

1 **Formation of self-sealing capability for carbon dioxide**  
2 **sequestration site in shallow sub-seabed sediments by**  
3 **three-phase coexistence**

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8 **Key Points:**

- 9 • Enhanced CO<sub>2</sub> migration from sequestration site by liquid CO<sub>2</sub> seeping through  
10 marine sediments facilitates fast CO<sub>2</sub> hydrate formation.  
11 • Coexisting CO<sub>2</sub> bubbles and hydrates in the three-phase zone reduces sediment  
12 layer permeability even at low hydrate saturation level.  
13 • Temperature and pressure perturbations may affect the three-phase zone, mak-  
14 ing the sealing capability prone to earthquakes or landslides.

**Abstract**

Storing CO<sub>2</sub> in sub-seabed sediment is a promising CO<sub>2</sub> sequestration method to reduce the atmospheric CO<sub>2</sub> concentration and mitigate climate change, with the advantage of self-sealing capability due to formation of CO<sub>2</sub> hydrate in the sediment pore space. Above the sequestration site, enhanced CO<sub>2</sub> migration and supersaturation lead to a zone of coexisting gaseous, hydrate and aqueous phases of CO<sub>2</sub> where curved surfaces of bubbles and hydrate crystals shift the phase equilibria, enabling fast development of the self-sealing capability due to permeability reduction by both hydrates and entrapped bubbles. We simulate the three-phase zone in a shallow seabed using a Monte Carlo method in packed synthetic mono-dispersed spherical sediment grains, and analyze its variations due to temperature and pressure perturbations. Our work demonstrates the difference between CO<sub>2</sub> hydrate-bearing sediment layer and methane hydrate reservoir, and provides insight into the formation mechanisms of the self-sealing cap above sequestration sites.

**Plain Language Summary**

Removing carbon dioxide from the atmosphere and storing it in geological formations is a promising method to mitigate global climate change. People have proposed that injecting liquid carbon dioxide into sub-seabed formations can take the advantage of carbon dioxide hydrate forming in the low-temperature high-pressure environment, which can serve as a sealing cap to prevent carbon dioxide leakage. However, treating the formation of carbon dioxide hydrate cap similar to the formation of methane hydrate reservoir neglects the thermodynamic difference between carbon dioxide and methane, and overlooks the role of carbon dioxide bubbles in the marine sediments. Abundant liquid carbon dioxide seepage from the storage site drives fast carbon dioxide migration to a depth near the hydrate stability zone, and unlike methane, carbon dioxide becomes supersaturated as it migrates upwards. The supersaturated carbon dioxide has to become gas bubbles and hydrates. Sediment pores enable the carbon dioxide bubbles, hydrates and dissolved carbon dioxide to form a three-phase zone, where entrapped gas bubbles and hydrate crystals occupy the pore space, and reduce the sediment permeability. The sealing capability of the cap not only depends on the hydrate-bearing sediment layer, but also on the gas bubbles filling the pore spaces.

**1 Introduction**

The concentration of CO<sub>2</sub> in the atmosphere has been steadily increasing since the industrial revolution because of human activity. According to the IPCC report Climate Change 2021 (Masson-Delmotte et al., 2021), the global CO<sub>2</sub> concentration in 2019 was 410 ppm, the highest in the last two million years, accompanied by an increased frequency of extreme weather events worldwide. Only with drastically reduced emissions can the global temperature rise be limited to less than 2 °C, and to less than 1.5 °C by the end of the century if zero-emissions are achieved by 2050. This environmental pursuit has driven the investigation of carbon capture, sequestration and storage (CSS), which removes and stores CO<sub>2</sub> from the atmosphere.

It is suggested that regardless of the storage method, after 100 years, the retained fraction of CO<sub>2</sub> is approximately between 65–100 %, and after 500 years the fraction drops to 30–85 % (Metz et al., 2005), so CSS methods with efficient leakage prevention are especially favored. Among all CSS methods, storing CO<sub>2</sub> in sub-seabed sediments (Koide et al., 1997) has drawn much attention because of its advantage of self-sealing. Liquid CO<sub>2</sub> is injected into sub-seabed formations (Shukla et al., 2010), and under low-temperature high-pressure conditions, the injected CO<sub>2</sub> can form CO<sub>2</sub> hydrates. Gas hydrates are ice-like compounds in which water molecules connected by hydrogen bonds form cages, and smaller gas molecules (guests), such as CO<sub>2</sub> and methane, are trapped inside the cages,

65 which are commonly found in permafrost regions and marine sediments (Sloan & Koh,  
66 2007). In sediments, CO<sub>2</sub> hydrates exist in the sediment pores near the base of the CO<sub>2</sub>  
67 hydrate stability zone (BHSZ). The CO<sub>2</sub> hydrate can reduce the porosity of the sediments,  
68 block the flow of the pore fluid, and decrease the permeability (e.g., Tohidi et al., 2001).  
69 Therefore, the hydrate-bearing sediment layer is self-sealing, which ensures long-term sta-  
70 bility (Eccles & Pratson, 2012) and has great potential to mitigate global warming (Adams  
71 & Caldeira, 2008; Goldberg et al., 2008).

72 Compared with other CSS technologies, such as storing CO<sub>2</sub> in geological forma-  
73 tions on land, further knowledge of the formation of the self-sealing hydrate-bearing sed-  
74 iment layer is needed before the CO<sub>2</sub> sequestration using the hydrate can be deployed.  
75 Specifically, the formation process that the hydrate layer and its sensibility to possible  
76 temperature and pressure perturbations are required for better understanding the seal-  
77 ing efficiency and stability. Previous works mainly focused on the formation of methane  
78 hydrate reservoir (e.g., Rempel & Buffett, 1997; Burwicz et al., 2017; Nole et al., 2018;  
79 You & Flemings, 2021) and its responses to natural temperature fluctuations (e.g., Rea-  
80 gan & Moridis, 2008; Sultan et al., 2004) or during exploitation (e.g., Rutqvist & Moridis,  
81 2009; Waite et al., 2009), but given the thermodynamic difference between methane hy-  
82 drate and CO<sub>2</sub> hydrate, the results for methane hydrate cannot be readily applied to the  
83 CO<sub>2</sub> hydrate. For example, the models of methane hydrate reservoir formation (e.g., Rem-  
84 pel & Buffett, 1997; You & Flemings, 2021) indicate that it requires tens of thousands  
85 of years for the methane hydrate to reach moderate saturation (fraction of sediment pore  
86 space occupied by the hydrate), evidently unsuitable for the formation of self-sealing cap  
87 above the CO<sub>2</sub> sequestration site where a large amount of CO<sub>2</sub> keeps upwelling. Also,  
88 the CO<sub>2</sub> hydrate has a much narrower stability range compared with that of the methane  
89 hydrate (Sloan & Koh, 2007), thus the CO<sub>2</sub> hydrate reservoir is more sensitive to tem-  
90 perature and pressure perturbations. Therefore, we need to study how the self-sealing  
91 capability develops in the sediments, and how the differences affect the leakage preven-  
92 tion and stability of the cap.

93 In this study, we investigated the formation of a self-sealing CO<sub>2</sub> hydrate cap near  
94 the BHSZ above the sequestration site. For simplicity, the sequestration reservoir is treated  
95 as a CO<sub>2</sub> source at a representative depth at 800 mbsf, which is the depth for the Sleip-  
96 ner site in Norway and Enping site in China. The sediment layers above the site do not  
97 deform during the phase change of liquid CO<sub>2</sub>, i.e., no fracturing caused by invasion of  
98 the gaseous phase or impingement of the hydrate phase. We first revisit the existing model  
99 on advection-diffusion driven methane hydrate reservoir formation, and then model the  
100 CO<sub>2</sub> hydrate cap formation considering the seeping transport of CO<sub>2</sub> from the source  
101 of liquid CO<sub>2</sub>, and the coexistence of the CO<sub>2</sub> bubble, CO<sub>2</sub> hydrate and dissolved CO<sub>2</sub>  
102 in aqueous solutions, where phase equilibria are shifted due to heterogeneously distributed  
103 surface curvatures. The sensitivity of the three-phase coexisting zone to the tempera-  
104 ture and pressure perturbations is quantitatively evaluated. Before concluding, we dis-  
105 cuss how the three-phase zone serves as an effective sealing cap with fast-developing seal-  
106 ing capability, as well as the role of the CO<sub>2</sub> bubbles in the sediment pore space. The  
107 parameters used in this study are listed in Table 1.

## 108 2 Formation of self-sealing cap

109 Under bulk conditions, the depth of the BHSZ is determined by the three-phase  
110 equilibrium of free CO<sub>2</sub> gas (G), CO<sub>2</sub> hydrate (H), and dissolved CO<sub>2</sub> in aqueous solu-  
111 tions (L), constrained by pressure, temperature, and salinity (e.g., Sloan & Koh, 2007).  
112 In pure water, CO<sub>2</sub> hydrates have a pressure range of 1–4.5 MPa and a temperature range  
113 of 272–283 K (Sun & Duan, 2005), and in seawater the salinity may cause a tempera-  
114 ture depression of about 1.5 K (Dickens & Quinby-Hunt, 1994). Above the maximum pres-  
115 sure, CO<sub>2</sub> is a supercritical liquid (L<sub>CO<sub>2</sub></sub>) and cannot form stable hydrates. Based on the  
116 stability conditions of CO<sub>2</sub> hydrate, there are two different CSS strategies using CO<sub>2</sub> hy-

117 hydrate: in a shallow seabed with a water depth of less than 300 m, CO<sub>2</sub> may exist as hy-  
 118 drates; in a deep seabed supercritical liquid CO<sub>2</sub> may be sealed by a CO<sub>2</sub> hydrate cap  
 119 above (Koide et al., 1997). The first strategy is favored due to technical and economic  
 120 considerations, and depleted oil or gas reservoirs can be used as storage sites. In both  
 121 cases, because the surface tension between liquid CO<sub>2</sub> and water is significantly less than  
 122 that between oil and water, CO<sub>2</sub> leakage is inevitable (Li et al., 2006; Espinoza & San-  
 123 tamarina, 2010).

