

# Perturbation of Electron Velocity Distribution due to Interaction with Chorus Emissions

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## Key Points:

- A model of the subpacket structure of a rising-tone lower band chorus element is defined
- Backward-in-time test particle simulation is performed to reconstruct the electron velocity distribution after interaction with chorus
- Perturbed electron distribution features stripes with increased and decreased density which can be partially conserved at short time scales

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15 **Abstract**

16 We present a test particle study of perturbations of a weakly relativistic electron  
 17 distribution interacting with a rising-tone lower band chorus emission. Trajectories of  
 18 interacting electrons are traced back in time from the equator to reconstruct the per-  
 19 turbed velocity distribution. The wave field is precalculated from a model based on the  
 20 nonlinear growth theory and features a realistic subpacket structure. The perturbed dis-  
 21 tribution reveals a series of stripes of increased and decreased phase space density. This  
 22 perturbation is associated with the electromagnetic hole structure which exists along the  
 23 resonance velocity curve of each subpacket. Time-averaging of the final distribution shows  
 24 that rising-tone lower band chorus emissions produce a sharp decrease in density at low  
 25 parallel velocities, which might be detectable by spacecraft particle instruments.

26 **Plain Language Summary**

27 Interaction between plasma waves and particles in the radiation belt has a strong  
 28 impact on space weather in the Earth’s magnetosphere. Here we focus on the interac-  
 29 tion of electrons and natural emissions of chorus, which are large-amplitude, right-hand  
 30 polarized electromagnetic waves with steeply growing wave frequency, abundant in the  
 31 outer radiation belt. We model the spatial and temporal structure of the waves and ob-  
 32 tain a wave packet with realistic behavior of amplitude and frequency. We proceed to  
 33 simulate the motion of electrons through this wave field and reconstruct the distribu-  
 34 tion of electrons over velocities after passing through the whole chorus wave packet. Due  
 35 to the characteristic subpacket structure of chorus emissions, a series of stripes of increased  
 36 and decreased density appears in the distribution. Some of those features are preserved  
 37 even after averaging over time and should thus be observable in the inherently time-averaged  
 38 spacecraft measurements.

39 **1 Introduction**

40 Whistler mode chorus emissions are right-hand circularly polarized electromagnetic  
 41 waves which occur abundantly in the outer Van Allen radiation belt (Tsurutani & Smith,  
 42 1974; Santolík, 2008; Li et al., 2009; Tyler et al., 2019). We distinguish between the lower  
 43 band chorus, which occupies the frequency range from about 0.1 up to 0.5 of the local  
 44 electron gyrofrequency  $\Omega_e$ , and the upper band chorus, which falls into the frequency range

45 from  $0.5 \Omega_e$  up to about  $0.8 \Omega_e$  (Burtis & Helliwell, 1976). Both types of chorus appear  
46 as quasi-monochromatic discrete elements in the time-frequency power spectra with ris-  
47 ing or falling frequency (Taubenschuss et al., 2015), typically separated by a gap around  
48  $0.5 \Omega_e$  (Santolík et al., 2003; Gao et al., 2019), with each element consisting of several  
49 short wave packets (Santolík et al., 2004). The wave magnetic field in these subpackets  
50 can occasionally reach amplitudes up to about 1% of the background magnetic field (Santolík  
51 et al., 2014). Detailed knowledge of the interaction between electrons and large-amplitude  
52 chorus is essential for understanding the dynamics of Earth’s magnetosphere (Reeves et  
53 al., 2013; Baker et al., 2018).

54 The chorus emissions are generated by nonlinear interaction between coherent whistler  
55 mode waves and resonant electrons in the vicinity of the magnetic equator (LeDocq et  
56 al., 1998; Santolík et al., 2004; Omura et al., 2008). The generation process can be stud-  
57 ied by the means of full particle simulations (Hikishima et al., 2009), electron hybrid sim-  
58 ulations (Katoh & Omura, 2016) or Vlasov hybrid simulations (Nunn et al., 1997), which  
59 self-consistently evolve both the wave field and the electron distribution, but are com-  
60 putationally expensive. To predict the growth of wave amplitude and frequency within  
61 a single element, the nonlinear growth theory was developed (Omura et al., 2013). This  
62 theory was employed by several authors (Summers et al., 2012; Kubota et al., 2018; Omura  
63 et al., 2019) to obtain a simple model of the chorus wave field, which can be used for nu-  
64 merical studies of test particle trajectories.

