

# Seasonal extrema of sea surface temperature in CMIP6 models

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## Key Points:

- Both the amplitude and pattern of SST biases in CMIP6 models can vary seasonally.
- CMIP6 model SST biases have large seasonal variations in eastern boundary upwelling regions and polar regions.
- Models with better vertical resolution have better representation of SST seasonal extrema, particularly in summer.

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## Abstract

CMIP6 model sea surface temperature (SST) seasonal extrema averaged over 1980-2010 are assessed against the World Ocean Atlas (WOA18) observational climatology. The biases in SST seasonal extrema are largely consistent with the annual mean SST biases. However, the amplitude and spatial pattern of SST bias vary seasonally in the 20 CMIP6 models assessed. Large seasonal variations in the SST bias occur in eastern boundary upwelling regions and polar regions, and the eastern equatorial Atlantic. These results demonstrate the importance of evaluating model performance not simply against annual mean properties. Models with greater vertical resolution in their ocean component typically demonstrate better representation of SST extrema, particularly seasonal maximum SST. No significant relationship with horizontal ocean model resolution is found.

## Plain Language Summary

It is important that climate models give accurate projections of future extremes in summer and winter sea surface temperature, because these affect tropical cyclone formation and coral bleaching as well as many other features of the global climate system. For a selection of the latest generation of global climate models, we calculate the model bias, defined as the difference between simulated and observed sea surface temperatures. Most previous studies examined the annual mean bias. However analysing the summer and winter extremes reveals large biases in sea surface temperature in certain regions in some seasons and in some models. These summer and winter biases are not the same as the annual mean bias for each model. We find that models with more detailed representation of vertical structure in the ocean tend to have a better representation of the seasonal extrema in sea surface temperature, particularly in summer.

## 1 Introduction

Typically, climate model historical run evaluations focus on annual or longer-term mean sea surface temperature (SST). There are a number of areas where common biases are seen across many models. Most Coupled Model Intercomparison Project Phase 5 (CMIP5) models have substantial annual mean SST ( $T_{mean}$ ) warm biases (up to several °C) in Southern Ocean SST primarily due to cloud-related short-wave biases (Flato et al., 2013; Hyder et al., 2018). A warm bias in  $T_{mean}$  in CMIP5 models has been identified in the tropical southeastern Pacific and Atlantic, which is associated with excessive heat flux into the ocean caused by stratocumulus cloud errors (Wang et al., 2014). In eastern boundary upwelling regions, a  $T_{mean}$  warm bias in the CMIP5 multi-model mean (Richter, 2015; Wang et al., 2014) has been linked to underestimated cloud and insufficient cooling from upwelling (Richter, 2015). A too zonal North Atlantic Current can lead to a lack of warm water east of the Grand Banks of Newfoundland, and thus a SST cold bias in the northwest Atlantic (Kuhlbrodt et al., 2018; Drews et al., 2015). This cold bias in the CMIP5 multi-model mean is over 3°C (Wang et al., 2014). A  $T_{mean}$  bias in the equatorial Pacific cold tongue exists in the multi-model mean of CMIP3, CMIP5, and CMIP6 models, with the cold tongue tending to be too cold and extending too far west (Tian & Dong, 2020). Li and Xie (2014) attributed Pacific cold tongue biases to an overly strong easterly wind in the western equatorial Pacific, acting to enhance upwelling. The latest state-of-the-art CMIP6 climate model outputs provide a foundation for the model SST bias identification and reduction, but the seasonal biases in CMIP6 models have not yet been evaluated globally.

Previous studies have emphasised the benefits of increasing ocean model horizontal resolution, for example in the representation of boundary currents, ocean fronts, eddies and air-sea fluxes (Hewitt et al., 2017; Kirtman et al., 2012; Roberts et al., 2016). However, ocean vertical resolution has drawn less attention than ocean horizontal resolution. Modelled diurnal and intraseasonal SST variability is affected by the vertical

62 resolution in the mixed layer (Misra et al., 2008; Xavier et al., 2008; Ge et al., 2017). How-  
63 ever, no studies have yet explored the impact of ocean vertical resolution on annual mean  
64 or seasonal extrema of SST in coupled models.

65 Seasonal extrema of SST are important: winter SSTs determine the properties of  
66 intermediate and deep waters, while summer SSTs impact occurrences of tropical cyclones  
67 and coral bleaching. Thus, realistic model simulation of SST seasonal extrema is an es-  
68 sential aspect of model skill for future climate projections. Wang et al. (2014) showed  
69 that CMIP5 multi-model mean SST biases have spatial patterns independent of seasons,  
70 but amplitudes vary seasonally. Therefore, an accurate annual or long-term mean SST  
71 does not guarantee accurate seasonal extrema or seasonal cycle.

72 A marked seasonal variability of SST warm bias in the eastern tropical Atlantic  
73 has been documented in CMIP5 models (Prodhomme et al., 2019; Richter et al., 2014),  
74 EC-Earth3.1 (Exarchou et al., 2018) and AWI-CM (de la Vara et al., 2020). In these mod-  
75 els, the eastern tropical Atlantic warm bias is maximum in boreal summer (June-July-  
76 August). Richter et al. (2012) attributes this to the largest wind biases occurring dur-  
77 ing spring. CMIP6 model SST cold biases in the North Pacific subtropics vary season-  
78 ally, and the seasonality is different between models (Zhu et al., 2020). Zhu et al. (2020)  
79 also found that the seasonal upper ocean cold bias in this region is related to vertical  
80 diffusivity. Song and Zhang (2020) suggested that the CMIP5 multi-model mean has sea-  
81 sonally dependent SST biases in the northeastern Pacific Ocean, with a warm bias dur-  
82 ing summer and a cold bias during winter, which they argued was caused by poorly sim-  
83 ulated North American monsoon winds.