## 124 2.1 Hydrate formation by fluid advection

125 In the widely used model (e.g., Rempel & Buffett, 1997; Daigle & Dugan, 2011; You  
 126 et al., 2019), CO<sub>2</sub> molecules from the source at  $z_s$  are transported to the BHSZ at a depth  
 127 of  $z_3$  by upward flow advection and diffusion. The Péclet number is  $Pe = (z_s - z_3)u_l/D_g$   
 128 where  $z_s - z_3$  is the characteristic length from the CO<sub>2</sub> source to the BHSZ, typically  
 129 about 500 m for shallow seabed carbon dioxide sequestration site at 800 mbsf, the pore  
 130 fluid upwelling velocity  $u_l$  is usually less than 1 mm/yr (Davie & Buffett, 2003), and the  
 131 effective diffusion coefficient  $D_g$  through the sediments is about  $10^{-9}$  m<sup>2</sup>/s. The Péclet  
 132 number  $Pe \gg 1$ , so that the advection dominates the CO<sub>2</sub> transport, and the diffusion  
 133 term can be ignored. The hydrate is slowly accumulating so the variation of CO<sub>2</sub> con-  
 134 centration  $X$  (in mass fraction) in pore fluid  $\partial X/\partial t$  can be ignored. The simplified mass  
 135 conservation in 1D with a downward  $z$  direction is

$$136 \frac{\partial S_h}{\partial t} = \frac{u_l}{\xi_h \phi (f - X + fX)} \frac{\partial X}{\partial z} \Big|_{z_3} \quad (1)$$

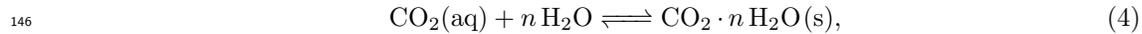
137 where  $\xi = \rho_h/\rho_l$  is the ratio of the CO<sub>2</sub> hydrate density to seawater density,  $\phi$  is the  
 138 porosity, and  $f = 0.289$  is the mass fraction of CO<sub>2</sub> in sl CO<sub>2</sub> hydrate with  $n \approx 6$ .  
 139 The equation is the same as in Rempel and Buffett (1997) if the higher order term  $fX$   
 140 is ignored, and the timescale is

$$141 \frac{\xi_h \phi (f - X)}{u_l} \left( \frac{\partial X}{\partial z} \Big|_{z_3} \right)^{-1}. \quad (2)$$

142 At the BHSZ, the CO<sub>2</sub> concentration  $X$  is determined by the H-L equilibrium, and  
 143 can be converted from the molar fraction  $x_{hl}$  such that

$$144 \frac{\partial X}{\partial z} = \frac{X(1 - X)}{1 - x_{hl}} \frac{\partial \ln x_{hl}}{\partial z}, \quad (3)$$

145 To evaluate  $\partial \ln x_{hl}/\partial z$  for the H-L equilibrium,



147 we use the thermodynamic relations

$$148 \frac{\partial \ln x_{hl}}{\partial T} \Big|_P = \frac{\Delta_{\text{sol}}^{hl} H}{RT^2} > 0, \quad \frac{\partial \ln x_{hl}}{\partial P} \Big|_T = \frac{V_h - \bar{V}_g - nV_w}{RT} < 0, \quad (5)$$

149 where  $R$  is the ideal gas constant,  $\Delta_{\text{sol}}^{hl} H$  is the hydrate dissolution heat,  $V_h$  is the mo-  
 150 lar volume of CO<sub>2</sub> hydrate,  $\bar{V}_g$  is the partial molar volume of dissolved CO<sub>2</sub>, and  $V_w$  is  
 151 the molar volume of water. The bulk BHSZ is at depth  $z_3$  below the seafloor, correspond-  
 152 ing to a three-phase equilibrium condition

$$153 T_3 = T_0 + G_T z_3, \quad P_3 = P_0 + G_P z_3, \quad (6)$$

154 where  $T_0$  and  $P_0$  are the temperature and pressure at the seafloor, and  $G_T$  and  $G_P$  are  
 155 the geothermal gradient and hydrostatic pressure gradient in the sediment, respectively.  
 156 The CO<sub>2</sub> solubility vary with the depth near  $z_3$  according to

$$157 g_{hl} = \frac{d \ln x_{hl}}{dz} \Big|_{z_3} = \frac{\Delta_{\text{sol}}^{hl} H}{RT_3^2} G_T + \frac{V_h - \bar{V}_g - nV_w}{RT_3} G_P. \quad (7)$$

158 With the three-phase equilibrium  $T_3 \approx 282$  K and  $P_3 \approx 4$  MPa, and use nominal val-  
 159 ues for  $G_T$  and  $G_P$  (Table 1) we have

$$160 \quad g_{hl} = 1.909 \text{ km}^{-1}. \quad (8)$$

161 From eq. (2), with  $X \approx 0.0609$  (Duan & Sun, 2003),  $u_l \approx 1$  mm/yr, and the value of  
 162  $g_{hl}$ , we can find that it takes about  $10^4$  yr for  $S_h$  from zero to increase to 1%, which is  
 163 reasonable for natural hydrate deposits, but too slow for the formation of self-sealing hy-  
 164 drate cap. Other proposed hydrate growth mechanisms, such as the burial-driven recy-  
 165 cling (Burwicz et al., 2017; Nole et al., 2018; You & Flemings, 2021; Schmidt et al., 2022)  
 166 may help to build high saturation of hydrate at later stage after enough  $\text{CO}_2$  is trans-  
 167 ported to the BHSZ.

168 It is evident that if  $\text{CO}_2$  transport is through pore fluid advection similar to methane,  
 169 building up a hydrate reservoir by advection-dominated hydrate accumulation occurs at  
 170 a geological timescale. However, for  $\text{CO}_2$  sequestration site, given the fact that abun-  
 171 dant  $\text{CO}_2$  exists merely hundreds of meters below the hydrate stability zone, formation  
 172 of the sealing cap can benefit from enhanced  $\text{CO}_2$  migration, shifted phase equilibria in  
 173 porous sediment, and reduced permeability caused by entrapped  $\text{CO}_2$  bubbles and hy-  
 174 drate crystals in the sediment, and require significantly less time.

## 175 2.2 Enhanced $\text{CO}_2$ migration by liquid $\text{CO}_2$ seepage

176 With a shallow water depth  $d = 100$  m, a geothermal gradient  $G_T = 0.03$  K/m  
 177 and a hydrostatic pressure gradient  $G_P \approx 10^4$  Pa/m, the base of the hydrate stability  
 178 zone is at  $z_3 = 288$  mbsf, and the  $\text{CO}_2$  liquid-gas phase boundary is at  $z_v = 352$  mbsf  
 179 (Figure 1a). Liquid  $\text{CO}_2$  from the sequestration site first permeates to  $z_v$  by ambient pres-  
 180 sure and buoyancy, which can be approximated as a Darcy flow

$$181 \quad \mathbf{u}_{\text{CO}_2} = -\frac{k}{\mu_{\text{CO}_2}} \nabla(P - \rho_{\text{CO}_2} \mathbf{g}) \quad (9)$$

182 and compared with the pore fluid upwelling velocity without  $\text{CO}_2$

$$183 \quad \mathbf{u}_l = -\frac{k}{\mu_l} (\nabla P - \rho_l \mathbf{g}) \quad (10)$$

184 we have

$$185 \quad \mathbf{u}_{\text{CO}_2} = \frac{\mu_l}{\mu_{\text{CO}_2}} \mathbf{u}_l + \frac{k}{\mu_{\text{CO}_2}} (\rho_{\text{CO}_2} - \rho_l) \mathbf{g}. \quad (11)$$

186 The density difference  $\Delta\rho = \rho_{\text{CO}_2} - \rho_l$  between liquid  $\text{CO}_2$  and the seawater can be  
 187 calculated using the correlation by Levine et al. (2007) (Figure 1b), the permeability of  
 188 the sediment to liquid  $\text{CO}_2$   $k$  is assumed the same as the permeability to water, and the  
 189 viscosity of the liquid  $\text{CO}_2$  is from Fenghour et al. (1998). The pore fluid upwelling ve-  
 190 locity is taken as 1 mm/yr (Davie & Buffett, 2003). At the temperature and pressure range  
 191 of the model, the liquid  $\text{CO}_2$  upwelling velocity is around 0.7 m/yr, about two orders of  
 192 magnitude larger than  $u_l$ , and for a unit seep area, the advection mass flux of  $\text{CO}_2$  is  
 193 less than  $u_l \rho_l X \approx 0.06$  kg/(m<sup>2</sup>·yr), whereas the liquid  $\text{CO}_2$  seepage can transport  $u_{\text{CO}_2} \rho_{\text{CO}_2} \approx$   
 194 608 kg/(m<sup>2</sup>·yr). In fact, if we take into account of possible overpressure during the in-  
 195 jection of liquid  $\text{CO}_2$ , the permeation can be even faster.

