

# Generalization of Kim's Estimates In Terms of the Trace of Divergencefree Symmetric Tensor

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## Abstract

In this paper, we generalized E.C. Kim's estimates by taking in to account the trace of the divergencefree symmetric tensor non-zero. We have also shown that E.C. Kim's estimates still valid in case of the trace of the divergencefree symmetric tensor vanished identically. In the equality case, we characterized eta-Killing spinor with Killing pair over the Sasakian spin manifolds.

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## 1. Introduction

The Dirac operator is one of the fundamental tool of the geometry and topology for mathematicians [1, 19, 7]. The Dirac operator owes its fame to the fact that Witten made basic proof of the positive mass theorem on the basis of this operator [19]. The self-adjointing of the Dirac operator makes it possible to study its eigenvalues [4, 5, 7, 9, 10, 11]. One of these studies is the estimating lower bound for the eigenvalues of the Dirac operator [2, 3, 13, 14, 15, 18]. The lower bound estimates corresponding to the square of the first eigenvalue of the Dirac operator defined on the closed Riemannian spin manifolds have been studied intensively in terms of the scalar curvature, Energy-Momentum tensor and divergencefree tensor [5, 8, 12, 17]. In this direction, the first estimates is given in 1963 by A. Lichnerowicz [17]. The author is used an integration of the Schrödinger-Lichnerowicz formula defined on the closed Riemannian spin manifold to get the following lower bound:

$$\lambda^2 \geq \frac{Scal}{4}, \quad (1.1)$$

where  $Scal$  is the scalar curvature of the manifold. Of course, this result is interesting when the scalar curvature is positive, but the estimate can be improved. Accordingly, the first sharp estimate is obtained in 1980 by T. Friedrich

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as follows [6]:

$$\lambda^2 \geq \frac{m}{4(m-1)} Scal. \quad (1.2)$$

This proof is based on a modification of the spinorial Levi–Civita connection. The equality case is characterized by a non–trivial real–Killing spinor with positive scalar curvature.

In 1986, O. Hijazi obtained the following optimal estimates for  $m \geq 3$ –dimensional in terms of the Yamabe operator [10]

$$\lambda^2 \geq \frac{m}{4(m-1)} \mu_1, \quad (1.3)$$

where  $\mu_1$  is the eigenvalue of the Yamabe operator. Later on, C. Bar obtained an estimates in term of the Euler characteristic of  $M$  denoted by  $\chi(M)$  [4] as follows:

$$\lambda^2 \geq \frac{2\Pi\chi(M)}{Area(M)}. \quad (1.4)$$

After this point, mathematicians choose to use different geometric invariants such as Energy–momentum tensor and divergencefree symmetric tensor to bring an optimal lower bound for the eigenvalues of the Dirac operator. In this paper we optimized E.C.Kim estimates in terms of the trace of divergencefree symmetric tensor.

Before giving an optimal lower bound, let's give some basic information about the  $\beta$ –twist Dirac operator defined as follows:

Consider an  $m$ –dimensional closed Riemannian spin manifold with a spinor bundle  $\mathbb{S}$  over  $(M, g)$  and a spinorial Levi–Civita connection denoted  $\nabla$  lifted to the spinor bundle by using the Levi–Civita connection given on  $M$ . Also, the Levi–Civita connection given on  $M$  is denoted by  $\nabla$ . With respect to the spinorial Levi–Civita conndection  $\nabla$ , Dirac operator is locally expressed as:

$$D\Phi = \sum_{i=1}^m e_i \cdot \nabla_{e_i} \Phi, \quad (1.5)$$

where  $\{e_1, \dots, e_m\}$  orthonormal frame field on  $M$ , ”  $\cdot$  ” denotes the Clifford multiplication and  $\Phi \in \Gamma(\mathbb{S})$ . On the spinor bundle  $\mathbb{S}$  Hermitian inner product is defined by [16]:

$$(V \cdot \Phi, V \cdot \Psi) = |v|^2 (\Phi, \Psi), \quad (1.6)$$

where  $v \in \Gamma(TM)$  and  $\Phi, \Psi \in \Gamma(\mathbb{S})$ . The Spinorial Levi–Civita connection is satisfied the following properties for all vector fields  $V, W \in \Gamma(TM)$  and spinor fields  $\Phi, \Psi \in \Gamma(S)$ ,

$$\begin{aligned} V(\Phi, \Psi) &= (\nabla_V \Phi, \Psi) + (\Phi, \nabla_V \Psi) \\ \nabla_V(W \cdot \Phi) &= \nabla_V W \cdot \Phi + W \cdot \nabla_V \Phi. \end{aligned}$$

45 In 2009, E.C. Kim is obtained two estimates on an  $m$ -dimensional closed Riemannian spin manifold for the eigenvalues of the Dirac operator in terms of the eigenvalue of the  $B$ -twist Dirac operator define as [5]:

$$D_B\Phi = \sum_{i=1}^m B^{-1}(e_i) \cdot \nabla_{e_i}\Phi = \sum_{i=1}^m e_i \cdot \nabla_{B^{-1}(e_i)}\Phi, \quad (1.7)$$

where  $B$  is a nondegenerate symmetric  $(0, 2)$  tensor field on  $(M, g)$  identified with the induced  $(1, 1)$ -tensor field  $B$  via  $B(V, W) = g(V, B(W))$ . E.C Kim's estimate depends on the divergencefree symmetric tensors defined by:

