

1 **Has the Gulf Stream Slowed or Shifted in the Altimetry Era?**

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7
8 **Key Points:**

- 9 • Trends in Gulf Stream latitude, speed, transport, and width estimated for 1993–2018 from
10 altimetry are small compared to their variability.
- 11 • The magnitude and sign of trends in Gulf Stream properties are sensitive to the length of
12 the observational record.
- 13 • Trends in latitude (speed), if continued, would require nearly doubling (tripling) the alti-
14 metric record to be statistically significant.

15 Abstract

16 The Gulf Stream is expected to slow and shift poleward over the next century due to climate
17 change. We investigate whether such changes are already observable in the altimetric record
18 (1993–2018) using along-track altimetry. Trends in latitude, speed, transport, and width are cal-
19 culated in stream-following coordinates to avoid aliasing possible increases in variability into
20 changes in the Stream’s intrinsic structure. Statistically significant trends are few and apparently
21 randomly distributed. Further, small changes to the length of the record lead to large changes in
22 the trends and their significance. These results indicate that the probability there have been sys-
23 tematic change in the properties considered is low. Assuming that there may be physical reasons
24 for the trends, we estimate that 22–23 additional years of observations are required detect trends
25 in latitude and transport, and 54 additional years for trends in speed for at least half of the altime-
26 try tracks.

27 Plain Language Summary

28 The Gulf Stream transports warm water into the high latitudes of the North Atlantic and is par-
29 tially responsible for Europe’s mild climate. It is expected to slow down and shift northward over
30 the next century in response to climate change. This study investigates whether these changes are
31 already detectable using satellite measurements of sea surface height. Trends in the latitude,
32 speed, width, and transport are calculated in a frame that moves north and south with the Gulf
33 Stream to avoid confusing changes in the strength and frequency of meanders with changes in
34 the Gulf Stream’s intrinsic properties. Few observed changes are statistically different from zero
35 and small changes to the length of the record lead to large differences in the size of the changes.
36 This means that Gulf Stream has too much short-term variability to reliably detect changes over
37 the length of the satellite record (1993–2018). Additional observations may make it possible to
38 detect changes. Assuming the Gulf Stream’s future variability is consistent with that over the ob-
39 served record, we estimate that an additional 22–23 years of observations would be required to
40 detect changes in latitude and transport, while detecting changes in speed would require 54 addi-
41 tional years.

42

43 1 Introduction

44 As part of the surface limb of the Atlantic meridional overturning circulation (AMOC), the
 45 Gulf Stream (GS) is an important oceanic path for poleward heat and salt transport, and varia-
 46 tions of the GS affect local and European climate (Kwon and Joyce, 2013; O'Reilly et al., 2017;
 47 Palter, 2015; Siqueira and Kirtman, 2016; Zhang et al. 2019). Several studies have suggested that
 48 climate change will lead to a weakening of the AMOC (Cheng et al., 2013; Gregory et al., 2005;
 49 Meehl et al., 2007; Schmittner et al. 2005; Schneider et al., 2007) and a concomitant slowdown
 50 (Chen et al., 2019; Meehl et al., 2007; Yang et al., 2016; Yin et al. 2010) and northward shift
 51 (Yang 2016; Zhang and Vallis, 2007) of the GS. Since the GS is in geostrophic balance with a
 52 large (~ 1 m) sea surface height (SSH) gradient, such changes have the potential to produce en-
 53 hanced sea level rise on the US East Coast (Bingham and Hughes, 2009; Brunnabend et al.,
 54 2014; Little et al., 2019; Yin et al., 2009, Yin et al., 2010).

