

Human–desertification coupling relationship in the karst region

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Abstract: Forward and reverse successions of karst rocky desertification (KRD) occur simultaneously, and are linked to human activity, thus presenting a mutual feedback loop. Previous studies have focused on the unilateral human-driven mechanism of KRD or the impact of KRD on social-economic activities. These lack quantitative measurement and in-depth understanding of interactions involved. Therefore, this study builds and applies a novel model for measuring the coupling relationship and degree between KRD and social-economic activity in the Guizhou karst region of China. Results show an overall improvement but local deteriorations in KRD from 2000 to 2011; conversely, social-economic activity intensities increased during that time period. With their spatio-temporal variations, positive and negative human–desertification coupling relationships with an increased coupling degree are found. Different coupling relationship types between KRD and social-economic development, including urbanization, economic development and household income, are shown. KRD is found to be high positively coupled with specific human behavior intensities such as population movement, steep slope cultivation and ecological restoration. An inverted U-shaped curve is observed in the coupling index of KRD and urbanization within different development levels. Negative coupling at a low urbanization level indicates the limitation of the karst physical environment on social-economic development. Positive coupling with increasing urbanization implies a conflict between environment protection and social-economic development. A return to negative coupling in several counties with high urbanization levels indicates a win-win for ecology and economy. The effectiveness of the proposed coupling model is demonstrated, informing differentiated strategies for combating KRD and improving social-economic development.

Key words: desertification, human activity, interaction, coupling index, karst region

1 Introduction

Karst rocky desertification (KRD), the most serious ecological and environmental degradation problem in Southwestern China, threatens regional social-economic development (Jiang et al., 2014; Wang, Liu, et al., 2004). In turn, human behavior has significantly changed the KRD succession process (Yan & Cai, 2015). The interaction and positive feedback between KRD and human activity has historically caused a vicious circle (Wang, Lee, et al., 2004; Zhou et al.,

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33 2014). Residents in the karst region face the dual pressures of poverty and a fragile karst
34 environment, where KRD intensifies poverty, and poverty aggravates KRD (Huang et al., 2008;
35 Wang, Liu, et al., 2004).

36 The scarcity of flat land available in the karst region leads farmers to expand arable land to
37 steep slopes, which causes soil erosion and aggravates KRD. This worsens cultivated soil
38 properties and impoverished resident livelihoods in the karst region (Li et al., 2016). Meanwhile,
39 social-economic development can motivate the government and residents to pay attention to the
40 environment and provide more funds for controlling and combating KRD (Yang et al., 2014;
41 Zhang et al., 2016). Under karst special physical conditions, the regional forward and reverse
42 KRD succession processes are intertwined with complex social-economic activity (Mick, 2010;
43 Xu & Zhang, 2014b; Zhao et al., 2021). This requires an in-depth understanding of the complex
44 interactions between them, in order to achieve sustainable human-environment development in the
45 karst region.

46 Recent researches have quantified the effect of the multiple driving factors on KRD using
47 statistical methods. Human activities combined with special physical karst environments have
48 been proved to cause transformation of different KRD classes (Bai et al., 2013; Xu & Zhang,
49 2014a). Human disturbance or restoration activities can accelerate or reverse the KRD succession
50 process (Yan & Cai, 2015). Anthropogenic unreasonable development activities, including steep
51 slope cultivation, deforestation and reclamation, overgrazing and mining of mountains, tend to
52 accelerate KRD expansion (Wu et al., 2011; Yang et al., 2011). On the other hand, urbanization
53 enables rural population migration, which can alleviate the pressure of the rural population on the
54 environment and control KRD (Cai et al., 2014). The implementation of ecological protection and
55 restoration projects in Southwestern China, such as returning farmland to forests, natural forest
56 protection and closing hills to facilitate afforestation, has helped to combat KRD (Qi et al., 2013;
57 Tong et al., 2017; Zhang et al., 2015). With population growth and economic development, the
58 impact of humans on the land surface intensifies, and can alter the KRD succession process over a
59 short time period.

60 The mutual succession processes of different KRD classes have also significantly influenced
61 the production and living activities and conditions in the karst region (Li et al., 2019). KRD can
62 easily encroach on the limited flat-land resources in the karst region and cause prominent
63 competition among multiple land uses. The loss of flat arable lands and decrease of land
64 suitability reduce agricultural productivity and farmers' income (Li et al., 2016; Xu & Zhang,
65 2016). Meanwhile, KRD could easily cause geological disasters and lead to economic losses (Guo
66 et al., 2013; Huang et al., 2008). Conversely, a decrease in KRD can improve the regional
67 environment and benefit production and living conditions. For example, increasing the vegetation
68 cover and thus lowering bedrock exposure decreases the local temperature, favoring crop growth
69 and yield (Yang et al., 2019). In the environment restoration process, combating KRD and
70 alleviating poverty are closely linked with the increase of ecological and economic benefits (Li &

71 Xiong, 2020; Zhang et al., 2016). Implemented ecological poverty alleviation policies can relieve
72 the anthropogenic pressure on the environment to control KRD, and also promote regional social-
73 economic development (Deng & Jiang, 2011).

