

Abstract

A recent study by Agard and Emanuel (2017) proposed a simple equation for a quantity that scales with convective available potential energy (CAPE) that can be directly calculated from a limited number of environmental sounding parameters without lifting a hypothetical air parcel. This scaling CAPE was applied in a specific idealized framework, but the extent to which it can predict true CAPE in the real world has not been tested. This work uses reanalysis data over the U.S to demonstrate that this scaling CAPE does indeed scale very closely with CAPE, following a linear relationship with a scaling factor of 0.44. We then explain why they scale together via a step-by-step derivation of the theoretical assumptions linking scaling CAPE and real CAPE and their manifestation in the historical data. Overall, this work demonstrates that CAPE can be predicted from large-scale environmental parameters alone, which may be useful for a wide range of applications in weather and climate.

Plain Language Summary

Convective available potential energy (CAPE) is a key parameter commonly used to measure the potential for thunderstorms. Its calculation requires lifting a hypothetical air parcel through a column of atmosphere. This work combines theory and reanalysis data to demonstrate that CAPE can be predicted using environmental data alone. This can make it easier to quickly estimate CAPE in data and to understand the processes that create CAPE in our atmosphere.

1 Introduction

Convective available potential energy (CAPE), a measure of conditional instability of the environment, is a key thermodynamic parameter in atmospheric research. It is proportional to the theoretical maximum vertical wind speed within the atmospheric column, and hence serves as an indicator of the potential for triggering deep convection (Holton, 1973). In practice, regular CAPE is estimated by the vertically-integrated buoyancy of a boundary-layer parcel ascending from the level of free convection (LFC) to the equilibrium level (EL) (Doswell III & Rasmussen, 1994), given by

$$CAPE = \int_{z_{LFC}}^{z_{EL}} g \frac{T_{vp} - T_{ve}}{T_{ve}} dz \tag{1}$$

where g is the acceleration due to gravity, z is height above ground level, T_{vp} is the virtual temperature of the rising air parcel and T_{ve} is that of the surrounding environment. Thus, calculating CAPE requires lifting a hypothetical parcel through a column of atmosphere defined by known vertical profiles of air temperature and moisture.

Recently, Agard and Emanuel (2017, hereafter AE17) proposed a simple equation for a quantity that scales with CAPE, here denoted $CAPE_{AE17}$, based on an idealized two-layer model for the atmospheric column. The AE17 model includes a dry adiabatic free troposphere overlying a cooler, moist, well-mixed boundary layer. Their proposed quantity scales with the difference between surface moist static energy (M_{ve}^{sfc}) and free tropospheric dry static energy ($\overline{D_{ve}^{FT}}$) multiplied by difference in the natural logarithm of virtual temperatures between boundary-layer top (T_{ve}^{BLT}) and tropopause (T_{ve}^{trop}):

$$CAPE_{AE17} = (M_{ve}^{sfc} - \overline{D_{ve}^{FT}}) \ln \frac{T_{ve}^{BLT}}{T_{ve}^{trop}} \tag{2}$$

The D_{ve} and M_{ve} are given by $D_{ve} = c_p T_{ve} + gz$ and $M_{ve} = c_p T_{ve} + gz + L_v r$, respectively, where c_p and L_v are the specific heat of air and the latent heat of vaporization of water, and r is the water vapor mixing ratio. Note that Eq.2 is slightly different from the original formulation in AE17, as we use virtual temperatures rather than temperatures for D_{ve} and M_{ve} to be consistent with definitions of CAPE in Eq.1 (detailed in

Section 3). The $CAPE_{AE17}$ formula suggests that CAPE may to first order be determined by a limited number of environmental parameters within the boundary-layer and free troposphere. One significant benefit of this outcome is that this quantity may be calculated strictly from environmental sounding data without the need to lift a hypothetical air parcel.

Using this idealized framework, AE17 found that peak continental transient CAPE is expected to increase with global warming. Recent work used the AE17 framework to develop a simple physical model for a steady sounding for numerical simulations of severe convective storms (Chavas & Dawson, 2020). However, it remains unclear to what extent $CAPE_{AE17}$ directly predicts true CAPE in real soundings. Moreover, AE17 did not present a formal derivation of the relationship between $CAPE_{AE17}$ and CAPE.

To fill this gap, this work seeks to answer the following question: How closely does $CAPE_{AE17}$ scale with CAPE in real soundings, and why? To answer this question, we first directly compare $CAPE_{AE17}$ with CAPE over the U.S using reanalysis data and show that $CAPE_{AE17}$ does indeed scale closely with regular CAPE (Section 2). We then provide a step-by-step theoretical derivation and application to sounding data to explain why they scale together (Section 3). We end with a summary and discussion (Section 4).