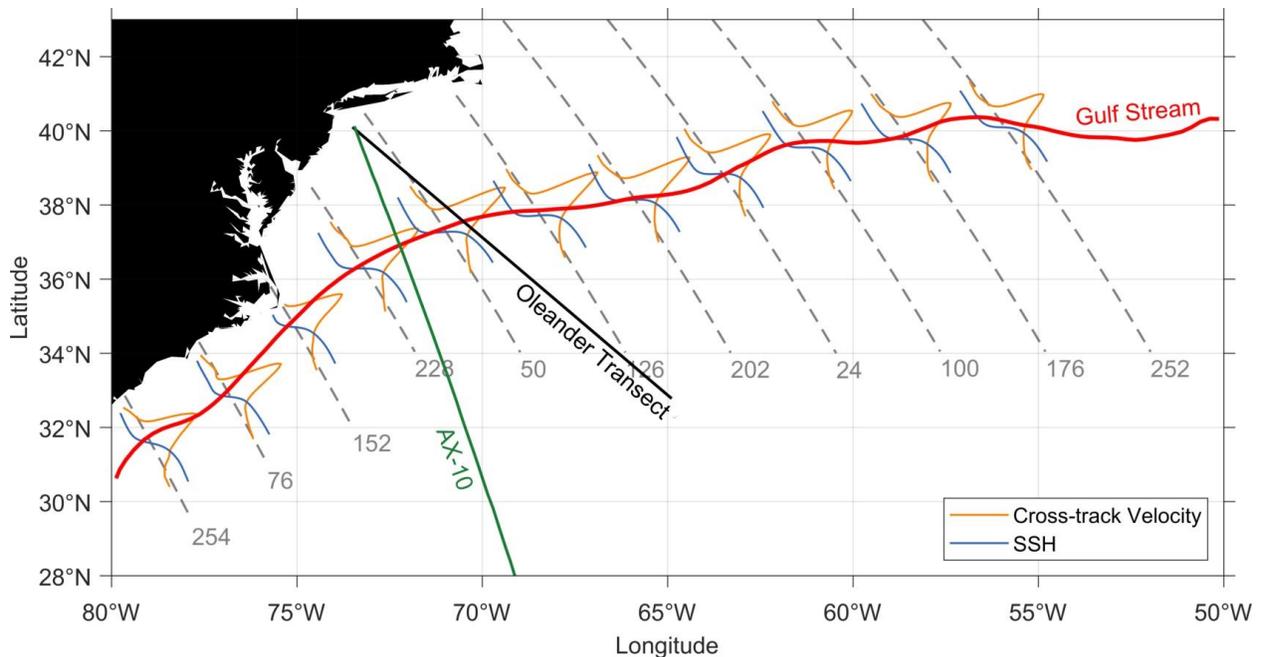


Figure 1 Locations of the altimeter tracks used in this study (dashed lines) labeled by track number. The blue and orange curves give the 1993–2018 mean ADT and cross-track velocity at each line; the mean is taken in stream-following coordinates. The Oleander Transect, the AX-10 XBT Line, and mean Gulf Stream axis (i.e., the 25-cm ADT contour) are also shown for reference.

55 While there appears to be general agreement that climate change will eventually cause the
56 GS to slow and shift, evidence that this has already happened is mixed. On the one hand, in-situ
57 observations have detected no long-term change in its transport or position at the Oleander tran-
58 sect (Rossby et al., 2014; Sanchez-Franks et al., 2014; Dong et al., 2019) and the AX10 transect
59 (Dong et al., 2019); both transects are shown in Figure 1. On the other hand, a slowing of the GS
60 has been inferred from changes in coastal sea level (Ezer et al., 2013; Ezer, 2015), sea surface
61 temperature (SST; Caesar et al., 2018; Smeed et al., 2018), reanalyses (Yang et al., 2016), and
62 gridded hydrography (Smeed et al., 2018) or altimetry (Dong et al., 2019, Smeed et al., 2018).
63 Evidence for poleward shift of the GS is more mixed, with some studies reporting a northward
64 shift (Yang et al., 2016, Caesar et al., 2018; Smeed et al., 2018) while others find that it has
65 moved southward (Bisagni et al., 2017; Dong et al., 2019).

66 Some of this disagreement may stem from the use of temporally averaged gridded products,
67 which may alias increased meander frequency or amplitude into an apparent broadening and
68 slowing of the GS. Indeed, McCarthy et al. (2018) argued that the apparent slowing along the
69 main axis of the GS and acceleration along the flanks visible in gridded altimetry was consistent
70 with a recent destabilization of the GS (Andres, 2016). In an effort to avoid these aliasing prob-
71 lems, Dong et al. (2019) considered changes in GS properties (latitude, speed, width, etc.) in a
72 stream-following coordinate centered on its main axis. They found statistically significant trends
73 in GS position (southward) and speed (slowing) east of $\sim 65^\circ\text{W}$, but no such trends further west
74 from altimetry or from the Oleander and AX10 transects (Figure 1). However, the result of no
75 significant trends west of $\sim 65^\circ\text{W}$ was sensitive to the time interval considered—significant
76 southward and widening trends west of 65°W were found for the period 1993–2011 but not for
77 1993–2016. Such sensitivity to the length of observations suggests that the trends are not robust.
78 Further, the production of gridded altimetry requires significant interpolation in time and space
79 which may introduce distortions which are difficult to quantify (see, e.g., Ballarotta et al., 2019).
80 In this study, we pursue a strategy similar to Dong et al. (2019) and consider changes in GS-
81 following coordinates but make use of along-track altimetry at its native spatial and temporal
82 resolution, avoiding artifacts due to interpolation and temporal smoothing.