$$\operatorname{div}(B^{-1}) = \sum_{i=1}^m (\nabla_{e_i} B)(e_i) = 0.$$

E.C. Kim estimate obtained in [[5], Theorem 2.1] is describing with  $\operatorname{tr}(B^{-1}) = 0$  and  $\operatorname{div}(B^{-1}) = 0$ . Accordingly, it is impossible to comment on the geometry of the manifold in case  $\operatorname{tr}(B^{-1})$  is non-zero. In this case by expanding 50 E.C.Kim's twistor-like operator

$$T_X(\Phi) = \nabla_X\Psi + pX \cdot \Psi + qB^{-1}(X) \cdot D_B\Phi \quad (1.8)$$

to

$$T_X(\Phi) = \nabla_X\Psi + pX \cdot \Psi + qB^{-1}(X) \cdot D_B\Phi + r\operatorname{tr}(B^{-1})X \cdot D_B\Phi \quad (1.9)$$

we generalized E.C. Kim's estimates as follows:

$$\lambda_1^2 \geq \sup_p \inf_M \left( \frac{1}{(mp^2 - 2p + 1)} \left( \frac{\operatorname{Scal}}{4} + \frac{\bar{\lambda}_1^2}{\alpha} + \frac{\Delta\alpha}{2\alpha} \right) \right), \quad (1.10)$$

where  $\bar{\lambda}_1 \in \mathbb{R}$  is the smallest nonzero eigenvalue of the  $D_B$ ,  $p < \frac{1}{2m}$  and 55  $\alpha : M \rightarrow \mathbb{R}$  is a positive real-valued function defined as

$$\alpha = \frac{\left( (1 - pm)|B^{-1}|^2 + p|\operatorname{tr}(B^{-1})|^2 \right)^2}{|B^{-1}|^2(1 - pm)^2 - mp^2|\operatorname{tr}(B^{-1})|^2}. \quad (1.11)$$

Recall that, if  $\operatorname{tr}(B^{-1}) = 0$ , twistor-like operator given in (1.9) induces to (1.8) which is given by E.C. Kim in [5]. estimates still valid. Finally, in case  $\operatorname{tr}(B^{-1}) \neq 0$ , we apply our estimates to the Sasakian spin manifolds which lead us to describe eta-Killing spinor.

60 **Theorem 1.1.** *On an  $m$ -dimensional closed Riemannian manifold  $(M, g)$ , assume that  $\beta$  is a nondegenerate symmetric tensor on  $M$  such that  $\operatorname{div}(B^{-1}) = 0$ .*

Then, the first nonzero eigenvalue  $\lambda_1 \in \mathbb{R}$  of  $D$  associated with the eigenspinor  $\Phi_1$ , satisfied

$$\lambda_1^2 \geq \sup_p \inf_M \left( \frac{1}{(mp^2 - 2p + 1)} \left( \frac{Scal}{4} + \frac{\tilde{\lambda}_1^2}{\alpha} + \frac{\Delta\alpha}{2\alpha} \right) \right), \quad (1.12)$$

where  $\tilde{\lambda}_1 \in \mathbb{R}$  is the smallest nonzero eigenvalue of the  $D_B$ ,  $p < \frac{1}{2m}$  and  $\alpha : M \rightarrow \mathbb{R}$  is a positive real-valued function defined as

$$\alpha = \frac{\left( (1 - pm)|B^{-1}|^2 + p|tr(B^{-1})|^2 \right)^2}{|B^{-1}|^2(1 - pm)^2 - mp^2|tr(B^{-1})|^2}. \quad (1.13)$$

The equality case of (1.12) is satisfied if and only if  $\Delta\alpha = 0$  vanishes identically and if the spinorial Levi-Civita connection satisfies

$$\nabla_V \Phi_1 = -p\lambda V \cdot \Phi_1 - q\tilde{\lambda}B^{-1}(V) \cdot \Phi_1 - rtr(B^{-1})V \cdot \Phi_1 \quad (1.14)$$

for some constants  $\lambda, \tilde{\lambda} \in \mathbb{R}$  and for all vector fields  $V$ . Here  $p, q, r$  are real-valued functions and  $\Phi_1$  is the first eigenspinor field of both  $D$  and  $D_B$ .

*Proof.* For any real-valued functions  $p, q, r$  and spinor field  $\Phi \in \Gamma(\mathbb{S})$ , define the following modified spinorial Levi-Civita connection  $\widehat{\nabla}$  on  $\Gamma(\mathbb{S})$  by

$$T_i \Phi = \nabla_i \Phi + pe_i \cdot D\Phi + qB^{-1}(e_i) \cdot D_B \Phi + rtr(B^{-1})e_i \cdot D_B \Phi.$$

One can easily compute,

$$\begin{aligned} \sum_{i=1}^m (T_i \Phi, T_i \Phi) &= \operatorname{div} \left[ \sum_{i=1}^m (\Phi, e_i \cdot D\Phi + \nabla_{e_i} \Phi) e_i \right] + (mp^2 - 2p + 1) |D\Phi|^2 \\ &\quad + (q^2 |B^{-1}|^2 - 2q + (2qr + mr^2) |tr(B^{-1})|^2) |D_B \Phi|^2 \\ &\quad - \frac{Scal}{4} |\Phi|^2 + (2pq - 2r + 2prm) tr(B^{-1}) (D\Phi, D_B \Phi). \end{aligned} \quad (1.15)$$