74 Thus, KRD succession interacts with social-economic development in the karst region;
75 however, whether they mainly restrict or promote each other is still uncertain (Zhao et al., 2021).
76 Moreover, whether their coupling relationships change in response to the urbanization process is
77 still unclear. Kuznets curves are used to hypothesize the complex relationship between
78 environmental pollution and economy growth (Dinda, 2004; Stern, 2004). Economic growth can
79 lead to environmental pollution in the early stages, but the trend reverses, and a high income
80 results in environmental improvement above some threshold of income. Whether the coupling
81 relationship between KRD and human activity is consistent with the Kuznets curve is
82 questionable. Understanding how they interact and feed back with each other would support
83 combating KRD and also enhance sustainable social-economic development (Li et al., 2016; Zhao
84 et al., 2021). To solve these problems, this study proposes a coupling model between KRD and
85 human activity. It quantifies the spatio-temporal coupling relationship between KRD and social-
86 economic activity, thus supporting investigation of the changes in the coupling relationship with
87 social-economic development process.

88 The objectives of this paper are to: (1) propose a coupling model to detect the coupling
89 relationship and coupling degree between KRD and social-economic activity and (2) explore their
90 interaction dynamically with the social-economic development process. As a case study, the novel
91 model is applied to the Guizhou Province in China, which has the most serious KRD in
92 Southwestern China. The coupling model gives an approximation for the coupling relationship
93 between KRD and human activity and explores how the relationship responds to different social-
94 economic development levels. This can help to enhance understanding of the interaction and
95 support policy design decision making.

96 **2 Materials and methods**

97 **2.1 Study region**

98 Guizhou Province is a typical karst landform area (Wang, Liu, et al., 2004; Zhang et al.,
99 2010), comprising considerable karst landform types with peaks and depressions. The exposed
100 karst area accounts for 61.92% of the total territorial area, and it is the province with the largest
101 area of KRD in China.¹ It is in the subtropical humid monsoon climate zone, with an average
102 annual temperature of ca. 15 °C, and an average annual precipitation of ca. 1200 mm. The terrain
103 is high in the northwest and low in the southeast, with an average elevation of ca. 1100 m. The
104 province consists of considerable mountains and hills but few flat areas and no plains.

105 As KRD is a special land-degradation type that occurs only in karst environments, the study
106 of KRD can be conducted only in counties/cities/districts that include a karst environment. In this

3 ¹ State Council of the People's Republic of China. 2008. A General Outline of Plan Program about Comprehensively Taming
4 Karst Rocky Desertification (2006–2015) (in Chinese).

107 paper, these administrative units are unified and termed a “karst county”. There are 78 karst
108 counties in Guizhou Province, which is taken as the study area in this paper (Figure 1). The study
109 area covers 154,027 km² with a karst area of 109,083 km². All of the karst counties in Guizhou
110 Province are listed in the scope of the National Rocky Desertification Comprehensive Control
111 Pilot County Project. Under the specific karst environment combined with intense human
112 disturbance and KRD improvement projects, different KRD classes mutually transform each other
113 in the study area (Bai et al., 2013). In addition, the regional societies and economies have different
114 development rates in different counties. Thus, the Guizhou karst region is an ideal research area
115 for studying the coupling relationship between KRD and social-economic activity.

116 **2.2 Data sources and pre-processing**

117 2.2.1 Data sources

118 To explore the spatio-temporal interaction between KRD and social-economic activity, data
119 for two different years (2000 and 2011) were collected in the Guizhou karst region. The KRD map
120 in 2000 was sourced from the Atlas of Comprehensive Prevention and Control of Karst Rocky
121 Desertification in Guizhou Province (2006-2050) (Chen et al., 2007). The KRD map in 2011 was
122 sourced from the second national rocky desertification monitoring result from the National
123 Forestry Bureau¹. Both of these were produced by the same KRD classification system with six
124 KRD classes according to the same bedrock rate classification standard. The six KRD classes
125 were: no KRD, potential KRD, slight KRD, moderate KRD, severe KRD and extremely severe
126 KRD. The two KRD maps were scanned, digitized and resampled with a spatial resolution of 100
127 m using ArcGIS 10.0. Then, the changes between the two years were examined and corrected
128 using visual interpretation based on Landsat 4-5 Thematic Mapper images. According to the
129 classification standard of the two KRD maps and previous studies (Bai et al., 2013; Chen et al.,
130 2007; Xu et al., 2015), the KRD classification standard in this study was based on bedrock
131 exposure and color characteristics in the false-color composite of remote-sensing images (Table
132 1).