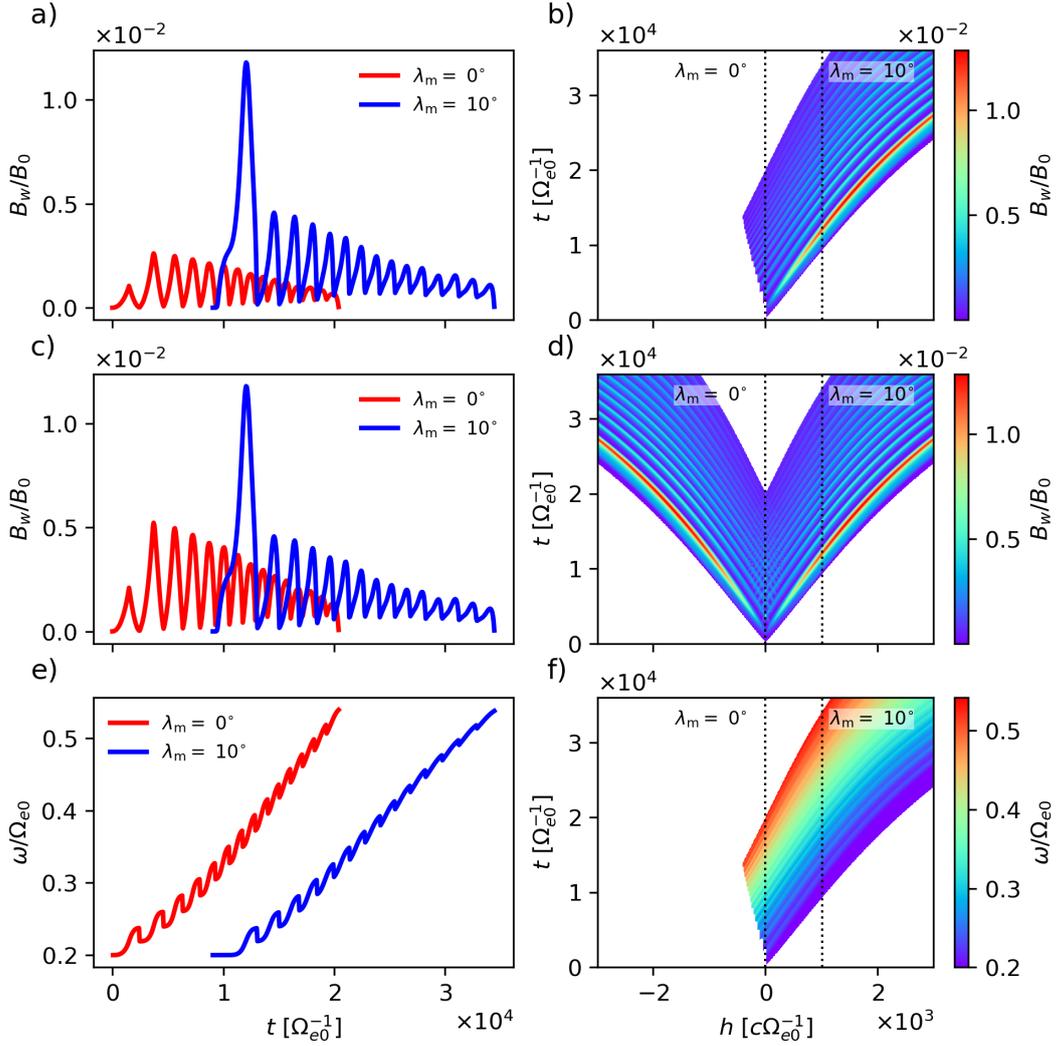
65 Here we use a model of parallel-propagating lower band chorus to study the per-  
66 turbations of a weakly relativistic distribution of electrons passing through a single rising-  
67 tone chorus element. In Section 2 we explain how the model was improved in the present  
68 study to produce slightly more realistic amplitudes and subpacket structure, and the fi-  
69 nal modeled wave field is briefly described there. To reconstruct the velocity distribu-  
70 tion at a chosen point in time and space, we employ the backward-in-time test particle  
71 simulation method as described by Nunn and Omura (2015). The concept behind this  
72 method and the input parameters chosen in this study are presented in Section 3.1, with  
73 its main advantage being the a posteriori choice of the initial velocity distribution. In  
74 Section 3.2 we present the computed electron velocity distribution functions. We observe  
75 that the interaction with a single subpacket creates stripes of increased and decreased  
76 phase space density along the cyclotron resonance velocity curve corresponding to the  
77 frequency of the subpacket, which is in accordance with the predicted motion of electrons

78 in the vicinity of an electromagnetic phase space hole. Depending on the shape of the  
 79 initial distribution of electrons in parallel and perpendicular velocities, a phase space hill  
 80 can be observed instead of a hole. After passing through multiple subpackets, the elec-  
 81 tron velocity distribution is perturbed by several stripes, which are distorted by over-  
 82 lapping and by the adiabatic motion of particles. This is in agreement with results from  
 83 full particle simulations (Hikishima et al., 2010; Tao et al., 2017). Finally, we show that  
 84 a time-averaged distribution of particles that passed through the whole chorus element  
 85 retains some of the features observed, so the presence of these features could be confirmed  
 86 by analyzing data from spacecraft particle instruments. In Section 4 we summarize our  
 87 findings and discuss the impact of the perturbations of the distribution on wave gener-  
 88 ation.

## 89 2 Wave model

90 To obtain the electromagnetic field of a chorus wave propagating along a magnetic  
 91 field line, we solved a system of differential equations describing the evolution of mag-  
 92 netic wave amplitude  $B_w$  and frequency  $\omega$  in the source region and then used transport  
 93 equations to propagate the wave in space. The method is based on the concept of an-  
 94 tenna radiation from the resonant current (Helliwell, 1967; Trakhtengerts et al., 2003)  
 95 and the chorus equations of the nonlinear growth theory (Omura et al., 2009). The set  
 96 of solved equations and input parameters can be found in the Supporting Information  
 97 (SI), Text S1. It is nearly the same model as described by Hanzelka et al. (2020), with  
 98 the only difference being the suppression of resonant current in downstream regions with  
 99 overlapping subpackets. The important point here is that the simulated chorus element  
 100 features a realistic subpacket structure with irregular growth in frequency and an up-  
 101 stream shift of the source region – these properties have been observed in numerical sim-  
 102 ulations and spacecraft measurements (Hikishima et al., 2009; Santolík et al., 2014; Fos-  
 103 ter et al., 2017).

104 The magnetic field amplitudes of the model chorus element are shown in Figures  
 105 1a and 1b. As a further improvement to the wave model, we consider waves propagat-  
 106 ing in both directions, as shown in the amplitude plots in Figures 1c and 1d. The elec-  
 107 tric and magnetic fields are summed in the equatorial region, with phases being set to  
 108 zero at the beginning of each subpacket. This results in doubling the amplitude  $B_w$  at  
 109 points of matching phases, notably in the source of the first subpacket. However, for the



**Figure 1.** The chorus wave field used in our test particle simulations. a) Time evolution of wave magnetic field amplitude  $B_w$  at latitudes  $\lambda_m = 0^\circ$  (red line) and  $\lambda_m = 10^\circ$  (blue line). b) Evolution of  $B_w$  in time and space with dotted lines showing the spatial cuts at  $\lambda_m = 0^\circ$  and  $\lambda_m = 10^\circ$ . c) and d) are same as a) and b), but for the total wave field obtained as a superposition of left- and right-propagating waves. e) and f) show the wave frequency  $\omega$  and copy the format of panels a) and b). The time duration of the chorus element at the equator is 340 ms.

