

1 **COVID-19 PANDEMIC REVEALS PERSISTENT DISPARITIES**
2 **IN NITROGEN DIOXIDE POLLUTION**

3 GAIGE HUNTER KERR¹, DANIEL L. GOLDBERG^{1,2}, SUSAN C.
4 ANENBERG¹

5 ¹*Department of Environmental and Occupational Health, Milken Institute School*
6 *of Public Health, George Washington University, Washington, DC, 20052 USA,*
7 ²*Energy Systems Division, Argonne National Laboratory, Lemont, IL, 60439*
8 *USA*

9 1. ABSTRACT

10 The unequal spatial distribution of ambient nitrogen dioxide (NO₂), an air pol-
11 lutant related to traffic, leads to higher exposure for minority and low socioeco-
12 nomic status communities. We exploit the unprecedented drop in urban activity
13 during the COVID-19 pandemic and use high-resolution, remotely-sensed NO₂
14 observations to investigate disparities in NO₂ levels across different demographic
15 subgroups in the United States. We show that prior to the pandemic, satellite-
16 observed NO₂ levels in the least white census tracts of the United States were
17 nearly triple NO₂ levels in the most white tracts. During the pandemic, the largest
18 lockdown-related NO₂ reductions occurred in urban neighborhoods that have 2.0
19 times more non-white residents and 2.1 times more Hispanic residents than neigh-
20 borhoods with the smallest reductions. NO₂ reductions were likely driven by the
21 greater density of highways and interstates in these racially and ethnically diverse
22 areas. Although the largest reductions occurred in marginalized areas, the effect of
23 lockdowns on racial, ethnic, and socioeconomic NO₂ disparities was mixed and, for
24 many cities, non-significant. For example, the least white tracts still experienced
25 ~ 1.5 times higher NO₂ levels during the lockdowns than the most white tracts
26 experienced prior to the pandemic. Future policies aimed at eliminating pollution
27 disparities will need to look beyond reducing emissions from only passenger traffic
28 and also consider other collocated sources of emissions such as heavy-duty trucks,
29 power plants, and industrial facilities.

E-mail address: gaigekerr@gwu.edu.

30

2. SIGNIFICANCE STATEMENT

31 We leverage the unparalleled changes in human activity during COVID-19 and
32 the unmatched capabilities of the TROPOspheric Monitoring Instrument to under-
33 stand how lockdowns impact ambient nitrogen dioxide (NO₂) pollution disparities
34 in the United States. The least white communities experienced the largest NO₂ re-
35 ductions during lockdowns; however, disparities between the least and most white
36 communities are so large that the least white communities still faced higher NO₂
37 levels during lockdowns than the most white communities experienced prior to
38 lockdowns despite a $\sim 50\%$ reduction in passenger vehicle traffic. Similar findings
39 hold for ethnic, income, and educational attainment subgroups. Future strategies
40 to reduce NO₂ disparities will need to target emissions from not only passenger
41 vehicles but other collocated on-road and stationary sources.

42

3. INTRODUCTION

43 Adverse air quality is an environmental justice issue as it disproportionately
44 affects marginalized and disenfranchised populations around the world [Bell and
45 Ebisu, 2012, Landrigan et al., 2018, Schell et al., 2020, Demetillo et al., 2020].
46 Growing evidence suggests that these populations experience more air pollution
47 than is caused by their consumption [Nguyen and Marshall, 2018, Tessum et al.,
48 2019, Sergi et al., 2020]. Within the United States (U.S.), disparities in exposure
49 are persistent, despite successful regulatory measures that have reduced pollution
50 [Clark et al., 2017, Colmer et al., 2020]. Nitrogen dioxide (NO₂) is a short-lived
51 trace gas formed shortly after fossil fuel combustion and regulated by the National
52 Ambient Air Quality Standards under the Clean Air Act. Exposure to NO₂ is
53 associated with a range of respiratory diseases and premature mortality [Jerrett
54 et al., 2013, Anenberg et al., 2018, Achakulwisut et al., 2019]. NO₂ is also a pre-
55 cursor to other pollutants such as ozone and particulate matter [Stohl et al., 2015].
56 Major sources of anthropogenic NO₂, such as roadways and industrial facilities,
57 are often located within or nearby marginalized and disenfranchised communities
58 [Mohai et al., 2009, Rowangould, 2013], and disparities in NO₂ exposure across
59 demographic subgroups have been the focus of several recent studies [Hajat et al.,
60 2013, Clark et al., 2014, Clark et al., 2017, Demetillo et al., 2020].

61 In early 2020, governments around the world imposed lockdowns and shelter-in-
62 place orders in response to the spread of the coronavirus disease 2019 (COVID-19).
63 The earliest government-mandated lockdowns in the U.S. began in California on
64 19 March 2020, and many states followed suit in the following days. Changes
65 in mobility patterns indicate that self-imposed social distancing practices were
66 underway days to weeks before the formal announcement of lockdowns [Badr et al.,
67 2020]. Lockdowns led to sharp reductions in surface-level NO₂ [He et al., 2020,
68 Parker et al., 2020, Shi and Brasseur, 2020, Venter et al., 2020] and tropospheric
69 column NO₂ measured from satellite instruments [Bauwens et al., 2020, Ding et al.,

70 2020, Goldberg et al., 2020, Miyazaki et al., 2020, Parker et al., 2020] over the U.S.,
71 China, and Europe. According to government-reported inventories, roughly 60% of
72 anthropogenic emissions of nitrogen oxides ($\text{NO}_x \equiv \text{NO} + \text{NO}_2$) in the U.S. in 2010
73 were emitted by on-road vehicles [US Environmental Protection Agency, 2015], and
74 up to 80% of ambient NO₂ in urban areas can be linked to traffic emissions [Levy
75 et al., 2014, Sundvor et al., 2013]. As such, NO₂ is often used as a marker for
76 road traffic in urban areas. Multiple lines of evidence such as seismic quieting
77 and reduced mobility via location-based services point to changes in traffic-related
78 emissions as the main driver of reductions in NO₂ pollution during lockdowns due
79 to the large proportion of the population working from home [Diffenbaugh et al.,
80 2020, Lecocq et al., 2020, Venter et al., 2020].

81 Here we exploit the unprecedented changes in human activity unique to the
82 COVID-19 lockdowns and remotely-sensed NO₂ columns with unprecedented spa-
83 tial resolution and coverage to understand inequalities in the distribution of NO₂
84 pollution for different racial, ethnic, and socioeconomic subgroups in the U.S.
85 Specifically, we address the following: Which demographic subgroups received the
86 largest NO₂ reductions? Did the lockdowns grow or shrink the perennial dis-
87 parities in NO₂ pollution across different demographic subgroups? Although the
88 lockdowns are economically unsustainable, how can they advance environmental
89 justice and equity by informing long-term policies to reduce NO₂ disparities and
90 the associated public health damages?

91

4. RESULTS

92 Previous studies examining satellite-derived NO₂ found the highest levels in ur-
93 ban areas [Krotkov et al., 2016, Cooper et al., 2020, Goldberg et al., 2021], and
94 we find that these areas clearly stand out as NO₂ hotspots during our baseline
95 period (Figure 1a). NO₂ column densities averaged over all urban areas are ~ 2
96 times higher than over rural areas during the baseline period. Absolute differ-
97 ences in NO₂ between the baseline and lockdown periods (“drops”) show sharp
98 decreases over virtually all major metropolitan regions (Figure 1b). The use of
99 only spring 2019 for our baseline period stems from the short data record offered
100 by TROPOMI, and the slight increases in NO₂ in parts of the Great Plains and
101 Midwest during lockdowns ($< 0.5 \times 10^{15}$ molecules cm^{-2}) could reflect differences
102 in natural (e.g., soil, lightning, stratospheric NO_x) or anthropogenic sources of
103 NO₂ between the baseline and lockdown periods. We use 3 month periods for our
104 baseline and lockdown periods in lieu of a shorter timeframe in order to account
105 of daily, weekly, and monthly fluctuations in meteorology. Given that the largest
106 lockdown-related changes in NO₂ occur in urban areas and to avoid urban-rural
107 demographic gradients, we primarily focus on urban NO₂ changes and how these
108 changes impact different demographic subgroups in urban areas.

109 The largest urban NO₂ drops occur in census tracts that are more non-white and
110 Hispanic, have lower median household income, and have a higher proportion of

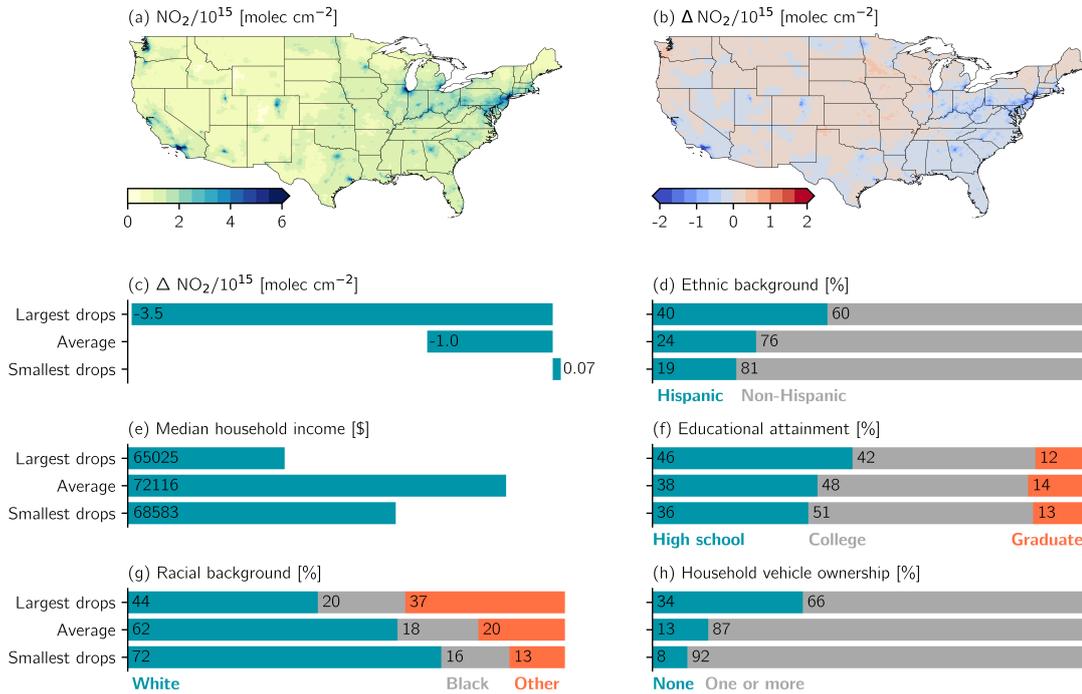


FIGURE 1. **Spatial distribution of NO₂ columns during the baseline and COVID-19 lockdown periods and apportionment of drops among different demographic subgroups.** (a) Census-tract average baseline NO₂ (13 March-13 June 2019). (b) Absolute difference between lockdown (13 March - 13 June 2020) and baseline NO₂ (ΔNO_2), where $\Delta \text{NO}_2 < 0$ corresponds to NO₂ drops during lockdowns. (c-h) Demographic data averaged over urban tracts with the largest drops (ΔNO_2 in first decile), all urban tracts, and urban tracts with the smallest drops (ΔNO_2 in the tenth decile). “Other” in (g) includes American Indian or Alaska Native, Asian, Native Hawaiian or other Pacific Islander, two or more races, and some other race. The census-designated concept of race differs from ethnicity, and the percentage of white residents in (g) includes individuals with Hispanic origin or descent.

