

1                    **Global magnetohydrodynamic magnetosphere**  
2                    **simulation with an adaptively embedded particle-in-cell**  
3                    **model**

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7                    **Key Points:**

- 8                    • We perform a global simulation of a geomagnetic storm event with kinetic mod-  
9                    eling of the magnetotail reconnection  
10                    • The kinetic region is adaptively embedded to the MHD model while the recon-  
11                    nection sites are identified by physical criteria during the runtime  
12                    • The global scale, mesoscale and electron scale features are observed in one sim-  
13                    ulation

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## Abstract

We perform a geomagnetic event simulation using a newly developed magnetohydrodynamic with adaptively embedded particle-in-cell (MHD-AEPIC) model to study by solving the magnetotail reconnection physics better, what's the influence on the simulation results at multiple physical scales. We also present the Hall MHD and ideal MHD simulation results of the same event for comparison. For the global scale features, three models produce very close SYM-H and SuperMag Electrojet Index (SME), which indicates the global magnetic field configurations from three models are very similar to each other. For the mesoscale feature, the MHD-AEPIC and Hall MHD models can produce tailward and earthward fluxropes. However, there is no fluxrope generated at the near-earth current sheet by the ideal MHD model. For the electron scale feature, the MHD-AEPIC can produce a crescent shape distribution of the electron velocity space at the electron diffusion region which is agreed with the MMS observation. The kinetic feature is not available in either Hall MHD or ideal MHD model.

## 1 Introduction

Geomagnetic storm is a major disturbance of Earth's magnetosphere that occurs when there is a significant amount of energy being deposited into the geospace. Geomagnetic storm usually results in an enhancement of the ring current system that produces magnetic disturbances on the ground (Dessler et al., 1961; Lyons & Williams, 1980; Hamilton et al., 1988). In addition to that, currents produced in the magnetosphere that follow the magnetic field can connect to intense currents in the auroral region which will also cause geomagnetic disturbances (Feldstein et al., 1997). So it is critical to conduct geomagnetic storm simulation to study the underlying physics and evaluate its impacts.

There have been a lot of publications dedicated to study the geomagnetic storm simulation, physics-based models are what we are interested in for this paper. Firstly published in the 1980s (LeBoeuf et al., 1981; Wu et al., 1981; Brecht et al., 1981, 1982), global magnetohydrodynamics (MHD) simulation has been considered as the major tool because of its self-consistent description of the space plasma. Later on, models applied more advanced algorithms have been developed, such as Lyon-Fedder-Mobarry (LFM) (J. G. Lyon et al., 1986; J. Lyon et al., 2004), the OpenGGCM (Raeder et al., 1995, 1996) and the GUMICS (Grand Unified Magnetosphere Ionosphere Coupling Simulation) model (Janhunen, 1996). In this paper, we use the University of Michigan's Space Weather Modeling Framework (SWMF (Tóth et al., 2012)) which also use a MHD model: Block Adaptive-Tree Solar-wind Roe-type Upwind Scheme (BATS-R-US) (Powell et al., 1999) as its global magnetosphere (GM) component. The SWMF has been applied to many storm event simulations (Tóth et al., 2007; Glocer et al., 2009; Haiducek et al., 2017), which is also been selected as the physics-based model at the Space Weather Prediction Center based on a thorough model comparison (Pulkkinen et al., 2013).

Despite all the successful applications MHD models have achieved, there is one underlying condition that all these MHD models follow: the distribution function of the ions and electrons is assumed to be Maxwellian. Numerous observations suggest that this condition is violated especially near the magnetic reconnection sites (L.-J. Chen et al., 2016; Burch et al., 2016; Hwang et al., 2019; Lotekar et al., 2020). However, the reconnection physics in the MHD models relies on either Hall resistivity, or ad hoc anomalous resistivity, or simply numerical resistivity. Considering magnetic reconnection is one of the essential physical process for transforming the magnetic field energy to the plasma. It is very meaningful to resolve kinetic physics in a global simulation and validate if it is contributing to the geomagnetic disturbances prediction.

As a relatively new feature introduced in the SWMF, the MHD with embedded Particle-In-Cell (MHD-EPIC) model (Daldorff et al., 2014) enables kinetic physics to be introduced in a global MHD background, which also has been successfully used in studying

65 planetary magnetospheres: the interaction between the Jovian wind and Ganymede’s  
 66 magnetosphere (Tóth et al., 2016; Zhou et al., 2019, 2020); the flux transfer events (FTEs)  
 67 at the Earth’s dayside magnetopause (Y. Chen et al., 2017); the Mars’ magnetotail dy-  
 68 namics (Y. Ma et al., 2018) and the dawn-dusk asymmetries discovered at the Mercury’s  
 69 magnetotail (Y. Chen et al., 2019). However, the iPIC3D (Markidis et al., 2010), which  
 70 is the PIC model in the MHD-EPIC can only run on a fixed Cartesian grid, which is not  
 71 flexible enough to cover the whole domain of interest due to massive computational cost.  
 72 For example, a very large PIC box would be needed to accommodate the flapping mo-  
 73 tion (Tsutomu & Teruki, 1976; Volwerk et al., 2013) of the magnetotail current sheet dur-  
 74 ing a geomagnetic storm simulation. It’s probably feasible for a short time event but for  
 75 geomagnetic storms which usually happen for days, the computational cost makes the  
 76 problem unsolvable.

77 To tackle this problem, we have developed MHD with Adaptively Embedded PIC  
 78 (MHD-AEPIC) method. Shou et al. (2021) firstly introduces this idea and verifies that  
 79 by letting the PIC regions following the movement of the kinetic areas, the numerical  
 80 solution doesn’t change essentially. In this paper, we further improve this method and  
 81 make it more flexible: 1. The size and shape of the active PIC regions can be adapted  
 82 during the runtime; 2. The adaptation of the active PIC region is fully automatic. To  
 83 realize the first feature, instead of iPIC3D, we use the FLEKS (Flexibly Exascale Kinetic Sim-  
 84 ulator (FLEKS) (?)) as the PIC model in the SWMF. FLEKS inherits all numerical  
 85 algorithms from MHD-EPIC, and also accommodates an adaptive PIC grid that allows  
 86 PIC cells to be turned on and off during the simulation as well as a particle splitting and  
 87 merging feature to improve the solution, more details can be found in Section 2.2. To  
 88 realize the second feature, we introduce three physical-based criteria to identify recon-  
 89 nection sites in the magnetotail, see Section 2.3 for details.

90 In this paper, we firstly embed kinetic physics into a real geomagnetic storm sim-  
 91 ulation and observe features from the global to electron scale. The computational meth-  
 92 ods are described in Section 2, the demonstration of the adaptation feature and com-  
 93 parisons between models and observations are shown in Section 3 and we summarize in  
 94 Section 4.

## 95 2 Methods

### 96 2.1 Global Magnetosphere Model: BATS-R-US

97 The Block-Adaptive Tree Solar-wind Roe-type Upwind Scheme (BATS-R-US) is  
 98 used as the Global Magnetosphere (GM) model in our simulation. In the work presented  
 99 in this paper, the Hall MHD equations (Tóth et al., 2008) are solved, an explicit-implicit  
 100 scheme is chosen for time stepping and the Sokolov scheme (Sokolov et al., 1999) with  
 101 third-order monotized central (Koren) limiter is used as the numerical flux. The hy-  
 102 perbolic cleaning (Dedner et al., 2003) and eight-wave scheme (Powell et al., 1999) are  
 103 also used to keep the magnetic field divergence-free.