84 To our knowledge, there has been no assessment of biases in seasonal SST extrema  
85 on a global scale, so here we assess the performance of 20 CMIP6 models in simulating  
86 SST seasonal extrema. To examine the seasonal cycle of SST, most studies pick a spe-  
87 cific month or number of months to represent summer and winter. However, here we pick  
88 the month when local seasonal SST maxima/minima occur. Section 2 introduces the mod-  
89 els and the analysis techniques, and Section 3 discusses the results.

90 CMIP6 models with different characteristics allow investigation of the factors re-  
91 lated to differences in model performance. We investigated the impact on SST biases of  
92 ocean grid type, ocean vertical coordinate, ocean and atmosphere horizontal and ver-  
93 tical resolution, Earth system model or not. It is shown that biases in  $T_{mean}$  and SST  
94 seasonal extrema are related to the ocean model vertical resolution. No clear relation-  
95 ship was found with any other model characteristic considered here.

## 96 2 Data and Methods

97 The historical run of 20 models were averaged over 1981-2010 to create monthly  
98 mean climatologies for each model. These 20 models with various ocean vertical reso-  
99 lutions include models with 33 levels: GISS-E2-1-H (Kelley et al., 2020); 40 levels: BCC-  
100 CSM2-MR (Wu et al., 2019), BCC-ESM1 (Wu et al., 2020), GISS-E2-1-G (Kelley et al.,  
101 2020), INM-CM5-0 (Volodin et al., 2017), and MPI-ESM1-2-HR (Müller et al., 2018);  
102 45 levels: CanESM5 (Swart et al., 2019); 46 levels: AWI-CM-1-1-MR (Semmler et al.,  
103 2019); 50 levels: ACCESS-CM2 and ACCESS-ESM1-5 (Law et al., 2017); 60 levels: CESM2  
104 (Danabasoglu et al., 2020), E3SM-1-0 (Golaz et al., 2019) and SAM0-UNICON (Park  
105 et al., 2019); 62 levels: MIROC6 (Tatebe et al., 2019); 70 levels: NorESM2-MM (Seland  
106 et al., 2020); 75 levels: GFDL-CM4 (Held et al., 2019), HadGEM3-GC3-LL (Andrews  
107 et al., 2020), HadGEM3-GC3-MM (Andrews et al., 2020), UKESM1-0-LL (Sellar et al.,  
108 2019) and IPSL-CM6A-LR (Boucher et al., 2020). AWI-CM-1-1-MR, GFDL-CM4 and  
109 HadGEM3-GC3-MM have an ocean horizontal resolution of approximately 25 km; BCC-  
110 CSM2-MR, BCC-ESM1, E3SM-1-0, INM-CM5-0 and MPI-ESM1-2-HR have an ocean  
111 horizontal resolution of approximately 50 km; the remaining models share an ocean hor-

112 zontal resolution of approximately 100 km. The first ensemble member (r1i1p1f1) is used,  
 113 except when r1i1p1f1 is not available; we choose r1i1p1f3 for HadGEM3-GC3-LL and  
 114 HadGEM3-GC3-MM; r1i1p1f2 for UKESM1-0-LL.

115 Maximum and minimum monthly mean SST ( $T_{max}$  and  $T_{min}$ ), and the range of  
 116 the seasonal cycle ( $T_{cycle}$ , that is  $T_{max}$  minus  $T_{min}$ ) from the model climatologies are  
 117 compared with the World Ocean Atlas 2018 (WOA18) observational climatology on a  
 118 grid spacing of  $0.25^\circ \times 0.25^\circ$  (Locarnini et al., 2018), which covers the period from 1981  
 119 to 2010. The model fields were interpolated to the same grid as WOA18. Biases are de-  
 120 fined as model values minus WOA18 values. Since there is some uncertainty in obser-  
 121 vational climatologies because of sparse sampling, instrumental error, quality control or  
 122 gridding techniques, we compared 3 recent climatologies: WOA18, WOCE-Argo Global  
 123 Hydrographic Climatology (WAGHC)(Gouretski, 2018) (covering the time period 1985-  
 124 2016), and HadISST (Rayner et al., 2003) (covering the time period 1981-2010). Any  
 125 grid points where the maximum difference in  $T_{max}$  or  $T_{min}$  between the three climatolo-  
 126 gies is larger than  $2^\circ\text{C}$  are considered uncertain for that variable, and these grid points  
 127 are excluded from our assessment. Any grid points which did not have values for all 12  
 128 months for at least two climatologies are also excluded. For  $T_{cycle}$ , we exclude any points  
 129 where either  $T_{max}$  or  $T_{min}$  is excluded. 4%, 3% and 4% of the ocean’s surface area is ex-  
 130 cluded for  $T_{max}$ ,  $T_{min}$  and  $T_{cycle}$  respectively.

131 To quantify the performance of CMIP6 models, we calculated the area-weighted  
 132 root mean square error of the model against WOA18 (henceforth RMSE) for global SST,  
 133 SST at mid-high latitudes (latitudes greater than 30 degrees in both hemispheres) and  
 134 SST at low latitudes (latitudes between  $30^\circ\text{N}$  and  $30^\circ\text{S}$ ). We use SST monthly time se-  
 135 ries in specific regions to investigate the representation of the seasonal cycle. Linear re-  
 136 gression was performed to study the relationship between SST bias and ocean vertical  
 137 resolution.

