

1       **Long-term Fluid Injection Can Expedite Fault Reactivation and**  
2       **Development: Riedel Shear Structures Illuminated by Induced**  
3       **Earthquakes in Alberta, Canada**

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8  
9       **Abstract**

10       Riedel shear structures (RSS) are often observed in the embryonic stage of  
11       strike-slip fault development, which can be depicted in the field through outcrops and  
12       co-seismic surface ruptures. It is a critical concept linking the geomechanical  
13       behavior of individual earthquakes to structural geology at both local and regional  
14       scales. However, the influence of long-term fluid injections on the developing process  
15       of RSS, as manifested by the common occurrences of injection-induced earthquakes,  
16       has been rarely addressed. Here we document for the first time subsurface RSS  
17       expedited by long-term wastewater disposal injections in western Canada. We study  
18       an earthquake sequence consisting of 187 events ( $M_L$  ranging 1.3–3.9) between  
19       2018/01/01 and 2021/07/15 in an area without any previous seismic history.  
20       According to 31 well-constrained focal mechanism solutions, the injection-related  
21       earthquake sequence exhibits various faulting types with the vast majority (87%)  
22       being compatible with the background stress regime. The orientation of derived nodal  
23       planes collectively indicates a model of RSS that consists of four primary strike-slip  
24       structures striking  $19^\circ$  (R'),  $79^\circ$  (R),  $94^\circ$  (PDZ) and  $109^\circ$  (P), respectively. Moreover,  
25       six fault segments delineated from the relocated local seismicity are parallel to the  
26       sub-structures of RSS. Mohr-Coulomb failure analysis further suggests a cumulative  
27       stress perturbation of up to 10.0 MPa. Our observations suggest that long-term fluid  
28       injection can expedite the development of local fault systems. Therefore, it is  
29       probably important to consider the dimension of local/regional RSS in the assessment  
30       of the overall seismic hazard due to fluid injections.

31  
32       **Plain Language Summary**

33 Under a shear stress regime, randomly distributed small fault segments would  
34 evolve into a mature strike-slip fault system. During the early stage, a network of  
35 shear structures with favored orientations for rupturing are often observed, called  
36 Riedel shear structures (RSS). The depiction of RSS can help understand the  
37 geomechanical behavior of individual earthquakes. It is well accepted that long-term  
38 fluid injections can cause earthquakes, yet their influence on the developing process  
39 of RSS is rarely discussed. Here we document a clear case in western Canada where  
40 the development of a local RSS system is expedited by 25 years of wastewater  
41 injection. The RSS system is manifested by an earthquake sequence consisting of 187  
42 small-to-moderate-sized events. Focal mechanisms of these events exhibit various  
43 faulting types with the majority being compatible with the background stress regime.  
44 The orientation of derived nodal planes and six fault segments depicted from the  
45 refined earthquake distribution collectively define the overall geometrical  
46 characteristics of the RSS. Mohr-Coulomb failure analysis further suggests a  
47 cumulative stress perturbation of up to 10.0 MPa. The dimension of RSS could be  
48 important in the assessment of the overall seismic hazard due to fluid injections.

49

## 50 **1. Introduction**

51 It is generally accepted that randomly distributed small fault segments would  
52 eventually evolve into a mature strike-slip fault system, when the source region is  
53 subjected to a deviatoric stress field under transpressional or transtensional tectonics  
54 over a significant period of time (Riedel, 1929; Tchalenko, 1968). In a simple shear  
55 stress regime, Riedel shear structures (RSS) are frequently observed during the  
56 embryonic stage of strike-slip fault development. Typical RSS often involve a set of  
57 conjugated fault segments that interact with each other prior to the growth of a  
58 through-going fault, i.e., the principal deformation zone (PDZ) (Riedel, 1929;  
59 Tchalenko, 1968; Katz et al., 2004). As shown in Fig.1, the PDZ usually orients  $45\pm 5^\circ$   
60 to the principal shear stress regardless of the local lithology and regional stress  
61 condition, (Bartlett et al., 1981). The right lateral shear structures R and P are  
62 synthetic to the sense of shear slip along the PDZ, whereas the left lateral shear  
63 structure R' is antithetic. Ideally, structures R and P are symmetric to the PDZ;  
64 structures R and R' are conjugated. They inclined respectively  $\varphi/2$  (R),  $-\varphi/2$  (P) and  
65  $90^\circ-\varphi/2$  (R') with respect to the strike of the PDZ, where  $\varphi$  is related to the internal

66 friction of the rock matrix (defined as the peak angle of shearing resistance,  
67 Tchalenko, 1970; Davis et al., 2000; Ahlgren, 2001).

68 One important feature of RSS is that various rupture modes may exist  
69 simultaneously during the process, as long as they are compatible with the  
70 background stress regime (Sylvester, 1988). Specifically, based on an idealized Riedel  
71 shear model, thrust-faulting events could occur within the compressional zone where  
72  $S_{Hmax}$  coincides with the maximum compressive principal stress ( $\sigma_1$ ), whereas  
73 normal-faulting events occur within the extensional zone where the minimum  
74 horizontal stress ( $S_{Hmin}$ ) corresponds to the minimum compressive principal stress ( $\sigma_3$ ).  
75 For strike-slip events, they tend to occur along several shear structures in response to  
76 the stress regime where  $S_{Hmax}$  and  $S_{Hmin}$  are respectively  $\sigma_1$  and  $\sigma_3$  (Fig. 1;  
77 Anderson, 1951). RSS of different sizes are widely documented within different rock  
78 types and geologic settings, including those observed in the macro-scale (e.g., Katz et  
79 al., 2004; Scholz et al., 2010; Hsu et al., 2019), meso-scale (e.g., Davis et al., 2000;  
80 Ahlgren, 2001), micro-scale (e.g., Arboleya and Engelder, 1995) and laboratory  
81 experiments (e.g., Tchalenko, 1970).

82 In a tectonic environment, RSS could be identified through outcrops of fault  
83 structures or high-resolution subsurface structural imaging (e.g., Luo et al., 2020;  
84 Peirce et al., 2014). Earthquake geologists conduct surveys following  
85 moderate-to-large earthquakes to investigate the co-seismic surface rupture  
86 distribution along with corresponding landslides. By comparing with RSS, it is  
87 possible to illuminate the nearby seismogenic faults and their geometrical  
88 characteristics, and to accordingly predict focal mechanisms of future events (e.g.,  
89 Luo et al., 2020; Angelier et al., 2004; Rao et al., 2011). In western Canada, RSS have  
90 been widely observed in northeastern British Columbia, Alberta, Saskatchewan and  
91 Northwest Territories (e.g., Peirce et al., 2014; Eccles et al., 2002; Hillacre et al.,  
92 2017; Bostock and van Breemen, 1992). Beyond being of interest to economic  
93 geology, such observations also help us understand the tectonic stress regime and the  
94 stage of structure development. Meanwhile, it is well known that anthropogenic  
95 earthquakes can also be big, comparable to damaging natural/tectonic events (e.g.,  
96 Durrheim et al., 2006; Ellsworth, 2013), yet the structural development of these large  
97 induced events remains poorly understood.

98 During the past decades, the growing number of injection-induced earthquakes  
99 (IIEs) has become a main concern in many parts of the world (Ellsworth, 2013;  
100 Atkinson et al., 2016; Lei et al., 2020). The drastically rising number of IIEs in central  
101 US and western Canada demonstrate that long-term subsurface injections would  
102 eventually elevate the seismogenic capability in local areas. Considerable efforts have  
103 been made to decipher the seismogenic processes of IIEs (e.g., Hough and Page, 2015;  
104 Schultz et al., 2020; Wang et al., 2022), especially the scenarios of relatively large  
105 events on reactivated pre-existing faults (Keranen, 2013; Eyre et al., 2019; Wang et  
106 al., 2021; Lei et al., 2020). For example, as per in-situ stress measurements conducted  
107 near the Duvernay unconventional reservoirs (one of the most active injection areas in  
108 western Canada), fluid injections lead to a high level of ambient fluid pressure  
109 sufficient to reactivate pre-existing faults that are hydraulically connected to injection  
110 wells (Shen et al., 2019a, 2019b). However, the possible impact of long-term fluid  
111 injection to the structural development as manifested by these large induced events is  
112 still an open question.