111 their population without a vehicle or a post-secondary education compared with
 112 tracts with the smallest drops (Figure 1d-h). In tracts with the largest drops,
 113 there are ~ 2.0 times more non-white residents and ~ 2.1 times more Hispanic
 114 residents than in tracts with the smallest drops (Figure 1d, g). The differences
 115 in the “Other” category between tracts with largest and smallest drops (Figure
 116 1g) reflects differences in the Asian population (5% in tracts with the smallest

117 drops; 14% in tracts with the largest drops) and the proportion of the population
118 who does not identify as one of the census-designed racial categories (4% in tracts
119 with smallest drops; 19% in tracts with the largest drops). These results for urban
120 tracts also hold in all (urban and rural) tracts and rural tracts, despite the different
121 demographic composition of the population for these conglomerations (compare
122 Figures 1 and S1). Differences in distributions of demographic variables between
123 tracts with the largest versus smallest drops in Figure 1c-h are all statistically
124 significant.

125 Communities with lower income and educational attainment and a large propor-
126 tion of racial and ethnic minorities have faced higher levels of NO₂ and other pollu-
127 tants for decades [Hajat et al., 2013, Hajat et al., 2015, Clark et al., 2017, Colmer
128 et al., 2020, Schell et al., 2020], and we find that these communities experienced the
129 largest drops in NO₂ pollution during COVID-19 lockdowns. However, Figure 1
130 does not indicate how lockdown-related NO₂ drops grew or shrunk disparities, and
131 we next examine disparities in baseline and lockdown NO₂ in the most marginal-
132 ized versus least marginalized census tracts in the U.S.

133 In the baseline and lockdown periods, neighborhoods with lower income and
134 educational attainment and those with a higher proportion of minority residents
135 consistently face higher levels of NO₂ among all urban tracts across the U.S. and
136 in nearly all of the 15 largest metropolitan statistical areas (MSAs) in the U.S.
137 (Figures 2, S2). Rural tracts with the *highest* income and educational attainment,
138 however, have *higher* NO₂ levels than tracts with the lowest income or educa-
139 tional attainment (Figure 2b-c), and similar findings hold for specific MSAs (e.g.,
140 Riverside in Figure 2b, Atlanta in Figure 2c). Moreover, there are no significant
141 differences in NO₂ distributions for tracts with the highest versus lowest income
142 during the baseline period (Figure 2a).

143 When considering all census tracts (both urban and rural), the most pronounced
144 disparities, defined as the ratio of mean NO₂ for the marginalized subgroup to the
145 non-marginalized subgroup, are on the basis of race and ethnicity. The least white
146 tracts and most Hispanic tracts have 2.6 and 2.2 times greater baseline NO₂ levels
147 than the most white and least Hispanic tracts, respectively (Figures 2a, S2a, S3g).
148 These disparities persist when examining the individual MSAs in the U.S. For
149 example, baseline NO₂ in tracts with the lowest median household income in New
150 York and Los Angeles is 1.4 and 1.8 times higher, respectively, than tracts with
151 the highest income (Figures 2b, S2b).

152 The unprecedented change in human activity during COVID-19 lockdowns led
153 to mixed impacts on relative NO₂ disparities across different population subgroups,
154 depending on the demographic variable and MSA considered (Figures 2, S2).
155 Racial NO₂ disparities for all census tracts significantly decreased from 2.6 to
156 2.0 during lockdowns, and a majority of the featured MSAs experienced signifi-
157 cant reductions in their racial disparities (Figures 2a, S2a). Disparities for other
158 demographic variables, however, were less affected by lockdowns. For example, a

159 majority of MSAs had no significant reduction in disparities for different levels of
160 income and educational attainment (Figures 2b-c, S2b-c).

161 Although urban areas experienced broad drops in NO₂ during lockdowns with
162 the largest drops occurring in marginalized neighborhoods (Figure 1c-h), NO₂ dis-
163 parities in the baseline period were so large that even significant reductions in
164 disparities did not generally bring lockdown NO₂ levels for marginalized neigh-
165 borhoods to the levels experienced by non-marginalized neighborhoods during the
166 baseline period (Figures 2, S2). As an example: despite the unprecedented drop
167 in human activity during the COVID-19 pandemic, NO₂ levels in the least white
168 neighborhoods in New York and Chicago were $\sim 1 \times 10^{15}$ and $\sim 2 \times 10^{15}$ molecules
169 cm⁻² higher, respectively, during lockdowns than levels in the most white neigh-
170 borhoods during the baseline period. Houston, Washington, Philadelphia, and San
171 Francisco are notable exceptions to this result, and NO₂ levels for the least white
172 tracts during lockdowns fell below NO₂ levels for the most white tracts during the
173 baseline period in these cities. We observe similar results for population subgroups
174 based on ethnicity, income, and educational attainment (Figures 2, S2).

175 Within urban areas, we find that the magnitude of NO₂ drops is tightly coupled
176 to the density of nearby primary roads (highways and interstates). The density
177 of primary roads in urban tracts with the largest NO₂ drops (i.e., tracts in the
178 first decile) is ~ 9.5 times greater than in urban tracts with the smallest NO₂
179 drops (i.e., tenth decile) (Figure 3). The racial, ethnic, income, and educational
180 composition of tracts are also closely related to primary road density; urban tracts
181 with lower income and vehicle ownership and a larger percentage of racial and
182 ethnic minorities are located near a higher density of primary roads (Figure 3). The
183 difference in primary road density on the basis of vehicle ownership is especially
184 stark: tracts with the lowest vehicle ownership have a ~ 9.5 times higher primary
185 road density than tracts with the highest ownership. Similarly, the least white
186 tracts have a primary road density ~ 4.5 times higher than the most white tracts.
187 Educational attainment is the only demographic variable considered in this study
188 that exhibits a different relationship with primary road density, and we observe a
189 U-shaped relationship between these variables (Figure 3).

190 To better understand the impact of the lockdowns on NO₂ exposure disparities,
191 we consider case studies of individual cities: New York, Detroit, and Atlanta (Fig-
192 ure 4). Among individual neighborhoods in each of these cities, the magnitude of
193 NO₂ drops vary up to 50% above and below the citywide average (Figure 4a-c).
194 The portions of New York, Atlanta, and Detroit that received the largest drops
195 tend to have lower median household income and a high percentage of non-white
196 residents (Figure 4d-i). In New York the largest drops are concentrated in Harlem
197 and The South Bronx (Figure 4a), where the high concentration of major high-
198 ways and industrial facilities has been linked to disproportionate exposure to air
199 pollution [Patel et al., 2009]. The largest drops in Atlanta occur in the southwest-
200 ern part of the city where median household income generally is $< \$30000$ and

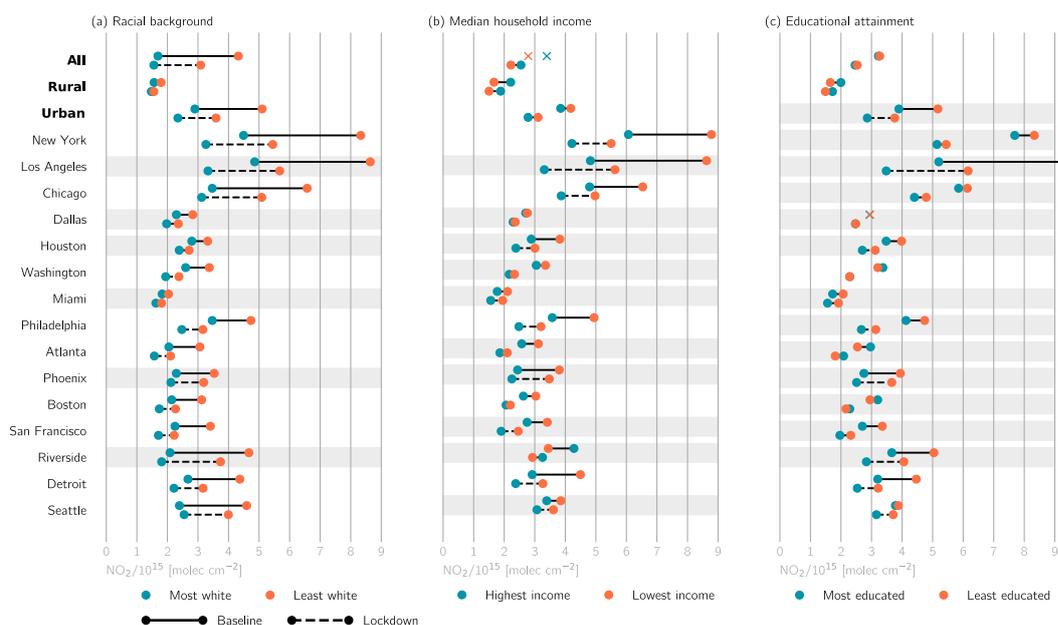


FIGURE 2. Disparities in baseline and lockdown NO₂ columns across different demographic subgroups. Disparities are shown for three conglomerations (all, urban, and rural census tracts), and urban tracts are further separated into the fifteen largest MSAs in the U.S. For each conglomeration or MSA, demographic subgroups are determined using the 10th and 90th percentiles as thresholds. NO₂ levels are thereafter averaged over tracts within these subgroups. If the difference in subgroup NO₂ distributions for a particular demographic variable and time period is not statistically significant, mean NO₂ levels are denoted with an “X” and no connector lines. Conglomerations or MSAs with no significant change in NO₂ disparities between the baseline and lockdown periods are shaded in grey.

201 the percentage of Black residents in each tract is nearly 100. Although large-scale
 202 drops in NO₂ are primarily driven by reductions in on-road emissions [Quére et al.,
 203 2020, Venter et al., 2020], examining drops on smaller spatial scales, such as in
 204 Atlanta (Figure 4b), suggests that emissions from other sectors may be at play. In
 205 Atlanta, the largest drops occur southwest of downtown, near Hartsfield-Jackson
 206 International Airport and several major highways (Figure 4b). The airport re-
 207 ported a $\sim 50\%$ decrease in the daily number of flights during lockdowns [Shah,
 208 2020]. Therefore, both on-road and aviation emissions may be responsible for the
 209 disparities in NO₂ levels in Atlanta. The largest drops in Detroit are concentrated
 210 on the west shores of the Detroit River; Interstates 75 and 94 and the Ambassador

211 Bridge, one of the busiest U.S.-Canada border crossing, transect this part of De-
212 troit (Figure 4c) [Martenies et al., 2017]. Although these Detroit neighborhoods
213 are not predominantly non-white (Figure 4f), they are home to a large Hispanic
214 population (not shown) with low median household income (Figure 4i).

215

5. DISCUSSION

216 Neighborhoods with a large population of racial and ethnic minorities, lower
217 income, and lower educational attainment saw improvements in NO₂ pollution
218 during the COVID-19 lockdowns. Although lockdowns were lauded as a tem-
219 porary glimpse of the potential for cleaner urban air, NO₂ disparities persisted
220 during this global natural experiment. For many cities, there were no significant
221 changes in NO₂ disparities during the lockdowns, and marginalized communities
222 faced higher NO₂ levels during the lockdowns than non-marginalized communities
223 experienced prior to the lockdowns. Overall, these findings are consistent with
224 contemporaneous studies that have analyzed long-term trends in NO₂ and other
225 air pollutants and found that, despite widespread decreases in pollution, the most
226 exposed demographic subgroups in the 1980s and 1990s remain the most exposed
227 in the present-day [Clark et al., 2017, Colmer et al., 2020].

228 Tracts' proximities to roadways may be responsible for both the lockdown-
229 related drops and the persistent disparities of NO₂ pollution among demographic
230 subgroups (Figures 1-3). The collocation of primary roads with poor, minority
231 communities is not happenstance but a consequence of the Eisenhower-era federal
232 highway program, which often deliberately routed highways through these poor,
233 minority neighborhoods [Rose and Mohl, 2012, Boehmer et al., 2013, Rowangould,
234 2013, Clark et al., 2017]. Additionally, other potent sources of pollution such as
235 power plants, manufacturing facilities, and heavy-duty trucking operations are also
236 collocated with primary roads due to these industries' needs for highway access
237 [Mohai et al., 2009, Demetillo et al., 2020].