196 With the enhanced permeation of liquid  $\text{CO}_2$ , at the  $L_{\text{CO}_2}$ -G boundary which is  
 197 only at a distance  $z_v - z_3 = 64.5$  m from the bulk BHSZ, abundant liquid  $\text{CO}_2$  turns  
 198 into gaseous (and dissolved)  $\text{CO}_2$ . This distance is only a tenth of the distance from the  
 199 BHSZ to the sequestration depth, drastically shorter compared with that of methane mi-  
 200 gration from deep methanogenic regions to the BHSZ for methane, but it still requires  
 201 a long time if  $\text{CO}_2$  can only form hydrate at the BHSZ. However, due to shifted G-L and  
 202 H-L equilibria in sediment pores, a three-phase zone where  $\text{CO}_2$  bubbles,  $\text{CO}_2$  hydrate

**Table 1.** Parameters and nominal values for CO<sub>2</sub> gas, CO<sub>2</sub> hydrate, and water based on homogeneous three-phase equilibrium conditions  $T_3 = 282$  K and  $P_3 = 4$  MPa.

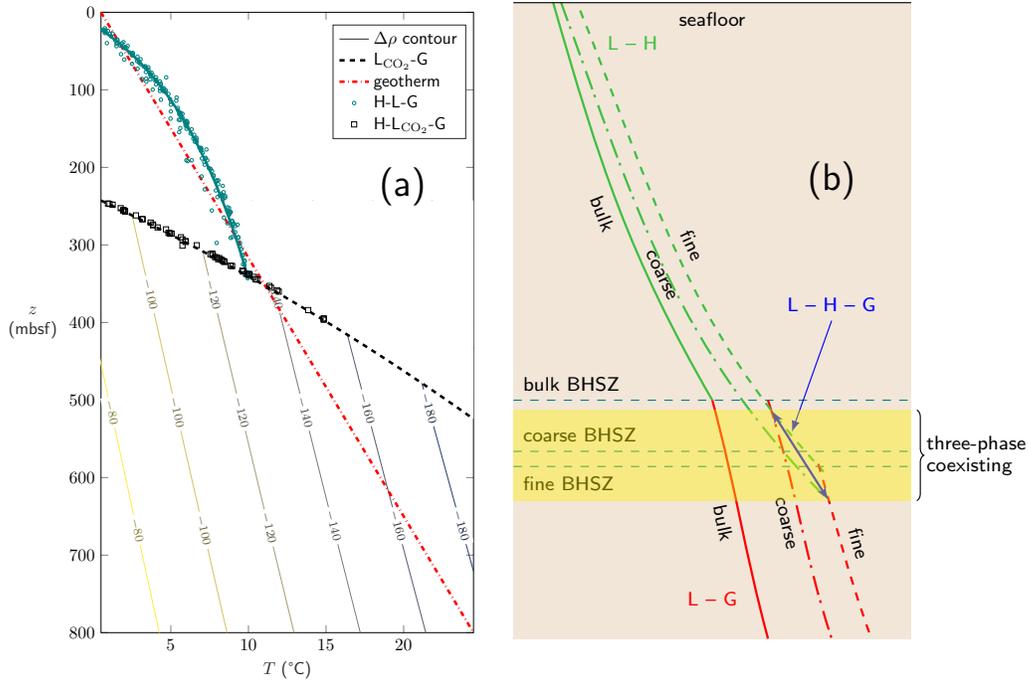
	Model parameters	Symbol	Unit	Value
thermodynamic parameters	CO <sub>2</sub> molar dissolution heat <sup>a</sup>	$\Delta_{\text{sol}}^{gl}H$	kJ/mol	-20.24
	CO <sub>2</sub> hydrate molar dissolution heat <sup>b</sup>	$\Delta_{\text{sol}}^{hl}H$	kJ/mol	42.3
	molar volume of water <sup>c</sup>	$V_w$	cm <sup>3</sup> /mol	17.93
	partial molar volume of CO <sub>2</sub> in water <sup>a</sup>	$\bar{V}_g$	cm <sup>3</sup> /mol	28.4
	molar volume of CO <sub>2</sub> hydrate <sup>d</sup>	$V_h$	cm <sup>3</sup> /mol	135.4
	G-L surface tension	$\gamma_{gl}$	J/m <sup>2</sup>	0.07
	H-L surface tension <sup>e</sup>	$\gamma_{hl}$	J/m <sup>2</sup>	0.029
	hydration number	$n$	—	~ 6
	mass fraction of CO <sub>2</sub> in hydrate	$f$	—	0.289
	molar fraction of CO <sub>2</sub> in G-L equilibrium	$x_{gl}$	—	
	molar fraction of CO <sub>2</sub> in H-L equilibrium	$x_{hl}$	—	
	mass fraction of CO <sub>2</sub> in H-L equilibrium	$X$	—	
	compressibility factor of CO <sub>2</sub> <sup>f</sup>	$Z$	—	
hydraulic parameters	geothermal gradient	$G_T$	K/m	$3 \times 10^{-2}$
	hydrostatic pressure gradient	$G_P$	Pa/m	$10^4$
	seawater density <sup>g</sup>	$\rho_l$	kg/m <sup>3</sup>	1029
	liquid CO <sub>2</sub> density	$\rho_{\text{CO}_2}$	kg/m <sup>3</sup>	
	CO <sub>2</sub> hydrate density <sup>h</sup>	$\rho_h$	kg/m <sup>3</sup>	1120
	water viscosity	$\mu_l$	Pa · s	0.001
	liquid CO <sub>2</sub> viscosity <sup>i</sup>	$\mu_{\text{CO}_2}$	Pa · s	
	pore fluid upwelling velocity	$u_l$	mm/yr	1
	liquid CO <sub>2</sub> upwelling velocity	$u_{\text{CO}_2}$	mm/yr	
	sediment permeability	$k$	m <sup>2</sup>	$10^{-15}$
	effective gas diffusion coefficient	$D_g$	m <sup>2</sup> /s	$10^{-9}$
	effective salt diffusion coefficient	$D_s$	m <sup>2</sup> /s	$10^{-9}$
	volume fraction of hydrate in pores	$S_h$	—	
volume fraction of gas in pores	$S_g$	—		
volume fraction of liquid in pores	$S_l$	—		
geological parameters	sequestration site depth	$z_s$	mbsf	800
	water depth	$d$	m	100
	bulk BHSZ depth <sup>j</sup>	$z_3$	mbsf	288
	L <sub>CO<sub>2</sub></sub> -G boundary <sup>k</sup>	$z_v$	mbsf	352
	sediment porosity	$\phi$	—	0.5
	seafloor temperature	$T_0$	°C	0.5

Sources:

<sup>a</sup> Duan and Sun (2003). The dissolution heat is evaluated at  $T_3$ , where the negative sign means that the solution releases heat, close to the value by Carroll et al. (1991). The partial molar volume of CO<sub>2</sub> is calculated as the derivative of the chemical potential of CO<sub>2</sub> with respect to the temperature. <sup>b</sup> The sum of the molar dissociation heat of CO<sub>2</sub> hydrate into water and CO<sub>2</sub> gas  $\Delta_{\text{dis}}H = 63.6$  kJ/mol (Anderson, 2003) and CO<sub>2</sub> dissolution heat  $\Delta_{\text{sol}}^{gl}H$ .

<sup>c</sup> Wagner and Pruss (1993) <sup>d</sup> Sun and Duan (2005) <sup>e</sup> Hardy (1977) <sup>f</sup> Peng and Robinson (1976) <sup>g</sup> Spivey et al. (2004) <sup>h</sup> Udachin et al. (2001)

<sup>i</sup> Fenghour et al. (1998) <sup>j</sup> Calculated using Wendland et al. (1999). <sup>k</sup> Calculated using Nevers (2012).



**Figure 1.** (a) The hydrate stability zone in the sediment, defined by the three-phase equilibrium of  $CO_2$  hydrate, gaseous  $CO_2$  and aqueous  $CO_2$  (teal circles and curve, with data compiled by Sloan and Koh (2007)) and the geothermal temperature (red dashed line). The black dashed line is the boundary between liquid  $CO_2$  and gaseous  $CO_2$ , which is also the three-phase equilibrium of  $CO_2$  hydrate, gaseous  $CO_2$  and liquid  $CO_2$  (black squares, from Larson (1955)). The contour of the density difference between liquid  $CO_2$  and seawater  $\Delta\rho = \rho_{CO_2} - \rho_l$  with a constant salinity 3.5% (Levine et al., 2007) overlaid on the temperature profile below the  $L_{CO_2-G}$  boundary. The contour levels are in  $kg/m^3$ . In the temperature and pressure range considered,  $\Delta\rho$  is around  $-160 kg/m^3$ . (b) The three-phase coexisting zone near the BHSZ. Under bulk conditions, above the BHSZ, the  $CO_2$  solubility is determined by H-L equilibrium, increasing with the depth (green curves). Below the BHSZ, hydrate dissociates and the dissolved  $CO_2$  is in equilibrium with  $CO_2$  gas (red curves). The shifted solubilities in pores help to generate a finite zone where three phase coexist, where one non-wetting phase in finer pores with larger surface curvatures is in equilibrium with the other non-wetting phase in coarser pores with smaller surface curvatures.