113 In this paper, we present a case study conducted near the Musreau Lake area,  
114 central-west Alberta, Canada. As shown in Fig. 2a, this area is inside the Western  
115 Canada Sedimentary Basin (WCSB), which contains one of the largest reserves of  
116 petroleum and natural gas in the world. Specifically, it is located immediately west of  
117 the Fox Creek area, one of most active induced seismicity zones in the WCSB during  
118 the past decade (e.g., Bao and Eaton, 2016; Eyre et al., 2019; Schultz et al., 2020).  
119 The geological setting in our study area is characterized by the thin-skinned, NW–  
120 SE-trending, fold-and-thrust belt of the Rocky Mountain foreland basin, where the oil  
121 and gas resources within the Duvernay shale formation have spurred a huge interest of  
122 industrial exploitations (Shen et al., 2021). Fluid injections in the study area have been  
123 actively conducted for 25 years, yet no earthquake was reported until very recently  
124 (Yu et al, 2021). The long-term seismic quiescence is previously attributed to the  
125 unfavorable orientation of the pre-existing structures and/or the limited level of stress  
126 perturbations caused by fluid injections (Schultz et al., 2016). Although fault  
127 structures in the study area have not been investigated as thoroughly as in the nearby  
128 Fox Creek area (e.g., Chopra et al., 2017; Corlett et al., 2018; Weir et al., 2018), it has  
129 been suggested that they correspond to widespread basement-rooted faults distributed  
130 along the Devonian reef margin (Schultz et al. 2016).

131 Until recently, a series of 187 small- and moderate-sized earthquakes (local  
132 magnitude,  $M_L$ , ranging 1.3–3.9) occurred between January 2018 and July 2021. We  
133 denote them as the Musreau Lake sequence (Figs. 2b-c; Yu et al., 2021). These  
134 anthropogenically accelerated earthquakes in the area with historical quiescence of  
135 background seismicity may provide a unique opportunity to investigate the potential  
136 role of fluid injection in the development process of a fault system. In the following,  
137 we show consistent observations, including fault traces delineated from local  
138 seismicity, earthquake focal mechanisms, and rupture directivity of earthquake  
139 sources, to document for the first time how long-term, large-scale fluid injections  
140 affect the local seismotectonic setting, facilitate the corresponding seismogenic  
141 process, and expedite the development of RSS.

142

## 143 **2. Data and Method**

144 Our analysis is based on the earthquake catalog compiled by the Alberta Geological  
145 Survey (AGS) for the study area between 2018/01/01 and 2021/07/16 with a total of  
146 187 events (Fig. 2b; Table S1). We collect event waveforms recorded by regional  
147 seismograph stations with the epicentral distance less than 250 km. The station  
148 distribution used in this study is shown in Fig. 2a.

149 First, we perform double-difference relocation to enhance the earthquake locations  
150 (Waldhauser, 2001; Waldhauser and Ellsworth, 2000; Yu et al., 2016). The relocation is  
151 constrained by travel time differences of P- and S-phase arrivals, which are obtained  
152 from both manual picking and waveform cross-correlation (see more details in Text S1).  
153 Number of available event pairs and details of parameter settings used in the relocation  
154 process are listed in Table S2. The CRUST 1.0 velocity model is used to calculate the  
155 theoretical travel times (Laske et al., 2013; Table S3). We also try another local velocity  
156 model developed by Eaton et al. (2018) for relocation of induced seismicity in the Fox  
157 Creek area, Alberta. The result has a higher value of travel time residual but the  
158 seismicity distribution appears to be consistent.

159 A total of 180 out of 187 events are successfully relocated, and the scattering of  
160 epicenters is significantly reduced (Fig. 2b). It is important to point out that (1) the  
161 relocation using only stations at regional epicentral distance provides more robust  
162 constraint on epicenter locations than focal depths, and (2) the absolute epicenter  
163 locations are not as accurate as relative locations since the double-difference method

164 relies on the travel time differences, especially along the NE–SW direction where  
165 azimuthal coverage is relatively poor (Fig. 2a). We obtain the relocation uncertainty  
166 through a bootstrap random replacement error estimation based on 1000 trials (Text S1;  
167 Waldhauser and Ellsworth, 2000; Yu et al., 2016, 2019). Among the 180 successfully  
168 relocated earthquakes, 18 events have epicentral errors larger than 1 km or vertical  
169 errors larger than 2 km, therefore, are excluded from further analysis.

170 Next, we use the open-source software *Grond* (Heimann et al., 2018) to estimate  
171 the moment tensor solutions of earthquakes with magnitude larger than 2. *Grond*  
172 performs a Bayesian bootstrap-based probabilistic joint inversion to find the optimal  
173 moment tensor and seismic moment as well as their model uncertainties by fitting  
174 respectively the shape of envelope, frequency and time domains of each waveform  
175 record (see more details in Text S2). Given that the isotropic component is negligible  
176 for IIEs in the Fox Creek area ( $< 4\%$ ; Wang et al., 2016), here we only resolve  
177 deviatoric moment-tensor solutions. That is, we only constrain the compensated linear  
178 vector dipole (CLVD) and the double-couple (DC) component under the assumption  
179 that the isotropic component is zero. A representative example of moment-tensor  
180 inversion is shown in Fig. S1.

181

### 182 **3. Result**

183 During the initial seismicity surge in 2019, the distribution of epicenters was highly  
184 correlated with the wastewater disposal (WD) activities in the area (Yu et al., 2021).  
185 Local seismicity remains active since then, during which more seismogenic structures  
186 may have been re-activated. To depict them explicitly, we look into the spatiotemporal  
187 distribution of earthquakes, their focal mechanisms, and the stress state along the  
188 hosting faults.

#### 189 **3.1 Spatiotemporal distribution of IIEs**

190 We first illustrate the temporal correlation between WD injections and the local  
191 earthquake pattern in the period of 2019–2021. As shown in Fig. 2c, the seismic  
192 response is highly related to injecting volumes at three WD wells (#486871, #488015,  
193 and #460875). The number of earthquakes jumped up either in the same months when  
194 all three wells increased injecting volumes (e.g., Aug 2019: 4 events, Dec 2019: 25  
195 events, Mar 2021: 11 events, and May 2021: 39 events), or in the following months  
196 when the injected volume increased sharply at wells #485871 and #488015 but

197 decreased slightly at well #460875 (e.g., May 2019: 5 events, and Oct 2020: 21  
198 events). Moreover, the number of induced events is not linearly proportional to the  
199 injected volume. Rather, it is a function of both injected volume and time. For  
200 example, no earthquake was induced during Aug 2018, Jan 2019, and Mar 2019 when  
201 the monthly injected volumes increased considerably (i.e., by an average of 8000 m<sup>3</sup>,  
202 19000 m<sup>3</sup>, 16000 m<sup>3</sup>, respectively, Fig. 2c). In contrast, a surge of seismicity with 39  
203 events in total was observed in May 2021 when the amount of injected volume was  
204 increased by only 3400 m<sup>3</sup>. Another 11 events were induced in the following month of  
205 June 2021.

206 Spatially, the enhanced relocation result shows that all events clustered near the  
207 injection wells (Fig. 2b). All WD wells target aquifers beneath the Winterburn group.  
208 Specifically, the injection depth of well #460875 is 4.4 km, i.e., about 0.4 km deeper  
209 than the other wells. Based on the sequence of Devonian stratigraphic and  
210 hydrostratigraphic layers, Yu et al. (2021) infers that well #460875 targets the deeper  
211 Middle-Upper Devonian aquifer system, while the other wells target the shallower  
212 Upper Devonian aquifer system. The two aquifer systems are separated by the  
213 Woodbend aquitard. Focal depth analysis further suggests that induced events  
214 clustered at injection depths. We refer readers to Yu et al. (2021) for more details  
215 about injection operations, and the corresponding earthquake triggering hypotheses.