83 **2 Intrinsic properties of the GS**

84 Properties of the GS are derived in stream-following coordinates using a combination of
85 along-track and $\frac{1}{4}^\circ$ gridded altimetry available from the Copernicus Marine Service spanning
86 1993–2018. Specifically, we derived its latitude, downstream velocity, transport, and width from
87 along-track absolute dynamic topography (ADT) at 11 descending altimeter tracks between
88 80°W and 55°W (Figure 1) as described below. When the GS crosses a track more than once,
89 properties are calculated for the two crossings with eastward velocity and averaged. These varia-
90 bles are first calculated at the native temporal resolution (~ 10 days), then averaged seasonally.
91 Time series of GS latitude, velocity, transport and width at the 11 tracks are shown in supple-
92 mental Figures S1–S4.

93 2.1 Latitude

94 A straightforward definition of GS latitude is the location of its maximum downstream veloc-
95 ity. However, the GS is surrounded by eddies with comparable velocities, which makes it diffi-
96 cult to distinguish the two from the maximum velocity definition. A common proxy for the loca-
97 tion of the axis of the GS is the 25-cm ADT contour from gridded altimetry (Andres et al., 2013,
98 Lillibridge and Mariano, 2013; Rossby et al., 2014); Chi et al. (2019) have shown that this ADT
99 contour closely follows the GS axis as defined by the maximum velocity. In this study, we use
100 the 25-cm ADT contour as a first guess in the search for the maximum velocity axis. Specifical-
101 ly, the latitude of the GS at each track is first estimated using the 25-cm ADT contour from
102 weekly gridded ADT. We then search within 75 km of this first-guess for the maximum geo-
103 strophic velocity normal to the altimeter track. The value of 75 km is chosen because it is about
104 half the GS width. Small changes in this value do not affect any of the conclusions of this study.

105 The correlation between GS latitude derived from along-track ADT at track 50 and that de-
106 rived from ADCP measurements at the Oleander transect (Figure 1) is 0.81 for 1993–2017,
107 which is significant at the 99% confidence level. [Significance estimated using the random phase
108 method (Ebisuzaki, 1997) with 20,000 samples.] This gives us confidence that the GS latitude
109 derived from this method is reliable.

110 2.2 Downstream velocity

111 Along-track SSH can only be used to estimate geostrophic velocity normal to the track. If the
112 angle between the cross-track velocity and GS direction is non-zero, the estimated velocity pro-
113 file will be broader and slower than the actual GS. To correct for this, we project velocity de-
114 rived from altimetry onto the downstream direction by rotation by the angle between the track
115 and the 25-cm ADT contour from weekly gridded altimetry. The median value of this angle is
116 less than 30° at all tracks; cases where this angle exceeds 60° are excluded.

117 2.3 Transport

118 Surface-layer GS transport is calculated by integrating the downstream velocity between the
119 first zero velocity points to the north and south of its axis. Transport is reported in Sv km^{-1}
120 ($= 10^3 \text{ m}^2\text{s}^{-1}$); this unit is such that if the GS were a 1000 m deep barotropic jet with depth sur-
121 face-layer transport $T \text{ Sv km}^{-1}$ the total transport would be $T \text{ Sv}$. Since the velocity is calculated
122 from ADT using geostrophy, the surface-layer transport is a proxy for the sea-level drop across
123 the GS, with 1 Sv km^{-1} of transport equivalent to an ADT drop of approximately 0.9 cm. We do
124 not calculate transport at track 152, which is near Cape Hatteras, because the GS is too close to
125 the coast to determine its northern boundary from altimetry.

126 The Oleander transect crosses the mean GS axis approximately 0.5° east of track 50 and re-
127 ports total transport at 55 m rather than geostrophic transport at the surface. Nevertheless, the
128 correlation between transport at track 50 and that reported by the Oleander is 0.71 over 1995–
129 2004 (a period when the Oleander transport timeseries is relatively gap-free), indicating that the
130 along-track transport estimates have some skill.

131 2.4 Width

132 A straightforward definition of GS “width” is the distance between zero-velocity points on
133 either side of its axis. However, this definition produces estimates which are sensitive to small
134 fluctuations of velocity around zero. To avoid this, GS width is defined as the distance between
135 the two points where the downstream velocity reaches e^{-1} of its maximum value.