110 cyclotron resonance, only the wave which propagates against the motion of the traced  
 111 electron is important. Finally, Figures 1e and 1f show the growth of frequency within  
 112 the subpackets, ranging from  $0.20 \Omega_{e0}$  to  $0.53 \Omega_{e0}$ , where  $\Omega_{e0}$  is the equatorial gyrofre-  
 113 quency. The simulation was stopped when the starting frequency of the next subpacket

114 would become higher than  $0.5 \Omega_{e0}$ ; the upper frequency limit is arbitrary as there is no  
 115 natural cutoff for strictly parallel lower band chorus.

### 116 3 Particle Simulation

#### 117 3.1 Methods and Initial Conditions

118 The simulation method used in this research letter is based on the test particle sim-  
 119 ulation approach presented by Nunn and Omura (2015). It utilizes Liouville's theorem  
 120 which states that in a Hamiltonian system, the phase space density along particle tra-  
 121 jectories remains constant. Therefore, we can take a sample of particles that uniformly  
 122 covers a certain region of the phase space and reconstruct the velocity distribution func-  
 123 tion at a certain point  $(t, h)$  by tracking the particles back in time to another point where  
 124 the phase space density is known. This allows us to choose the initial velocity distribu-  
 125 tion after conducting the simulation without any considerable computational cost. Also,  
 126 we can sample arbitrarily small regions of the phase space, allowing us to achieve high  
 127 local resolution even with a low number of particles.

128 Since the phase space density is supposed to be preserved in our physical system,  
 129 the initial velocity distribution function must evolve adiabatically along field lines. We  
 130 choose a distribution in the form (Summers et al., 2012)

$$131 f(u_{\parallel}, u_{\perp}, h) d^3 u = \frac{N_{\text{he,eq}}}{(2\pi)^{\frac{3}{2}} U_{\parallel\text{eq}} U_{\perp\text{eq}}^2} \exp\left(-\frac{u_{\parallel}^2}{2U_{\parallel\text{eq}}^2} - \frac{u_{\perp}^2}{2U_{\perp\text{eq}}^2} \left(\left(1 - \frac{B_{\text{eq}}}{B(h)}\right) \frac{U_{\perp\text{eq}}^2}{U_{\parallel\text{eq}}^2} + \frac{B_{\text{eq}}}{B(h)}\right)\right) d^3 u, \quad (1)$$

$$132 d^3 u = du_{\parallel} u_{\perp} du_{\perp} d\varphi, \quad (2)$$

133 which is a bi-Maxwellian distribution in relativistic velocities  $u_{\parallel}$ ,  $u_{\perp}$ , and also the first  
 134 order expansion of the Maxwell-Jüttner distribution in velocity and temperature. In ve-  
 locities  $v_{\parallel} = u_{\parallel}/\gamma$ ,  $v_{\perp} = u_{\perp}/\gamma$ , the distribution takes form

$$135 f(v_{\parallel}, v_{\perp}, h) d^3 v = \frac{N_{\text{he,eq}}}{(2\pi)^{\frac{3}{2}} V_{\parallel\text{eq}} V_{\perp\text{eq}}^2} \exp\left(-\frac{v_{\parallel}^2}{2V_{\parallel\text{eq}}^2} - \frac{v_{\perp}^2}{2V_{\perp\text{eq}}^2} \left(\left(1 - \frac{B_{\text{eq}}}{B(h)}\right) \frac{V_{\perp\text{eq}}^2}{V_{\parallel\text{eq}}^2} + \frac{B_{\text{eq}}}{B(h)}\right)\right) \gamma^4 \left(1 - \frac{v_{\parallel}^2}{c^2}\right) \left(1 - \frac{v_{\perp}^2}{c^2}\right) d^3 v, \quad (3)$$

$$136 d^3 v = dv_{\parallel} v_{\perp} dv_{\perp} d\varphi. \quad (4)$$

137 Distribution along the particle phases  $\varphi$  is set to be uniform.  $N_{\text{he,eq}}$  stands here for the  
 138 hot electron density at the equator,  $V_{\parallel\text{eq}}$  ( $U_{\parallel\text{eq}}$ ) and  $V_{\perp\text{eq}}$  ( $U_{\perp\text{eq}}$ ) are the equatorial ther-  
 139 mal (relativistic) velocities and  $B(h)$  is the magnetic dipole field strength along the field  
 line.

140 To integrate the motion of electrons we use the phase-volume preserving Boris method  
 141 as described by Higuera and Cary (2017) without the standard phase approximation (see  
 142 e.g. Zenitani and Umeda (2018) for a discussion on Boris solvers). Particles are traced  
 143 until they leave the wave field, with the inclusion of the possibility of encountering the  
 144 wave after reflection at the mirror point. Time steps are fixed and chosen in such way  
 145 that there are always at least 50 steps per gyroperiod along all trajectories. Although  
 146 this is a rather low number, the numerical errors in phase space density in the regions  
 147 of the velocity distribution we are interested in are orders of magnitude smaller than the  
 148 typical magnitude of perturbations introduced by the resonant interaction.

