Technical considerations in Hi-C scaffolding and evaluation of chromosome-scale genome assemblies

Kazuaki Yamaguchi[1*], Mitsutaka Kadota[1*], Osamu Nishimura[1*], Yuta Ohishi[1], Yuki Naito[2], Shigehiro Kuraku[1,3]

[1]Laboratory for Phyloinformatics, RIKEN Center for Biosystems Dynamics Research, Kobe, Hyogo 650-0047, Japan, [2]Database Center for Life Science (DBCLS), Mishima, Shizuoka 411-8540, Japan, [3]Molecular Life History Laboratory, National Institute of Genetics, Mishima, Shizuoka 411-8540, Japan.

[*]These authors contributed equally to this study.

Correspondence address. Shigehiro Kuraku, Molecular Life History Laboratory, National Institute of Genetics, Japan. Tel: +81 55 981 6801; Fax: +81 55 981 6801; E-mail: skuraku@nig.ac.jp

Running title: On the chromosome-scale genome assembly

Abstract

The recent development of ecological studies has been fueled by the introduction of massive information based on chromosome-scale genome sequences, even for species for which genetic linkage is not accessible. This was enabled mainly by the application of Hi-C, a method for genome-wide chromosome conformation capture that was originally developed for investigating the long-range interaction of chromatins. Performing genomic scaffolding using Hi-C data is highly resource-demanding and employs elaborate laboratory steps for sample preparation. It starts with building a primary genome sequence assembly as an input, which is followed by computation for genome scaffolding using Hi-C data, requiring careful validation. This article presents technical considerations for obtaining optimal Hi-C scaffolding results and provides a test case of its application to a reptile species, the Madagascar ground gecko (*Paroedura picta*). Among the metrics that are frequently used for evaluating scaffolding results, we investigate the validity of the completeness assessment of chromosome-scale genome assemblies using single-copy reference orthologs, and report problems of the widely used program pipeline BUSCO.

Keywords

37 chromosome-scale genome assembly, Hi-C scaffolding, iconHi-C, gene space

38 completeness assessment, BUSCO

39

Introduction

Molecular ecology research often targets intra- or inter-specific variations of information in DNA sequences. In eukaryotes, DNA molecules are found in cell nuclei as part of "chromatin", a complex of proteins that modulates the conformation of chromosomal DNAs in the nuclear environment. Hi-C is a method for the genome-wide capture of such chromosome conformations and was originally developed for detecting the long-range interaction of chromatins (Lieberman-Aiden et al., 2009) (Figure 1). This method has more recently been applied to the scaffolding of genome sequences from diverse species (Burton et al., 2013; Kaplan & Dekker, 2013; Marie-Nelly et al., 2014). In general, the more closely two genomic regions are located on DNA sequences, the more frequently they contact in 3D genomes in chromatin. In genome scaffolding using Hi-C data, fragmentary sequences of genomic DNA are grouped, ordered, and oriented on the basis of chromatin contact frequency between different genomic regions. Collectively, the genome scaffolding based on this type of chromatin contacts captured *in situ* in nuclei by digestion-ligation ("proximity ligation") is called proximity-guided assembly (PGA).

Molecular ecology studies have been fueled by genome-wide approaches for monitoring genetic diversity, which is most reliably achieved by the assembly of whole-

58 genome sequences using the output of modern DNA sequencers. Previously,
59 sequences resulting from whole-genome assembly were often flanked by long
60 interspersed repeats and remained unassembled with any other sequence (Peona,
61 Weissensteiner, & Suh, 2018). Under this circumstance, chromosome-scale sequences
62 were obtained only through genetic linkage mapping, which requires a cross of
63 identified mates and a sufficient number of offspring (Tang et al., 2015; Yoshitake et
64 al., 2018), or optical mapping, which requires a large quantity of high-molecular-weight
65 genomic DNA. After the introduction of PGA, Hi-C scaffolding has become a major
66 solution and has been adopted in mass genome sequencing projects to realize the
67 reconstruction of chromosome-scale sequences of genomic DNA (e.g., Rhie et al.,
68 2021).
69 The utility of Hi-C scaffolding is characterized by its handiness (compared with
70 the resource-demanding alternatives mentioned above), requiring only chromatin
71 preparation from a single individual and short-read sequencing on an ordinary
72 sequencing platform. Nonetheless, performing successful Hi-C scaffolding is not trivial.
73 Most frequently, researchers outsource the whole process to a commercial company or
74 an experienced collaborator, which may not allow them to optimize parameters
75 pertaining to sample preparation and computation with repeated attempts.

Alternatively, especially when cost-saving is desired, researchers may perform the whole preparation by themselves; however, different parts of the process (tissue sampling, library preparation, sequencing, scaffolding, and output validation) may be performed by different individuals, rarely resulting in a self-contained experience. For these reasons, technical tips regarding the whole process are not explicitly written or shared with academic researcher communities, although they may accumulate at facilities that take on mass genome sequencing projects. It should also be noted that Hi-C requires the chromatin contained in cell nuclei, rather than extracted genomic DNA. This is often misunderstood, even by those who have a long experience with DNA sequencing, resulting in the unfavorable sampling and storage of materials.

In this review, we address the existing technical information about sample preparation protocols/kits and computational programs, and present technical factors for more successful Hi-C scaffolding (Figure 2) based on our experience with diverse multicellular organisms (Kadota et al., 2020).

What makes a difference in chromosome-scale genome scaffolding?