136 3 Trends in GS properties

137 3.1 Methodology

138 Trends are calculated using ordinary least squares. To estimate confidence intervals for the
139 regression slope, $\hat{\alpha}$, we use the t statistic

$$t = \hat{\alpha} \left[\frac{\sum_{i=1}^N (t_i - \bar{t})^2}{\hat{t}_* \hat{\sigma}_E^2} \right]^{\frac{1}{2}}, \quad (1)$$

140 where $\hat{\sigma}_E^2$ and \hat{t}_* are estimates of the variance and decorrelation times of the residuals after re-
141 gression and N is the total number of samples. The decorrelation time in units of the sample fre-
142 quency is defined as the maximum of

$$t_* = 1 + 2 \sum_{j=1}^{N-1} w_j \rho_j \quad (2)$$

143 and one, where ρ_j is the lag- j autocorrelation of the residuals and the weights are

$$w_j = \frac{\sum_{i=1}^{N-j} (t_i - \bar{t})(t_i + t_j - \bar{t})}{\sum_{i=1}^N (t_i - \bar{t})^2} \quad (3)$$

144 (e.g., Lee and Lund, 2004). Both $\hat{\sigma}_E^2$ and ρ_j are estimated by fitting $AR(p)$ models to the residu-
145 als using conditional maximum likelihood estimation with order penalized using the Bayesian
146 information criterion (e.g., Wei, 2006), as implemented by the Python package *statsmodels*, ver-
147 sion 0.12.1. Finally, the confidence intervals are computed by comparing the t statistic to the
148 point value function of a t distribution with $N_e - 2$ degrees of freedom, where $N_e = N/t_*$ is the
149 effective degrees of freedom.

150 This procedure is particularly useful in the analysis of latitude at the two tracks immediately
151 downstream of Cape Hatteras, which have long decorrelation times (see supplemental Figure
152 S5). The residuals for majority of the other properties are well-modeled by white noise with
153 $t_* = 1$ season, in which case the above formula for the confidence intervals reduces to the stand-
154 ard version (e.g., von Storch and Zwiers, 1999).

155 3.2 Results

156 The linear trends in GS latitude, maximum downstream velocity, surface-layer transport, and
 157 width are shown in Figure 2. The error bars on these trends are quite large and very few trends
 158 are distinguishable from zero at the 95% confidence level. The only statistically significant
 159 trends are the slight northward shift in latitude at tracks 76 and 152 (2.5 ± 2.2 and 3.2 ± 2.8 kil-
 160 ometers per decade, respectively), the increase in speed at track 126 (7 ± 5 cm s^{-1} per decade),
 161 and the decrease in width at track 176 (-3.2 ± 2.7 km per decade). Using monthly, rather than
 162 seasonal, means produces the same conclusion. Annually averaged data produce trends with
 163 slightly wider confidence intervals, reflecting the fact that the actual decorrelation times are gen-
 164 erally less than a year when higher frequency data are used, but cannot be less than a year for