203 and aqueous  $CO_2$  coexist may extend towards the  $L_{CO_2-G}$  boundary. As a result, the  
 204 gaseous and dissolved  $CO_2$  may turn into  $CO_2$  hydrate crystals without further migra-  
 205 tion, and the entrapped  $CO_2$  bubbles and hydrate crystals can significantly reduce the  
 206 permeability even at relatively low hydrate saturations.

### 207 3 Three-phase coexistence near the BHSZ

208 The depth of the BHSZ in bulk conditions is determined by the intersection of H-  
 209 L and G-L equilibria constrained by the temperature, pressure, and salinity conditions,  
 210 and below the BHSZ the hydrate phase is no longer stable. In porous sediments, how-  
 211 ever, the formation of hydrate deviates from the L-G-H three-phase bulk equilibrium (Fig-  
 212 ure 1b). The aqueous solution wets the sediment grains, and gaseous and hydrate phases  
 213 are both non-wetting to the grains. Pore walls act as geometric constraints to surfaces

214 of gas bubbles and hydrate crystals, and hence affect the curvatures and the surface energy of the curved H-L or G-L interfaces (e.g., Clennell et al., 1999; Henry et al., 1999; 215 Daigle & Dugan, 2011; Liu & Flemings, 2011). 216

217 Existing studies on the hydrate in porous media mostly treated the pores as cylinders (e.g., Wilder et al., 2001) or spheres connected by cylindrical throats (e.g., Liu & 218 Flemings, 2011). These simplified regular pore models help to understand the effect of 219 pore size distribution on the equilibrium of hydrate in porous media, but they ignore the 220 variation of the interface curvature of the non-wetting phases, which shifts the equilibrium 221 as non-wetting phases grow or dissolve. In real marine sediment, pore space between 222 granular sediment grains is irregular, and allows phase boundaries of different curvatures 223 to coexist in adjacent pores and crevices. To accommodate the pore sizes, the bulk BHSZ 224 shifts to a finite three-phase zone due to heterogeneously distributed interface curvatures 225 in the sediments, where one non-wetting phase in the pore center with a large interface 226 radius may be in equilibrium with the other non-wetting phase in a small crevice with 227 a small interface radius at the same pressure, temperature and salinity. 228

### 229 3.1 Thermodynamic relations

230 To investigate the shifted solubilities in pores, we use  $x_{gl}$  and  $x_{hl}$  to denote the equilibrium 231 CO<sub>2</sub> solubilities (in molar fraction) at a three-phase equilibrium temperature  $T_3$  232 and pressure  $P_3$  with a flat G-L and H-L interface. The elevated CO<sub>2</sub> solubilities with 233 a gas bubble radius  $r_g$  or a hydrate crystal radius  $r_h$  are

$$234 \quad x'_{gl}(r_g) = x_{gl} \left( 1 + \frac{\gamma_{gl}}{P_3 + G_P \Delta z} \frac{2}{r_g} \right), \quad x'_{hl}(r_h) = x_{hl} \exp \left[ \frac{2V_h \gamma_{hl}}{Rr_h(T_3 + G_T \Delta z)} \right]. \quad (12)$$

235 where  $\gamma_{gl}$  and  $\gamma_{hl}$  are the surface tension of the G-L and H-L surfaces, and  $\Delta z$  is the offset 236 from the bulk BHSZ depth  $z_3$ . Similar to the H-L equilibrium in Section 2.1, in the 237 G-L equilibrium



239 the thermodynamic relations for the CO<sub>2</sub> solubility are

$$240 \quad \left. \frac{\partial \ln x_{gl}}{\partial T} \right|_P = \frac{\Delta_{\text{sol}}^{gl} H}{RT^2} < 0, \quad \left. \frac{\partial \ln x_{gl}}{\partial P} \right|_T = \frac{V_g - \bar{V}_g}{RT} \approx \frac{Z}{P} > 0, \quad (14)$$

241 where  $\Delta_{\text{sol}}^{gl} H$  is the molar heat of solution of CO<sub>2</sub> gas,  $V_g$  is the molar volume of CO<sub>2</sub> 242 gas,  $\bar{V}_g$  is the partial molar volume of CO<sub>2</sub> in water, which is negligible compared with 243  $V_g$ , and  $Z$  is the compressibility factor of CO<sub>2</sub>. Partial pressure from water vapor is also 244 negligible because in the temperature range of interest, the saturation vapor pressure is 245 much smaller than the hydrostatic pressure. The dependence of G-L solubility  $x_{gl}$  with 246 depth near  $z_3$  can be expressed in a form similar to eq. (7)

$$247 \quad g_{gl} = \left. \frac{d \ln x_{gl}}{dz} \right|_{z_3} = \frac{\Delta_{\text{sol}}^{gl} H}{RT_3^2} G_T + \frac{Z}{P_3} G_P, \quad (15)$$

248 where  $Z$  is evaluated using an equation of state for CO<sub>2</sub> (e.g., Peng & Robinson, 1976). 249 With  $T_3 \approx 282$  K,  $P_3 \approx 4$  MPa, and the values for  $G_T$  and  $G_P$  (Table 1) we have

$$250 \quad g_{gl} = 0.778 \text{ km}^{-1} \quad (16)$$

251 Because  $g_{hl} = 1.913 \text{ km}^{-1} > g_{gl}$ , the gradient of the CO<sub>2</sub> G-L solubility is much gentler 252 than that of the CO<sub>2</sub> H-L solubility, and the positive values mean that both increase 253 with the depth. Within a small distance of  $z_3$ , the change in  $Z$  and other thermodynamic 254 properties are negligible, and bulk solubilities at  $z = z_3 + \Delta z$  are approximated as

$$255 \quad x_{gl}(z) = x_3 \exp(g_{gl} \Delta z), \quad x_{hl}(z) = x_3 \exp(g_{hl} \Delta z), \quad (17)$$

256 and the resulting change in the BHSZ location from the bulk BHSZ is determined by equat-  
 257 ing the two solubilities at  $z = z_3 + \Delta z$

$$258 \quad \frac{2V_h\gamma_{hl}}{r_h R(T_3 + G_T \Delta z)} = (g_{gl} - g_{hl}) \Delta z + \ln \left( 1 + \frac{2\gamma_{gl}}{r_g} \frac{1}{P_3 + G_P \Delta z} \right). \quad (18)$$

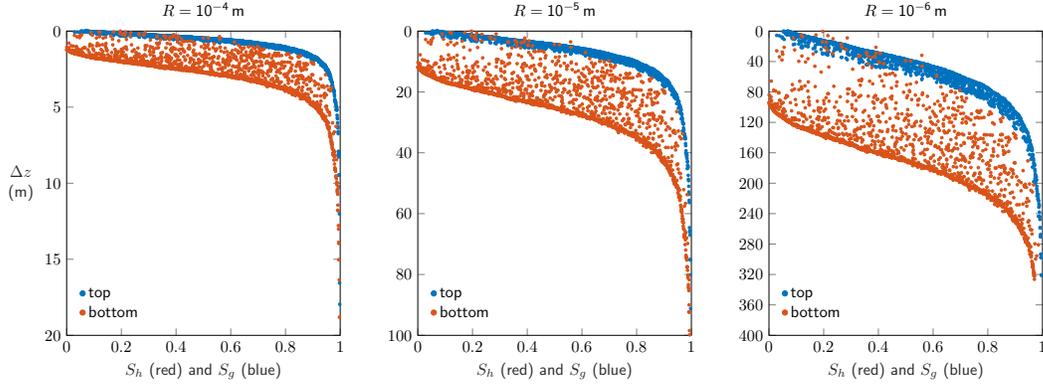
259 With  $r_g$  and  $r_h$  constrained by the pore space, the depth offset  $\Delta z$  can be solved. We  
 260 can use a Monte Carlo method to find the maximum offset for mono-dispersed granu-  
 261 lar sediment as a function of the saturation levels.

### 262 3.2 Monte Carlo simulation of the three-phase boundaries

263 To account for the distribution of curved interfaces in 3D irregular pores between  
 264 spherical grains, we use the method in Chen et al. (2021) to study the L-G-H three-phase  
 265 coexistence in marine sediments, which was based on the Monte Carlo approach by Rempel  
 266 (2012) in 2D crevice spaces and later extended to 3D by Chen et al. (2020). A descrip-  
 267 tion of the Monte Carlo scheme is provided in the Supporting Information. For simplic-  
 268 ity, we use the mono-dispersed Finney pack (Finney, 1970), and ignore possible influ-  
 269 ence of the salinity because with small variations in the salinity, the temperature depres-  
 270 sion is almost uniform near the BHSZ. We calculated the deviations from the bulk BHSZ  
 271 corresponding to the radii of the gas bubbles and hydrate crystals using eq. (18), shown  
 272 in Figure 2 with the positive direction pointing downwards. The spherical grain radii are  
 273  $R = 10^{-6} - 10^{-4}$  m, in the grain size range of silt to fine sand. For both grain sizes,  
 274 the three-phase zones bend downwards at high saturation levels of the emergent phase,  
 275 because the growing emergent phase further intrudes into the crevice, increasing both  
 276 curvatures. In coarser sediment ( $10^{-4}$  m grain radius) with larger pores, the three-phase  
 277 zone is thinner and closer to the bulk BHSZ, whereas in finer sediments ( $10^{-5}$  m and  $10^{-6}$  m  
 278 grain radius), the three-phase zone is broader, suggesting stronger deviations from the  
 279 bulk conditions. For finer grains, the three-phase zone extend significantly towards the  
 280  $L_{CO_2}$ -G boundary even at relatively low hydrate saturations. Therefore,  $CO_2$  can form  
 281 hydrate crystals near the  $L_{CO_2}$ -G boundary without migrating a long distance to the bulk  
 282 BHSZ. These hydrate crystals, together with entrapped  $CO_2$  bubbles, help to limit the  
 283 leakage even before sufficient hydrates accumulate and form a hydrate sealing cap.