The analysis of chromatin dynamics, for which Hi-C was originally developed, requires appropriate tissues/cells as materials for addressing specific biological questions;

however, in Hi-C scaffolding, the choice of materials is less important because it targets the reconstruction of the whole genome as the uniform goal, even when using different cell populations in an organism. One may expect that the use of numerous types of tissues will yield an optimal performance covering maximally diverse chromatin contacts. However, our previous attempt with this intention did not lead to improvement (Kadota et al., 2020). In general, the use of multiple tissues (in separate preparations) should increase the chance of obtaining a more successful library, and it is preferable to choose tissues with low endogenous nuclease activity and those from which single cells can be prepared relatively easily for chromatin fixation. Table 1 summarizes the key laboratory steps in the preparation of chromatin, Hi-C DNA, and libraries for sequencing, in that order. As a non-commercial choice, this table includes the traditional protocol by Rao et al. (2014), as well as a derivative of this protocol, iconHi-C (Kadota et al., 2020), which resembles many others (e.g., Belagzhal et al., 2017). As of April 2021, four biochemical companies (Arima Genomics, Dovetail Genomics, Phase Genomics, and Qiagen) manufacture Hi-C kits, which are formulated with different components and protocols. In general, conventional Hi-C kits employ a restriction enzyme or a cocktail of multiple restriction enzymes, whereas Omni-C employs a sequence-independent endonuclease (Table 1). In Omni-C, to capture more

proximal contacts, disuccinimidyl glutarate (DSG) and formaldehyde are used for sample fixation (Nowak, Tian, & Brasier, 2005), which is now provided as a kit by Dovetail Genomics. Restriction enzyme digestion and ligation are performed *in situ* or on chromatin-binding beads. Library preparation is performed by sonication followed by adapter ligation. The differences in specification between these kits/protocols include 1) choice of the DNA digestion method, 2) method of biotin incorporation, 3) adaptability of the sample quality control (QC) to the laboratory workflow, and 4) degree of amplification in library preparation (Table 1). Sufficient attention to these factors will issue an alert for unsuccessful sample preparation, such as insufficient chromatin fixation and insufficient DNA digestion, and will allow the retrieval of chromatin contacts with maximal diversity. Signs of unsuccessful samples will be alerted in QCs before sequencing (Kadota et al., 2020). When a species of interest has unusual biochemical properties in the selected tissues, genome size, and base composition, which affect the efficiency and uniformity of DNA fragmentation, the choice of the kit/protocol may be crucial (Figure 2).

Table 2 summarizes the specification of the existing computational programs for Hi-C scaffolding. Most of these were developed and maintained by academic parties, with the exception of HiRise, which is used exclusively in paid services by Dovetail

Genomics (Putnam et al., 2016), and LACHESIS, which is no longer maintained (Burton et al., 2013). These programs implement different algorithms for using Hi-C read alignment in scaffolding sequences (Ghurye et al., 2019). Apart from those core algorithmic differences, more superficial parameters with default settings that vary among programs can also largely affect the output, which includes a minimum input sequence length (see Kadota et al., 2020 for an example of a remarkable improvement using an altered length parameter setting) and the number of iterative cycles for misjoin correction (Figure 2). Some of the programs listed in Table 2 are used with certain specifications. FALCON-Phase (Kronenberg et al., 2018) requires the output of the long read-based assembly by FALCON-Unzip (Chin et al., 2016), whereas ALLHiC, which was developed to overcome the difficulty in resolving polyploidy, requires a chromosome-scale genome assembly or an associated gene annotation for a closely related species (Zhang, Zhang, Zhao, Ming, & Tang, 2019). More crucial key factors that are independent of program choice include the quality and continuity of the input genome assembly (reviewed in Whibley et al., 2020) and the amount of Hi-C reads obtained after excluding improper fragments resulting from unintended ligation products (self-ligation, re-ligation, and un-ligation (“dangling end”); see the details in Kadota et al. (2020).

Overall, there is no single gold-standard method for library preparation and post-sequencing scaffolding. When a need for troubleshooting is encountered, one can consider the technical points included in Figure 2, which may provide alternatives for possible improvement.

Validation of chromosome-scale scaffolding output

The goal of chromosome-scale genome assembly is the reconstruction of actual nucleotide base lineups in DNA sequences. Assembly products can be rigidly evaluated by referring to any independent information on genome size, chromosomal organization, and location of individual genes, if available. It may not be widely known that a Hi-C scaffolding output needs to be carefully evaluated and can often be manually modified by referring to the matrix of chromatin contact frequencies (Howe et al., 2020; also see below for an example of a reptile species), i.e., the process called "review" in the manual of the program 3d-dna (https://www.dnazoo.org/methods). In Hi-C scaffolding, inversions and misjoins occur more frequently than in other scaffolding methods (Dudchenko et al., 2018; Ghurye et al., 2019). This is mainly because Hi-C reads in pair do not instruct regarding the original fragment orientation in the genome, and the orientation of the sequences that are to be joined is reliably determined only

when they are sufficiently long to harbor sufficient data points for chromatin contacts among them and other sequences. Therefore, it is also important to choose a scaffolding program that assumes and facilitates “review” in a dedicated editor, such as JuiceBox (Dudchenko et al., 2018). The visualized chromatin contact map indicates the parts to modify with outstanding signals distant from the diagonal line that do not fit in the intensified signals (intra-chromosomal contacts) demarcated in squares (Figure 3a). Such outstanding signals caused by sequence misjoins or disjoins can be resolved by relocating the relevant scaffolds in the contact map (e.g., Figure 3a and 3b). After the “review”, HiC-Hiker can reduce the error rate further by considering not only the junctions between two adjacent contigs, but also multiple neighboring contigs (Nakabayashi & Morishita, 2020).