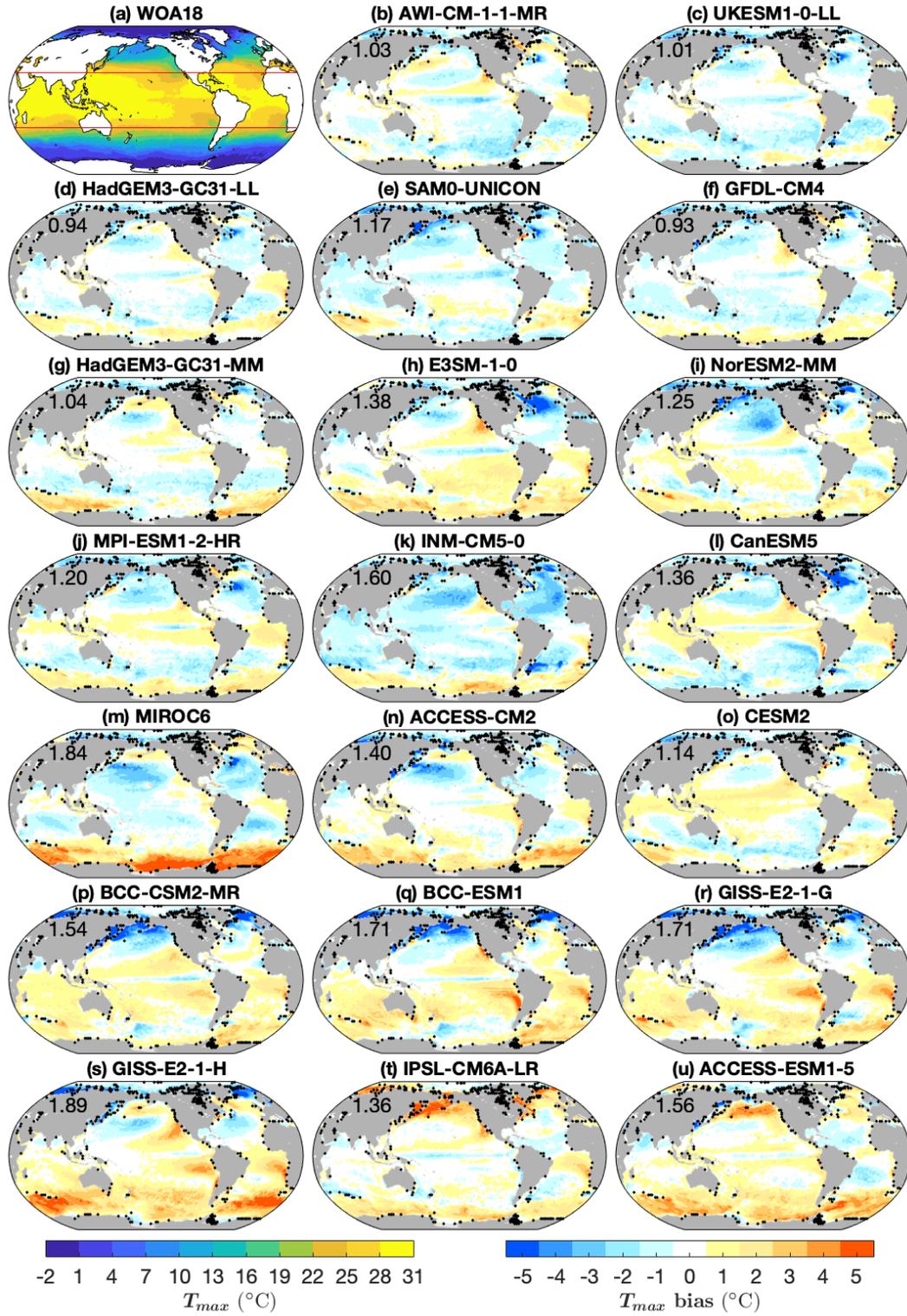
### 138 3 Results and Discussion

#### 139 3.1 Model Representation of SST Extrema

140 The magnitudes of biases in  $T_{max}$  and  $T_{min}$  vary from model to model (Figs. 1,  
 141 2). Most models have  $T_{max}$  and  $T_{min}$  RMSEs between  $1^\circ\text{C}$  and  $2^\circ\text{C}$ . Only HadGEM3-  
 142 GC31-LL and GFDL-CM4 have  $T_{max}$  RMSE less than  $1^\circ\text{C}$  ( $0.94^\circ\text{C}$  and  $0.93^\circ\text{C}$  respec-  
 143 tively). The  $T_{max}$  and  $T_{min}$  RMSEs are both larger than that for  $T_{mean}$  in all models.  
 144 AWI-CM-1-1-MR, GFDL-CM4, HadGEM3-GC31-LL, HadGEM3-GC31-MM, IPSL-CM6A-  
 145 LR, NorESM2-MM, SAM0-UNICON and UKESM1-0-LL have  $T_{mean}$  RMSEs less than  
 146  $1^\circ\text{C}$ . GISS-E2-1-H has the largest  $T_{max}$  RMSE of  $1.89^\circ\text{C}$  and MIROC6 has the largest  
 147  $T_{min}$  RMSE of  $1.62^\circ\text{C}$  (Figs. 1, 2). MIROC6 also has the largest  $T_{mean}$  RMSE ( $1.61^\circ\text{C}$ ).

148  $T_{max}$  and  $T_{min}$  biases vary with latitude (Figs. 1, 2, 3g, 3h). Models have larger  
 149  $T_{max}$  RMSE at mid to high latitudes ( $30^\circ\text{--}80^\circ$ ) than at low latitudes (Fig. 3g). Typi-  
 150 cally, the RMSE of  $T_{max}$  at  $30^\circ\text{--}80^\circ$  is  $1\text{--}2^\circ\text{C}$  larger than at low latitudes. For GISS-E2-  
 151 1-H, GISS-E2-1-G, BCC-CSM2-MR, BCC-ESM1 and IPSL-CM6A-LR,  $T_{max}$  RMSEs at  
 152  $30^\circ\text{N--}80^\circ\text{N}$  are about  $3^\circ\text{C}$  larger than at low latitudes. For MIROC6,  $T_{max}$  RMSE at  
 153  $70^\circ\text{S--}80^\circ\text{S}$  is over  $4^\circ\text{C}$  larger than at low latitudes, due to the large warm bias over the  
 154 Southern Ocean during summer (Fig. 1m). A similar pattern is seen in  $T_{min}$ , but the  
 155 variation with latitude is smaller (Fig. 3h). Flato et al. (2013) found a similar result for  
 156 some CMIP5 models, with larger zonal mean biases in  $T_{mean}$  at mid to high latitudes  
 157 ( $30^\circ\text{--}70^\circ$ ) than at other latitudes.

