

1 **Legume germination is delayed in dry soils and in sterile soils devoid of microbial**
2 **mutualists: implications for upward range expansions**

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20 **Abstract**

21 Climate change is affecting species and their mutualists and can lead to interaction weakening
22 and loss. Through independent shifts in partner phenology and distribution, climatic stress can
23 separate mutualists, leading to alterations in partner functional traits and fitness. Here, we
24 explored the effects of drying soils and the loss of microbial mutualists via soil sterilization on
25 legume germination success and phenology, focusing on how a loss of mutualisms with soil
26 microbial species can alter legume early life traits. In particular, we assessed the effects of
27 mutualism loss via soil sterilization, increased drought, or introduction to novel soils found
28 beyond the current distributions of two focal legume species in subalpine environments. Through
29 common garden experiments in controlled environments, we found evidence that soil
30 sterilization (and consequent microbial absence) and dry soils caused phenological delays of 2-5
31 weeks in germination date, likely as a result of interaction loss between legumes and
32 germination-promoting soil microbes, such as mutualistic rhizobia. Delays in germination caused
33 by a mismatch between legumes and beneficial microbes could negatively affect legume fitness
34 through increased plant-plant competition later in the season. Additionally, we found evidence of
35 the presence of beneficial microbes beyond the current elevational range of our focal legumes
36 which may allow for expansion of the leading edge, though harsh abiotic factors in the alpine
37 may hinder this. Alterations in the strength of soil microbe-legume mutualisms may lead to
38 reduced fitness and altered demography for both soil microbes and legumes.

39 Keywords: *climate change; distribution; germination; legume; microbes; mutualism.*

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42 **Introduction**

43 Mutualisms are essential species interactions which profoundly influence the structure,
44 productivity, and stability of communities (Wardle *et al.*, 2004; Leff *et al.*, 2018). Mutualistic
45 interactions provide ecosystem services such as nutrient cycling (Wall and Moore, 1999) and
46 increase partner stress tolerance (Lau and Lennon, 2012; de Zelicourt, Al-Yousif and Hirt, 2013;
47 David *et al.*, 2020). Mutualisms between soil microbes and plants are especially ecologically
48 important for plant growth and fitness, as well as community composition and dynamics
49 (Klironomos, 2002; Reynolds *et al.*, 2003; Van Der Heijden and Bardgett, 2008; Mangan *et al.*,
50 2010). These mutualisms may become increasingly important as the environment becomes more
51 stressful as a result of anthropogenically-induced global climate change (Allan and Soden, 2008;
52 Dai, 2012; Lau and Lennon, 2012; Gehring *et al.*, 2017; Porter *et al.*, 2020). Environmental
53 context can determine the level of investment made by mutualists, such that the net benefits of
54 mutualisms are often greater in more stressful environments (Pringle *et al.*, 2013; Remke *et al.*,
55 2021). However, some soil microbes enter a state of dormancy in stressful environments,
56 meaning they do not interact with the plant host under increased stress, which can affect host
57 plant distributions and functional traits (Stanton-Geddes and Anderson, 2011; Simonsen *et al.*,
58 2017; Werner *et al.*, 2018). Indeed, declines in active partner abundances can destabilize
59 mutualisms (Tylianakis *et al.*, 2008; Kiers *et al.*, 2010), altering plant functional traits and
60 overall fitness (Worchel, Giauque and Kivlin, 2013; Fitzpatrick, Mustafa and Viliunas, 2019).

61 Mutualistic interactions may become decoupled if one partner is dormant or non-
62 receptive for part of the year while the other is not (Rafferty, CaraDonna and Bronstein, 2015).
63 Bacteria in particular, including the naturally and agriculturally important nitrogen (N)-fixing
64 rhizobial bacteria (Harris, Pacovsky and Paul, 1985) and plant growth-promoting rhizobacteria

65 (PGPR), are susceptible to desiccation and death in dry conditions (Schimel, Balser and
66 Wallenstein, 2007; de Vries and Shade, 2013; Ngumbi and Kloepper, 2016; de Vries *et al.*, 2018;
67 Xu and Coleman-Derr, 2019). To avoid losing water to their environment, many bacterial cells
68 can enter a state of dormancy (Lennon and Jones, 2011; Schimel, 2018). In N-fixing rhizobia,
69 dry soils have been shown to induce dormancy in free-living cells and inhibit N-fixation in
70 symbiotic bacteroid cells, leading to denodulation (Zahran, 1999; Hungria and Vargas, 2000;
71 Vriezen, de Bruijn and Nüsslein, 2006; Aldasoro, Larrainzar and Arrese-Igor, 2019) and short-
72 term mutualism loss. Similarly, active, free-living bacterial cells in dry soils may have difficulty
73 forming interactions with plant roots because low soil moisture negatively affects the signaling
74 abilities of soil bacteria and plants (Schimel, 2018; Williams and de Vries, 2020). As a result, the
75 mutualism between legumes and soil microbial species, such as rhizobia and PGPR, can weaken
76 in the short term due to climate change-induced soil drying.