In reality, no comprehensive answer is available for checking the output of “*de novo*” genome sequencing. However, karyotypes, namely the number and size of chromosomes prepared from single cells, serve as valuable references for these aspects, and should ideally be made available prior to the assessment of Hi-C scaffolding results (see Uno et al., 2020 for an example of this sort for sharks with scarce karyotyping reports). If chromosomal gene mapping records or optical mapping results also exist, they can be used as a reference for validating the sequence

184 organization inside individual chromosomes. Several early studies employed an
185 existing genome assembly of a closely related species for validation (Dong et al., 2013;
186 Worley et al., 2014); however, this incurred uncontrollable risks because one cannot
187 discern the artifacts to be corrected from natural cross-species differences. It should be
188 noted that sex chromosome pairs (X/Y or Z/W) may not be assembled with high
189 precision, especially when they have regions that are similar to each other, which are
190 known as pseudoautosomal regions (PAR) (Liu et al., 2019). Another typical concern is
191 allelic redundancy. Unless one aims to separate different alleles ("haplotype phasing"),
192 it is advisable to discard highly similar sequences with allelic differences ("haplotigs")
193 before performing Hi-C, because they can confuse Hi-C read mapping and result in
194 insufficient scaffolding in those regions.
195 Methods for evaluating large genome assemblies have been long debated, and
196 no single metric allows an overall assessment (Bradnam et al., 2013; Rhie et al., 2021;
197 Thrash, Hoffmann, & Perkins, 2020; Veeckman, Ruttink, & Vandepoele, 2016).
198 Scaffolding programs insert tracts of undetermined bases ("N") between the sequences
199 joined by Hi-C data, and it should be noted that "N" is implicitly set to a uniform length
200 throughout a genome by individual programs (for example, inserting 500 Ns is the
201 default setting in 3d-dna and SALSA2).

In the evaluation of the output of *de novo* genome assembly, the metrics N50 length and NG50 length are frequently used (Bradnam et al., 2013). These metrics apply to scaffold sequences and contig sequences, with the latter indicating sequences without any intervening ambiguous bases (“N”). The N50 and NG50 length denotes the length of the shortest sequence at 50% of the total sequence length in the genome assembly and the genome size, respectively. Basically, a larger N50 or NG50 length entails a more continuous genome assembly. However, the optimal N50 or NG50 length is inherently defined by the karyotype of the species of interest. For the human genome, the N50 of the optimal genome assembly is approximately 154 Mbp, while it is limited to approximately 15 Mbp for the sea lamprey, with more than 100 small, dot-like chromosomes (2n = 168; Potter & Rothwell, 1970). For this unique karyotype, N50 length cannot be substantially larger than 15 Mbp. Even larger N50 lengths for this species or its close relatives would indicate over-assembly, which can be the result of the limited number of *in silico* chromosome fusions. Very importantly, the overall sequence length statistics, such as N50 and NG50, do not reflect the sequence content and its precision. To fulfill this task, one of the metrics proposed most recently was one that quantifies the reconstruction of long terminal repeat (LTR) retrotransposons (LTR Assembly Index, LAI) (Ou, Chen, & Jiang, 2018).

The demand for a more accurate assessment method is increasing as genome sequences of unprecedented quality and continuity emerge. When evaluating genome assemblies, one needs to perform a multi-faceted assessment using different metrics, including the coverage of the protein-coding gene space, which is widely used as a central metric (Figure 2). The following section will focus on how the use of the metric for scoring the completeness of protein-coding genes should be adapted to the prevailing chromosome-scale genome assembly production.

Limitation of gene space completeness assessment—Who watches the watchmen?

The measurement of gene space completeness was used as a metric of genome assembly quality even before 2010, when most of the available genome assemblies did not reach a chromosomal scale. The only maintained program for this purpose in that period, CEGMA (Parra, Bradnam, Ning, Keane, & Korf, 2009), was originally developed for identifying a set of protein-coding genes in a given *de novo* genome assembly, to be used as a gene set for training gene prediction programs (Parra, Bradnam, & Korf, 2007). Later, the support for CEGMA was discontinued, which was subsequently almost completely replaced by BUSCO (Simão, Waterhouse, Ioannidis, Kriventseva, &

238 Zdobnov, 2015). Generally, when no other option is available as a benchmark solution,
239 users need to be warned about potential misleading reports from the single solution. As
240 previously reported for the benchmarking of multiple sequence alignments (Iantorno,
241 Gori, Goldman, Gil, & Dessimoz, 2014), developers and users of genome assembly
242 assessment tools should be fully informed about the perils of misleading assessments.
243 Since its first release in 2015, BUSCO has been rapidly upgraded to version 2
244 in 2016, version 3 in 2017, version 4 in 2019, and version 5 in January 2021. BUSCO
245 assumes the use of its accompanying gene set derived from OrthoDB (Kriventseva et
246 al., 2019), and both the gene set and the pipeline for searching reference genes have
247 been upgraded. This sort of benchmark program is expected to serve as a stable
248 standard on which the evaluation of genome assemblies is uniformly performed.
249 However, how can it provide a stable standard after such fast upgrading? Most
250 recently, the BUSCO pipeline was upgraded to version 5 and adopted a new
251 component program for gene search, MetaEuk (Levy Karin, Mirdita, & Söding, 2020),
252 which sometimes yields largely different values compared with the earlier versions 2
253 and 3 (these two versions superficially perform in the same way because version 3 was
254 a refactored version of version 2).