104 The Hall MHD equations (with a separate electron pressure equation) to be solved  
 105 are

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \mathbf{u}) \quad (1)$$

$$\frac{\partial(\rho \mathbf{u})}{\partial t} = -\nabla \cdot \left[ \rho \mathbf{u} \mathbf{u} + (p + p_e) \bar{\mathbf{I}} + \frac{B^2}{2\mu_0} \bar{\mathbf{I}} - \frac{\mathbf{B}\mathbf{B}}{\mu_0} \right] \quad (2)$$

$$\frac{\partial e}{\partial t} = -\nabla \cdot \left[ (\epsilon + p) \mathbf{u} + (\epsilon_e + p_e) \mathbf{u}_e + \mathbf{u}_e \cdot \left( \frac{\mathbf{B}^2}{\mu_0} \bar{\mathbf{I}} - \frac{\mathbf{B}\mathbf{B}}{\mu_0} \right) - \mathbf{B} \times \eta \mathbf{j} \right] \quad (3)$$

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \left[ \mathbf{u}_e \times \mathbf{B} + \frac{\nabla p_e}{ne} \right] \quad (4)$$

$$\frac{\partial p_e}{\partial t} = -\nabla \cdot (p_e \mathbf{u}_e) - (\gamma - 1)p_e \nabla \cdot \mathbf{u}_e \quad (5)$$

106 where  $\bar{I}$  is the identity matrix,  $\rho$  is the mass density,  $\mathbf{u}$  is the plasma bulk velocity,  $\mathbf{B}$   
 107 is the magnetic field,  $p_e$  is the electron pressure,  $p$  is the ion pressure and  $\mathbf{j} = \nabla \times \mathbf{B} / \mu_0$   
 108 is the current density. The Hall velocity and electron bulk velocity are defined as

$$\mathbf{v}_H = -\frac{\mathbf{j}}{ne} \quad (6)$$

$$\mathbf{u}_e = \mathbf{u} + \mathbf{v}_H \quad (7)$$

109 The total energy density is

$$e = \epsilon + \epsilon_e + \frac{B^2}{2\mu_0} = \frac{1}{2}\rho\mathbf{u}^2 + \frac{1}{\gamma-1}(p + p_e) + \frac{B^2}{2\mu_0} \quad (8)$$

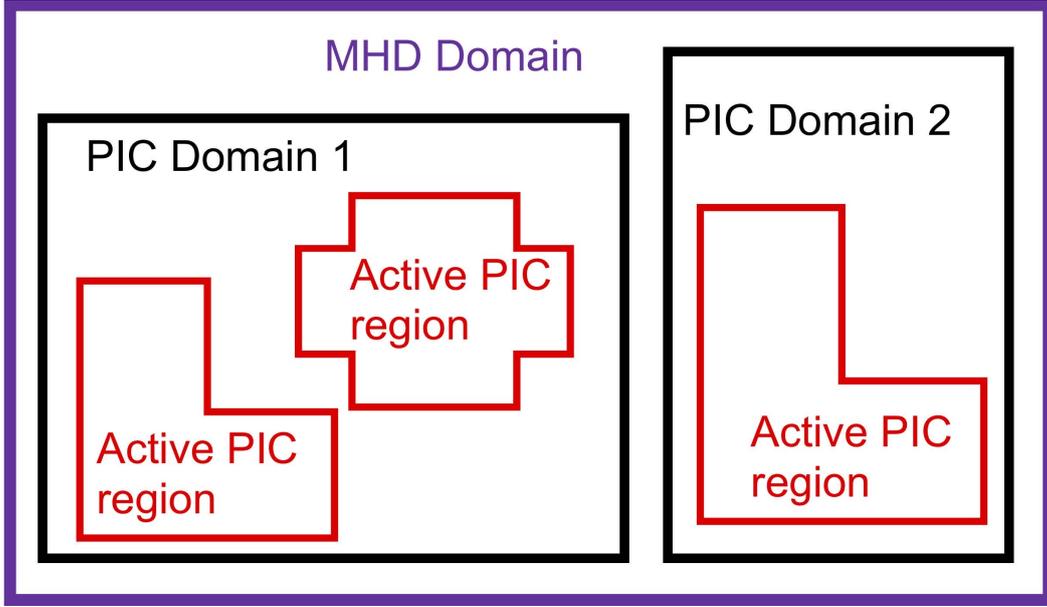
110  $\epsilon$  is the hydrodynamic energy density and  $\gamma = 5/3$  is the adiabatic index. Apart from  
 111  $(\rho, \mathbf{u}, \mathbf{B}, p, p_e)$ , other variables are derived quantities.

112 The continuity equation (1), momentum equation (2), energy equation (3) and elec-  
 113 tron pressure equation (5) are solved with an explicit time stepping scheme. The con-  
 114 vection term  $\mathbf{u} \times \mathbf{B}$  and pressure gradient term  $\nabla p_e / ne$  are solved using explicit scheme  
 115 in the induction equation (4) while the Hall term  $\mathbf{v}_H \times \mathbf{B}$  is advanced with an implicit  
 116 scheme. The Hall MHD equations introduce whistler mode wave, which has a charac-  
 117 teristic wave speed inversely proportional to the wavelength. In a numerical scheme, at  
 118 least two grid cells are needed to resolve the shortest wavelength, so the fastest whistler  
 119 wave speed is proportional to  $1/\Delta x$  while  $\Delta x$  is the cell size. The time step in a fully  
 120 explicit scheme is limited by the Courant-Friedrichs-Lewy (CFL) condition:  $\Delta t \sim \Delta x / c_{\max}$ ,  
 121 where  $c_{\max}$  is the fastest wave speed. which leads to a time step proportional to  $1/(\Delta x)^2$ .  
 122 We use a semi-implicit scheme Tóth et al. (2012) to handle the stiff term in the induc-  
 123 tion equation, which allows the time step to be limited by the fast magnetosonic wave  
 124 speed instead of the whistler speed.

125 A three-dimensional block-adaptive Cartesian grid is used to cover the entire com-  
 126 putational domain:  $-224R_E < x < 32R_E$ ,  $-128R_E < y < 128R_E$ ,  $-128R_E < z <$   
 127  $128R_E$  in GSM coordinate. The Hall effect is restricted to  $x \in [-100R_E, 20R_E]$ ,  $|y| <$   
 128  $30R_E$  and  $|z| < 20R_E$  box region excluding a sphere of radius  $3R_E$  centered at the Earth  
 129 to speed up the simulation,  $(x, y, z)$  is defined in GSM coordinate system. The cell size  
 130 of in the magnetotail is refined to the resolution with  $\Delta x = 1/4R_E$ . About fourteen  
 131 millions cells are used in total. At the inner boundary  $r = 2.5R_E$ , the density is cal-  
 132 culated by the formula  $\rho_{\text{inner}} = 28 + 0.1\text{CPCP amu/cm}^3$ , where CPCP is the average  
 133 of the northern and southern cross polar cap potentials measured in keV. This bound-  
 134 ary condition has been used successfully in previous geomagnetic storm simulations (Pulkkinen  
 135 et al., 2013). The pressure and magnetic field  $\mathbf{B}_1$  has zero gradient. The radial veloc-  
 136 ity is set to zero and the tangential velocity calculated from the Ridley Ionosphere Model  
 137 (RIM) developed by (Ridley et al., 2004) is used as the velocity boundary.

## 138 2.2 Particle-in-cell Model: FLEKS

139 The FLEKS (Flexible Exascale Kinetic Simulator) (FLEKS) () is used as the particle-in-cell  
 140 (PIC) model (PC component in the SWMF) to resolve kinetic physics. FLEKS inher-  
 141 its the two-way coupling method (Daldorff et al., 2014) for coupling with BATS-R-US  
 142 and the Gauss's law satisfying energy-conserving semi-implicit method (GL-ECSIM) (Y. Chen  
 143 & Tóth, 2019) for the PIC solver in the MHD-EPIC method. What's more, to imple-  
 144 ment the adaptation in the MHD-AEPIC, FLEKS introduces an adaptive grid for more  
 145 flexibility in covering part of the computational domain and adjusting it over time. Since  
 146 the geomagnetic storm simulation is long enough to cause the number of macro parti-  
 147 cles in grid cells change significantly. FLEKS provides a particle merging and splitting



**Figure 1.** The schematic plot of the FLEKS adaptive grid. The red line boundary shows the flexibility of turning on and off the PIC patches during the simulation.