Remember that, the real-valued function  $\alpha$  with the eigenspinor  $\Phi_1$  corresponding to the eigenvalue  $\lambda_1$  of  $D$  satisfies the following equation [5]:

$$\int_M \alpha \operatorname{div} \left[ \sum_{i=1}^m (\Phi_1, e_i \cdot D\Phi_1 + \nabla_{e_i} \Phi_1) e_i \right] \mu = -\frac{1}{2} \int_M \Delta(\alpha) |\Phi_1|^2 \mu. \quad (1.16)$$

To vanishing the last term of equation (1.15), we set  $r$  as

$$r = \frac{pq}{1 - pm}. \quad (1.17)$$

We still use the parameter  $r$  for ease of the calculation obtained below. Then, taking integral of (1.15) over  $M$  by considering equation (1.16), we get

$$\begin{aligned} \int_M \alpha |T\Phi_1|^2 \mu &= -\frac{1}{2} \int_M \left( \Delta(\alpha) |\Phi_1|^2 + (mp^2 - 2p + 1) \alpha \lambda_1^2 |\Phi_1|^2 \right. \\ &\quad \left. + (q^2 |B^{-1}|^2 - 2q + (2qr + mr^2) |tr(B^{-1})|^2) \alpha |D_B \Phi_1|^2 \right. \\ &\quad \left. - \alpha \frac{Scal}{4} |\Phi_1|^2 \right) \mu. \end{aligned} \quad (1.18)$$

Let's define the  $P_1$  positive function as below to get an optimal result:

$$\begin{aligned} P_1 : &= \int_M \left[ (D_B \Phi_1, D_B \Phi_1) - \tilde{\lambda}_1^2(\Phi_1, \Phi_1) \right] \mu + \int_M \left[ \alpha \sum_{i=1}^m (T_i \Phi_1, T_i \Phi_1) \right] \mu \\ &= \int_M \left( (mp^2 - 2p + 1) \alpha \lambda_1^2 |\Phi_1|^2 \right) \mu - \frac{1}{2} \int_M \Delta(\alpha) |\Phi_1|^2 \mu - \int_M \tilde{\lambda}_1^2 |\Phi_1|^2 \mu \\ &\quad + \int_M \left[ \left( (q^2 |B^{-1}|^2 - 2q + (2qr + mr^2) |tr(B^{-1})|^2) \alpha + 1 \right) |D_B \Phi_1|^2 \right. \\ &\quad \left. - \alpha \frac{Scal}{4} |\Phi_1|^2 \right] \mu \geq 0. \end{aligned} \quad (1.19)$$

Set the free parameters  $q$  and  $\alpha$  as:

$$q = \frac{1-r|tr(B^{-1})|^2}{|B^{-1}|^2} \text{ and } \alpha = \frac{\left( (1-pm)|B^{-1}|^2 + p|tr(B^{-1})|^2 \right)^2}{|B^{-1}|^2(1-pm)^2 - mp^2|tr(B^{-1})|^2}.$$

Taking into account (1.17) one can obtain the following equality:

$$q = \frac{1 - r|tr(B^{-1})|^2}{|B^{-1}|^2} = \frac{r(1 - pm)}{p}. \quad (1.20)$$

Solving (1.20) we get  $r = \frac{p}{(1-pm)|B^{-1}|^2 + p|tr(B^{-1})|^2}$ . Then, in terms of the parameter  $p$ ,  $P_1$  can be rewritten as

$$\begin{aligned} P_1 &= \int_M \left( (mp^2 - 2p + 1) \alpha \lambda_1^2 |\Phi_1|^2 \right) \mu - \frac{1}{2} \int_M \Delta(\alpha) |\Phi_1|^2 \mu - \int_M \tilde{\lambda}_1^2 |\Phi_1|^2 \mu \\ &\quad + \int_M \left[ \left( \frac{mp^2 |tr(B^{-1})|^2 - (1 - pm)^2 |B^{-1}|^2}{|B^{-1}|^2 (|B^{-1}|^2 (1 - pm)^2 + p |tr(B^{-1})|^2)} \right) \alpha + 1 \right] |D_B \Phi_1|^2 \\ &\quad - \alpha \frac{Scal}{4} |\Phi_1|^2 \mu \geq 0. \end{aligned} \quad (1.21)$$

Finally, if  $|B^{-1}|^2 \geq \frac{|tr(B^{-1})|^2}{m}$  and  $\frac{1}{2m} > p$  are chosen to make  $\alpha$  positive, then one can get the desired inequality given in (1.12).  $\square$

Note that, if  $tr(B^{-1})$  vanishes identically, the spinorial Levi–Civita connection (1.9) reduces to (1.8). In this case all results are same with Kim's estimations.

85 Consider the ratio of  $\lambda_1 \neq 0$  and  $\tilde{\lambda}_1$  which are first eigenvalues of  $D$  and  $D_B$ , respectively and denote this ration by  $\kappa_1 := \frac{\tilde{\lambda}_1}{\lambda_1}$ . Then under the same contitions as in Theorem 1.1, the equation (1.21) can be rewritten as follows:

$$P_2 : = \int_M \left( \alpha \lambda_1^2 (mp^2 - 2p + 1 - \frac{\kappa_1^2}{\alpha}) |\Phi_1|^2 - \frac{1}{2} \Delta(\alpha) |\Phi_1|^2 - \alpha \frac{Scal}{4} |\Phi_1|^2 \right) \mu \geq 0. \quad (1.22)$$

This give us the following corollary:

**Corollary 1.2.** *Under the same contitions as in Theorem 1.1, one has*

$$\lambda_1^2 \geq \sup_{\zeta} \inf_{M_*} \left( \frac{Scal}{4\zeta} + \frac{\Delta\alpha}{2\alpha\zeta} \right). \quad (1.23)$$

90 where  $\zeta = mp^2 - 2p + 1 - \frac{\kappa_1^2}{\alpha}$  and  $\tilde{M} \subset M$  is given by  $\tilde{M} := \{x \in M : \zeta(x) > 0\}$ .

