

1           **The possible connection of the large ozone hole in**  
2           **September 2023 with the Hunga Tonga volcanic eruption**

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4           Michal Kozubek\*, Peter Krizan, Sunil Kumar Ramatheerthan, Jan Laštovička  
5           Institute of Atmospheric Physics, Czech Acad. Sci., Bocni II, 14100 Prague, Czech Republic  
6           Corresponding author (Michal Kozubek) e-mail: kom@ufa.cas.cz

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8           **Keywords:** stratospheric water vapour increase; ozone hole; reanalysis datasets

25 **Abstract:** Polar stratospheric chemistry is highly sensitive even to minor disruptions in water  
26 vapor or temperature. Unusual behavior in temperature and water vapor has been identified in  
27 the southern polar winter stratosphere in 2023. The potential correlation between the post-  
28 Hunga-Tonga eruption elevation of water vapor (detected in the tropics), temperature changes,  
29 and ozone anomalies is under discussion, as these parameters play a crucial role in stratospheric  
30 chemistry and dynamics. In the winter of 2023 in the Southern Hemisphere, an unexpected  
31 decrease in ozone levels and the emergence of a substantial ozone hole were observed. This  
32 event marked one of the most significant ozone decreases in the past 15 years, with an unusually  
33 large ozone hole occurring during this period, and it appears to be at least partly associated with  
34 the Hunga Tonga eruption.

### 35 **Plain language summary**

36 The stratosphere holds significant importance within the middle atmosphere. The polar region  
37 in the Southern Hemisphere, in particular, experiences unique conditions in terms of both  
38 chemistry and dynamics. Even slight changes in certain parameters can lead to substantial  
39 alterations in chemistry. Ozone behavior in this region is a frequent subject of study, particularly  
40 due to the persistent issue of the ozone hole. While the ozone hole area has been on a decreasing  
41 trend over the past two decades, in 2023, it reached its largest extent since 2011. The question  
42 arises whether this increase is an isolated occurrence, possibly linked to the Hunga-Tonga  
43 eruption and the subsequent rise in water vapor within the polar vortex. This study aims to  
44 examine the behavior of water vapor and temperature, crucial parameters in stratospheric  
45 chemistry, and to shed light on effects in ozone content.

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## 54        **1. Introduction**

55            The stratosphere, spanning from 15 to 55 km in the atmosphere, is a critical layer in  
56 the middle atmosphere with a notable characteristic of being generally dry, especially when  
57 compared to the troposphere. Volcanic eruptions, particularly major ones, release gases,  
58 including water vapor, and aerosol pollutants into the stratosphere, influencing the  
59 atmospheric radiative balance, dynamics, and the entire climate system.

60            Following a period of volcanic unrest starting from the end of December 2021 and  
61 strong explosions on January 14th, 2022, which removed the aerial part of the Hunga Tonga–  
62 Hunga Ha'apai (HT) volcano, the submarine volcano violently erupted on January 15th, 2022,  
63 at approximately 04:15 UTC. The volcanic ash reached over 30 km, and the transient  
64 overshoot even reached the mesosphere (Proud et al., 2022). Various wave signatures have  
65 been identified in the upper atmosphere, affecting thermospheric wind, ionospheric equatorial  
66 electrojet (Harding et al., 2022; Le et al., 2022), ionospheric plasma drift, Total Electron  
67 Content (TEC) (Aa et al., 2022; Themens et al., 2022; Zhang et al., 2022), and including  
68 Travelling Ionospheric Disturbances (TIDs) (Chen et al., 2023a, Vadas et al., 2023, Liu et al.,  
69 2023a) with their multi-wave structure (Li et al., 2023), as well as signatures at magnetically  
70 conjugate locations (Lin et al., 2022; Shinbori et al., 2022). Madonia et al. (2023) described  
71 the propagation of corresponding disturbances in the lower and upper atmosphere over the  
72 Central Mediterranean area. Liu et al. (2023b) successfully simulated atmospheric and  
73 ionospheric responses to the Tonga volcano eruption using the Whole Atmosphere  
74 Community Climate Model with thermosphere and ionosphere extension (WACCM-X).  
75 Multiple geosphere responses to the Tonga volcanic eruption were reviewed by Chen et al.  
76 (2023b).