149 The choice of input parameters common to all simulation runs is the following:  $\omega_{\text{phe}} =$   
 150  $0.3 \Omega_{\text{e0}}$ , where  $N_{\text{he,eq}} = \omega_{\text{phe}}^2 m \varepsilon_0 / e^2$ ,  $U_{\parallel \text{eq}} = 0.12 c$ ,  $U_{\perp \text{eq}} = 0.25 c$ . The background  
 151 magnetic field is assumed to be dipolar with equatorial strength at the Earth's surface  
 152  $3.1 \cdot 10^{-5} \text{ T}$  and the particles are propagating on the  $L$ -shell  $L = 4.5$ . The correspond-  
 153 ing equatorial electron gyrofrequency evaluates to  $\Omega_{\text{e0}} = 5.98 \cdot 10^4 \text{ s}^{-1}$ . These paramete-  
 154 rs are the same as in the wave simulation (see the SI, Text S1). To reduce the size of  
 155 the wave field array, the information about wave amplitude, wave frequency and wave  
 156 magnetic field phase is saved in spatial bins  $\Delta h = 8 c \Omega_{\text{e0}}^{-1}$  and time bins  $\Delta t = 60 \Omega_{\text{e0}}^{-1}$   
 157 and bilinearly interpolated along particle trajectories. To prove that such filtering is ac-  
 158 ceptable, we calculated the distribution function in Figure 2 also with a wave field given  
 159 on a finer grid,  $\Delta h = 1 c \Omega_{\text{e0}}^{-1}$ ,  $\Delta t = 4 \Omega_{\text{e0}}^{-1}$ . We observed no qualitative changes be-  
 160 tween the two perturbed distributions. Quantitative assessment is difficult as even a tiny  
 161 change in the wave field can cause a particle to evade trapping, thus completely chang-  
 162 ing the phase space density of the bin the particle represents. As a simple measure of  
 163 the changes we chose to compare the absolute maxima of the density change due to par-  
 164 ticle interaction. In both cases they appear at the same parallel velocity and differ in mag-  
 165 nitude by less than 2%.

### 166 3.2 Results

167 The first simulation was started at the equator at time  $t_{\text{ini}} = 2520 \Omega_{\text{e0}}^{-1}$ , which cor-  
 168 responds to the point where the first subpacket ends. Initial velocities and phases were  
 169 sampled uniformly,  $v_{\parallel} \in (-0.5 c, 0.0 c)$  with 256 points,  $v_{\perp} \in (0.0 c, 1.0 c)$  with 256 points,  
 170  $\varphi \in [0, 2\pi)$  with 128 points (particles with initial velocities larger than  $c$  are excluded  
 171 from the sample). The obtained perturbed distribution was integrated over  $\varphi$  and is shown

172 in Figure 2a, normalized to  $f_{0\max} = \max_{(v_{\parallel}, v_{\perp})} f_0(v_{\parallel}, v_{\perp})$ . In Figure 2b the reduced  
 173 distribution  $f(v_{\parallel})$  is presented and compared to the initial (unperturbed) distribution  
 174  $f_0(v_{\parallel})$ , showing a plateau around  $v_{\parallel} = -0.19c$ . The difference  $f(v_{\parallel}, v_{\perp}) - f_0(v_{\parallel}, v_{\perp})$   
 175 is shown in Figure 2c and reveals that a stripe has formed in the perturbed phase space  
 176 density distribution. A region of increased density is situated along the relativistic res-  
 177 onance velocity curve corresponding to the initial frequency of the subpacket, and a re-  
 178 gion of decreased density lies along the resonance velocity curve corresponding to the fi-  
 179 nal frequency of the subpacket. This structure is the remnant of an electromagnetic phase  
 180 space hole. The time evolution of the hole in time can be seen in the SI, Movie S1. It  
 181 should be mentioned here that the relativistic cyclotron resonance velocity  $V_R$  forms an  
 182 elliptical arc in the  $(v_{\parallel}, v_{\perp})$  space (Wu, 1985).

183 In Figure 2d we show again the difference  $f(v_{\parallel}, v_{\perp}) - f_0(v_{\parallel}, v_{\perp})$ , but for an ini-  
 184 tial distribution with  $U_{\parallel\text{eq}} = 0.25c = U_{\perp\text{eq}}$ , that is, for an isotropic distribution (equa-  
 185 torial thermal anisotropy  $A_{\text{eq}} = U_{\perp\text{eq}}^2/U_{\parallel\text{eq}}^2 - 1 = 0.0$ ). Such a distribution is not con-  
 186 sistent with the underlying wave model, but helps us to better understand the transport  
 187 of phase space density. The perturbation is now less prominent, but more importantly,  
 188 the regions of increased and decreased density within the stripe are switched. So, in this  
 189 case, the stripe is the remnant of an electromagnetic phase space hill. The explanation  
 190 for this behavior is sketched in Figure 2e. Untrapped particles that are scattered by the  
 191 whistler wave decrease their kinetic energies and pitch angles, while the particles which  
 192 are transported along the trapping region increase their kinetic energies and pitch an-  
 193 gles (see e.g. Vainchtein et al. (2018) and reference therein, and the Movies S2 and S3  
 194 in the SI). Depending on the shape of contours of the phase space distribution, a phase  
 195 space hole or a phase space hill will be produced. While the hole is associated with rising-  
 196 tone emissions, the hill is associated with fallers (Nunn & Omura, 2012) and would de-  
 197plete the free energy stored in risers. Therefore, to tell whether a rising-tone chorus can  
 198grow in the equatorial region, we need to know the full 2D velocity distribution, or at  
 199the very least the phase space density in  $>V_{\text{tr}}$  range around the resonance velocity curve.