**Corollary 1.3.** *Under the same conditions as in Theorem 1.1, if real–valued functions  $p = \frac{2}{m}$  and  $r = -\frac{2q}{m}$  are taken in the equation (1.19), one gets*

$$\lambda_1^2 \geq \inf_M \left( \frac{Scal}{4} + \frac{\tilde{\lambda}_1^2}{|B^{-1}|^2} + \frac{\Delta\alpha}{2\alpha} \right), \quad (1.24)$$

where  $\alpha : M \rightarrow \mathbb{R}$  is a real–valued function defined by  $\alpha = |B^{-1}|^2$ .

95 *Proof.* Inserting  $p = \frac{2}{m}$  into the equation (1.20), one gets  $r = -\frac{2q}{m}$ . This means that the term  $(2qr + mr^2) |tr(B^{-1})|^2$  given in equation (1.19) is vanished. Then  $P_1$  induces to:

$$P_1 : = \int_M \left( \alpha \lambda_1^2 |\Phi_1|^2 - \frac{1}{2} \Delta(\alpha) |\Phi_1|^2 - \tilde{\lambda}_1^2 |\Phi_1|^2 + ((q^2 |B^{-1}|^2 - 2q)\alpha + 1) |D_B \Phi_1|^2 - \alpha \frac{Scal}{4} |\Phi_1|^2 \right) \mu \geq 0. \quad (1.25)$$

If the free parameters  $q$  and  $\alpha$  is taken as follows

$$q = \frac{1}{|B^{-1}|^2} \text{ and } \alpha = |B^{-1}|^2. \quad (1.26)$$

one gets the desired result given in (1.24).  $\square$

100 As in Corollary 1.2, consider the ratio of  $\lambda_1 \neq 0$  and  $\tilde{\lambda}_1$  which are the first eigenvalues of  $D$  and  $D_B$ , respectively and denotes this ration by  $\kappa_1 := \frac{\tilde{\lambda}_1}{\lambda_1}$ . Then under the same contitions as in Corollary 1.3, the equation (1.25) can be rewritten as follows:

$$\int_M \left( \alpha \lambda_1^2 \left( 1 - \frac{\kappa_1^2}{|B^{-1}|^2} \right) |\Phi_1|^2 - \frac{1}{2} \Delta(\alpha) |\Phi_1|^2 - \alpha \frac{Scal}{4} |\Phi_1|^2 \right) \mu \geq 0, \quad (1.27)$$

This proves:

105 **Corollary 1.4.** *In the notations of Corollary 1.3, we have*

$$\lambda_1^2 \geq \sup_{\zeta} \inf_{M_*} \left( \frac{Scal}{4\zeta} + \frac{\Delta\alpha}{2\alpha\zeta} \right). \quad (1.28)$$

where  $\zeta = 1 - \frac{\kappa_1^2}{|B^{-1}|^2}$  and  $\tilde{M} \subset M$  is given by  $\tilde{M} := \{x \in M : \zeta(x) > 0\}$ .

In the next theorem, we construct a spinorial Levi–Civita connection with respect to the nondegenerate symmetric tensor and its trace to give a lower bound esimate.

110 **Theorem 1.5.** *Assume that  $\beta$  is a nondegenerate symmetric tensor defined on an  $m$ –dimensional closed Riemannian manifold  $(M, g)$ , such that  $\text{div}(B^{-1}) = 0$ . Let  $\kappa_1$  be the ratio of  $\tilde{\lambda}_1 \in \mathbb{R}$ ,  $\lambda_1 \neq 0 \in \mathbb{R}$  which are the first eigenvalues of  $D$  and  $D_B$ , respectively. Then, for any real–valued functions  $q, r : M \rightarrow \mathbb{R}$  satisfying both*

$$\frac{1}{2|B^{-1}|^2} > q > 0 \text{ and } q(1 + q|B^{-1}|^2) > (2qr + mr^2)|\text{tr}(B^{-1})|^2 \quad (1.29)$$

115 *we have*

$$\lambda_1^2 \geq \sup_{\kappa(q,r,\kappa_1)} \inf_{\tilde{M}} \left( \frac{Scal}{4\kappa(q,r,\kappa_1)} + \frac{\Delta(\alpha)}{2\kappa(q,r,\kappa_1)} \right), \quad (1.30)$$

where  $\kappa(q, r, \kappa_1)$  and  $\alpha$  are real–valued functions defined on  $M$  by

$$\begin{aligned}
\kappa(q, r, \kappa_1) &= \left( \frac{(m-1)(q|B^{-1}|^2(2m + m|B^{-1}|^2 + 2q|tr(B^{-1})|^2))}{(q - 2q|B^{-1}|^2)(m + m|B^{-1}|^2 + q|tr(B^{-1})|^2)^2} \right. \\
&+ \frac{(m-1)(q|tr(B^{-1})|^2(1 - m|tr(B^{-1})|^2) - m)}{(q - 2q|B^{-1}|^2)(m + m|B^{-1}|^2 + q|tr(B^{-1})|^2)^2} \\
&+ \frac{(mr^2 + 2q^2)|tr(B^{-1})|^4 + (q^3 - m^2qr^2 - 2mq^2r)|tr(B^{-1})|^4|B^{-1}|^2}{(q - 2q|B^{-1}|^2)(m + m|B^{-1}|^2 + q|tr(B^{-1})|^2)^2} \\
&+ \left. \frac{+mq^3|tr(B^{-1})|^2|B^{-1}|^4 - (mqr^2 + 2q^2r)|tr(B^{-1})|^6}{(q - 2q|B^{-1}|^2)(m + m|B^{-1}|^2 + q|tr(B^{-1})|^2)^2} - \kappa_1^2 \right) \\
\alpha &= \frac{1}{q - 2q^2|B^{-1}|^2} \tag{1.31}
\end{aligned}$$

respectively, and  $\widetilde{M} = \{x \in M | \kappa(q, r, \kappa_1)(x) > 0\}$ .