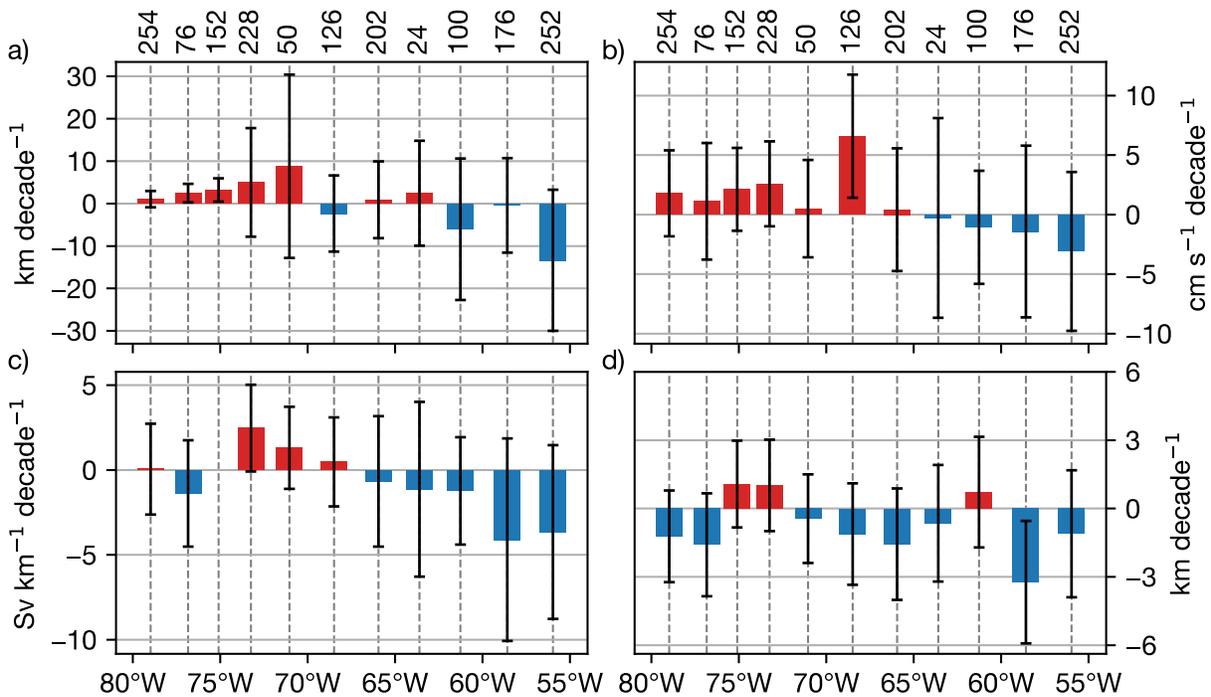


Figure 2. Decadal trends of Gulf Stream (a) latitude (converted to from degrees to kilometers), (b) downstream velocity, (c) surface-layer transport, and (d) width at 11 altimetry tracks. The most likely value of the trend is indicated by red bars for positive trends and blue bars for negative trends; error bars give 95% confidence intervals. The horizontal position of each bar is the longitude at which that track crosses the mean Gulf Stream axis. Track numbers are indicated at the upper panels.

165 annual data. The few trends based on seasonal data that are significant at the 95% confidence

166 level remain significant in annual data. Adjusting the significance threshold also does not change
167 the results significantly: only two additional trends (latitude at track 252 and transport at track
168 228) are significant at the 90% confidence level and only a handful of additional trends are sig-
169 nificant at the 80% confidence level (Figure S6).

170 The null hypothesis that there is no trend is likely to be rejected one time in twenty by random
171 chance when using the 95% confidence level. In the present case, we are testing 43 hypotheses
172 (four for each of the eleven tracks, except for transport at track 152) and so should expect 2–3 of
173 the trends to be significant even if there are no real trends. Four trends pass significance test in
174 the present case, which is only slightly more than one would expect from random chance.

175 3.3 Detectability of trends

176 Figure 3(a-d) shows how large trends in latitude, speed, transport, and width would need to
177 be in order to be detectable at the 95% confidence level. The GS follows the shelf edge closely
178 upstream of Cape Hatteras, so the variability in latitude is small and correspondingly small
179 trends are detectable. Latitude variability increases rapidly downstream of Cape Hatteras so that
180 the trend at track 50 would need to exceed 22 km per decade to be detectable. East of 70°W, the
181 detection thresholds for latitude trends are steadier at 10–13 km per decade. The detection
182 thresholds for speed, transport, and width are somewhat smaller west of 70°W ($\sim 4 \text{ cm s}^{-1}$ per
183 decade for speed, $\sim 3 \text{ Sv km}^{-1}$ per decade for transport, and $\sim 2 \text{ km}$ per decade for width) than fur-
184 ther east ($5\text{--}7 \text{ cm s}^{-1}$ per decade for speed, $3\text{--}6 \text{ Sv km}^{-1}$ per decade for transport, and $2\text{--}3 \text{ km}$ per
185 decade for width).

186 A tradition perspective (followed thus far) is that trends are not considered real unless they
187 meet a certain confidence threshold. An alternate perspective is that we may have reasons to be-
188 lieve that the trends are real, but the observational record is too short and noisy to detect them.
189 We can then ask how long we must observe the GS for the signal of the trends to rise above the
190 noise of the system. To estimate this “breakout time,” we assume that each quantity can be repre-
191 sented as the linear trend given in Figure 2 plus stationary noise. The noise is estimated from the
192 observed time interval and the number of observations necessary for the trend to be different
193 from zero at the 95% confidence level is calculated by inverting the method used to estimate
194 confidence intervals given in section 3.1.