238 Interestingly, urban tracts with the lowest vehicle ownership have both the high-
239 est density of nearby primary roads and the largest drops in NO₂ (Figures 1h, 3).
240 This result suggests that these communities may breathe more traffic-related NO₂
241 pollution than they produce. This is indeed the case for particulate matter pol-
242 lution: recent work found that particulate matter exposure is disproportionately
243 caused by rich, non-Hispanic white communities, while poor, Black and Hispanic
244 communities face higher exposure than is caused by their own consumption [Tes-
245 sum et al., 2019, Sergi et al., 2020].

246 Preliminary research suggests that high levels of NO₂ pollution contribute to un-
247 derlying health conditions that lead to increased COVID-19 fatality rates [Liang
248 et al., 2020]. Therefore, the decrease in NO₂ in diverse communities with low
249 income or educational attainment (Figure 2) could decrease population suscepti-
250 bility to COVID-19. This result is especially important as these communities have

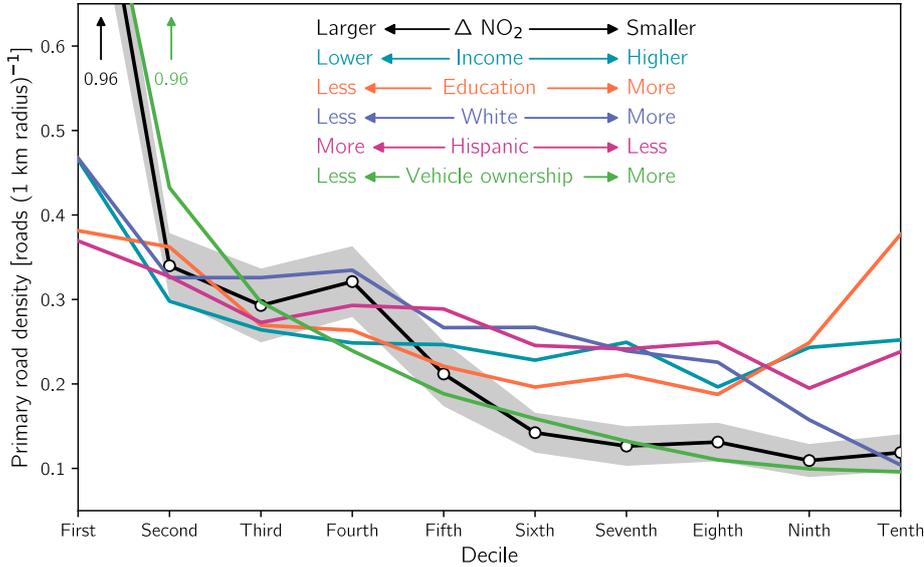


FIGURE 3. The relationship of road density with urban lockdown-related drops in NO₂ columns and demographic variables. Road density is calculated as the number of primary road segments within a 1 km radius of tracts’ centroids for each decile of demographic variables. The colored legend indicates the directionality of each demographic variable. As an example, the density corresponding to the lowest decile of the “White” curve represents the road density in urban tracts that are the least white (i.e. in the first decile of the percentage of their population that is white). Shading for the ΔNO_2 curve illustrate the 90% confidence interval.

251 increased risk to COVID-19 and higher hospitalization rates [Raifman and Raif-
 252 man, 2020]. Since short-term NO₂ exposure is associated with respiratory disease
 253 [Chauhan et al., 2003, Hansel et al., 2015], the temporary NO₂ drops may have
 254 also reduced acute respiratory health outcomes, but the actual health effects of
 255 NO₂ drops during the pandemic are difficult to tease out since the degree to which
 256 people sought health care was also affected by the pandemic. These findings are
 257 especially relevant for marginalized neighborhoods in cities (e.g., New York, At-
 258 lanta, and Detroit; Figure 4) that have been long-plagued by high rates of asthma
 259 and other respiratory diseases due, in part, to their proximity to on-road and point
 260 source NO_x emissions [Patel et al., 2009, Martenies et al., 2017].

261 We have considered singular demographic variables and their relationship with
 262 baseline and lockdown NO₂. The case studies in Figure 4 hint that the intersec-
 263 tionality between race and poverty may be associated with even more pronounced

264 lockdown-related drops in NO₂ pollution. Although the vast majority of tracts in
265 the southern half of Atlanta have a majority non-white population (Figure 4h),
266 the largest drops occur in tracts that are both majority non-white and low income
267 (Figure 4b, e, h). Clark et al. [Clark et al., 2014] and Demetillo et al. [Demetillo
268 et al., 2020] examined NO₂ exposure in neighborhoods where poverty and racial
269 and ethnic identities intersect and found a disproportionate share of NO₂ pollu-
270 tion for neighborhoods with these intersecting identities. Assessing other forms of
271 intersectionality and their relationship with air pollution exposure is a key area
272 for future research.

273 Recent work demonstrates that satellite-observed NO₂ is a powerful proxy for
274 ground-level NO₂ gradients [Bechle et al., 2013], and TROPOMI, in particular,
275 provides significant advances over predecessor instruments on account of its un-
276 precedented spatial resolution [Goldberg et al., 2019]. We tested whether TROPOMI
277 has consistent spatial patterns with surface-level observations during the base-
278 line period and found good agreement (Supporting Information Text, Figure S4a).
279 TROPOMI’s correlation with surface-level monitors (Figure S4a) is a dramatic im-
280 provement over the correlation of predecessor instruments [Goldberg et al., 2017].
281 Moreover, the ratios of 24-hour average NO₂ to NO₂ near the time of satellite
282 overpass are also similar between least- and most-polluted sites (Figure S4b). We
283 note, however, that satellite-derived NO₂ tends to underestimate NO₂ in highly
284 polluted urban regions on account of satellite footprint resolution [Judd et al.,
285 2019]. This underestimation coupled with the fact that marginalized communities
286 tend to live closer to potent NO₂ sources such as highways (Figure 3) that cannot
287 be resolved given TROPOMI’s resolution suggests that our current methodology
288 may underestimate the magnitude of disparities and lockdown-related changes.

289 Our results are neither an artifact of how we defined demographic subgroups
290 or the time period over which we characterize disparities, although the precise
291 absolute NO₂ levels and magnitude of disparities change with the start dates and
292 length of the periods (Figure S5) and how population subgroups are defined (Figure
293 S3). With that said, the length of our baseline and lockdown periods allows spatial
294 heterogeneities to be properly captured when oversampling as well as averages over
295 meteorological variations associated with favorable or unfavorable conditions for
296 NO₂ pollution [Goldberg et al., 2020]. We acknowledge that while meteorological
297 and seasonal factors are important factors that could impact our results, they are
298 unlikely to vary in such a way as to be skewed towards certain demographic groups
299 or over the spatial scales of the MSAs focused on throughout this study.

300 We encourage future work using surface-level NO₂ concentrations to better
301 understand exposure across demographic subgroups during lockdowns. Current
302 surface-level observational networks are inadequate for doing so due to their sparse
303 and uneven distribution [Lamsal et al., 2015], but surface concentrations of NO₂
304 inferred using land-use regression models [Novotny et al., 2011] or chemical trans-
305 port models [Geddes et al., 2016, Cooper et al., 2020] may prove useful. Future

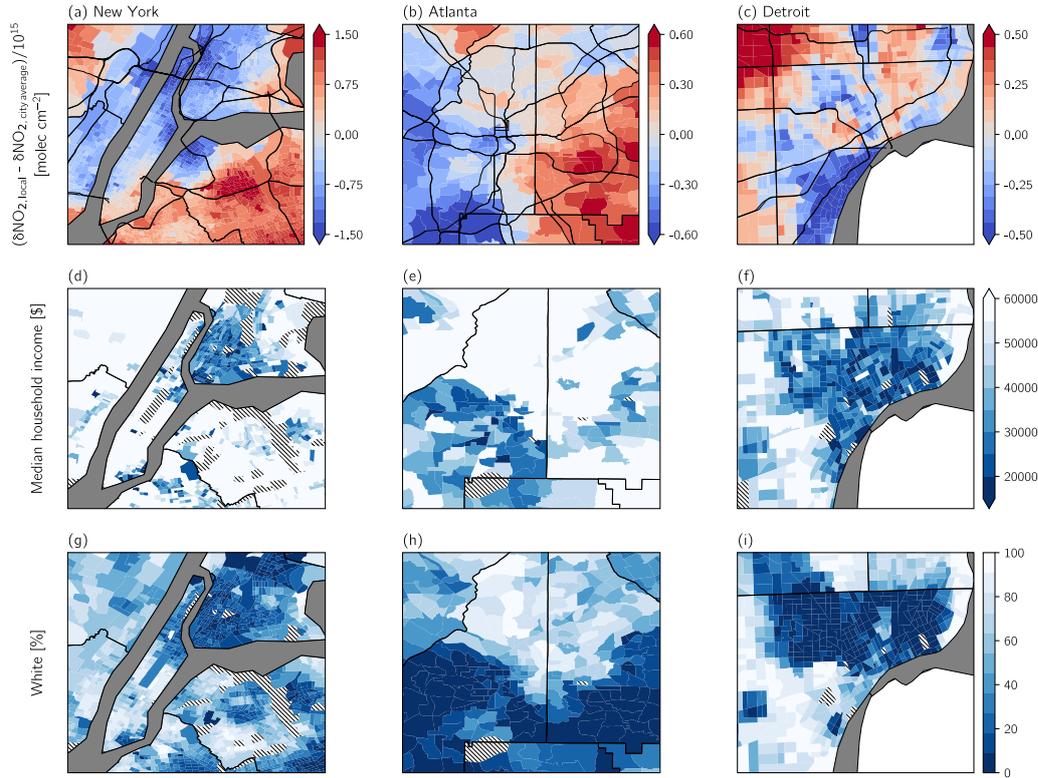


FIGURE 4. Case studies of lockdown NO₂ drops, income, and race for (left column) New York, (middle) Atlanta, and (right) Detroit. (a-c) $\Delta \text{NO}_{2, \text{local}}$ is calculated from oversampled TROPOMI data as the difference between ΔNO_2 and the city average ΔNO_2 to highlight neighborhoods with larger drops (i.e., negative values) and smaller drops (i.e., positive values) compared with the city-averaged drops. Primary roads are shown in thick black lines. (d-f) Median household income and (g-i) percentage of the population that is white. Tracts in (d-i) that are employment centers, airports, parks, or forests and therefore report no demographic data are denoted with hatching.

306 work might also examine how lockdown-related changes in other air pollutants
 307 such as ozone and particulate matter, whose changes during lockdowns do not
 308 exhibit the same spatial patterns as NO₂ [Chang et al., 2020, Shi and Brasseur,
 309 2020, Venter et al., 2020], impact disparities.

310

6. CONCLUSIONS

311 This study provides a unique, nationwide look at air pollution disparities in the
312 U.S., leveraging the extraordinary confluence of unparalleled changes in human ac-
313 tivity during COVID-19 lockdowns and unmatched spatial coverage and resolution
314 of air quality surveillance from the TROPOMI satellite instrument. Lockdowns
315 decreased tropospheric column abundances of NO₂ across the vast majority of ur-
316 ban areas. However, drops in NO₂ pollution were uneven within these urban areas
317 and largely benefitted communities with a high proportion of racial and ethnic
318 minorities and lower educational attainment and income. Our results reveal that,
319 despite the improvements in NO₂ pollution during lockdowns, racial, ethnic, and
320 socioeconomic NO₂ disparities persisted, and marginalized communities continued
321 to face higher levels of NO₂ during the lockdowns than marginalized communities
322 experienced prior to the pandemic. As traffic emissions represent a major source
323 of NO₂ variability, the proximity of marginalized neighborhoods to a high density
324 of major roadways is likely the key determinant in explaining lockdown-related
325 drops in NO₂ pollution.

