

1     **Solar wind magnetic holes can cross the bow shock and**  
2             **enter the magnetosheath**

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9     **Key Points:**

- 10     • Both rotational and linear solar wind magnetic holes can cross the bow shock  
11     • At least some isolated magnetic holes in the magnetosheath are created in the so-  
12         lar wind  
13     • Some magnetic holes fulfill the Rankine-Hugoniot jump conditions. No holes stud-  
14         ied here show signs of a more complicated interaction with the bow shock

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**Abstract**

Solar wind magnetic holes are localized depressions of the magnetic field strength, on time scales of seconds to minutes. We use Cluster multipoint measurements to identify 26 magnetic holes which are observed just upstream of the bow shock and, a short time later, downstream in the magnetosheath, thus showing that they can penetrate the bow shock and enter the magnetosheath. For two magnetic holes we show that the relation between upstream and downstream properties of the magnetic holes are well described by the MHD Rankine-Hugoniot jump conditions. We also present a small statistic investigation of the correlation between upstream and downstream observations of some properties of the magnetic holes. The temporal scale size, and magnetic field rotation across the magnetic holes are very similar for the upstream and downstream observations, while the depth of the magnetic holes varies more. The results are consistent with the interpretation that magnetic holes in Earth's and Mercury's magnetosheath are of solar wind origin, as has earlier been suggested. Since the solar wind magnetic holes can enter the magnetosheath, they may also interact with the magnetopause, representing a new type of localised solar wind-magnetosphere interaction.

**1 Introduction**

Solar wind magnetic holes are localized depressions in the magnetic field strength, on time scales of seconds or minutes. First observed by Turner et al. (1977) at 1 AU, they have since been observed in large parts of the heliosphere (Burlaga et al., 2007; Fränz et al., 2000; Karlsson et al., 2021; Madanian et al., 2019; Spervelage et al., 2000; Tsurutani, Dasgupta, et al., 2002; Volwerk et al., 2020; Winterhalter et al., 1994; Yu et al., 2021; Zhang, Russell, Baumjohann, et al., 2008; Zhang et al., 2009). Already Turner et al. (1977) noted that magnetic holes could be classified according to how much the magnetic field vector rotated while the magnetic hole crossed the spacecraft. Magnetic holes with little change in the field direction were called 'linear' holes, while those with a considerable rotation were later called 'rotational' magnetic holes by Winterhalter et al. (1994). The two types of magnetic holes probably have different generation mechanisms, but there is no agreement on what those generation mechanisms are. For the rotational magnetic holes, flux annihilation due to (slow) reconnection at the current sheet associated with the magnetic field rotation has been suggested (Turner et al., 1977; Zhang, Russell, Zambelli, et al., 2008). For the linear magnetic holes, several generation mechanisms have been suggested. They may be remnants of magnetic mirror mode structures (e.g. Spervelage et al., 2000; Winterhalter et al., 1994) or mirror mode structures created when the plasma is marginally mirror unstable (Karlsson et al., 2021). Other theories are that the magnetic holes are the result of non-linear interaction of Alfvén waves with the solar wind plasma (Buti et al., 2001; Tsurutani, Dasgupta, et al., 2002; Tsurutani, Galvan, et al., 2002), emerging coherent structures in solar wind turbulence (Perrone et al., 2016; Roytershteyn et al., 2015), or diamagnetic structures formed in the solar corona (Parkhomov et al., 2019).

While isolated magnetic holes in the solar wind have received considerable attention, similar structures in planetary magnetosheaths have not been investigated as much. Karlsson et al. (2015) studied localized density enhancements in Earth's magnetosheath, and observed that one class of such structures were associated with clear magnetic field decreases. They called such structures 'diamagnetic plasmoids' and suggested that they were actually solar wind magnetic holes that had crossed the bow shock. Similar structures were also found in the Mercury magnetosheath (Karlsson et al., 2016). The increase in density associated with the magnetic field decrease is consistent with the fact that linear solar wind magnetic holes have been showed to be pressure balance structures (e.g. Stevens & Kasper, 2007), where the magnetic field decrease is balanced by an increase in either density or temperature (Volwerk et al., 2020). Magnetic holes observed in the

inner coma of comet 67P were also interpreted to be of solar wind origin, and also showed a density increase within the magnetic holes (Plaschke, Karlsson, et al., 2018)

The hypothesis that magnetosheath magnetic holes are of solar wind origin has some further support. Recently Karlsson et al. (2021) made a comprehensive study of magnetic holes in the magnetosheath of Mercury and compared them to solar wind magnetic holes near the planet. They found that the statistical distributions of temporal scale sizes, magnetic field rotation across the holes, and depth of the magnetic holes were very similar for the two populations, and suggested that the magnetosheath magnetic holes were of solar wind origin also for Mercury (Karlsson et al., 2012; Karlsson et al., 2016). Finally, Parkhomov et al. (2019) reported on a structure observed in the solar wind that shows considerable similarities to a magnetosheath diamagnetic plasmoid from the observations of Karlsson et al. (2015), with the solar wind observations made about 90 s earlier than the magnetosheath one.

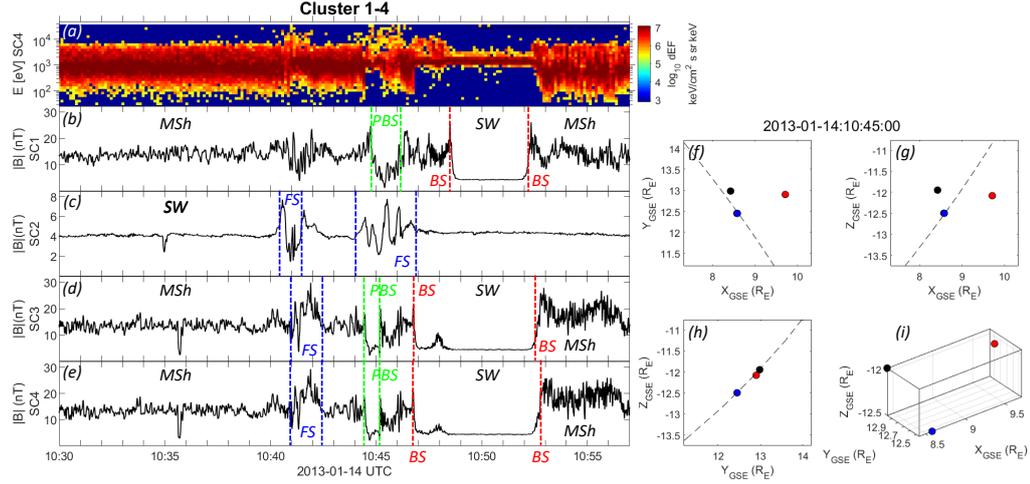
While the above hypothesis may seem reasonable, still solid observational proof is missing, and an alternative hypothesis is that the magnetosheath magnetic holes are created locally, downstream of the bow shock. Since magnetic mirror mode waves are known to be excited in the magnetosheath at times of large ion temperature anisotropy, this would be possible if magnetic hole generation is related to the mirror mode instability in some way, as described above.

The purpose of this paper is to use Cluster multipoint measurements made simultaneously in the solar wind and the downstream magnetosheath to identify individual magnetic holes observed by both the upstream and downstream spacecraft, and thus unequivocally show that at least some magnetosheath magnetic holes have a solar wind origin. We first discuss the data and methodology used, and then show a number of examples followed by some statistical properties of the full sample of magnetic holes, before ending with a discussion and conclusions.

## 2 Data and Method

We use data from the FluxGate Magnetometer (FGM) and Cluster Ion Spectrometer (CIS) instruments onboard the four Cluster spacecraft (Balogh et al., 2001; Réme et al., 1997). We have manually identified time periods where at least one spacecraft is located in the solar wind, while at the same time at least one other spacecraft is located in the magnetosheath. The identification of solar wind or magnetosheath plasma is done by inspection of the ion energy flux spectrograms, and ion velocity moments for the spacecraft where these are available, in combination with inspection of the magnetic field strength. This is typically enough to make an unambiguous determination of the type of region the spacecraft are located in, and the location of the bow shock.

We show an example of such a time interval from 2013-01-14 in Figure 1. Starting with S/C 4, where both magnetic field and ion data are available, we can identify two clear bow shock crossings (marked with 'BS', and dashed red lines) at around 10:46:50 UTC, and 10:52:40 UTC. In between these times we can see a typical solar wind (SW) ion beam, and a low magnetic field strength of around 4 nT. At later times, and before around 10:40 UTC we can observe the typical heated magnetosheath ion populations, and a compressed magnetic field of around 13-14 nT. During this time the magnetic field has a relatively low level of variability, and a high-energy ion population is not present. This is consistent with the magnetosheath located behind a quasi-perpendicular bow shock, as discussed by Karlsson et al. [2021b] (this paper is still in review///). Between 10:41 and 10:42:30 UTC, a region of enhanced magnetic field variability and higher-energy ions can be seen. This is consistent with the convection of magnetic field variations and high-energy particles associated with the foreshock ('FS', here indicating the magnetosheath downstream of the foreshock) of the quasi-parallel bow shock being convected downstream



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**Figure 1.** Panel (a): Differential ion flux, S/C 4, panels (b)-(e): magnetic field strength for S/C 1-4. Identified regions are marked with SW (solar wind), MSh (magnetosheath), BS (bow shock), FS (foreshock, and magnetosheath downstream of the foreshock), PBS (partial bow shock), see text for further details. Panels (f)-(i): spacecraft positions in various GSE coordinate projections. The spacecraft are identified by the standard Cluster color code; S/C 1 - black, S/C 2 - red, S/C 3 - green, S/C 4 - blue. S/C 3 and 4 are so close that they cannot be separated on the scale of these plots.

116 into the magnetosheath, again consistent with the results of Karlsson et al. [2021b]. Just  
 117 before 10:45 UTC the ion flux data indicates a small, partial excursion into the solar wind,  
 118 consistent with the decrease in magnetic field strength. We have marked this region 'PBS',  
 119 for 'partial bow shock crossing'. A similar decrease in magnetic field strength in S/C 3  
 120 and 4 has been marked in the same way. In the right part of Figure 1 is shown the space-  
 121 craft positions in GSE coordinates in four different projections at 10:45 UTC. Also in-  
 122 dicated is a model bow shock, determined by fitting a paraboloidal model (Merka et al.,  
 123 2003), using the bow shock position observed by S/C at the crossing taking place at around  
 124 10:46:50 UTC. The same method was also used in [Karlsson et al. 2021b].

125 For S/C 2, we see that the magnetic field strength during the whole interval shown  
 126 is comparable to that observed by S/C 4 when it is located in the solar wind. The mag-  
 127 netic field variability is also very low during almost the whole interval. We therefore  
 128 conclude that S/C 2 is located in the solar wind during the whole interval, which is also con-  
 129 sistent with the spacecraft position relative to the model bow shock. The time intervals  
 130 marked with blue dashed lines are associated with some variations in the magnetic field  
 131 strength, which are likely associated with a foreshock region. This is also consistent with  
 132 the presence of a high-energy ion population in the downstream magnetosheath during  
 133 these times. This type of magnetosheath signatures downstream of the foreshock was  
 134 studied by Karlsson et al. [2021b]//. We have also estimated the angle  $\theta_{Bn}$  between  
 135 the normal of the model bow shock (determined at the point where the solar wind in-  
 136 tersects the bow shock, assuming its velocity is purely in the GSE  $x$  direction) and the  
 137 magnetic field.  $\theta_{Bn}$  is greater than  $60^\circ$  for the whole interval shown, except during the  
 138 time intervals marked by the blue lines, where it dips down to values below  $45^\circ$ , consis-

139 tent with the interpretation that these variations are foreshock transients. In summary,  
 140 we conclude that S/C 2 is located in the solar wind during the whole interval shown.