2 CAPE vs. $CAPE_{AE17}$

We begin with an explicit comparison of CAPE and $CAPE_{AE17}$ in terms of 1) climatological extremes over the U.S, and 2) diurnal evolution during a significant tornado outbreak over the southern U.S.

2.1 Data

We use the 3-hourly surface and model-level (72 vertical levels) Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) reanalysis data for the period 2000–2019 in this work (Gelaro et al., 2017) (data accessed in March 2020 from https://disc.gsfc.nasa.gov/datasets/M2I1NXASM_5.12.4/summary for the surface data and from https://disc.gsfc.nasa.gov/datasets/M2I3NVASM_5.12.4/summary for the model-level data). The horizontal grid spacing of MERRA-2 is $0.5^\circ \times 0.65^\circ$ in latitude and longitude. MERRA-2 also provides direct estimations of atmospheric properties at boundary-layer top and tropopause, which is especially useful for the calculation of $CAPE_{AE17}$. Our domain of analysis focuses on the contiguous U.S, as it is a major hot spot for severe thunderstorm environments in the world (Brooks et al., 2003).

We generate a 20-year dataset of CAPE using Eq.1 and $CAPE_{AE17}$ using Eq.2 from the MERRA-2 reanalysis data over the U.S. Though CAPE estimation is sensitive to the origin of air parcel, we select the near-surface parcel defined by 2-m temperature and moisture for simplicity, similar to past work (Riemann-Campe et al., 2009; Seeley & Romps, 2015; Li et al., 2020).

2.2 Results

We first compare the representation of climatological spatial distribution of extreme values of $CAPE_{AE17}$ against CAPE, as strong thunderstorms are typically associated with large values of CAPE. We define extreme values by the 99th percentile of the full-period (2000–2019) time series of a given quantity at each grid point, in line with past work (Singh et al., 2017; Tippett et al., 2016; Li et al., 2020; Taszarek et al., 2020). Results show that extreme $CAPE_{AE17}$ scales very closely with extreme CAPE (Figure 1a; $r = 0.98$), with linear regression given by

$$CAPE \approx 0.44 (CAPE_{AE17} - 522) \quad (3)$$

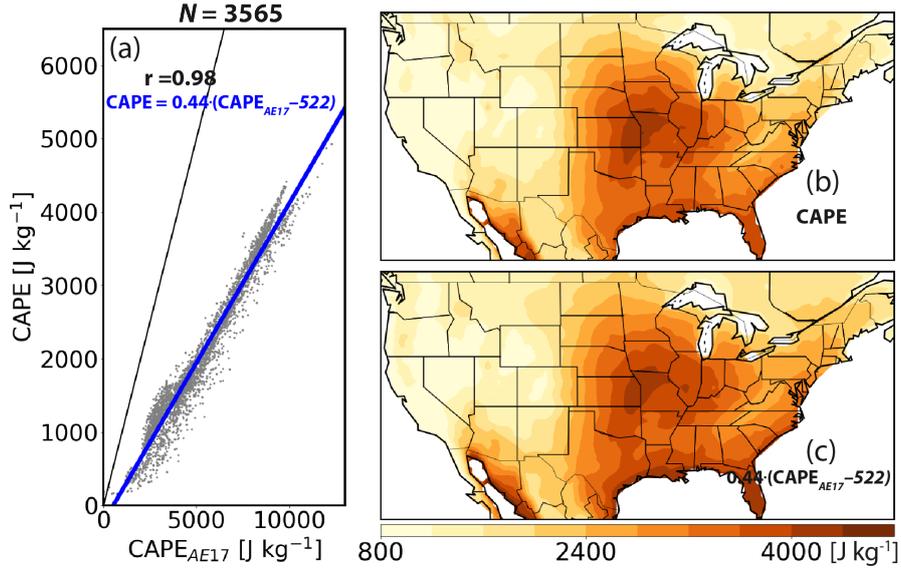


Figure 1. (a) Extreme values of CAPE (Eq.1) vs. CAPE_{AE17} (Eq. 2) over the contiguous U.S. Extreme values are defined as the 99th percentile of their respective full-period (2000–2019) time series from the MERRA-2 reanalysis data at each grid point (gray dots). Sample size is $N=3565$. Blue line denotes the linear least squares fit with linear correlation coefficient (r). Black line denotes one-to-one fit. (b) Spatial distribution of extreme CAPE. (c) Predicted spatial distribution of extreme CAPE using the linear regression equation shown in (a).

81 We then apply Eq.3 to predicted extreme CAPE from extreme CAPE_{AE17} (Figure 1c),
 82 which produces a spatial pattern that is quantitatively very similar to the observed ex-
 83 tremes CAPE (Figure 1b).