148 feature to tackle this problem: merging particles in a high particle number cell can im-  
 149 prove load-balancing and speed up simulation while splitting particles in a low particle  
 150 number cell can reduce noise for the PIC simulation. All these features are applied to  
 151 the magnetic storm simulation in this paper. Figure 1 is a schematic plot of the adap-  
 152 tive grid which is the feature most related to the simulation in this paper, more details  
 153 can be found in the work by (?, ?).

### 154 2.3 Selection Criteria of PIC Regions

155 As described in the previous section, FLEKS allows patches to be turned on and  
 156 off during the simulation. To make the active PIC patches only cover the regions of in-  
 157 terest, the MHD model should locate these regions and pass this information to the FLEKS.  
 158 Finding the locations of magnetic reconnection sites can be done in various ways includ-  
 159 ing tracing field lines (“Separator Reconnection at the Magnetopause for Predominantly  
 160 Northward and Southward IMF: techniques and results”, 2016). For sake of efficiency  
 161 and generality, here we use a local criteria based on the magnetic field solution only.

162 Magnetic reconnection usually happens in current sheets where the current den-  
 163 sity  $j$  is strong and the magnetic field  $B$  is weak. In particular, the field  $B_{\perp}$  perpendic-  
 164 ular to the current vector  $\mathbf{j} = \nabla \times \mathbf{B}$  should be close to zero, while the guide field par-  
 165 allel to the current can be non-zero. We define the following relation as our first crite-  
 166 rion

$$c_1 = \frac{j\Delta x}{B_{\perp} + \varepsilon} = \frac{j^2\Delta x}{|\mathbf{j} \times \mathbf{B}| + j\varepsilon} \quad (9)$$

167 where  $\varepsilon$  is a small dimensional constant in units of the magnetic field introduced to avoid  
 168 dividing by zero. We use  $\varepsilon = 1$  nT in our simulations presented here which is much smaller  
 169 compare to the typical magnetic field intensity in the tail current sheet.  $\Delta x$  is the lo-  
 170 cal cell size that is used in calculating the curl of the magnetic field when obtaining the  
 171 current, so that  $j\Delta x$  is the jump of the transverse magnetic field between neighboring

172 grid cells. The expression  $c_1$  is dimensionless. We use the threshold  $c_1 > 0.8$  in this work  
 173 to select the cells that are close to the reconnection sites.

174 While this criterion works quite well in general, we sometimes find that it selects  
 175 the axis of flux ropes, or O-lines, in addition to X-lines, especially if  $\varepsilon$  is very small. Re-  
 176 connection does not occur at O-lines, so we developed a second criterion that distinguishes  
 177 X- and O-lines based on the divergence of the magnetic field curvature vector:

$$c_2 = [\nabla \cdot (\mathbf{b} \cdot \nabla \mathbf{b})](\Delta x)^2 \quad (10)$$

178 where  $\mathbf{b} = \mathbf{B}/|\mathbf{B}|$  is a unit vector along the magnetic field.  $\Delta x$  is the local cell size so  
 179 that  $c_2$  is a dimensionless value. We use  $c_2 < -0.1$  to identify X-lines.

180 The above two criteria are identifying magnetic reconnection sites through local  
 181 plasma properties in a general scenario. However, current sheets in the solar wind can  
 182 also satisfy those two criteria. To make the selection more accurate, we need to intro-  
 183 duce a third criterion to exclude the volume outside the magnetosphere. Observations  
 184 show that specific entropy is two orders of magnitude larger in the magnetosphere than  
 185 in the magnetosheath (X. Ma & Otto, 2014). Here we use the specific entropy as the third  
 186 criterion:

$$c_3 = \frac{p}{\rho^\gamma} \quad (11)$$

187 where  $p$  is the plasma thermal pressure,  $\rho$  is the plasma density, and  $\gamma = 5/3$  is the ra-  
 188 tio of the specific heats (Birn et al., 2006, 2009). Different from the  $c_1$  and  $c_2$  introduced  
 189 above, this criteria is dimensional and we use the threshold value  $c_3 > 0.02 \text{ nPa/cm}^{-3\gamma}$ .

190 The three criteria can identify X-lines in the magnetotail well. To make the active  
 191 PIC region large enough around the X-lines, we flag all patches where the criteria are  
 192 met, and then activate all patches within a distance  $L_x$ ,  $L_y$  and  $L_z$  from these flagged  
 193 patches in the  $x$ ,  $y$  and  $z$  directions, respectively. We use  $L_x = 4R_E$  and  $L_y = L_z =$   
 194  $2R_E$  in this work.

195 Each MPI process of BATS-R-US calculates the above criteria on their respective  
 196 sub-domains overlapping with the PIC grid and activate the patches of the PIC grid. Then  
 197 the processors collect the information: a PIC patch is activated if any of the BATS-R-  
 198 US processes activated it. Since the status of all PIC patches (on/off) is stored in each  
 199 MPI processor of BATS-R-US, using the default logical array would consume a lot of mem-  
 200 ory. To reduce the memory use, the status is stored by a single bit, which is 32 times  
 201 smaller than the size of the default logical variable in Fortran. The information is con-  
 202 veniently collected with the bitwise "or" operator `MPI_BOR` used in the `MPI_ALLREDUCE`  
 203 call.

## 204 2.4 Ionospheric Electrodynamics Model: RIM

205 The Ionospheric Electrodynamics (IE) is solved by the Ridley Ionosphere Model  
 206 (RIM) (Ridley et al., 2004). The RIM model solves a Poisson-type equation for the elec-  
 207 tric potential on a 2-D spherical grid. In this work, the grid resolution is set to  $2^\circ$  on both  
 208 longitude and latitude directions. The lower latitude boundary is at  $10^\circ$  where the elec-  
 209 tric potential is set to zero.

210 The BATS-R-US and RIM models are two-way coupled every 5 seconds. To calcu-  
 211 late the Poisson-type equation, the RIM obtains the field-aligned currents (FAC) cal-  
 212 culated at  $3R_E$  from the BATS-R-US model and maps down to its grid. The F10.7 flux  
 213 is also an input parameter of RIM that is used together with the FAC to calculate the  
 214 particle precipitation and conductances based on an empirical model. The electric field  
 215 calculated by the RIM is mapped back to the inner boundary of BATS-R-US to obtain  
 216 the  $\mathbf{E} \times \mathbf{B}/B^2$  velocity for its inner boundary condition. The cross polar cap potentials  
 217 (CPCP) are also sent to BATS-R-US to set the density at the inner boundary. FOR-  
 218 MULA?!

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## 2.5 Inner Magnetosphere Model: RCM

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The Inner Magnetosphere (IM) is modeled by the Rice Convection Model (RCM) (Wolf et al., 1982; Toffoletto et al., 2003). The standard RCM settings are used, including an exponential decay term to the RCM equations: the phase space density decays towards zero with a 10-hour e-folding rate. The decay term makes the Dst index recover better after large storms.

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The RCM model is one-way coupled with RIM and two-way coupled with BATS-R-US every 10 seconds. In the one-way coupling from RIM to RCM, the electric potential from RIM is sent and interpolated on to the RCM grid. In the two-way coupling between BATS-R-US and RCM, the BATS-R-US identifies the closed field line regions and calculates field volume integrals of pressure and density (De Zeeuw et al., 2004). The integrated pressure and density are applied to RCM as the outer boundary condition with the assumption of 90%  $H^+$  and 10%  $O^+$  number density composition. From RCM to BATS-R-US, the GM grid cell centers are traced to the RCM boundary along the magnetic field lines (De Zeeuw et al., 2004) and the BATS-R-US pressure and density are pushed towards the RCM values with a 20s relaxation time.