Another persistent concern with BUSCO is the criterion for choosing reference single-copy genes (see Korlach et al., 2017)—genes that are absent from genome-wide sequences of some species (no more than 10% of all of the species considered) are included in the reference ortholog set. Some genes that were secondarily lost during evolution can also be implicitly queried and judged as missing from the genome assembly because of incomplete sequencing or assembly, which results in underestimation of genome assembly completeness. Such an inaccurate assessment of elaborately produced genome assemblies severely hampers the establishment of reasonable decisions in research. To circumvent this systematic inaccuracy, we previously developed a gene set (Core Vertebrate Genes, CVG) that contained only the genes retained as single copies in all 29 rigorously selected vertebrate species (Hara et al., 2015). This gene set is included as an option at our original web application, gVolante (Nishimura, Hara, & Kuraku, 2017, 2019), in which different BUSCO versions (including its latest version 5), as well as CEGMA, are available to provide comparable metrics on a frozen standard.

Apart from the concerns mentioned above, scoring ortholog detection beyond cross-species differences is not trivial. As a baseline that is independent of this factor, we assessed the nearly complete human genome assembly CHM13 v1.0

(https://github.com/nanopore-wgs-consortium/chm13) released by the Telomere-to-Telomere consortium (https://sites.google.com/ucsc.edu/t2tworkinggroup/home)—the completeness assessment of this assembly is expected to be nearly 100% if no technical limitations arise. This assessment of the human CHM13 v1.0 assembly resulted in 79 genes judged as missing out of 5,310 BUSCO reference orthologs for Tetrapoda (1.49%) by BUSCO version 5, and 1 out of 233 CVGs (0.43%) by CEGMA. We tentatively analyzed the properties of these 79 reference genes that were judged as missing in OrthoDB v9 and v10 and checked manually the nucleotide sequences of the human CHM13 v1.0 genome assembly for the existence of their orthologs. Most astonishingly, this search revealed that all 79 genes existed in the CHM13 v1.0 assembly (Table S1) and proved BUSCO's false-negative detections. This suggests a systematic underestimation of completeness assessment scores by BUSCO, which needs to be seriously considered, together with its continuous upgrading, which should be explored further on a larger scale.

Importantly, in this human CHM13 genome assembly (version 1.0), the five remaining gaps are known to be localized in non-protein-coding regions—more precisely, ribosomal DNA arrays in the telomeric regions of five chromosomes. The orthologs that were judged as missing in the assessment above are thought to have

291 escaped the gene detection process of the BUSCO pipeline. It is possible that such
292 false negatives occur when a queried ortholog is too divergent to fit within a range
293 recognized as an ortholog by BUSCO or has sequences that are too long or repetitive
294 (even in introns or flanking non-coding regions) to be scanned properly by the
295 programs implemented inside BUSCO, namely, TBLASTN and Augustus. This is a
296 remarkable example that shows the inaccuracy of completeness assessments using
297 reference orthologs. The inaccuracy is certainly mitigated by the use of thousands of
298 genes in an entire ortholog set; however, imprecise scores, especially those suggesting
299 a large missing portion, could be more seriously considered as we are obtaining
300 genome assemblies with maximal overall completeness.
301 Basically, genome assemblies with higher continuity are expected to yield
302 higher completeness scores (see Jauhal & Newcomb, 2020); however, the scores tend
303 to be rather saturated as long as the assessment targets the genomic space marked by
304 a limited number of protein-coding genes. Sometimes, the scores even decrease
305 slightly with increasing continuity when gene searches do not incorporate species-
306 specific features or are disturbed by insertion of the sequences (e.g., repetitive
307 elements) newly joined by Hi-C near exons. In resorting to protein-coding gene
308 completeness, one needs to pay closer attention to the mitigation of false negatives

and false positives, by choosing a more appropriate ortholog set and parameters for ortholog search. It is also instrumental to perform an independent assessment of gene coverage in genome assemblies by mapping raw RNA-seq reads or the transcript contig sequences derived from them to the genome assembly sequences.

Are they chromosomes?—Considerations in assembly finalization

The typical practice of genome assembly finalization includes the process of removing unnecessary sequences, such as unambiguous contaminants and organelle genomes. Herein, a possible discrepancy between the number of resultant chromosome-scale sequences and the haploid/diploid chromosome number needs to be addressed. This should be followed by the renumbering of the sequences and other amendments required at sequence submission to public databases (https://www.ncbi.nlm.nih.gov/genbank/genomesubmit/). It remains controversial whether the products of chromosome-scale genome assemblies can be called “chromosomes”. A semantic criticism in this context is that chromosomes consist of not only DNA, but also other components, mainly proteins. It should also be cautioned that “chromosome-scale” sequences built by Hi-C scaffolding alone are prone to errors and should be continuously improved by other approaches—it may be risky to regard “Hi-C

karyotyping” as replacing conventional cytogenetic analyses of karyotypes. To evoke a careful distinction, a set of terms including “C-scaffold” (for chromosome-scale genome scaffold, instead of “chromosome”) and “scaffotype” (a set of chromosome-scale scaffolds, instead of “karyotype”) was introduced to avoid confusion (Lewin, Graves, Ryder, Graphodatsky, & O'Brien, 2019). Apart from these concerns about semantics and QC, the utility of chromosome-scale genome sequences opens up new frontiers of molecular-level biology affecting a wide variety of fields involving diverse species (reviewed in Deakin et al., 2019).