158 Model performances in many locations are different for  $T_{max}$  and  $T_{min}$ .  $T_{max}$  bi-  
 159 ases are generally larger than  $T_{min}$  biases, especially at mid-high latitudes (Figs. 1, 2,  
 160 3g, 3h). The larger difference at mid-high latitudes may be explained by the large sea-  
 161 sonal cycle of mixed layer depth there. Shallower summer mixed layers have smaller heat



**Figure 1.** (a)  $T_{max}$  in WOA18 and (b-u)  $T_{max}$  model biases. Black dots mark grid points excluded from our analysis, as described in section 2. The numbers on (b-u) indicate the global RMSE of  $T_{max}$ . Red lines in (a) are 30°N and 30°S.

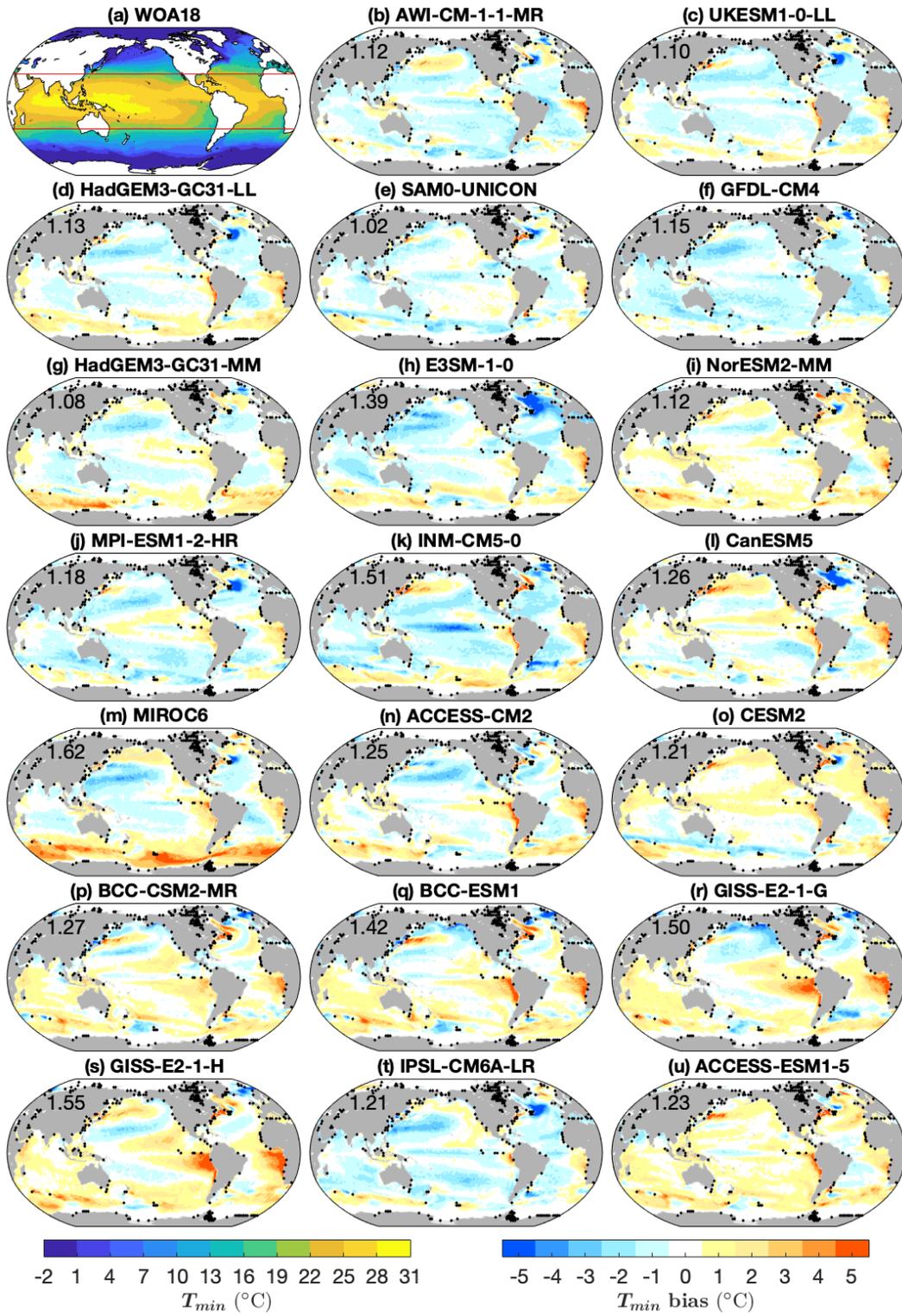
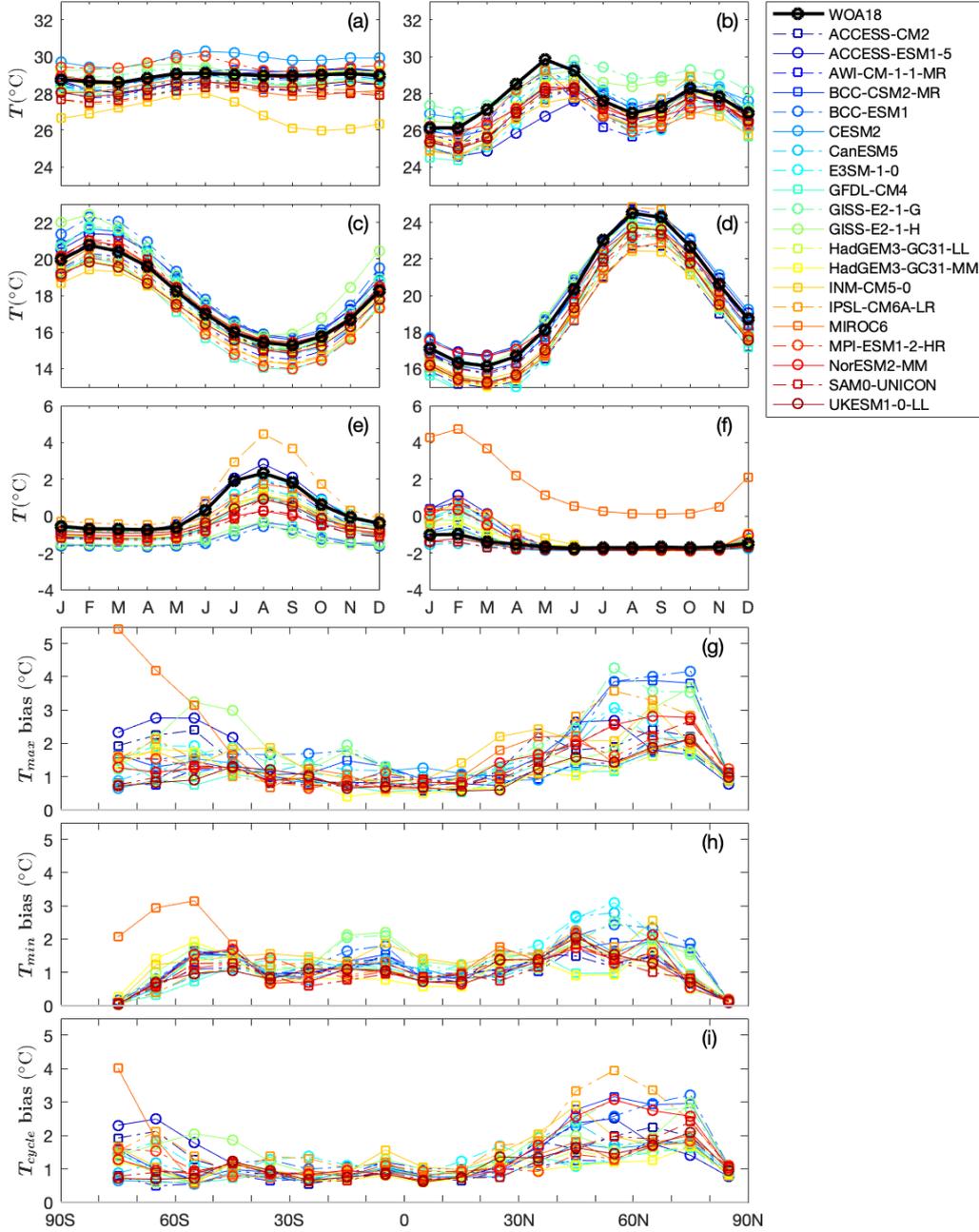