77            The Tonga underwater volcano's exceptional eruption injected an unprecedented  
78 amount of water vapor directly into the stratosphere (Carr et al., 2022; Millán et al., 2022; Xu  
79 et al., 2022) and substantially increased the water vapor concentration in the mesosphere in  
80 2022 (Nedoluha et al., 2023). While the initial injection plume at 20°S reached the upper  
81 stratosphere (Carr et al., 2022, Millán et al., 2022) showed that after three months, this excess  
82 water vapor settled near the 20 hPa altitude in a latitude band from 30°S to 5°N. These water  
83 vapor fingerprints in the mentioned latitudes have been analyzed by (Schoeberl et al., 2022),  
84 and they align with climatological expectations. This perturbation moisture is expected to  
85 remain in the stratosphere for 2 to 3 years if there are no major thermodynamic or  
86 photochemical sinks. The main impact of water vapor is its radiative activity in the infrared

87 range, contributing to the total radiative cooling in the stratosphere, which is otherwise  
88 dominated by the effects of carbon dioxide and ozone (e.g., Gille & Lyjak, 1986). These  
89 significant perturbations in water vapor are expected to increase the amount of radiation lost  
90 to space, locally cooling the stratosphere (Schoeberl et al., 2022; Sellitto et al., 2022).

91 Using Modern-Era retrospective Analysis for Research and Application, Version 2  
92 (MERRA-2) and MERRA-2 Stratospheric Composition Reanalysis of Aura Microwave Limb  
93 Sounder (MLS), Coy et al. (2022) observed that the excess water vapor significantly cooled  
94 the stratosphere (near 20 km) at midlatitudes of the Southern Hemisphere (SH), affecting the  
95 circulations at these altitudes. As a result, strong westerly winds were generated above the  
96 temperature anomaly, producing changes in the meridional circulation. Wang et al. (2022)  
97 found a large-scale SH stratospheric cooling, equatorward shift of the Antarctic polar vortex,  
98 and slowing of the Brewer-Dobson circulation associated with a substantial ozone reduction  
99 in the SH winter midlatitudes as a consequence of the HT volcanic eruption. On the other  
100 hand, Manney et al. (2023) observed no clear evidence of the HT volcanic eruption influence  
101 on the 2022 Antarctic vortex or its composition due to the strong transport barrier at its edge.  
102 The 2022 Antarctic polar vortex was near average; the vortex was large, strong, and long-  
103 lived but not exceptionally so. Also several studies used ERA5 for Hunga-Tonga eruption  
104 analyses (Wright et al., 2022 or Wang et al., 2023)