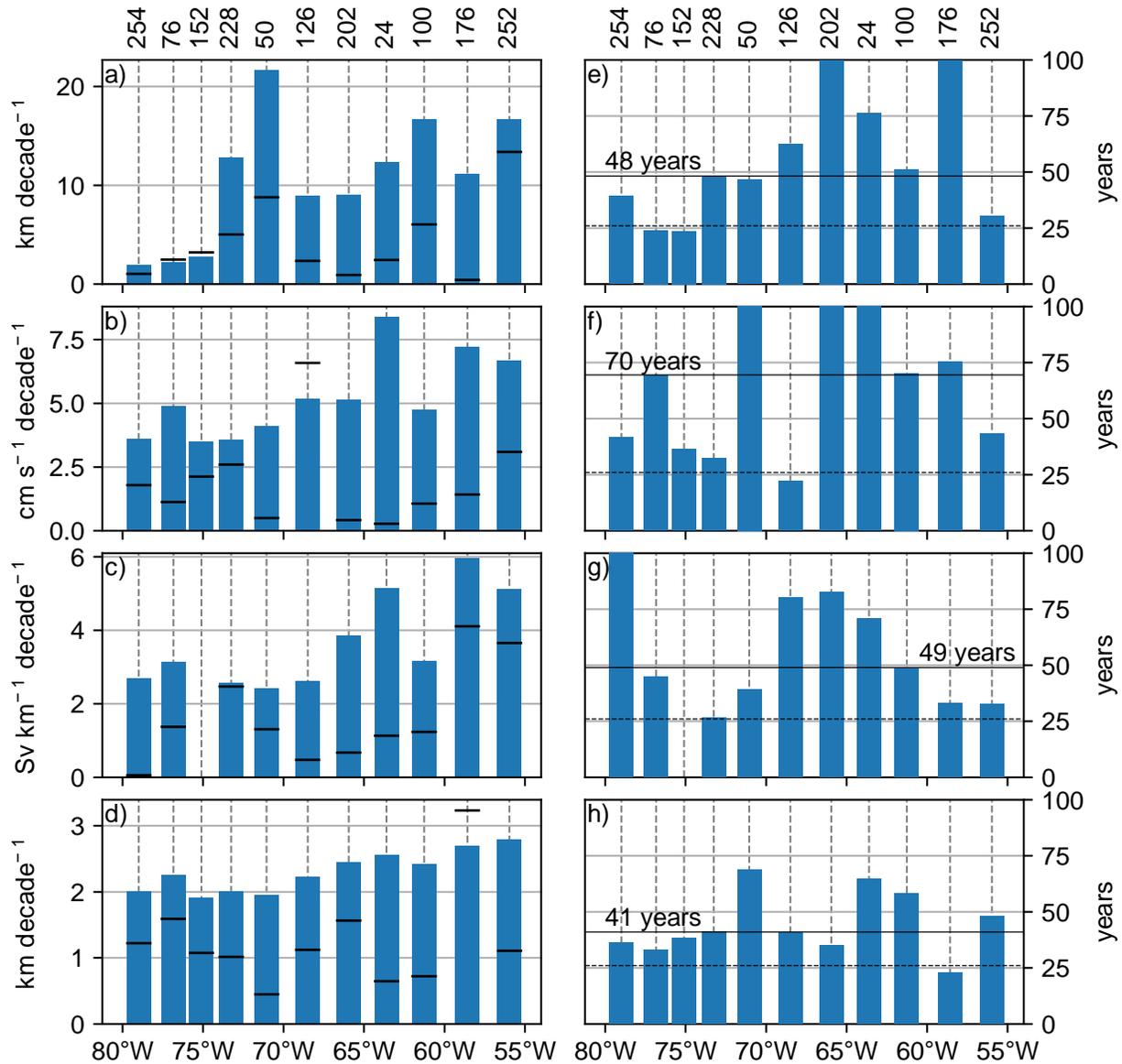


Figure 3. (a–d) The magnitude of decadal trends required for detection at the 95% confidence level and (e–h) the time required for the trends in figure 2 to be distinguishable from zero at the 95% confidence level (the “breakout time”) for Gulf Stream (a, e) latitude, (b, f) downstream velocity, (c, g) surface-layer transport, and (d, h) width at 11 altimetry tracks. Black lines give the magnitude of the actual trend estimated at each track. The horizontal position of each bar is the longitude at which that track crosses the mean Gulf Stream axis. Track numbers are indicated at the upper panels.

196 The resulting breakout times are shown in Figure 3(e-h). Note that trends at some tracks are
197 so weak that it would take more than 100 years of data for the trends to become significantly dif-
198 ferent from zero; at these tracks the calculation was cut off after 100 years. For latitude and
199 transport, it would take nearly doubling the altimetry record (from 26 years to 48–49 years) for
200 trends to become significant for at least 50% of the altimetry tracks (Figs. 3e & g). The trends are
201 larger relative to the confidence intervals for width, so it would only take an additional 15 years
202 of observations for at least half of these trends to be distinguishable from zero (Fig. 3h). Finally,
203 downstream velocity is highly variable and detecting trends in velocity for at least half of the
204 tracks would almost tripling the length of the altimetry record (to 70 years) (Fig. 3f).