141 S/C 3 is located very close to S/C 4 (it is therefore overplotted in the S/C loca-  
 142 tion plots), and the magnetic field variation are almost identical to those of S/C 4, mean-  
 143 ing that our interpretation of the location of S/C 3 with respect to the bow shock is the  
 144 same as that for S/C 4. The magnetic field variations of S/C 1 are also very similar, al-  
 145 though some differences can be seen due to the slightly larger separation from S/C 4.  
 146 Still, the general conclusions regarding the S/C 1 position relative to the magnetosheath  
 147 and solar wind regions remains similar to S/C 4.

148 This example shows how it is possible to unambiguously identify the position of  
 149 the spacecraft relative to the bow shock, and determine whether they are located in the  
 150 magnetosheath or the solar wind. For our search we concentrate on regions similar to  
 151 that shown in the beginning of the interval, between 10:30 and 10:40 UTC, where no fore-  
 152 shock structures are observed in the solar wind, in order to easily being able to identify  
 153 isolated magnetic holes. This also implies that the magnetosheath downstream of this  
 154 region, typically associated with the quasi-perpendicular bow shock, is in a less turbu-  
 155 lent state, also facilitating identification of magnetic holes there.

156 During that interval, we can identify an isolated magnetic hole in the solar wind,  
 157 at around 10:35 UTC, which is also observed in the downstream magnetosheath by S/C  
 158 3 and 4, at around 10:35:40 UTC. Below, we will take a closer look at this event, and  
 159 introduce several other similar observations.

### 160 3 Results

161 We will begin by presenting two detailed examples of simultaneous observations  
 162 of magnetic holes in the solar wind and magnetosheath. After that we will present fur-  
 163 ther examples in less detail, followed by some statistical results from our whole sample.

#### 164 3.1 Example 1, 2013-01-14:10:35 UTC

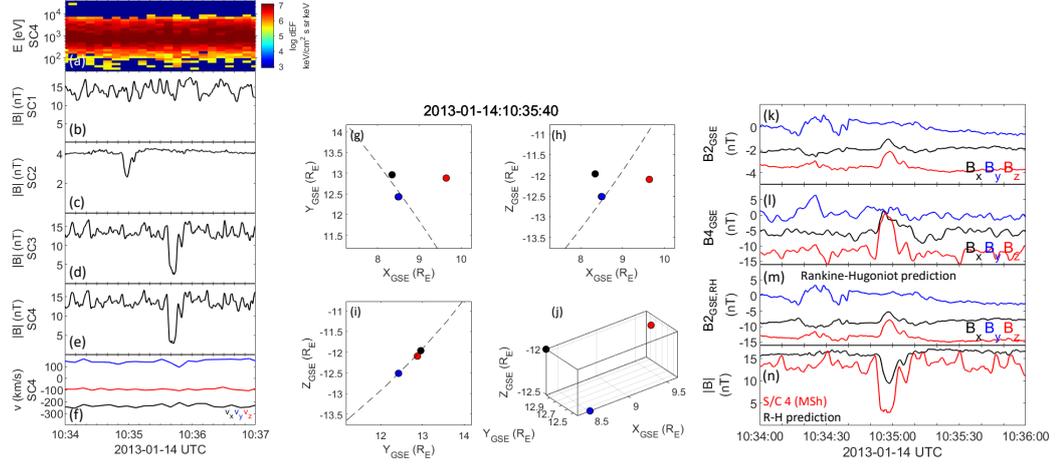
165 In Figure 2 we show a more detailed view of the magnetic hole shown in Figure 1.  
 166 Panels (a)-(f) shows a zoomed-in interval in the same format as Figure 1, with the ad-  
 167 dition of the ion velocity components for S/C 4. Furthermore, the magnetic field mag-  
 168 nitudes have been smoothed with a 1 s running window, to remove high-frequency vari-  
 169 ations. Panels (g)-(j) show spacecraft positions similar to Figure 1, but for a time near  
 170 the centre of the zoom-in time interval. A clear, localized decrease in magnetic field strength,  
 171 characteristic of magnetic holes can be observed both in the solar wind by S/C 2, and  
 172 in the magnetosheath by S/C 3 and 4. No similar magnetic hole signature is observed  
 173 in S/C 1. We have calculated the relative decrease of the structures by first determin-  
 174 ing a background magnetic field strength  $B_0$  by calculating an average of the magnitude  
 175 of the magnetic field, with a sliding window with a width of 300 s

$$B_0(t) = \langle |\mathbf{B}(t)| \rangle_{300s}, \quad (1)$$

176 where the angular brackets stand for the averaging operation. We then calculate  
 177 the relative magnetic field change as

$$\frac{\Delta B}{B_0}(t) = \left\langle \frac{|\mathbf{B}(t)| - B_0}{B_0} \right\rangle_{1s}. \quad (2)$$

178 We will show time series of  $\frac{\Delta B}{B_0}$  below for several events, but for the moment we  
 179 simply note that the minimum  $\frac{\Delta B}{B_0}$  for the structures observed by S/C 2 is -0.41 and -



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**Figure 2.** Panels (a)-(e): zoomed-in interval in the same format as Figure 1, panel (e): ion velocity components for S/C 4, panels (g)-(j): spacecraft position in the same format as Figure 1, panels (k)-(l): magnetic field components for S/C 2 and 4, in the GSE coordinate system, panel (m): RH prediction for S/C 2, panel (n): RH prediction of magnetic field strength (black), compared with magnetic field strength measured by S/C 4. The data for S/C 2 has been time shifted for easier comparison.

180 0.83 and -0.84 for S/C 3 and 4, respectively. We will define an event as a magnetic hole  
 181 event if a localized magnetic field decrease is below -0.5 in either the solar wind or the  
 182 magnetosheath region, and there is a similar structure with a decrease of at least -0.4  
 183 in the 'complementary' region (in this case the solar wind.) We therefore consider the  
 184 present example to be a magnetic hole event.

185 The detailed morphology of magnetic holes are not known, but the fact that the  
 186 magnetic hole is not observed by S/C 1 indicates that its size in the direction along the  
 187 separation between S/C 2 and 3 is comparable to that separation length, i.e. around 0.5  
 188  $R_E$ . We will make a detailed investigation of magnetic holes morphology based on Cluster  
 189 multi-point measurements in a future study. We can also note that there are no large  
 190 variations in the ion flow velocity associated with the magnetic hole. This is consistent  
 191 with the results of Karlsson et al. (2015), who interpreted localized density increases in  
 192 the magnetosheath correlated with magnetic field decreases as magnetic holes crossing  
 193 the bow shock. These structures also had no associated increase in ion flow velocity, and  
 194 were designated as '(slow) diamagnetic plasmoids'.

195 In order to study the process of the bow shock crossing in some more detail, we have  
 196 also compared the downstream magnetic field signatures with the predicted signatures  
 197 from applying the magnetohydrodynamic (MHD) Rankine-Hugoniot (RH) jump condi-  
 198 tions (e.g. Priest, 2012). The RH jump conditions relate downstream and upstream val-  
 199 ues of the plasma, based on conservation laws in a fluid magnetohydrodynamic descrip-  
 200 tion of the plasma. Knowing the upstream conditions, it is possible to solve for the down-  
 201 stream fluid parameters. These solutions to the RH equations are most easily expressed  
 202 in the de Hoffman-Teller (dHT) frame, which is a frame co-moving with the shock in the  
 203 shock normal direction, having a velocity in the tangential direction chosen so that the  
 204 upstream magnetic field is parallel to the upstream plasma flow velocity. (It is easily shown  
 205 that the magnetic field and flow velocity are then parallel also downstream of the shock.)  
 206 In the dHT frame, the RH jump conditions reduce to a two-dimensional problem, and

207 the downstream solutions can be written as (e.g. Koskinen, 2011; Oliveira, 2017; Priest,  
208 2012)

$$\frac{\rho_d}{\rho_u} = X \quad (3)$$

$$\frac{v_{dn}}{v_{un}} = \frac{1}{X} \quad (4)$$

$$\frac{v_{dt}}{v_{ut}} = \frac{v_u^2 - v_{Au}^2}{v_u^2 - Xv_{Au}^2} \quad (5)$$

$$\frac{B_{dn}}{B_{un}} = 1 \quad (6)$$

$$\frac{B_{dt}}{B_{ut}} = \frac{X(v_u^2 - v_{Au}^2)}{v_u^2 - Xv_{Au}^2} \quad (7)$$

$$\frac{p_d}{p_u} = X + \frac{1}{2}(\gamma - 1)XM_{su}^2v_u^2\left(1 - \frac{v_d^2}{v_u^2}\right). \quad (8)$$

214 Here  $u$  and  $d$  refer to upstream and downstream values,  $n$  and  $t$  to the normal (to  
215 the bow shock) and tangential directions,  $\rho$  is the density,  $v$  is the plasma flow velocity,  
216  $v_A$  is the Alfvén velocity,  $B$  the magnetic field strength,  $p$  the pressure, and  $M_s$  the sonic  
217 Mach number. The shock compression ratio  $X$  is often determined by solving the shock  
218 adiabatic equation (e.g. Priest, 2012). Here we will simply evaluate it from the density  
219 or velocity ratios, and use that value to solve for the downstream magnetic field. We now  
220 proceed like this:

- 221 1. We determine an  $lmn$  coordinate system by first fitting a bow shock model to the
- 222 closest bow shock crossing in the data, as described above. We can then obtain
- 223 the normal  $\hat{\mathbf{n}}$ . We let  $\hat{\mathbf{l}} = \hat{\mathbf{z}}_{GSE} \times \hat{\mathbf{n}}$ , and let  $\hat{\mathbf{m}}$  complete the right-hand system.
- 224 2. We transform the flow velocity into the  $lmn$  coordinate system, and decompose
- 225 the velocity in normal and tangential components:

$$\mathbf{v} = \mathbf{v}_n + \mathbf{v}_t = v_n\hat{\mathbf{n}} + v_t\hat{\mathbf{t}} = v_n\hat{\mathbf{n}} + v_l\hat{\mathbf{l}} + v_m\hat{\mathbf{m}}. \quad (9)$$

- 226 3. We transform into the shock frame by subtracting the shock velocity  $v_{sh,n}$ . This
- 227 velocity can either be determined by observing the upstream and downstream ve-
- 228 locities for the closest bow shock crossing ( $v_{sh,n} = \frac{[\rho\mathbf{v}]}{[\rho]} \cdot \hat{\mathbf{n}}$ ), or by assuming that
- 229 that velocity is zero. Thus

$$\mathbf{v}' = \mathbf{v}_n - v_{sh,n}\hat{\mathbf{n}} + \mathbf{v}_t. \quad (10)$$

- 230 4. We calculate or determine  $X$  (by using the velocities or densities).
- 231 5. We determine the deHoffman-Teller velocity for each data point  $\mathbf{B}_u$ . The dHT ve-
- 232 locity is used to transform from the original shock frame to the dHT frame, and
- 233 is given by (e.g. Kivelson et al., 1995)

$$\mathbf{v}_{HT} = \frac{\hat{\mathbf{n}} \times (\mathbf{v}_{nu} \times \mathbf{B}_u)}{\hat{\mathbf{n}} \cdot \mathbf{B}_u}. \quad (11)$$

- 234 6. We transform the velocities into the dHT frame for each data point:

$$\mathbf{v}'' = \mathbf{v}' - \mathbf{v}_{HT}. \quad (12)$$

- 235 7. We calculate the downstream magnetic field.
- 236 8. We transform back into the GSE system.