77 When active, soil microbial mutualists often ameliorate environmental stress and
78 overcome limitations for their plant hosts, allowing plants to persist in conditions otherwise
79 intolerable (Defosse *et al.*, 2011; Redman *et al.*, 2011; Lau and Lennon, 2012; Rodríguez-
80 Echeverría, Lozano and Bardgett, 2016; Petipas *et al.*, 2017; David, Thapa-Magar and Afkhami,
81 2018; Bennett and Meek, 2020; David *et al.*, 2020). For this reason, soil microbe-plant
82 mutualistic partners are often able to inhabit a broad range of habitats (Stachowicz, 2001; Bruno,
83 Stachowicz and Bertness, 2003; Rodriguez-Cabal, Noelia Barrios-Garcia and Nunez, 2012;
84 Afkhami, McIntyre and Strauss, 2014; Harrison *et al.*, 2018). For example, by increasing plant
85 access to N, phosphorus (P), and water, N-fixing bacteria and arbuscular mycorrhizal fungi
86 (AMF) allow plants to expand their ranges into otherwise unsuitable, nutrient-poor habitats
87 (Halvorson, Smith and Franz, 1991; Hayward *et al.*, 2015; Harrison *et al.*, 2018). Additionally,

88 agricultural studies have demonstrated that some soil microbial species are able to promote host
89 seed germination by excreting phytohormones, thereby increasing germination success in newly
90 colonized habitats (Atzorn *et al.*, 1988; Noel *et al.*, 1996; Bastian *et al.*, 1998; Tsavkelova *et al.*,
91 2007; Miransari and Smith, 2009; Kumar, Dubey and Maheshwari, 2011; Namvar and Sharifi,
92 2011; Meena *et al.*, 2012; Ngumbi and Kloepper, 2016; Wu *et al.*, 2016). Conversely, the
93 absence of mutualists can negatively affect population persistence and limit species distributions
94 (Pellmyr, 2003; Nuñez, Horton and Simberloff, 2009; Mueller *et al.*, 2011; Harrower and
95 Gilbert, 2018; Benning and Moeller, 2021b). Indeed, this has been documented in some soil
96 microbe-plant mutualisms (Stanton-Geddes and Anderson, 2011; Simonsen *et al.*, 2017). Thus,
97 the ability of a plant to successfully establish in a new habitat depends on not only dispersal and
98 the physical conditions in the novel range but also biotic factors, including the presence of
99 mutualists (HilleRisLambers *et al.*, 2012; Brown and Vellend, 2014).

100 The role of soil microbe-plant mutualisms in shaping the geographic distributions of plant
101 species is little-understood (Classen *et al.*, 2015; Bueno de Mesquita *et al.*, 2016; Benning and
102 Moeller, 2021a). As plants, including legumes, continue to expand their leading range edges up
103 altitudinally and poleward latitudinally in response to climate change (Chen *et al.*, 2011;
104 Harrison *et al.*, 2018), they may encounter harsh environments, made potentially more stressful
105 by the absence of mutualistic partners. Legumes often require exposure to soils that have been
106 pre-inoculated with compatible soil microbes to establish and persist in dry N- and P-poor soils
107 such as those found in the alpine and subalpine (Parker, 2001; Simonsen *et al.*, 2017; Bueno de
108 Mesquita *et al.*, 2020). The absence or reduced abundance of microbial mutualists beyond the
109 current range of a population could impair plant fitness and hinder leading range expansion
110 (Miransari, 2010; Peay, Garbelotto and Bruns, 2010; Lankau and Keymer, 2016; Wu and Ying-

111 Ning, 2017; Hu *et al.*, 2022). Non-co-dispersed, horizontally transmitted symbionts, including
112 legumes, rhizobia, and some PGPR, may be at high risk of becoming spatially mismatched as
113 they may track climate differently (Keeler, Rose-Person and Rafferty, 2021). Legumes that
114 interact with specialized mutualists may be less likely to find a compatible partner in novel
115 habitats and thus may fail to establish (Simonsen *et al.*, 2017), though legumes expanding into
116 novel ranges without a historical partner may have relaxed partner choice mechanisms (Harrison
117 *et al.*, 2017; Younginger and Friesen, 2019). Compared to the historical interactions, new
118 interactions in a novel habitat may not confer equivalent benefits to the host plant (Bueno de
119 Mesquita *et al.*, 2018; Werner *et al.*, 2018).

120 A spatial or dormancy-induced loss of a mutualism, even for part of a season, could lead
121 to reduced germination stimulation by soil microbes which could decrease plant germination
122 success (David *et al.*, 2020; Eldridge *et al.*, 2021), delay host plant germination phenology, and
123 alter downstream phenophases, such as flowering onset (Namvar and Sharifi, 2011), which will
124 alter phenological overlap and interaction strengths among host plants and pollinators (Rafferty
125 and Ives, 2012; Rafferty, Bertelsen and Bronstein, 2016). A shift in flowering phenology without
126 a corresponding shift in pollinator phenology could decrease the fitness of both mutualists
127 (Rafferty and Ives, 2011; Schenk, Krauss and Holzschuh, 2018; Kudo and Cooper, 2019).
128 Germination phenology is especially important, as germinants are particularly vulnerable to
129 stress relative to other stages of the plant life cycle. Seedlings that emerge in stressful
130 environments, including in environments lacking certain facultative mutualists, have lower
131 survival (Donohue *et al.*, 2010). Germination timing also shapes competitive outcomes and
132 reproductive success (Fowler, 1984; Leverett, 2017). Studies evaluating the interactions between
133 seeds and soil microbes during the germination process in natural systems are limited, though the

134 importance of these interactions could become greater in a changing climate; seed germination
135 stimulation by microbes may strengthen (David *et al.*, 2020), or weaken via environmental
136 stress-induced microbial dormancy (Schimel, 2018).