216 To reveal more detailed geometrical features of the seismicity distribution, we  
217 present the relocated events chronologically in Figs. 3a-c. In 2019, active  
218 injection-related seismicity began to appear in the historically seismically quiet area.  
219 The overall seismic pattern consists of three clusters located ~1 km northward to well  
220 #485871, ~1 km northwest of well #488015 and proximal to well #460875,  
221 respectively (Fig. 3a). As we zoom-in, the latter two clusters respectively delineate  
222 one and two small fault segments, denoted as faults F1, F2 and F3 in Fig. 3d. Here we  
223 require at least ten earthquakes to perform a linear least-square regression. The three  
224 faults have similar length of ~2 km and orient approximately along the NNE–SSW  
225 direction (strike 24°, 19° and 17°, respectively). In 2020, seismicity near fault F1 was  
226 still active (Fig. 3b). The subsequent earthquakes highlight another structure that is  
227 sub-parallel to fault F1 (striking 12° vs 24°), denoted as fault F4 in Fig. 3d.  
228 Furthermore, later earthquakes in September–December 2020 diffusely distributed in  
229 the area between well #488015 and #460875 (Fig. 3b). Among them, two subgroups

230 are proximal to well #460875; the one on the west side was newly activated and the  
231 other one on the east side is compatible with fault F2 (Fig. 3d). In 2021, seismicity  
232 continued to occur near the three wells. Specifically, the subgroup to the west of well  
233 #460875 grew rapidly, and expanded to form an “L” shape, which could be delineated  
234 into the NNW–SSE-striking fault F5 and the E–W-striking fault F6 (Fig. 3c-d). The  
235 two faults are connected to faults F1–F4. The lengths of faults F5 and F6 are about  
236 twice of that of faults F1–F4 (Fig. 3d). For each fault, Table S4 lists the number of  
237 events used in the linear fitting process and the averaged distance between events and  
238 the fitted fault (as a parameter of fitting performance). Given the generally abundant  
239 data (10–65 events per structure) and small event-to-fault distances (0.2–0.3 km), the  
240 linear fitting results are deemed robust. Moreover, the spatial distribution of local  
241 seismicity seems to suggest more fault structures being reactivated. We do not attempt  
242 to image them as we require at least ten earthquakes to delineate a fault structure.  
243 Nevertheless, these relatively less well-defined structures are more or less parallel to  
244 F1–F4.

245

### 246 **3.2 Fault orientations and earthquake focal mechanisms**

247 In total, we resolved 31 deviatoric moment-tensor solutions after quality control  
248 (Text S2). Table S1 lists full details of event parameters, including origin time, source  
249 location and focal mechanism. On average, our moment-tensor solutions have a  
250 CLVD component of  $16\pm 12\%$ , comparable to the value of  $23\pm 17\%$  reported by Wang  
251 et al. (2016) for the 2015 Mw3.9 earthquake in Fox Creek, Alberta. The considerable  
252 CLVD component of IIEs could be associated with the fluid-driven opening/closing  
253 process of cracks (Frohlich, 1994), or the geometric complexity of the faults (e.g., Liu  
254 and Zahradnik, 2020; Yang et al., 2021), but will not be discussed further as it is  
255 beyond the scope of this study. Here we only use the more dominant DC component  
256 to infer the rupture mode.

257 In Fig. 3, we also plot the DC component of the 31 moment-tensor solutions. Over  
258 the whole study period, the most notable source feature is the co-existence of various  
259 rupture types in the study area. Specifically, fourteen events show oblique strike-slip  
260 mechanisms (events #23, #24, #32, #45, #62, #146, #147, #149, #157, #163, #178,  
261 #179, #184, and #185); nine events manifest as thrust faulting (#14, #25, #36, #42,

262 #94, #112, #161, #166, and #175); and eight are normal-faulting events (#26, #46,  
263 #95, #96, #111, #127, #153, and #156).

264 Despite the diverse faulting types, 27 out of the 31 focal mechanisms are  
265 compatible with a NE–SW compressional stress regime. The remaining 4 focal  
266 mechanisms (events #94, #111, #153, #163) manifest a conjugate stress regime (i.e.,  
267 NW–SE compression), which, according to our further quality tests (see more details  
268 in Text S3; Fig. S2), is unlikely to be an inversion artifact. We will further discuss the  
269 rupture complexity of these four events in Section 4.3.

270 The orientation of individual faults outlined by seismicity are generally comparable  
271 to the strikes of resolved nodal planes. For examples, when comparing focal  
272 mechanisms of twelve  $M_L \geq 3$  earthquakes with delineated faults F1–F6 (Fig. 3d), one  
273 nodal plane of event #184 is parallel to fault F1 ( $207^\circ$  vs  $24^\circ$ ), so is event #32 to fault  
274 F2 ( $197^\circ$  vs  $19^\circ$ ), event #178 to F4 ( $12^\circ$  vs  $12^\circ$ ), event #14 to F5 ( $342^\circ$  vs  $340^\circ$ ) and  
275 event #146 to F6 ( $76^\circ$  vs  $80^\circ$ ). However, we notice an interesting pattern for fault F6  
276 (strike =  $80^\circ$ ). There are four focal mechanisms that can be associated with this fault  
277 (events #24, #147, #149, and #157). All of them appear to have one nodal plane  
278 oriented  $\sim 15^\circ$  clockwise from the strike of fault F6 (Fig. 3d;  $\sim 95^\circ$  vs  $80^\circ$ ). The two  
279 remaining strike-slip events (#62 and #185) also have one of their nodal planes  
280 striking approximately  $95^\circ$ .

281

### 282 **3.3 Emerging Riedel shear structures (RSS)**

283 The facts that (1) the vast majority of focal mechanisms with diverse faulting types  
284 are consistent with one regional stress regime and (2) six out of the twelve  $M_L \geq 3$   
285 oblique strike-slip events consistently have one nodal plane deviated  $15^\circ$  from the  
286 strike of fault F6, lead us to hypothesize that the overall seismic pattern since 2018 in  
287 the study area is a manifestation of emerging RSS.

288 Next, we infer the geometry of RSS based on the strikes of resolved fault planes.  
289 We assume that all induced earthquakes here occurred along pre-existing faults. That  
290 is, sub-structures of RSS are reactivated, rather than newly created, by the fluid  
291 injections. Therefore, the internal friction angle ( $\varphi$ ) should be the same as the  
292 frictional coefficient. In our case, since we have limited constraint on the value of  $\mu$   
293 in our study area (Shen et al., 2019b), we simply assume it with a typical value of 0.6  
294 for the host rocks mainly composed of sandstone, siltstone, and limestone

295 (Buschkuehle and Michael, 2006). The equivalent angle of friction  $\phi$  is  $30^\circ$  (Barton  
296 and Choubey, 1977). We prescribe a model of RSS using the azimuth of PDZ, since  
297 other sub-structures can be expressed with the PDZ and  $\phi$  (Fig. 1). Specifically, we  
298 conduct a grid search to find the optimal orientation of PDZ, in the range of  $20^\circ$ – $70^\circ$   
299 (i.e.,  $45^\circ \pm 25^\circ$ ) deviated from  $S_{Hmax}$ , with an increment of  $1^\circ$  (Fig. S3). We set the  
300 azimuth of  $S_{Hmax}$  as  $N38^\circ E$ , predicted from available borehole breakout measurements  
301 (Shen et al., 2019a). The solution with the lowest root-mean-square (RMS) error is  
302 considered best-fitting (in our case the strike of PDZ is  $N94^\circ E$ ). An alternative RSS  
303 model with a nearly N–S striking PDZ ( $N12^\circ W$ ) might also be plausible, though with  
304 a relatively higher RMS error. Detailed evaluations between the two RSS models are  
305 available in the following Section 4.2, based on which we propose that the model with  
306 E–W striking PDZ is more favored. Further analysis and discussion will be mainly  
307 focused on the E–W striking model.

308 In Fig. 4, we project focal mechanisms to the E–W striking model of RSS. Seven  
309 strike-slip events are considered to rupture along the PDZ, namely, #24, #45, #62,  
310 #147, #149, #157, and #185. The corresponding strike of structures R and R' are  
311 respectively  $79^\circ$  and  $19^\circ$ . Three resolved strike-slip events likely ruptured along these  
312 two structures, namely, #146 along structure R and #32 and #178 along structure R'.  
313 Four other strike-slip events (#23, #163, #179 and #184) may have ruptured along  
314 structure P, which has a strike of  $109^\circ$ . Six normal events (#26, #46, #95, #96, #127,  
315 and #156) are associated with tension fractures (in green areas), whereas eight thrust  
316 events (#14, #25, #36, #42, #112, #161, #166, and #175) likely occurred along the  
317 compressional textures (in pink areas).

