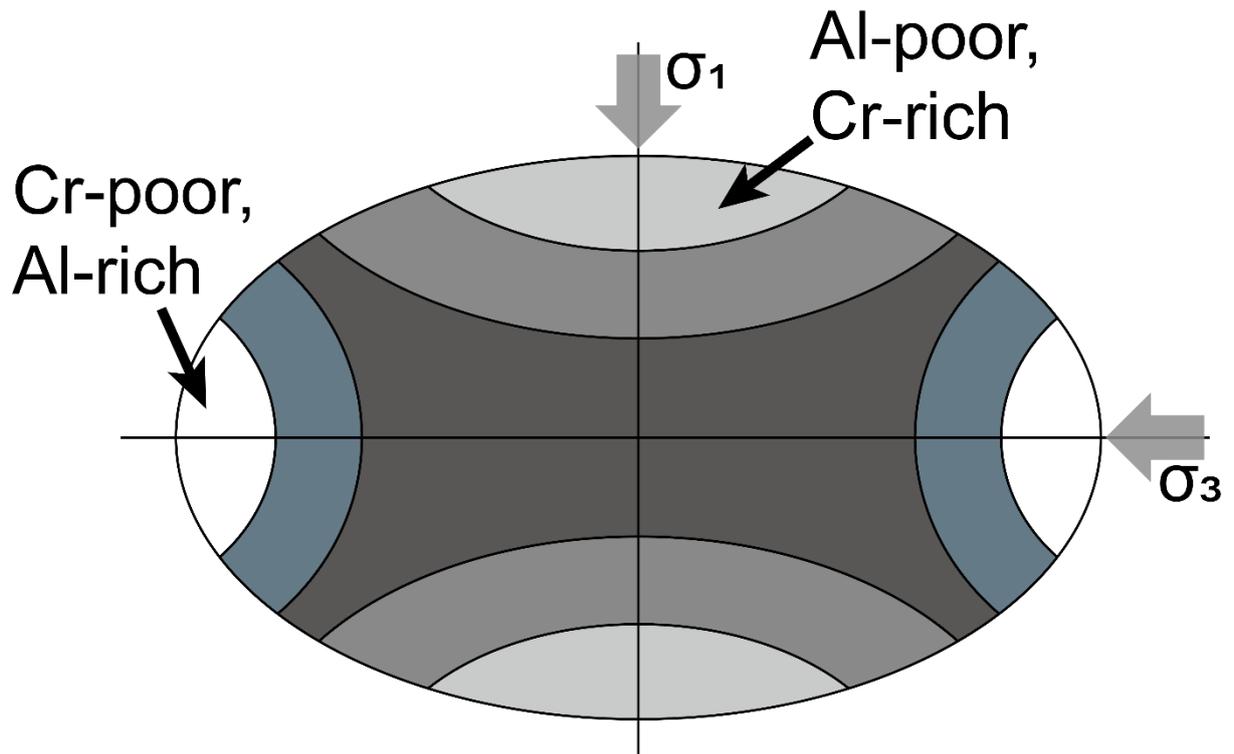


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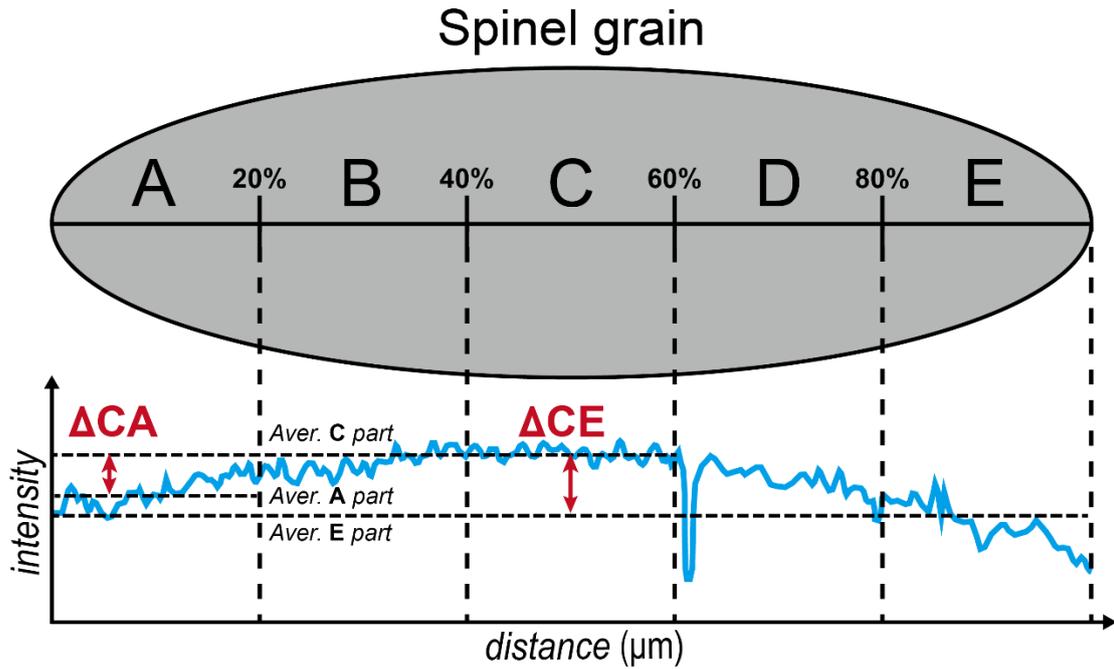
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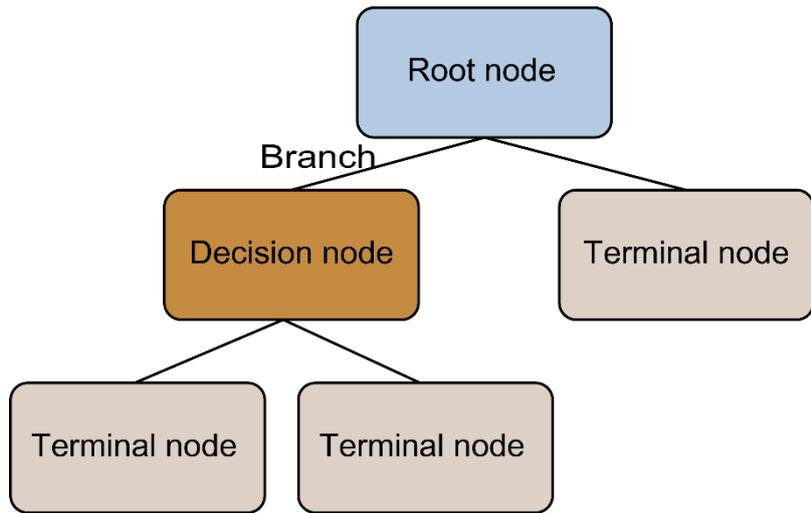
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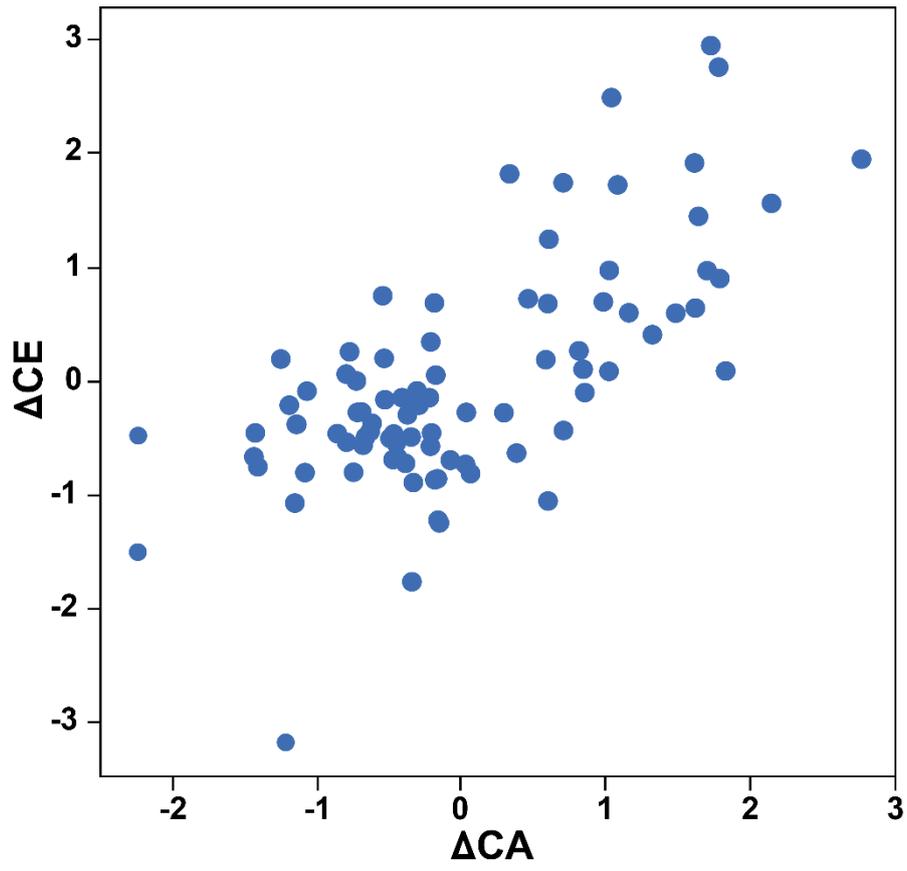
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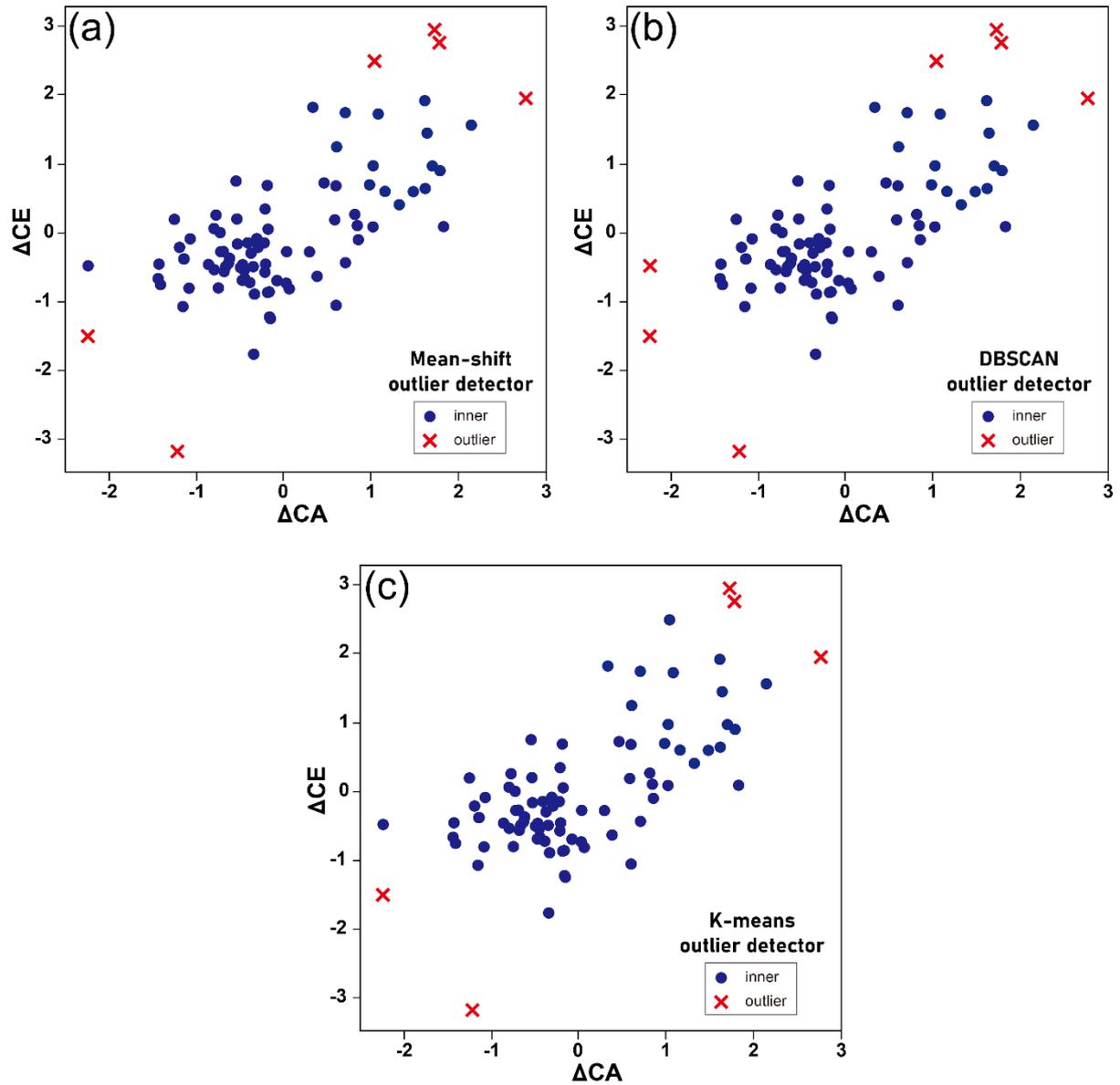