133 The social-economic data of 78 Guizhou karst counties in 2000 and 2011 were collected
134 from the Statistical Yearbook of Guizhou Province (2001 and 2012), Social and Economic
135 Statistics Yearbook in Counties of China (2001 and 2012), and 5th and 6th national census in
136 Counties of China. Land-use data with a spatial resolution of 30 m were provided by the Resource
137 and Environment Science and Data Center.

138 2.2.2 Comprehensive characterization of karst rocky desertification

139 The data for social-economic activity indicators were collected at the county scale, but the
140 KRD distributions were mapped at the grid scale. To compare them and quantify their coupling
141 relationship, the KRD characteristics needed to be characterized at the county scale. Considering
142 the six KRD classes representing the different KRD succession stages and degrees of

5 ¹ National Forestry Bureau. 2012. The bulletin of national karst rocky desertification monitoring status (2nd) (in Chinese).

143 desertification (Xu & Zhang, 2014b), a class-weighted KRD area method was used to calculate a
144 comprehensive KRD index using the following equation:

$$CKI^j = \sum_{i=1}^6 \omega_i \times A_i^j \quad (1)$$

145
146 where CKI^j is the class-weighted KRD index for county j ; j ranges from 1 to 78 in this study (in
147 km^2); i means the six KRD classes, ranging from 1 to 6, representing no KRD, potential KRD,
148 slight KRD, moderate KRD, severe KRD and extremely severe KRD, respectively; ω_i means the
149 class weight of KRD class i , which is assigned as 0.10, 0.25, 0.40, 0.60, 0.80 and 0.95 for the six
150 KRD classes according to the average value of bedrock exposure rate (Table 1); and A_i^j is the
151 area of KRD class i at county j (in km^2). A higher CKI^j indicates a more serious KRD for this
152 county.

153 2.2.3 Indicator selection of social-economic activity

154 The indicators of social-economic activity selected in this study focused on the main aspects
155 that KRD influences the social-economic development and specific human behavior¹, which also,
156 in turn, affect the KRD succession process. Human pressure alleviation and ecological restoration
157 are the main human factors contributing to the KRD improvement (Wu et al., 2011). In addition,
158 regional poverty causing unreasonable activity, especially steep slope cultivation, is a key factor
159 in aggravating KRD (Li et al., 2016). Along with the KRD succession process, the above-
160 mentioned socio-economic factors will be affected by the feedback of KRD. The occurrence of
161 KRD exacerbates regional poverty and affects urban–rural population mobility, economic
162 development and the income of local farmers (Li & Xiong, 2020; Zhang et al., 2016; Zhou et al.,
163 2014). Therefore, this study selected six social-economic activity indicators covering the two
164 aspects of social-economic development and specific human behavior (Table 2). Indicators of
165 social-economic development were the urban population proportion, per capita gross domestic
166 product (GDP) and rural per capita net income. Indicators of specific human behavior were the
167 migrant population proportion, sloping cropland area of $>25^\circ$, and afforestation area. The values
168 of GDP and rural per capita net income in 2011 were transformed as the constant price based on
169 the price in 2000.

170 2.2.4 Data standardization

171 To quantify the coupling relationship between KRD and social-economic activity, all the data
172 were standardized in the range [0, 1] due to the significant difference in magnitude and dimension
173 for different indicators. The equation is as follows:

6 ¹ National Forestry Bureau. 2012. The bulletin of national karst rocky desertification monitoring status (2nd) (in Chinese).

$$x' = \frac{\ln(x)_{\max} - \ln(x)}{\ln(x)_{\max} - \ln(x)_{\min}} \quad (2)$$

174

175 where x' is the standard value of any indicator; and $\ln(x)$, $\ln(x)_{\max}$ and $\ln(x)_{\min}$ are the specific
 176 value, maximum and minimum of the logarithmic function of original data. The logarithmic
 177 function was used to deal with the obvious skewed distribution of data.