326 While emissions from passenger vehicle traffic precipitously declined during
327 COVID-19 lockdowns [Parker et al., 2020], there are other potent air pollution
328 sources, such as power generation, heavy-duty trucking, and industry, that were
329 less affected by the COVID-19 pandemic [Kroll et al., 2020]. These other sources
330 are predominately located in marginalized areas [Demetillo et al., 2020, Shah et al.,
331 2020] and likely contribute to the NO₂ disparities detailed herein. Nevertheless,
332 our finding that even the $\sim 50\%$ drop in passenger vehicle emissions [Qu  r   et al.,
333 2020] did not reduce NO₂ levels among the most marginalized urban census tracts
334 to the levels experienced by the least marginalized tracts before the pandemic indi-
335 cates that profound changes are needed to address disparities in NO₂ pollution in
336 the U.S. Policies aimed at reducing emissions from passenger vehicle traffic (e.g.,
337 mode shifting to public transportation and active transportation, widespread use
338 of electric vehicles) would broadly reduce NO₂ levels, but the COVID-19 pandemic
339 lockdowns demonstrated that targeting the passenger vehicle sector alone is un-
340 likely to eliminate NO₂ disparities. For this reason, policy strategies that reduce
341 inequality in exposure while maximizing health benefits [Levy et al., 2007] and
342 target a variety of sectors are urgently needed.

343

7. MATERIALS AND METHODS

344 **7.1. Remotely-sensed NO₂.** We obtain retrievals of the tropospheric NO₂ col-
345 umn from the Tropospheric Monitoring Instrument (TROPOMI) aboard the Sentinel-
346 5 Precursor (S5P) satellite. S5P is a nadir-viewing satellite in a sun-synchronous,
347 low-earth orbit that achieves near-global daily coverage with a local overpass time
348 of ~ 1330 hours [Veefkind et al., 2012]. TROPOMI provides NO₂ measurements at
349 an unprecedented spatial resolution of 5×3.5 km² (7×3.5 km² prior to 6 August

2019) [van Geffen et al., 2020]. We use Level 2 data and only consider pixels with
a quality assurance value > 0.75 . The change in satellite resolution occurring in
August 2019 as well as intrinsic limitations stemming from the retrieval process
and satellite footprint likely lead to an underestimation of NO₂ levels in urban ar-
eas and the NO₂ change during lockdowns [Bechle et al., 2013, Judd et al., 2019].
TROPOMI data are thereafter oversampled by regridding to a standard grid with
a resolution of 0.01° latitude \times 0.01° longitude (~ 1 km \times 1 km) and averaged
over two time periods: a baseline period (13 March-13 June 2019) and a lockdown
period (13 March-13 June 2020). Regridded data are publicly available at Figshare
(www.figshare.com/s/75a00608f3faedc4bca7).

Comparing the same time period across different years is commonplace in satel-
lite studies investigating changes in NO_x and other trace gases, and averaging over
three month timeframes smooths natural NO₂ variations that arise from differ-
ences in meteorology and sun angle, which are especially relevant during boreal
spring [Goldberg et al., 2020]. This temporal averaging also removes part of the
random error in the TROPOMI single-pixel uncertainties, which can be 40-60% of
the tropospheric column abundances [Bauwens et al., 2020].

7.2. Socio-demographic Data. Demographic information is derived from the
American Community Survey (ACS) conducted by the U.S. Census Bureau and
maintained by the National Historical Geographic Information System [Manson
et al., 2019]. Data are publicly available at www.nhgis.org. We extract 2014-
2018 5-year estimates on race, Hispanic or Latino origin (henceforth “ethnicity”),
educational attainment, median household income, and vehicle availability for the
72,538 census tracts in the contiguous U.S. To minimize the number of differ-
ent categorical variables presented in this study, we combine racial groups into
three categories: white, Black (includes Black and African American), and Other
(includes American Indian or Alaska Native, Asian, Native Hawaiian or Other Pa-
cific Islander, two or more races, and some other race). Similarly, we form three
different levels for educational attainment: high school (includes no high school
diploma, regular high school diploma, and GED or alternative credentials), col-
lege (includes some college without a degree, Associate’s degree, and Bachelor’s
degree), and graduate (includes Master’s degree, Professional school degree, and
Doctorate degree).

7.3. Methods. We harmonize the regridded TROPOMI NO₂ measurements with
tract-level ACS demographics by determining the geographic boundaries of each
tract and thereafter calculating a simple arithmetic average over all TROPOMI
grid cells within the tract for the baseline and lockdown periods. Approximately
8% of tracts lack a co-located TROPOMI grid cell due to their small size or
irregular geometry, and we employ inverse distance weighting interpolation to cal-
culate the NO₂ levels at the centroid of these small tracts using the 8 neighboring

390 grid cells. Tracts are classified as either rural or urban based on the census-
391 designed rurality level from the last decadal census in 2010. Urban census tracts
392 lie within the boundaries of an incorporated or census-designed place with > 2500
393 residents, and rural tracts are located outside these boundaries. Therefore, sub-
394 urban areas on the periphery of cities with > 2500 residents are classified as
395 “urban” in this study. We further stratify the tracts into metropolitan-level sub-
396 sets for the 15 largest MSAs in the U.S.: New York City-Newark-Jersey City,
397 NY-NJ-PA; Los Angeles-Long Beach-Anaheim, CA; Chicago-Naperville-Elgin, IL-
398 IN-WI; Dallas-Fort Worth-Arlington, TX; Houston-The Woodlands-Sugar Land,
399 TX; Washington-Arlington-Alexandria, DC-VA-MD-WV; Miami-Fort Lauderdale-
400 Pompano Beach, FL; Philadelphia-Camden-Wilmington, PA-NJ-DE-MD; Atlanta-
401 Sandy Springs-Alpharetta, GA; Phoenix-Mesa-Chandler, AZ; Boston-Cambridge-
402 Newton, MA-NH; San Francisco-Oakland-Berkeley, CA; Riverside-San Bernardino-
403 Ontario, CA; Detroit-Warren-Dearborn, MI; and Seattle-Tacoma-Bellevue, WA.
404 For brevity we refer to these MSAs by their colloquial names (e.g., Los Angeles,
405 rather than Los Angeles-Long Beach-Anaheim, CA) when discussing them.

406 We calculate the density of nearby primary roadways for each census tract as a
407 proxy for exposure to traffic-related NO₂ pollution. Primary roads are generally
408 divided, limited-access highways within the Interstate Highway System or under
409 state management, and their locations are determined from the U.S. Census Bu-
410 reau’s TIGER/Line geospatial database. Specifically, we determine density as the
411 number of primary road segments within 1 km of a tract’s centroid. We choose
412 1 km as our threshold for what constitutes as “nearby,” as NO₂ concentrations
413 decrease up to $\sim 50\%$ within 0.5 – 2 km from major roadways [Novotny et al.,
414 2011, Demetillo et al., 2020], and we note that our findings are robust when con-
415 sidering all primary roads within 2 km (not shown). Other means of quantifying
416 traffic exist (e.g., length of roadway within a specified distance, traffic within buffer
417 zones, sum of distance traveled) [Pratt et al., 2013], but our approach allows for
418 consistent use of geospatial data from the U.S. Census Bureau.

419 We partition census tracts by extreme values of their change in NO₂ (Δ NO₂)
420 or demographic variables using the first decile (0-10th percentile) and tenth decile
421 (90-100th percentile). This partitioning is done individually for different con-
422 glomerations or MSAs rather than defining nationwide percentiles to account for
423 urban-rural gradients or differences among MSAs. As examples, tracts classified
424 as “Most white” or “Highest income” have a white population fraction or median
425 household income which falls in the tenth decile. Likewise, Δ NO₂ in tracts with
426 the “Largest drops” (i.e., the largest decrease in NO₂ during lockdowns) falls in
427 the first decile. Our results are not sensitive to the use of the first and tenth
428 deciles, and we have tested the upper and lower vigintiles, quintiles, and quartiles
429 and obtain similar results (Figure S3). The use of percentiles rather than absolute
430 thresholds yields a consistent sample size for the upper and lower extrema and
431 also avoids defining absolute thresholds for different variables.

432 We applied the two-sample Kolmogorov-Smirnov (KS) test to determine whether
433 distributions of demographic variables for the largest and smallest NO₂ drops (Fig-
434 ure 1c-h) and tract-averaged NO₂ for the upper and lower extrema of demographic
435 variables (Figure 2) are drawn from the same distribution (Figure S6). If the p -
436 value corresponding to the KS test statistic is less than $\alpha = 0.05$, we declare
437 that there are significant differences in the distributions. We also assess whether
438 the NO₂ disparities shown in Figure 2 undergo significant changes between the
439 baseline and lockdown periods using a two-sample z-test. To meet the normality
440 assumption of the z-test, we log-transform the skewed NO₂ distributions prior to
441 computing the test statistic. Changes in baseline versus lockdown disparities are
442 classified as significant when the absolute value of the test statistic is larger than
443 1.96, the critical value for a 95% level of confidence ($p < 0.05$). We note that
444 this approach to assess the significance of changes in disparities agrees well with
445 other methods, such as examining whether 95% confidence levels of the baseline
446 and lockdown disparities overlap (compare Figures 2 and S2)

447 The start date of the baseline and lockdowns periods used in this study (13
448 March) corresponds to the date of national emergency declaration in the U.S.
449 and the beginning of a pronounced decrease in mobility patterns in 2020 [Badr
450 et al., 2020]. We test whether the overall racial, ethnic, income, and educational
451 disparities hold for other periods and find that the disparities among different de-
452 mographic subgroups persist regardless of the start date or length of the baseline
453 period (Figure S5). We are inherently limited by the short TROPOMI data record,
454 and interannual variability could play a role in modulating the magnitude of dis-
455 parities in NO₂ levels. Testing this possibility is important as more TROPOMI
456 data become available.

457

8. ACKNOWLEDGEMENT

458 Research reported in the publication was supported by NASA under award num-
459 bers 80NSSC19K0193 and 80NSSC20K1122. Regridded TROPOMI data used in
460 this study are freely available on Figshare (www.figshare.com/s/75a00608f3faedc4bca7),
461 and ACS demographic data are available at www.nhgis.org. The authors would
462 like to thank the Netherlands Space Office and European Space Agency for their
463 support of TROPOMI products.