237 The result of this procedure is shown in panels (k)-(n) in Figure 2. Here panels (k)  
238 and (l) show the magnetic field components in the GSE coordinate system, observed by

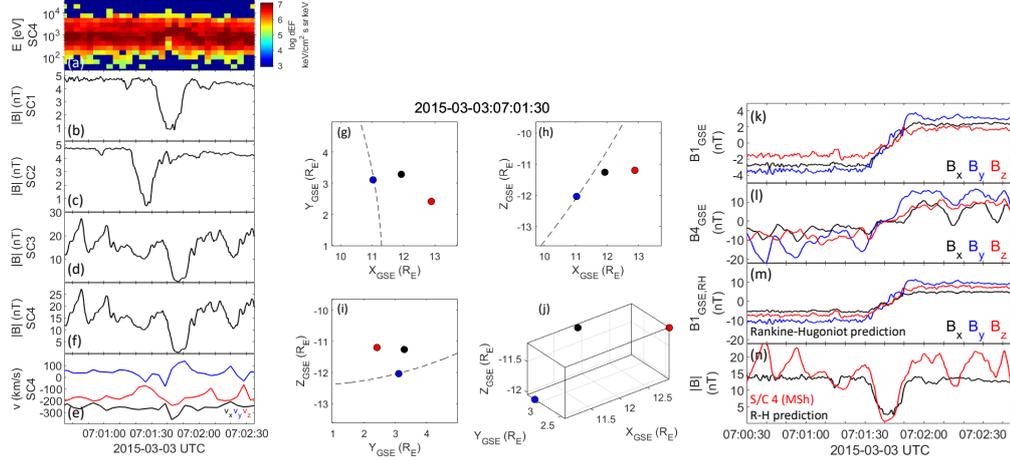
239 S/C 1 in the solar wind, and S/C 4 in the magnetosheath. The data for S/C 2 has been  
 240 shifted by 42 s to facilitate a comparison (also in panels (m)-(n)). Panel (m) shows the  
 241 solution to the RH jump conditions for the downstream values, based on the upstream  
 242 values observed by S/C 1, as described above. We have used the ratio of upstream and  
 243 downstream flow velocities observed by S/C 4 to calculate the compression ratio  $X$ . Us-  
 244 ing the densities instead does not change the results considerably. We have also set the  
 245 shock velocity to zero, since the closest shock observation is rather far removed in time.  
 246 Trying different shock velocities up to around 100 km/s does also not change the results  
 247 drastically. We can see that there is a reasonably good general agreement between the  
 248 downstream magnetic field predicted by the RH jump conditions and the actual down-  
 249 stream values observed by S/C 4. We can note that this is an example of a linear mag-  
 250 netic hole. We have calculated the change in magnetic field direction over the magnetic  
 251 hole by averaging the magnetic field components during 20 s before, and after the mag-  
 252 netic holes, respectively. For the solar wind measurements this gives a change of  $3^\circ$ , and  
 253 for the magnetosheath measurements  $4^\circ$ . Making the same calculation on the RH-predicted  
 254 magnetic field yields a rotation of  $2^\circ$ . This is expected, since if the magnetic field direc-  
 255 tion is similar before and after the magnetic hole observation, the relative change between  
 256 the normal and tangential components will be the same.

257 In panel (n) we show the magnitude of the predicted magnetic field compared to  
 258 the measured downstream values. The agreement is good, although the RH prediction  
 259 overestimates the general magnitude somewhat. The prediction also does not reproduce  
 260 the higher level of downstream fluctuations, which are likely to be generated locally in  
 261 the magnetosheath. The depth of the magnetic holes is considerably lower for the RH  
 262 prediction, but the minimum relative change  $\frac{\Delta B}{B_0}$  is -0.42, very close to the original solar  
 263 wind value. This is again expected, since for linear magnetic holes, the direction of  
 264 the magnetic field vector does not seem to change much over the magnetic hole. There-  
 265 fore, if we are in the dHT frame, the velocity direction also does not change much, mean-  
 266 ing that the relative change in the normal and tangential components also remains con-  
 267 stant. The mismatch of  $\frac{\Delta B}{B_0}$  is likely to be due to either the spacecraft crossing the mag-  
 268 netic hole at different distances from the minimum magnetic field strength, or changes  
 269 in the magnetic field configuration during the bow shock crossing not captured by the  
 270 RH jump conditions, which are of course based on assumptions of time stationarity and  
 271 a one-dimensional geometry. This will be discussed further below. However, the detailed  
 272 similarity between the downstream S/C 4 measurements and the RH prediction, includ-  
 273 ing the secondary dip at around 10:35:05 UTC, leaves little doubt that these are obser-  
 274 vations of the same underlying magnetic hole.

### 275 **3.2 Example 2, 2015-03-03:07:01 UTC**

276 Figure 3 shows a second example of a magnetic hole observed in both the solar wind  
 277 and the magnetosheath, in the same format as Figure 2. This time S/C 1 and 2 are sit-  
 278 uated in the solar wind and the magnetic hole is observed first by S/C 2 and around 15  
 279 s later by S/C 1 consistent with the S/C separation in the  $x$  direction. The depths  $\frac{\Delta B}{B_0}$   
 280 of the magnetic holes are -0.89 and -0.80 for S/C 2 and 1, respectively.

281 S/C 3 and 4 are located very close to each other, both of them in the magnetosheath,  
 282 as determined by the wide ion distribution and the magnetic field magnitude. A mag-  
 283 netic hole is observed by both S/C 3 and 4, around 5 s after the observation by S/C 1.  
 284 This magnetic hole is of the rotational type, in contrast to the previous example, which  
 285 can be seen from the magnetic field components for S/C 1 and 4 shown in panels (k) and  
 286 (l) of Figure 3. For both spacecraft observations, the magnetic hole is located at a clear  
 287 magnetic field rotation/current sheet. The similarities of the magnetic holes relation to  
 288 the magnetic field structure between the two spacecraft is further evidence that both space-  
 289 craft observe the same magnetic hole. The rotation of the magnetic field over the mag-  
 290 netic hole is  $173^\circ$  for S/C 1, and  $167^\circ$  for S/C 4.



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**Figure 3.** Data in same format as Figure 2, but for a rotational magnetic hole from 2015-03-03.

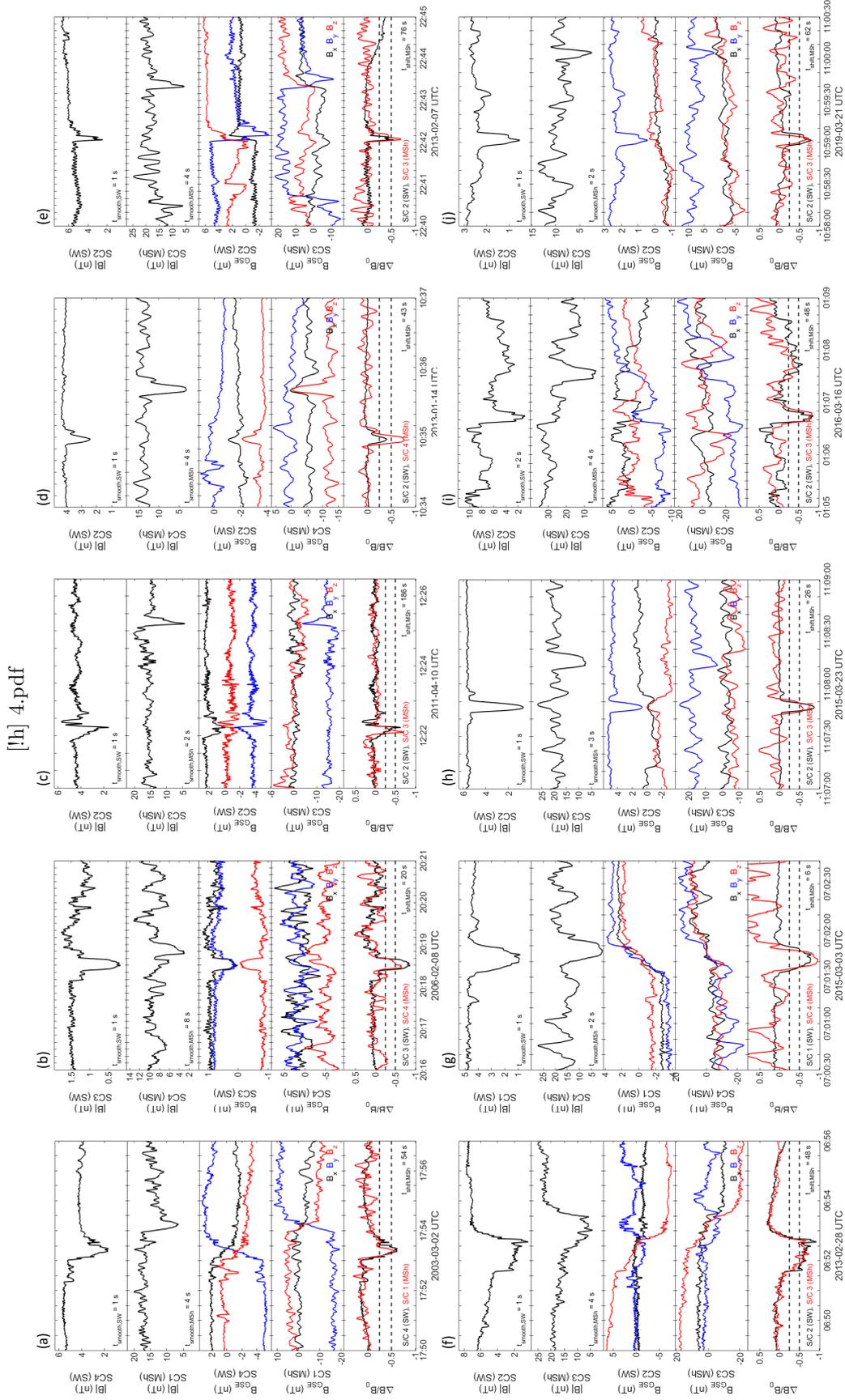
291 We have performed a similar Rankine-Hugoniot prediction of the downstream mag-  
 292 netic field as above, based on the S/C 1 data. The results are shown in Figure 3(m)-(n).  
 293 Again the results are in good agreement with the actual magnetosheath data from S/C  
 294 4, and the rotation across the magnetic hole using the RH-predicted magnetic field is  
 295  $177^\circ$  verifying that the magnetic field orientation is relatively unchanged by the passage  
 296 over the bow shock. The magnitude of the RH-predicted field is somewhat smaller than  
 297 the S/C 4 observations, and there are large variations in the latter, which are likely due  
 298 to wave activity generated locally in the magnetosheath.