Test case of the Madagascar ground gecko

As a test case, we dissected the chromosome-scale genome assembly of the Madagascar ground gecko (*Paroedura picta*) by referring to the technical consideration factors raised above (Figure 2). The karyotype of this species is 2n = 36 (Main, Scantlebury, Zarkower, & Gamble, 2012), and the genome size based on the nuclear DNA content is 1.80 Gbp (Hara et al., 2018). Molecular sequence data provision for this animal was initiated with transcriptome analysis (Hara et al., 2015), which was followed by short-read genome assembly (Hara et al., 2018). For loss-of-function experiments, genome editing with CRISPR/Cas9 was recently demonstrated in a

345 reptilian species (Rasys et al., 2019). To promote the potential of this species in
346 question-driven biological studies, the genome assembly of this species has been
347 incorporated as one of the target species into the guide RNA designing tool
348 CRISPRdirect (https://crispr.dbcls.jp/) (Naito, Hino, Bono, & Ui-Tei, 2015). This
349 resource is expected to facilitate the use of this animal in diverse life science studies
350 that demand loss-of-function experiments.

351 The chromosome-scale genome scaffolding of the Madagascar ground gecko
352 benefited from the supply of embryos (see Supplemental Methods for the detailed
353 procedure). Chromatin preparation from the small embryonic sample allowed the
354 improvement of sequence continuity without sacrificing adult animals—the N50 scaffold
355 length increased from 4.1 to 109.0 Mbp (Table 3). This scaffolding performance was
356 achieved with only about 100 million read pairs, which is half of the data size usually
357 recommended in the specification of commercial kits (100 million read pairs per Gb of
358 genome). This could be because the diversity of the read obtained from our Hi-C library
359 was sufficiently high. Precise control of library quality before sequencing was a
360 prerequisite for this efficient data production (Figure S2).

361 As the input for this Hi-C scaffolding demonstration aimed at obtaining the first
362 chromosome-scale genome assembly for the taxon Gekkota, we employed three draft

363 genome assemblies: 1) the traditional short-read shotgun assembly; 2) the Chromium
364 supernova assemblyusing linked reads; and 3) the combination of the two former data
365 types, as well as scaffolding with paired-end RNA-seq reads (Figure S1). Each of these
366 three starting assemblies was scaffolded using Hi-C reads by varying the input
367 sequence length threshold, as included in Figure 2. We derived 15 chromosome-level
368 assemblies, and a total of 18 assemblies, including the three starting non-
369 chromosome-scale assemblies, were subjected to the comparison of sequence length
370 statistics and gene space completeness (Figure S3). Remarkably, varying input
371 sequence length thresholds largely affected the scaffolding output (Figure 3). From the
372 variable output, we identified an assembly with optimal or nearly optimal results
373 (Assembly 6 in Figures S2 and S3) regarding sequence length distribution (more
374 specifically, N50 scaffold length, largest scaffold length, and the proportion of the sum
375 scaffold length for the total assembly size). This assembly was subjected to manual
376 curation (“review”; see above), to derive a sequence assembly for a public release. The
377 manual interventions performed therein included a recovery of the linkage between two
378 small scaffolds, to form a putative single middle-sized chromosome sequence (Figure
379 3a,b). Importantly, in assessing the genome assembly of this species, a cross-species
380 comparison referring to a chromosome-scale genome assembly was not helpful,

because species outside the taxon Gekkota (e.g., anole lizard) diverged more than 150 million years ago (Hara et al., 2018). Conversely, our review was performed by referring to the previously published records of gene mapping using fluorescence *in situ* hybridization (FISH) on a different species of Gekkota (Supplemental Methods).

In the resulting assembly, the number of chromosome-scale scaffolds with a length >1 Mbp was 18, which is almost the same as the haploid number of chromosomes (n = 18 for XX/ZZ or 19 for XY/ZW; note that the sex chromosome organization in this species is unknown) (Figure 3a). The percentage of sequences longer than 1 Mbp in the entire assembly was 96.5%, indicating that most of the sequence information is incorporated into the resulting chromosome-sized scaffolds (Table 3). The resulting Madagascar ground gecko genome assembly was assessed to cover 97.0% of the BUSCO's reference orthologs for the taxon Vertebrata (2,507 out of 2,586 genes) that were judged as being complete or fragmented by BUSCO version 5 (Table 3). The number of reference orthologs detected as complete increased by 2 genes after Hi-C scaffolding (Table 3).

The resulting chromosome-scale genome assembly of the Madagascar ground gecko, which was introduced as an example of Hi-C scaffolding, will serve as a basis for various studies focusing on the ecology and evolution of this species, as well as

399 other molecular-level biological studies performed in comparison with other amniote
400 species, including mammals and birds.
401
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411 **Author contributions**
412 SK conceived the study and drafted the manuscript. YO, SK, MK, ON, and KY
413 analyzed the data reviewed in this article. YN and KY set up public data use. All
414 authors contributed to the final writing of the manuscript.
415
416 **Data accessibility**

The Madagascar ground gecko genome assembly is available at Figshare (https://figshare.com/s/50a9a364c8dd45aa6af8) and NCBI Genome under the BioProject PRJDB5392.

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594

TABLE 1 Comparison of sample preparation for proximity-based genome scaffolding.