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## 3 Results: 3D Global Simulation with Kinetic Physics in the Magnetotail

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### 3.1 Simulation Setup

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In this paper, we applied the MHD-AEPIC method to a geomagnetic storm event on Aug. 6, 2011 with a observed minimum Dst -126 nT at 2011-08-06 03:24:00. Previous works show frequent flapping motion of the magnetotail current sheet during the storm time (Tsutomu & Teruki, 1976; Volwerk et al., 2013), so the adaptively embedding feature is perfect for only covering the current sheet during the simulation. We start our simulation at 2011-08-05 15:00:00 and end it at 2011-08-06 07:00:00. This time range covers the main phase and the early recovering phase of the storm when the largest geomagnetic impact happens. The solar wind inputs covering the simulation time are plotted in the Figure 2. The solar wind condition for the steady state is taken at 2011-08-05 15:00:00,  $\mathbf{B} = (0, 1.06 \times 10^{-3}, 7.25 \times 10^{-3})$  nT, mass density  $4.25 \text{ amu/cm}^3$ , ion pressure  $3.39 \times 10^{-3}$  nPa and solar wind velocity  $\mathbf{u} = (-425, 6.45, -9.09)$  km/s. The BATS-R-US and RIM models are turned on to reach a steady state after 50k iteration steps. The meridional plane cut of the plasma density plot of the steady state is shown in Figure 3 along with the different refinement level boundaries of the AMR grid. Then the SWMF is switched to a time-accurate mode with FLEKS and RCM models turned on. The computational domain of FLEKS is decided by the selection criteria introduced above. To compare with, we also conduct two other simulations without FLEKS: one with Hall MHD model and the other with ideal MHD model.

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### 3.2 PIC Region Adaptation

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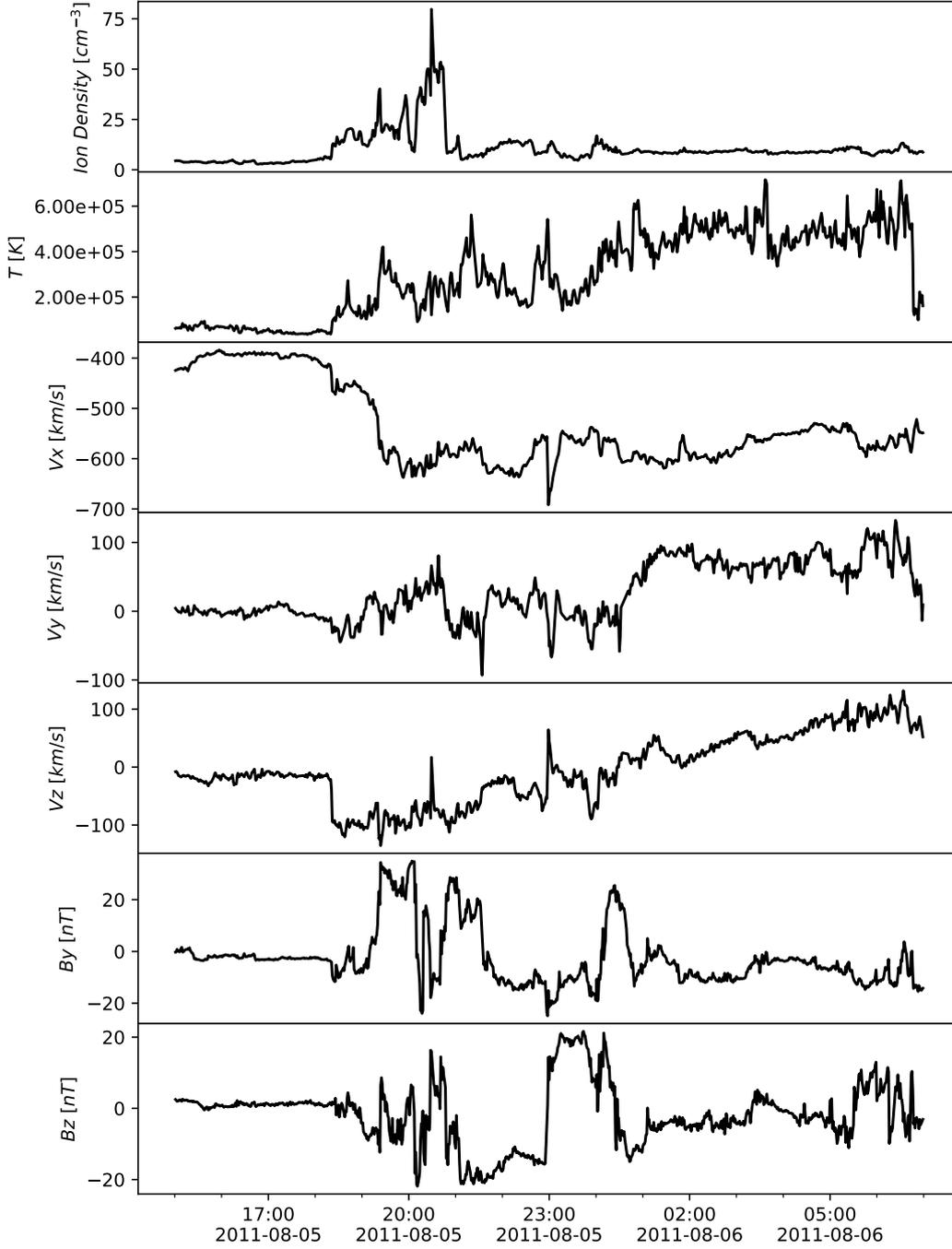
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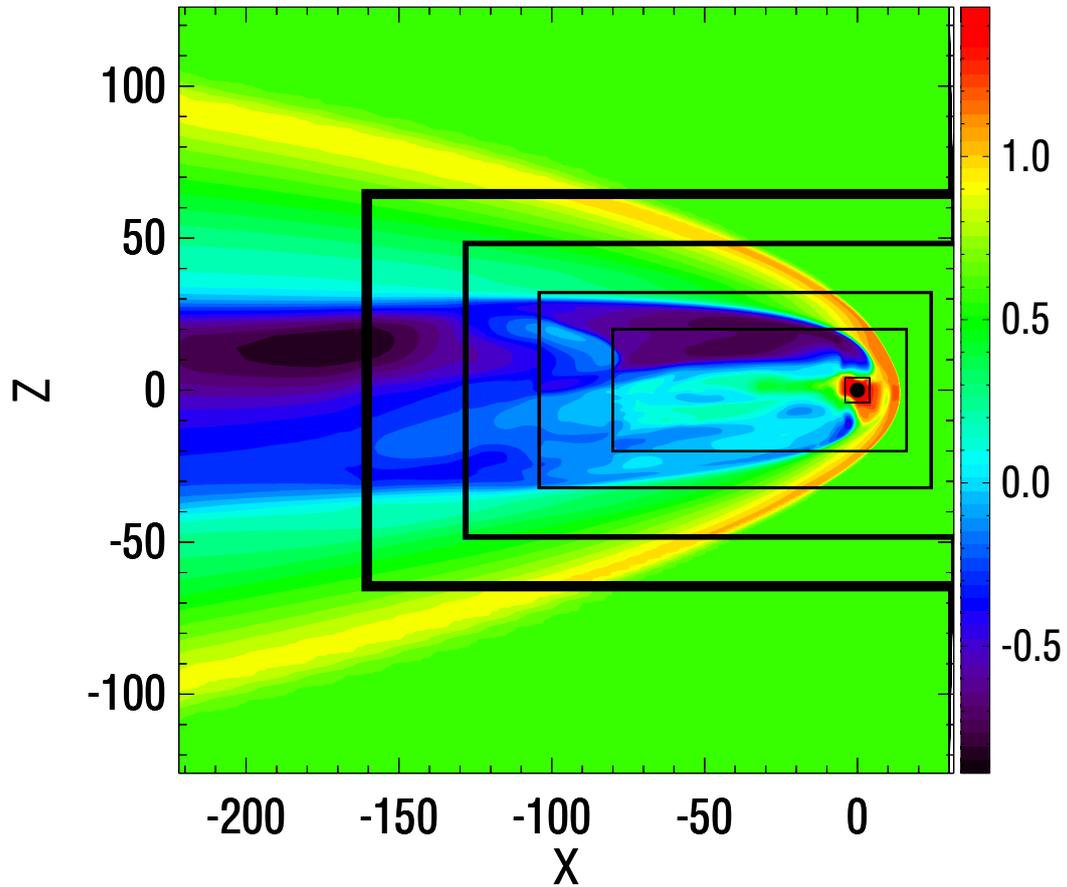
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In this subsection, we will demonstrate the adaptive embedding results of the PIC model with the MHD domain. Figure 4 illustrates PIC region is changing over the simulation runtime. Figure 4 (a)-(f) are snapshots from six different times of the geomagnetic storm simulation. The color contours are  $J_y$  on the meridional plane to show the magnetospheric current system. Boundaries of active PIC region is shown in grey isosurface. Snapshots 4 (a) and (b) are taken before the sudden commencement of the storm. At this time, the IMF  $B_z$  is pointing northward and the solar wind speed is about 400 km/s. From the isosurface plot, the PIC region is covering the tail current sheet tilting southward. In Figure 4 (b), the tail current sheet is kinked and the PIC region adjusts its shape to accommodate the tail current sheet. Snapshots 4 (c)-(f) are taken after the sudden commencement of the storm. Here we observe a much compressed magnetosphere