464

REFERENCES

- 465 [Achakulwisut et al., 2019] Achakulwisut, P., Brauer, M., Hystad, P., and Anenberg, S. C.
466 (2019). Global, national, and urban burdens of paediatric asthma incidence attributable to
467 ambient NO₂ pollution: estimates from global datasets. *The Lancet Planetary Health*, 3(4):e166–
468 e178.
- 469 [Anenberg et al., 2018] Anenberg, S. C., Henze, D. K., Tinney, V., Kinney, P. L., Raich, W.,
470 Fann, N., Malley, C. S., Roman, H., Lamsal, L., Duncan, B., Martin, R. V., van Donkelaar, A.,
471 Brauer, M., Doherty, R., Jonson, J. E., Davila, Y., Sudo, K., and Kuylenstierna, J. C. (2018).
472 Estimates of the global burden of ambient PM_{2.5}, ozone, and NO₂ on asthma incidence and
473 emergency room visits. *Environmental Health Perspectives*, 126(10):107004.
- 474 [Badr et al., 2020] Badr, H. S., Du, H., Marshall, M., Dong, E., Squire, M. M., and Gardner,
475 L. M. (2020). Association between mobility patterns and COVID-19 transmission in the USA:
476 a mathematical modelling study. *The Lancet Infectious Diseases*.
- 477 [Bauwens et al., 2020] Bauwens, M., Compernelle, S., Stavrou, T., Müller, J.-F., Gent, J.,
478 Eskes, H., Levelt, P. F., A, R., Veeffkind, J. P., Vlietinck, J., Yu, H., and Zehner, C. (2020).
479 Impact of coronavirus outbreak on NO₂ pollution assessed using TROPOMI and OMI obser-
480 vations. *Geophysical Research Letters*, 47(11).
- 481 [Bechle et al., 2013] Bechle, M. J., Millet, D. B., and Marshall, J. D. (2013). Remote sensing of
482 exposure to NO₂: Satellite versus ground-based measurement in a large urban area. *Atmospheric*
483 *Environment*, 69:345–353.
- 484 [Bell and Ebisu, 2012] Bell, M. L. and Ebisu, K. (2012). Environmental inequality in exposures
485 to airborne particulate matter components in the United States. *Environmental Health Per-*
486 *spectives*, 120(12):1699–1704.
- 487 [Boehmer et al., 2013] Boehmer, T. K., Foster, S. L., Henry, J. R., Woghiren-Akinnifesi, E. L.,
488 and Yip, F. Y. (2013). Residential proximity to major highways - United States, 2010. *Morbidity*
489 *and Mortality Weekly Report*, 62(3):46–50.
- 490 [Chang et al., 2020] Chang, Y., Huang, R.-J., Ge, X., Huang, X., Hu, J., Duan, Y., Zou, Z.,
491 Liu, X., and Lehmann, M. F. (2020). Puzzling haze events in China during the coronavirus
492 (COVID-19) shutdown. *Geophysical Research Letters*, 47(12).
- 493 [Chauhan et al., 2003] Chauhan, A., Inskip, H. M., Linaker, C. H., Smith, S., Schreiber, J.,
494 Johnston, S. L., and Holgate, S. T. (2003). Personal exposure to nitrogen dioxide (NO₂) and
495 the severity of virus-induced asthma in children. *The Lancet*, 361(9373):1939–1944.
- 496 [Clark et al., 2014] Clark, L. P., Millet, D. B., and Marshall, J. D. (2014). National patterns in
497 environmental injustice and inequality: Outdoor NO₂ air pollution in the United States. *PLoS*
498 *ONE*, 9(4):e94431.
- 499 [Clark et al., 2017] Clark, L. P., Millet, D. B., and Marshall, J. D. (2017). Changes in
500 transportation-related air pollution exposures by race-ethnicity and socioeconomic status: out-
501 door nitrogen dioxide in the United States in 2000 and 2010. *Environmental Health Perspectives*,
502 125(9):097012.
- 503 [Colmer et al., 2020] Colmer, J., Hardman, I., Shimshack, J., and Voorheis, J. (2020). Disparities
504 in PM_{2.5} air pollution in the United States. *Science*, 369(6503):575–578.
- 505 [Cooper et al., 2020] Cooper, M. J., Martin, R. V., McLinden, C. A., and Brook, J. R. (2020).
506 Inferring ground-level nitrogen dioxide concentrations at fine spatial resolution applied to the
507 TROPOMI satellite instrument. *Environmental Research Letters*, 15(10):104013.
- 508 [Demetillo et al., 2020] Demetillo, M. A. G., Navarro, A., Knowles, K. K., Fields, K. P., Geddes,
509 J. A., Nowlan, C. R., Janz, S. J., Judd, L. M., Al-Saadi, J., Sun, K., McDonald, B. C., Diskin,

- 510 G. S., and Pusede, S. E. (2020). Observing nitrogen dioxide air pollution inequality using high-
511 spatial-resolution remote sensing measurements in houston, texas. *Environmental Science &*
512 *Technology*, 54(16):9882–9895.
- [Diffenbaugh et al., 2020] Diffenbaugh, N. S., Field, C. B., Appel, E. A., Azevedo, I. L., Bal-
513 docchi, D. D., Burke, M., Burney, J. A., Ciais, P., Davis, S. J., Fiore, A. M., Fletcher, S. M.,
514 Hertel, T. W., Horton, D. E., Hsiang, S. M., Jackson, R. B., Jin, X., Levi, M., Lobell, D. B.,
515 McKinley, G. A., Moore, F. C., Montgomery, A., Nadeau, K. C., Pataki, D. E., Randerson,
516 J. T., Reichstein, M., Schnell, J. L., Seneviratne, S. I., Singh, D., Steiner, A. L., and Wong-
517 Parodi, G. (2020). The COVID-19 lockdowns: A window into the earth system. *Nature Reviews*
518 *Earth & Environment*, 1(9):470–481.
- [Ding et al., 2020] Ding, J., van der A, R. J., Eskes, H. J., Mijling, B., Stavrakou, T., van Geffen,
520 J. H. G. M., and Veefkind, J. P. (2020). NO_x emissions reduction and rebound in China due to
521 the COVID-19 crisis. *Geophys. Res. Lett.*, 47(19):e2020GL089912.
- [Geddes et al., 2016] Geddes, J. A., Martin, R. V., Boys, B. L., and van Donkelaar, A. (2016).
522 Long-term trends worldwide in ambient NO₂ concentrations inferred from satellite observations.
523 *Environmental Health Perspectives*, 124(3):281–289.
- [Goldberg et al., 2021] Goldberg, D. L., Anenberg, S., Kerr, G. H., Mohegh, A., Lu, Z., and
524 Streets, D. G. (2021). TROPOMI NO₂ in the United States: A detailed look at the annual
525 averages, weekly cycles, effects of temperature, and correlation with surface NO₂ concentrations.
526 <https://doi.org/10.1002/essoar.10503422.1>.
- [Goldberg et al., 2020] Goldberg, D. L., Anenberg, S. C., Griffin, D., McLinden, C. A., Lu, Z.,
527 and Streets, D. G. (2020). Disentangling the impact of the COVID-19 lockdowns on urban NO₂
528 from natural variability. *Geophysical Research Letters*, 47(17).
- [Goldberg et al., 2017] Goldberg, D. L., Lamsal, L. N., Loughner, C. P., Swartz, W. H., Lu, Z.,
529 and Streets, D. G. (2017). A high-resolution and observationally constrained OMI NO₂ satellite
530 retrieval. *Atmospheric Chemistry and Physics*, 17(18):11403–11421.
- [Goldberg et al., 2019] Goldberg, D. L., Lu, Z., Streets, D. G., de Foy, B., Griffin, D., McLinden,
531 C. A., Lamsal, L. N., Krotkov, N. A., and Eskes, H. (2019). Enhanced capabilities of TROPOMI
532 NO₂: Estimating NO_x from North American cities and power plants. *Environmental Science*
533 *& Technology*, 53(21):12594–12601.
- [Hajat et al., 2013] Hajat, A., Diez-Roux, A. V., Adar, S. D., Auchincloss, A. H., Lovasi, G. S.,
534 O’Neill, M. S., Sheppard, L., and Kaufman, J. D. (2013). Air pollution and individual and
535 neighborhood socioeconomic status: Evidence from the multi-ethnic study of atherosclerosis
536 (MESA). *Environmental Health Perspectives*, 121(11-12):1325–1333.
- [Hajat et al., 2015] Hajat, A., Hsia, C., and O’Neill, M. S. (2015). Socioeconomic disparities and
537 air pollution exposure: a global review. *Current Environmental Health Reports*, 2(4):440–450.
- [Hansel et al., 2015] Hansel, N. N., McCormack, M. C., and Kim, V. (2015). The effects of
538 air pollution and temperature on COPD. *COPD: Journal of Chronic Obstructive Pulmonary*
539 *Disease*, 13(3):372–379.
- [He et al., 2020] He, G., Pan, Y., and Tanaka, T. (2020). The short-term impacts of COVID-19
540 lockdown on urban air pollution in China. *Nature Sustainability*.
- [Jerrett et al., 2013] Jerrett, M., Burnett, R. T., Beckerman, B. S., Turner, M. C., Krewski, D.,
541 Thurston, G., Martin, R. V., van Donkelaar, A., Hughes, E., Shi, Y., Gapstur, S. M., Thun,
542 M. J., and Pope, C. A. (2013). Spatial analysis of air pollution and mortality in California.
543 *American Journal of Respiratory and Critical Care Medicine*, 188(5):593–599.
- [Judd et al., 2019] Judd, L. M., Al-Saadi, J. A., Janz, S. J., Kowalewski, M. G., Pierce, R. B.,
544 Szykman, J. J., Valin, L. C., Swap, R., Cede, A., Mueller, M., Tiefengraber, M., Abuhas-
545 sen, N., and Williams, D. (2019). Evaluating the impact of spatial resolution on tropospheric
546
547
548
549
550
551
552
553
554
555
556
557

- 558 NO₂ column comparisons within urban areas using high-resolution airborne data. *Atmospheric*
559 *Measurement Techniques*, 12:6091–6111.
- 560 [Kroll et al., 2020] Kroll, J. H., Heald, C. L., Cappa, C. D., Farmer, D. K., Fry, J. L., Murphy,
561 J. G., and Steiner, A. L. (2020). The complex chemical effects of COVID-19 shutdowns on air
562 quality. *Nature Chemistry*, 12:777–779.
- 563 [Krotkov et al., 2016] Krotkov, N. A., McLinden, C. A., Li, C., Lamsal, L. N., Celarier, E. A.,
564 Marchenko, S. V., Swartz, W. H., Bucsela, E. J., Joiner, J., Duncan, B. N., Boersma, K. F.,
565 Veeffkind, J. P., Levelt, P. F., Fioletov, V. E., Dickerson, R. R., He, H., Lu, Z., and Streets,
566 D. G. (2016). Aura OMI observations of regional SO₂ and NO₂ pollution changes from 2005 to
567 2015. *Atmos. Chem. Phys.*, 16(9):4605–4629.
- 568 [Lamsal et al., 2015] Lamsal, L. N., Duncan, B. N., Yoshida, Y., Krotkov, N. A., Pickering, K. E.,
569 Streets, D. G., and Lu, Z. (2015). U.S. NO₂ trends (2005–2013): EPA Air Quality System (AQS)
570 data versus improved observations from the Ozone Monitoring Instrument (OMI). *Atmospheric*
571 *Environment*, 110:130–143.
- 572 [Landrigan et al., 2018] Landrigan, P. J., Fuller, R., Acosta, N. J. R., Adeyi, O., Arnold, R.,
573 Basu, N. N., Baldé, A. B., Bertollini, R., Bose-O’Reilly, S., Boufford, J. I., Breyse, P. N.,
574 Chiles, T., Mahidol, C., Coll-Seck, A. M., Cropper, M. L., Fobil, J., Fuster, V., Greenstone,
575 M., Haines, A., Hanrahan, D., Hunter, D., Khare, M., Krupnick, A., Lanphear, B., Lohani,
576 B., Martin, K., Mathiasen, K. V., McTeer, M. A., Murray, C. J. L., Ndahimananjara, J. D.,
577 Perera, F., Potočnik, J., Preker, A. S., Ramesh, J., Rockström, J., Salinas, C., Samson, L. D.,
578 Sandilya, K., Sly, P. D., Smith, K. R., Steiner, A., Stewart, R. B., Suk, W. A., van Schayck,
579 O. C. P., Yadama, G. N., Yumkella, K., and Zhong, M. (2018). The Lancet Commission on
580 pollution and health. *The Lancet*, 391(10119):462–512.
- 581 [Lecocq et al., 2020] Lecocq, T., Hicks, S. P., Noten, K. V., van Wijk, K., Koelemeijer, P., Plaen,
582 R. S. M. D., Massin, F., Hillers, G., Anthony, R. E., Apoloner, M.-T., Arroyo-Solórzano, M.,
583 Assink, J. D., Büyükakpınar, P., Cannata, A., Cannavo, F., Carrasco, S., Caudron, C., Chaves,
584 E. J., Cornwell, D. G., Craig, D., den Ouden, O. F. C., Diaz, J., Donner, S., Evangelidis,
585 C. P., Evers, L., Fauville, B., Fernandez, G. A., Giannopoulos, D., Gibbons, S. J., Girona, T.,
586 Grecu, B., Grunberg, M., Hetényi, G., Horleston, A., Inza, A., Irving, J. C. E., Jamalrehyani,
587 M., Kafka, A., Koymans, M. R., Labeledz, C. R., Larose, E., Lindsey, N. J., McKinnon, M.,
588 Megies, T., Miller, M. S., Minarik, W., Moresi, L., Márquez-Ramírez, V. H., Möllhoff, M.,
589 Nesbitt, I. M., Niyogi, S., Ojeda, J., Oth, A., Proud, S., Pulli, J., Retailleau, L., Rintamäki,
590 A. E., Satriano, C., Savage, M. K., Shani-Kadmiel, S., Sleeman, R., Sokos, E., Stammner, K.,
591 Stott, A. E., Subedi, S., Sorensen, M. B., Taira, T., Tapia, M., Turhan, F., van der Pluijm,
592 B., Vanstone, M., Vergne, J., Vuorinen, T. A. T., Warren, T., Wassermann, J., and Xiao, H.
593 (2020). Global quieting of high-frequency seismic noise due to COVID-19 pandemic lockdown
594 measures. *Science*, 369(6509):1338–1343.
- 595 [Levy et al., 2014] Levy, I., Mihele, C., Lu, G., Narayan, J., and Brook, J. R. (2014). Evalu-
596 ating multipollutant exposure and urban air quality: pollutant interrelationships, neighbor-
597 hood variability, and nitrogen dioxide as a proxy pollutant. *Environmental Health Perspectives*,
598 122(1):65–72.
- 599 [Levy et al., 2007] Levy, J. I., Wilson, A. M., and Zwack, L. M. (2007). Quantifying the efficiency
600 and equity implications of power plant air pollution control strategies in the United States.
601 *Environmental Health Perspectives*, 115(5):743–750.
- 602 [Liang et al., 2020] Liang, D., Shi, L., Zhao, J., Liu, P., Sarnat, J. A., Gao, S., Schwartz, J., Liu,
603 Y., Ebelt, S. T., Scovronick, N., and Chang, H. H. (2020). Urban air pollution may enhance
604 COVID-19 case-fatality and mortality rates in the united states. *The Innovation*, 1(3):100047.
- 605 [Manson et al., 2019] Manson, S., Schroeder, J., Ripper, D. V., and Ruggles, S. (2019). National
606 historical geographic information system: Version 14.0.