84 To further demonstrate how closely the two quantities scale, we present a case study
 85 comparison of their diurnal evolution during April 25, 2011, which is the first day of a
 86 three-day significant tornado outbreak event in the southeastern U.S (Knupp et al., 2014).
 87 The diurnal variation of CAPE indicates an initial generation of CAPE over southeast-
 88 ern Texas in the early morning (0900–1200 UTC; Figure 2a,b), followed by a strong en-
 89 hancement at around 1500 UTC over eastern Texas (Figure 2c) and an eastward propa-
 90 gation of high CAPE in the afternoon (Figure 2d–f). The high CAPE values in the afternoon–
 91 evening over the southeastern U.S are associated with a swath of over 50 tornado reports
 92 extending from eastern Texas into the mid-Mississippi Valley (reference to the SPC Storm
 93 Reports: <https://www.spc.noaa.gov/exper/archive/event.php?date=20110425>).
 94 Compared to CAPE, CAPE_{AE17} successfully reproduces the detailed spatial patterns
 95 and diurnal variations during the day (Figure 2g–l), with pattern correlation $r \geq 0.90$
 96 at each UTC time, though Eq. 3 slightly overestimates CAPE in the morning (Figure
 97 2g,h vs. a,b) and slightly underestimates CAPE in the afternoon (Figure 2j,k vs. d,e).

98 Overall, our comparisons for both climatological extremes and the diurnal varia-
 99 tion associated with a tornado outbreak case demonstrate a tight relationship between
 100 CAPE_{AE17} and CAPE distributions. This indicates that CAPE can be approximately
 101 predicted from CAPE_{AE17} via a simple linear equation.

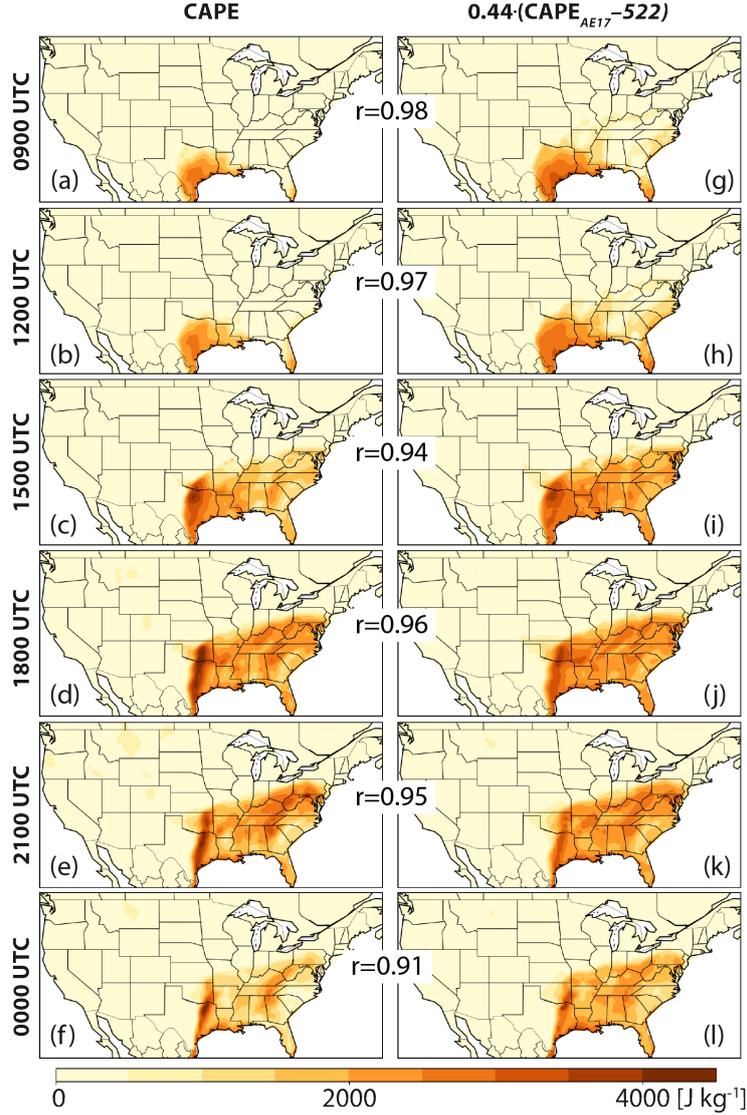


Figure 2. Spatial distributions of (a–f) CAPE vs. (g–l) predicted CAPE, using the equation in Fig 1(a), at (top–bottom) 0900, 1200, 1500, 1800, 2100, and 0000 UTC on April 25, 2011 from the MERRA-2 reanalysis data. The r denotes pattern correlation coefficient between CAPE and $CAPE_{AE17}$ conditioned on gridpoints with $CAPE \geq 100 \text{ J kg}^{-1}$.