137 Here, we explore how early life traits of two legumes are affected by the absence of their
138 mutualisms with soil microbial species. Environmental stress, namely drought, and the possible
139 absence of suitable soil microbes in the expected future ranges of our focal legumes may affect
140 legume germination success and timing. Because germination success can be stimulated by
141 microbes, we hypothesize that legumes in sterilized soils devoid of microbes will have lower
142 germination success and delayed germination phenology. Conversely, if partners co-occur, we
143 predict that interaction strength will increase with increasing stress (David *et al.*, 2020), leading
144 germination success and germination phenology to be similar in potentially high-stress (novel
145 range or drought) and ambient conditions. To investigate the potential consequences of short-
146 term loss of the interactions among legumes and soil microbes, we ask if the success and timing
147 of germination are affected by i) soil sterilization, ii) foreign soils collected from elevations
148 higher than the current distributions of these legumes, or iii) limited soil moisture.

149 **Methods**

150 *Study system*

151 This study was conducted using seeds and soils collected from The Rocky Mountain Biological
152 Laboratory (RMBL; N 38° 52.2928', W 106° 58.671') located in the Maroon Bells-Snowmass
153 Wilderness area near Gothic, Colorado, USA. The RMBL area is characterized by vast, open
154 subalpine meadows dominated by perennial wildflowers and patches of aspen-fir forests.

155 Subalpine plant communities, like those at RMBL, are especially sensitive to changes in climate

156 due to short growing seasons and upward range limitations (Parmesan, 2006; Hülber, Winkler
157 and Grabherr, 2010). These subalpine plant communities therefore offer an excellent system to
158 answer questions about mutualisms and anthropogenic climate change. In the last several
159 decades at RMBL, snowpack has decreased, the date of spring snowmelt has shifted earlier (3.5
160 days earlier per decade from 1974-2012), and year-to-year variation in snowmelt date increased
161 by 20% from 1974-2008 (Lambert, Miller-Rushing and Inouye, 2010; CaraDonna, Iler and
162 Inouye, 2014). Additionally, June precipitation has decreased significantly since the 1980s and
163 July monsoon rains are delayed or non-existent (data accessible at <https://www.gothicwx.org/>).
164 Temperatures at RMBL are expected to continue to increase over the next century and total
165 precipitation is expected to decrease (Overpeck and Udall, 2010). Decreased snowpack, earlier
166 snowmelt, and decreased June precipitation are predicted to result in earlier, longer dry seasons
167 prior to July monsoon rains (Clow, 2010; Kittel *et al.*, 2015), which is likely to affect the species
168 and their interactions in this system.

169 *Selection of plant species*

170 We studied the two native, nectar-producing legume plant species present in the Maroon Bells-
171 Snowmass Wilderness area, *Lathyrus lanszwertii* var. *leucanthus* and *Vicia americana*
172 (Fabaceae). Both are perennial vines that are common in the RMBL area and produce nutrient-
173 rich rewards that attract native pollinators (unpublished data, A. M. Keeler). We verified that
174 both of these species form root nodules and host N-fixing bacteria in the field and in controlled
175 common garden settings (Image 1), and that they host arbuscular mycorrhizal fungi (AMF) and
176 dark septate endophytes (DSE) in the field. These species are known to host AMF across their
177 range and facultative DSE at higher elevations near RMBL (unpublished data, RMBL).
178 However, after staining and microscopy (Schmidt *et al.*, 2008), neither fungal group was found

179 on the roots of these legumes in our controlled common garden setting, likely because fungal
180 spores can quickly decay in cool, wet soils if stored there for a month or more (Gottlieb, 1950;
181 Varga *et al.*, 2015), as our soils were. We note that, using the same staining methods, we have
182 verified the presence of AMF in roots of other species grown from seeds collected at some of the
183 same sites near RMBL, increasing our confidence that AMF were absent in our soils for this
184 study. Along with AMF, DSE, and rhizobia, it is likely that various phylotypes of Acidobacteria,
185 nitrifying taxa (e.g., *Nitrospira* spp. and *Thaumarchaeota* spp.), *Thelephora* (Agaricomycetes),
186 *Hebeloma* (Agaricomycetes), *Archaeorhizomyces* (Archaeorhizomycetes), and *Tetracladium*
187 (Leotiomycetes) were in our soils, as these taxa are common in the soils around RMBL after
188 snowmelt (Sorensen *et al.*, 2020).

189 *Vicia americana* is widely distributed across North America while *L. leucanthus* is
190 located solely in mountainous regions of western North America. The ranges of *L. leucanthus*
191 and *V. americana* in the RMBL region extend from ~2700 to 3500 m in elevation. Observational
192 data suggest that the elevational ranges of both species (and their bumblebee pollinators) have
193 expanded upward in the last 40 years, and leading edges are expected to continue to expand
194 (Pyke, 1982; Pyke, Inouye and Thomson, 2012; Pyke *et al.*, 2016). These observations come
195 from systematic surveys conducted in the 1970s (Pyke, 1982), wherein the presence/absence of
196 *L. leucanthus* and *V. americana* was noted along transects that span elevational gradients in the
197 RMBL area, and the systematic resurveying in 2015-2018 of some of those same transects and
198 others that span similar elevations (described herein). For example, whereas neither species was
199 recorded as present at the highest-elevation survey point (3394-3442 m) along the Washington
200 Gulch transect in the 1970s (Pyke, 1982), both species were found there in 2015-2018.