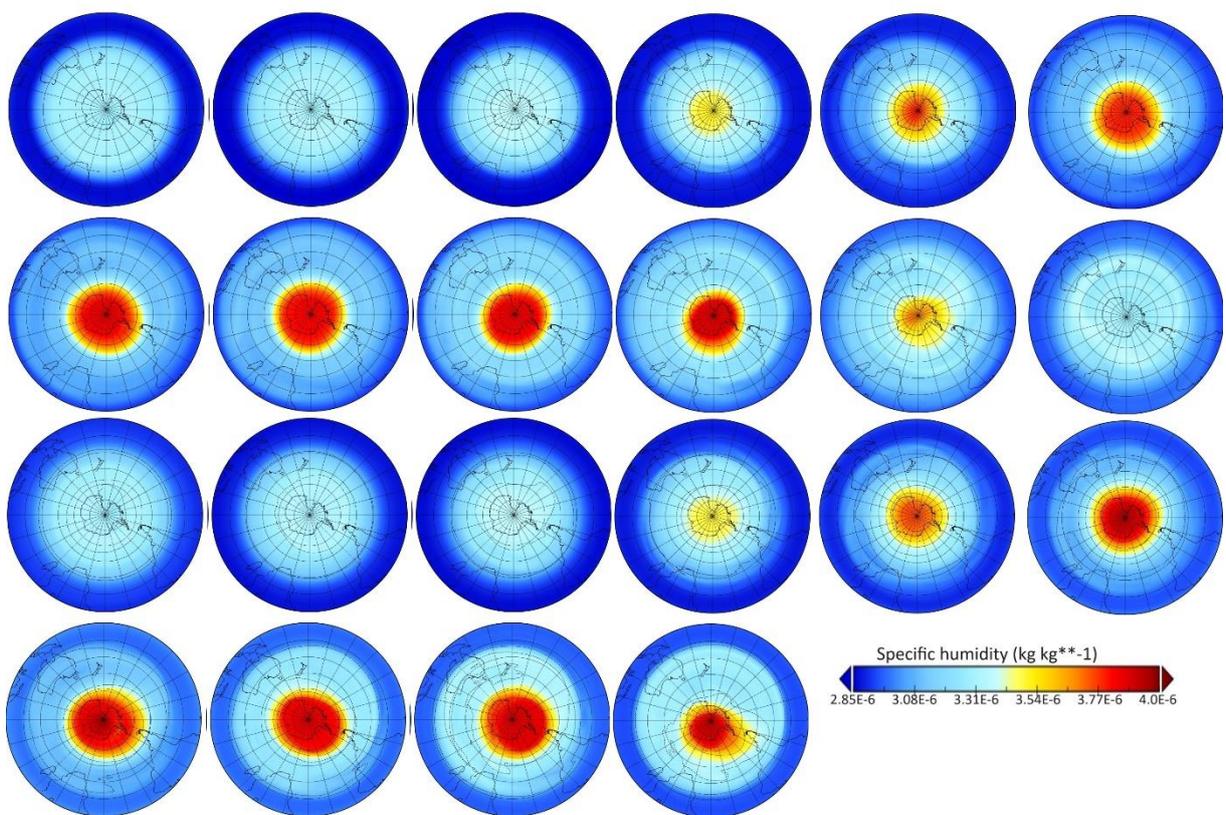
105 Our study aims to study water vapor concentration and temperature impact on  
106 stratospheric chemistry, with a focus mainly on the southern stratospheric total columnar  
107 ozone during the first two SH winters after the HT eruption. Winds in the stratosphere soon  
108 carried the excess water vapor around the globe to all longitudes and spread the water vapor  
109 in latitude to some extent. Since water vapor can affect chlorine activation during specific  
110 temperature conditions, we can see during the SH winter 2023 evidence of very large and  
111 unpredicted ozone hole.

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## 113 **2. Results and discussion**

114 We use ERA5 (ECMWF Re-Analysis) of ECMWF (European Centre for Medium-  
115 Range Weather Forecasts); they can be downloaded from ERA5 link to examine temperature,  
116 water vapor, and ozone characteristics throughout the study period, spanning from January  
117 2022 to October 2023. This timeframe encompasses two Southern Hemisphere (SH) winters  
118 following the eruption of the Hunga Tonga–Hunga Ha'apai (HT) volcano. Our analysis

119 focused on monthly mean values of temperature and water vapor at 10 hPa, a critical altitude  
 120 where we anticipate significant impacts on atmospheric dynamics and chemistry because and  
 121 for example a huge amount of ozone (ozone layer) in the mid regions of the stratosphere  
 122 occurs. Additionally, we investigated monthly mean total column ozone levels in the SH polar  
 123 region. We focus on the second SH winter in 2023, as it provides an opportunity to detect  
 124 potential effects of the HT eruption within the SH polar vortex. This is relevant, because  
 125 Manney et al. (2023) found no effect of the first winter in the SH polar vortex; the vortex was  
 126 established too early to allow penetration of the HT produced extra water vapor inside the  
 127 vortex.



129 Figure 1 Specific humidity at 10 hPa from ERA5 reanalysis. SH is displayed for each month  
 130 from January 2022 till October 2023.