- 607 [Martenies et al., 2017] Martenies, S., Milando, C., Williams, G., and Batterman, S. (2017).
608 Disease and health inequalities attributable to air pollutant exposure in Detroit, Michigan.
609 *International Journal of Environmental Research and Public Health*, 14(10):1243.
- 610 [Miyazaki et al., 2020] Miyazaki, K., Bowman, K., Sekiya, T., Jiang, Z., Chen, X., Eskes, H.,
611 Ru, M., Zhang, Y., and Shindell, D. (2020). Air quality response in China linked to the 2019
612 novel coronavirus (COVID-19) lockdown. *Geophys. Res. Lett.*, 47(19):e2020GL089252.
- 613 [Mohai et al., 2009] Mohai, P., Lantz, P. M., Morenoff, J., House, J. S., and Mero, R. P.
614 (2009). Racial and socioeconomic disparities in residential proximity to polluting industrial fa-
615 cilities: Evidence from the americans' changing lives study. *American Journal of Public Health*,
616 99(S3):S649–S656.
- 617 [Nguyen and Marshall, 2018] Nguyen, N. P. and Marshall, J. D. (2018). Impact, efficiency, in-
618 equality, and injustice of urban air pollution: Variability by emission location. *Environmental*
619 *Research Letters*, 13(2):024002.
- 620 [Novotny et al., 2011] Novotny, E. V., Bechle, M. J., Millet, D. B., and Marshall, J. D. (2011).
621 National satellite-based land-use regression: NO₂ in the United States. *Environmental Science*
622 *& Technology*, 45(10):4407–4414.
- 623 [Parker et al., 2020] Parker, H. A., Hasheminassab, S., Crounse, J. D., Roehl, C. M., and
624 Wennberg, P. O. (2020). Impacts of traffic reductions associated with COVID-19 on south-
625 ern California air quality. *Geophys. Res. Lett.*, 47(23):e2020GL090164.
- 626 [Patel et al., 2009] Patel, M. M., Chillrud, S. N., Correa, J. C., Feinberg, M., Hazi, Y., Deepti,
627 K., Prakash, S., Ross, J. M., Levy, D., and Kinney, P. L. (2009). Spatial and temporal variations
628 in traffic-related particulate matter at New York City high schools. *Atmospheric Environment*,
629 43(32):4975–4981.
- 630 [Pratt et al., 2013] Pratt, G. C., Parson, K., Shinoda, N., Lindgren, P., Dunlap, S., Yawn, B.,
631 Wollan, P., and Johnson, J. (2013). Quantifying traffic exposure. *Journal of Exposure Science*
632 *& Environmental Epidemiology*, 24(3):290–296.
- 633 [Quéré et al., 2020] Quéré, C. L., Jackson, R. B., Jones, M. W., Smith, A. J. P., Abernethy,
634 S., Andrew, R. M., De-Gol, A. J., Willis, D. R., Shan, Y., Canadell, J. G., Friedlingstein, P.,
635 Creutzig, F., and Peters, G. P. (2020). Temporary reduction in daily global CO₂ emissions
636 during the COVID-19 forced confinement. *Nature Climate Change*, 10(7):647–653.
- 637 [Raifman and Raifman, 2020] Raifman, M. A. and Raifman, J. R. (2020). Disparities in the
638 population at risk of severe illness from COVID-19 by race/ethnicity and income. *American*
639 *Journal of Preventive Medicine*, 59(1):137–139.
- 640 [Rose and Mohl, 2012] Rose, M. H. and Mohl, R. A. (2012). *Interstate: Highway Politics and*
641 *Policy since 1939*. The University of Tennessee Press, Knoxville, 3rd edition.
- 642 [Rowangould, 2013] Rowangould, G. M. (2013). A census of the US near-roadway population:
643 Public health and environmental justice considerations. *Transportation Research Part D: Trans-*
644 *port and Environment*, 25:59–67.
- 645 [Schell et al., 2020] Schell, C. J., Dyson, K., Fuentes, T. L., Roches, S. D., Harris, N. C., Miller,
646 D. S., Woelfle-Erskine, C. A., and Lambert, M. R. (2020). The ecological and evolutionary
647 consequences of systemic racism in urban environments. *Science*, 369(6510):eaay4497.
- 648 [Sergi et al., 2020] Sergi, B., Azevedo, I., Davis, S. J., and Muller, N. Z. (2020). Regional
649 and county flows of particulate matter damage in the US. *Environmental Research Letters*,
650 15(10):104073.
- 651 [Shah, 2020] Shah, K. (2020). 'Mostly empty': COVID-19 has nearly shut down world's busiest
652 airport. Accessed October 15, 2020.
- 653 [Shah et al., 2020] Shah, R. U., Robinson, E. S., Gu, P., Apte, J. S., Marshall, J. D., Robinson,
654 A. L., and Presto, A. A. (2020). Socio-economic disparities in exposure to urban restaurant
655 emissions are larger than for traffic. *Environmental Research Letters*, 15(11):114039.

- 656 [Shi and Brasseur, 2020] Shi, X. and Brasseur, G. P. (2020). The response in air quality to the
657 reduction of Chinese economic activities during the COVID-19 outbreak. *Geophysical Research*
658 *Letters*, 47(11).
- 659 [Stohl et al., 2015] Stohl, A., Aamaas, B., Amann, M., Baker, L. H., Bellouin, N., Berntsen,
660 T. K., Boucher, O., Cherian, R., Collins, W., Daskalakis, N., Dusinska, M., Eckhardt, S.,
661 Fuglestvedt, J. S., Harju, M., Heyes, C., Hodnebrog, Ø., Hao, J., Im, U., Kanakidou, M.,
662 Klimont, Z., Kupiainen, K., Law, K. S., Lund, M. T., Maas, R., MacIntosh, C. R., Myhre, G.,
663 Myriokefalitakis, S., Olivíe, D., Quaas, J., Quennehen, B., Raut, J.-C., Rumbold, S. T., Samset,
664 B. H., Schulz, M., Seland, Ø., Shine, K. P., Skeie, R. B., Wang, S., Yttri, K. E., and Zhu, T.
665 (2015). Evaluating the climate and air quality impacts of short-lived pollutants. *Atmospheric*
666 *Chemistry and Physics*, 15(18):10529–10566.
- 667 [Sundvor et al., 2013] Sundvor, I., Balaguer, N. C., Viana, M., Querol, X., Reche, C., Amato,
668 F., Mellios, G., and Guerreiro, C. (2013). Road traffic’s contribution to air quality in Euro-
669 pean cities. Technical report, European Topic Centre for Air Pollution and Climate Change
670 Mitigation.
- 671 [Tessum et al., 2019] Tessum, C. W., Apte, J. S., Goodkind, A. L., Muller, N. Z., Mullins, K. A.,
672 Paoletta, D. A., Polasky, S., Springer, N. P., Thakrar, S. K., Marshall, J. D., and Hill, J. D.
673 (2019). Inequity in consumption of goods and services adds to racial-ethnic disparities in air
674 pollution exposure. *Proceedings of the National Academy of Sciences*, 116(13):6001–6006.
- 675 [US Environmental Protection Agency, 2015] US Environmental Protection Agency
676 (2015). 2014 National Emissions Inventory (NEI) data. [https://www.epa.gov/
677 air-emissions-inventories/2014-national-emissions-inventory-nei-data](https://www.epa.gov/air-emissions-inventories/2014-national-emissions-inventory-nei-data). Accessed
678 October 15, 2020.
- 679 [van Geffen et al., 2020] van Geffen, J., Boersma, K. F., Eskes, H., Sneep, M., ter Linden, M.,
680 Zara, M., and Veefkind, J. P. (2020). S5P TROPOMI NO₂ slant column retrieval: method,
681 stability, uncertainties and comparisons with OMI. *Atmospheric Measurement Techniques*,
682 13(3):1315–1335.
- 683 [Veefkind et al., 2012] Veefkind, J., Aben, I., McMullan, K., Förster, H., de Vries, J., Otter,
684 G., Claas, J., Eskes, H., de Haan, J., Kleipool, Q., van Weele, M., Hasekamp, O., Hoogeveen,
685 R., Landgraf, J., Snel, R., Tol, P., Ingmann, P., Voors, R., Kruizinga, B., Vink, R., Visser,
686 H., and Levelt, P. (2012). TROPOMI on the ESA Sentinel-5 Precursor: a GMES mission for
687 global observations of the atmospheric composition for climate, air quality and ozone layer
688 applications. *Remote Sensing of Environment*, 120:70–83.
- 689 [Venter et al., 2020] Venter, Z. S., Aunan, K., Chowdhury, S., and Lelieveld, J. (2020). COVID-
690 19 lockdowns cause global air pollution declines. *Proceedings of the National Academy of Sci-*
691 *ences*, 117(32):18984–18990.