Equality case of (1.30) is satisfied if and only if the spinorial Levi–Civita connection satisfies

$$\nabla_V \Phi_1 = -p\lambda V \cdot \Phi_1 - q\widetilde{\lambda}B^{-1}(V) \cdot \Phi_1 - rtr(B^{-1})V \cdot \Phi_1 \tag{1.32}$$

120 for some constant  $\lambda, \widetilde{\lambda} \in \mathbb{R}$ ,  $\widetilde{\lambda} \neq 0$  and for all vector fields  $V$ . Here  $p, q, r$  are real–valued functions given in (1.45), (1.47) and  $\Phi_1$  is the first eigenspinor of both  $D$  and  $D_B$ .

*Proof.* Considering the following modified spinorial Levi–Civita connection  $T$  defined on  $\Gamma(\mathbb{S})$  by

$$T_V \Phi = \nabla_V \Phi + pV \cdot D\Phi + qB^{-1}(V) \cdot D_B\Phi + rtr(B^{-1})V \cdot D_B\Phi, \tag{1.33}$$

125 where  $p, q, r$  are real–valued functions defined on  $M$ . Assume that  $\lambda_1$  is the first eigenvalue of  $D$  associated with the eigenspinor  $\Phi_1$  and  $\widetilde{\lambda}_1$  is the first eigenvalue of  $D_B$  such that  $\widetilde{\lambda}_1 = \kappa_1\lambda_1$ . Using (1.15) – (1.16) with positive real–valued function  $\alpha$  and free functions  $\gamma, \eta : M \rightarrow \mathbb{R}$  to define the following positive real–valued function  $P_5$  as follows:

$$\begin{aligned}
P_5 &:= \int_M \left[ (D_B\Phi_1, D_B\Phi_1) - \kappa_1^2\lambda_1^2(\Phi_1, \Phi_1) \right] \mu + \int_M \alpha \sum_{i=1}^m |T\Phi_1|^2 \\
&+ \gamma^2 (D_B\Phi_1 - \eta D\Phi_1, D_B\Phi_1 - \eta D\Phi_1) \mu \\
&= \int_M \left[ (mp^2 - 2p + 1)\alpha + \gamma^2\eta^2 - \kappa_1^2\lambda_1^2|\Phi_1|^2 - \frac{Scal}{4}\alpha|\Phi_1|^2 - \frac{\Delta\alpha}{2}|\Phi_1|^2 \right. \\
&+ 2\left( (pq - r + prn)tr(B^{-1})\alpha - \gamma^2\eta \right)\lambda_1(D_B\Phi_1, \Phi_1) \\
&+ \left. \left( (q^2|B^{-1}|^2 - 2q + (2qr + mr^2)|tr(B^{-1})|^2)\alpha + \gamma^2 + 1 \right) |D_B\Phi_1|^2 \right] \mu \geq 0. \tag{1.34}
\end{aligned}$$

130 Using the relation  $D_B\Phi_1 = \kappa D\Phi_1$ , then multiplying modified spinorial Levi–Civita connection defined in 1.33 with  $e_i$  and  $B^{-1}(e_i)$  and then summing over  $i = 1, \dots, m$  gives equations 1.35 and 1.36, respectively. To vanish the last two lines of (1.34) the real–valued functions  $p, q, r, \alpha, \gamma, \kappa$  have to satisfy the following four equations:

$$(1 - mp) - (q + mr)tr(B^{-1})\kappa = 0 \quad (1.35)$$

$$(1 + q|B^{-1}|^2 - r(tr(B^{-1}))^2)\kappa - ptr(B^{-1}) = 0 \quad (1.36)$$

$$(pq - r + prm)tr(B^{-1})\alpha - \gamma^2\kappa = 0 \quad (1.37)$$

$$(q^2|B^{-1}|^2 - 2q + (2qr + mr^2)|tr(B^{-1})|^2)\alpha + \gamma^2 + 1 = 0 \quad (1.38)$$

135 Solving equation (1.36), one has

$$p = \frac{(1 + q|B^{-1}|^2 - r|tr(B^{-1})|^2)\kappa}{tr(B^{-1})}. \quad (1.39)$$

Inserting (1.35) into equation (1.37), we have:

$$(pq - r(1 - pm))tr(B^{-1})\alpha - \gamma^2\kappa = (pq - r\kappa(q + mr)tr(B^{-1}))tr(B^{-1})\alpha - \gamma^2\kappa. \quad (1.40)$$

Inserting  $p$  into equation (1.40), we get

$$\gamma^2 = \alpha(q(1 + q|B^{-1}|^2) - (2qr + mr^2)|tr(B^{-1})|^2). \quad (1.41)$$