178 **2.3 Coupling model between karst rocky desertification and social-economic activity**

179 2.3.1 Revised coupling relationship index

180 The coupling relationship refers to the phenomenon that two or more objects influence each
 181 other through various interactions. The concept of coupling is used to describe the degree of
 182 interaction between systems or elements (Liu, Liu, et al., 2018; Sheng & ZHong, 2009). Its
 183 definition has been expanded and widely used in climate and environment change studies (Liu,
 184 Jiao, et al., 2018; Wang, Ma, et al., 2014; Xu et al., 2019). The coupling relationship of two
 185 objects can be calculated as follows:

$$C = \frac{\sqrt{K \times S}}{(K + S) / 2} \quad (3)$$

186

187 where C is the coupling relationship index, ranging 0 to 1; and K and S are the standard values of
 188 object 1 and object 2.

189 However, the coupling relationship index calculated using equation (3) is not sufficient for
 190 quantifying very large or small standardized values, resulting in an overestimation of the positive
 191 relationships (Sheng & ZHong, 2009). An absolute difference correction factor, measuring the
 192 difference between two objects, is proposed in this paper to revise the coupling relationship index
 193 in equation (3):

$$C_{ks} = \frac{\sqrt{K \times S}}{(K + S) / 2} \times (1 - |K - S|) \quad (4)$$

194

195 where C_{ks} is the revised coupling relationship index measuring KRD and social-economic activity;
 196 and K and S are the standard values of KRD and social-economic activity in this study,
 197 respectively. A value of C_{ks} greater than 0.5 means there is a positive coupling relationship
 198 between two objects. A value less than 0.5 means there is a negative coupling relationship
 199 between the two objects. When $C_{ks} > 0.5$, the closer it is to 1, the stronger the positive coupling
 200 relationship; when $C_{ks} < 0.5$, the closer it is to 0, the stronger the negative coupling relationship.
 201 According to C_{ks} , the coupling relationship of two objects can be divided into five types: high
 202 positive coupling (0.8–1.0), moderate positive coupling (0.6–0.8), weak coupling (0.4–0.6),

203 moderate negative coupling (0.6–0.8) and high negative coupling (0.8–1.0).

204 2.3.2 Coupling degree index

205 Having complex coupling relationships at different units, it calls for comprehensively
206 quantifying the coupling degree by combining them all to measure the coupling degree of KRD
207 and human activity. Based on equation (4), the coupling degree between the KRD and social-
208 economic activity can be calculated as follows:

$$I_{K \leftrightarrow S} = \sum_{c=1}^{n_c} |C_{ks} - 0.5| \quad (5)$$

210 where $I_{K \leftrightarrow S}$ is the coupling degree index between KRD and social-economic activity; and c is

211 the number of counties, $n_c = 78$ in this study. A higher $I_{K \leftrightarrow S}$ means a larger coupling degree.

212 2.3.3 Control variable method of coupling relationship responding to different social-economic 213 development levels

214 The spatio-temporal variations of KRD and social-economic activity were calculated using
215 remote-sensing image interpretation and statistical data collection. Then, their coupling
216 relationship was measured for the 78 karst counties of Guizhou Province. A control variable
217 method was used in this paper to explore the changes in the coupling relationship responding to
218 the different social-economic development levels. The social-economic development indicator
219 was used as the independent variable, and the coupling relationship index (C_{ks}) was used as the
220 dependent variable. Function fitting was performed to quantify how the dependent variable
221 changed with the change in the independent variable, i.e. how the change in C_{ks} responded to
222 different social-economic development levels.

223 3 Results

224 3.1 Spatio-temporal variation of karst rocky desertification and social-economic activity

225 The KRD maps in 2000 and 2011 present a relatively similar spatial distribution (Figure 2).
226 The KRD degree in the Guizhou karst region was greater in the western areas but lower in the
227 eastern areas. The southwestern and northwestern areas were affected by the most serious KRD,
228 where areas with severe and extremely severe KRD classes were widely distributed. The no KRD
229 class was the base of the study area in 2011, where its areas covered 37.33% of the total area and
230 52.71% of the total karst area. The area of potential KRD class ranked second, accounting for
231 23.05% of the total karst area. The moderate and light KRD classes ranked third and fourth,
232 covering 10.92% and 9.23% of the total karst area, respectively. The areas of severe and
233 extremely severe KRD classes were relatively small. Based on the class-weighted KRD area at
234 county scale (Figure 2c, d), the spatial difference of KRD characteristics were intuitively
235 displayed between 78 karst counties.

236 The KRD expansion trend did not fundamentally reverse in Guizhou karst region from 2000
237 to 2011, showing a global improvement in the entire region but considerable locally marked
238 deteriorations. The class-weighted KRD index of 48 counties decreased, but that of 30 counties
239 slightly increased. The areas of the no KRD class increased in this period, from 45269 km² in
240 2000 to 57498 km² in 2011 with an increase rate of 27.01%. Areas of potential, light and
241 extremely severe KRD classes decreased at rates of 20.91%, 45.31% and 5.88%, respectively.
242 Conversely, areas of moderate and severe KRD classes increased by 22.87% and 18.58%,
243 respectively. Complex mutual transformations occurred among different KRD classes. The
244 potential, light and moderate KRD classes are the intermediate stages in the forward and reverse
245 KRD succession processes in this period.