Different specifications	***In situ* Hi-C by Rao et al.[a]**	**iconHi-C (ver. 1.0)[b]**	**Arima-HiC Kit (Arima Genomics; ver. A160134 v01)**	**Proximo Hi-C (Animal) Prep Kit (Phase Genomics; ver. 4.0)**	**Dovetail Hi-C Kit (Dovetail Genomics; ver. 1.4)**	**Omni-C Proximity Ligation Assay Kit (Dovetail Genomics; ver. 1.3)**	**EpiTect Hi-C Kit (Qiagen; ver. 04/2019)**
Crosslinking agent	Formaldehyde (final 1%)	Formaldehyde (final 1%)	Formaldehyde (final 2%)	Crosslinking solution (included in the kit)	Formaldehyde (final 1.5%)	DSG (final 30 mM)[c] and formaldehyde (final 1%)	Formaldehyde (final 1%)
Enzyme for chromatin DNA digestion	MboI (cuts at "GATC")	HindIII (cuts at "AAGCTT") or DpnII (cuts at "GATC")	Cocktail of A1 and A2 enzymes (cut at "GATC" and "GANTC")[c]	Sau3AI (cuts at "GATC")	DpnII (cuts at "GATC")	Nuclease enzyme mix[c]	Hi-C digestion enzyme (cuts at "GATC")
Duration of restriction enzyme digestion	2 h to overnight at 37°C	Overnight at 37°C	30–60 min at 37°C	1 h at 37°C	1 h at 37°C	30 min at 30°C	2 h at 37°C
Biotin-labeling method	Incorporation of biotinylated nucleotide	Incorporation of biotinylated nucleotide	Incorporation of biotinylated nucleotide	Incorporation of biotinylated nucleotide	Incorporation of biotinylated nucleotide	Ligation of biotin-containing bridge adapter[c]	Incorporation of biotinylated nucleotide
Chromatin capture	N/A	N/A	N/A	By Recovery Beads (included in the kit)[c]	By Chromatin Capture Beads (included in the kit)[c]	By Chromatin Capture Beads (included in the kit)[c]	N/A
Ligation condition	4 h at room temperature	4–6 h at 16°C	15 min at room temperature	4 h at 25°C	1–16 h at 16°C	30 min at 22°C and 1 h at 22°C[c]	2 h at 16°C
Reverse crosslinking	Overnight or at least 1.5 h at 68°C	Overnight at 65°C	1.5–16 h at 68°C	1–18 h at 65°C	45 min at 68°C	45 min at 68°C	90 min at 80°C[c]
Quality control (QC) of ligated DNA	No	Yes (by size distribution analysis)	Yes (yield of biotin incorporated DNA)	Yes (yield of biotin incorporated DNA)	Yes (yield of ligated DNA)	Yes (yield of ligated DNA)	No

Removal of biotin from unligated ends	No	Yes	No	No	No	N/A	No
PCR cycles for sequencing library preparation	4–12 cycles	Optimized for each library[c]	Optimized for each library[c]	12 cycles	11 cycles	12 cycles	7 cycles
Library QC target	Not specified	Yield and size distribution; digestion with NheI or ClaI[c]	Yield and size distribution	Yield and size distribution	Yield and size distribution	Yield and size distribution	Yield and size distribution

[a]Rao et al. 2014; [b]Kadota et al., 2020; [c]Specification applied to a subset of the kits/protocols.

596

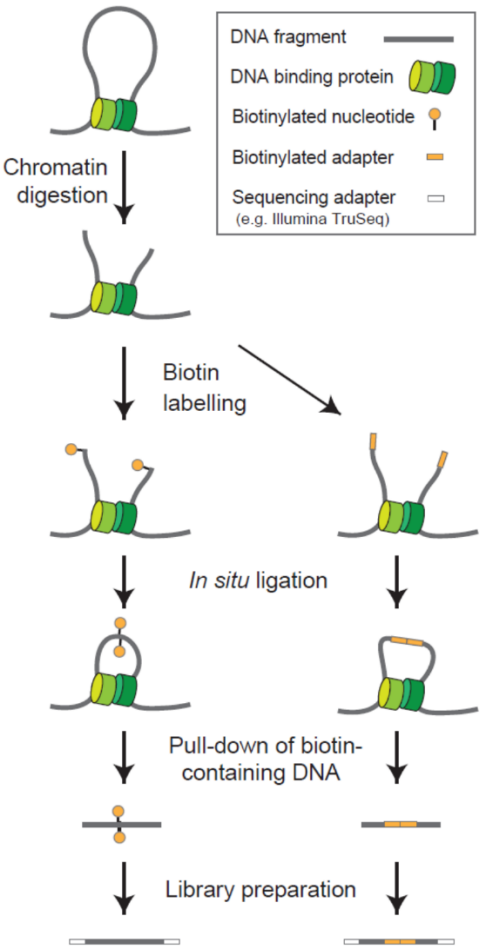
TABLE 2 Comparison of computational programs for proximity-based genome scaffolding. The programs are sorted in the descending order of the number of citations in the literature introducing the individual programs, with the exception of the programs that are not openly maintained (LACHESIS and HiRise at the bottom).