### 299 3.3 Further Examples

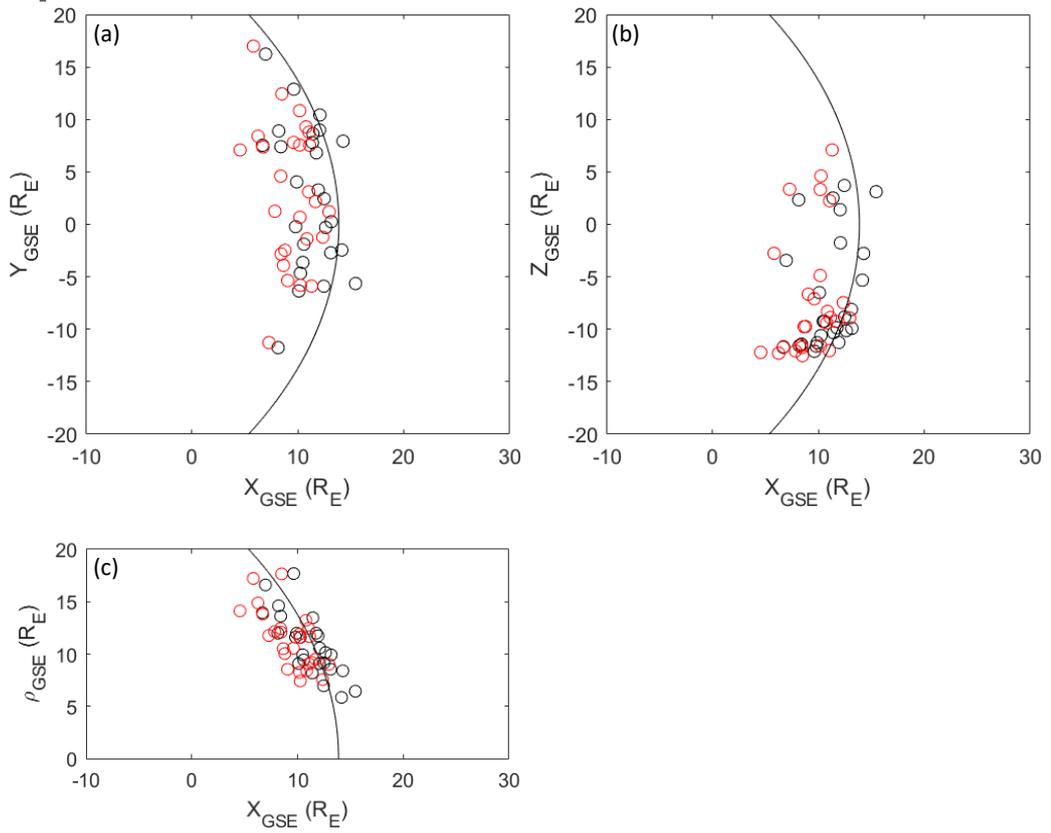
300 The two examples show above are strong evidence that the same magnetic holes  
 301 have been observed both in the solar wind and the magnetosheath, thus showing that  
 302 magnetic holes can cross the bow shock, while keeping their basic properties relatively  
 303 unchanged. In Figure 4 we show a number of further examples, in total 10 different events.  
 304 For comparison, two of these examples are the events shown above. For all examples the  
 305 panels show data from two S/C, one in the solar wind and one in the magnetosheath.  
 306 We show both the magnitude and components of the magnetic field. In addition we show  
 307  $\frac{\Delta B}{B_0}$  for the two spacecraft in question, with the data from the magnetosheath spacecraft  
 308 shifted in time for easier comparison. (The time shift was determined by maximizing the  
 309 cross correlation between the measurements of the magnetic field magnitude). Compar-  
 310 ing subfigures (d) and (g) with the Rankine-Hugoniot predictions from the previous sec-  
 311 tion, we can see that  $\frac{\Delta B}{B_0}$  is a reasonable proxy for the RH prediction comparison between  
 312 the solar wind and magnetosheath measurements. For all examples the detailed agree-  
 313 ment between the magnetic field measurements from the solar wind and magnetosheath  
 314 is strong evidence that magnetic holes cross the bow shock and enter the magnetosheath.

### 315 3.4 Statistics

316 We have identified in total 26 events of the type shown above. The full list of obser-  
 317 vation times are given in the auxiliary material. In Figure 5 we show the positions  
 318 of the full set of magnetic holes observations. We can see that the observations cover a  
 319 large part of the dayside bow shock, and show a relative good agreement with the statis-  
 320 tical bow shock, as evidenced by panel (c).



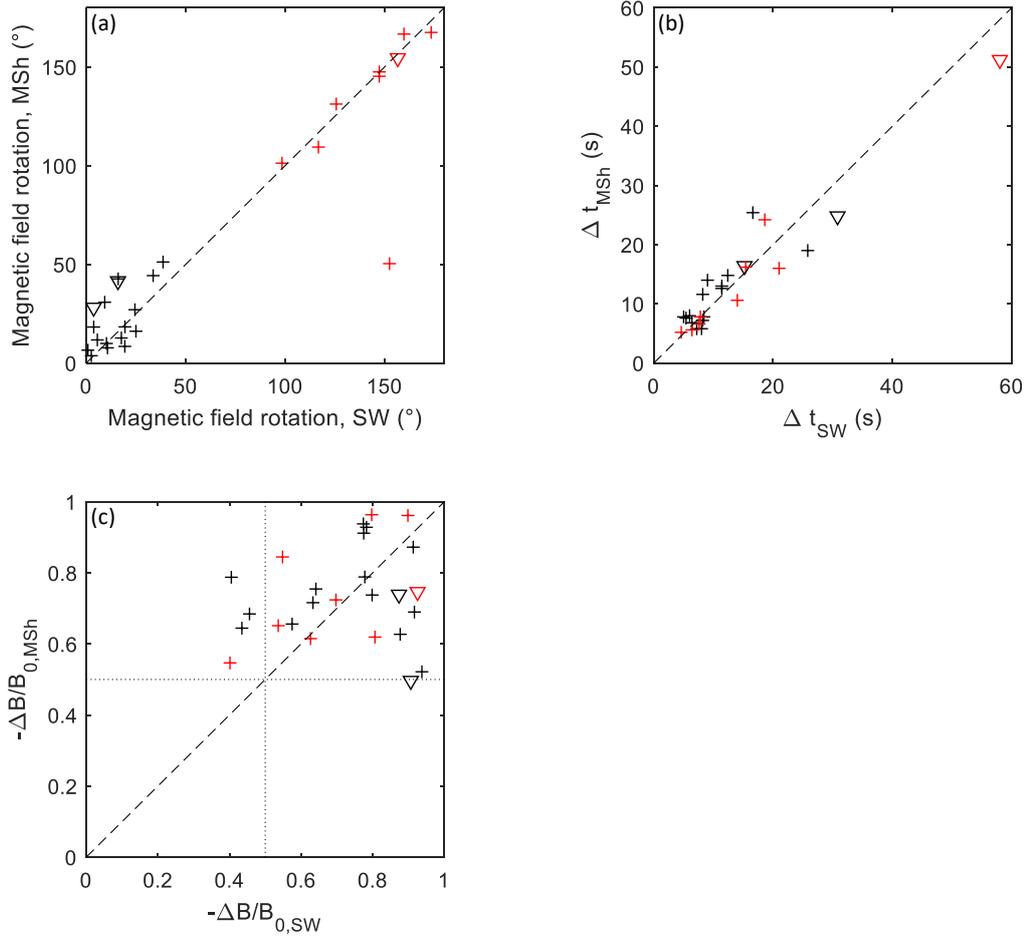
**Figure 4.** Ten different examples of simultaneous observation of magnetic holes in the solar wind and the magnetosheath. Each event is shown in the same format: magnetic field strength for the solar wind (SW) and magnetosheath (MSh) spacecraft, magnetic field components for the same spacecraft in GSE coordinates, and comparison of  $\Delta B/B_0$  for the solar wind (black) and magnetosheath (red) spacecraft. The magnetosheath measurements of  $\Delta B/B_0$  have been time shifted.



5.pdf

**Figure 5.** Positions of the magnetic holes in GSE  $x$ - $y$ ,  $x$ - $z$ , and  $x$ - $\rho$  projections ( $\rho_{GSE} = \sqrt{y_{GSE}^2 + z_{GSE}^2}$ ). Magnetic holes encountered in the solar wind are shown in black, while magnetosheath observations are marked in red. Also shown is the bow shock position for average solar wind conditions (Kivelson et al., 1995).

321 In Figure 6 we show some statistical results for the full sample of 26 events. In panel  
 322 (a) we show the rotation across the magnetic holes observed in the magnetosheath ver-  
 323 sus the rotation of the same magnetic hole in the solar wind. It can be seen that the sam-  
 324 ple is clearly split in two different populations, one where the solar wind magnetic holes  
 325 have a rotation less than  $40^\circ$ , and another where the rotation is greater than  $90^\circ$ . For  
 326 the purposes of this paper, we will call the latter population rotational magnetic holes,  
 327 and we indicate this by plotting them in red. This definition is not consistent with some  
 328 earlier definitions (Karlsson et al., 2021, and references therein), but this will not be crit-  
 329 ical for our conclusions. (The triangular plots symbols will be explained below.) The other  
 330 population we then call linear magnetic holes, and plot in black. We will use the same  
 331 color convention in panels (b) and (c). From panel (a) it is clear that even if the corre-  
 332 lation between the rotation of the solar wind and magnetosheath observation is not per-  
 333 fect, a rotational magnetic hole generally remains rotational after passing the bow shock,  
 334 and the same is true for the linear magnetic holes. The only exception is the outlier with  
 335 a rotation of around  $150^\circ$  in the solar wind, but a considerably smaller rotation in the  
 336 magnetosheath. For this magnetic hole the surrounding magnetosheath was in a more  
 337 turbulent state than for the other events, which resulted in a large uncertainty in the de-  
 338 termination of the magnetic field rotation.



6.pdf

**Figure 6.** Magnetosheath values versus solar wind values for all 26 magnetic holes. Panel (a): magnetic field rotation across the magnetic hole, (b): temporal scale size, (c): magnetic field depth (with the limits of  $\Delta B/B_0 = -0.5$  indicated). Black symbols represent linear magnetic holes, and red rotational holes. The triangles indicate more heavy smoothing, as described in the main text.