Figure 2. As in Fig. 1, but for  $T_{min}$ .



**Figure 3.** Monthly time series of area-weighted mean SST over (a) western equatorial Pacific ( $5^{\circ}\text{S} - 5^{\circ}\text{N}$ ,  $140^{\circ}\text{E} - 160^{\circ}\text{W}$ ), (b) northwestern Indian Ocean ( $60 - 70^{\circ}\text{E}$ ,  $10 - 20^{\circ}\text{N}$ ), (c) subtropical Southern Hemisphere ( $30^{\circ} - 40^{\circ}\text{S}$ ), (d) subtropical Northern Hemisphere ( $30 - 40^{\circ}\text{N}$ ), (e) Arctic ( $70 - 80^{\circ}\text{N}$ ), (f) Antarctic ( $70 - 80^{\circ}\text{S}$ ), and area-weighted RMSE in  $10^{\circ}$  bands for (g)  $T_{max}$ , (h)  $T_{min}$ , (i)  $T_{cycle}$ . Y-axis range is same for (a-f).

162 capacity, thus a small error in heat fluxes or mixing processes can result in a large bias  
 163 for  $T_{max}$ , though this will be modulated by any seasonal biases in mixed layer depth. The  
 164 difference between biases in  $T_{max}$  and  $T_{min}$  leads to biases in  $T_{cycle}$ . The RMSE of  $T_{cycle}$   
 165 at low latitudes is typically 1°C, whereas at mid-high latitudes it is larger, particularly  
 166 in the Northern Hemisphere (Fig. 3i). The  $T_{cycle}$  RMSE in IPSL-CM6A-LR and MIROC6  
 167 reaches 4°C at high latitudes (Fig. 3i).

168 In polar regions  $T_{min}$  RMSEs in all models except for MIROC6 are close to 0°C  
 169 (Fig. 3h) as winter SSTs in models are at or close to freezing (Figs. 3e, 3f). Note that  
 170 some models have fixed freezing points and some models have salinity-dependent freez-  
 171 ing points (Beaumet et al., 2019).  $T_{min}$  biases in the Arctic are larger than in the Antarc-  
 172 tic (Figs. 3e, 3f), and are cold biases in most models. This suggests that freezing points  
 173 may be too low in some models, and/or sea ice extent may be biased in some models (Shu  
 174 et al., 2020), but it could also be caused by the lack of wintertime observations in the  
 175 Arctic biasing the climatology.

176 The large cold biases at northern hemisphere mid-high latitudes in BCC-CSM2-  
 177 MR, BCC-ESM1, GISS-E2-1-G and GISS-E2-1-H, are typically 2-5°C smaller in  $T_{min}$   
 178 than in  $T_{max}$  (Figs. 1, 2, 3g, 3h). One possible reason for these cold biases is the over-  
 179 estimated cloud in BCC-CSM2-MR (Wu et al., 2019), BCC-ESM1 (cloud simulation likely  
 180 to be similar to BCC-CSM2-MR), GISS-E2-1-G and GISS-E2-1-H (Kelley et al., 2020),  
 181 which blocks too much incoming solar radiation. As solar radiation is negligible at high  
 182 latitudes in winter, the SST cold bias due to overestimated cloud is much smaller than  
 183 that in summer, which is consistent with our results. Deep winter mixed layer depth and  
 184 the way SSTs at high latitudes tend towards freezing also contribute to the smaller cold  
 185 biases in  $T_{min}$  than in  $T_{max}$ .