131 Figure 1 shows specific humidity at 10 hPa from January 2022 to October 2023,  
 132 displaying Southern Hemisphere (SH) for each month. A consistent pattern emerges, with an  
 133 observed increase in water vapor (WV) during polar winters. This trend is notably evident in  
 134 both the SH winters of 2022 and 2023.

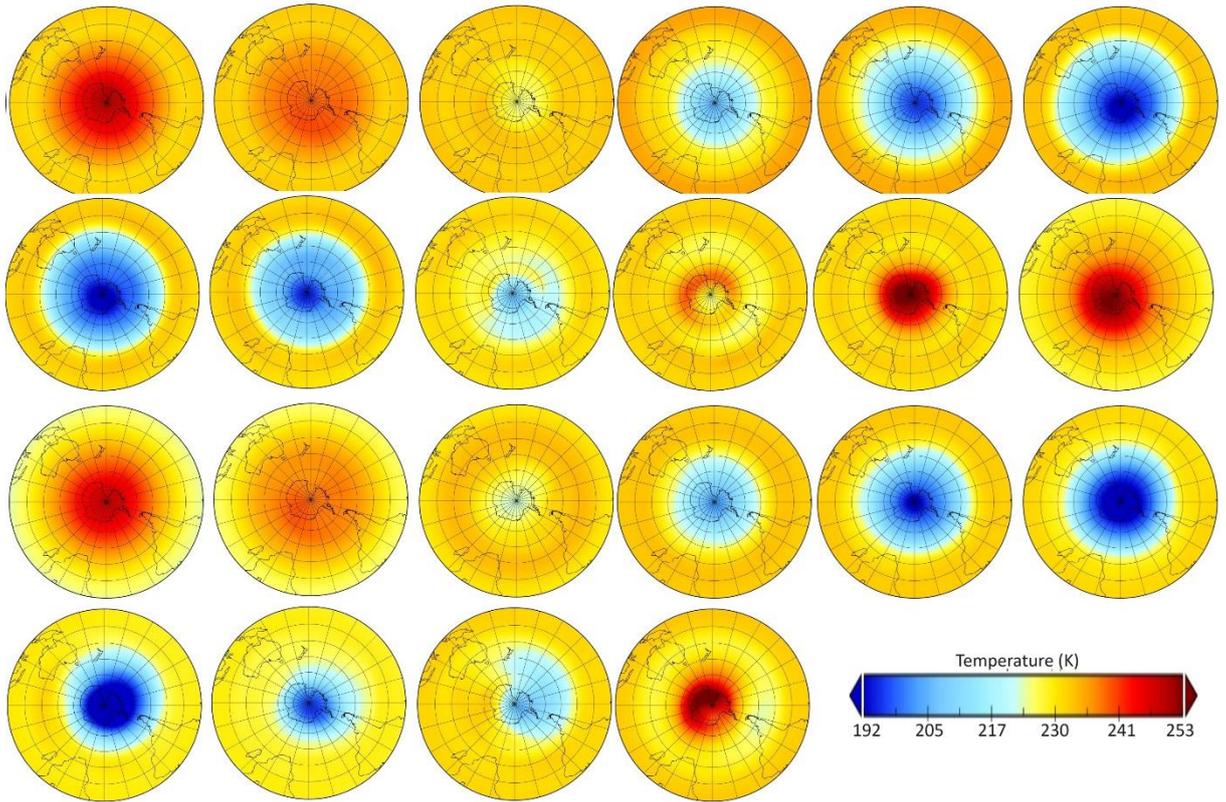
135 Interestingly, higher specific humidity values are observed in the SH polar region  
 136 during July, August, and September 2023. In 2022 the maximum values of the monthly mean

137 reach about  $3.7 \text{ E-}6$  while in 2023 they reach about  $4.1\text{E-}6$ , which is about 10 % increase.  
138 Notably, the region of increased water vapor is more extensive in September 2023 compared  
139 to 2022. This observation is probably associated with the injection of water vapor by the  
140 Hunga Tonga–Hunga Ha'apai (HT) eruption and its subsequent transport from lower latitudes  
141 because there is usually increase in winter but it is much smaller and remains very short time  
142 (only few days instead of weeks or months).