205 It should be noted that these estimates of breakout times are likely underestimates since we
206 have assumed that the noise is stationary with variance equal to that in the existing data. In reali-
207 ty, most turbulent oceanic processes are “red”, with noise that increases with the length of the
208 record as progressively lower frequency variability influences the observations.

209 **4 Discussion**

210 Although very few of the trends discussed in section 3 are statistically significant, a descrip-
211 tion of them is worthwhile for comparison to previous studies. Overall, the GS appears to be ac-
212 celerating and migrating northward west of 68.5°–70°W (Figs. 2a & b). The trends are mixed
213 downstream of this point, with some hints of a slowdown and southward shift in the extreme
214 east. Both transport and width trends have a more complex spatial structure (Figs. 2c & d). At the
215 two tracks upstream of Cape Hatteras (tracks 254 and 76), transport is steady or decreasing and
216 the Stream is narrowing. The trends in transport and width are positive immediately downstream
217 of Cape Hatteras, then become progressively weaker and more negative to the east. The implied
218 changes in each of these quantities are generally small compared to their mean values. The ex-
219 ceptions are downstream velocity at 68.5°W (track 126)—where the speed has increased by near
220 10%—and transport at 58.6°W and 56°W (tracks 176 and 252) and width at 58.6°W (track
221 176)—which have all decreased by about 10% (see Figure S5).

222 West of about 70°W, these trends are largely consistent with Dong et al. (2019), including the
223 small, but significant, northward shift near tracks 76 and 152. However, east of 70°W, Dong et
224 al. (2019) report a significant southward shift of about 22 km per decade, a significant slowing of
225 more than 5 cm s⁻¹ per decade, and a significant reduction in transport of more than 5 Sv km⁻¹

226 per decade. These trends are larger (by more than a factor of two for latitude and speed) than the
 227 (mostly insignificant) trends shown in Figure 3.

228 The most likely reason for the above discrepancies is the difference in time intervals between
 229 the two studies. The trends shown in Figure 2 are for 1993–2018 while Dong et al. (2019) con-
 230 sidered the interval 1993–2016. Indeed, Dong et al. (2019) make a similar point when discussing
 231 the differences between their results and previous studies, showing that changing the time inter-
 232 val to 1993–2011 produces large changes in the estimated trends. When our analysis is repeated
 233 for the same time interval used by Dong et al. (2019), the results become much more consistent
 234 with that study (Fig. 4). Further, several trends east of 70°W are distinguishable from zero at
 235 95% confidence that were not when using the longer time record.