246 The socio-economic development level has significantly developed in the Guizhou karst
247 region (Table 3). The urbanization population proportion increased from 24.15% in 2000 to
248 31.74% in 2011, where over two million people moved from rural areas to urban areas. The GDP
249 and rural per capita net income significantly increased in this period, that nearly tripled and
250 doubled, respectively. Meanwhile, specific human behavior intensified during this period. The
251 migrant population proportion increased from 12.10% in 2000 to 34.38% in 2011, which resulted
252 in different changes of population pressure in different areas. Ecological restoration also
253 significantly expanded in the 78 counties, with the afforestation area increasing from 6.76
254 km²/county to 21.39 km²/county. With the implementation of the Grain for Green Project since
255 2002, the steep slope cultivation area decreased by 2.68% between 2000 and 2011, thus showing a
256 slight decrease.

257 The spatial distributions of each socio-economic indicator were mapped and analyzed at the
258 county scale. Two indicators for 2000 and 2011 are displayed as examples (Figure 3), showing the
259 different spatial distributions for the different years. The urbanization population proportion
260 presented a high value in the central and northern areas but a low value in western areas. It also
261 showed a larger increased rate in the central and northern areas from 2000 to 2011. Due to the
262 similar distribution of urban population proportion to GDP and rural per capita net income with
263 correlation coefficients of 0.86 and 0.84, both presented a similar spatial distribution to that of
264 urbanization level. Analyzing the spatial distribution of specific human behavior, the high
265 afforestation areas were mainly located in the northwest and southeast and low values were
266 scattered over the study area. Conversely, the spatial distributions of the afforestation areas were
267 relatively similar for the two years. The spatial distributions of other indicators were also mapped
268 and used in the coupling model.

269 **3.2 Coupling characteristics between karst rocky desertification and social-economic activity**

270 The present coupling model was used to analyze the interaction of KRD degree and social-
271 economic activity with their spatio-temporal differences at 78 counties. The C_{ks} between the class-
272 weighted KRD index and the social-economic activity indicator was calculated for the 78
273 Guizhou karst counties (Figures 4 and 5). The county proportions of five coupling relationships

274 were calculated (Table 4). The KRD degree and social-economic development level showed a
275 complex coupling relationship. Different values of C_{ks} between KRD and urbanization level were
276 obtained for different counties. Positive coupling relationships with $C_{ks} > 0.6$ were found in more
277 than half the counties in 2011, which were mainly located in the central and northern regions
278 (Figure 4a). Weak coupling relationships with $0.4 \leq C_{ks} \leq 0.6$ and negative coupling relationships
279 with $C_{ks} < 0.4$ were scattered throughout the study area. In particular, the counties with high
280 negative coupling relationships with $C_{ks} < 0.2$ were located in several social-economic developed
281 counties. In contrast, the number of positive coupling relationships decreased and that of weak
282 and negative coupling relationships increased in 2000 (Figure 4b). Counties with weak coupling
283 relationships covered over one-third of the total number. Values of C_{ks} in 2000 in the west and
284 north were smaller than those in 2011, where counties in the west showed a negative coupling
285 relationship and counties in the north presented a weak coupling or moderate positive coupling
286 relationship. Due to a distribution of urban population proportion similar to those of GDP and
287 rural per capita net income, the spatial distribution of C_{ks} for KRD and two other social-economic
288 development indicators showed similar distributions to that for KRD and urbanization level
289 (Figure 4c,d). There were more counties with negative coupling relationships for GDP and rural
290 per capita net income in 2000, located in the less-developed and serious KRD counties (Figure
291 4c,e).

292 Compared to C_{ks} for KRD and social-economic development (Figure 4), C_{ks} for specific
293 human behavior showed an obvious spatial difference (Figure 5). The spatial distributions of C_{ks}
294 for three indicators were similar with a positive coupling relationship within most counties. In
295 particular, the county proportions of high positive coupling relationships for migrant population
296 proportion, sloping cropland area of $>25^\circ$, and afforestation area were 70.51%, 71.79% and
297 60.26%, respectively. There was a limited number of counties with negative coupling
298 relationships. Their average C_{ks} values were 0.82, 0.84 and 0.82 in 2011, respectively. The C_{ks} of
299 three indicators in 2000 showed a similar distribution to those in 2011, with average C_{ks} values of
300 0.65, 0.82 and 0.82, respectively. Negative coupling relationships between KRD and migrant
301 population proportion were found in counties with relatively serious KRD, which implied that
302 serious KRD would limit population movement.