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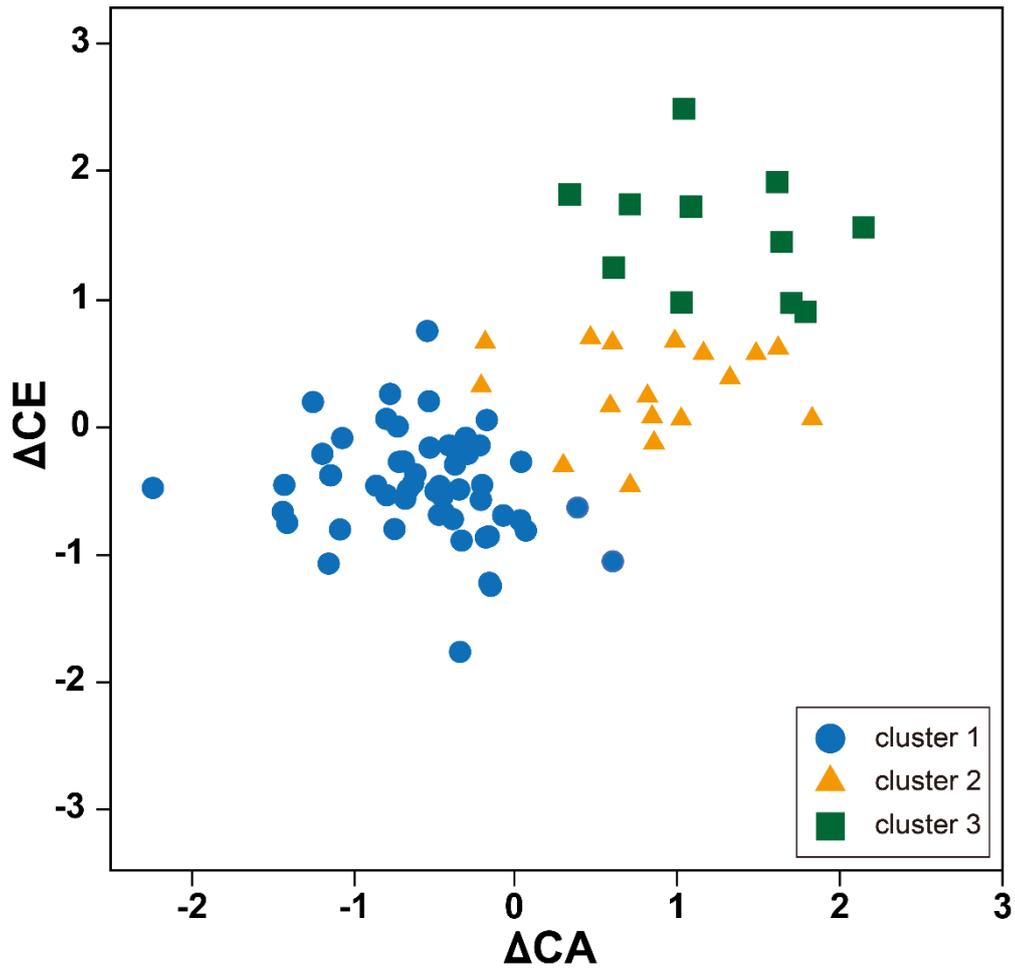


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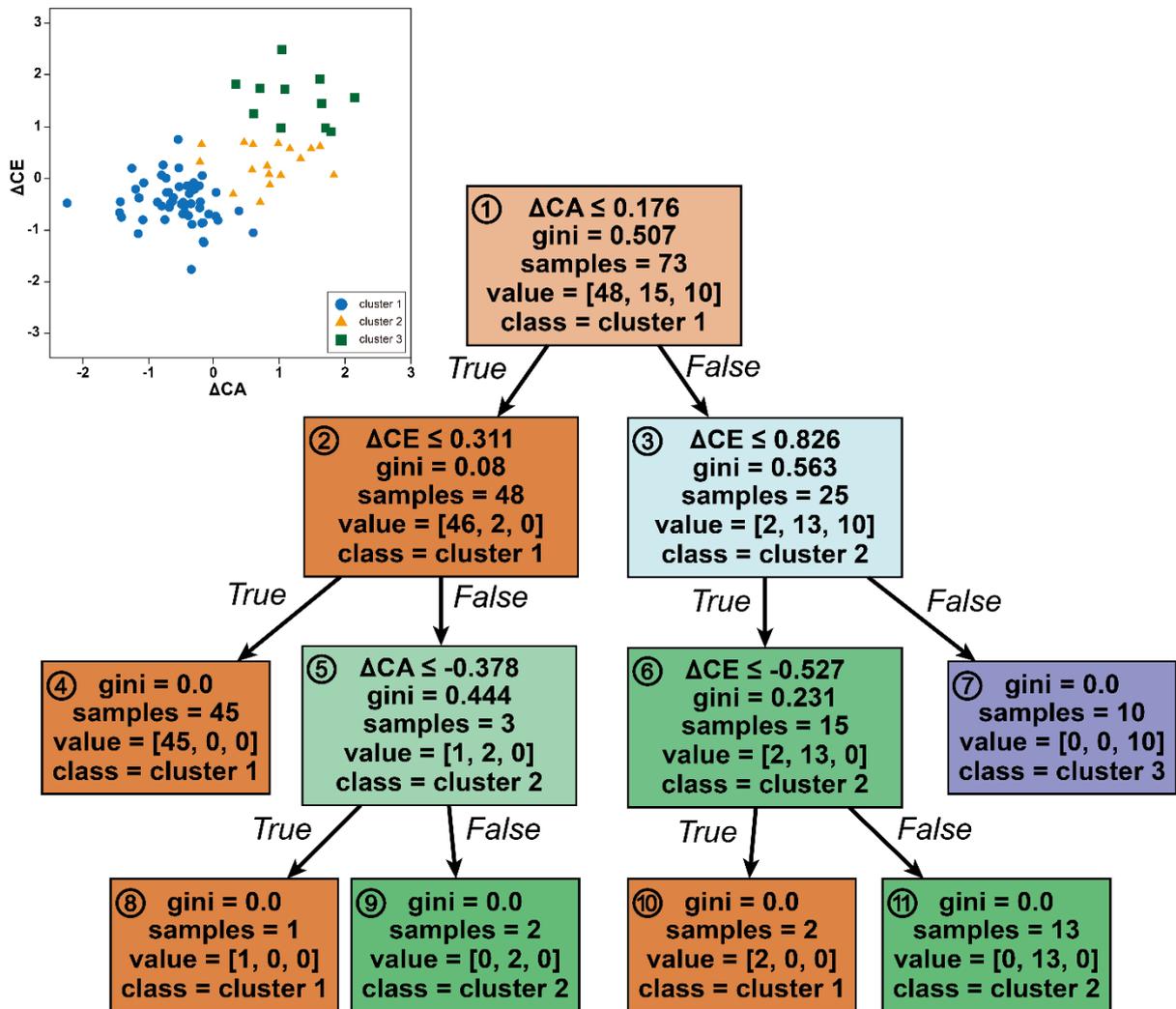
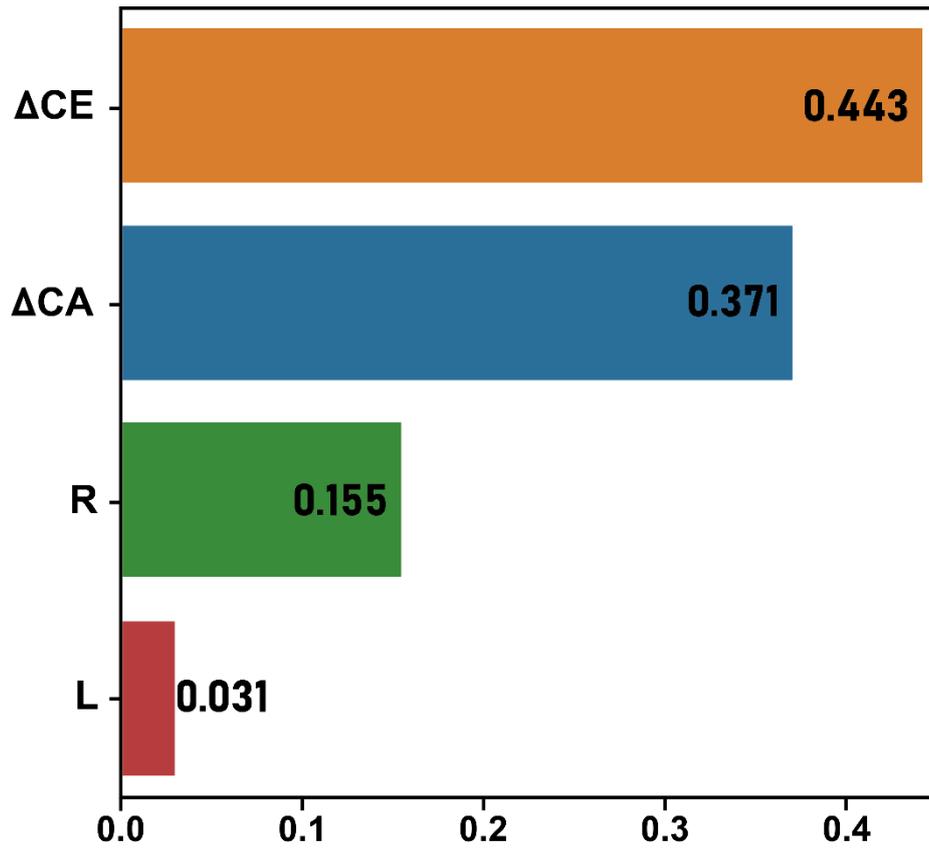
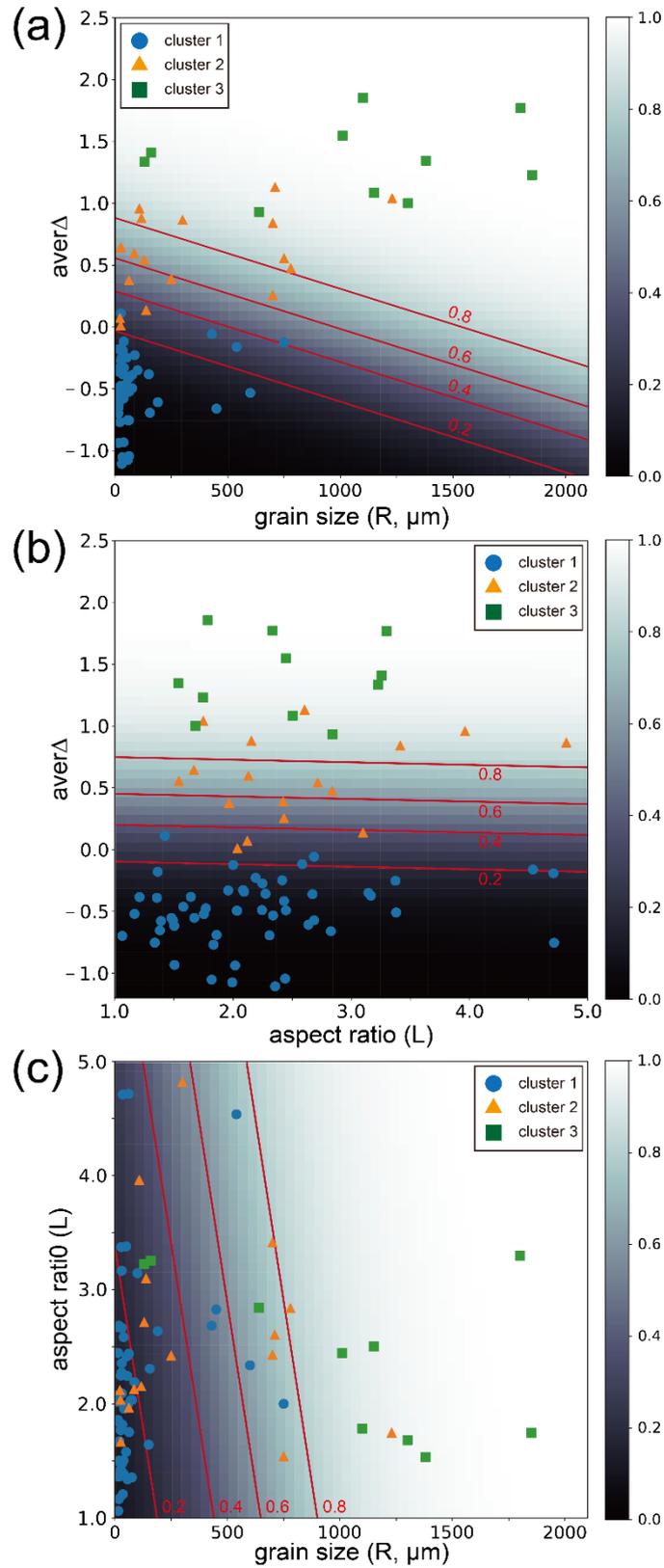


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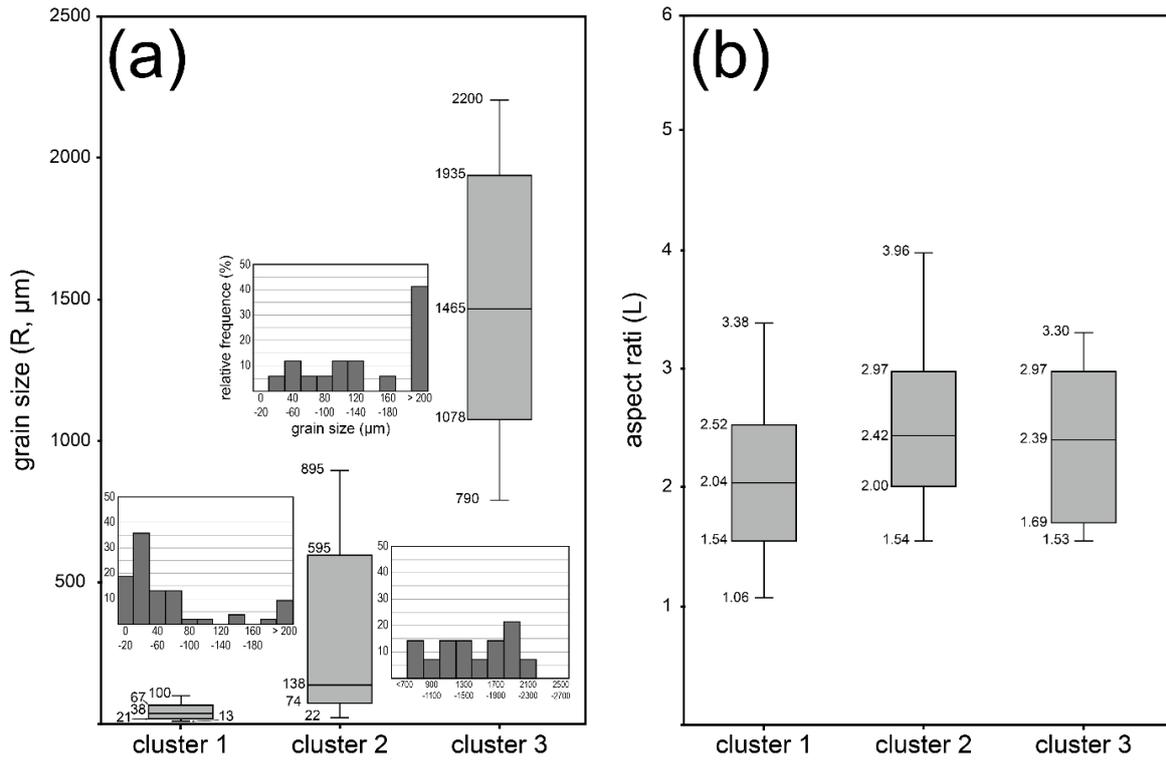