200 The second simulation was started at the equator at time  $t_{\text{ini}} = 21000\Omega_{e0}^{-1}$ , which  
 201 is immediately after the end of the last subpacket. The perturbed velocity distribution  
 202 is shown in Figure 3a. The reduced distribution is now presented as a difference  $f(v_{\parallel}) -$   
 203  $f_0(v_{\parallel})$ , showing a very prominent decrease in phase space density around  $v_{\parallel} = -0.06c$ ,  
 204 which corresponds to the resonance velocity of the last subpacket. In Figure 3c we can

205 see that the stripes in  $f(v_{\parallel}, v_{\perp}) - f_0(v_{\parallel}, v_{\perp})$  plot are still present, but their structure  
 206 is getting less clear with decreasing parallel velocity. The stripes are also bent out to-  
 207 wards higher  $|v_{\parallel}|$ , which is the result of the adiabatic motion of particles that interacted  
 208 with lower frequency subpackets further away from the equator. The time evolution of  
 209 the velocity distribution is shown in the SI, Movie S4. We also show pitch-angle distri-  
 210 butions  $f(\alpha)$  for logarithmically spaced energy bins in Figure 3d, as it is the common  
 211 data product of measurements done by spacecraft particle instruments. A feature not  
 212 seen in the previous simulation is the wide transverse stripe at high energies and pitch  
 213 angles (Figure 3c) – it is caused by particles that interacted with both the left- and right-  
 214 propagating wave at different places. This would not happen in elements with a shorter  
 215 time duration. See Figures S1 and S2 in the SI for an example trajectory of such par-  
 216 ticles. Figures 3e and 3f present perturbed distributions for initial velocity distribution  
 217 with anisotropy  $A_{\text{eq}} = 0.36$ . The results show that even when a certain distribution sup-  
 218 ports formation of electron hole at larger resonance velocities, it might change at lower  
 219 velocities, even for a simple near-Maxwellian model.

220 All the plots in Figure 3 show the distribution function at a single point in time.  
 221 To obtain results that are comparable with spacecraft particle measurements, we need  
 222 to average the data over time. We chose six time points from  $t = 21000 \Omega_{e0}^{-1}$  to  $t = 28500 \Omega_{e0}^{-1}$   
 223 with a step of  $1500 \Omega_{e0}^{-1}$ , which translates approximately to a 125 ms time span. For com-  
 224 parison, the PEACE (Plasma Electron And Current Experiment) instrument on Clus-  
 225 ter spacecraft can measure a partial distribution in a  $15^\circ$  polar angle bin within about  
 226 60 milliseconds (Johnstone et al., 1997). The Magnetospheric MultiScale (MMS) mis-  
 227 sion measures the full three-dimensional (3D) electron distribution in 30 ms with a po-  
 228 lar angle resolution of 9- $15^\circ$  (Pollock et al., 2016).

229 The 3D velocity distributions obtained from simulations were first averaged and  
 230 then used for further calculations. Figure 4a shows the averaged velocity distribution in  
 231  $(v_{\parallel}, v_{\perp})$  space, revealing that the stripe structure has been mostly lost. The difference  
 232 between perturbed and initial reduced distributions  $f(v_{\parallel}) - f_0(v_{\parallel})$  in Figure 4b shows  
 233 that the prominent depletion at low  $|v_{\parallel}|$  is still present. This is visible as well in Figure 4c,  
 234 which also depicts the decrease of phase space density at high energies and pitch angles  
 235 in favor of the low-energy low-pitch-angle region. Figure 4d further confirms this behav-  
 236 ior by showing the pitch angle distribution in separate energy bins. Based on these re-  
 237 sults of time-averaging, we do not expect the stripe structure to be visible in particle mea-

238 surements, but the depletion at resonance velocity corresponding to the highest frequency  
 239 of the chorus element should be measurable.

## 240 4 Discussion and Conclusions

241 We have investigated the interaction of resonant electrons with a lower band cho-  
 242 rus element by the means of test particle simulations. Because this type of simulation  
 243 does not produce any self-consistent electromagnetic wave field, the credibility of the re-  
 244 sults is dependent on the quality of the underlying model of chorus emissions. In con-  
 245 struction of the wave field model, we attempted to include several properties that were  
 246 observed in numerical simulations and spacecraft measurements or theoretically predicted:  
 247 presence of a subpacket structure (Santolík et al., 2004), drift of the source region (Hikishima  
 248 et al., 2009; Demekhov et al., 2020), localized decreases in frequency between adjacent  
 249 subpackets (Santolík et al., 2014) and suppression of convective growth due to overlap  
 250 of adjacent subpackets. Despite these improvements, the only way to determine whether  
 251 the model truly is sufficiently consistent is to calculate the evolution of resonant current  
 252 from the perturbed velocity distributions and see if it matches with the regions of am-  
 253 plitude and frequency growth. We plan to investigate this in future studies. The results  
 254 of simulation of interaction with one subpacket assure us that the highly anisotropic ini-  
 255 tial velocity distribution of hot electrons we assumed is consistent with creation of an  
 256 electromagnetic phase space hole that can provide the current for amplitude growth. On  
 257 the other hand, we have shown that an isotropic, almost Maxwellian distribution would  
 258 not support a rising-tone chorus emission, because the transport of particles in  $(v_{\parallel}, v_{\perp})$   
 259 space would then require extraction of energy from the wave.

260 In the second simulation, where we focused on the interaction with an entire cho-  
 261 rus element, we chose an element with a rather long time duration of almost 350 ms (com-  
 262 pare with e.g. Teng et al. (2017)). Because there is no natural upper frequency cutoff  
 263 in the model, we artificially cut the wave spectrum at around  $0.5 \Omega_{e0}$ . Cutting the el-  
 264 ement off at the more typically observed frequencies of about  $0.40 \Omega_{e0}$  to  $0.45 \Omega_{e0}$  (Santolik  
 265 et al., 2008; Gao et al., 2019) would shorten the time duration by 60 to 100 milliseconds.  
 266 However, having longer elements allows for electron interaction with the wave propagat-  
 267 ing to negative  $h$  values, resulting in the transverse stripe in densities observed in Fig-  
 268 ures 3c and 4c (see also SI, Figure S1). These electrons slightly disturb the stripe struc-

269 ture in the perturbed distribution and should not be neglected in general, although the  
 270 effect is rather small.