318 Furthermore, faults outlined by the epicenter distribution are consistent with the  
319 orientation of structures R and R'. Specifically, faults F1–F4 are roughly parallel to R'  
320 and fault F6 aligns with R. Fault F5 most likely manifests one of the compressional  
321 structures that host thrust-faulting events.

322

### 323 **3.4 Geomechanical analysis of different earthquake rupture types**

324 In order to evaluate the cumulative stress perturbations caused by long-term fluid  
325 injection, we conduct a retrospective Mohr-Coulomb failure analysis upon the  
326 resolved earthquake focal mechanisms. We first describe the local stress state near  
327 focal depths. According to the precise focal depths constrained by the timing of local

328 and regional depth phases, Yu et al. (2021) suggest that most earthquakes cluster near  
329 the injection depths of  $\sim 4$  km. The average focal depth differences of the three  
330 faulting types are all  $< 1$  km (Table S1), which is within the depth uncertainty of up to  
331 2 km. Therefore, here we simplify the vertical distribution by assuming that all the  
332 events occurred near the 4-km depth. Next, we look into the principal stresses at the  
333 4-km depth. Shen et al. (2019a) provides a 3D predictive model of stress state  
334 distribution in a 150 km-by-150 km area centred near Fox Creek, which encompasses  
335 our study area along its west boarder. The model is developed by kriging of borehole  
336 observations and validated by focal mechanisms. Based on their results, the principal  
337 stresses  $S_{Hmax}$ ,  $S_V$  (the vertical stress) and  $S_{Hmin}$ , at the 4-km depth in our study area  
338 (centered at  $-118.5^\circ\text{E}$ ,  $54.5^\circ\text{N}$ ) would be, respectively, 110.41 MPa (with values  
339 ranging from 96.66 to 140.08 MPa),  $94.57 \pm 3.66$  MPa, and  $86.49 \pm 3.46$  MPa; and  
340 the pore pressure ( $P_p$ ) is  $76.87 \pm 3.46$  MPa. The comparable values of  $S_{Hmin}$  and  $P_p$   
341 suggest a state of considerable overpressure. We use the center value of the three  
342 principal stresses, and assume  $P_p$  to be 74.87 MPa to determine the critical stress state  
343 (i.e., the Mohr circle intercepts the failure criteria). Specifically,  $S_1$  (i.e.,  $S_{Hmax} - P_p$ ),  $S_2$   
344 (i.e.,  $S_V - P_p$ ), and  $S_3$  (i.e.,  $S_{Hmin} - P_p$ ) are 35.5, 19.7, and 11.6 MPa, respectively (Fig.  
345 5). Although a direct measurement of  $P_p$  in our study area is unavailable, the  
346 close-to-failure stress state along the preferred orientation seems to suggest that the  
347 adopted  $P_p$  value is reasonable.

348 Next, we calculate the corresponding normal and shear stresses along the resolved  
349 nodal planes (see more details in Text S4; Fig. S4). The Coulomb failure stress change  
350 ( $\Delta\text{CFS}$ ) combines effects from normal stress change ( $\Delta\sigma_n$ ), shear stresses change ( $\Delta\tau$ )  
351 and pore pressure change ( $\Delta P_p$ ), defined as  $\Delta\text{CFS} = \Delta\tau + \mu(\Delta\sigma_n - \Delta P_p)$ , where  $\mu$  is  
352 the frictional coefficient (King et al., 1994). As shown in Fig. 5, the amount of stress  
353 perturbations ( $\Delta\text{CFS}$ ) required for seismic rupture can be obtained by measuring the  
354 distance to the failure criteria. We assume each strike-slip event ruptures along the  
355 nodal plane approximately parallel to the sub-structures of the inferred RSS model  
356 (Fig. 4). For normal or thrust events, we calculate the stress state along both nodal  
357 planes, and consider the one with smaller  $\Delta\text{CFS}$  as the favored rupture plane.

358 As shown in Fig. 5, the inferred  $\Delta\text{CFS}$  varies from 0.3 MPa to 10.0 MPa. Among  
359 the three different faulting types, the mode of thrust faulting is most prone to seismic  
360 failure, followed by normal faulting. Strike-slip faulting, in general, requires the

361 largest stress perturbations. Specifically,  $\Delta\text{CFS}$  of the thrust-faulting events ranges  
362 between 0.3 and 0.8 MPa with an average of 0.5 MPa. For normal-faulting events, it  
363 ranges between 2.2 and 3.9 MPa, averaged 3.1 MPa. For strike-slip events, the range  
364 and mean value are 0.8–10.0 and 5.9 MPa, respectively. It is worth noting that the  
365  $\Delta\text{CFS}$  values of strike-slip events with  $M_L \geq 3$  show no systematic difference from that  
366 of smaller events, suggesting that larger earthquakes do not exclusively occur on  
367 mature faults.

368

## 369 **4 Discussion and Implications**

### 370 **4.1 Active development of RSS driven by long-term injections**

371 Conventionally, RSS can be inferred from the surface rupture distribution after a  
372 major earthquake (e.g., Kato et al 2020; Luo et al., 2020). This study is probably the  
373 first to use RSS to explain a combination of many individual earthquakes in an area of  
374 active fluid injections. The reason that we can do this is because these earthquakes  
375 occur very closely in time (on a geological time scale), so that they can be viewed as  
376 belonging to the same event sequence. In contrast to naturally developed RSS,  
377 however, this "event sequence" is most likely the result of long-term WD injection.

378 Observations about the dramatic increase of local seismicity in the past few years  
379 (Fig. 2c), the co-existence of various faulting types on seismogenic structures with  
380 specific orientations (Fig. 4), and consistent fault segments highlighted by epicenters  
381 (Fig. 3d-e) collectively suggest that RSS are probably actively developing. According  
382 to the Mohr-Coulomb failure analysis, long-term injections probably have increased  
383 the  $\Delta\text{CFS}$  by as much as 10.0 MPa, bringing the local structures very close to the  
384 critically stressed state (Fig. 5; Lund Snee and Zoback, 2016). Consequently, as the  
385 injection continues, the area's seismic response became more sensitive to the total  
386 volume of injected fluids (Fig. 2c).

387 The developing process of RSS often initiates from low levels of cumulative  
388 displacement. Under the progressively shear stress loading, the structure R usually  
389 slips first, with or without its conjugate shear structure (i.e., the R') being established.  
390 The process is then followed by slips along the structure P, and eventually the PDZ  
391 forms. Regarding our case, strike-slip events along structures R, R', P and PDZ  
392 occurred almost synchronously. Among them, structures R and R' (respectively

393 corresponding to faults F6 and F1–F4, Fig. 3d-e) seem to host the largest number of  
394 earthquakes.

395 Overall, long-term WD injection in this area not only leads to the elevated local  
396 seismicity rate (Atkinson et al., 2020; Schultz et al., 2020), but also significantly  
397 expedites the spatial and temporal development of local RSS near the injection wells.  
398 It probably has played a determinant role in promoting RSS to a more mature state.  
399

#### 400 **4.2 An alternative model of RSS**

401 Since each double-couple solution has a pair of conjugate nodal planes, it is  
402 theoretically possible to obtain an alternative model of RSS with the mainly N–  
403 S-striking structures. The PDZ azimuth of the preferred N–S striking model is N12°W  
404 (Fig. S3), and corresponding azimuths of shear structures R, R' and P would be  
405 respectively N3°E, N63°E, and N27°W (Fig. S5). Next, we conduct a series of  
406 comparisons to evaluate the alternative N–S striking model and the E–W striking  
407 model.

408 Regarding the fitting performance, the E–W striking model has an RMS value ~32%  
409 smaller than that of the N–S model (4.0° vs 5.3°; Fig. S3). When we compare focal  
410 mechanisms with the two RSS models, we find that both models can explain the  
411 normal- and thrust-faulting events well, whereas the E–W model performs better in  
412 explaining the strike-slip events. Namely, normal-faulting events ruptured along  
413 tensile fractures whereas thrust-faulting events occurred within the compressional  
414 quadrants (Fig. 5, Fig. S5a). In the E–W striking model, all sub-structures (i.e., PDZ,  
415 R, R' and P) are manifested by corresponding strike-slip earthquakes with major  
416 events occurring along PDZ (Fig. 5). Furthermore, all strike slip events can be  
417 explained by the individual sub-structures of RSS. In the N–S striking model,  
418 however, only R and PDZ structures are reactivated by strike slip events, and four  
419 oblique strike-slip events are inconsistent with any of the RSS sub-structures (Fig.  
420 S5a). Another important difference is that all fitted faults agrees with the E–W  
421 striking model, as R' corresponds to faults F1–F4, R to F6, and F5 would host thrust  
422 ruptures. In contrast, the N–S striking model cannot justify most of the fitted faults,  
423 only that F5 is compatible with structure P.