1 **SUPPORTING INFORMATION FOR “COVID-19 PANDEMIC**
2 **REVEALS PERSISTENT DISPARITIES IN NITROGEN DIOXIDE**
3 **POLLUTION”**

4 GAIGE HUNTER KERR¹, DANIEL L. GOLDBERG^{1,2}, SUSAN C.
5 ANENBERG¹

6 ¹*Department of Environmental and Occupational Health, Milken Institute School*
7 *of Public Health, George Washington University, Washington, DC, 20052 USA,*

8 ²*Energy Systems Division, Argonne National Laboratory, Lemont, IL, 60439*
9 *USA*

10 1. REMOTELY-SENSED VERSUS SURFACE-LEVEL NO₂

11 We compare tropospheric column NO₂ from TROPOMI with ground-based ob-
12 servations from the Environmental Protection Agency’s Air Quality System (AQS)
13 [US Environmental Protection Agency, nda] to test whether TROPOMI can pro-
14 vide an accurate characterization of differences in surface-level NO₂ during the
15 baseline period (13 March - 13 June 2019). There are 439 AQS monitors in
16 the contiguous U.S. with observations during the baseline period, and we aver-
17 age hourly observations over the entire baseline period at each of these sites and
18 compare them with TROPOMI retrievals at the collocated grid cell to each site.

19 We find that 71 of the 439 monitors are located near (< 20 meters) roads [US En-
20 vironmental Protection Agency, ndb]. These sites generally have observed surface-
21 level NO₂ > 10 ppbv despite relatively low columnar amounts from TROPOMI
22 (Figure S4). We do not expect TROPOMI to capture the large, sharp gradients
23 of NO₂ near roadways on account of the differences in scale between the foot-
24 print of the satellite and point-based observations. When we consider only AQS
25 monitors that are not located near roads, we find good agreement between these
26 surface-level observations and TROPOMI (Figure 4a). We also find a similar ratio
27 of NO₂ averaged over the 24-hour diurnal cycle to NO₂ near the time of satellite
28 overpass at sites that are classified as the most and least polluted (Figure 4b). Ad-
29 ditional factors such as instrument error (for both TROPOMI and AQS) and clear
30 sky biases may contribute to deviations from a perfect linear relationship between
31 the space-based and surface-level observations [Geddes et al., 2012, Bechle et al.,
32 2013, Judd et al., 2019]; however, the findings of this analysis lend credibility to
33 our reliance on TROPOMI to characterize disparities in NO₂ at earth’s surface.

E-mail address: gaigekerr@gwu.edu.

34

REFERENCES

- 35 [Bechle et al., 2013] Bechle, M. J., Millet, D. B., and Marshall, J. D. (2013). Remote sensing of
36 exposure to NO₂: Satellite versus ground-based measurement in a large urban area. *Atmospheric*
37 *Environment*, 69:345–353.
- 38 [Geddes et al., 2012] Geddes, J. A., Murphy, J. G., O’Brien, J. M., and Celarier, E. A. (2012).
39 Biases in long-term NO₂ averages inferred from satellite observations due to cloud selection
40 criteria. *Remote Sensing of the Environment*, 124:210–216.
- 41 [Judd et al., 2019] Judd, L. M., Al-Saadi, J. A., Janz, S. J., Kowalewski, M. G., Pierce, R. B.,
42 Szykman, J. J., Valin, L. C., Swap, R., Cede, A., Mueller, M., Tiefengraber, M., Abuhas-
43 san, N., and Williams, D. (2019). Evaluating the impact of spatial resolution on tropospheric
44 NO₂ column comparisons within urban areas using high-resolution airborne data. *Atmospheric*
45 *Measurement Techniques*, 12(11):6091–6111.
- 46 [US Environmental Protection Agency, nda] US Environmental Protection Agency (n.d.a). Air
47 Quality System Data Mart. <https://www.epa.gov/airdata>. Accessed October 21, 2020.
- 48 [US Environmental Protection Agency, ndb] US Environmental Protection Agency (n.d.b).
49 Near-road NO₂ monitoring. <https://www3.epa.gov/ttnamti1/nearroad.html>. Accessed Oc-
50 tober 22, 2020.

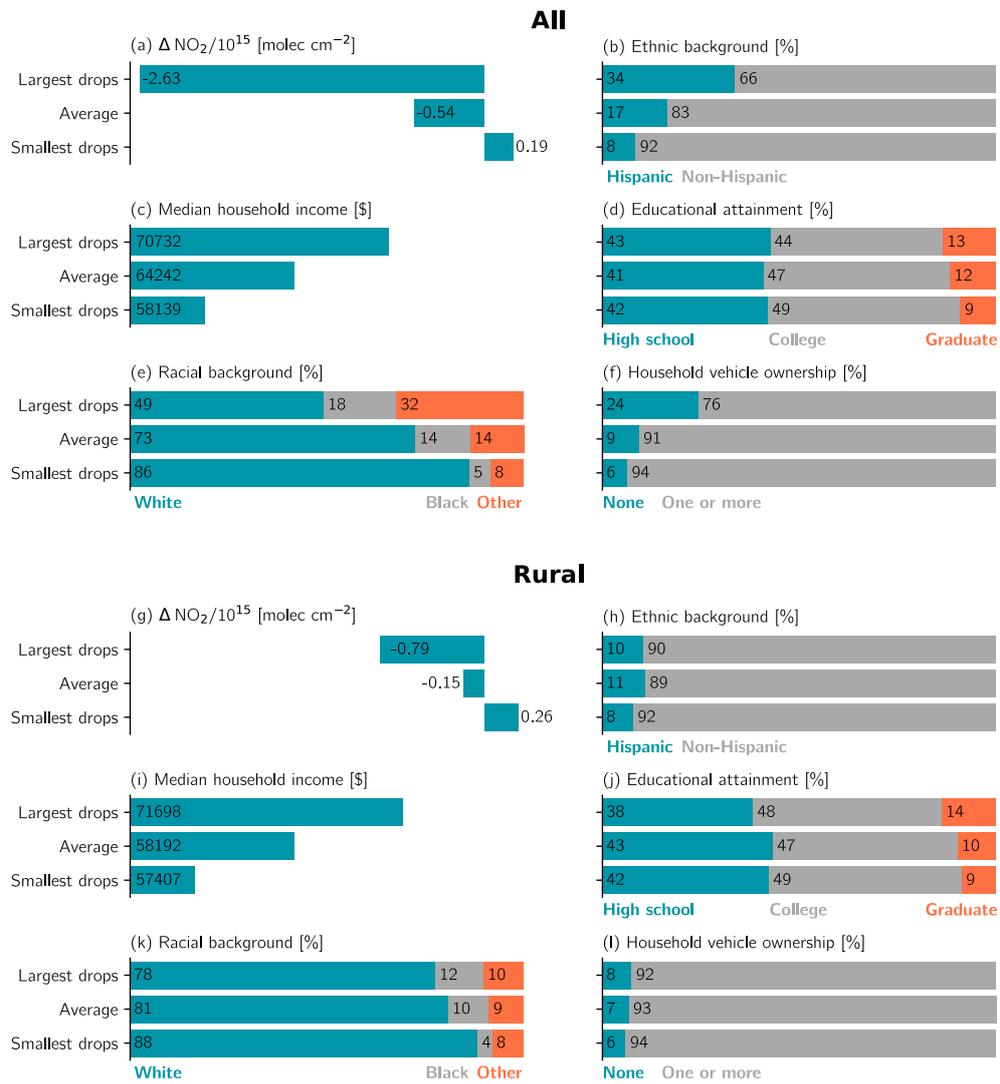


FIGURE 1. Same as Figure 1c-h in the main text but drops and averages are derived from (a-f) all tracts and (g-l) rural tracts.

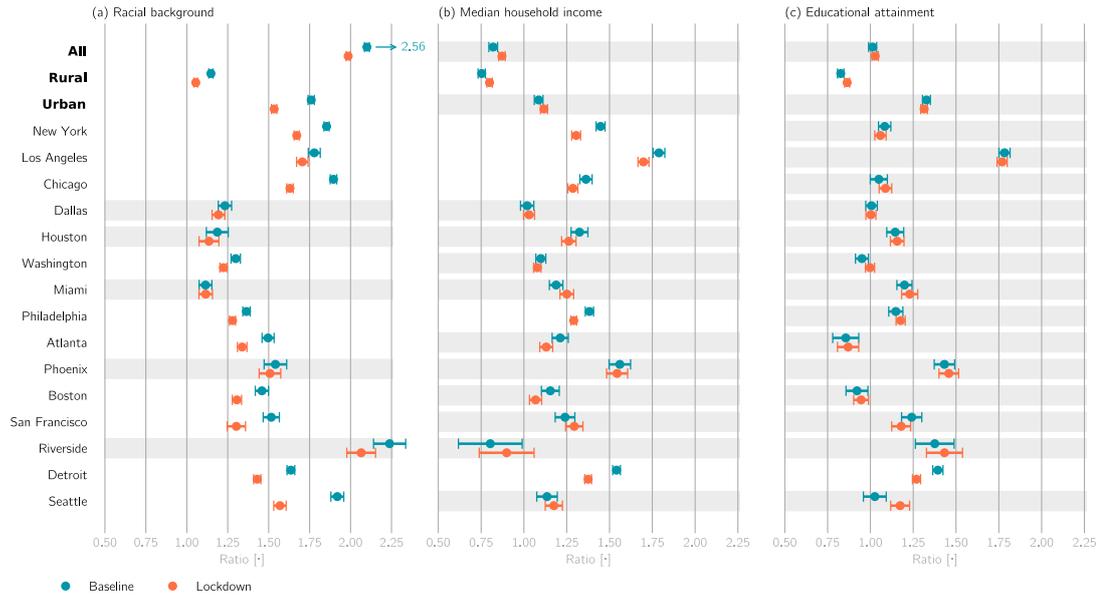


FIGURE 2. Following Figure 2 in the main text, we calculate the ratio of mean NO₂ in the (a) least white to most white, (b) lowest income to highest income, and (c) least educated to most educated census tracts. Horizontal bars indicate the 95% confidence intervals for the mean ratios. Spatial conglomerations or MSAs with confidence intervals that overlap between the baseline and lockdown periods are shaded in grey.

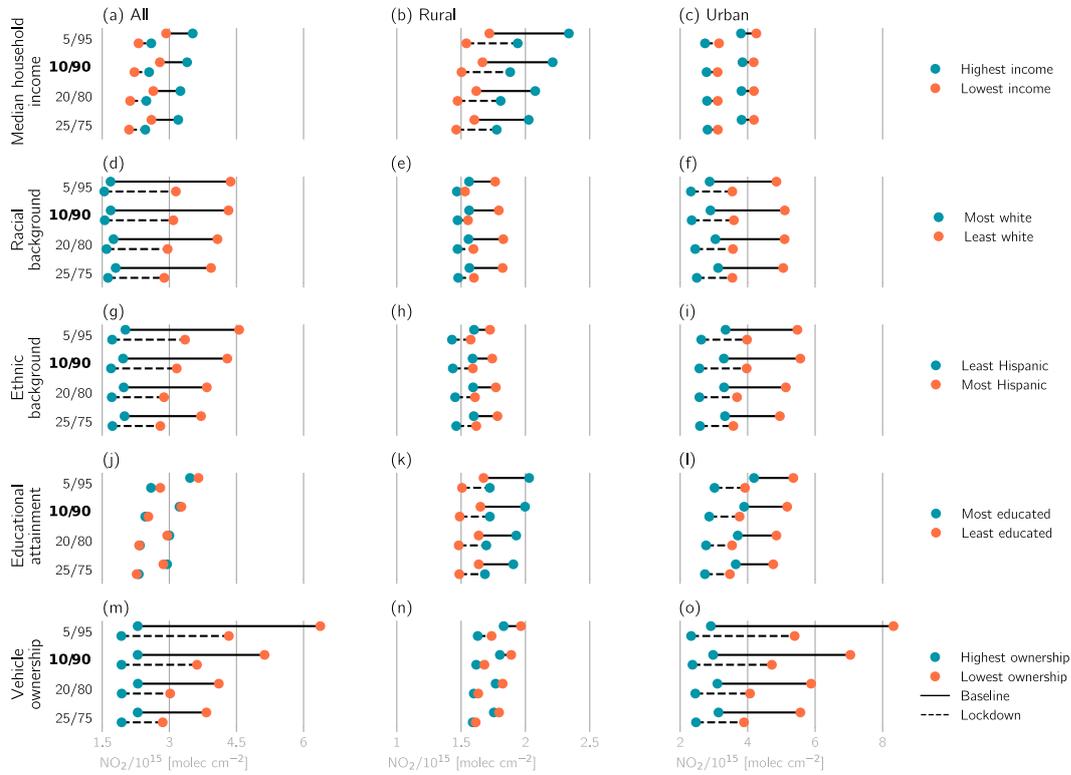


FIGURE 3. **Sensitivity of NO₂ disparities to percentiles chosen to constitute extreme values for each demographic variable.** Interpretation follows Figure 2 in the main text, but each pair of bars in individual subplots represents different percentile thresholds, indicated in the subplots' vertical axes. The boldface 10/90 row corresponds to the first and tenth deciles used in the main text.