186 In most models there is a warm bias in the Southern Ocean, commonly attributed  
 187 to excessive short wave radiation linked to underestimated cloud (Hyder et al., 2018).  
 188 The warm bias is larger for  $T_{max}$  than  $T_{min}$  (Figs. 1, 2, 3g, 3h), and the RMSE at 70°S-  
 189 80°S is 1-3°C larger in  $T_{mas}$  than in  $T_{min}$  (Fig. 3g, 3h). Seasonality of both solar radi-  
 190 ation and mixed layer depth at these latitudes likely contributes to the seasonal cycle  
 191 of this warm SST bias. Consistent with our finding, Wang et al. (2014) pointed out that  
 192 the CMIP5 multi-model mean warm bias is much stronger during December-January-  
 193 February than June-July-August.

194 MIROC6 stands out with the largest warm bias in the Southern Ocean (Figs. 1m,  
 195 2m), with a  $T_{max}$  RMSE between 3 and 5°C and a  $T_{min}$  RMSE between 2 and 3°C at  
 196 50-80° S (Fig. 3g). Beadling et al. (2020) also found that MIROC6 stands out from 21  
 197 other CMIP6 models with the largest  $T_{mean}$  warm bias in the 0-100 m averaged tem-  
 198 perature in the Southern Ocean (which in some locations is over 3°C), and has the low-  
 199 est sea ice extent. As well as cloud error, this significant SST warm bias may also be as-  
 200 sociated with open ocean deep convection in models, which brings deep warm water to  
 201 the surface in the Southern Ocean. Heuzé (2020) stated that a large majority of CMIP6  
 202 models form Antarctic deep water via open ocean deep convection, and the area of open  
 203 ocean deep convection is larger in MIROC6 than in other CMIP6 models, consistent with  
 204 our result.

205 In eastern boundary upwelling regions (especially the Benguela and Humboldt Cur-  
 206 rents), most models have warm biases for both  $T_{max}$  and  $T_{min}$ , but the bias is 1-5°C smaller  
 207 in  $T_{max}$  than in  $T_{min}$  (Figs. 1, 2). This warm bias also exists in CMIP5 multi-model means  
 208 (Richter, 2015; Wang et al., 2014). Underestimation of cloud, and insufficient upwelling  
 209 due to overly weak winds, are suggested causes for these warm SST biases (Richter, 2015).  
 210 Letelier et al. (2009) used satellite data to show that in the Humboldt Current coastal  
 211 region the cooling effect of upwelling is strongest in austral summer, which is consistent  
 212 with the peak of upwelling-favorable wind in December and January. A poor simulation

213 of this seasonal process will contribute to the seasonality of the SST bias in eastern up-  
 214 welling boundary regions.

215 Most models have a warm SST bias in the eastern equatorial Atlantic (Figs. 1 and  
 216 2). The  $T_{min}$  multi-model-mean bias is 1-3°C larger than the  $T_{max}$  multi-model-mean  
 217 bias. Consistent with our analysis, the CMIP5 multi-model mean  $T_{cycle}$  bias is typically  
 218 1-3°C in this region, with larger warm biases during June-July-August when  $T_{min}$  oc-  
 219 curs (Richter et al., 2014; Prodhomme et al., 2019). GISS-E2-1-G and GISS-E2-1-H have  
 220 the largest seasonality of SST warm bias in the eastern equatorial Atlantic, with  $T_{cycle}$   
 221 biases up to 5°C. Richter et al. (2012) argued that the warm SST bias in eastern equa-  
 222 torial Atlantic during June-July-August is linked to wind stress errors during March-April-  
 223 May. Because the easterly winds are too weak, the tilt of the equatorial thermocline is  
 224 reduced, leading to a deepened thermocline in the east. That too deep thermocline in  
 225 the eastern equatorial Atlantic inhibits cold tongue formation and results in the warm  
 226 bias (Richter et al., 2012).

227 Although the amplitudes of biases are different in  $T_{max}$  and  $T_{min}$ , the global pat-  
 228 terns of  $T_{max}$  bias and of  $T_{min}$  bias are similar in most models (Figs. 1, 2). Wang et al.  
 229 (2014) also indicated that the SST bias of the CMIP5 multi-model mean has patterns  
 230 independent of seasons. However, our results show two exceptions: E3SM-1-0 and IPSL-  
 231 CM6A-LR, which both have an overall warm bias in  $T_{max}$ , but an overall cold bias in  
 232  $T_{min}$  (Figs. 1h, 2h, 1t, 2t). The warm bias in  $T_{max}$  and cold bias in  $T_{min}$  can compen-  
 233 sate for each other and result in a small  $T_{mean}$  bias. In E3SM-1-0/IPSL-CM6A-LR, the  
 234  $T_{mean}$  RMSE is 1.17/0.94°C, which is smaller than the  $T_{max}$  RMSE (1.38/1.36°C) and  
 235  $T_{min}$  (1.39/1.21°C). In E3SM-1-0, the global annual average mixed layer depth is gen-  
 236 erally too shallow (Golaz et al., 2019), which can contribute to the summer SST warm  
 237 bias and winter SST cold bias. For IPSL-CM6A-LR, the large difference between  $T_{max}$   
 238 and  $T_{min}$  at mid-high northern latitudes results in a bias of more than 3°C in  $T_{cycle}$  (Fig.  
 239 3i). Boucher et al. (2020) stated that in IPSL-CM6A-LR a SST warm bias in the North  
 240 Pacific mostly occurs during summer, which is consistent with our analysis.