424 Li et al. (2021) studied a total of 48 earthquakes from the same sequence, but for a  
425 shorter period between January 2018 and March 2020. They suggest that the sequence

426 occurred along an approximately NNW–SSE-striking structure, by considering (a) the  
427 three clusters during the emerging stage (Fig. 3a) appear to align in the NNW–SSE  
428 direction, (b) the consistently parallel orientation of nodal planes, plus (c) the  
429 widespread NW–SE-striking fault structures in the foreland basin area. However, the  
430 inference of a NNW–SSE-striking fault connecting three earthquake clusters could be  
431 erroneously drawn due to several factors. The foremost consideration is that the three  
432 clusters should be spatially correlated with the location of injection wells, which  
433 happened to align approximately NW–SE. The much fewer number of events  
434 analyzed by Li et al. (2021) also means a lack of sufficient spatial resolution to  
435 delineate detailed structures as shown in Fig. 3d-e. Moreover, their fault plane  
436 solutions were determined from the polarities and amplitudes of P and S phases that  
437 can be severely contaminated by background noise, especially for signals of smaller  
438 events at regional distances. The poor station coverage in the southwest quadrant of  
439 the source area further contributes to the uncertainty. These drawbacks become  
440 evident as the fault plane solution of the largest  $M_L3.9$  event has a relatively poor  
441 quality grade of “D” on a scale of A–E (Hardebeck and Shearer, 2008).

442 However, when comparing the two models with previous knowledge in the western  
443 Alberta region, the N–S striking model seems to be more favored than the E–W  
444 striking model. Firstly, the N–S striking model is compatible with the local  $S_{Hmax}$   
445 predicted from borehole measurements (N38°E; Shen et al, 2019a), as the angle  
446 between  $S_{Hmax}$  and PDZ of the N–S model (N12°W) is 50° but increases slightly to  
447 56° in the E–W striking model (PDZ in N94°E). Correspondingly, the inferred  $\Delta CFS$   
448 along fault planes of strike-slip events parallel to the N–S-striking model varies from  
449 1.6 MPa to 6.7 MPa, with a mean value of 4.2 MPa (Fig. S5b), which is about 1.7  
450 MPa closer to failure than those with the E–W model (5.9 MPa). Furthermore, high  
451 resolution seismic reflection survey in the nearby Fox creek area show that N–S  
452 striking planes are more dominant, and induced events there appear to occur along  
453 these planes (e.g., Chopra et al., 2017, Weir et al., 2019).

454 Despite the controversy, we consider the E–W striking model a valid alternative  
455 because (1) a small deviation ( $\sim 5^\circ$ – $10^\circ$  in this case, Fig. S3) in the direction of  $S_{Hmax}$   
456 from borehole breakouts and focal mechanisms is acceptable, as the latter is an  
457 indirect proxy with a comparable uncertainty, (2) the PDZ orientation (N94°E) is  
458 compatible with the regional  $S_{Hmax}$  (N43°E; Fig. S3), (3) it is possible for the

459 long-term fluid injection to cause a  $\Delta$ CFS up to 10 MPa (e.g., Shen et al., 2019a),  
460 especially when structures hydraulically connected to the fractured zone are  
461 reactivated to allow more effective fluid migration, and (4) RSS with PDZ striking E–  
462 W are suggested by high-resolution magnetic surveys in local areas within the WCSB,  
463 where a similar NE–SW compressional stress regime is prevailing (e.g., Peirce et al.,  
464 2014; Hillacre et al., 2017).

465 A summary of aforementioned comparisons is provided in Table 1. Overall, the E–  
466 W striking model can better justify the observed earthquake sequence, while the N–S  
467 one is more consistent with previous knowledge in the western Alberta region. To  
468 consider all the pros and cons of the two models, we cautiously propose that the E–W  
469 striking model is more favored here. Regardless the choice, the preference of either  
470 model will not influence the highlight of this study, that is, to document a clear case  
471 of local fault system development/reactivation expedited by long-term fluid  
472 injections.

473 To further investigate the geometrical configuration of seismogenic structures  
474 associated with the observed seismicity, we conduct rupture directivity analysis for all  
475 events in the sequence. Five of them have sufficient data quality to give meaningful  
476 results (more details in Text S5). As shown in Fig. S6, all the resolved rupture  
477 propagations are consistent with the orientation of the respective focal mechanisms,  
478 including one strike-slip event (#32), one thrust event (#175) and three  
479 normal-faulting events (#95, #96, #156). The consistency between rupture directivity  
480 and focal mechanism would strengthen our inference of the co-existence of various  
481 faulting types, and thus the proposed RSS model. In the nearby Fox Creek area,  
482 Holmgren et al. (2019) also report a mixture of at least 3 different rupture azimuths  
483 for small and moderate-sized events ( $M_L$  2.3–4.4), including N–S, NE–SW parallel to  
484 the regional  $S_{Hmax}$ , and NW–SE parallel to  $S_{Hmin}$ , which could be compatible with the  
485 proposed RSS model as well (Fig. 4).

486 Nevertheless, we note that the lineup of earthquakes with similar focal mechanisms  
487 in neither of the two RSS models (Fig. 4 or Fig. S5) would faithfully follow the  
488 distribution of epicenters shown in Fig. 3. For examples, the epicenters of normal-  
489 and thrust-faulting events do not necessarily scatter between the major strike-slip  
490 structures. One possible explanation is that there may be more than one Riedel shear

491 zone concurrently in development due to injections at multiple wells. Epicentral  
492 location error can also contribute, at least partially, to this mismatch.

493

#### 494 **4.3 Events with inconsistent sense of slip**

495 We observed four events whose focal mechanisms indicate a conjugate stress  
496 regime (P-axis rotates by  $90^\circ$ ) to the local stress field, i.e., events #94, #111, #153 and  
497 #163; Fig. 4). Similar observations have been reported for micro-sized earthquakes  
498 (magnitude ranging between -3 and 2) during hydraulic fracturing operations (e.g.,  
499 Eyre and Van de Baan, 2018; Chambers et al., 2017; Staněk and Eisner, 2013). One of  
500 the proposed interpretations might be applicable to our case, as injected fluid is  
501 capable of opening fractures in close proximity of the injection wells. Such  
502 volumetric change would further cause dislocations along the nearby weak planes,  
503 along which the sense of slip only depends on their relative locations to the fracture,  
504 thus allowing opposite shear motion to occur (Eyre and Van de Baan, 2018; Staněk  
505 and Eisner, 2013).

506 A viable explanation is that these four back-propagating ruptures manifest local  
507 stress adjustments during the period of intense seismicity. Similar examples of  
508 reversed polarity were documented in the Changning block, Sichuan, China between  
509 2014 and 2019, when the peak level of induced seismicity was observed (Lei et al.,  
510 2019).

511

#### 512 **4.4 Applications to other areas in the WCSB with large-scale injections**

513 Although this is a case study focusing on a local area in Alberta, our inference of  
514 the active development of RSS could be applicable to other intraplate settings where  
515 large-scale injections also expedite the evolving process of local and regional fault  
516 systems. Specifically, the regional geology in northeastern BC and western Alberta is  
517 mainly featured by the Rocky Mountain foreland basin with the underlying  
518 Precambrian basement where the orientation of pre-existing shear zones/faults is  
519 mainly in the NW–SE direction (Ross et al., 1994). Under the modern NE–SW  
520 compressional stress regime, the expected mode of rupture at shallow depths would  
521 be thrust. It requires extra stress loading to initiate strike-slip faulting, of which the  
522 preferred orientation is either E–W or N–S (Figs. 5, S5b). Thus, a change of the

523 faulting pattern from co-existence of thrust and strike-slip events to purely strike-slip  
524 might manifest the development of a young shear fault system (i.e., RSS).