201 *Collection of soils and seeds*

202 We collected soils and seeds from multiple populations across gradients that span the
203 current and expected future elevational ranges of our focal plant species at RMBL in summer
204 2017 and summer 2018 to understand how the loss of microbial partners, elevational range
205 expansions, and drying soils may affect subalpine legume early life traits. Soils and seeds were
206 collected within 10 m of transects that traverse the Washington Gulch (403), Gothic Mountain,
207 and Baldy Mountain trails (3200-3500 m in elevation; Image 2). Within the current range of our
208 focal legume species, soils were collected from within a 10 cm radius of the nearest legume to a
209 depth of 15 cm, just past the rooting depth of *L. leucanthus* and *V. americana*, and where
210 beneficial soil microbial species are likely to be at higher densities in the soil (Komatsu and
211 Simms, 2020). To collect soils from elevations beyond the upper range limits of *L. leucanthus*
212 and *V. americana* populations (>3500 m, just beyond treeline), we sampled at least 3 sites per
213 transect after verifying that neither species occurred at those elevations, which ranged from
214 3500-3800 m. All soils were put on ice and transported back to the RMBL field station where
215 they were stored at 4° C. Soils were kept on ice for a day during transportation to UC Riverside,
216 then stored at 4° C. Soils from each elevational zone were homogenized each year to standardize
217 nutritional content and soil texture. Seeds were haphazardly collected from mature fruits (i.e.,
218 pods) within 10 m of these same transects; seeds were collected from plants if pods were
219 beginning to dehisce. One to six pods were collected per maternal plant and each pod contained
220 one to three seeds. Only unparasitized seeds were used in experiments. In total, 347 *L.*
221 *leucanthus* and 1059 *V. americana* seeds were collected and used for these experiments. Seeds
222 were cold stratified at 4° C for 45 days, the recommended time for these species (personal
223 communication, Mike Bone, Denver Botanic Gardens). All seeds were weighed to the nearest

224 0.1 mg before sowing. The average seed mass was 15.7 ± 7.4 mg (mean \pm SD) for *L. leucanthus*
225 and 10.9 ± 8.7 mg for *V. americana*.

226 *Experimental design and setup*

227 We grew our focal legume species in sterile background soils inoculated with field-
228 collected soils (Collins, 2019; David *et al.*, 2020). To control for abiotic differences across soil
229 collection points, background soils were sterilized (double autoclaved within 12 hours at 121° C
230 for 90 minutes) and then were added to sterile pots (66 mL with drainage holes; Ray Leach
231 Cone-tainer, Stuewe & Sons, Tangent, Oregon, USA); background soils consisted of 57% sand,
232 43% peat moss, and various minerals. We then added field-collected soil inoculum to these
233 sterile background soils; 85% of the total soil volume was made up of sterile background soil,
234 while the other 15% of total soil volume was made up of field-collected soil inoculum. In
235 addition to twice sterilizing pots and background soils, half of all field-collected soils were twice
236 autoclaved prior to use; in sterile soils there can be no interactions between legumes and soil
237 microbes, mimicking a complete loss of the possible mutualisms. Wild-collected *L. leucanthus*
238 and *V. americana* seeds were surface sterilized for 20 minutes in a 10% bleach solution, briefly
239 soaked in four subsequent sterile water baths, then allowed to dry (Oyebanji *et al.*, 2009; Collins,
240 2019); seeds were sterilized to isolate the effects of the soil type and moisture treatments. Sterile,
241 dry seeds were weighed, sown directly into separate soil-filled pots at the same depth, then
242 covered with ~10 g of treatment soil. Each treatment soil was housed on a separate tray to reduce
243 movement of microbes from pot to pot via watering or air circulation (Image 3). Legumes were
244 placed in a growth chamber (Conviron MTR30) at a temperature and photoperiod regime
245 reflecting that of the early growing season (germination period of the focal species) at RMBL

246 (day: 21° C, 50% relative humidity; night: 4.4° C, 20% relative humidity; 12:12 h light:dark
247 cycle).

248 *Legume early life traits in sterilized soils and soils from beyond the current elevational range*

249 We grew individuals of both legume species in separate factorial experiments that
250 manipulated the presence of soil microbes (unsterilized vs. sterilized soil conditions) and
251 elevational origin of the soil (within the current ranges vs. beyond the current ranges of the focal
252 legumes) in soils collected from RMBL. Soil treatments comprised: unsterilized, current range
253 soils (unmanipulated, microbially active, current range of the focal legumes; *L. leucanthus*: n =
254 95 seeds; *V. americana*: n = 76 seeds); unsterilized, beyond the current range soils
255 (unmanipulated, microbially active, beyond the current range of the focal legumes; *L.*
256 *leucanthus*: n = 78 seeds; *V. americana*: n = 59 seeds); sterilized, current range soils (double
257 autoclaved, microbially sterile, current range of the focal legumes; *L. leucanthus*: n = 96 seeds;
258 *V. americana*: n = 71 seeds); and sterilized, beyond the current range soils (double autoclaved,
259 microbially sterile, beyond the current range of the focal legumes; *L. leucanthus*: n = 78 seeds; *V.*
260 *americana*: n = 53 seeds).