### 284 3.3 Sensitivity of the three-phase zone to temperature and pressure per- 285 turbations

286 With the three-phase zone acting as a bubble-hydrate buffer, it is important to un-  
 287 derstand the response of the zone to temperature and pressure perturbations. Because  
 288 the variation in the hydrostatic pressure gradient  $G_P$  is negligible, the depth of the three-  
 289 phase zone depends on the geothermal gradient  $G_T$  and the three-phase equilibrium con-  
 290 ditions  $T_3$  and  $P_3$  which are functions of the water depth  $d$  and  $G_T$ . Therefore, the main  
 291 source of perturbations for the three-phase zone is the change of the sea level and tem-  
 292 perature perturbations. We perform numerical tests with the sediment grain radius  $10^{-5}$  m,  
 293 where the seawater depth  $d = 90 - 110$  m and the geothermal gradient  $G_T = 25 -$   
 294  $35$  K/km. The results are presented in Figure 3. Combined with Figure 2, we can see that  
 295 finer grains, larger geothermal gradients, and shallower water depths all tend to broaden  
 296 the three-phase zone. In the scenario of  $d = 90$  m and  $G_T = 3.5 \times 10^{-2}$  K/m, the three-  
 297 phase zone is so broad that it encompasses the  $L_{CO_2}$ -G boundary, which means that  $CO_2$   
 298 hydrates can coexist with  $CO_2$  bubbles immediately after the liquid  $CO_2$  turns into gaseous  
 299  $CO_2$ . Sources of the perturbations could be seasonal temperature changes or tidal sea  
 300 level changes, but sub-marine geologic activities, such as earthquakes or landslides, are  
 301 more likely to cause large abrupt changes in a short period of time, which can affect the  
 302 sealing capability of the bubble-hydrate cap.



**Figure 2.** Monte Carlo simulation of the upper and lower boundaries of the three-phase zone for CO<sub>2</sub> hydrate bearing sediments plotted against the saturation of the emerging phase in 3D mono-dispersed granular media with particle sizes  $R = 10^{-4} - 10^{-6}$  m. At the bottom boundary the emerging phase is the hydrate, whereas at the top boundary the gaseous phase is incipient. With larger sediment grains of a grain radius  $10^{-4}$  m, the three-phase zone in sediments is closer to the bulk BHSZ, and its thickness is much less than that of  $10^{-5}$  m and  $10^{-6}$  m grain radius, consistent with the fact that if the phase equilibrium is not confined by the finite pores, the three-phase zone shrinks to a unique depth, i.e., the bulk BHSZ.

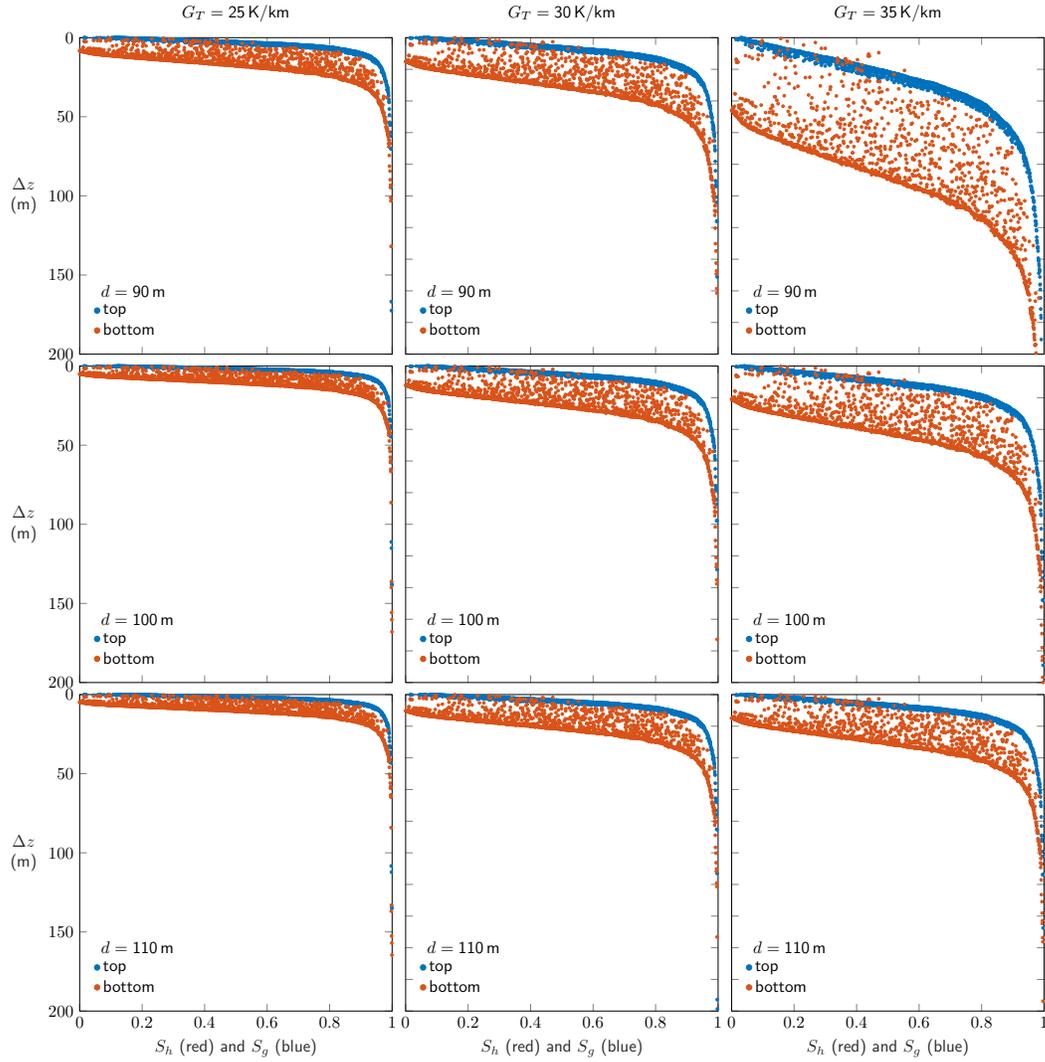
## 303 4 Discussion

### 304 4.1 Permeability reduction due to entrapped bubbles

305 In the sediment, the sealing capability is usually attributed to the hydrate satu-  
 306 ration  $S_h$ . However, the role of entrapped CO<sub>2</sub> bubbles cannot be overlooked. To reduce  
 307 the surface energy, small mobile bubbles tend to grow and coalesce into a large bubble  
 308 during upwelling, and the large bubble is eventually confined by pore walls and occu-  
 309 pies significant fraction of the pore space. Below the three-phase zone, the bubble-filled  
 310 pore space may also reduce the liquid saturation  $S_l$ , hence reduce the permeability. In-  
 311 side the three-phase zone, the saturations of hydrate crystals and gas bubbles are in a  
 312 dynamic equilibrium, and both the pore centers and crevices are occupied by the non-  
 313 wetting phases. Consequently, the permeability is further reduced.

### 314 4.2 Increasing CO<sub>2</sub> supersaturation during upwelling

315 The gas solubility plays an important role in determining the location of the three-  
 316 phase zone and gas transport below the BHSZ. For CO<sub>2</sub>, we have calculated that at the  
 317 BHSZ  $\partial \ln x_{gl} / \partial z = 0.778 \text{ km}^{-1} > 0$ , which means that the CO<sub>2</sub> gas solubility increases  
 318 with the depth, because the contribution from increasing pressure outweighs the decreas-  
 319 ing solubility from higher temperature. At the L<sub>CO<sub>2</sub></sub>-G boundary about 100 m from the  
 320 BHSZ,  $x_{gl}$  is about 8% higher than that near the BHSZ. The solubility difference not  
 321 only provides a gradient for diffusion, but also causes increasing CO<sub>2</sub> supersaturation  
 322 during the upwelling, and more bubbles form. Besides permeability changes, the bubble-  
 323 filled column below the BHSZ may also affect the chemistry of the pore fluid. It is worth  
 324 noting that for methane, the gas solubility decreases with the depth below the BHSZ (Chen  
 325 et al., 2021), so the supersaturation level of methane decreases as it migrates towards  
 326 the BHSZ, which is another important difference between methane hydrate reservoir and  
 327 CO<sub>2</sub> hydrate reservoir.



**Figure 3.** Sensitivity of the three-phase zone to the variations of water depth  $d$  and geothermal gradient  $G_T$  for a grain radius  $10^{-5}$  m. The three-phase zone becomes broader and deeper with shallower  $d$  and larger  $G_T$ , which means the zone is not well constrained. With a water depth  $d = 90$  m and a geothermal gradient  $G_T = 35$  K/km (upper right tile), the three-phase zone entirely encompasses the  $L_{\text{CO}_2}$ -G boundary.