**Figure 2.** The solar wind bulk plasma and interplanetary magnetic field input in Geocentric Solar Magnetospheric coordinates (from top panel to the bottom: plasma density, plasma temperature,  $x$ ,  $y$  and  $z$  components of the plasma flow velocity,  $y$  and  $z$  components of the magnetic field) for the simulation in this paper. The  $x$ -component of the magnetic field is set to be 0. The solar wind data is obtained from the ACE spacecraft observation and propagated to the bow shock position (Pulkkinen et al., 2013).



**Figure 3.** The meridional plane of the simulation domain. The color contour shows the plasma density of the steady state on a logarithmic scale. The black lines are the boundary of grid cells at different refinement level. The cell size can also be distinguished by the thickness of the lines. The resolution at the square region near earth is  $1/8 R_E$  and grid resolution at magnetotail is  $1/4 R_E$ . The refinement ratio between two adjacent levels is 2.

268 as well as an enhanced current density. In the last two snapshots, the tail current sheet  
 269 is tilting northward and it is well covered by the PIC region. From the snapshots, we  
 270 can conclude that the PIC region selection criteria work well in identifying the tail cur-  
 271 rent sheet, which can make the PIC region accommodate with the flapping motion of  
 272 the magnetotail. The red line in Figure 4 (g) is the volume of the active PIC region (smoothed  
 273 every 60 seconds), the Dst index is also presented in the background for reference. The  
 274 volume of the PIC region increase after the sudden commencement and start dropping  
 275 at the recovering phase. This reflects the tail current system intensity related to the so-  
 276 lar wind condition. Notice that the volume is less than  $2000 R_E^3$  for the entire storm sim-  
 277 ulation, which is only about 1.4% of a large PIC box extend from  $-100R_E$  to  $-10R_E$   
 278 on  $x$  direction and  $-20R_E$  to  $20R_E$  on  $y$  and  $z$  direction. This implies that the MHD-  
 279 AEPIC method saves the computational resources substantially.

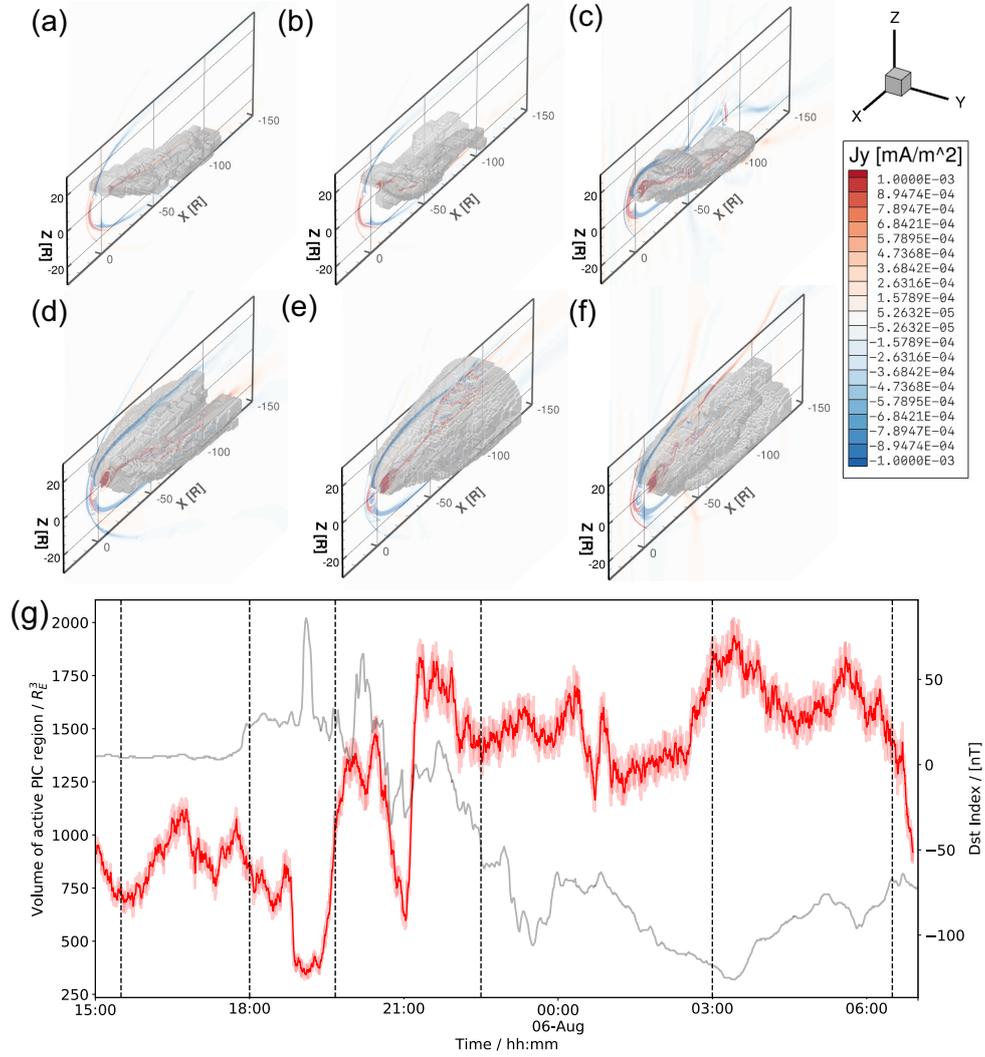
### 280 3.3 Global Scale Feature: Geomagnetic Indices

281 After demonstrating the PIC region adaptation in the previous subsection, we will  
 282 compare the model output with the observation at different physical scales in the fol-  
 283 lowing subsections. To evaluate the models' performance on the global scale, we use the  
 284 SYM-H and SME as evaluation metrics. The SYM-H uses six ground magnetometer sta-  
 285 tions to calculate the symmetric portion of the horizontal component magnetic field near  
 286 the equator, which is a measurement of the ring current strength weakens the Earth's  
 287 dipolar magnetic field (Ganushkina et al., 2017). The SYM-H is considered as an indi-  
 288 cator of storm activity happening in the magnetosphere. The SuperMAG electrojet in-  
 289 dex (SME) is considered as an indicator of substorms and auroral power (Newell & Gjer-  
 290 loev, 2011). SME utilizes more than 100 ground magnetometer stations at geomagnetic  
 291 latitudes between  $+40^\circ$  and  $+80^\circ$  degrees which resolves the large and extreme events  
 292 more effectively than the traditional Auroral Electrojets (AE) index (Davis & Sugiura,  
 293 1966; Bergin et al., 2020).