303 The coupling degree index $I_{K \leftrightarrow S}$ between KRD and social-economic activity in 2000 and
304 2011 was calculated and compared (Table 5). The $I_{K \leftrightarrow S}$ of KRD and social-economic
305 development levels increased by a rate of nearly 50%. The $I_{K \leftrightarrow S}$ values were ca. 0.20 in 2000
306 and ca. 0.30 in 2011, indicating an intensive interaction between KRD and social-economic
307 development during the 2000–2011 period. Weak coupling relationships in part of counties turned
308 into moderate or high positive coupling relationships from 2000 to 2011. In contrast, the coupling

309 degrees of KRD and specific human behavior stayed relatively stable. The $I_{K \leftrightarrow S}$ for the
310 population movement increased from 0.25 to 0.31, $I_{K \leftrightarrow S}$ for steep slope cultivation decreased
311 from 0.34 in 2000 to 0.30 in 2011, but $I_{K \leftrightarrow S}$ for ecological restoration measurement did not
312 change.

313 **3.3 Change of coupling relationships between karst rocky desertification and social-** 314 **economic activity within the urbanization process**

315 Compared to the high positive coupling relationships between KRD and specific human
316 behavior (Figure 5), the complex coupling relationship types were found between KRD and
317 social-economic levels (Figure 4). Thus, the change of C_{ks} responding to different social-economic
318 development levels was further explored. Owing to the similar distribution between the urban
319 population proportion and GDP or rural per capita net income, this paper analyzed only the
320 changes of C_{ks} for urbanization level (Figure 6). The figure shows that C_{ks} is an inverted U-shaped
321 curve of urbanization level, which was fitted as a quadratic function with a determination
322 coefficient of 0.64, at a significance level of 99%. The urbanization level was low in the study
323 area in 2000, but significantly increased from 2000 to 2011, presenting a shift from negative
324 coupling relationships in counties with low urbanization levels to counties with high urbanization
325 levels. Poor resource and environment endowment with severe KRD restricts urbanization and
326 social-economic development, resulting in a negative coupling relationship between them (red
327 square in Figure 6). For urbanization, the environment is sacrificed to obtain social-economic
328 development, resulting in a positive coupling relationship (yellow square in Figure 6). In counties
329 with high urbanization levels, social-economic development, instead of being a threat to the
330 environment, was the means to eventual environmental improvement and KRD restoration (blue
331 square in Figure 6).

332 The inverted U-shaped curve between C_{ks} and urbanization level indicates the different
333 coupling relationships in karst counties. Examining the KRD related to urbanization in different
334 counties (Figure 6), the top ten counties with the lowest urbanization level in 2000 had an average
335 value of standard class-weighted KRD index of 0.82, which was significantly higher than the
336 average value in the study area. Thus, their average value of C_{ks} was 0.30, indicating a negative
337 coupling relationship between KRD and social-economic development. These counties
338 experienced an increased urbanization proportion and a slight decreased KRD index from 2000 to
339 2011 in these counties, resulting in an increased C_{ks} and a turn to moderate or weak coupling
340 relationships. Meanwhile, the top ten counties with the highest urbanization level in 2011
341 presented a KRD improvement trend. These counties had an average value for the standard class-
342 weighted KRD index of 0.30, which was much lower than the average of 0.66 in the study area.
343 The C_{ks} in these counties decreased from 0.51 in 2000 to 0.29 in 2011, where positive coupling

344 relationships or weak coupling relationships became negative coupling relationships during this
345 period.

346 The social-economic development responding to KRD succession was also analyzed from
347 another angle. Among the top ten counties with KRD improvement, the average urbanization
348 population proportion was 52.18%, which was much higher than the average value of 31.74% in
349 all karst counties. Although the increased rate of urbanization in these cities was close to the
350 average value in the study area, the coupling relationship between KRD and urbanization changed
351 from positive coupling to weak or negative coupling. In contrast, there was a low urbanization
352 population proportion of 22.53% in the top ten counties with deteriorated KRD aggravation, much
353 lower than the average value of 31.74% in the study area. The contradiction between environment
354 protection and social-economic development at this stage meant a higher increased rate of
355 urbanization level of over 50% increased KRD. The positive coupling relationship with an
356 average C_{ks} of 0.71 implies that urbanization with unreasonable development activities could
357 easily damage the environment and aggravated KRD.