271 The stripe structure that appears in the perturbed distribution function is the most  
 272 interesting result of this study. Specific appearance of this structure is influenced by mul-  
 273 tiple factors: wave amplitude, wave frequency (or resonance velocity), number of sub-  
 274 packets (or more specifically, their frequency spacing) and the initial velocity distribu-  
 275 tion are the most important. As the frequency spacing between subpackets decreases and  
 276 the resonance velocity moves to lower absolute values, the stripes will have an increas-  
 277 ing amount of overlap. This means that at one point, the waves will start entrapping sig-  
 278 nificant amounts of particles from the high-density part of the previous stripe (see the  
 279 second half of Movie S1 where this effect appears due to large overlap of frequency ranges  
 280 of the adjacent subpackets). This decreases the effectivity of energy exchange between  
 281 the particles and the wave and results in the distorted stripe structure which we can see  
 282 around  $v_{\parallel} = -0.1c$  in Figure 3c. In a self-consistent simulation, this would lead to a  
 283 decrease in wave amplitude – this is partly included in our model through the suppress-  
 284 sion of resonant current (Equations 14 and 15 in the SI), which leads to a weaker con-  
 285 vective growth of wave amplitudes. A natural suppression of resonant current is described  
 286 in the self-consistent particle simulation results of Hikishima et al. (2010) and Tao et al.  
 287 (2017). However, in their case the suppression comes also from the shape of the veloc-  
 288 ity distribution they use, which excludes particles at low perpendicular velocities. As a  
 289 result, the electromagnetic phase space hole at high pitch angles changes to a hill and  
 290 averages out the total resonant current to zero. We can see a similar effect in Figure 3e  
 291 for the low-anisotropy distribution, where the trapped particles at high frequencies have  
 292 higher density than the untrapped scattered particles.

293 From the experimental point of view, the most interesting feature of the perturbed  
 294 distribution is the density decrease along resonance velocity curve of the highest frequency  
 295 element. This density depletion persists even after time averaging. However, the linear  
 296 growth theory suggest that the density depression will quickly form a plateau due to strong  
 297 anisotropic instability (see pitch-angle anisotropies in the SI, Movie S5). Results of fu-  
 298 ture work will show if this predicted density structure might be confirmed experimen-  
 299 tally using data from particle detectors. Figure 4c shows that although a rather coarse  
 300 binning in energy is sufficient (units to tens of keV), a pitch-angle resolution better than  
 301  $15^{\circ}$  will be required to properly discern the observed structure. In particle-in-cell sim-

302      ulations (Hikishima et al., 2010; Tao et al., 2017), the density depletion was observed  
 303      as well, which gives further credence to our use of test particle simulations on the back-  
 304      ground of a realistic wave model, as it proves that the linear growth is not so significant.  
 305      The reason for the persistence of the position of this perturbation in phase space is 1)  
 306      negligible effect of adiabatic motion near the equator and 2) small changes in resonance  
 307      velocity between the higher frequency subpackets. The density depletion could become  
 308      less prominent if the amplitudes decreased very gradually with frequency down to zero.  
 309      However, the spectral gap seems to produce rather sharp drop-offs in the spectral power  
 310      (see e.g. Santolík et al. (2004)).

311            To conclude, we have shown that test particle simulations reveal a stripe structure  
 312      in a velocity distribution of electrons interacting with a rising-tone lower band chorus  
 313      emission. Part of the stripe structure persists even in time averaged data, promising the  
 314      possibility of detecting it with spacecraft particle measurements. All the results we pre-  
 315      sented here can be easily recalculated for any possible initial distribution, and can also  
 316      be used for the calculation of resonant currents, providing thus a possibility for further  
 317      in-depth studies of chorus wave growth.

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 323      .[cas.cz/repository/gr12020\\_testparticle\\_data\\_mh.zip](http://babeta.ufa.cas.cz/repository/gr12020_testparticle_data_mh.zip).