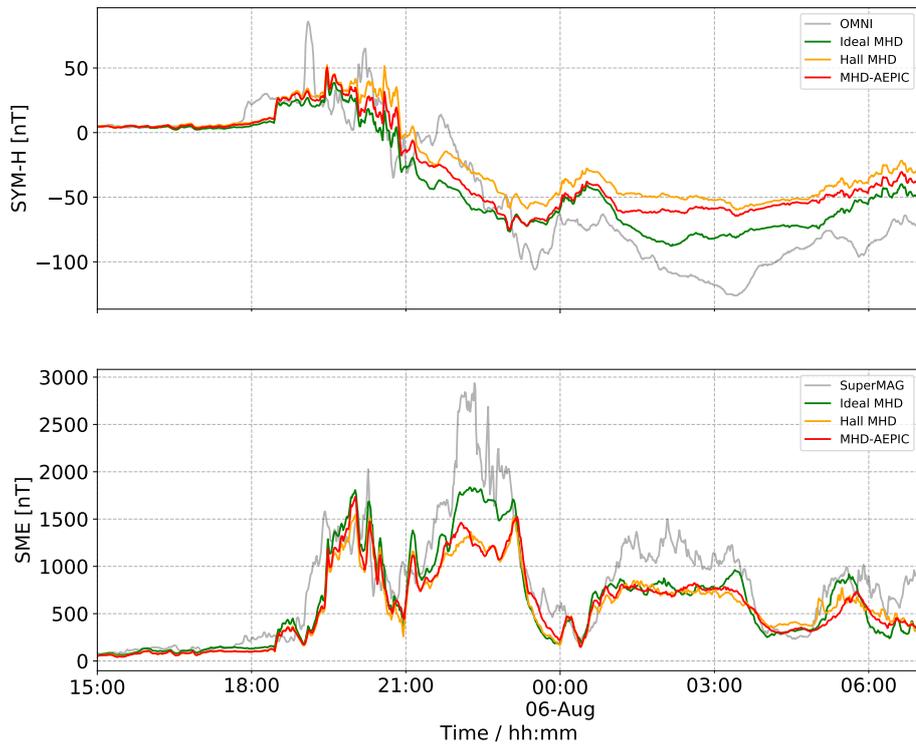
294 In our model, the simulated SYM-H is calculated by evaluating the Biot-Savart in-  
 295 tegral at the center of the Earth from all currents in the simulation domain. However,  
 296 it's more complicated in terms of calculating SME: the magnetic field disturbances are  
 297 interpolated to the positions of the ground magnetometer stations and the simulated SME  
 298 is calculated following the method defined by SuperMAG. From Figure 5, the MHD-AEPIC  
 299 produces geomagnetic indices close to other two MHD models. The initial, main and re-  
 300 cover phases for the storm event are all been reproduced by three models from the SYM-  
 301 H plot. However, the models cannot produce the lowest SYM-H which corresponds to  
 302 the strongest observed geomagnetic perturbations. This feature can also be observed from  
 303 the SME: all three models produce increased auroral electrojets, however the second and  
 304 third enhancement are weaker than observation. The geomagnetic indices demonstrate  
 305 that by introducing kinetic physics in the magnetotail, the global configuration of the  
 306 simulated magnetosphere will not be changed much from the MHD solutions. It is to  
 307 be seen if this trend persists for other storms, especially extreme events.

### 308 3.4 Mesoscale Feature: Flux Ropes

309 After verifying the geomagnetic indices generated from the simulated magnetosphere,  
 310 we will illustrate the characteristic of flux ropes as a mesoscale feature from the tail re-  
 311 connection dynamics. Figure 6 shows the magnetosphere simulation results from three  
 312 models at the same time 2011-08-05 19:40:00. Figure 6 (a1), (b1) and (c1) are parts of  
 313 the meridional planes from MHD-AEPIC, Hall MHD and ideal MHD. Here the magne-  
 314 totail region where  $-80R_E < x < -5R_E$  and  $-20R_E < y < 10R_E$  are plotted. Since  
 315 three snapshots are taken at the same time, the global configurations of the magne-  
 316 tosphere share a lot of similarities although with several differences. All three models give  
 317 a southward tilted magnetotail which is compressed most on the  $z$  direction at around  
 318  $x = -40R_E$  as a result of the IMF structure. In terms of the reconnection feature, all



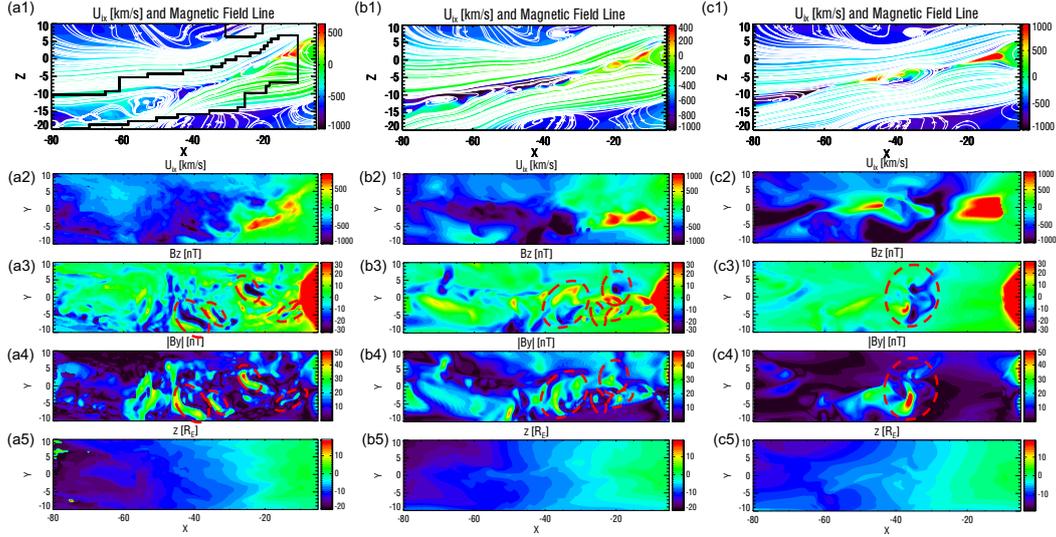
**Figure 4.** (a-f) Demonstrations of PIC region adaptation during the simulation runtime. The contour plot of the  $J_y$  on meridional plane is showing the general condition of the magnetospheric current system. The active PIC region boundary is shown by a grey isosurface. (g) The change of the active PIC region volume (in  $R_E^3$ ) during the runtime. The Dst index is colored in grey for reference. The six vertical dashed lines correspond to the times of the snapshots (a)-(f), respectively.



**Figure 5.** Aug. 6 2011 storm. Colored lines show the SYM-H and SuperMAG electrojet index (SME) from three different models and the grey line is from the observational data.

319 three models generate X-line on the tail current sheet at around  $x = -20R_E$  and  $y =$   
 320  $-5R_E$ . Diverging reconnection ion jets are generated at the major X-line for all three  
 321 models, but the ideal MHD simulation generate much faster earthward ion flow speed  
 322 ( $>1000$  km/s) compared to other two models ( $\approx 400$  km/s) on the meridional plane. To  
 323 analyze physical quantities on the current sheet better, we extract the current sheet sur-  
 324 face by defining an isosurface where  $B_x = 0$  and project this surface on the  $x-y$  plane.  
 325 The structures on the dawn-dusk direction can be observed in these current sheet sur-  
 326 face plots. Figures 6 (a5)-(c5) are contour plots of the current sheet  $z$  coordinate. The  
 327  $z$  coordinates on the current sheet surface agree well with the meridional plane plot: the  
 328 current sheets are at  $z \approx 0R_E$  near Earth and at  $z \approx -15R_E$  at far tail for MHD-AEPIC  
 329 and Hall MHD models while  $z \approx -12R_E$  for ideal MHD. Figure 6 (a2)-(c2) are the ion  
 330 bulk flow speed plotted on the current sheet surface. The differences of the earthward  
 331 ion flow structures on the dawn-dusk sides from three models can be observed on the cur-  
 332 rent sheet plots. For the ideal MHD, the earthward ion flow is distributed symmetrically  
 333 on the dawn and dusk sides in  $[-3, 3]R_E$ . The earthward ion jet generated by Hall MHD  
 334 can only be observed on the dawn side with  $y$  direction coverage of  $[-5, 0]R_E$ . Moreover,  
 335 the maximum earthward ion flow speed is also over 1000 km/s which is not on the merid-  
 336 ional plane. The MHD-AEPIC produces earthward ion jet both on the dawn and dusk  
 337 sides. However, the ion jets on the dawn side is further away from the earth while closer  
 338 to the earth on the dusk side. Also, the earthward ion jets can be observed from  $-5R_E$   
 339 to  $7R_E$  on the  $y$  direction, which agrees with the observations that earthward flows are  
 340 observed on a wide range on  $y$  direction(Angelopoulos et al., 1994).