Putting  $\gamma^2$  into equation (1.38), we obtain

$$\alpha = \frac{1}{q(1 - 2q|B^{-1}|^2)}. \quad (1.42)$$

Note that equation (1.35) and (1.36) together give

$$\kappa = \frac{1 - mp}{(q + mr)tr(B^{-1})} = \frac{ptr(B^{-1})}{(1 + q|B^{-1}|^2 - r|tr(B^{-1})|^2)}. \quad (1.43)$$

140 Also solving equations (1.35) and (1.36) we have,

$$\kappa = \frac{tr(B^{-1})}{(m + mq|B^{-1}|^2 + q|tr(B^{-1})|^2)}. \quad (1.44)$$

This means

$$p = \frac{(1 + q|B^{-1}|^2 - r|tr(B^{-1})|^2)}{(m + mq|B^{-1}|^2 + q|tr(B^{-1})|^2)}. \quad (1.45)$$

So the relations

$$\begin{aligned} \alpha &= \frac{1}{q(1 - 2q|B^{-1}|^2)} > 0, \gamma^2 = \frac{(q(1 + q|B^{-1}|^2) - (2qr + mr^2)|tr(B^{-1})|^2)}{q(1 - 2q|B^{-1}|^2)} > 0. \\ \kappa^2 &= \frac{p(1 - mp)}{(q + mr)(1 + q|B^{-1}|^2 - r|tr(B^{-1})|^2)} \\ &= \frac{(1 - mp)}{(q + mr)(m + mq|B^{-1}|^2 + q|tr(B^{-1})|^2)} > 0 \end{aligned} \quad (1.46)$$

imply the restriction

$$\frac{1}{2|B^{-1}|^2} > q > 0 \text{ and } q(1 + q|B^{-1}|^2) > (2qr + mr^2)|tr(B^{-1})|^2. \quad (1.47)$$

Inserting (1.45) and (1.46) into (1.34) gives the desired estimates given in (1.30).

145 The limiting case of (1.30) are easy to check.  $\square$

## 2. Estimating over Sasakian Spin Manifolds

In this section, we get an eigenvalue estimate with the aid of the divergence-free symmetric nondegenerate tensor  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$  by applying Theorem 1.1 and Theorem 1.5 to the Sasakian spin manifolds. Then, by using the relations between the eta-Killing pair  $(u, v)$  corresponding to the eta-Killing spinor and the decomposition property of the spinor bundle built over Sasakian spin manifold we investigate the geometric properties of the equality case.

On a  $2n + 1$  dimensional manifold  $M$ , Sasakian structure is defined by an almost contact metric structure of  $M$ . An almost contact metric structure is expressed by  $(\phi, \xi, \eta, g)$ . Here  $\phi$  is a  $(1, 1)$ - tensor field,  $\xi$  is a vector field,  $\eta$  is a 1-form and  $g$  is denoted the metric. An almost contact metric structure satisfies

$$\eta(\xi) = 1, \quad \Theta^2 = -V + \eta(V)\xi, \quad g(\phi V, \phi W) = g(V, W) - \eta(V)\eta(W). \quad (2.1)$$

On an almost contact metric manifold a fundamental 2-form  $\Theta$  is defined as

$$\Theta(V, W) = g(V, \phi(W)),$$

where  $V, W$  is a vector fields. In addition, if the following condition is satisfied

$$(\nabla_V \phi)W = g(V, W) - \eta(W)V \quad (2.2)$$

for all vector fields  $V, W$ , then an almost contact metric structure is called Sasakian structure and with this structure manifold is called Sasakian. Moreover, if the Ricci curvature tensor  $Ric$  defined on the Sasakian manifold  $(M, \phi, \xi, \kappa, g)$  satisfies

$$Ric = u\eta + v\eta \otimes \eta \quad (2.3)$$

for some constants  $u, v \in \mathbb{R}$  with  $u+v = 2n$ , then Sasakian manifold  $(M, \phi, \xi, \eta, g)$  is called eta-Einstein. T.Friedrich and E.C.Kim showed that any Spinor bundle constructed on an almost contact metric manifold is splits under the action of the fundamental 2-form. For more information see  $\Theta$  [8].

**Definition.** *On a Sasakian manifold  $(M, \phi, \xi, \eta, g)$  endowed with spin structure, eta-Killing spinor with Killing pair  $(u, v)$  is characterized by a nontrivial spinor field  $\Phi$  which is satisfied*

$$\nabla_V \Phi = \alpha V \cdot \Phi + B\kappa(V)\xi \cdot \Phi \quad (2.4)$$

170 for some real numbers  $u, v \in \mathbb{R}, u \neq 0$  and for all vector fields  $V$ .

Since eta–Killing spinor with Killing pair  $(\alpha, B)$  is an eigenspinor of the Dirac operator with eigenvalue  $\lambda = -(2m + 1)\alpha - B$ , Killing pair  $(\alpha, B)$  can be expressed in terms of the scalar curvature by considering some special decomposition of the spinor bundle [8].