### 4.3 Rate of hydrate formation in the three-phase zone

In advection-dominated hydrate formation, methane hydrate reservoir evolves at a timescale of hundreds of thousands of years because of the slow migration of methane. For CO<sub>2</sub> sequestration site, however, the three-phase zone is close to the L<sub>CO<sub>2</sub></sub>-G boundary, and the relevant Péclet number is smaller than unity, so hydrate formation in the three-phase zone is mainly determined by CO<sub>2</sub> diffusion. In the case of broad three-phase zones, the three-phase zone may directly encompass the L<sub>CO<sub>2</sub></sub>-G boundary. After the initial nucleation stage, the characteristic size of hydrate crystal is  $\sqrt{D_g t}$  (Zener, 1949), so with  $D_g \sim 10^{-9} \text{ m}^2/\text{s}$ , the crystal can grow to a size comparable to the pore size in the sediments in a very short period of time with abundant CO<sub>2</sub> supply, leading to fast-forming sealing capability by CO<sub>2</sub> hydrates.

### 4.4 Hydrate in equilibrium with the liquid CO<sub>2</sub>

In previous sections we only considered the situations where the CO<sub>2</sub> hydrate is in equilibrium with gaseous or aqueous CO<sub>2</sub>, and neglected the situation where the CO<sub>2</sub> hydrate is in equilibrium with the liquid CO<sub>2</sub>. In actual practice of sub-seabed CO<sub>2</sub> sequestration, CO<sub>2</sub> hydrate may form during the liquid CO<sub>2</sub> injection (Kvamme et al., 2019). However, for the temperature and pressure range for our consideration, the only instance where hydrate may form in equilibrium with liquid CO<sub>2</sub> in the absence of promoters or inhibitors is at the L<sub>CO<sub>2</sub></sub>-G boundary (Figure 1a), with a specific depth at  $z_v$ . At this depth liquid CO<sub>2</sub> can turn into gaseous CO<sub>2</sub> or CO<sub>2</sub> hydrate, and the hydrate can further reduce the permeability and strengthen the sealing capability. Some recent experimental studies (e.g., Qureshi et al., 2022) suggested that high pressure liquid CO<sub>2</sub> can form hydrate much faster due to higher driving force, but more understanding of the multiphase flow of liquid CO<sub>2</sub> and seawater in sub-seabed environment is needed before better assessment can be made.

### 4.5 Effects of hydrate promoters

Many proposed CO<sub>2</sub> sequestration technologies involve hydrate promoters, including thermodynamic promoters and kinetic promoters. Thermodynamic promoters may shift the phase equilibrium of hydrate, but are not environmentally friendly, whereas kinetic promoters, especially those which are environmentally friendly, generally do not alter the hydrate equilibrium (Nashed et al., 2018). As a result, we expect that the kinetic hydrate promoters will not change the depth of the BHSZ, as well as the three-phase coexisting zone.

## 5 Conclusion

Self-sealing capability of the CO<sub>2</sub> hydrate-bearing sediment layer is crucial to effective sequestration of CO<sub>2</sub> in sub-seabed sediment, and the three-phase zone plays a critical role in the formation of the self-sealing cap. We demonstrate that unlike methane hydrate reservoir which takes a long time to form, the self-sealing cap above the CO<sub>2</sub> sequestration site can form in a relatively short period due to enhanced CO<sub>2</sub> permeation and permeability reduction in the three-phase zone by CO<sub>2</sub> bubbles and hydrate crystals. With typical geological settings, we use a Monte Carlo method to simulate the depth and thickness of the three-phase zone, which exists below the bulk BHSZ and is close to the CO<sub>2</sub> liquid—gas boundary less than 100 m away from the BHSZ. The three-phase zone becomes broader and deeper with finer grains, shallower water depths and larger geothermal gradients, suggesting possible variations in the sealing capability when submarine earthquakes or landslides occur. Our work provides an insight into the development of sealing capability of the CO<sub>2</sub> hydrate-bearing sediment cap, distinguishes its dif-

375 inference from the methane hydrate reservoir, and sheds light on other mechanisms related  
376 to CO<sub>2</sub> hydrate accumulation above sequestration sites.

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## 381 Open Research

382 The relevant python scripts and data can be found on <https://doi.org/10.5281/zenodo.6559009>,  
383 open sourced under the MIT license.

## 384 References

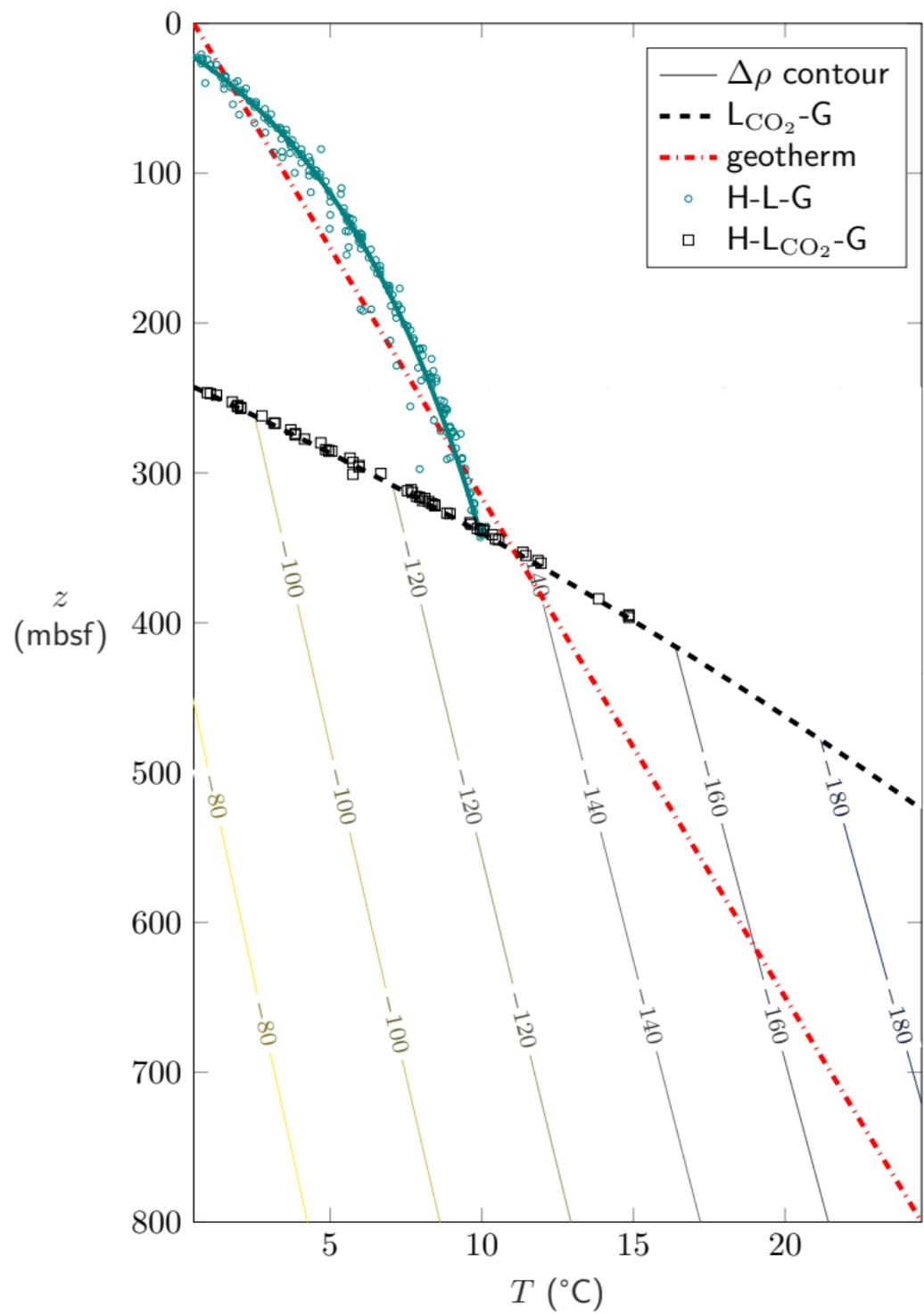
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Figure1a.