261 *Legume early life traits in dry soil conditions*

262 Separately, we grew *V. americana* in factorial experiments that manipulated the presence
263 of microbes (unsterilized vs. sterilized conditions) and the soil moisture level (dry vs. well-
264 watered), where all soils were from within the current range and began at the same soil moisture
265 VWC%. Half of all pots were placed into the well-watered treatment while the other half were
266 placed in the dry treatment. The well-watered treatment was watered with sterile, twice
267 autoclaved water every other day for 10 weeks while the dry treatment was watered every other

268 day for 2 weeks then once every week for 8 weeks; approximately 3 mL of water was added to
269 each pot at every watering. Soil treatments comprised: unsterilized, well-watered soils (n = 200
270 seeds); sterilized, well-watered soils (n = 200 seeds); unsterilized, dry soils (n = 200 seeds); and
271 sterilized, dry soils (n = 200 seeds).

272 *Data collection*

273 Seedling germination phenology, or the date of germinant emergence from the soil, was
274 monitored every other day for 10 weeks. In total, we documented the timing of germination for
275 225 seeds (16% of the 1406 seeds sown germinated). Many alpine species, including our focal
276 legumes, are highly clonal and are therefore expected to have a low rate of germination
277 (Angevine, 1983; Eriksson, 1989; Callaghan *et al.*, 1992). Indeed, germination success in both
278 species tends to be low (4-11%) in natural conditions around RMBL and in laboratory settings,
279 even when methods to crack the seed coats (e.g., sulfuric acid treatment) are used (personal
280 communication, Mike Bone, Denver Botanic Gardens; unpublished data, N. E. Rafferty). Seeds
281 that did not successfully germinate within 10 weeks were removed from pots and replanted in
282 unsterilized soils with adequate water and monitored for 10 additional weeks; none of these
283 seeds germinated after replanting.

284 *Data analysis*

285 To examine variation in germination success in soils that differed in microbe presence
286 and elevational origin, we constructed generalized linear models (GLM) with binomial error. To
287 investigate variation in germination latency in soils that differed in microbe presence and
288 elevational origins, we used linear models (LM). To these models (with either germination
289 success or latency as the response), we introduced species, seed mass, and soil type as predictors.

290 The importance of seed mass for germination success or latency may depend on soil type, such
291 that seed mass may be positively related to germination in sterilized soils but less important for
292 seeds in unsterilized soils; we therefore also included the interaction between seed mass and soil
293 type as a predictor. Because species was a significant predictor in all models, we fitted separate
294 models for *L. leucanthus* and *V. americana*. We used the same approach to examine variation in
295 germination success and latency in response to soil moisture, except we omitted species as a
296 predictor because only *V. americana* was used in that experiment. We used likelihood ratio tests
297 to compare model fit, and report the best fitting models. Post-hoc Tukey tests were used to test
298 for significant pairwise differences between categorical soil treatments. All analyses were
299 conducted in R version 4.0.2 (R Core Team, 2019).

300 **Results**

301 *Legume early life traits in sterilized soils*

302 Germination success of *L. leucanthus* did not differ in sterilized vs. unsterilized soils
303 from the current range (Tukey test: $p < 0.17$; Table 1 and Figure 1). Regardless of soil type,
304 heavier seeds were more likely to germinate than lighter seeds (GLM: 0.02 ± 0.01 , $z_{347} = 2.33$, p
305 < 0.02 ; Figure 2). Similarly, for *V. americana*, germination success did not differ in sterilized vs.
306 unsterilized current range soils (Tukey test: $p < 0.26$; Table 1 and Figure 1). For this species,
307 seed mass did not significantly affect germination success (GLM: 0.02 ± 0.02 , $z_{259} = 1.04$, $p <$
308 0.29).

309 For *L. leucanthus* in current range soils, the onset of germination in sterilized soils was
310 delayed by about two weeks compared to germination in unsterilized soils (Tukey test: $p < 0.03$;
311 Table 1 and Figure 1). In unsterilized, current range soils, *L. leucanthus* seeds germinated $28.0 \pm$

312 14.8 (mean \pm SE) days after sowing, while in sterilized soils, seeds germinated in 69.0 ± 26.0
313 days. Seed mass was a significant predictor of germination timing in *L. leucanthus*, where
314 heavier seeds germinated faster than lighter seeds (LM: -2.18 ± 0.48 , $t_{15} = -4.48$, $p < 0.0004$;
315 Figure 2). For *V. americana*, the delay in germination in sterilized vs. unsterilized current range
316 soils approached significance (Tukey test: $p < 0.08$; Table 1 and Figure 1); seeds in unsterilized
317 current range soils germinated in 30.2 ± 5.3 days vs. 40.0 ± 6.9 days in sterilized soils. Seed
318 mass was similarly important for germination timing in *V. americana* (LM: -1.91 ± 0.33 , $t_{35} = -$
319 5.71 , $p < 0.0001$; Figure 2). Nodules were found on some of the plant roots of both species in the
320 unsterilized but not in the sterilized treatments.

321 *Legume early life traits in soils from beyond the current elevational range*

322 Germination success of *L. leucanthus* did not differ in unsterilized soils from within vs.
323 beyond the current elevational range (Tukey test: $p < 0.80$; Table 1 and Figure 1). For *V.*
324 *americana*, germination success was significantly lower in unsterilized soils from beyond the
325 current elevational range compared to that within the range (Tukey test: $p < 0.01$; Table 1 and
326 Figure 1).