339 Figure 6(b) plots the temporal width  $\Delta t$  of each magnetic hole in the solar wind  
 340 versus the width in the magnetosheath for the same magnetic hole.  $\Delta t$  is defined as the  
 341 full width at the half minimum. In order to minimize effects of random fluctuations, we  
 342 have smoothed the data in the solar wind using a 1 s running window, while in the mag-  
 343 netosheath we have used a window size of 2 s, to take into account the higher magnetic  
 344 field variability. For a few magnetic holes the variability in the magnetosheath was con-  
 345 siderably higher than for the other events, and we increased the window size to 4 or 6  
 346 s. These data points are marked with triangles. The window size for each event can be  
 347 found in the table in the auxiliary material. We can see that there is a strong correla-  
 348 tion between the temporal scale sizes in the magnetosheath and solar wind, indicating  
 349 that the magnetic hole temporal scale size is approximately conserved in the crossing of  
 350 the bow shock. This seems to be true for both linear and rotational magnetic holes.

351 Finally, in panel (c) we show the depth of the magnetic field, which we define as  
 352 the minimum of the ratio  $\frac{\Delta B}{B_0}$  for each magnetic hole. Again we plot the (negative of the)  
 353 magnetosheath value versus the solar wind one for each magnetic hole. We have applied  
 354 the same smoothing as above before determining the depth. Here the spread is large, but  
 355 it is clear that a majority of the events fulfill the common definition of a magnetic holes  
 356 of  $\frac{\Delta B}{B_0} < -0.5$  in both regions. Again there is no clear systematic difference between  
 357 linear and rotational magnetic holes.

## 358 4 Discussion

359 The Cluster multipoint measurements presented here show that both rotational and  
 360 linear solar wind magnetic holes can cross Earth's bow shock, while keeping their most  
 361 important properties relatively unchanged: their general shapes, their magnetic field ro-  
 362 tation, and their temporal scale size. This is consistent with the results in (Karlsson et  
 363 al., 2021), where it was shown that magnetic holes in the solar wind near Mercury and  
 364 magnetic holes in the Mercury magnetosheath had very similar distributions of magnetic  
 365 field rotation and temporal scale sizes. As discussed by Karlsson et al. (2021), the con-  
 366 servation of the temporal scale size across the bow shock is consistent with the one-dimensional  
 367 continuity equation.

368 In the examples shown here, including the two applications of the Rankine-Hugoniot  
 369 jump conditions and in the statistical results discussed above, there is no indication of  
 370 a more complicated interaction of the magnetic holes and the bow shock, such as the in-  
 371 teraction between directional discontinuities and the bow shock (e.g. Burgess & Schwartz,  
 372 1988; Lin, 1997). The interaction of a tangential discontinuity with the bow shock may,  
 373 e.g., result in Hot Flow Anomalies (HFAs), (e.g. Schwartz et al., 2000), which may have  
 374 quite complicated magnetosheath/downstream signatures, such as a combination of fast  
 375 and slow magnetosonic signatures (Eastwood et al., 2008) or magnetosheath jets (Savin  
 376 et al., 2012), while a rotational discontinuity may produce a downstream combination  
 377 of slow and intermediary shocks (Cable & Lin, 1998). For linear magnetic holes, Grib  
 378 and Leora (2015) modelled their interaction with the bow shock by considering the mag-  
 379 netic holes as bounded by two tangential discontinuities, and predicted the appearance  
 380 of a shock wave inside the magnetic hole.

381 There are a number of possible explanations as to why such complex interactions  
 382 with the bow shock are not observed here: 1. The magnetic field rotation of the rota-  
 383 tional magnetic holes, or the boundaries of the linear magnetic holes, are perhaps not  
 384 abrupt enough to be considered as discontinuities. 2. The orientation of the current sheets  
 385 may influence the interaction with the bow shock. It is e.g. known that HFAs are only  
 386 triggered by tangential discontinuities which have a normal with a large cone angle (Schwartz  
 387 et al., 2000). 3. HFAs are mainly triggered by tangential discontinuities, while if the ro-  
 388 tational magnetic holes are generated by magnetic flux annihilation by reconnection, they  
 389 are likely to be rotational discontinuities (if they indeed can be considered as disconti-  
 390 nuities). 4. There may be a confirmation bias, in that our selection criterion is that the  
 391 upstream and downstream signatures are similar. Perhaps there are times when rota-  
 392 tional solar wind magnetic holes do not penetrate the bow shock in the simple fashion  
 393 that our observations suggest, but have more complicated downstream/magnetosheath  
 394 signatures that we have discarded from our selected events. Studying the interaction of  
 395 both rotational and linear magnetic holes with the bow shock with MHD and hybrid sim-  
 396 ulations should give further insight into the magnetic hole-bow shock interaction.

397 The exception to the close upstream and downstream similarities of the magnetic  
 398 hole properties is the depth of the holes. While the magnetic holes have a  $\frac{\Delta B}{B_0}$  of at least  
 399 -0.4, the correlation between the upstream and downstream values is not as strong as  
 400 for e.g. the temporal scale size. One explanation could be that the upstream and down-  
 401 stream spacecraft observe different parts of the magnetic hole, and do not probe equally

402 deep into the magnetic holes. This is, however, not consistent with the very good tem-  
 403 poral scale size upstream-downstream correlation. Another possibility is that the deter-  
 404 mination of the background magnetic field,  $B_0$ , is affected by the higher magnetic field  
 405 variability in the magnetosheath compared with the solar wind. Another explanation could  
 406 be that there actually is some more complicated interaction between the magnetic holes  
 407 and the bow shock than that implied by one-dimensional MHD (as represented by the  
 408 RH jump conditions). A further possibility is discussed below.

409 Linear solar wind magnetic holes typically exhibit a balance between thermal and  
 410 magnetic pressure, by which we understand that the total pressure is the same inside the  
 411 magnetic holes as in the outside solar wind plasma (Burlaga & Lemaire, 1978; Mada-  
 412 nian et al., 2019; Stevens & Kasper, 2007; Volwerk et al., 2021; Winterhalter et al., 1994).  
 413 If the magnetic hole plasma fulfills the Rankine-Hugoniot jump conditions, this pressure  
 414 balance may be disturbed by the bow shock crossing, since the tangential and normal  
 415 magnetic field components are not transformed in the same way (Equations 6 and 7),  
 416 and the downstream magnetic field strength therefore depends on  $\theta_{Bn}$ , while the ther-  
 417 mal pressure does not (Equation 8). Immediately after crossing the bow shock, the plasma  
 418 inside a linear magnetic hole may therefore not be in pressure balance with its surround-  
 419 ings. This lack of pressure balance may be used as an indication that the magnetic holes  
 420 are not generated locally in the magnetosheath. The effects of the lack of pressure bal-  
 421 ance will probably depend on the morphology of the magnetic hole, of which very lit-  
 422 tle is known. If the magnetic holes are elongated along the background magnetic field,  
 423 as results on diamagnetic plasmoids in the magnetosheath seem to indicate (Karlsson  
 424 et al., 2012), the pressure dynamics may mainly take place in the magnetic field-aligned  
 425 direction. Depending on the time scale of these dynamics, this could be an explanation  
 426 of the difference in the magnetic hole depth between upstream and downstream obser-  
 427 vations. If the magnetic holes have similar extensions in the directions parallel and per-  
 428 pendicular to the background magnetic field, the pressure dynamics may also take place  
 429 in the perpendicular direction. This could possibly be related to observations that have  
 430 been interpreted as expansion or contraction of magnetic holes in the magnetosheath (Yao  
 431 et al., 2020), although we believe that the uncertainties of these observations are large.  
 432 We plan to further study the pressure balance of magnetic holes in the magnetosheath,  
 433 using MMS burst data (Baker et al., 2016), in the near future.

434 Assuming that the magnetic holes are frozen in to the plasma flow in the solar wind,  
 435 the fact that the temporal scale sizes are the same in the magnetosheath indicates that  
 436 the magnetic holes are then also frozen in to the magnetosheath plasma. Some magne-  
 437 tosheath magnetic holes may therefore encounter the magnetopause, and interact with  
 438 it. If the magnetic holes are associated with a density increase (as for the diamagnetic  
 439 plasmoids), they will also have a larger dynamic pressure than the surrounding magne-  
 440 tosheath plasma. It can be expected that the magnetic hole–magnetopause interaction  
 441 can result in similar phenomena as those created by magnetosheath jets, for example trig-  
 442 gered localized reconnection, magnetopause surface and compressional waves, impulsive  
 443 penetration, modified ionspheric flows and even aurora (Plaschke, Hietala, et al., 2018).  
 444 This will be the subject of further studies.

## 445 5 Summary and Conclusions

446 We have used Cluster multipoint measurements to show that both linear and ro-  
 447 tational magnetic holes can cross the bow shock and enter the magnetosheath. For the  
 448 26 events we have identified, their properties (general shape, temporal scale size, and mag-  
 449 netic field rotation across the hole) are quite unchanged by the passage of the bow shock.  
 450 The exception is the magnetic field depth, which can vary considerably between the up-  
 451 stream and downstream observations. This may possibly be related to the change in re-  
 452 lation between the thermal and magnetic pressures, expected from the MHD Rankine-  
 453 Hugoniot jump conditions. In general, the magnetic holes studied here show no signs of

454 a more complicated interaction with the bow shock than expected by the jump condi-  
 455 tions. The results here support the interpretation that magnetic holes found in the mag-  
 456 netosheaths of Earth and Mercury are of solar wind origin, and are not generated locally  
 457 in the magnetosheath (Karlsson et al., 2012; Karlsson et al., 2015, 2016; Karlsson et al.,  
 458 2021). The increased dynamic pressure associated with magnetosheath magnetic holes  
 459 may interact with the magnetopause in similar ways to magnetosheath jets, which rep-  
 460 represents a new type of solar wind - magnetosphere interactions that needs to be further  
 461 studied.

## 462 Acknowledgments

463 The data in this study are available via the Cluster Science Archive (Laakso et al., 2010),  
 464 (<https://www.cosmos.esa.int/web/csa>). SR and TK are supported by the Swedish Na-  
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 466 National Space Agency (SNSA grant 190/19).