Program	Description	Input data requirement	Other information
3d-dna[a,b]	Misjoin correction algorithm is applied to detect errors in the input assembly; compatible with multiple enzymes	Accepts only Juicer mapper format	The results can be reviewed and modified directly by JuiceBox
SALSA2[c]	Uses the physical coverage of Hi-C pairs to identify misassembled regions of the input assembly; compatible with multiple enzymes	Generic bam (bed) file, assembly graph, unitig, 10x link files	The results can be reviewed and modified by JuiceBox via the included script
ALLHiC[d]	Scaffolding and phasing of a polyploid genome	Hi-C read pairs; (option) associated gene annotation or chromosome-scale genome assembly for a closely related species	Generate the chromatin contact matrix to evaluate genome scaffolding
FALCON-Phase[e]	Scaffolding and phasing of a diploid genome	Hi-C read pairs; FALCON-Unzip assembly	Output two phased full-length pseudo-haplotypes
HiCAssembler[f]	Misassemblies are corrected by iterative joining of high-confidence scaffold paths	Hi-C matrix of h5 format created by HiCExplorer	Misassembled regions in the input assembly can be corrected by specifying the location in the program
instaGRAAL[g]	Overhauling the GRAAL program to allow efficient assembly of large genomes	Hi-C matrix of instaGRAAL format created by hicstuff or HiC-Box	Requires NVIDIA CUDA and can be executed in a limited environment
LACHESIS[h]	No function to correct scaffold misjoins	Generic bam format	Developer's support discontinued; intricate installation
HiRise[i]	Employed in Dovetail Chicago/Hi-C service	Generic bam format	Open-source version at GitHub not updated since 2015

[a]Dudchenko et al., 2017; [b]Durand et al., 2016; [c]Ghurye et al., 2019; [d]Zhang et al., 2019; [e]Kronenberg et al., 2018; [f]Renschler et al., 2019; [g]Baudry et al., 2020; [h]Burton et al., 2013; [i]Putnam et al., 2016.

TABLE 3 Improvement of the Madagascar ground gecko genome assembly. BUSCO's Tetrapoda gene set consisting of 5310 orthologs was used to assess gene space completeness with BUSCO v5.

Metric	Draft v1.0 (Hara et al., 2018)	Hi-C scaffolds v2.0 (This study)
Total length (Mbp)	1,694	1,562
N50 scaffold length (Mbp)	4.1	109.0
Largest scaffold length (Mbp)	33.7	184.3
# of scaffolds >1 Mbp	297	18
% of sum length of sequences >10 Mbp	26.6	96.5
% of sum length of sequences >1 Mbp	73.3	96.5
# (%) of reference orthologs detected as “complete”	4,575 (86.16%)	4,577 (86.20%)
# (%) of reference orthologs detected as ‘fragmented’ or “complete”	4,960 (93.41%)	4,969 (93.58%)
# (%) of reference orthologs detected as “duplicated”	45 (0.8%)	38 (0.7%)
# (%) of reference orthologs recognized as “missing”	350 (6.59%)	341 (6.42%)