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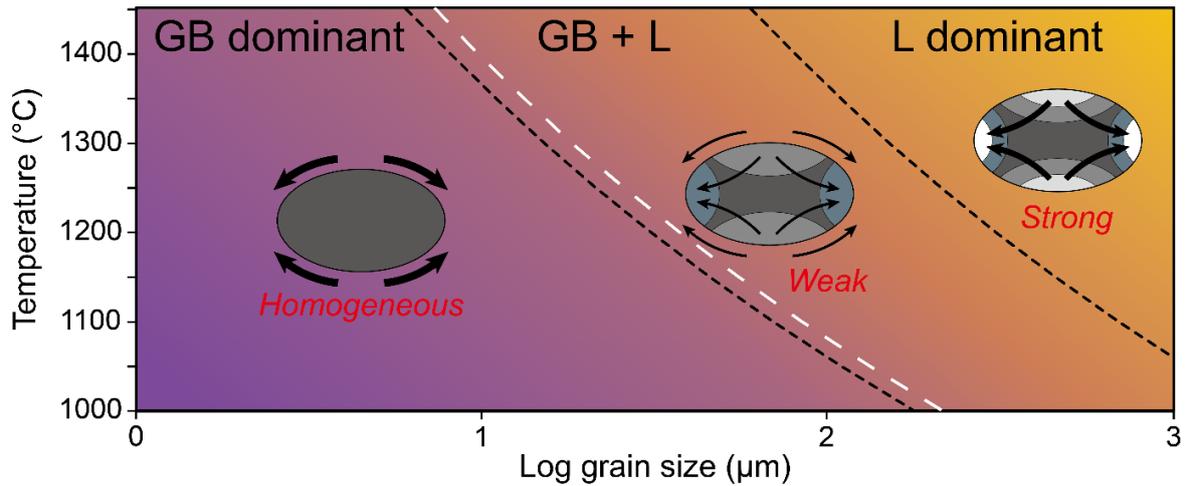
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925 Table 1. Chemical compositions of each analysis point measured by EPMA for representative three  
 926 spinel grains (S1, S2 and S3).

| Point No. | Al <sub>2</sub> O <sub>3</sub> | FeO   | MnO  | MgO   | Cr <sub>2</sub> O <sub>3</sub> | NiO  | TiO <sub>2</sub> | Total (wt%) |