143 The next parameter closely tied to ozone and water vapor is temperature presented in  
144 Figure 2. The outcomes are particularly evident at 10 hPa, spanning from January 2022 to  
145 October 2023. Notably, the Northern Hemisphere (NH) exhibits nearly identical patterns  
146 during the past two winters, while the Southern Hemisphere (SH) displays distinct behavior,  
147 particularly in July, August, and October 2023.

148 In July 2023, we observe lower temperatures (around 15K) in the SH polar region than  
149 in 2022. This aligns with the increase of water vapor during this month (Figure 1) as water  
150 vapor is a radiative cooler. Conversely, October reveals a significantly warmer polar region,  
151 with temperature differences reaching up to 12 K. Intriguingly, this rise in temperature is not  
152 accompanied by a substantial decrease in water vapor, which might typically explain such  
153 variations. Hence, our exploration leads us to the search for the other parameter influencing  
154 temperature dynamics, which is ozone.

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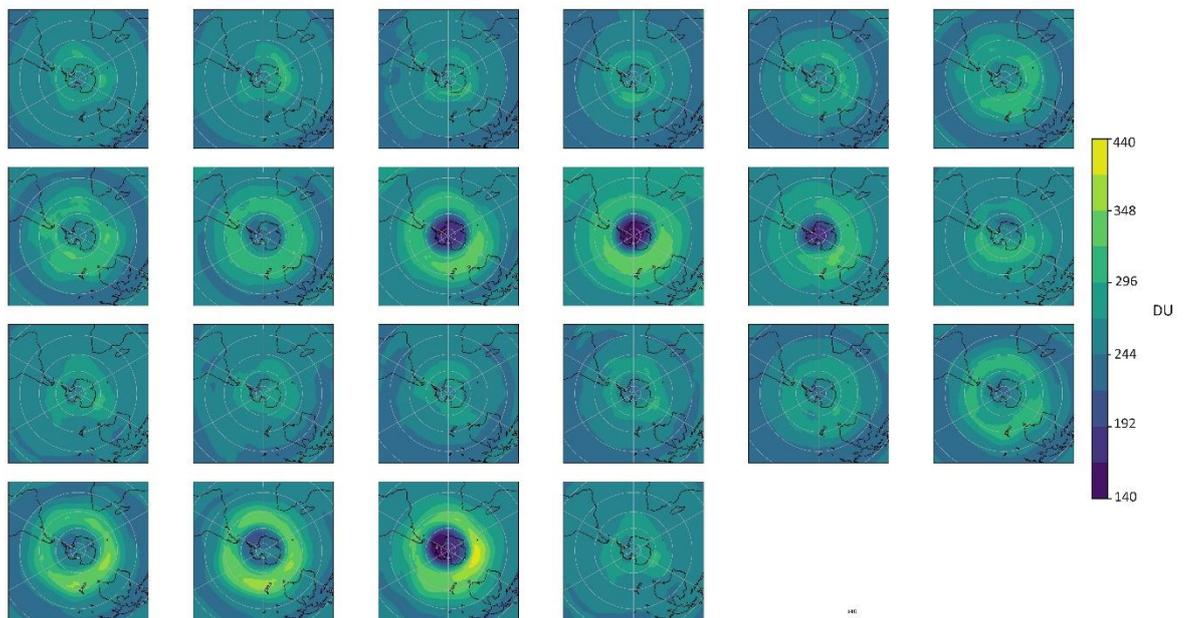


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157 Figure 2 Temperature at 10 hPa from ERA5 reanalysis. SH is displayed for each month from  
 158 January 2022 till October 2023.