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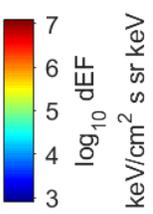
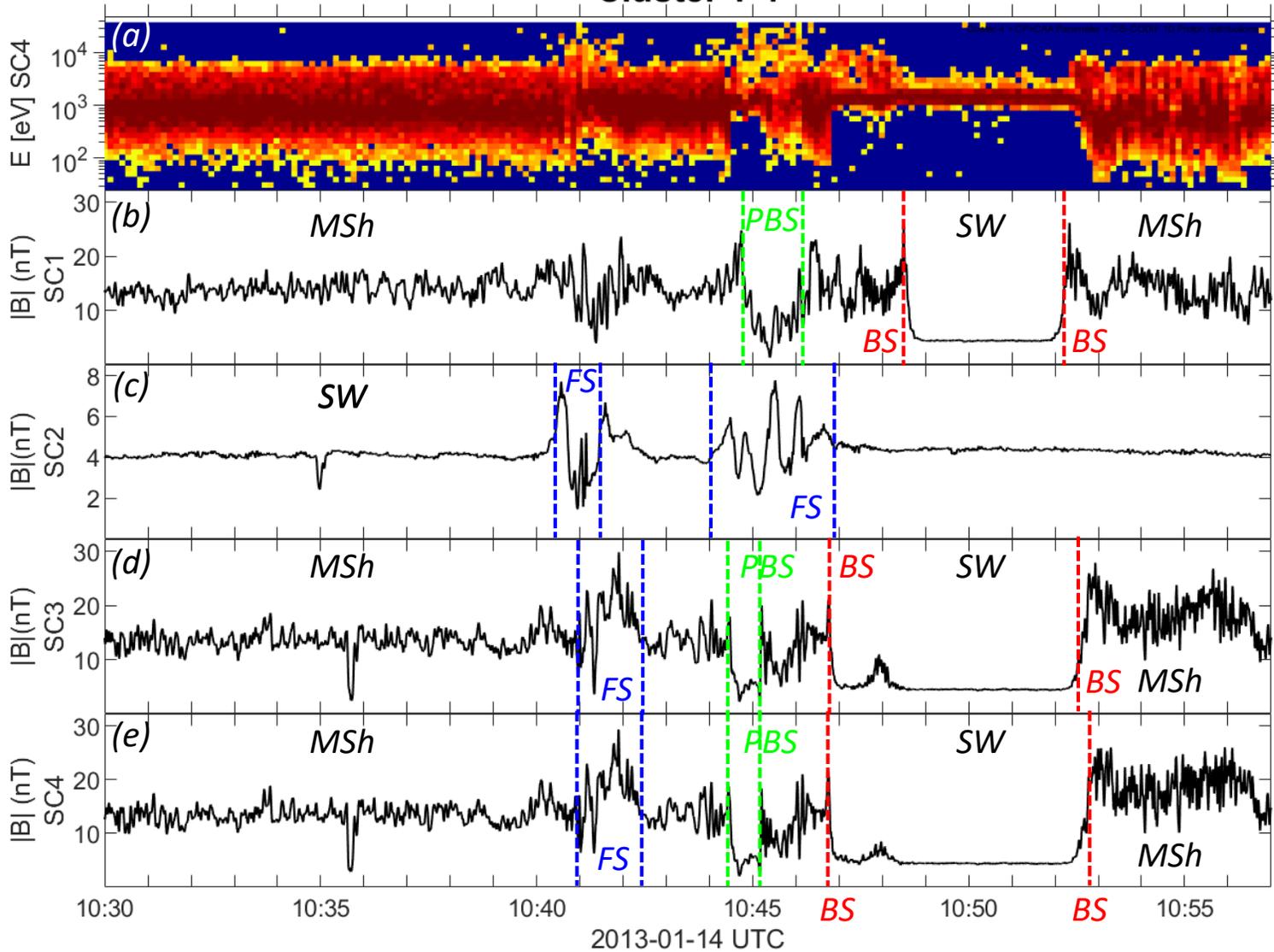
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Figure 1.

# Cluster 1-4



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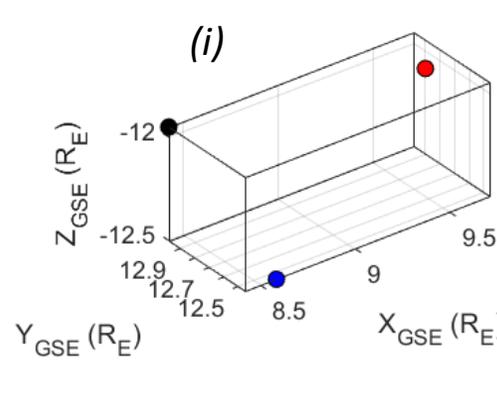
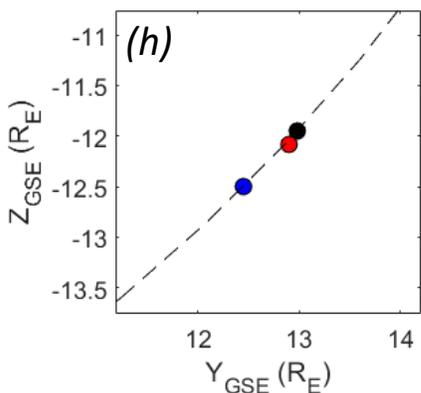
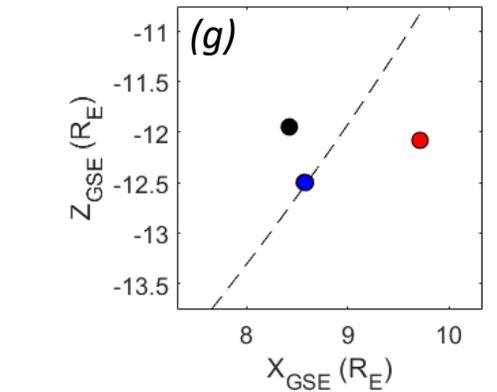
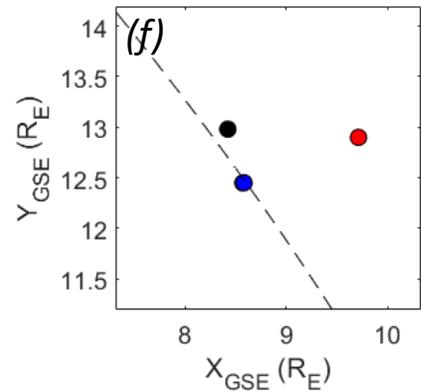


Figure 2.