241 In mid-latitudes the SST seasonal cycle is well represented by an annual sinusoid  
 242 whereas in equatorial and polar regions an annual sinusoid explains little of the total SST  
 243 seasonal variance (Trenberth, 1983; Yashayaev & Zveryaev, 2001). In regions with fairly  
 244 sinusoidal SST annual cycles such as the subtropics, models have realistic SST seasonal  
 245 cycles with well simulated amplitude and phase of the annual cycle (Figs. 3c, 3d). Phase  
 246 biases are mainly within 1 month. In subtropical regions, the seasonal SST biases are  
 247 consistent with biases in  $T_{mean}$ . Differences between the  $T_{max}$  and  $T_{min}$  biases (Figs.  
 248 3c, 3d) are smaller than those in non-sinusoidal regions (Figs. 3a, 3b, 3e, 3f). In regions  
 249 with non-sinusoidal SST seasonal cycles such as the western equatorial Pacific, north-  
 250 western Indian Ocean, the Arctic and the Antarctic, models tend to have biases in am-  
 251 plitudes or phases of their SST seasonal cycles (Figs. 3a, 3b, 3e, 3f). The regions with  
 252 non-sinusoidal SST seasonal cycle have phase biases up to 6 months.

253 In the western equatorial Pacific, the SST seasonal cycle in WOA18 is modest (within  
 254 1°C), whereas in some models such as MPI-ESM1-2-HR, GISS-E2-1-G, GISS-E2-1-H and  
 255 especially INM-CM5-0 the seasonal cycle is much larger (Fig. 3a). In INM-CM5-0, the  
 256 range of SST seasonal cycle is about 2°C and there is a cold SST bias throughout the  
 257 year, reaching 3°C during September-October-November (Fig. 3a). Similar to our anal-  
 258 ysis, Volodin et al. (2017) noted that INM-CM5-0 has a cold bias of more than 4°C in  
 259 annual mean temperature in the upper 700 m of the western equatorial Pacific.

260 In the northwestern Indian Ocean where the monsoon prevails, SST has a semi-  
 261 annual cycle, but most models are unable to reproduce this with the correct amplitude  
 262 and phase (Fig. 3b). The timing of the primary maximum SST in ACCESS-ESM1-5 is  
 263 two months later than in WOA18; GISS-E2-1-G and GISS-E2-1-H fail to simulate a re-  
 264 listic second minimum SST in August. Most CMIP6 models have SST cold biases in

265 this region throughout the year (Fig. 3b), however the biases are generally larger dur-  
 266 ing March-April-May than other months. Consistent with our result, McKenna et al. (2020)  
 267 found a cold SST bias over the northwestern Indian Ocean in the CMIP6 multi-model  
 268 mean. Fathrio et al. (2017) also showed that the SST cold bias over the western Indian  
 269 Ocean in the CMIP5 multi-model mean has a seasonal cycle with maximum bias occur-  
 270 ring during March-April-May. As the SST seasonality in the north Indian Ocean is linked  
 271 to the seasonal cycle of tropical cyclone intensity (Gilford et al., 2017), the bias of SST  
 272 seasonal cycle could lead to bias in genesis or intensity of tropical cyclones in climate mod-  
 273 els.

### 274 3.2 Impact of Ocean Vertical Resolution on SST Seasonal Extrema

275 We have shown that biases in  $T_{max}$ ,  $T_{min}$  and  $T_{cycle}$  are different between mod-  
 276 els. We now investigate the role of ocean model vertical resolution in influencing global  
 277 area weighted RMSE for  $T_{max}$ ,  $T_{min}$ ,  $T_{cycle}$  and  $T_{mean}$ . For the 20 models, there is a de-  
 278 crease in bias with increasing number of vertical levels (Fig. 4). SST is influenced by ocean  
 279 stratification and vertical mixing processes, whose representation depends upon the ver-  
 280 tical resolution. Models with a coarse vertical grid generate errors in the determination  
 281 of stratification and thus SST, whereas upper ocean processes is better simulated in mod-  
 282 els with higher vertical resolution. Our findings are consistent with studies which found  
 283 that high resolution in the upper ocean is important for the representation of diurnal  
 284 and intraseasonal SST variability in ocean general circulation models (Misra et al., 2008;  
 285 Xavier et al., 2008; Ge et al., 2017).