3 Theoretical foundation

We next provide a theoretical derivation and explanation of the intermediate steps and assumptions that link CAPE to $CAPE_{AE17}$. We demonstrate each step both for a single example radiosonde sounding (Figure 3) and statistically for all U.S gridpoints in the full-period (2000–2019) MERRA-2 reanalysis database (Figure 4). Here the example sounding was observed at 0000 UTC 07 June 2011 at the SGF (Springfield, MO) station; we obtain it from the sounding database of the University of Wyoming (<http://weather.uwyo.edu/upperair/sounding.html>).

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3.1 A dry static energy view of CAPE

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As $CAPE_{AE17}$ is a function of an environmental static energy deficit between the boundary layer and free troposphere, we first derive an alternative formula for estimating CAPE based on the parcel and environmental profiles of dry static energy rather than temperature.

We begin from the environmental dry static energy relation (D_{ve}), $D_{ve} = c_p T_{ve} + gz$. The environmental moist static energy (M_{ve}) is given by $M_{ve} = c_p T_{ve} + gz + L_v r$. Heat capacities and latent heats are assumed to be constant. Counterparts for the parcel are given by D_{vp} and M_{vp} . Note that these static energies include the virtual temperature effect to be consistent with definitions of CAPE in Eq.1 as shown below. This virtual effect may add a small positive perturbation to regular static energies of approximately 0.9% and 0.8% of near-surface dry and moist static energy, respectively, given a surface temperature of 300 K and mixing ratio of 15 g kg⁻¹, that will decrease with height. We may rewrite the D_{ve} equation for differential changes in height z as $dz = -\frac{c_p}{g} dT_{ve} + \frac{1}{g} dD_{ve}$ and substitute into Eq.1. Doing so yields an alternative formulation of CAPE with limited approximations based on dry static energy profiles of the rising air parcel and the environment (derivation in Appendix A):

$$CAPE \approx \frac{\Gamma_d}{\Gamma} \mathcal{D} = -\frac{\Gamma_d}{\Gamma} \int_{T_{ve}^{LFC}}^{T_{ve}^{EL}} (D_{vp} - D_{ve}) d \ln T_{ve} \quad (4)$$

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where $\Gamma_d = g/c_p$ is the dry adiabatic lapse rate, Γ is the virtual temperature lapse rate of the environment from LFC to EL, and T_{ve}^{LFC} and T_{ve}^{EL} are environmental virtual temperatures at LFC and EL, respectively.

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How well does $\frac{\Gamma_d}{\Gamma} \mathcal{D}$ (Eq.4) compare to CAPE (Eq.1)? First, we compare $\frac{\Gamma_d}{\Gamma} \mathcal{D}$ against CAPE for our example sounding (Figure 3 inset). The two calculations yield similar values of CAPE (3775 vs. 3945 J kg⁻¹). Second, we compare the two quantities for all grid-points over the U.S in our MERRA-2 reanalysis dataset. The two quantities are indeed nearly identical (Figure 4a; $r > 0.99$) with linear regression given by $CAPE = 0.98(\frac{\Gamma_d}{\Gamma} \mathcal{D} + 18)$. The $\frac{\Gamma_d}{\Gamma} \mathcal{D}$ formulation performs equally well in reproducing the detailed spatial distribution of extreme CAPE over the U.S (Figure S1b vs. S1a).

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3.2 Scaling of CAPE with $CAPE_{AE17}$

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To obtain the $CAPE_{AE17}$ formula from Eq.4, we must assume that $D_{vp} = M_{ve}^{sfc}$, which yields

$$\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17} = \frac{\Gamma_d}{\Gamma} (M_{ve}^{sfc} - \overline{D_{ve}}) \ln \frac{T_{ve}^{LFC}}{T_{ve}^{EL}} \quad (5)$$

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where $\overline{D_{ve}} = \frac{\int_{T_{ve}^{LFC}}^{T_{ve}^{EL}} (D_{ve}) d \ln T_{ve}}{\int_{T_{ve}^{LFC}}^{T_{ve}^{EL}} d \ln T_{ve}}$ is the log-temperature-weighted average dry static energy of environment between LFC and EL. Physically, this assumption implies that the lifted air parcel immediately releases all latent heat at LFC. Hence, the parcel will experience a sudden jump in dry static energy D_{vp} (to be equal to M_{vp}) at the LFC, and above the LFC this quantity is conserved. Additionally, we must assume that the moist static energy of the surface parcel is assumed to be conserved up to the LFC. Note that static energy is not perfectly conserved during adiabatic ascent because buoyancy acts as an enthalpy sink (Romps, 2015). Taken together, the assumption results in $D_{vp} = M_{vp} = M_{ve}^{sfc}$.