525 In addition to our study area, we notice a similar change of faulting pattern in the  
526 Fox Creek area, another induced seismicity hotspot in the WCSB. In this area, IIEs  
527 that occurred before January 2015 show a mixture of normal- and thrust-faulting  
528 mechanisms (Eaton and Mahani, 2015; Kao et al., 2012; Zhang et al., 2016), while  
529 events occurred afterwards are exclusively strike-slip with nodal planes striking E–W  
530 or N–S (Wang et al., 2016; Schultz et al., 2017; Zhang et al., 2019). We speculate that  
531 large-scale injection operations in Fox Creek, Alberta, might have also expedited the  
532 development of local fault systems.

533 Another possible case of active development of RSS is in the Dawson Creek area  
534 of British Columbia, ~200 km NW of our study area (Fig. 2). Although reliable  
535 moment tensor solutions for minor to moderate-sized IIEs in this area are not  
536 available until 2017, due to the relatively poor station coverage in this part of Canada,  
537 earthquakes before 2014 seem to be purely thrust-faulting (Kao et al., 2018), while  
538 during the more recent period of 2017–2020, the portion of thrust-faulting ruptures  
539 dropped to only 22% (the remaining 78% are mostly strike-slip events, Roth et al.,  
540 2022). The co-existence of different faulting types is also compatible with the limited  
541 number of rupture directivity observations in the region (Holmgren et al., 2019).

542 At last, our case study shows that the spatiotemporal distribution of various faulting  
543 types in an anthropogenic environment can provide new insights into the recognition  
544 of Riedel shear zones. In particular, long-term injection could efficiently build up the  
545 stress level near injection sites to expedite fault development. A possible consequence  
546 of this process is the increasing maturity of primary fault segments that may be able to  
547 host large earthquakes. For example, the length of seismicity distribution along faults  
548 F1–F4 is on the order of 1–2 km, which is comparable to the dimension of RSS  
549 reported by post-earthquake measurements from field surveys (e.g., Hsu et al., 2019;  
550 Luo et al., 2020) and typical for an M4 earthquake according to the Brune model  
551 (Stein and Wysession, 2003). Such an inference is remarkably consistent with the  
552 largest event in the sequence ( $M_L$ 3.9, #24). If we assume the maximum length of the  
553 individual Riedel shear zone to be 5–10 km (i.e., across the entire seismicity area),  
554 then a throughout rupture in this area could lead to a damaging earthquake with  $M_L$

555 between 5 and 6. Therefore, it is probably important to consider the overall dimension  
556 of local/regional RSS in the assessment of seismic hazard due to IIEs.

557

## 558 **5 Conclusion**

559 In this study, we investigate source characteristics of an injection-induced  
560 earthquake sequence consisting of 187 events ( $M_L$  1.3–3.9) in western Canada with  
561 no historical background seismicity. We first show that the relocated distribution of  
562 epicenters delineates six seismogenic faults (F1-F6) ranging from 2 to 5 km in length.  
563 Next, we are able to resolve moment-tensor solutions for 31 events, and the results  
564 exhibit various types of faulting, including thrust, normal and strike-slip. The vast  
565 majority of derived focal mechanisms (27 out of 31) are consistent with the  
566 background stress regime where the maximum horizontal stress ( $S_{Hmax}$ ) is oriented  
567 N38°W.

568 We propose a Riedel shear model to understand the co-existence of various rupture  
569 types. Constrained by the orientation of derived nodal planes, the inferred Riedel  
570 shear structures (RSS) coincide with the background stress orientation. Specifically, it  
571 consists of four primary strike-slip structures respectively striking 19° (R'), 79° (R),  
572 94° (PDZ) and 109° (P). Moreover, the six seismically highlighted fault segments  
573 (F1-F6) are parallel to the sub-structures of RSS.

574 Retrospective Mohr-Coulomb failure analysis further suggests that the cumulative  
575 Coulomb stress change ( $\Delta CFS$ ) associated with long-term injection could reach up to  
576 9.1 MPa, which brings faults with different rupture types very close to failure.  
577 According to derived nodal planes, thrust faulting is most prone to seismic failure  
578 (requires an average  $\Delta CFS$  of 0.5 MPa), followed by normal faulting (3.1MPa), and  
579 strike-slip faulting requires the largest stress perturbations (5.9MPa).

580 Overall, our study suggests that long-term fluid injection could reactivate and  
581 promote RSS near the injection wells to a more mature state, expediting the  
582 development of local fault systems. In this context, the dimension of local/regional  
583 RSS could be a critical parameter in the assessment of the overall seismic hazard due  
584 to fluid injections. Observationally, the clustered distribution of various faulting types  
585 in an anthropogenic environment can provide new insights into the recognition of  
586 Riedel shear zones.

587

588 **Acknowledgements**

589 Earthquake catalog is downloaded from the webpage  
590 [https://ags-aer.maps.arcgis.com/apps/webappviewer/index.html?id=4fbf09ad8bf940a](https://ags-aer.maps.arcgis.com/apps/webappviewer/index.html?id=4fbf09ad8bf940a9b3945e9c9ab57c78)  
591 [9b3945e9c9ab57c78](https://ags-aer.maps.arcgis.com/apps/webappviewer/index.html?id=4fbf09ad8bf940a9b3945e9c9ab57c78) Last Access: July 16, 2021. Waveforms from the TransAlta  
592 network (FDSN codes TD), Canadian National Seismic Network (FDSN codes  
593 CN/PQ and 1E), Regional Alberta Observatory for Earthquake Studies Network  
594 (FDSN code RV), and EON-ROSE Network (FDSN network code EO) can be  
595 obtained from the Data Management Center of the Incorporated Research Institutions  
596 for Seismology (IRIS; <https://ds.iris.edu/ds/nodes/dmc/>). Raw data from the McGill  
597 University Dawson-Septimus Induced Seismicity Study Network (FDSN code XL)  
598 can be available at IRIS after a 2-year embargo period. Well locations and operation  
599 parameters can be obtained through a subscription to the geoLOGIC database at  
600 <https://www.geologic.com/>. Earthquake waveforms and well information used in this  
601 study are available at <https://zenodo.org/record/6169096#.YhEzI-7MKys>.  
602 Open-source software hypoDD and Grond can be respectively downloaded from  
603 <https://www.ldeo.columbia.edu/~felixw/hypoDD.html> and  
604 <https://pyrocko.org/grond/docs/current/>. This paper is NRCan contribution 2022xxxx.

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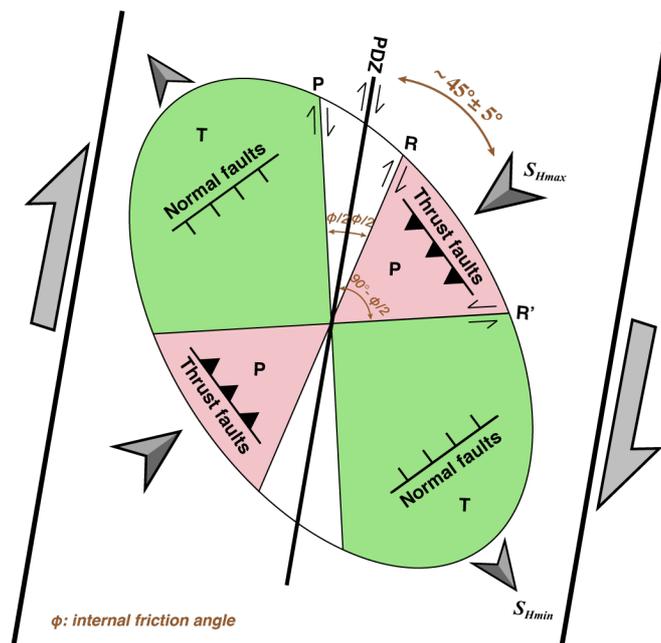
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835 **Figures**