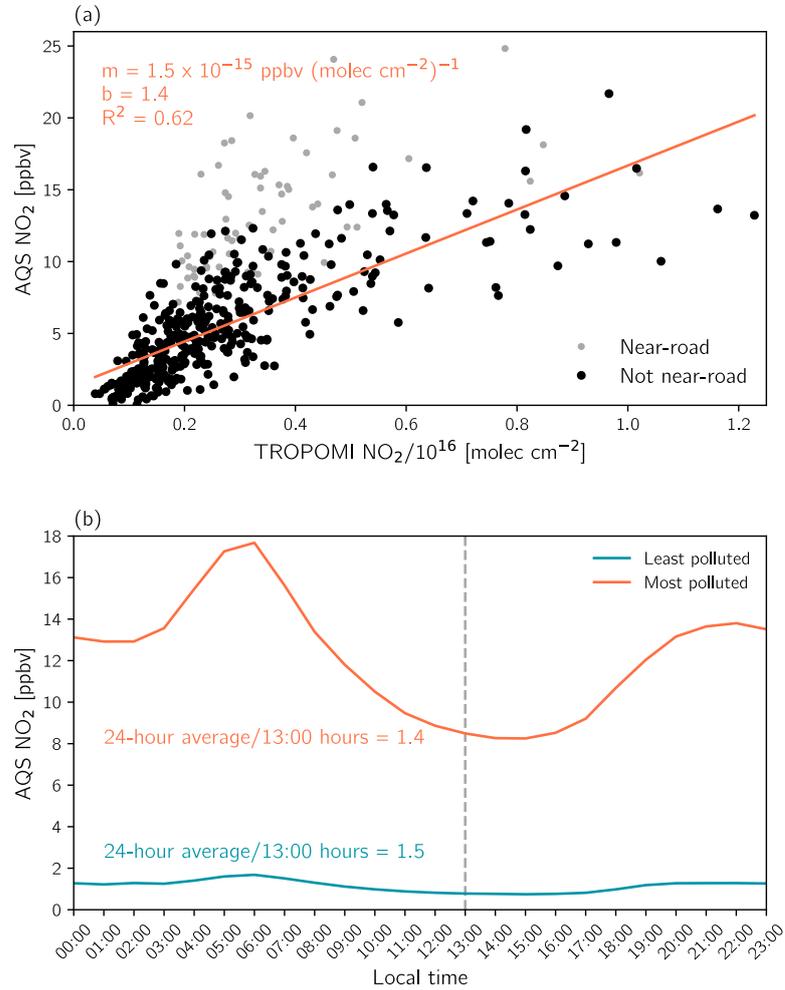


FIGURE 4. (a) Observed NO₂ from AQS monitors versus TROPOMI tropospheric NO₂ columns averaged over the baseline period (13 March - 13 June 2019). TROPOMI data correspond to the nearest 0.01° latitude × 0.01° longitude grid cell to each AQS monitor. The orange line represents the linear regression fitted only through AQS data not flagged as “near-road” (< 20 meters). The orange text gives the slope (m) and intercept (b) of this linear fit. (b) Observed diurnal cycles of NO₂ averaged over the most polluted (AQS monitors where the collocated TROPOMI grid cell > 90th percentile) and least polluted sites (AQS monitors where the collocated TROPOMI grid cell < 10th percentile) during the baseline period. Only sites that are not near-road are considered for these averages. The ratios of 24-hour average NO₂ to NO₂ at the approximate time of satellite overpass (dashed grey line; ~ 13:00 hours local time) are indicated in the colored text.

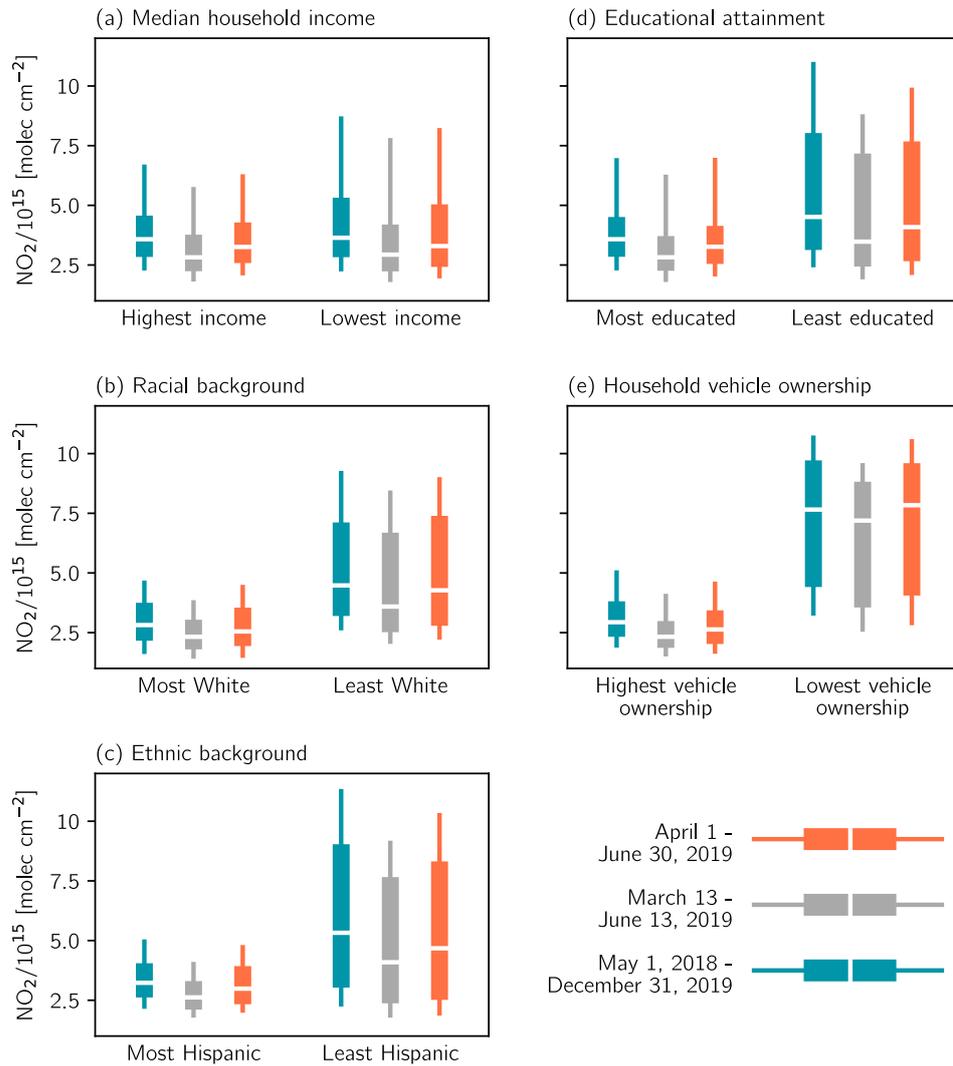


FIGURE 5. Sensitivity of urban NO₂ disparities to the baseline period. Extreme values of each demographic variable (using the first and tenth deciles) for three different baseline periods: 1 April - 30 June 2019, 13 March - 13 June 2019 (the period used in the main text), and 1 May 2018 - 31 December 2019 (the entire TROPOMI data record). Boxes extend to the lower and upper quartiles of the data, and the median value is indicated with the horizontal white lines. The lower and upper whiskers extend to the 10th and 90th percentiles, respectively.

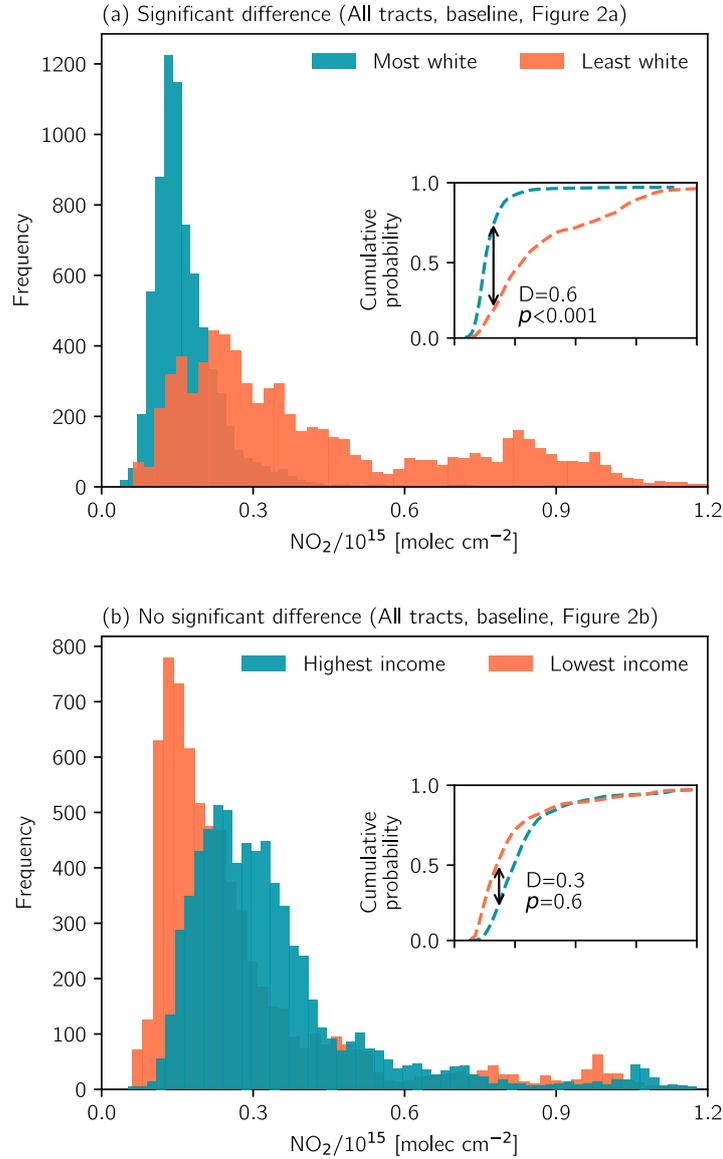


FIGURE 6. Illustration of the two-sample Kolmogorov-Smirnov (KS) test used to compare whether NO₂ or demographic distributions from different population subgroups are drawn from the same distribution. NO₂ distributions are shown for (a) the most and least white census tracts and (b) the highest and lowest income census tracts (for both urban and rural tracts) during the baseline period. Inset axes in (a)-(b) illustrate the empirical cumulative distribution functions (ECDFs) for each population subgroups' NO₂ distribution. The KS test statistic, D , representing the absolute maximum distance between the ECDFs of the two distributions and the associated p values are also indicated in the inset axes. Ticks on the x-axis of the insets are identical to the parent axes. The p -value in (a) indicates that the two population subgroups with statistically different NO₂ distributions, while the large p -value in (b) indicates the difference between the two distributions is not significant at the 95% confidence level.