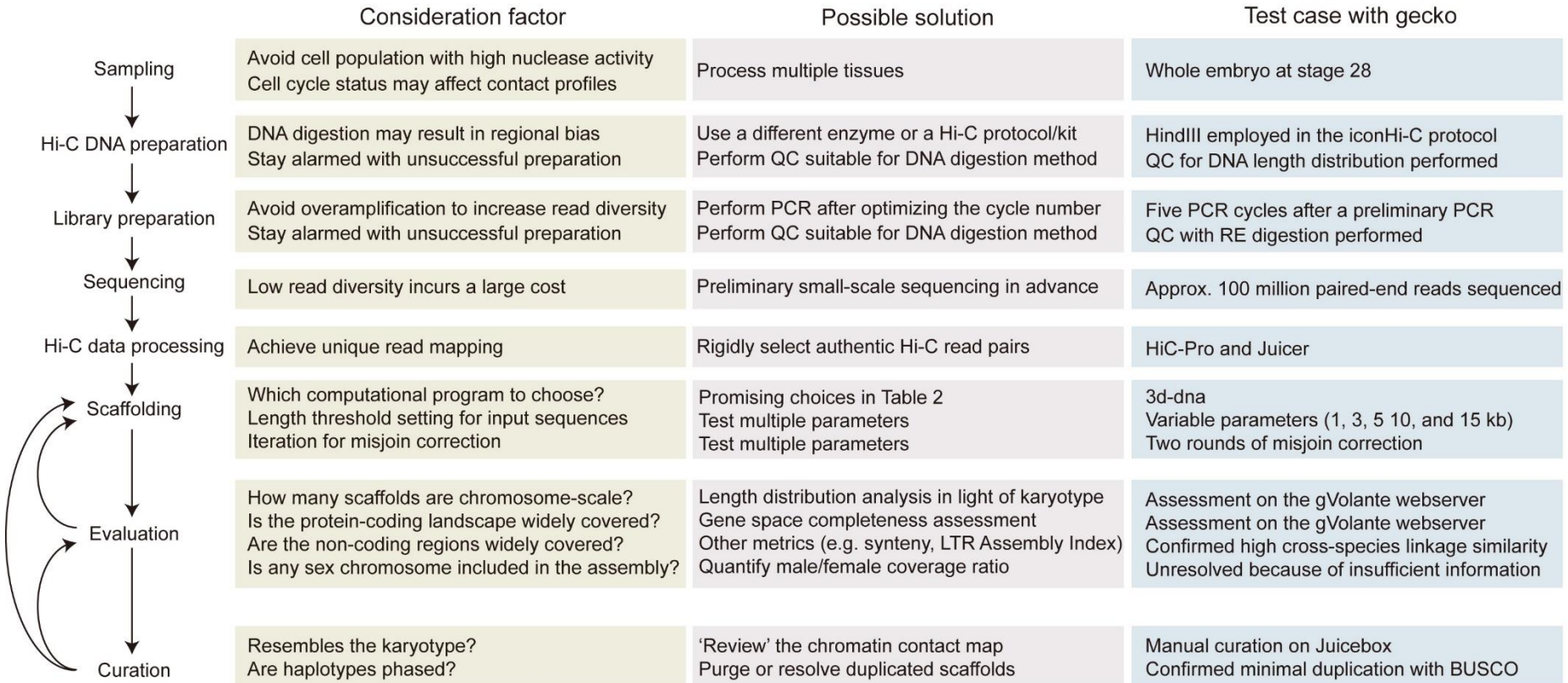


609

610 **FIGURE 1** Overview of the workflow used for Hi-C library preparation. Digestion of chromatin DNA is
611 performed with restriction enzymes or DNA nuclease. DNA ends are labeled by a biotinylated
612 nucleotide (left) or a biotinylated bridge adapter (right). Ligation is performed *in situ* in the nucleus,
613 and biotin-containing DNA is captured and used for the generation of sequencing libraries.

614

615

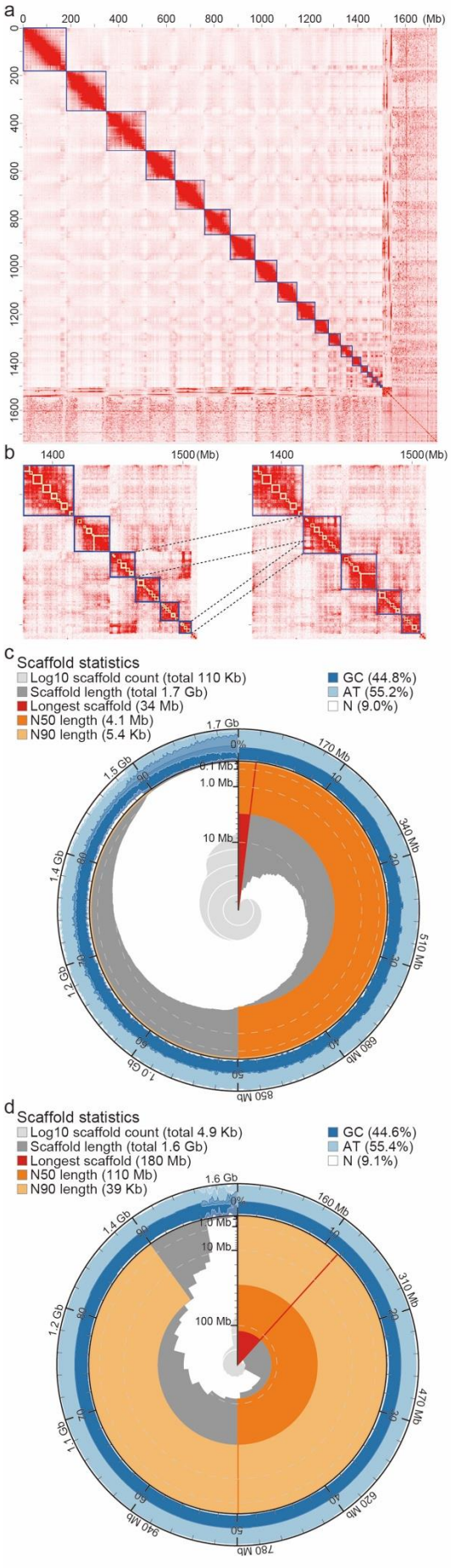


616

617 **FIGURE 2** Technical considerations in Hi-C scaffolding. The major points regarding technical considerations (left) are shown as hands-on

618 steps. Individual rows show possible solutions (middle) and our demonstration using the Madagascar ground gecko (right).

619


a
0
200
400
600
800
1000
1200
1400
1600 (Mb)
b
1400
1500 (Mb)
1400
1500 (Mb)
c
Scaffold statistics
Log10 scaffold count (total 110 Kb)
Scaffold length (total 1.7 Gb)
Longest scaffold (34 Mb)
N50 length (4.1 Mb)
N90 length (5.4 Kb)
GC (44.8%)
AT (55.2%)
N (9.0%)
d
Scaffold statistics
Log10 scaffold count (total 4.9 Kb)
Scaffold length (total 1.6 Gb)
Longest scaffold (180 Mb)
N50 length (110 Mb)
N90 length (39 Kb)
GC (44.6%)
AT (55.4%)
N (9.1%)

620

FIGURE 3 Genome assembly of the Madagascar ground gecko. (a) Hi-C contact map. The intensities of chromatin contacts quantified in Hi-C data (red) are indicated in the matrix of different genomic regions. The blue frames indicate the putative chromosomal units. (b) An example of manual curation. The white frames indicate the scaffold units before Hi-C scaffolding. In a part of the magnified view of the contact map shown in (a), the two input scaffolds indicated by the dashed lines on the left were judged to be derived from a single scaffold on the right. (c, d) Snail plots of the genome assembly before (c) and after (d) Hi-C scaffolding. These plots were produced using BlobTools2 (Challis, Richards, Rajan, Cochrane, & Blaxter, 2020). The light-gray spiral at the center shows the cumulative record count on a log scale, with the white lines indicating successive orders of digits. The distribution of scaffold lengths is shown in dark gray with the plot radius scaled to the longest scaffold of the assembly, and the ranges in orange and light orange indicate the N50 and N90 lengths, respectively. The blue area in the outer layer shows the distribution of GC, AT, and N percentages in the base composition of each scaffold unit.