358 **4 Discussion**

359 Based on remote-sensing image interpretation and statistical data, a revised coupling model
360 has been built to measure the coupling relationship and coupling degree between KRD and social-

361 economic activity (C_{ks} and $I_{K \leftrightarrow S}$) in the Guizhou karst region. Previous unilateral studies have
362 focused on human activity driving KRD (Li & Xiong, 2020; Wu et al., 2011; Xu et al., 2013; Yan
363 & Cai, 2015) or KRD influencing social-economic activity (Shi et al., 2019; Zhang et al., 2016;
364 Zhao et al., 2021). However, this study has quantified the complex spatio-temporal interactions
365 using a novel coupling model. Different coupling relationship types and an intensified coupling
366 degree between KRD and social-economic development were found in the study area. The
367 positive and negative feedbacks between KRD and social-economic activity, which have not been
368 quantified in previous studies (Xu et al., 2011; Zhou et al., 2014), lead to their complex
369 interaction. This study has built the proposed coupling model and demonstrated that C_{ks} and

370 $I_{K \leftrightarrow S}$ are indicative indicators for detecting complex interactions between KRD and social-
371 economic activity (Figures 4 and 5).

372 An inverted U-shaped curve between C_{ks} and urbanization level was found in this study
373 (Figure 6). This implied that the coupling relationship between KRD and social-economic
374 development with different karst counties should be diagnosed according to their different
375 urbanization levels. The shift process of “negative coupling→positive coupling→negative
376 coupling” was hypothesized as the complex relationship between KRD and urbanization. The turn
377 from positive coupling to negative coupling was consistent with the Environmental Kuznets
378 Curve (Stern, 2004), but the negative coupling in less-developed areas indicated the limitation of
379 the specific karst fragile environment (Wang, Liu, et al., 2004). A negative but not positive

380 coupling relationship was found in counties with low urbanization levels (red square in Figure 6).
381 Within the fragile karst physical environment, resources and environmental capacity are a
382 prerequisite for human living and production (Jiang et al., 2014; Xu & Zhang, 2014b). In counties
383 with serious KRD, the ecological environment is severely damaged and has limited available land
384 resources conducive to population aggregation and urbanization development. Reclaiming steep
385 slopes can cause serious soil erosion and thus an increase in KRD, giving a vicious circle of
386 poverty and KRD (Wang, Lee, et al., 2004; Zhou et al., 2014).

387 The positive coupling relationship between KRD and social-economic development indicates
388 that the society and economy develop at the expense of the environment, and KRD aggravated
389 with unreasonable development activities within the urbanization process (yellow square in Figure
390 6) (Liu et al., 2014). With continuous urbanization, the negative coupling relationship between
391 KRD and social-economic development and a win-win for environment protection and social-
392 economic development can be achieved in several developed counties of the study area (blue
393 square in Figure 6). The developed counties with high urbanization levels were located mainly in
394 areas with high proportions of flat-land resources, which benefit soil conservation and aid KRD
395 control. Urbanization has guided the rural population to agglomerate in cities and towns, relieving
396 the pressure on the environment (Zhang et al., 2016). With social-economic development,
397 efficient production and sufficient ecological restoration funds can enhance KRD control (Zhang
398 et al., 2016; Zhao et al., 2021). The inflection point from positive coupling to negative coupling
399 emerged in an urbanization level of about 50%–60% in this study. Rather than being a threat to
400 the environment, social-economic growth could be the means to eventual environmental
401 improvement (Stern, 2004).

402 Three indicators of specific human behavior had a positive coupling relationship with KRD
403 (Figure 5). Without soil and water conservation measures, steep slope cultivation can easily cause
404 soil erosion and further aggravated KRD (Wang, Zou, et al., 2014), where a higher area
405 proportion of sloping cropland area of $>25^\circ$ along with a more serious KRD. It is generally
406 recognized that the population migration relieving the pressure to the environment and the
407 ecological restoration projects benefiting for the KRD control (Chen et al., 2012; Qi et al., 2013);
408 however, a positive coupling relationship was found in this study. This was attributed to the
409 influence of KRD in different counties on the human behavior choice. On the one hand, KRD
410 improvement and a better ecological environment are conducive to attracting populations, and the
411 migrant population proportion is also low. In turn, the KRD aggravation will destroy people's
412 living environment and stimulate population migration (Cai et al., 2014). On the other hand, the
413 difference in KRD degrees between counties is the strategy design basis of ecological restoration
414 projects. Thus, a more serious KRD would lead to a larger afforestation area, showing a positive
415 coupling relationship between them (Xiao et al., 2020). However, the feedback of these two
416 behaviors on the KRD is combined with the positive and negative effects of other considerable
417 human activities (Yan & Cai, 2015). For example, among the 39 counties in the top 50% of the

418 afforestation area, most of them were located in the west region with severe KRD (Figure 3).
419 However, there were 15 counties with slightly deteriorated KRD from 2000 and 2011, where the
420 average C_{ks} for KRD and urbanization at these counties reached 0.77. Thus, even if the ecological
421 restoration projects were implemented, it is difficult to control and decrease KRD without control
422 of unreasonable human activity along with the urbanization process.