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We use our example sounding (Figure 3) to help understand this assumption conceptually. As noted above, the above assumption implies that all latent heat within an air parcel is immediately converted to sensible heat at the LFC. Thus, the parcel is im-

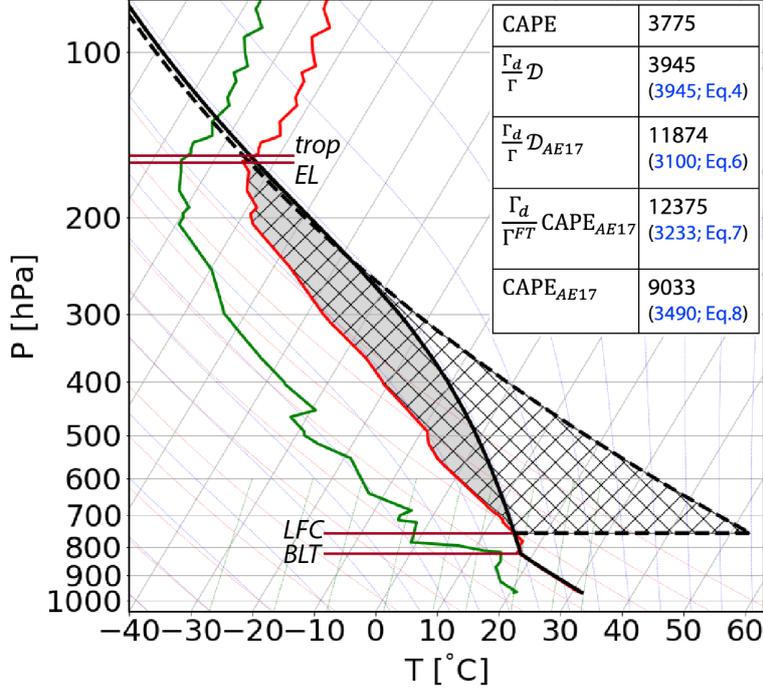


Figure 3. The SGF (Springfield, MO) radiosonde observed virtual temperature (in red line) and dew-point temperature (in green line) profiles at 0000 UTC 07 June 2011 in a Skew-T diagram. Solid black line represents the virtual temperature profile of a surface air parcel ascending adiabatically. Dashed black line represents the modified virtual temperature profile of the parcel ascending assuming that it releases all latent heat immediately at LFC. The *EL*, *LFC*, *trop*, and *BLT* are denoted by brown lines. Inset table lists: CAPE (Eq.1; grey shading); $\frac{\Gamma_d}{\Gamma} \mathcal{D}$ (Eq.4); $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$ (Eq.5; hatched); $\frac{\Gamma_d}{\Gamma_{FT}} \text{CAPE}_{AE17}$ is the same as $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$ but using virtual temperatures at *BLT* and *trop*, with CAPE_{AE17} calculated from Eq.2. The inset table lists direct calculation of each quantity (black text) and prediction of true CAPE (blue text) using the relevant linear regression equation. The Python MetPy (May et al., 2008–2020) package is used to generate the parcel temperature profiles.

140 immediately warmed dramatically at the LFC and then subsequently rises dry adiabati-
 141 cally from the LFC to the EL. In this way, then, $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$ is considered a “scaling” CAPE
 142 because it represents a theoretical upper bound on how quickly a parcel can be warmed
 143 along its path (and hence on its integrated buoyancy). In the real atmosphere, latent heat
 144 is released gradually along the parcel path in accordance with the Clausius-Clapeyron
 145 relation that defines the moist adiabatic lapse rate. In a Skew-T diagram, this difference
 146 shows up as an expanded, angular region of positive buoyancy maximized above the LFC
 147 in $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$. Thus, $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$ is substantially larger than CAPE ($\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17} = 11874 \text{ J}$
 148 kg^{-1} vs. $\text{CAPE} = 3775 \text{ J kg}^{-1}$ in Figure 3 inset). Though different in magnitude, $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$
 149 is still highly correlated with CAPE ($r=0.92$) in the full reanalysis dataset over the U.S
 150 (Figure 4b), with linear regression given by

$$\text{CAPE} \approx 0.32 \left(\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17} - 2188 \right) \quad (6)$$

151 For the example sounding, Eq.6 predicts a CAPE value (3100 J kg^{-1}) that is reasonably
 152 close to the true CAPE (3775 J kg^{-1}) (Figure 3 inset). Eq.6 also performs very well in
 153 reproducing the spatial distribution of extreme CAPE over the U.S (Figure S1c vs. S1a).