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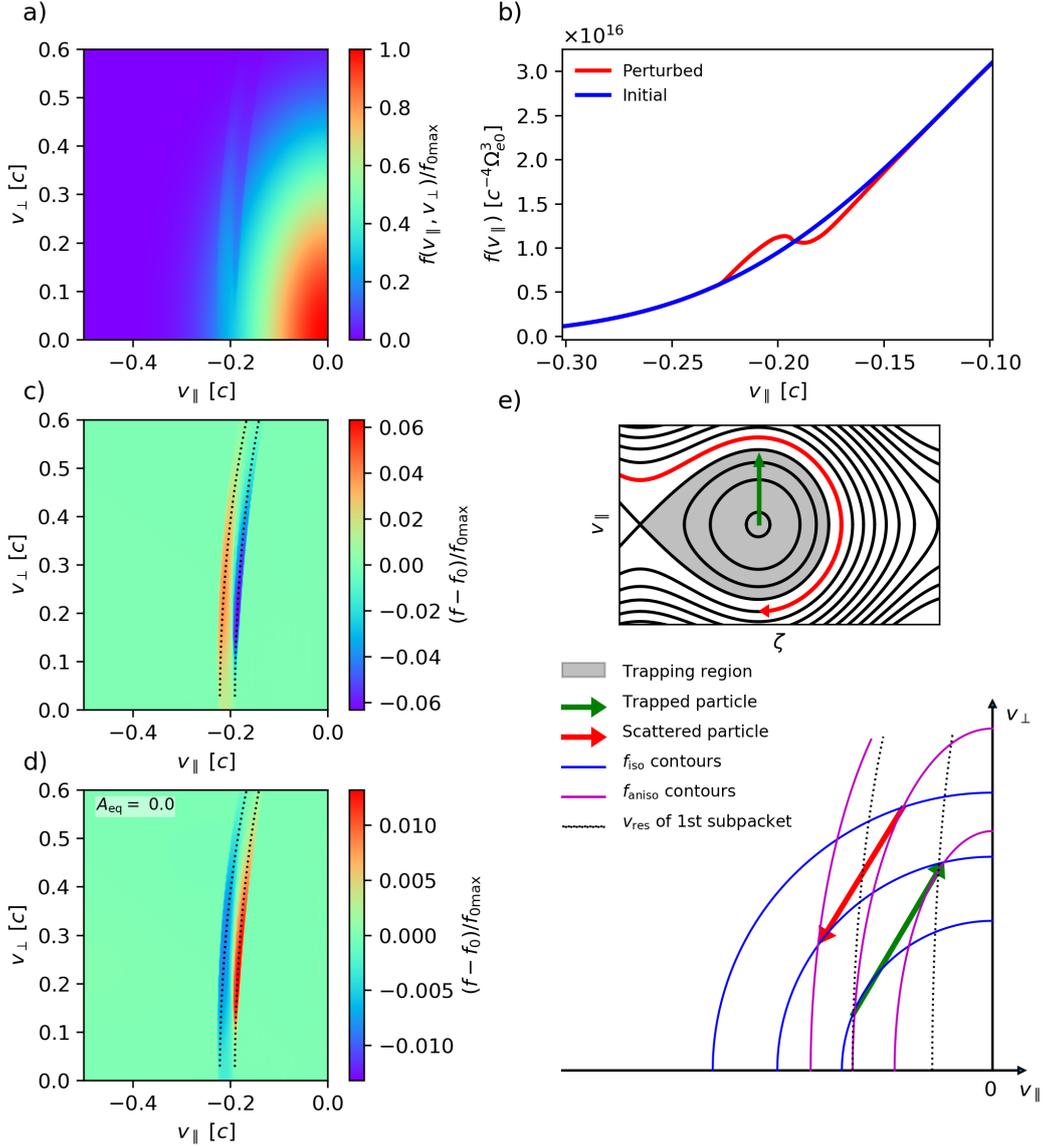
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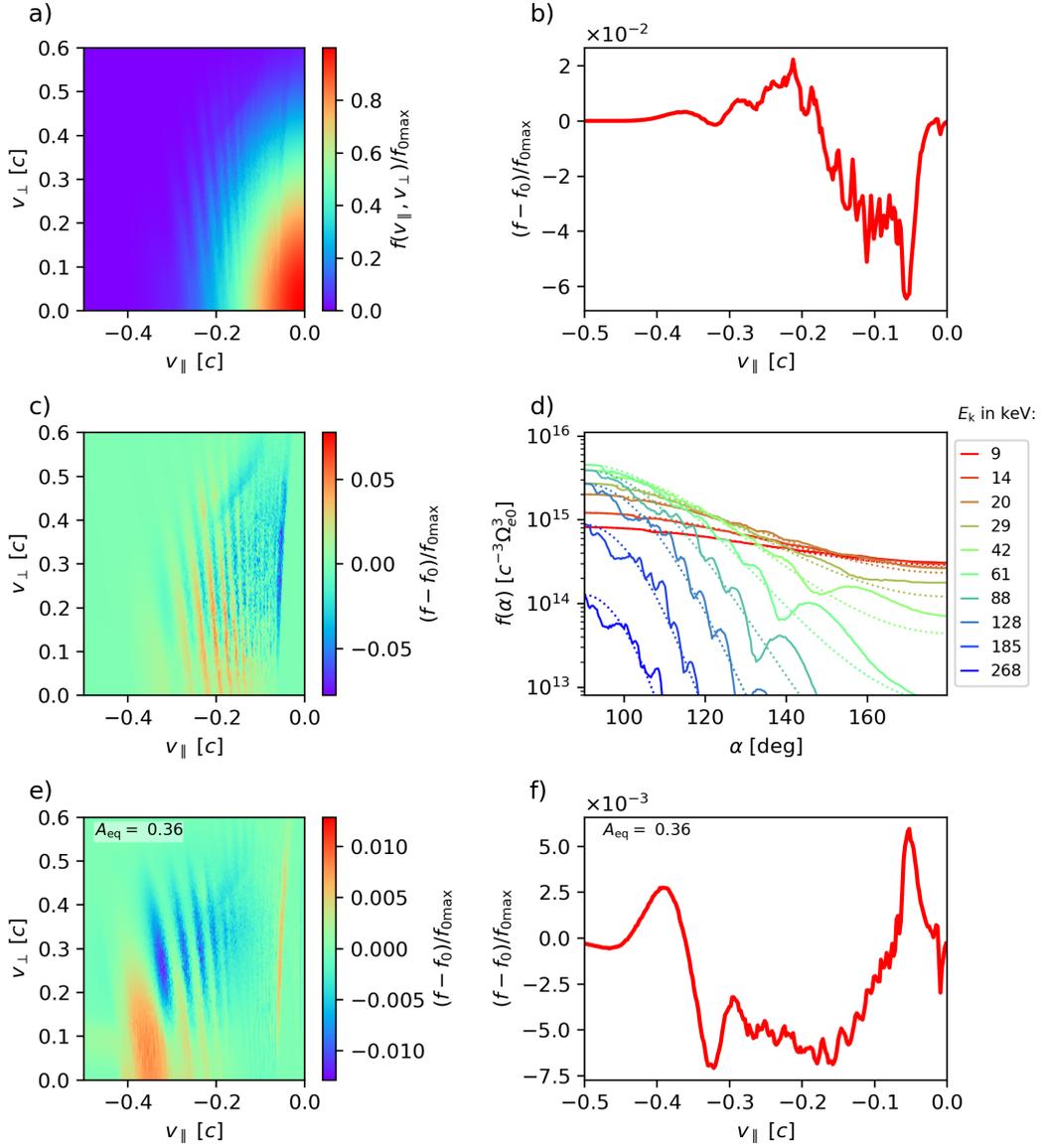
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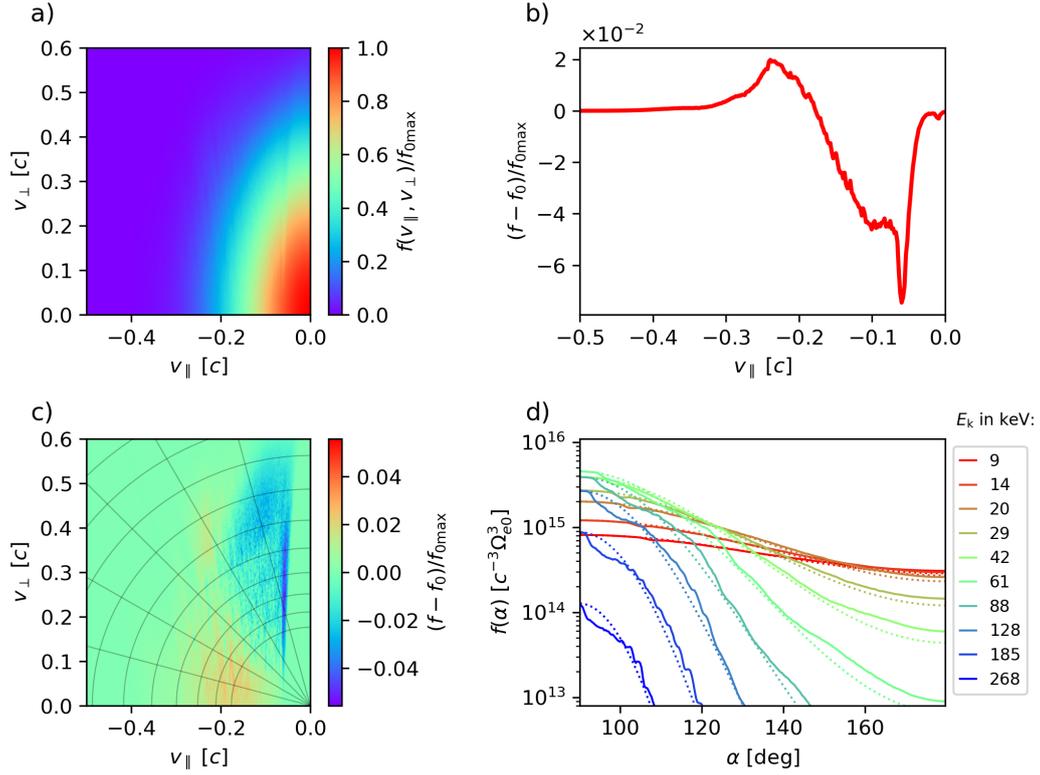
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**Figure 2.** a-d) Perturbation of electron velocity distribution after interaction with one chorus subpacket, simulation starting at point  $t = 2520 \Omega_{e0}^{-1}$ ,  $h = 0 c \Omega_{e0}^{-1}$ . a) 2D velocity distribution normalized to the maximum phase space density  $f_{0\max}$  at  $v_{\parallel} = 0$ ,  $v_{\perp} = 0$ . b) Velocity distribution integrated over perpendicular velocities, comparison of perturbed distribution (blue line) with initial distribution (red line). c) Difference between perturbed and initial velocity distribution in  $(v_{\parallel}, v_{\perp})$  space, normalized to  $f_{0\max}$ . d) Same as c), but for initial distribution with zero temperature anisotropy. e) Schematic explanation of the motion of resonant particles. The first illustration shows the electromagnetic phase space hole in  $(\zeta, v_{\parallel})$  space, where  $\zeta$  is the angle between the wave magnetic field vector and the perpendicular velocity of electrons. Green arrow represents the direction of motion of the trapping region (in grey) to lower  $|v_{\parallel}|$ , red arrow shows the motion of untrapped resonant particles. The