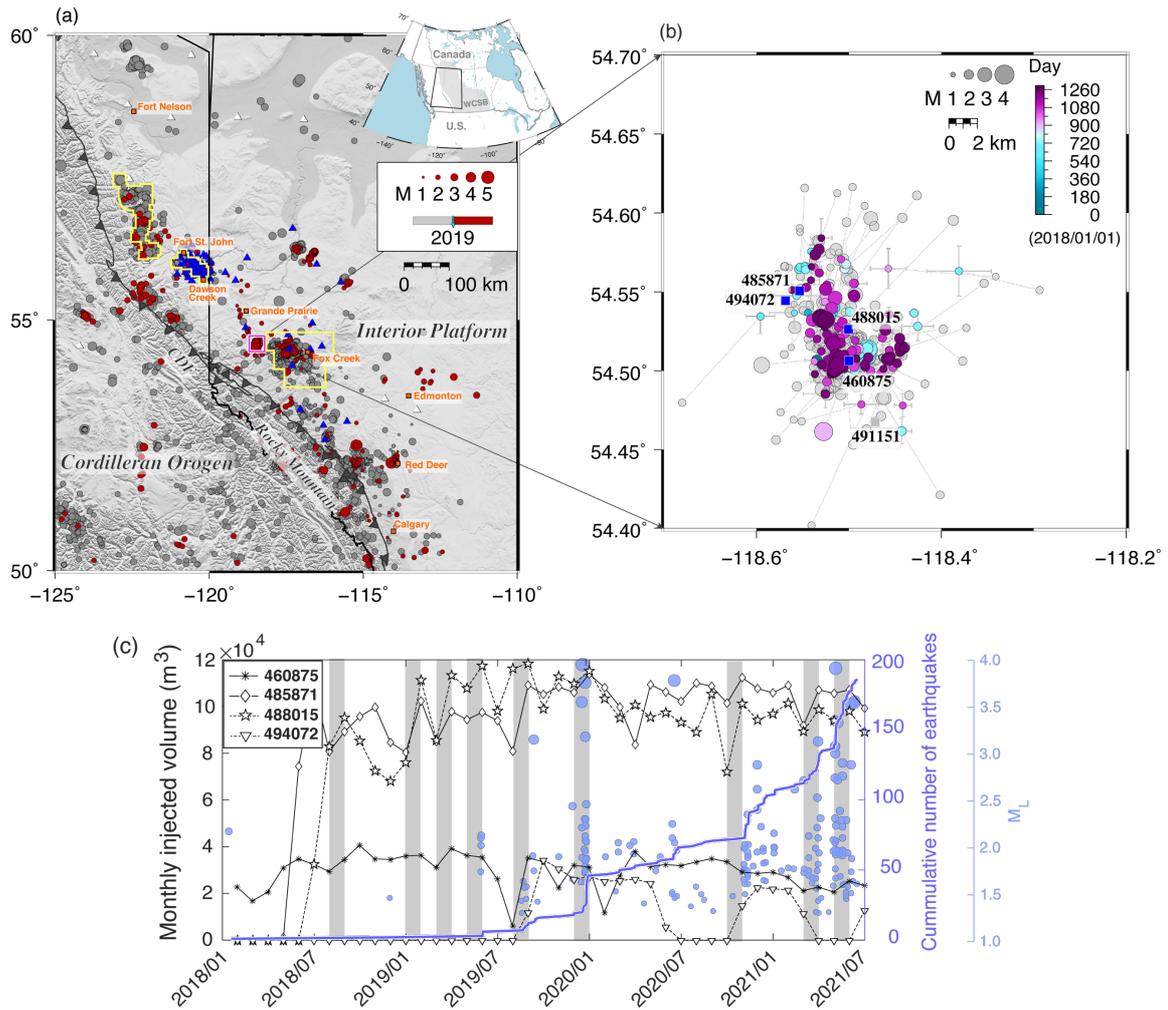
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838 **Figure 1.** Schematic plot of an ideal Riedel shear zone. PDZ: principal displacement  
839 zone. The azimuthal differences between PDZ and  $S_{Hmax}$ , shear structures R, R' and P  
840 are denoted separately. Pink and green areas are compressional and tensile quadrants,  
841 respectively.

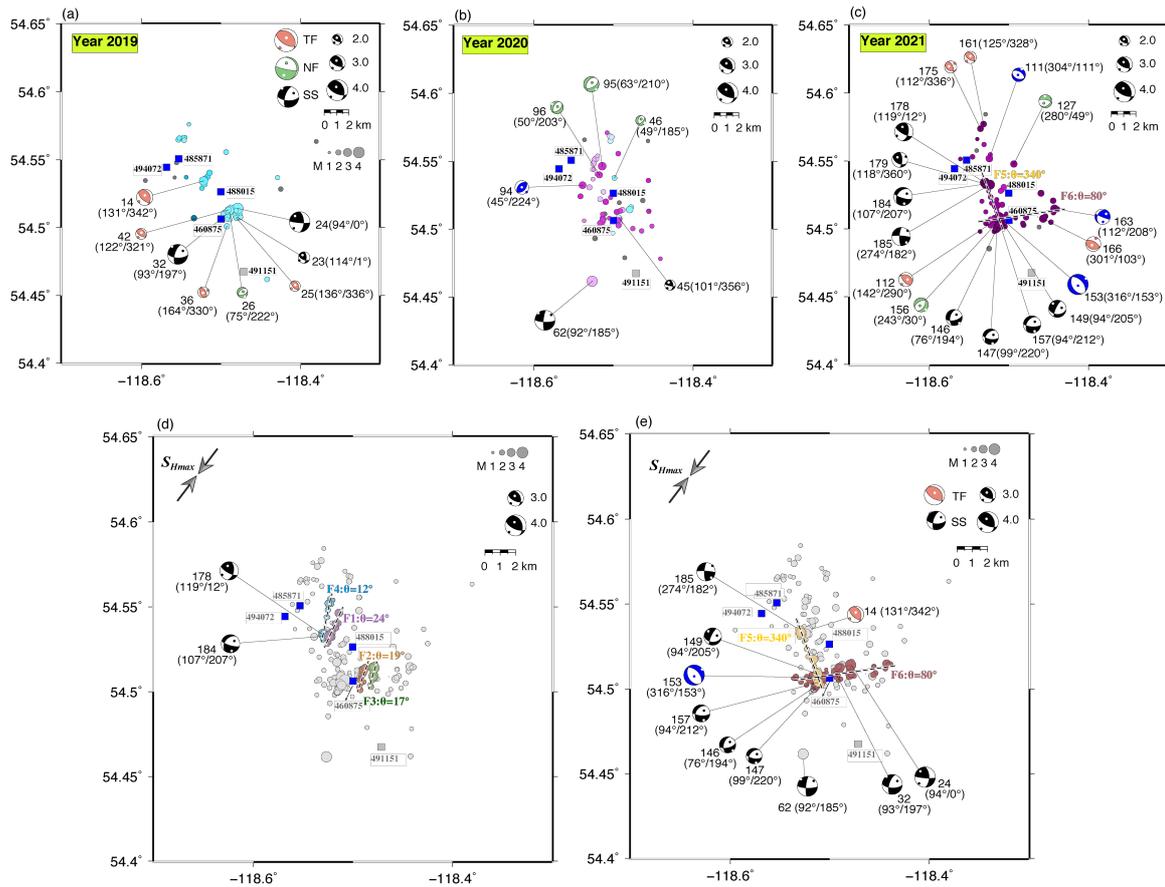
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844 **Figure 2.** (a) Map of seismicity and seismograph in western Canada. Earthquake  
 845 catalog (2006/09–2021/07) is reported by Natural Resources Canada and Alberta  
 846 Geological Survey (AGS). Gray/red circles: 2139/885 earthquakes occurred before  
 847 and after 2019/01/01, respectively. Circle size scales the magnitude. Blue/open  
 848 triangles: regional stations being used/unused in this study, depending on the  
 849 epicenter distance. Areas depicted by yellow lines: enhanced monitoring zones in  
 850 Alberta and British Columbia. Pink rectangle: study area. Gray curves: Cordilleran  
 851 deformation front. Inset map shows locations of Western Canada Sedimentary Basin  
 852 (WCSB, pink area) and the main map (black rectangle). (b) Distribution 180 relocated  
 853 earthquakes. Dot color and size corresponds to the origin time and magnitude,  
 854 respectively. Error bars correspond to location uncertainty. Gray dots: initial  
 855 hypocenter locations. Blue/gray squares: wastewater disposal wells active/suspend  
 856 during the period of 2018–2021. (c) Temporal comparison between monthly WD  
 857 injected volumes of different wells (black curves) and induced earthquakes. Gray  
 858 shaded areas: periods of increasing monthly injected volume at three seismogenic

859 injection wells #460875, #485871, #488015. Purple curve: cumulative number of  
860 earthquakes. Blue dots: earthquake magnitude distribution. Circle size scales with  
861 magnitude.  
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**Figure 3.** Relocated epicenters and focal mechanisms during (a) Year 2019, (b) Year

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2020 and (c) Year 2021. The dots are relocated events shown in Fig. 2b, except that

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epicentral uncertainty larger than 1 km are shown as gray dots. Black, pink, green

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beach balls refer to strike slip, thrust, and normal faulting mechanisms, respectively.