175 **Theorem 2.1.** *Let  $(M, \phi, \xi, \kappa)$  be a  $2m + 1$  ( $m \geq 1$ )–dimensional a closed Sasakian spin manifold. Let  $B^{-1}$  be a nondegenerate symmetric tensor field on  $M$  defined by  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$ ,  $\text{div}(B^{-1}) = 0$ . Let  $\lambda_1 \in \mathbb{R}$  and  $\tilde{\lambda}_1 \in \mathbb{R}$  be the first eigenvalue of  $D$  and  $D_B$ , respectively. Then we have*

$$\lambda_1^2 \geq \sup_{p, \kappa(p)} \inf_M \frac{1}{(np^2 - 2p + 1)} \left( \frac{\text{Scal}}{4} + \frac{n^2(np^2 - 2pn + 1)\tilde{\lambda}_1^2}{(n-1)(p-1)^2} \right), \quad (2.5)$$

where  $p < \frac{1}{2n}$ . In case that  $\lambda_1 \neq 0$ , inequality (2.5) can be rewritten as

$$\lambda_1^2 \geq \sup_p \inf_M \frac{1}{(np^2 - 2p + 1)} \left( \frac{\text{Scal}}{4\kappa(p)} \right), p < \frac{1}{2n}, \quad (2.6)$$

180 where  $\kappa(p)$  and  $\mu_1$  are real–valued functions on  $M$  defined by

$$\begin{aligned} \kappa(p) &= \frac{(n-1)(p-1)^2(np^2 - 2p + 1) - n^2(np^2 - 2pn + 1)\mu_1^2}{(n-1)(p-1)^2(np^2 - 2p + 1)}, \\ \mu_1 &= \frac{\tilde{\lambda}_1}{\lambda_1}. \end{aligned} \quad (2.7)$$

The limiting case of (2.5) occurs, in case

1.  $n \geq 5$ , if and only if there exist an eta–Killing spinor  $\Phi_1$  with Killing pair

$$\left( \frac{1}{2}, -\frac{n}{4} + \frac{\text{Scal}}{4(n-1)} \right), \left( -\frac{1}{2}, \frac{n}{4} - \frac{\text{Scal}}{4(n-1)} \right) \quad (2.8)$$

such that  $\Phi_1$  is a first eigenspinor of  $D_B$ .

2.  $n = 3$  if and only if there exist an eta–Killing spinor  $\Phi_1$  with killing pair

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$$\left( \frac{-2 + \sqrt{4 + 2\text{Scal}}}{4}, \frac{4 - \sqrt{4 + 2\text{Scal}}}{4} \right), \quad (2.9)$$

such that  $\Phi_1$  is a first eigenspinor of  $D_B$ .

*Proof.* Let's define the nondegenerate symmetric tensor field  $B^{-1}$  on  $M$  by  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$ . So, the positive function given in (1.13) can be rewritten as follows:

$$\alpha = \frac{(n-1)(p-1)^2}{n^2(np^2 - 2pn + 1)}. \quad (2.10)$$

190 Inserting the function  $\alpha$  into (1.12), one gets inequality (2.5) and (2.6), respectively. Considering  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$ , we get

$$tr(B^{-1}) = \frac{n-1}{n}, \quad |B^{-1}|^2 = \frac{n-1}{n^2}. \quad (2.11)$$

In limiting case, by taking into account (1.14), one can rewrite spinorial Levi–Civita connection as follows:

$$\begin{aligned} \nabla_{e_i}\Phi_1 &= -\left(p\lambda_1 + \frac{np}{1-p}\tilde{\lambda}_1\right)e_i \cdot \Phi_1 - \frac{(1-pn)n^2}{(n-1)(1-p)}\tilde{\lambda}_1 B^{-1}(e_i) \cdot \Phi_1 \\ &= -\left(p\lambda_1 + \frac{np}{1-p}\tilde{\lambda}_1\right)e_i \cdot \Phi_1 - \frac{(1-pn)n^2}{(n-1)(1-p)}\tilde{\lambda}_1\left(\frac{e_i}{n} - \frac{\kappa(e_i)\xi}{n}\right) \cdot \Phi_1 \\ &= -\left(p\lambda_1 + \frac{n}{n-1}\tilde{\lambda}_1\right)e_i \cdot \Phi_1 - \frac{n(1-pn)}{(n-1)(1-p)}\tilde{\lambda}_1\kappa(e_i)\xi \cdot \Phi_1. \end{aligned} \quad (2.12)$$

Accordingly, in limiting case, eta–Killing spinor with Killing pair is described as:

$$(\alpha_1, B_1) = \left(\frac{p(n-1)\lambda_1 + n\tilde{\lambda}_1}{n-1}, \frac{n(1-pn)\tilde{\lambda}_1}{(n-1)(1-p)}\right). \quad (2.13)$$

In the case  $m \geq 2$ , eta–Killing spinor is characterized with eta–Killing pair given in (2.8). Let  $M$  be a 3–dimensional Sasakian spin manifold. Then, by using Proposition 3.2 and Proposition 3.3 given in [5], eta–Killing pair can be rewritten in terms of the scalar curvature of  $M$  as follows:

$$(\alpha_1, B_1) = \left(\frac{-2 + \sqrt{4 + 2Scal}}{4}, \frac{4 - \sqrt{4 + 2Scal}}{4}\right). \quad (2.14)$$

200 This means,

$$\left(\frac{p(n-1)\lambda_1 + np\lambda_1}{n-1}, \frac{n(1-pn)\tilde{\lambda}_1}{(n-1)(1-p)}\right) = \left(\frac{-2 + \sqrt{4 + 2Scal}}{4}, \frac{4 - \sqrt{4 + 2Scal}}{4}\right). \quad (2.15)$$

Accordingly, the first eigenvalue of  $D$  and  $D_B$  can be described as follows;

$$\begin{aligned} \lambda_1 &= \frac{(3p-1)(-2 + \sqrt{4 + 2Scal}) - p(1-p)(4 - \sqrt{4 + 2Scal})}{4p(3p-1)}, \\ \tilde{\lambda}_1 &= \frac{(1-p)(4 - \sqrt{4 + 2Scal})}{6(3p-1)}, \end{aligned} \quad (2.16)$$

where  $p < \frac{1}{2n}$ . □

In the next theorem to consider application of Theorem 1.5 to the Sasakian spin manifolds, we use same divergencefree symmetric tensor given in the proof of Theorem 1.1.