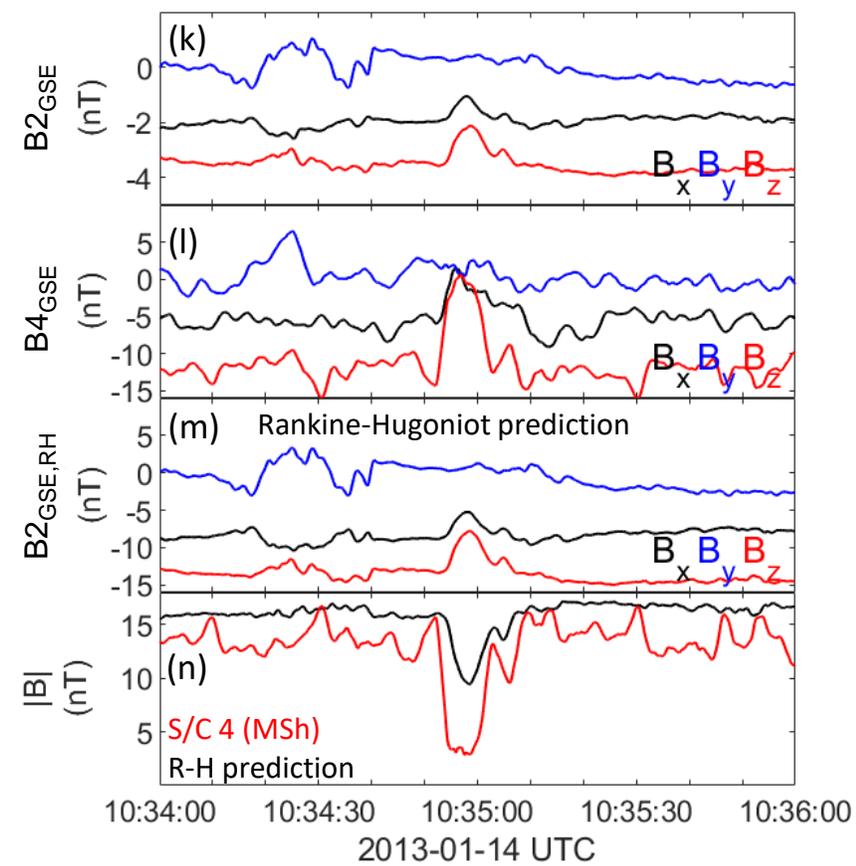
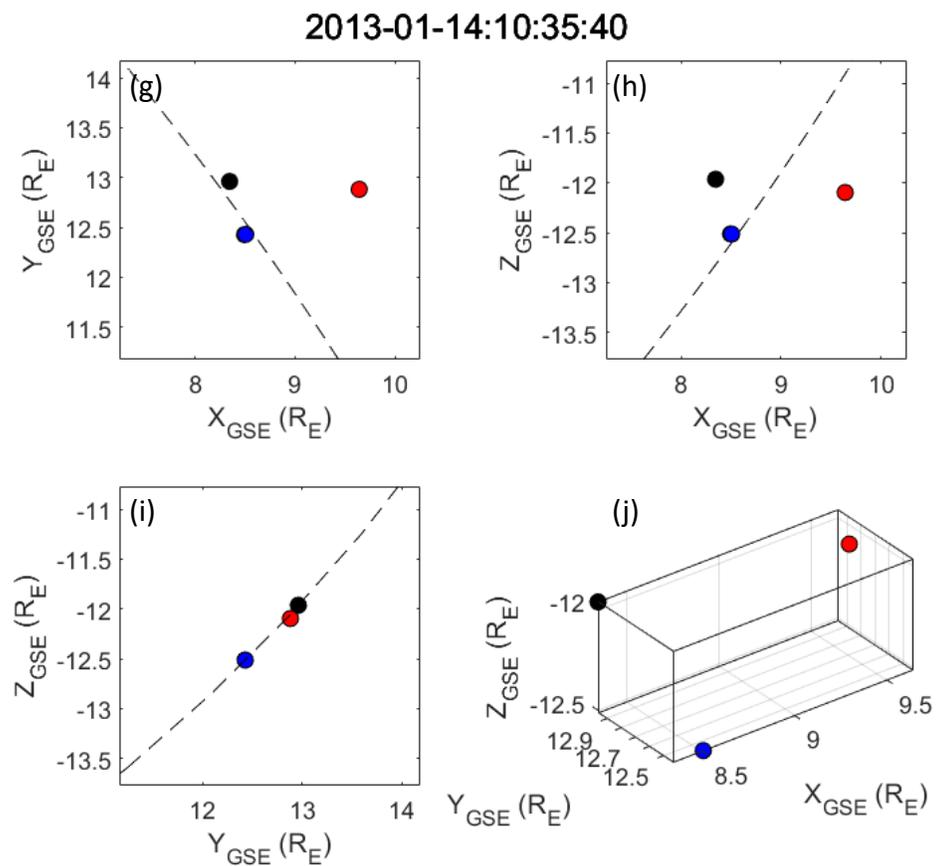
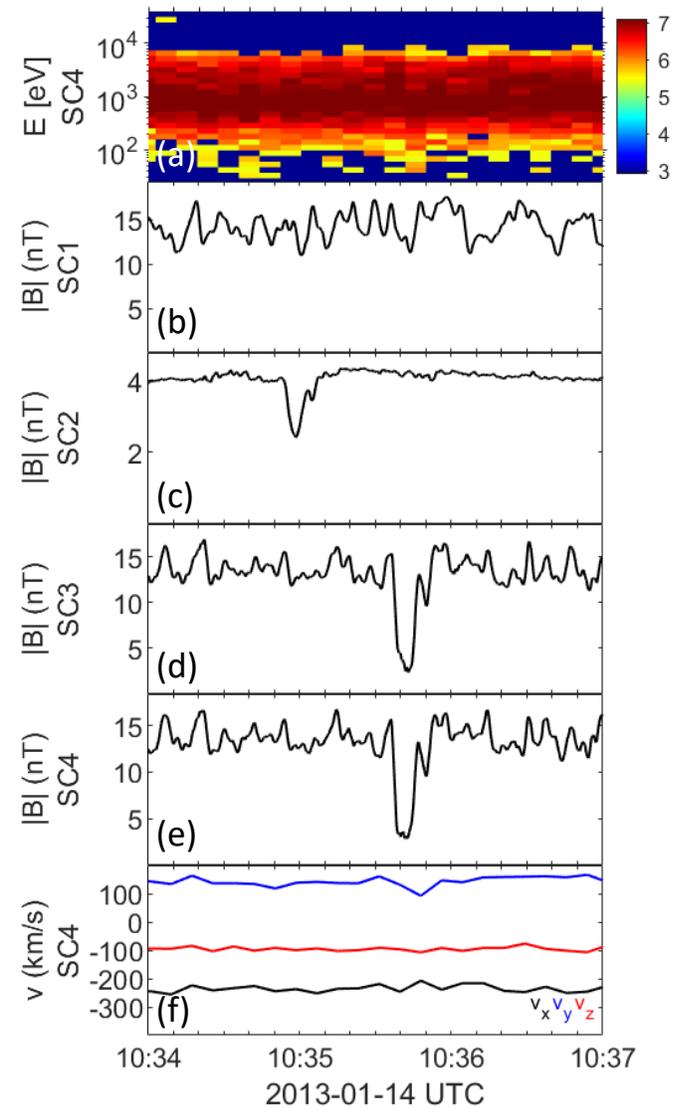
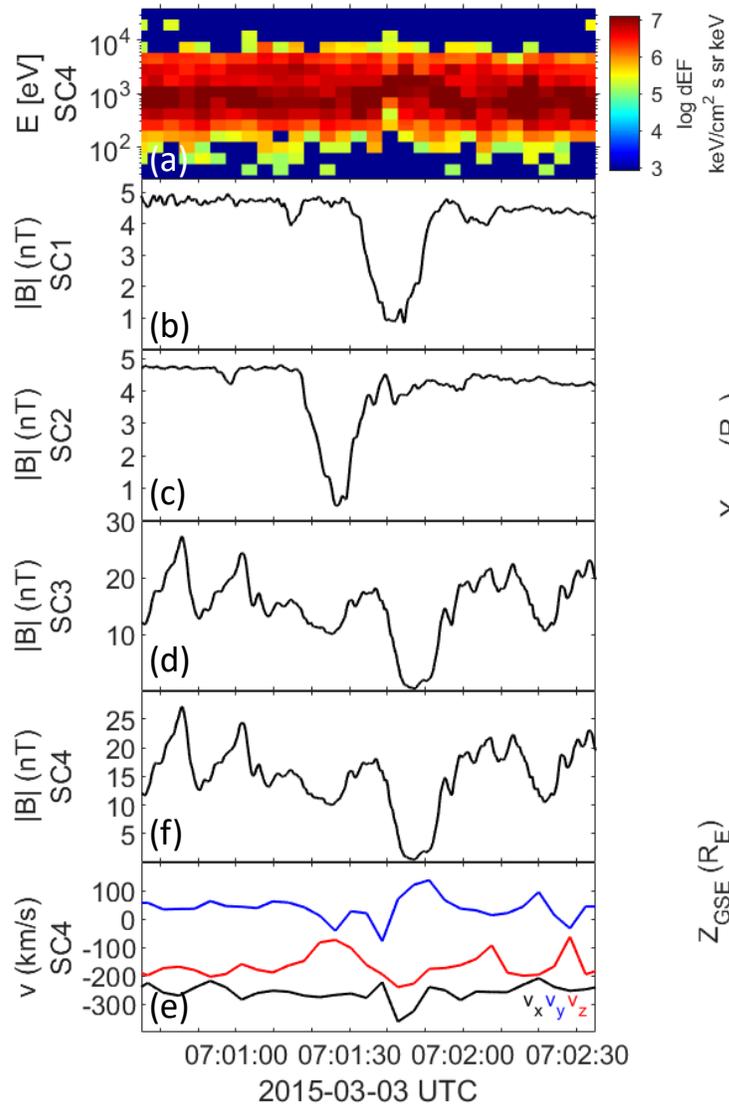
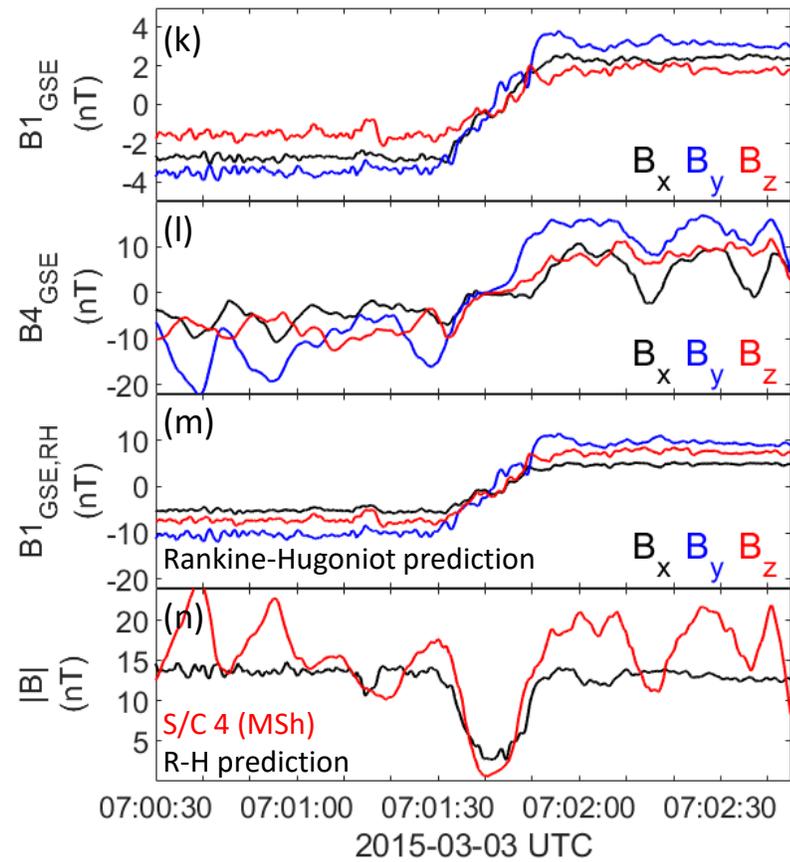
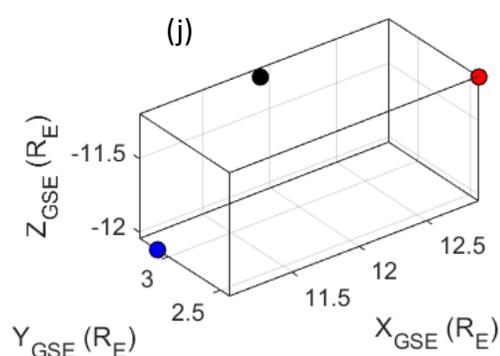
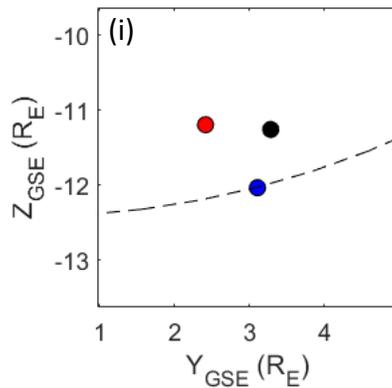
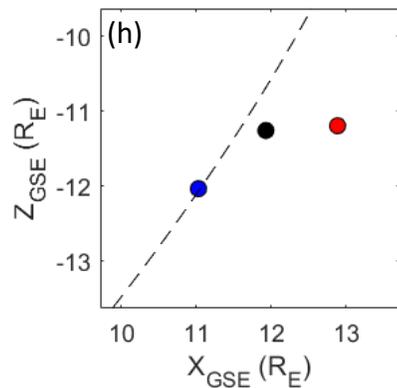
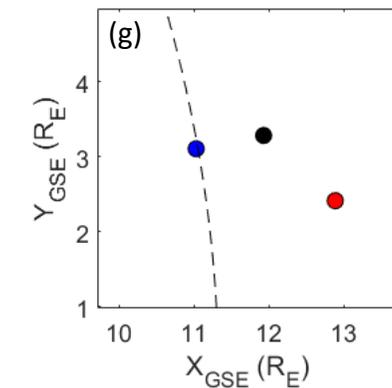


Figure 3.



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**Figure 4.**

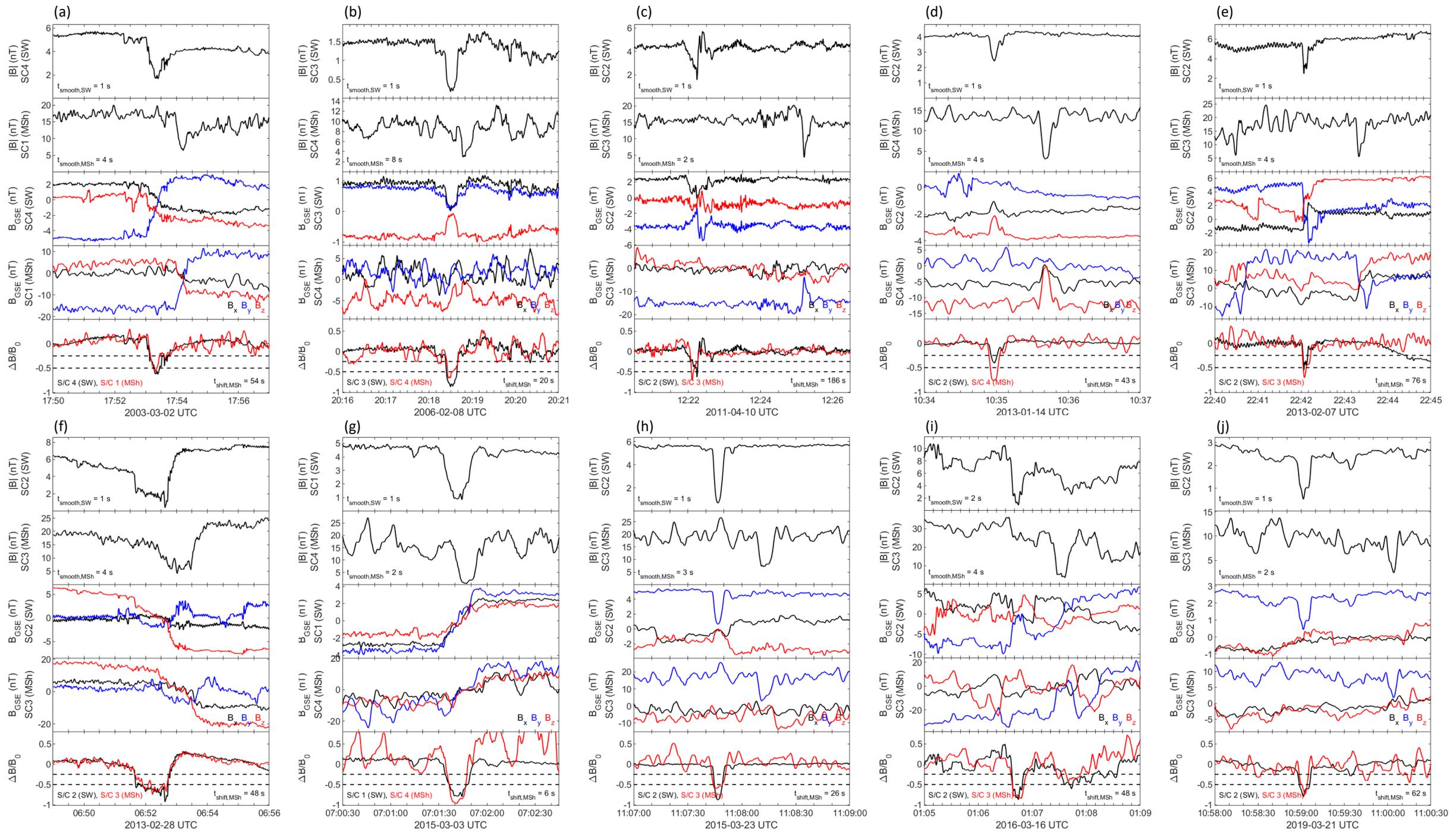


Figure 5.