streamlines in the phase space are only illustrative and do not represent the full dynamics. In the second diagram, the types of motion are illustrated in the  $(v_{\parallel}, v_{\perp})$  space. Blue and purple lines show the contours of phase space density of an isotropic and a highly anisotropic distribution, respectively. Dotted black lines indicate the resonance velocity curves for the lowest and highest frequency within the subpacket.



**Figure 3.** Perturbation of electron velocity distribution after interaction with a whole chorus element, simulation starting at point  $t = 21000\Omega_{e0}^{-1}$ ,  $h = 0c\Omega_{e0}^{-1}$ . a), b) and c) have the same format as in Figure 2, but now the panel b) shows the difference between perturbed and initial reduced distribution, instead of their comparison. d) Pitch angle distribution in logarithmically spaced energy bins. The listed values of  $E_k$  represent the geometric mean of each bin. e) Same as c), but for a distribution with equatorial thermal anisotropy  $A_{\text{eq}} = 0.36$ . f) is the same as b), but for  $A_{\text{eq}} = 0.36$ .



**Figure 4.** Same plots as in Figure 3a-d, but for velocity distributions time-averaged on the interval from  $21000\Omega_{e0}^{-1}$  to  $t = 28500\Omega_{e0}^{-1}$ , i. e. an interval of about 125 milliseconds starting at the end of the last subpacket. In panel c), pitch angle bins of  $15^\circ$  and energy bins according to panel d) are plotted with grey lines.