**Figure1b.**

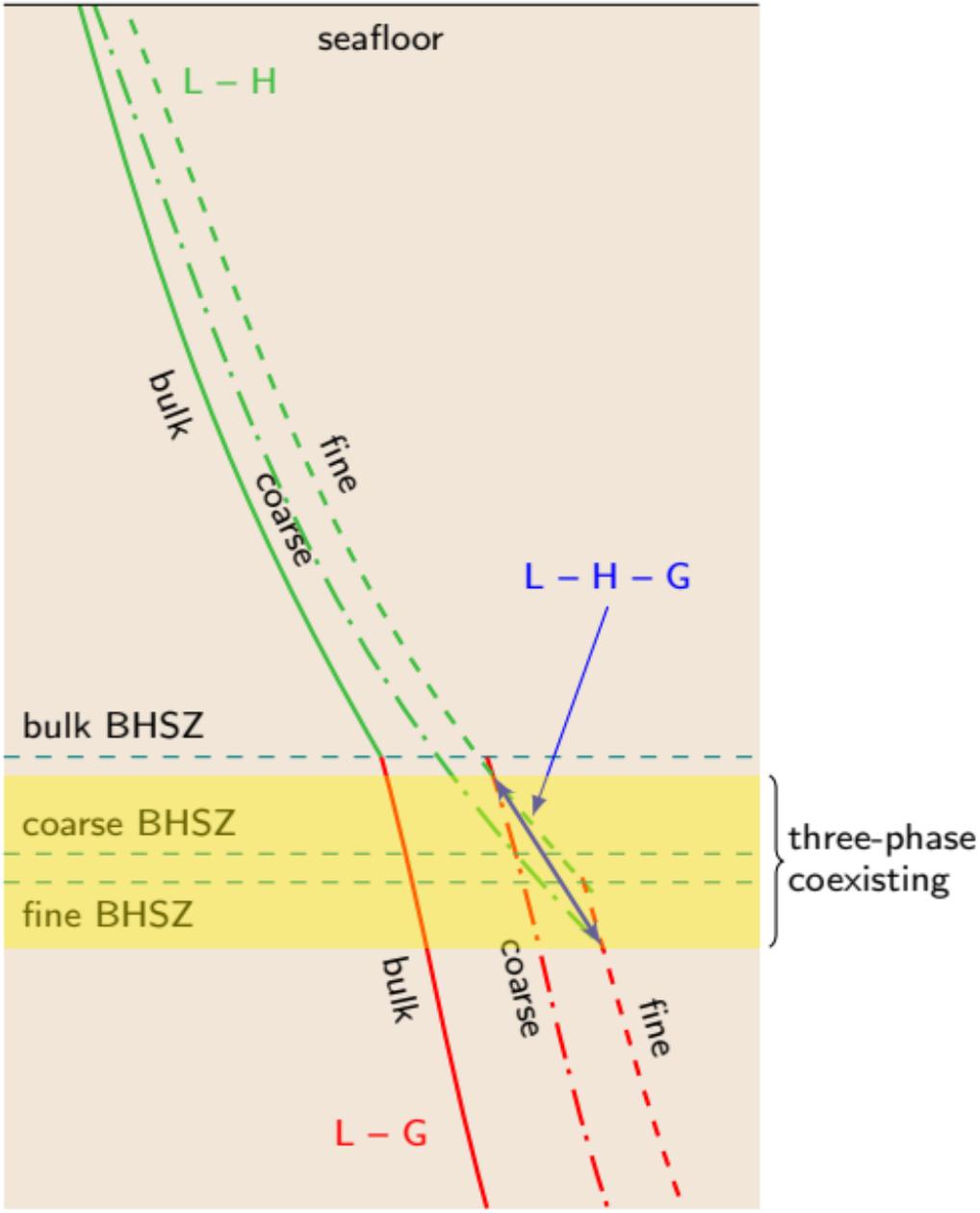


Figure2.