159 Figure 3 presents the results for the total columnar ozone content up from 500 hPa,  
 160 offering insights into its behavior in the Southern Hemisphere (SH) polar and higher latitudes.  
 161 If we look on the behavior in 2022, there is a typical decrease of ozone in August and  
 162 September, which is replaced by slow increase in November and December. However, a  
 163 closer examination of ozone dynamics in 2023 reveals some intriguing differences. The ozone  
 164 decrease initiates earlier, in June, persisting until September. In August there is unusual area  
 165 of higher values around the polar vortex and September, in particular, showcases a  
 166 pronounced gradient between the polar vortex region and higher latitudes, with a substantial  
 167 decrease in the center of polar vortex—from 180 DU in 2022 to approximately 140 DU in  
 168 2023. Additionally, the ozone hole's spatial extent in 2023 compared to that of 2022 which is  
 169 not in agreement with trend of decreasing area of ozone hole prediction. Moreover, there is  
 170 almost no ozone hole observed in October 2023 (see also ozonewatch link), while normal  
 171 behavior is that the increase of ozone concentration occurs in November-December. This  
 172 unpredictability raises questions about whether the distinct temperature and water vapor  
 173 behaviors in September and October influenced this drastic change in ozone distribution. This  
 174 unusual behavior is confirmed also by NASA observations (see ozonewatch link).

175 According to (Rosenlof, 2018) heterogeneous chlorine activation needs very dry  
 176 conditions in the polar stratosphere, very low temperatures (below  $\sim 195$  K) (e.g.  
 177 Solomon, 1999; Shi et al., 2001). This situation can be found in June and July 2023, when  
 178 there is more WV and temperature is significantly lower than normal (it drops under 195K).  
 179 In previous years the temperature is higher than 195K during this period and that is why  
 180 chlorine activation did not occur. Moreover, Robrecht et al. (2019) studied relation of water  
 181 vapor, temperature and ozone connection. They show that even small increase of water vapor  
 182 (10 % increase) above background values would allow chlorine activation at higher  
 183 temperatures (200–205 K). The observed increase of water vapor in 2023 together with  
 184 temperature below 200 K and strong polar vortex helps to establish good conditions for larger  
 185 ozone loss in September 2023 in polar region. Unusual increase of temperature in October  
 186 2023 is probably connected with substantial increase of ozone values. According to  
 187 observations showed in ozonewatch, the area of ozone hole (almost 25 mil km<sup>2</sup>) is the biggest  
 188 one in August and September 2023 since 2011 (except for 2019 when strong warming  
 189 occurred). This observation also shows that in August and September 2023 we can find the  
 190 lowest amount of ozone from 2009. On the other hand, in October 2023 ozone hole is one of  
 191 the smallest in several years.



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 193 Figure 3 Total column ozone from ERA5 reanalysis. SH is displayed for each month from  
 194 January 2022 till October 2023.

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196 **3. Conclusions**

197 It is noteworthy that water vapor in connection with temperature behavior plays very  
198 important role in ozone dynamics, as it can lead to the formation of Polar Stratospheric  
199 Clouds (PSCs) and significantly impact the stratosphere's radiative balance. Despite  
200 considerable perturbations in midlatitudes (HT eruption in January 2022), observational  
201 evidence for the 2022 Antarctic stratospheric polar vortex indicates fairly typical chemical  
202 processing, with no clear signs of dynamical vortex disturbances. That is why there is no  
203 visible changes in SH polar chemistry and ozone behavior. However, the behavior observed in  
204 2023 deviates from the patterns observed in 2022, adding an intriguing layer to our  
205 understanding of these complex atmospheric interactions. There is evident, that water vapor is  
206 not the only driver for ozone and chemistry changes while there are definitely important roles  
207 of other chemical species from the eruption and possible impact of different stratospheric  
208 phenomena but it plays very important role as it is visible in 2023.

209 **Acknowledgements**

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211 all those who contributed to creation of meteorological reanalysis.

212

213 **Open Research**

214 **Ozonewatch:** <https://ozonewatch.gsfc.nasa.gov/meteorology/SH.html>

215 **ERA5:** [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-monthly-means?tab=form)  
216 [monthly-means?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-monthly-means?tab=form) (accessed 10.10.2023)

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