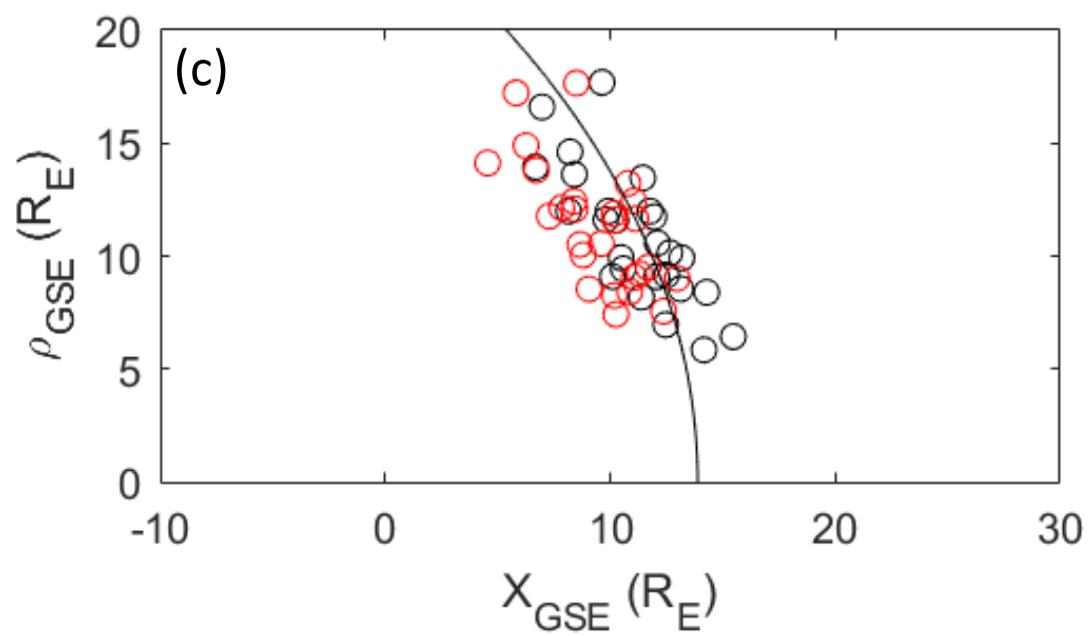
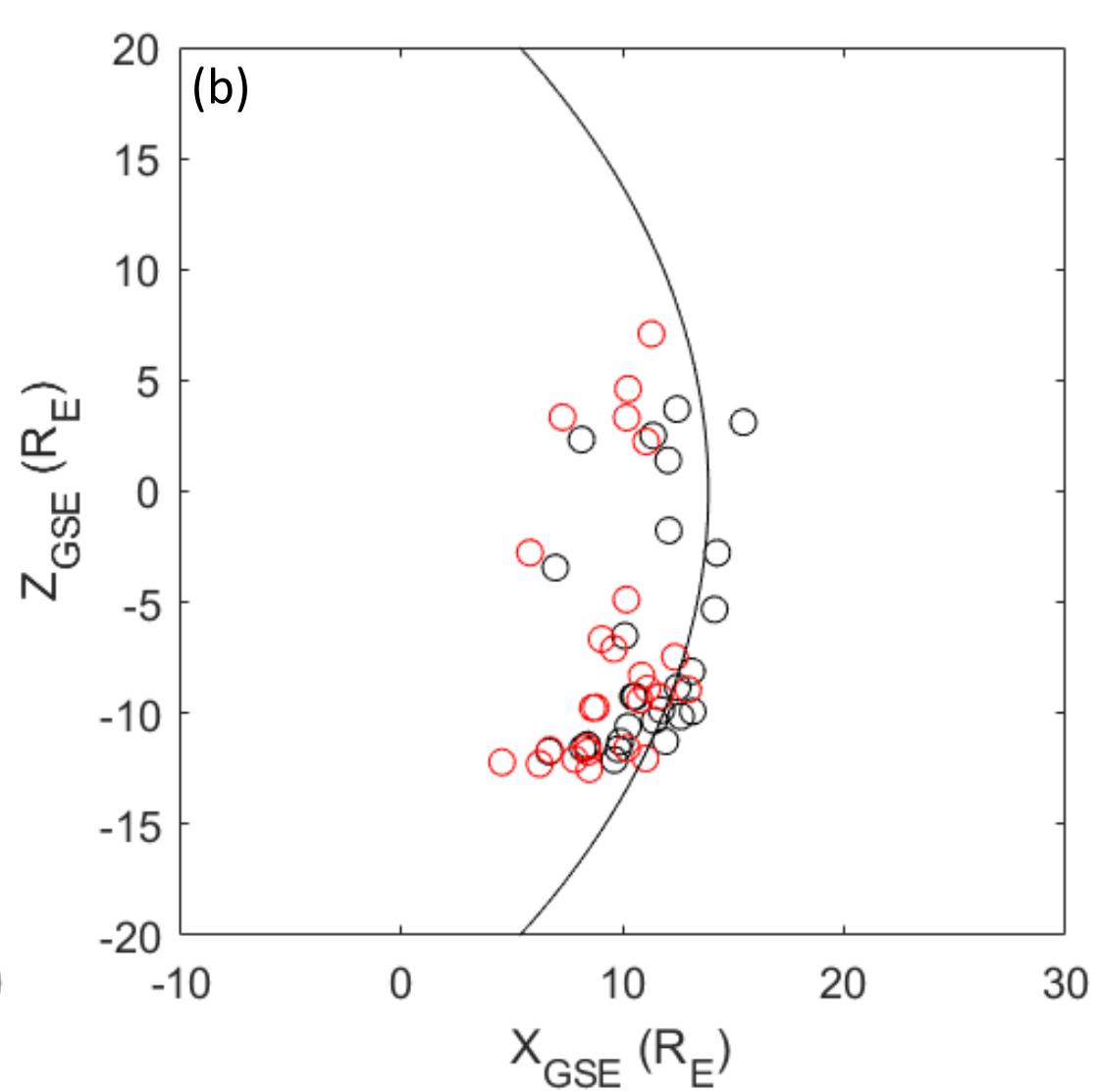
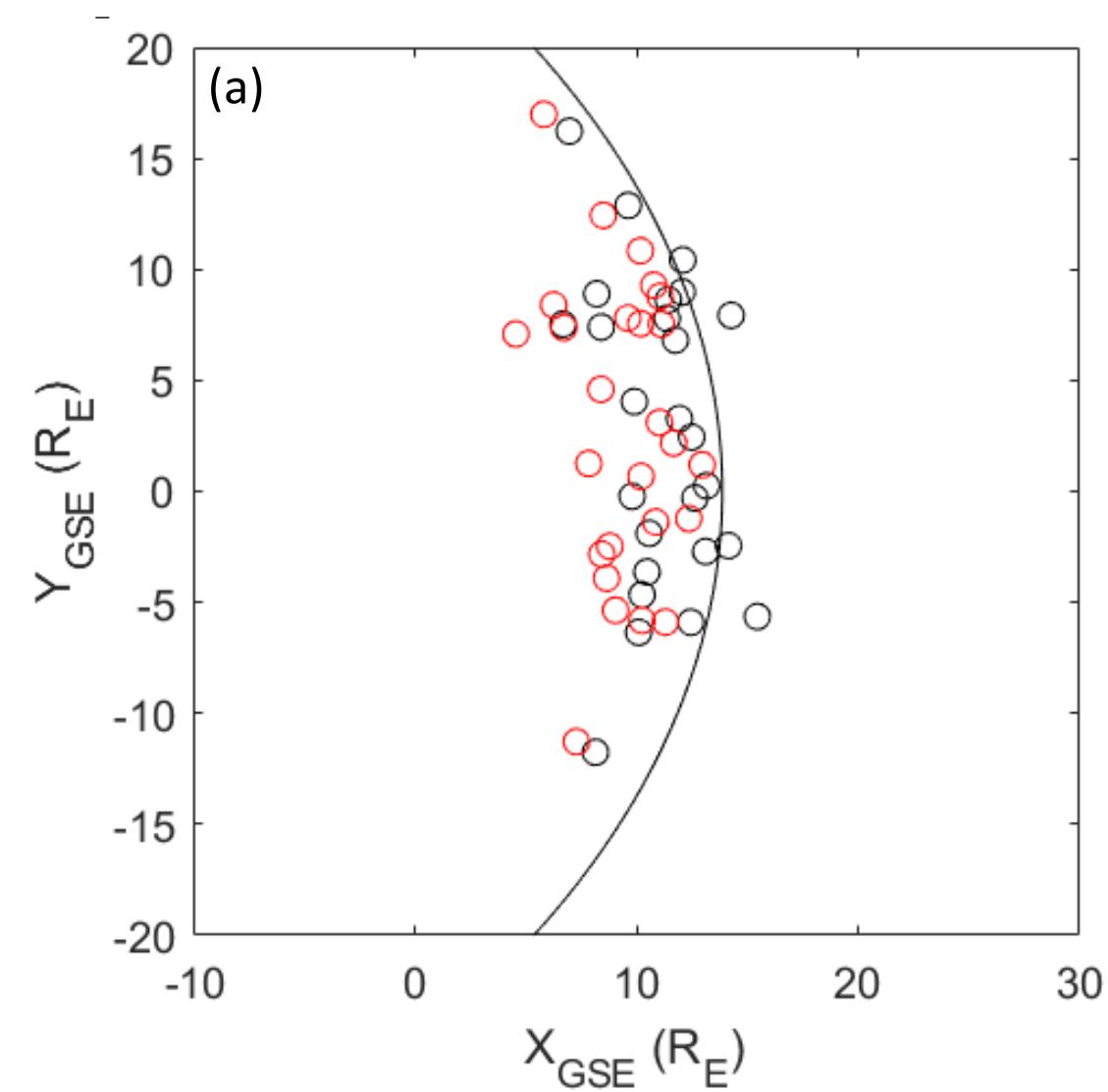


Figure 6.