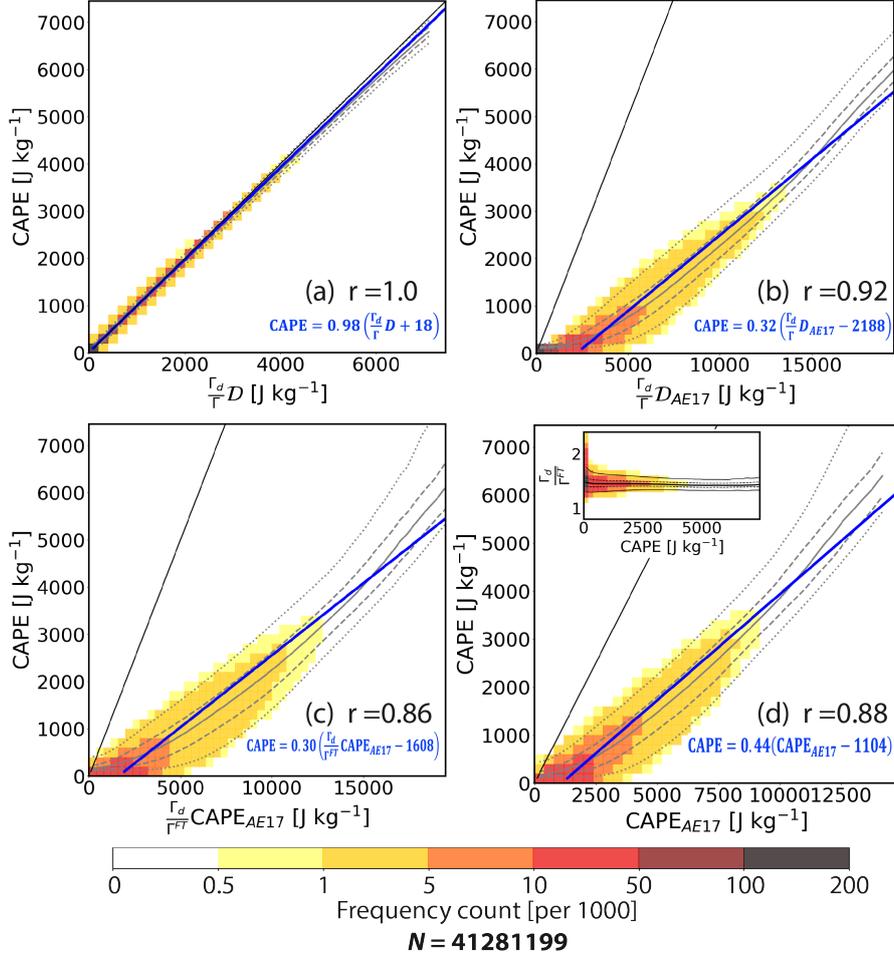


Figure 4. Joint frequency fraction multiplied by 1000 (filled color) of (a) CAPE vs. $\frac{\Gamma_d}{\Gamma} \mathcal{D}$, (b) CAPE vs. $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$, (c) CAPE vs. $\frac{\Gamma_d}{\Gamma^{FT}} \text{CAPE}_{AE17}$, and (d) CAPE vs. CAPE_{AE17} (inset: $\frac{\Gamma_d}{\Gamma^{FT}}$ vs. CAPE) for cases with $\text{CAPE} \geq 100 \text{ J kg}^{-1}$ over all U.S. gridpoints during 2000–2019 from the MERRA-2 reanalysis dataset (sample size $N=41281199$). Black line denotes one-to-one line. Gray lines denote median (solid), interquartile range (dashed), and 5–95% range (dotted) of CAPE. Blue line denotes the linear regression with the correlation coefficient of r .

154 Physically, the factor 0.32 is a manifestation of the rate at which saturation vapor pres-
 155 sure decreases with temperature, as defined by the Clausius-Clapeyron relation, that is
 156 fundamental to our real atmosphere.

157 Finally, to produce a prediction with the original AE17 formulation (CAPE_{AE17}),
 158 we must additionally assume that the temperatures of the EL and LFC may be replaced
 159 with that of the tropopause (*trop*) and boundary-layer top (*BLT*), respectively. This re-
 160 places $\frac{\Gamma_d}{\Gamma} \mathcal{D}_{AE17}$ of Eq.5 with $\frac{\Gamma_d}{\Gamma^{FT}} \text{CAPE}_{AE17}$, where Γ^{FT} is defined by the lapse rate of
 161 virtual temperature of the free troposphere between the *BLT* and *trop*. These approx-
 162 imations are more quantitatively reasonable for higher-CAPE cases supportive of deep
 163 convection, as in the example sounding (Figure 3). This final approximation ($\frac{\Gamma_d}{\Gamma^{FT}} \text{CAPE}_{AE17}$)
 164 is estimated solely by environmental parameters without lifting a hypothetical air par-
 165 cel. We use the reanalysis dataset to examine its relationship to CAPE (Figure 4c), which

166 indicates a close correlation ($r=0.86$) with a linear regression given by:

$$CAPE \approx 0.30\left(\frac{\Gamma_d}{\Gamma^{FT}}CAPE_{AE17} - 1608\right) \quad (7)$$

167 Hence the scaling factor is similar to that for $\frac{\Gamma_d}{\Gamma}\mathcal{D}_{AE17}$ above. For our example sound-
 168 ing, Eq.7 predicts a CAPE value (3233 J kg^{-1}) again reasonably close to the true CAPE
 169 (3775 J kg^{-1}) (Figure 3 insert). Eq.7 also quantitatively reproduces the spatial pattern
 170 of extreme CAPE over the U.S (Figure S1d vs. S1a).