327 Seeds of *L. leucanthus* and *V. americana* sown in unsterilized soils from within vs.
328 beyond their current elevational range showed no significant difference in germination timing (*L.*
329 *leucanthus* Tukey test: $p < 0.73$; *V. americana* Tukey test: $p < 0.91$; Table 1 and Figure 1).
330 Heavier *L. leucanthus* seeds germinated more quickly than lighter seeds did, and this trend was
331 especially pronounced in sterilized soil from beyond the current elevational range (Figure 2).

332 *Legume early life traits in dry soil conditions*

333 *Vicia americana* seeds in unsterilized, dry soils had lower germination success than those
334 in unsterilized, well-watered soils (Tukey test: $p < 0.03$; Table 1 and Figure 3). Germination
335 success was $26 \pm 2\%$ in unsterilized, well-watered soils, higher than the $15 \pm 2\%$ seen in
336 unsterilized, dry soils. Seeds in unsterilized, dry conditions also experienced delayed germination
337 compared to those in unsterilized, well-watered soils (Tukey test: $p < 0.0001$; Table 1 and Figure
338 3). In unsterilized soils under dry conditions, seeds germinated in 50.2 ± 1.8 days, while in
339 unsterilized soils under well-watered conditions, seeds germinated in 35.2 ± 1.9 days. Lastly, *V.*
340 *americana* seeds planted in unsterilized, well-watered soils germinated more quickly and readily
341 than those in sterile, dry conditions (germination latency Tukey test: $p < 0.01$; germination
342 success Tukey test: $p < 0.001$; Table 1 and Figure 3).

343 **Discussion**

344 Short term weakening or loss of beneficial partnerships is becoming increasingly
345 common as our climate rapidly changes and repeated instances of loss can lead to a mutualism
346 breakdown (Kiers *et al.*, 2010; Werner *et al.*, 2018). The loss of a mutualism can alter the
347 distribution, functional traits, and survival of both partners. In this study, we found that a forced
348 loss of interaction between legumes and root mutualists can have consequences for plant traits,
349 where legume germination timing, but not success, was negatively affected by sterilized,
350 microbe-free, soils. Specifically, our results demonstrate that the onset of germination of *L.*
351 *leucanthus* in sterilized soils depleted of microbes was delayed by about two weeks (Figure 1),
352 suggesting that some legume seeds and seedlings may benefit from interacting with plant
353 growth-promoting soil microbes shortly after germinating and possibly even before germination
354 via germination stimulation. Germination timing is a particularly important trait, as it affects a
355 plant's competitive interactions and hardiness to frost and drought, and individuals that survive

356 this fragile life stage are more likely to reach reproductive stages. The phenomenon observed
357 here of germination stimulation, or the manipulation of the timing of germination, by soil
358 microbial mutualists is well known in systems such as the orchid-fungal mutualism (Arditti,
359 1967; Dressler, 1981), in certain legume-rhizobia mutualisms (Miransari and Smith, 2009), and
360 with *Pseudomonas fluorescens* (Moeinzadeh *et al.*, 2010) but this is the first known evidence of
361 this phenomenon occurring in the legumes of this subalpine system. This work contributes to the
362 growing number of findings that the community of soil microorganisms around a seed influences
363 germination timing, which may affect plant fitness (Mordecai, 2012; Lamichhane *et al.*, 2018;
364 Das *et al.*, 2019; Eldridge *et al.*, 2021).

365 As climatic patterns shape the natural distributions of species, changing climate
366 conditions strongly influence species' ranges (Chen *et al.*, 2011; Becker-Scarpitta, Vissault and
367 Vellend, 2019), where shifts typically involve a range expansion towards higher latitudes and
368 elevations and a range contraction away from lower latitudes and elevations (Davis and Shaw,
369 2001; Parmesan, 2006; Lenoir and Svenning, 2015). Because the presence of mutualists can
370 serve to expand the range of a partner by ameliorating abiotic stressors in novel environments
371 (Stachowicz, 2001; Afkhami, McIntyre and Strauss, 2014; Harrison *et al.*, 2018) and the absence
372 of a mutualist can constrict the range of a partner (Nuñez, Horton and Simberloff, 2009;
373 Simonsen *et al.*, 2017; Harrower and Gilbert, 2018), these mutualist-hosting legumes may not be
374 able to expand their elevational ranges upward if compatible soil microbes are not present
375 beyond the current range. This study demonstrates that the leading range edges of *L. leucanthus*
376 may not be restricted to 3500 m as active, compatible microbial species may be present at higher
377 elevations; germination timing and success of this species in higher-elevation soils mirrors that
378 in current range soils. Contrary to this, *V. americana* germination success was over five times

379 lower in novel, beyond the range soils, indicating that beneficial microbe strains specific to *V.*
380 *americana* plants may be absent or at low abundances at higher elevations, leading to reduced
381 germination success. Although sequencing and quantification of both within- and beyond the
382 range soils are needed to confirm the presence and abundance, or absence of active microbes, the
383 comparable germination timing and success in *L. leucanthus* in novel vs. current elevational
384 range soils suggests that compatible *L. leucanthus*-specific soil microbes may facilitate the
385 leading range expansion of this legume.