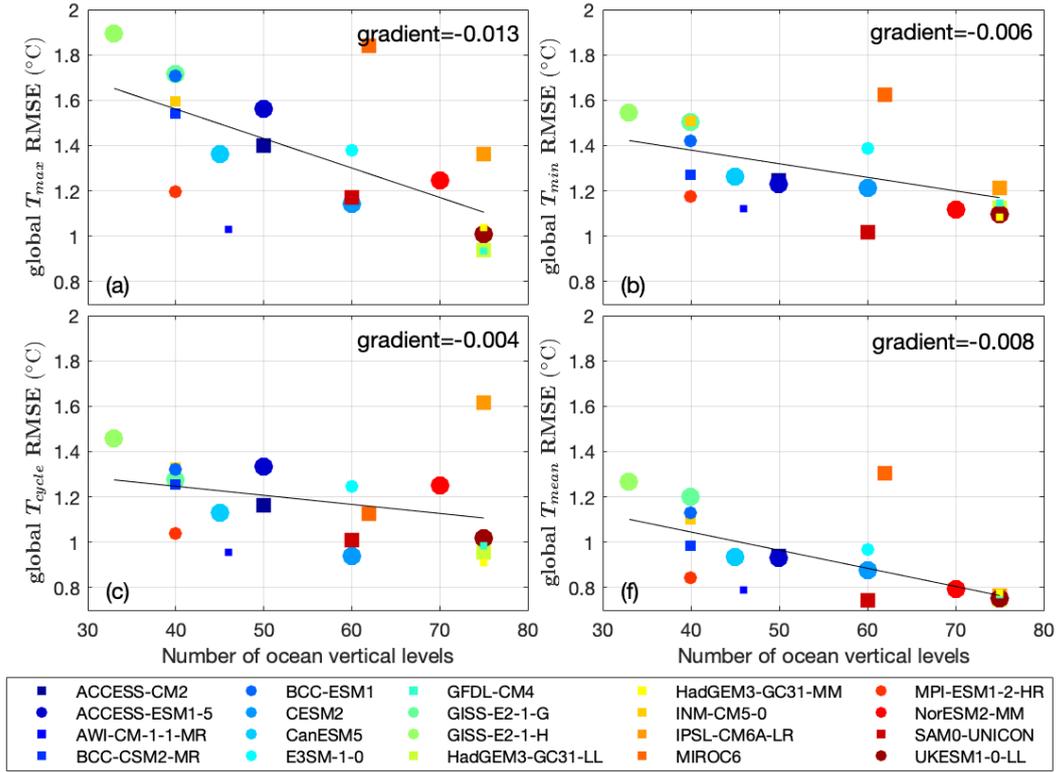
286 On a global scale, seasonal biases are consistent with biases in  $T_{mean}$  (Figs. 1, 2),  
 287 and this is well demonstrated in regions with fairly sinusoidal SST annual cycles (Figs.  
 288 3c, 3d). However, particular areas of the world show different biases in  $T_{max}$  and  $T_{min}$   
 289 (Figs. 3a, 3b, 3e, 3f).

290 The sensitivity of global  $T_{max}$  RMSE to ocean vertical resolution ( $-0.013^{\circ}\text{C}$  per level)  
 291 is twice as much as that of  $T_{min}$  ( $-0.006^{\circ}\text{C}$  per level) (Fig. 4a, 4b). This is likely linked  
 292 to a shallower mixed layer depth in summer than in winter, especially at mid-high lat-  
 293 itudes where the sensitivity of  $T_{max}$  ( $-0.015^{\circ}\text{C}$  per level) is three times greater than that  
 294 of  $T_{min}$  ( $-0.004^{\circ}\text{C}$  per level). Small  $T_{min}$  biases in polar regions as temperatures tend  
 295 towards freezing also contributes to the weaker impact of vertical resolution on  $T_{min}$ .

296 Unlike at mid-high latitudes, at low latitudes the sensitivities of  $T_{max}$  ( $-0.011^{\circ}\text{C}$   
 297 per level) and  $T_{min}$  ( $-0.009^{\circ}\text{C}$  per level) are similar. The reason might be that low lat-  
 298 itudes mixed layer depth is less seasonal. Furthermore, the sensitivity of  $T_{cycle}$  at low  
 299 latitudes is weak (only  $-0.002^{\circ}\text{C}$  per level), as the amplitude of the SST seasonal cycle  
 300 is small in equatorial regions (Figs. 3a).

301 No significant correlation was found between the models' seasonal biases and hor-  
 302 izontal ocean resolution, suggesting that SST extrema bias is not sensitive to horizon-  
 303 tal ocean resolution. Chassignet et al. (2020) used four pairs of matched low-resolution  
 304 and high-resolution ocean simulations from FSU-HYCOM, AWI-FESOM, NCAR-POP  
 305 and IAP-LICOM to isolate the effect of ocean horizontal resolution, and compared their  
 306 representation of global SST. They found that enhanced horizontal resolution does not  
 307 deliver unambiguous SST bias improvement in all regions for all models, which is con-  
 308 sistent with our finding.

309 The 20 models discussed here vary not only in ocean horizontal and vertical res-  
 310 olution, but also in atmospheric resolution, ocean grid, ocean vertical coordinate, and  
 311 inclusion (or not) of biogeochemical processes. The  $T_{max}$  and  $T_{min}$  biases were assessed  
 312 against each of these characteristics in the same way, but the ocean vertical resolution  
 313 was the only characteristic yielding a statistically significant relationship.



**Figure 4.** Global RMSE of (a)  $T_{max}$ , (b)  $T_{min}$ , (c)  $T_{cycle}$  and (d)  $T_{mean}$ , all against the number of vertical levels in ocean. Circles represent earth system models, while squares represent non earth system models. The size of the markers represents the ocean horizontal resolution for that model, with larger markers for models with lower horizontal resolution. The black line is the line of best fit (with the least sum of squared errors). The gradient ( $^{\circ}\text{C}$  per level) of the linear regression is shown on each panel.

## 4 Conclusions

Global area-weighted  $T_{max}$ ,  $T_{min}$  and  $T_{cycle}$  RMSEs are typically 1-2°C. Most models have  $T_{max}$  and  $T_{min}$  biases of the same sign at most grid points, apart from IPSL-CM6A-LR and E3SM-1-0 which have an overall warm bias in  $T_{max}$  and an overall cold bias in  $T_{min}$ . MIROC6 stands out as having an exceptionally large warm bias in the Southern Ocean, especially in summer (more than 5°C).

For the models we examined, those with increased vertical resolution in the ocean generally had a better representation of SST extrema, particularly  $T_{max}$ . This is likely related to the ability of the higher resolution models to better represent the surface mixed layer, and particularly shallow mixed layers in summer. Thus the increase in vertical resolution between CMIP5 and CMIP6 has most likely had a positive impact on the fidelity of the simulation of SST. When averaged across the whole globe, the bias in  $T_{mean}$  is typically consistent with  $T_{max}$  and  $T_{min}$  biases, but certain regions (eastern boundary upwelling regions, polar regions, the eastern equatorial Atlantic) show significant differences between winter and summer biases. In regions with non-sinusoidal SST seasonal cycles, models tend to have biases in amplitudes or phases of their SST seasonal cycles.

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