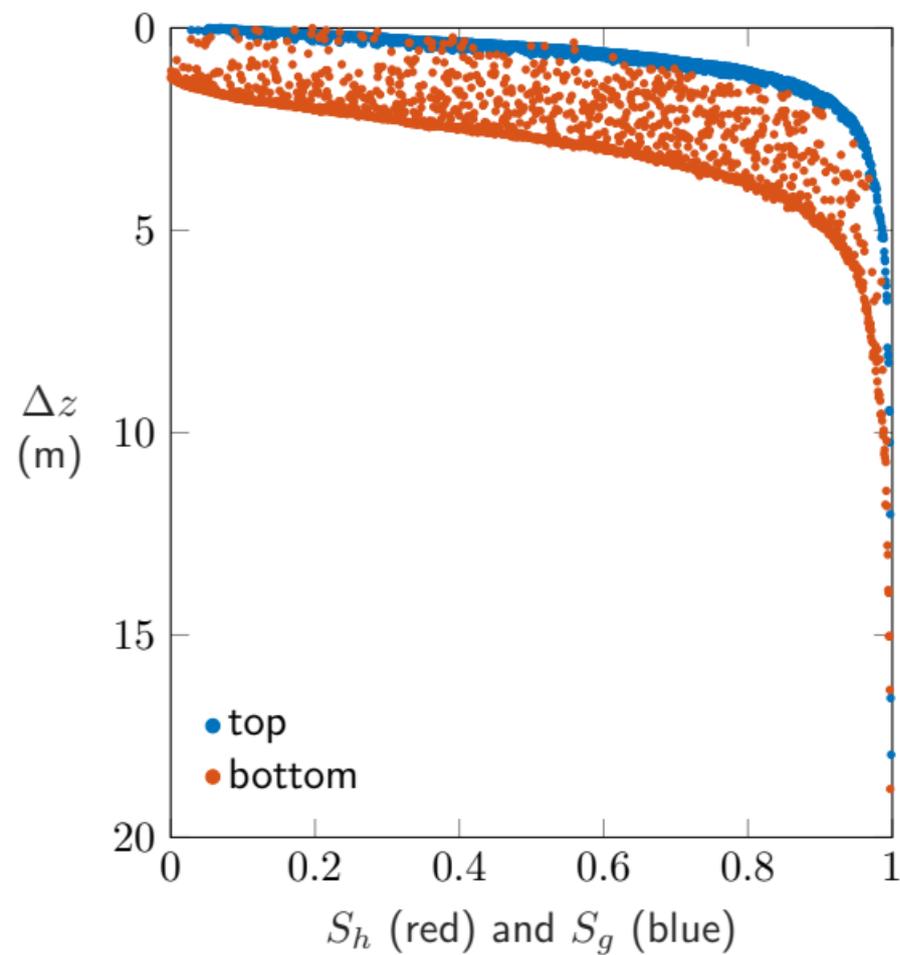
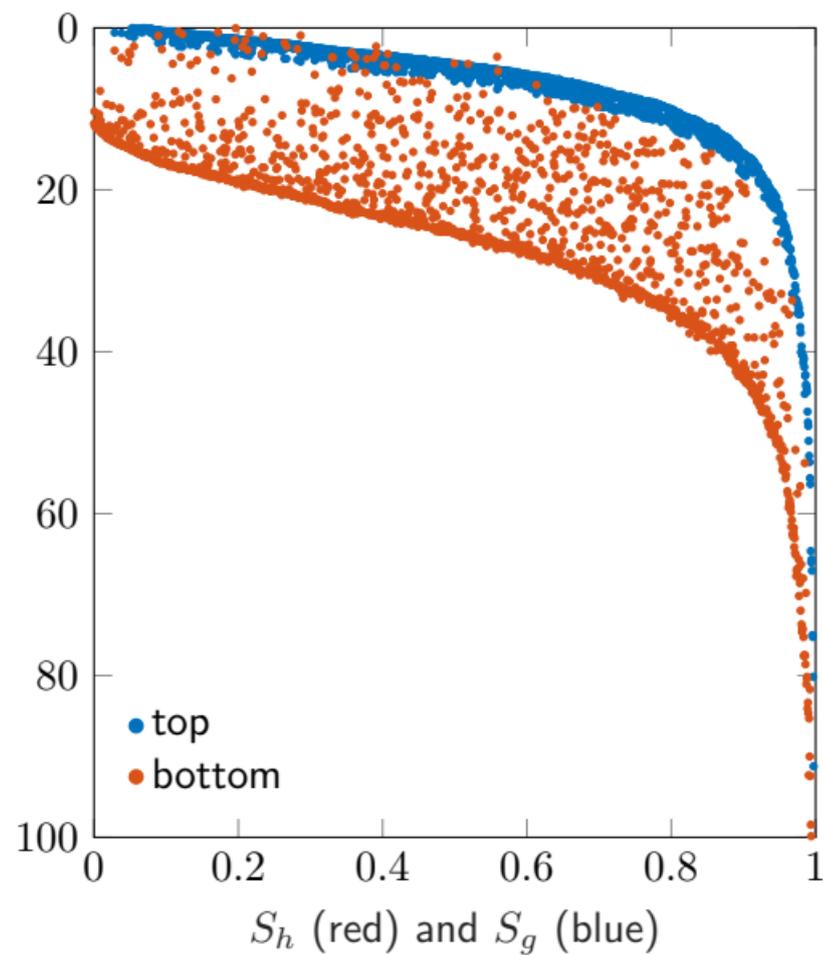
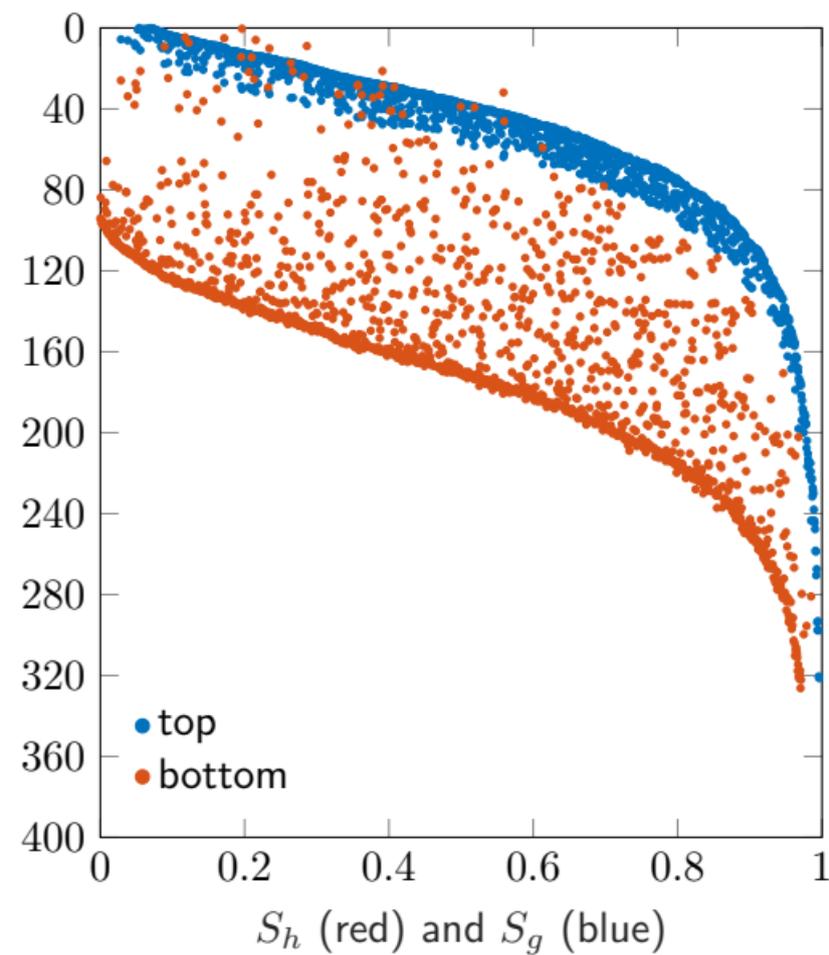
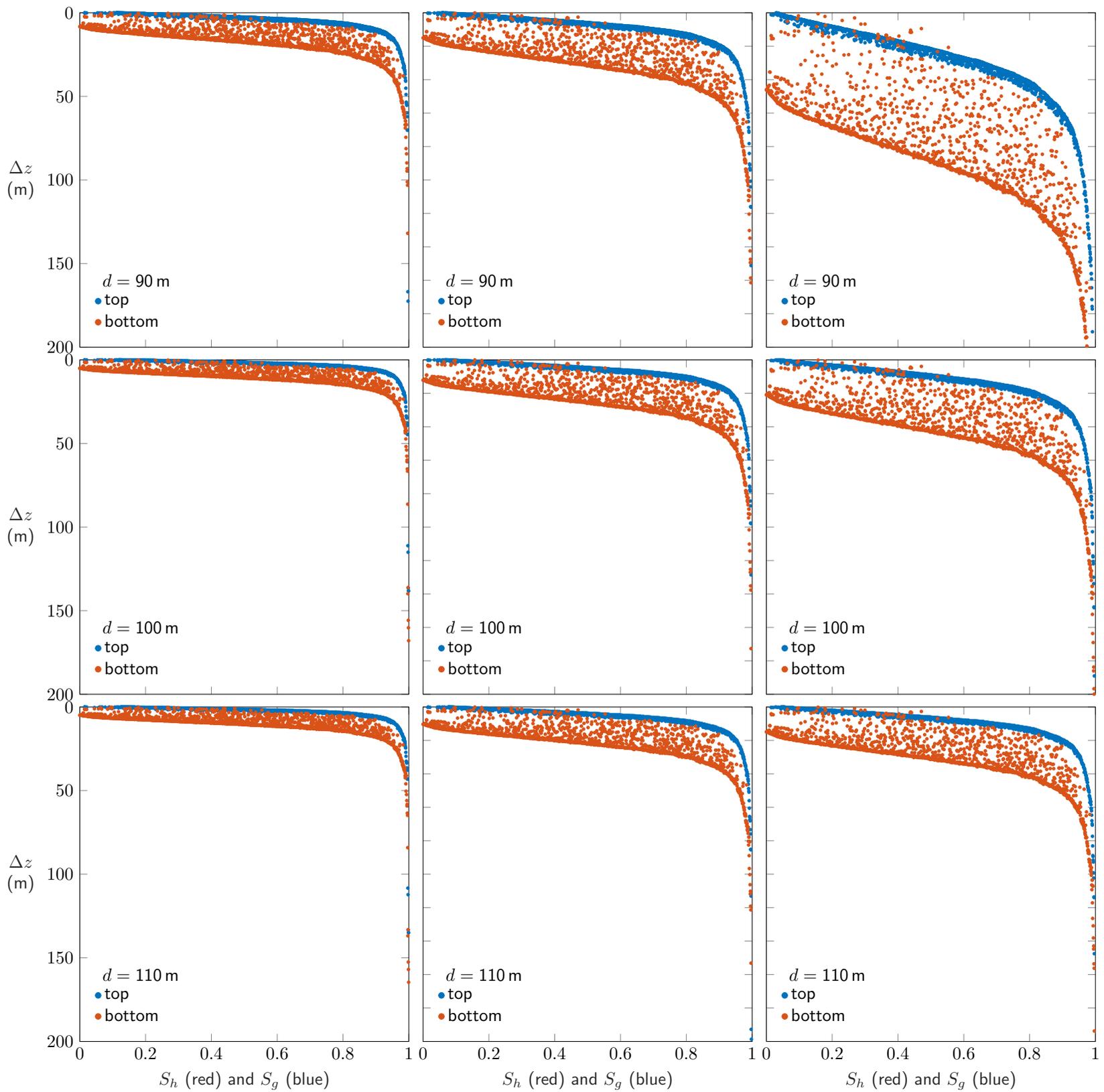
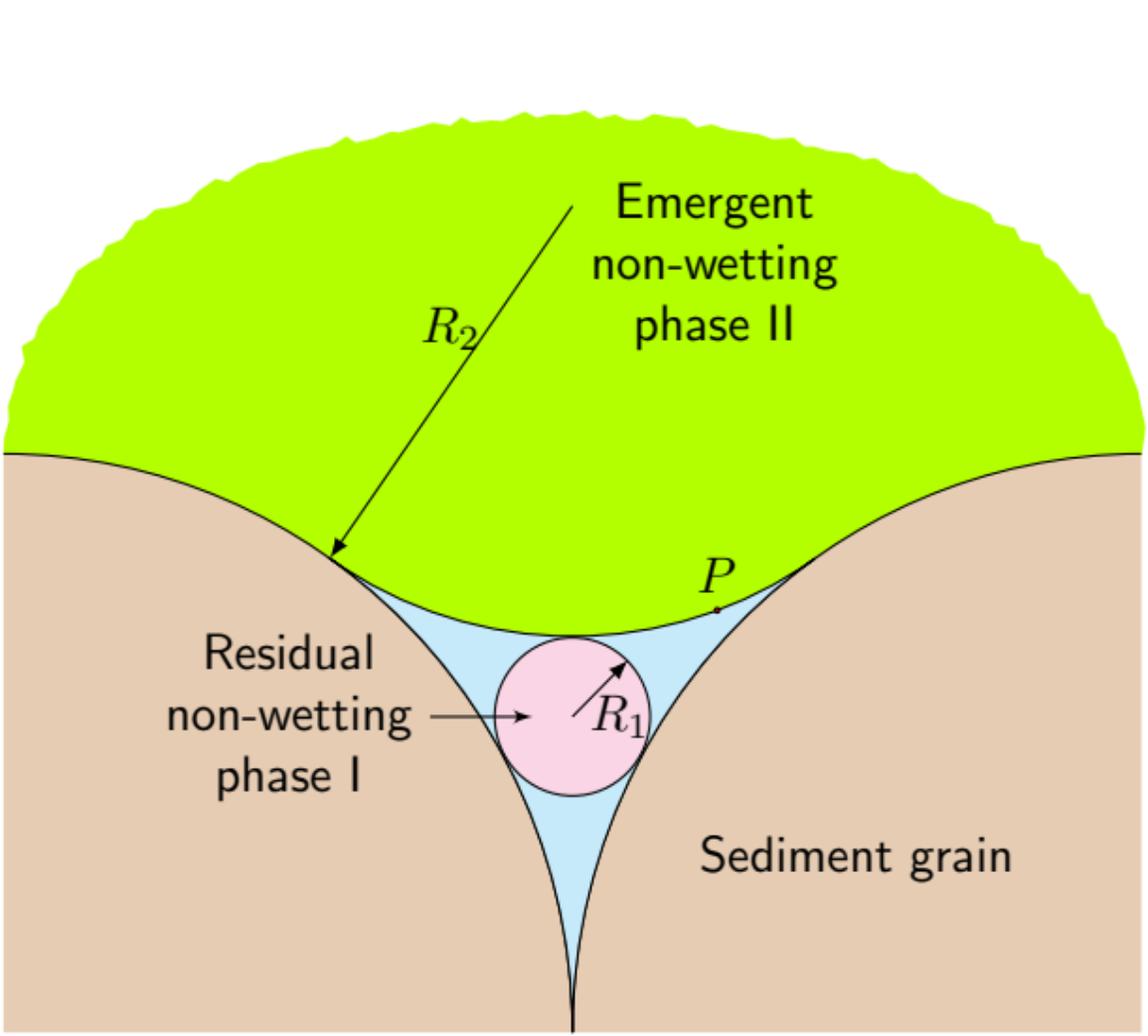
$R = 10^{-4}$  m $R = 10^{-5}$  m $R = 10^{-6}$  m

Figure3.

$G_T = 25 \text{ K/km}$  $G_T = 30 \text{ K/km}$  $G_T = 35 \text{ K/km}$ 

FigureS1b.



FigureS1a.