386 Germination-triggering soil wetting events are becoming less frequent and less intense in
387 many areas due to climate change (Saatkamp *et al.*, 2019). One of the predictions of the stress
388 gradient hypothesis is that mutualistic interactions increase in strength with increasing stress
389 (Callaway *et al.*, 2002; David *et al.*, 2020). Legumes grown in stressful conditions may not
390 exhibit reduced germination success and early life survival relative to those in less stressful
391 conditions as long as microbial mutualists can buffer the abiotic stress by stimulating
392 germination, provisioning N, P, and water, reducing root parasitism, thereby increasing plant
393 performance (Figueiredo *et al.*, 2008; Pawar *et al.*, 2014; Jemo *et al.*, 2017; Marinković *et al.*,
394 2019; Tankari *et al.*, 2019). Conversely, stressful, dry soils promote microbial dormancy,
395 meaning beneficial microbes are not able to stimulate germination or interact with the seed or
396 plant (de Vries *et al.*, 2018). In this controlled common garden study, legume seeds subjected to
397 dry conditions were less likely to germinate and had significantly delayed germination timing
398 compared to those in well-watered soils, likely due to stressful abiotic conditions for both the
399 seed and the microbes. This reduced germination stimulation may reflect a drought-induced loss
400 of the mutualism. If a plant germinates later than the optimal time, plant-plant competition will
401 be greater and the ability to acquire limited resources such as water, P, and N will be reduced

402 (Lloret, Casanovas and Peñuelas, 1999; Leverett, 2017). Downstream phenological patterns,
403 such as flowering time, could also be delayed, affecting pollinator visitation rates and
404 reproductive output (Rafferty and Ives, 2011). If germination occurs too early, the plant may
405 desiccate or be subject to frost damage (Inouye, 2008; CaraDonna and Bain, 2016; Pardee *et al.*,
406 2019).

407 Though microbes compatible with *L. leucanthus* may be present at high elevations,
408 abiotic conditions at these elevations are relatively harsh; low soil moisture, high UV exposure,
409 and high winds, among other factors common above the treeline, could limit legume
410 establishment beyond 3500 m (Normand *et al.*, 2009). In addition to this, mammalian seed and
411 seedling herbivores may limit seedling establishment in novel areas (Lynn, Miller and Rudgers,
412 2021). In this study, stressful, dry conditions led to decreased percent germination and delayed
413 germination; drier alpine conditions may not allow this mutualism to establish or persist and may
414 hinder a continued upward range expansion. Interestingly, *Lupinus argenteus*, a co-occurring
415 rhizobia- and AMF-hosting legume, occupies higher elevations than *L. leucanthus* or *V.*
416 *americana*. The PGPR which interact with *L. argenteus* may stimulate the germination of other
417 legume species (Hirsch, Lum and Allan Downie, 2001), though sequencing of both within- and
418 beyond the range soils is needed to confirm the observational evidence of soil microbes
419 occurrence in both ranges. Another avenue to be explored is that of the seed microbiome
420 (Nelson, 2018), specifically the epiphytic microbial community for horizontally transmitted
421 mutualists like rhizobia and other PGPR bacteria. The seed coats of both focal legume species
422 are porous; if seeds fall to the ground and accumulate mutualists before dispersal, an upward
423 range expansion may be more likely to occur because the partners would co-occur spatially,
424 though joint dispersal of legumes and rhizobia and other microbes has seldom been studied

425 (Porter, Faber-Hammond and Friesen, 2018; Wendlandt *et al.*, 2021), and joint dispersal does not
426 always imply interaction (Wornik and Grube, 2010). Sequencing of soils and dispersed seeds
427 would be useful to test this possibility.

428 In addition to soil microbe-mediated germination stimulation, seed traits, such as seed
429 mass, are important components of germination success and timing (Lord, Westoby and
430 Leishman, 1995; Venable *et al.*, 1998; Thompson, 2008). It is thought that heavier seeds are an
431 adaptation for overcoming stressful conditions, like drought, during seedling establishment
432 (Wulff, 1986), as larger seeds increase seedling persistence via greater internal resource
433 provisioning (Leishman and Westoby, 1994; Lebrija-Trejos *et al.*, 2016; Harrison and LaForgia,
434 2019). The transition from seed to seedling can be a defining period in the life history of a plant
435 (Muscarella *et al.*, 2013; Larson *et al.*, 2015), and here, we found that heavier seeds germinated
436 more quickly than did lighter seeds, and that heavier seeds had greater germination success. This
437 trend was especially pronounced when seeds were planted in the most stressful conditions,
438 sterile soils collected from beyond the current range of *L. leucanthus* (Figure 2). In the absence
439 of epiphytic microbes, other factors such as seed mass and abiotic components of the seed
440 environment (e.g., soil moisture, available nutrients) become more important (Lamichhane *et al.*,
441 2018). In these scenarios, heavier seeds are predicted to be more vigorous, and thus germinate at
442 a higher and faster rate.

443 A drawback to using a sterile soil treatment is that sterilization removes not only plant
444 growth-promoting soil mutualists but also all other potential soil microbes. Based on field
445 observations near the study area, *L. leucanthus* and *V. americana* interact not only with rhizobia
446 but also with AMF and DSE (unpublished data, RMBL). Through staining and microscopy,
447 neither of these fungal symbionts were found on or in any of the plant roots in this study, but

448 nodules were found on some of the plants in natural, current elevation soils. As simultaneous
449 infection by multiple belowground mutualists can additively benefit the plant (Afkhami and
450 Stinchcombe, 2016), future work that assesses the effects of native rhizobial, AMF, DSE, and
451 other PGPR bacterial infection on legume functional traits would be valuable.