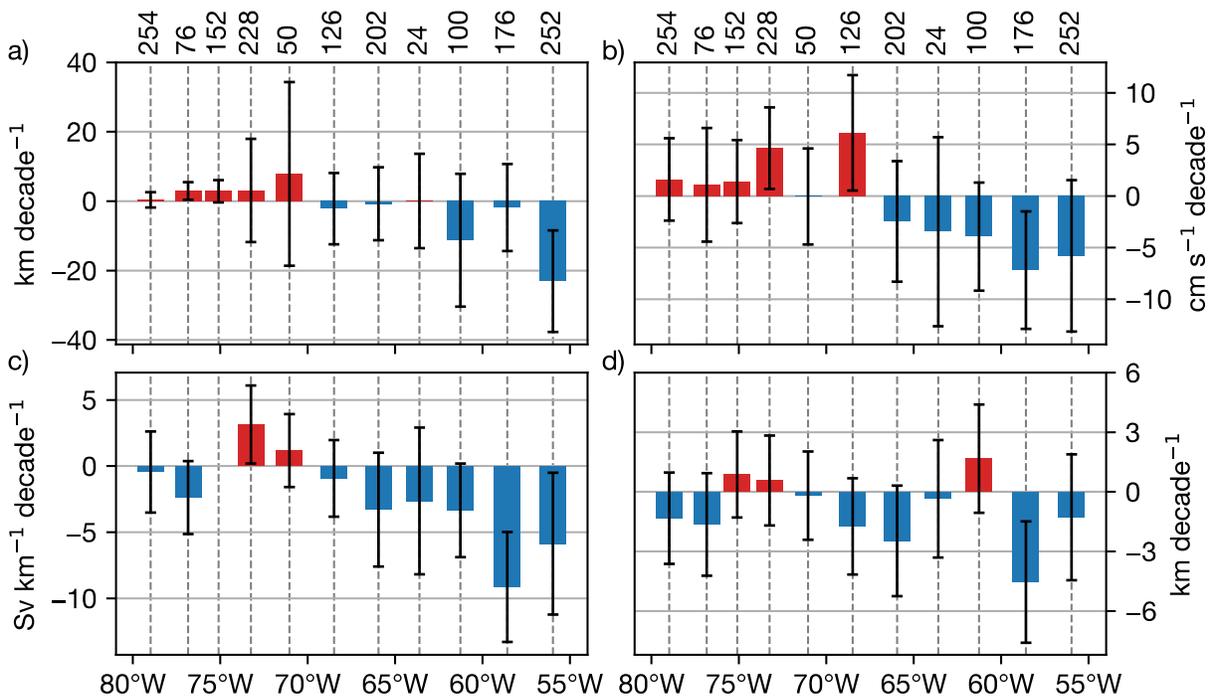


Figure 4. As with Figure 2, but for the period 1993–2016.

236 Our confidence intervals are still wider than those given by Dong et al. (2019), even after ad-
 237 justing the time interval. When the decorrelation time is equal to the sampling frequency—as is
 238 the case for most properties at most tracks using seasonally averaged data—the t statistic (1) re-
 239 duces to the standard version given in textbooks and reported by most regression software, which
 240 gives the same result reported here. We can only speculate that either the use of gridded alttime-

241 try or the temporal smoothing employed by Dong et al. (2019) either suppresses variability or
242 artificially increases the degrees of freedom, leading to narrow confidence intervals.

243 That changing the analysis window by two years produces such changes in the magnitudes of
244 the trends suggests that even the conservative confidence intervals given in Figures 2 and 4 are
245 misleadingly narrow. Whether statistically significant trends are meaningful depends on the
246 question being asked. If the goal is to describe what has happened, the trends shown in Figures 2
247 and 4 may be useful as summaries—although reporting the total change implied by the trend (as
248 in Figure S7) may be more informative. However, the fact that a trend is a rate suggests it be in-
249 terpreted as a prediction of future behavior. This is the perspective implicit in the breakout times
250 reported in Figure 3. However, because small changes in the time interval cause large changes in
251 trends indicates that noise in GS properties is so large that the estimation of statistically signifi-
252 cant trends is not stable given the present length of observation data. This is another reason to
253 view the breakout times estimated in Figure 3 should be viewed with caution.

254 **5 Summary and conclusions**

255 Taking advantage of 26 years of continuous sea surface height observations from satellite al-
256 timetry, we have examined whether the Gulf Stream (GS) has changed significantly over the al-
257 timetry era. When calculated at fixed positions, trends in sea surface height and surface velocity
258 suggest that the GS has slowed and broadened over this period. However, trends in GS transport,
259 latitude, width, and maximum downstream velocity calculated in stream-following coordinates
260 tell a different story. The results in section 3 indicate that any changes in the intrinsic structure of
261 the GS are small compared to their variability. Our confidence that the GS has shifted, slowed, or
262 widened over the nearly three decades of altimetric observations is poor. In fact, the few loca-
263 tions where we have confidence that there is a trend indicate that the GS has accelerated and nar-
264 rowed rather than slowed and broadened. The answer to the question posed in the title is there-
265 fore “we cannot tell,” at least not from the current altimetric record.

266 Given the strength of subdecadal GS variability, it would take nearly doubling the length of
267 the altimetry record to have 95% confidence that there are nonzero trends in latitude and
268 transport for at least half of the GS-crossing tracks. Unambiguous detection of trends in GS
269 speed at the majority of the tracks would require nearly tripling the record. However, these esti-

270 mates are predicated on the assumption that the trends are stable in time. The discussion in sec-
271 tion 4 indicates that this is unlikely to be the case.

272 Several studies (Ezer, 2013; Ezer et al., 2013; Ezer 2015) have argued that a hot spot for ac-
273 celerating sea level rise in the Mid-Atlantic Bight can be explained by a long-term slowing trend
274 in the GS. The results of this study suggest that this explanation is unlikely, since there are no
275 unambiguous trends in GS surface-layer transport and the only unambiguous trend in velocity is
276 *positive*. This suggests that explanation for the Mid-Atlantic sea-level-rise hot spot should be
277 sought elsewhere. For example, Piecuch et al. (2018) have argued that majority of large-scale
278 spatial variation in sea-level rise on the U.S. East Coast is due to geological processes evolving
279 on multi-centennial timescales.

280

281 **Acknowledgments and Data**

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283 products are distributed by the Copernicus Marine Service (<https://marine.copernicus.eu>) and
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287

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