|-----------|--------------------------------|-------|------|-------|--------------------------------|------|------------------|-------------|
| P1        | 39.00                          | 18.47 | 0.21 | 15.45 | 25.86                          | 0.21 | 0.25             | 99.44       |
| P2        | 35.23                          | 18.90 | 0.20 | 14.73 | 29.90                          | 0.20 | 0.25             | 99.39       |
| P3        | 38.62                          | 19.63 | 0.19 | 14.65 | 25.85                          | 0.21 | 0.26             | 99.41       |
| P4        | 37.99                          | 19.63 | 0.19 | 14.65 | 25.85                          | 0.21 | 0.26             | 98.78       |
| P5        | 36.68                          | 20.34 | 0.21 | 14.45 | 27.01                          | 0.17 | 0.27             | 99.12       |
| P6        | 37.96                          | 19.17 | 0.17 | 15.40 | 26.25                          | 0.23 | 0.23             | 99.41       |
| P7        | 35.96                          | 20.53 | 0.22 | 14.58 | 28.12                          | 0.23 | 0.28             | 99.93       |
| P8        | 35.90                          | 19.53 | 0.20 | 15.28 | 28.39                          | 0.21 | 0.23             | 99.73       |
| P9        | 35.87                          | 20.03 | 0.24 | 14.71 | 28.21                          | 0.24 | 0.28             | 99.57       |

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928 Table 2. Performances for each probability map. Each score is average for cross-validation (cv=10).  