452 Symbiotic rhizobia in extreme environments have lost the segment of their genome
453 responsible for the initiation and maintenance of their mutualism with legumes due to natural
454 selection; the maintenance of this non-essential portion of the genome is costly to the bacteria in
455 harsh environments (Sullivan *et al.*, 1996; Sullivan and Ronson, 1998; Denison and Kiers, 2004;
456 Sachs, Skophammer and Regus, 2011). The loss of this segment of DNA ultimately causes a
457 complete breakdown of the mutualism, only likely after numerous short-term losses of the
458 mutualism. A climate change-induced breakdown in the mutualism between legumes and
459 rhizobia will have significant effects on legume germination, phenology, and N-acquisition,
460 which could affect higher-order mutualists, such as pollinators (Keeler, Rose-Person and
461 Rafferty, 2021), and plant community structure (Suttle, Thomsen and Power, 2007). Just as floral
462 traits such as nectar quality can be directly related to soil nutrient availability (Mevi-Schutz and
463 Erhardt, 2005; Burkle and Irwin, 2009), short- or long-term loss of the interaction between plants
464 and soil microbial species due to mutualism loss or breakdown will indirectly affect floral traits
465 by altering host plant nutrient acquisition (Gwata *et al.*, 2003; Megueni *et al.*, 2006; Namvar and
466 Sharifi, 2011; Ballhorn, Kautz and Schädler, 2013), which could cascade to affect pollinator
467 behavior and legume fitness (Keeler, Rose-Person and Rafferty, 2021). The long-term fitness
468 consequences of this particular mutualism loss or potential breakdown are generally unknown
469 (Berg *et al.*, 2010; Kiers *et al.*, 2010), though slower growth and lower quality floral rewards in

470 these pollinator-dependent, pollen-limited plants (Xingwen, 2021) may further decrease
471 reproductive success and thus recruitment in a warming, drying climate.

472

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480

481

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856 **Tables & Figures**

857 **Table 1.** Results of post-hoc pairwise Tukey tests for soil treatments following species-specific
 858 (generalized) linear models, with either germination success or germination latency as the
 859 response variable. Predictors included seed mass and soil treatment. Significant *p*-values are
 860 given in bold; marginally significant *p*-values are given in italics.

Species	Soil treatment comparison	Germination success (<i>p</i> -value)	Germination latency (<i>p</i> -value)
<i>L. leucanthus</i>	unsterilized, current - sterilized, current	0.17	0.03
	unsterilized, current - unsterilized, beyond	0.80	0.73
<i>V. americana</i>	unsterilized, current - sterilized, current	0.26	0.08
	unsterilized, current - unsterilized, beyond	0.01	0.91
<i>V. americana</i>	unsterilized, well-watered - unsterilized, drought	0.03	0.0001
	unsterilized, well-watered - sterilized, drought	0.001	0.01

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862 **Figure 1.** Microbial absence (sterile soil) did not significantly alter *L. leucanthus* or *V.*
 863 *americana* germination success (A), but significantly delayed *L. leucanthus* germination within

864 the current range (B; compare unsterilized, within the current range vs. sterilized, within the
865 current range); this trend approached significance for *V. americana*. *Vicia americana* seeds
866 planted in soils from beyond their elevational range had lower germination success than seeds
867 planted in soils from within their current range (A; compare unsterilized, beyond the current
868 range vs. unsterilized, within the current range). Each point represents the mean germination
869 value \pm SE.

870 **Figure 2.** Predicted days to *L. leucanthus* (A) and *V. americana* (B) germination by seed mass.
871 For *L. leucanthus*, heavier seed mass was especially important for germination timing in
872 sterilized, beyond the current range soils. Shaded areas represent 95% confidence intervals for
873 each soil type.

874 **Figure 3.** *Vicia americana* germination success was lower in unsterilized, dry soils than in
875 unsterilized, well-watered soils (A). In dry soils, unsterilized or sterilized, seeds germinated later
876 than those in unsterilized, well-watered soils (B). Germination success and timing did not differ
877 significantly between seeds planted in dry conditions (unsterilized, drought vs. sterilized,
878 drought) or well-watered conditions (unsterilized, well-watered vs. sterilized, well-watered).
879 Each point is the mean germination value \pm SE.

880

881 **Image Legends**

882 Image 1. Root nodules found on plants grown in unsterilized, current range soils.

883

884 Image 2. Soil collection sites at RMBL in Gunnison County, CO. Yellow dots are approximate
885 areas where soil was collected beyond the current range of the legumes on Mt. Baldy (upper left
886 peak; 38.9926°N, 107.0462°W) and Mt. Gothic (lower right peak; 38.9564°N, 107.0106°W).
887 Orange dots are approximate locations where soil was collected near *L. leucanthus* and *V.*
888 *americana* patches.

889

890 Image 3. Common garden experimental design. Each tray contained a single treatment type to
891 reduce movement of water and/or microbes between pots. There were 96 pots and 96 seeds per
892 tray. We show 4 example trays, but there were 15 total trays.

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894 Data Accessibility: Complete germination success and phenology data will be uploaded to Dryad
895 or similar at the time of manuscript acceptance.