171 Ultimately, then, Eq.7 offers a scaling of CAPE that depends only on a limited num-
 172 ber of boundary-layer and free tropospheric variables. It differs from $CAPE_{AE17}$ itself
 173 in the inclusion of the coefficient $\frac{\Gamma_d}{\Gamma^{FT}}$. This factor does not appear in the idealized model
 174 of AE17 because their model assumes a dry adiabatic free troposphere (i.e., $\Gamma^{FT} = \Gamma_d$),
 175 which yields $\frac{\Gamma_d}{\Gamma^{FT}} = 1$.

176 Given that CAPE was found to be predictable from $CAPE_{AE17}$ alone in Section
 177 2 (Eq.3), this result implies that the free tropospheric lapse rate (Γ^{FT}) of the modern
 178 atmosphere does not vary too strongly and thus the factor $\frac{\Gamma_d}{\Gamma^{FT}}$ remains relatively con-
 179 stant. We use our reanalysis dataset to calculate the statistics of $\frac{\Gamma_d}{\Gamma^{FT}}$ as a function of
 180 CAPE (Figure 4d inset). The result is indeed a mean (\pm one standard deviation) value
 181 of 1.47 ± 0.06 , with variance decreasing as CAPE increases. The resulting mean free tropo-
 182 spheric lapse rate (Γ^{FT}) is roughly 6.7 K km^{-1} , which is close to that of the U.S Stan-
 183 dard Atmosphere (COESA, 1976). As a result, we are able to directly scale CAPE with
 184 $CAPE_{AE17}$ by assuming that $\frac{\Gamma_d}{\Gamma^{FT}}$ is constant. We note that this behavior may differ in
 185 an alternate climate state. As a final test, we compare $CAPE_{AE17}$ with CAPE for cases
 186 with $CAPE \geq 100 \text{ J kg}^{-1}$ for the entire MERRA-2 database over the U.S and find a strong
 187 linear correlation between them as well ($r = 0.88$; Figure 4d), with a linear regression
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$$CAPE \approx 0.44(CAPE_{AE17} - 1104). \quad (8)$$

189 This outcome is quite similar to the linear regression model we get from extreme cases
 190 alone in Eq.3. This is also close to the results of simply substituting $\frac{\Gamma_d}{\Gamma^{FT}}=1.47 \pm 0.06$ into
 191 Eq.7, which yields a scaling factor of 0.44 ± 0.02 and an offset of -1095 ± 50 . Using Eq.8
 192 also successfully predicts the approximate CAPE for the example sounding (3490 vs. 3775
 193 J kg^{-1} ; Figure 3 inset).

194 4 Conclusions

195 CAPE is a key thermodynamic parameter commonly calculated to evaluate the po-
 196 tential for deep convection within a given environment. AE17 proposed a simple formula
 197 for a quantity ($CAPE_{AE17}$) that scales with CAPE that depends only on a limited num-
 198 ber of environmental variables and does not require lifting a hypothetical parcel.

199 This work used a 20-year reanalysis dataset over the U.S to examine the extent to
 200 which this CAPE-like quantity can be used to predict true CAPE for real soundings, an-
 201 alyzing both the spatial distribution of climatological extremes and the diurnal varia-
 202 tion associated with a historical tornado outbreak case study. Results show a close scal-
 203 ing relationship between $CAPE_{AE17}$ and CAPE, yielding a simple linear equation for
 204 predicting CAPE from environmental data. To understand the physics underlying this
 205 relationship, we provided a step-by-step derivation linking the two quantities, which may
 206 be summarized as:

$$CAPE \stackrel{a1}{\approx} \frac{\Gamma_d}{\Gamma}\mathcal{D} \stackrel{a2}{\approx} \frac{\Gamma_d}{\Gamma}\mathcal{D}_{AE17} \stackrel{a3}{\approx} \frac{\Gamma_d}{\Gamma^{FT}}CAPE_{AE17} \stackrel{a4}{\approx} CAPE_{AE17} \quad (9)$$

207 where (a1–a4) represent the assumptions: (a1) constant environmental virtual temper-
 208 ature lapse rate from LFC to EL; (a2) the rising parcel immediately releases all latent

209 heat at LFC; (a3) temperatures at the EL and LFC scale with the tropopause and boundary-
 210 layer top, respectively; (a4) free tropospheric lapse rate of the present atmosphere does
 211 not vary strongly in space or time in environments with non-negligible CAPE.