 929 *aver* $\Delta$  is average of the  $\Delta CA$  and  $\Delta CE$ . R is grain size. L is aspect ratio.

|           | <i>aver</i> $\Delta$ and R | <i>aver</i> $\Delta$ and L | L and R |
|-----------|----------------------------|----------------------------|---------|
| Accuracy  | 0.95                       | 0.96                       | 0.78    |
| Recall    | 0.90                       | 0.90                       | 0.53    |
| Precision | 0.97                       | 1.00                       | 0.70    |
| f1 score  | 0.91                       | 0.93                       | 0.58    |
| ROC-AUC   | 0.98                       | 1.00                       | 0.86    |

930

931 Table 3. The ratio of diffusion flux ( $r_{diff}$ ) for various grain size with changing temperature condition.

| T (°C) \ log grain size (μm) | 0      | 0.25   | 0.5   | 0.75  | 1     | 1.25  | 1.5  | 1.75 | 2    | 2.25 | 2.5  | 2.75 | 3    |
|------------------------------|--------|--------|-------|-------|-------|-------|------|------|------|------|------|------|------|
| 1450                         | 6.10   | 3.43   | 1.93  | 1.08  | 0.61  | 0.34  | 0.19 | 0.11 | 0.06 | 0.03 | 0.02 | 0.01 | 0.01 |
| 1400                         | 8.12   | 4.57   | 2.57  | 1.44  | 0.81  | 0.46  | 0.26 | 0.14 | 0.08 | 0.05 | 0.03 | 0.01 | 0.01 |
| 1350                         | 11.01  | 6.19   | 3.48  | 1.96  | 1.10  | 0.62  | 0.35 | 0.20 | 0.11 | 0.06 | 0.03 | 0.02 | 0.01 |
| 1300                         | 15.20  | 8.55   | 4.81  | 2.70  | 1.52  | 0.85  | 0.48 | 0.27 | 0.15 | 0.09 | 0.05 | 0.03 | 0.02 |
| 1250                         | 21.45  | 12.06  | 6.78  | 3.81  | 2.15  | 1.21  | 0.68 | 0.38 | 0.21 | 0.12 | 0.07 | 0.04 | 0.02 |
| 1200                         | 30.98  | 17.42  | 9.80  | 5.51  | 3.10  | 1.74  | 0.98 | 0.55 | 0.31 | 0.17 | 0.10 | 0.06 | 0.03 |
| 1150                         | 45.92  | 25.82  | 14.52 | 8.17  | 4.59  | 2.58  | 1.45 | 0.82 | 0.46 | 0.26 | 0.15 | 0.08 | 0.05 |
| 1100                         | 70.04  | 39.39  | 22.15 | 12.46 | 7.00  | 3.94  | 2.21 | 1.25 | 0.70 | 0.39 | 0.22 | 0.12 | 0.07 |
| 1050                         | 110.29 | 62.02  | 34.88 | 19.61 | 11.03 | 6.20  | 3.49 | 1.96 | 1.10 | 0.62 | 0.35 | 0.20 | 0.11 |
| 1000                         | 179.98 | 101.21 | 56.92 | 32.01 | 18.00 | 10.12 | 5.69 | 3.20 | 1.80 | 1.01 | 0.57 | 0.32 | 0.18 |

932

933 Table 4. Critical grain sizes ( $R_c$ ) for various temperature conditions

| T (°C) | <sup>a</sup> $R_c$ | <sup>b</sup> $R_c$ |
|--------|--------------------|--------------------|
| 1450   | 7.32               | 6.10               |
| 1400   | 9.75               | 8.12               |
| 1350   | 13.21              | 11.01              |
| 1300   | 18.24              | 15.20              |
| 1250   | 25.74              | 21.45              |
| 1200   | 37.18              | 30.98              |
| 1150   | 55.11              | 45.92              |
| 1100   | 84.05              | 70.04              |
| 1050   | 132.35             | 110.29             |
| 1000   | 215.98             | 179.98             |

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Considering the models of <sup>a</sup>Swaroop et al. (2005) and <sup>b</sup>Shibutani et al. (1998)