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Blue beach balls are focal mechanisms with opposing stress regime. Event ID and

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strike of two nodal planes are marked. (d-e) Geometrical comparison between

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seismically delineated faults and focal mechanisms. Linear structures (F1–F6, dashed

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line) fitted by earthquake subgroups, with strike angle  $\theta$  marked. The projection

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distance for F1–F5 is within 0.2 km and for F6 is 0.5 km, respectively. Events used

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for fitting individual faults are colored differently. Focal mechanisms of M<sub>L</sub>3+ events

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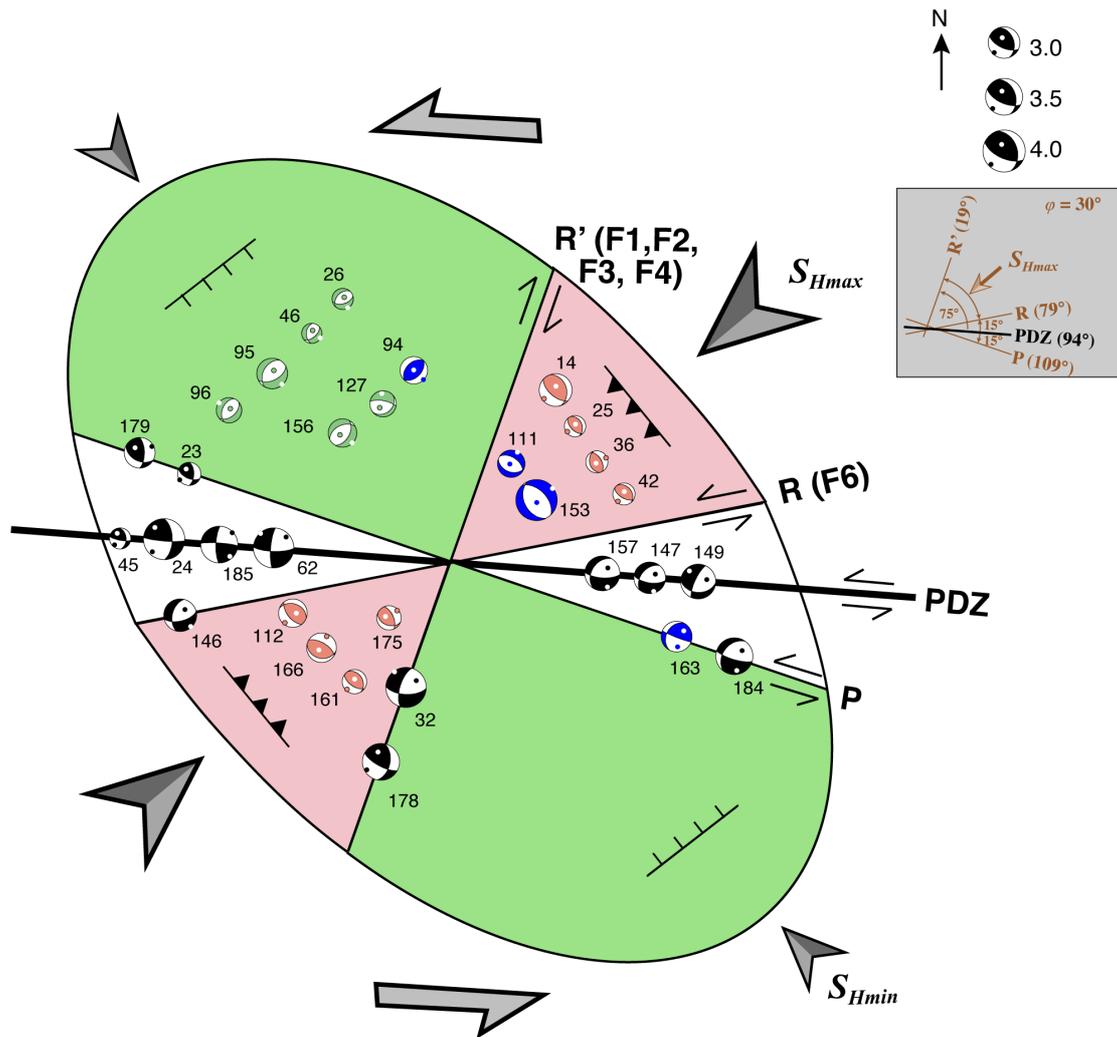
are plotted. The orientation of  $S_{Hmax}$  (N38°E) predicted from borehole breakouts is

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marked (Shen et al., 2019a).

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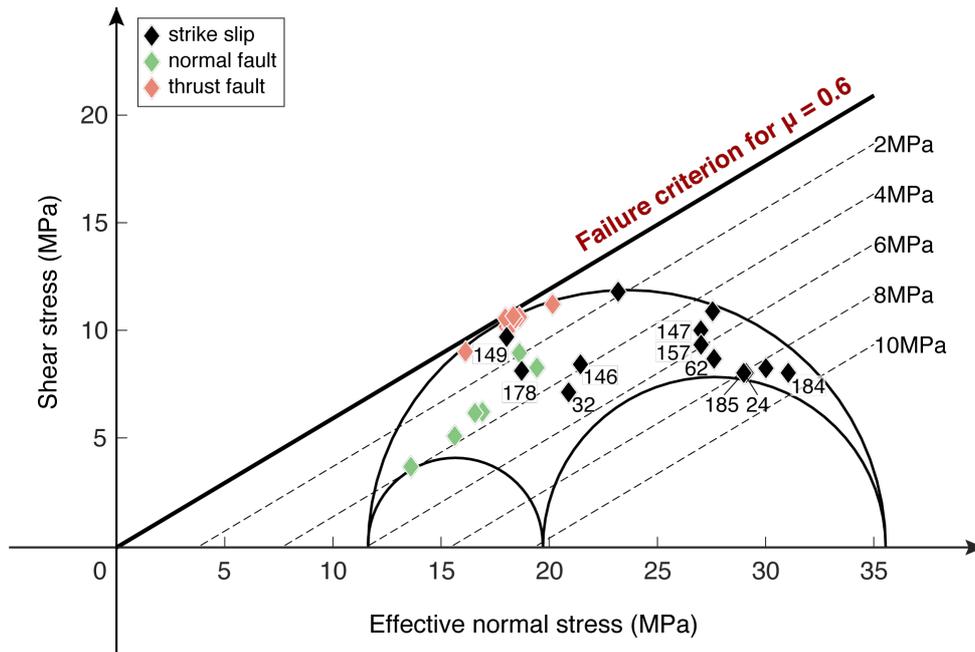
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879 **Figure 4.** Fitted model of RSS with nodal planes of strike-slip focal mechanisms. The  
 880 beach balls are listed according to the stress regime or the geometry of nodal planes.  
 881 The color of beach balls is consistent with Fig. 3. Pink/Green areas:  
 882 compression/tension zone. The orientation of structures is indicated on the top right  
 883 panel.

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888 **Figure 5.** Mohr-Coulomb failure stress analysis. Mohr circle: stress conditions in the  
889 study area. Black diamonds mark stress state of strike slip events, assuming ruptured  
890 along the preferred nodal plane indicated in Fig. 4. Event ID of  $M_L3+$  events are  
891 marked. Green and pink diamonds mark stress state of normal and thrust fault events,  
892 respectively, assuming the nodal plane closer to failure as the fault plane. Dashed  
893 lines are reference lines of  $\Delta CFS$  required towards failure.

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895 **Table 1.** Evaluation of E–W striking and N–S striking RSS models. Check marks: the  
 896 model has a better performance/consistency and is preferred. Question marks: the  
 897 model is not preferred but still acceptable. Cross mark: the model does not fit well.  
 898 Specific information is detailed in the context. BH: borehole.

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<b>Factors</b>	<b>RSS models</b>	
	E–W striking	N–S striking
Fitting performance (RMS)	✓	?
Reactivation of RSS sub-structures	✓	?
Consistency with epicentral delineated faults	✓	×
Consistency with $S_{Hmax}$ from BH measurements	?	✓
Required stress perturbations ( $\Delta CFS$ )	?	✓
High-resolution structures in the WCSB	✓	✓

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901