341 Although the earthward ion flow from MHD-AEPIC is different from pure MHD  
 342 models, the similar magnetic field structure and current sheet position indicate that these  
 343 snapshots from different models represent the same physical state of the magnetosphere.  
 344 Hence, it is valid to examine the flux rope features based on these results. As first pro-  
 345 posed to be formed in the Earth's magnetotail (Schindler, 1974), magnetic flux ropes are  
 346 reported to be closely related to magnetic reconnection by various observations and sim-  
 347 ulations (Hones Jr et al., 1984; Slavin et al., 1989; Daughton et al., 2006; Markidis et  
 348 al., 2013). Hence, it is meaningful to use the flux rope distribution to distinguish the mesoscale  
 349 features generated by different models. The observational characteristics of the flux ropes  
 350 are a pair of positive and negative  $B_z$  with a core magnetic field  $B_y$  in between. Hence,  
 351 we plot the  $B_z$  and  $|B_y|$  on the current sheet surface on Figure 6(a-c)(2-3). Figure 6 (c3)  
 352 and (c4) shows only one flux rope at  $-40R_E$  (circled in red) and there is no evidence in-  
 353 dicating flux rope exists at the near earth plasma sheet from  $-40R_E$  to the Earth based  
 354 on the ideal MHD model results. The Hall MHD and MHD-AEPIC give very different  
 355 flux rope occurrence (Figure 6 (a-b)(3-4)) from ideal MHD: there are flux ropes gener-  
 356 ated both in the earthward and tailward flows (circled in red). For the MHD-AEPIC,  
 357 we circle tailward flux rope and one earthward flux rope while in the Hall MHD results,  
 358 we circle one tailward, two earthward and one occurs where there is no significant ion  
 359 flow. We also present the 3-D structure of the flux ropes circled in Figure 7 in which the  
 360 corresponding flux ropes are pointed with red arrows. In addition to the moving direc-  
 361 tions of the flux ropes, the diameter of the flux ropes also varies: the earthward flux ropes  
 362 are observed as smaller ones. This difference has been reported in a thorough analysis  
 363 of Geotail observations (Slavin et al., 2003), which suggests that small,  $\approx 2-5R_E$  di-  
 364 ameter magnetic flux ropes are relatively common in the near-tail plasma sheet where  
 365  $x > -30R_E$ . By examining the flux ropes as a mesoscale feature, we can conclude that  
 366 by resolving the reconnection physics better, the MHD-AEPIC and Hall MHD models  
 367 can produce more flux ropes in the magnetotail as well as distinguish two types of the  
 368 flux ropes. However, there is no evidence supporting that the MHD-AEPIC can produce  
 369 better mesoscale features than the Hall MHD, since the flux ropes are way larger than  
 370 the kinetic scale which PIC model is resolving.



**Figure 6.** (a1) Ion bulk velocity and magnetic field lines on the meridional plane from the MHD-AEPIC simulation, the boundary of active PIC region is colored in black. (a2) The ion bulk velocity on the current sheet surface projected on the  $xy$  plane. (a3) The contour plot of the  $B_z$  on the current sheet surface, color saturated at  $\pm 30$  nT. (a4) The absolute value of  $B_y$  on the current sheet surface. A pair of positive and negative  $B_z$  along with a core  $B_y$  indicates a flux rope structure. (a5) The  $z$  coordinate of the current sheet surface in the unit of  $R_E$ . (b1)-(b5) are same quantities from the Hall MHD and (c1)-(c5) are from the ideal MHD. All snapshots are taken at the same time 2011-08-05 19:40:00.

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### 3.5 Kinetic Scale Feature: Electron Velocity Distribution Function

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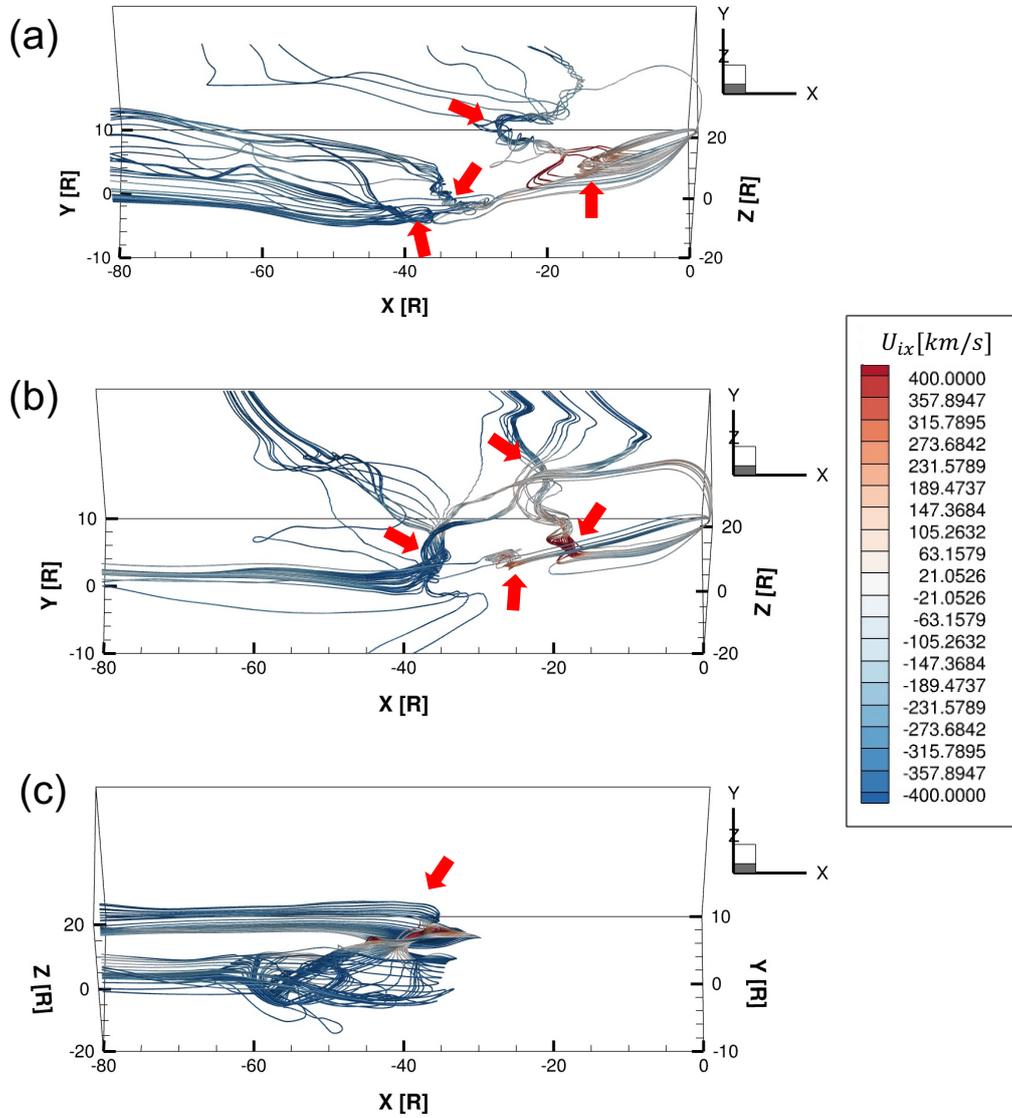
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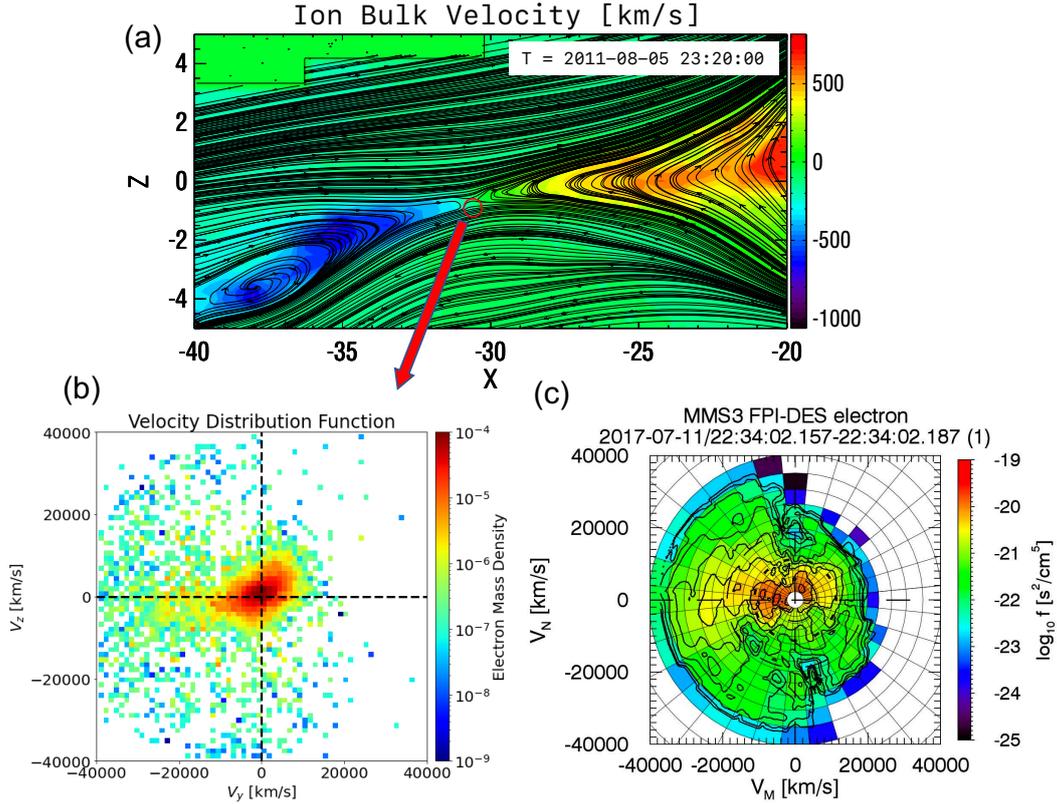
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The previous subsection shows MHD-AEPIC resolves flux rope better than ideal MHD model. In this subsection, we will demonstrate the kinetic physics in the reconnection site is also properly captured by the MHD-AEPIC. The magnetic reconnection is regarded as one of the most fundamental physical processes to transfer energy from magnetic field to plasma. Since the launch of the Magnetospheric Multiscale (MMS) mission (Burch et al., 2016), the magnetic reconnection can be resolved towards the electron scale according to multiple satellite crossings of the electron diffusion region (EDR) (Webster et al., 2018). The EDR encounters exhibits electron agyrotropy, which can be recognized by a crescent-shaped electron distributions (Torbert et al., 2018).