212 The principal end result of this work is a simple linear equation based on the 20-
 213 year reanalysis dataset over the U.S (Eq.8) to predict CAPE from $CAPE_{AE17}$, which may
 214 be calculated strictly from environmental data without the need to lift a hypothetical
 215 parcel. This has significant practical benefits for the simple estimation of CAPE and for
 216 understanding how CAPE is generated within the climate system.

217 Appendix A Derivation of Eq.4

218 The equation for differential changes in environmental dry static energy may be
 219 written as $dz = -\frac{c_p}{g}dT_{ve} + \frac{1}{g}dD_{ve}$ and substituting into Eq.1 yields

$$CAPE = \int_{z_{LFC}}^{z_{EL}} g \frac{T_{vp} - T_{ve}}{T_{ve}} \left(-\frac{c_p}{g}dT_{ve} + \frac{1}{g}dD_{ve} \right) = \mathcal{D} + \mathcal{T} \quad (A1)$$

This formulation decomposes CAPE into two terms. The first is given by

$$\mathcal{D} = - \int_{z_{LFC}}^{z_{EL}} \left(\frac{T_{vp} - T_{ve}}{T_{ve}} \right) d(c_p T_{ve}) = - \int_{z_{LFC}}^{z_{EL}} (D_{vp} - D_{ve}) d \ln T_{ve} \quad (A2)$$

and represents differences in dry static energy integrated over changes in temperature.
 The second is given by

$$\mathcal{T} = \int_{z_{LFC}}^{z_{EL}} \left(\frac{T_{vp} - T_{ve}}{T_{ve}} \right) dD_{ve} \quad (A3)$$

220 and represents integrated differences in temperature over changes in dry static energy.
 221 To further simplify Eq.A1, we can relate \mathcal{T} and \mathcal{D} by calculating their ratio. Using the
 222 definition of buoyancy, $b = \frac{T_{vp} - T_{ve}}{T_{ve}}$, we may write this ratio as

$$\begin{aligned} \frac{\mathcal{T}}{\mathcal{D}} &= \frac{\int_{z_{LFC}}^{z_{EL}} (b) dD_{ve}}{- \int_{z_{LFC}}^{z_{EL}} (b) d(c_p T_{ve})} \\ &= - \left(1 + \frac{g}{c_p} \frac{\int_{z_{LFC}}^{z_{EL}} (b) dz}{\int_{z_{LFC}}^{z_{EL}} (b) dT_{ve}} \right) \\ &= - \left(1 + \frac{g}{c_p} \frac{\overline{b_1} \int_{z_{LFC}}^{z_{EL}} dz}{\overline{b_2} \int_{z_{LFC}}^{z_{EL}} dT_{ve}} \right) \\ &= \frac{\overline{b_1}}{\overline{b_2}} \frac{\Gamma_d}{\Gamma} - 1 \end{aligned} \quad (A4)$$

223 where $\overline{b_1} = \frac{\int_{z_{LFC}}^{z_{EL}} (b) dz}{\int_{z_{LFC}}^{z_{EL}} dz}$ and $\overline{b_2} = \frac{\int_{z_{LFC}}^{z_{EL}} (b) dT_{ve}}{\int_{z_{LFC}}^{z_{EL}} dT_{ve}}$ represent the mean value of b between
 224 the LFC and EL weighted by height (z) and environmental virtual temperature (T_{ve}),
 225 respectively. $\Gamma_d = g/c_p$ is the dry adiabatic lapse rate and $\Gamma = -\frac{\int_{z_{LFC}}^{z_{EL}} dT_{ve}}{\int_{z_{LFC}}^{z_{EL}} dz} = -\frac{T_{ve}^{EL} - T_{ve}^{LFC}}{z_{EL} - z_{LFC}}$
 226 represents the average environmental virtual temperature lapse rate from LFC to EL.

If we take Γ to be constant between the LFC and EL, then $\overline{b_1} = \overline{b_2}$, which yields

$$\frac{\mathcal{T}}{\mathcal{D}} = \frac{\Gamma_d}{\Gamma} - 1 \quad (A5)$$

Substituting this result into Eq.A1 yields

$$CAPE \approx \frac{\Gamma_d}{\Gamma} \mathcal{D} = -\frac{\Gamma_d}{\Gamma} \int_{z_{LFC}}^{z_{EL}} (D_{vp} - D_{ve}) d \ln T_{ve} \quad (A6)$$

227 This equation is shown to closely match the true CAPE in the main manuscript.

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