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**Theorem 2.2.** Let  $(M, \phi, \xi, \kappa)$  be a  $2m + 1$  ( $m \geq 1$ )–dimensional a closed Sasakian spin manifold. Let  $B^{-1}$  be a nondegenerate symmetric tensor field on  $M$  defined by  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$ ,  $\text{div}(B^{-1}) = 0$ . Let  $\lambda_1 \in \mathbb{R}$  and  $\tilde{\lambda}_1 \in \mathbb{R}$  be the first eigenvalue of  $D$  and  $D_B$ , respectively and denote  $\kappa_1 = \frac{\tilde{\lambda}_1}{\lambda_1}$ . Then, for any real–valued functions  $q, r : M \rightarrow \mathbb{R}$  satisfying

$$\frac{n^2}{2(n-1)} > q > 0 \text{ and } \frac{q(n^2 + q(n-1))}{(n-1)^2} > (2qr + nr^2) \quad (2.17)$$

we have

$$\lambda_1^2 \geq \sup_{\kappa(q,r,\kappa_1)} \inf_M \left( \frac{\text{Scal}}{4\kappa(q,r,\kappa_1)} \right), \quad (2.18)$$

where  $\kappa(q, r, \kappa_1)$  and  $\alpha$  are real–valued functions on  $M$  defined by

$$\begin{aligned} \kappa(q, r, \kappa_1) = & \left( \frac{(n-1)^2(n^2q - 2q^2(n-1))}{n^4(n^3 + q(n-1)(2n-1))^2} \left( (n-1)^3(nq^3 - 2n^3qr \right. \right. \\ & - 4n^2q^2r + n^2qr^2 + 2nq^2r - q^3 + 2n^2qr + 4nq^2r - nqr^2 \\ & - 2q^2r + n^3r^2 + 2qn^2 + nq^3) + 3n^4q - qn^3 + 3n^4q^2 \\ & \left. \left. - 6n^3 + 2n^2 \right) - \kappa_1^2 \right). \end{aligned} \quad (2.19)$$

The limiting case of (2.18) occurs, in case

1.  $n \geq 5$ , if and only if there exist an eta–Killing spinor  $\Phi_1$  with killing pair

$$\left( \frac{1}{2}, -\frac{n}{4} + \frac{\text{Scal}}{4(n-1)} \right), \left( -\frac{1}{2}, \frac{n}{4} - \frac{\text{Scal}}{4(n-1)} \right) \quad (2.20)$$

such that  $\Phi_1$  is a first eigenspinor of  $D_B$ .

2.  $n = 3$  if and only if there exist an eta–Killing spinor  $\Phi_1$  with killing pair

$$\left( \frac{-2 + \sqrt{4 + 2\text{Scal}}}{4}, \frac{4 - \sqrt{4 + 2\text{Scal}}}{4} \right), \quad (2.21)$$

such that  $\Phi_1$  is a first eigenspinor of  $D_B$ .

*Proof.* Let's define the nondegenerate symmetric tensor field  $B^{-1}$  on  $M$  by  $B^{-1} = \frac{I}{n} - \frac{1}{n}\xi \otimes \kappa$ . So, the positive functions  $\kappa(q, r, \kappa_1)$  can be rewritten as in (2.19). These give us desired inequality (2.18).

In limiting case, with respect to real functions  $p, q, r$  spinorial Levi–Civita connection can be writtes as:

$$\begin{aligned}
\nabla_{e_i}\Phi_1 &= -p\lambda_1 e_i \cdot \Phi_1 - q\tilde{\lambda}_1 B^{-1}(e_i) \cdot \Phi_1 - r\left(\frac{n-1}{n}\right)\tilde{\lambda}_1 e_i \cdot \Phi_1 \\
&= -p\lambda_1 e_i \cdot \Phi_1 - q\tilde{\lambda}_1 \left(\frac{e_i}{n} - \frac{\kappa(e_i)\xi}{n}\right) \cdot \Phi_1 - r\left(\frac{n-1}{n}\right)\tilde{\lambda}_1 e_i \cdot \Phi_1 \\
&= -\frac{1}{n}\left(np\lambda_1 + q\tilde{\lambda}_1 + r(n-1)\tilde{\lambda}_1\right)e_i \cdot \Phi_1 + \frac{1}{n}q\tilde{\lambda}_1\kappa(e_i)\xi \cdot \Phi_1,
\end{aligned} \tag{2.22}$$

where real–valued functions  $p$  is given by

$$p = \frac{n(n-1)(q-r(n-1))}{n^3 + (n-1)q(2n-1)}. \tag{2.23}$$

Accordingly, in limiting case eta–Killing spinor with Killing pair, is described by:

$$(\alpha_1, B_1) = \left( -\frac{1}{n}\left(np\lambda_1 + q\tilde{\lambda}_1 + r(n-1)\tilde{\lambda}_1\right), \frac{1}{n}q\tilde{\lambda}_1 \right) \tag{2.24}$$

In the case  $m \geq 2$ , eta–Killing spinor is characterized with Killing pair given in (2.20).

Let  $M$  be 3–dimensional Sasakian spin manifold. By using Proposition 3.2 and Proposition 3.3 given in [5],  $(\alpha_1, B_1)$  can be characterized with Killing pair given in (2.21).  $\square$

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