Figure 8 (a) is a contour plot of ion bulk velocity on the meridional plane. The ion jets in the outflow region is reflected by the color which is also a signature of the magnetic reconnection (Paschmann et al., 2013). Figure 8 (b) and (c) shows the electron velocity distribution function (VDF) from the model and the MMS observation. The VDF of the electrons is collected inside a ellipsoid region which centers at  $(-30.6, 0.5, -0.9) R_E$ , the principle semi-axes are  $(0.3, 2.5, 0.3) R_E$  on the  $(x, y, z)$  directions. The choice of the ellipsoid shape collects more particles near the center of the reconnection site while less when extended on the  $y$  direction. The red circle on the Figure 8 (b) is the cross section of the ellipsoid on the meridional plane. To compare with, observation by MMS3 (Hwang et al., 2019) at  $(-18.1, 7.30, 0.66) R_E$  is presented aside. Although the simulation and observation are not at same time and the EDR is not at the same coordinate, the electron data is collected at the same location relative to the X-point. Also, the  $y-z$  coordinates from the simulation is closely aligned with the  $M-N$  coordinates from the observation (See Figure 2 (b) in Hwang et al. (2019)). This suggests that we can di-



**Figure 7.** The 3-D flux ropes structure from three models at the same time in Figure 6. From (a) to (c) are MHD-AEPIC, Hall MHD and ideal MHD. The flux ropes are pointed with red arrows correspondingly. The magnetic field lines are colored with ion velocity  $U_{ix}$  (km/s).



**Figure 8.** (a) The contour plot of the ion bulk velocity overplotted with magnetic field lines. The 2D cut is taken on the meridional plane. The red rectangle is the position where the electrons for the VDF are collected. Notice that some area at upper left is not covered by PIC which illustrates the AEPIC feature. (b) The electron VDF from the simulation, colored in electron mass density in log scale. (c) MMS3 observation (Figure 2 (c) in Hwang et al. (2019)).

395 rectly compare the two VDF plots. First, the electrons from the simulation is clustered  
 396 in  $-y$  direction and expanded evenly on  $z$  direction. The velocity ranges from  $\pm 40000$  km/s  
 397 on  $z$  direction and  $(-40000, 20000)$  km/s on  $y$  direction, which agrees well with the obser-  
 398 vation in Figure 8 (c). Second, a non-Maxwellian distribution can be clearly identi-  
 399 fied from the VDF both in Figure 8 (b) and (c). The electron velocity in this part ex-  
 400 pands from  $-20000$  km/s to  $10000$  km/s on  $y$  direction while on  $z$  direction, it distributes  
 401 evenly in  $\pm 10000$  km/s. This non-Maxwellian distribution also agrees well with the obser-  
 402 vation. This demonstrates a electron temperature anisotropy in simulated EDR, which  
 403 is also recognized as a crescent distribution in Hwang et al. (2019). Hence, we can con-  
 404 clude that our model agrees well with observation on reproducing electron phase space  
 405 distribution, which is the smallest scale in reconnection physics.

#### 406 4 Conclusions and Discussions

407 In this paper, we introduce a newly developed magnetohydrodynamic with adap-  
 408 tively embedded particle-in-cell (MHD-AEPIC) model. The MHD-AEPIC allows PIC  
 409 regions be turned on and off during the simulation based on the physical criteria pro-  
 410 vided. Different from the previous MHD-EPIC model which requires a fixed Cartesian  
 411 box to cover the PIC region, the MHD-AEPIC model enables PIC regions moving with  
 412 the reconnection sites to save computational resources substantially. We also introduce

three physical based criteria to identify the magnetotail reconnection regions. To demonstrate the feasibility of the MHD-AEPIC model, we perform a geomagnetic storm event simulation with kinetic physics embedded for the first time. The flapping motion of the magnetotail current sheet during the geomagnetic storm will emphasize the advantage of the adaptation feature of the MHD-AEPIC model. We also simulate the same event using Hall MHD and ideal MHD models to illustrate what are the influences on multiple physical scales by introducing kinetic model in the magnetotail.

We examine the global scale features by comparing the SYM-H and SME indices which reflects the equatorial and auroral region disturbances. All three models properly capture the global scale disturbances such as the main phase of the storm or increase of the auroral electrojet. However, all three models fail to produce the strongest intensity for the geomagnetic indices. Hence no significant difference is found among the geomagnetic indices generated by three different models for this event. This indicates that the global magnetosphere configuration from the three models are very close, the kinetic model embedded in the magnetotail doesn't improve the global scale feature for this geomagnetic storm. If this trend persists for other storms, especially extreme events, is still to be investigated.

We analyze the mesoscale features by investigating the flux ropes produced by three models in the magnetotail. Only one major flux rope can be observed from the ideal MHD simulation at the selected time, while Hall MHD and MHD-AEPIC produce both tailward and earthward fluxropes. The difference of the spatial scales of two types of fluxropes is also reproduced, which is reported by various observations.

We demonstrate the electron scale kinetic physics is also reproduced by the model. The electrons are collected at the same location as the MMS observation related to the reconnection X-line. The crescent distribution of electron velocity is observed both from the model and MMS observation.

In this paper, the MHD-AEPIC has been firstly successfully applied to geomagnetic storm simulation. The adaptation feature is tested on the moving reconnection X-line with the flapping motion of the magnetotail current sheet during the geomagnetic storm. We expect the novel MHD-AEPIC model can be applied in cases which needs a moving kinetic region in a wide range.

## Acknowledgments

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