423 The uncertainty improvements and model applications can be tested in future work. The
424 coupling relationships were classified as five types with an equal classification interval of [0, 1] in
425 this study. Whether the classification threshold is reasonable and indicative needs to be validated
426 using more case studies, especially for the highly positive and negative coupling relationships.

427 The statistical significance of C_{ks} and $I_{K \leftrightarrow S}$ in this proposed model can be further tested. With the
428 complex coupling relationship between KRD and social-economic development measured in this
429 study, it is suggested that these be incorporated into model simulations for KRD succession and
430 social-economic development (Abdollahian et al., 2015; Helldén, 2008; Xu & Zhang, 2018). In
431 particular, the inverted U-shaped curve for C_{ks} within different urbanization levels need to be
432 considered for improving the model accuracy.

433 The coupling indices C_{ks} and $I_{K \leftrightarrow S}$ calculated in the proposed model can quantify the
434 different coupling characteristics, which provide the information necessary to specify strategies
435 for combating KRD and increasing social-economic development (Chen et al., 2012; Wang et al.,
436 2019). The results demonstrated an increased number of counties with a positive coupling
437 relationship between KRD and social-economic development and a smaller number of counties
438 with a negative coupling relationship along with a highly developed level (Figures 4 and 6). This
439 implies the importance and necessity of reversing the positive coupling relationship and pursuing a
440 win-win for environment improvement and social-economic development (Zhang et al., 2016).
441 Areas with positive coupling require more attention, especially the areas deviating from the
442 inverted U-shaped curve. These counties were mainly located in the central region, presenting a
443 relatively high urbanization level but also a high positive coupling relationship. Unreasonable
444 human activity still occurred in these areas and KRD was still serious, and so the human
445 disturbance on the environment needs to be controlled (Zhao & Hou, 2019). Within the less-
446 developed areas with negative coupling or weak coupling relationships in the northeastern and
447 western regions, looking for a green development path that does not sacrifice the environment to
448 achieve future social-economic development is necessary. In addition, larger afforestation areas
449 were positively coupled with KRD, but failed to control KRD in several counties. It is suggested
450 that KRD improvement projects restore areas affected by serious KRD but also pay more attention
451 to poverty alleviation in the region. Thus, increasing household income and promoting industrial
452 development can realize the negative coupling relationship between KRD and social-economic
453 development and break the vicious circle of poverty and KRD (Wang, Lee, et al., 2004; Zhao et

454 al., 2021).

455 **5 Conclusion**

456 KRD interacts with human activity with positive and negative feedback, calling for an in-
457 depth understanding of their complex coupling relationships. This study has proposed a novel
458 coupling model to measure the coupling relationship and coupling degree between KRD and

459 social-economic activity using C_{ks} and $I_{K \leftrightarrow S}$. A class-weighted KRD index representing the KRD
460 degree and six indicators of social-economic development and specific human behavior
461 representing the social-economic activity were calculated using the proposed coupling model.
462 Results showed an overall decrease in KRD improvement but considerable local KRD aggrvations
463 from 2000 to 2011, with 48 counties having a decreased class-weighted KRD index and 30
464 counties having a slightly increased index. Except for steep slope cultivation, other indicators of
465 social-economic activity have increased. According to the calculated C_{ks} , a complex coupling
466 relationship between KRD and social-economic development level was found with different
467 numeral intervals of C_{ks} . In contrast, a positive coupling relationship between KRD and specific

468 human behavior intensity with an average C_{ks} of ca. 0.8 in the 78 karst counties. The $I_{K \leftrightarrow S}$
469 indicated that the coupling degree between KRD and social-economic development level
470 increased by nearly 50%. In contrast, the coupling degree of KRD and specific human behavior
471 stayed relatively stable. The C_{ks} between KRD and urbanization was found to be an inverted U-
472 shaped curve of development level. There was a shift process of “negative coupling→positive
473 coupling→negative coupling” between KRD and urbanization. Negative coupling relationships in
474 counties with serious KRD and low urbanization levels indicate the limitation of the karst
475 physical environment. Turning to positive coupling relationships occurred with the urbanization
476 process in most counties, implying the conflict between environment protection and social-
477 economic development. A return to negative coupling relationships occurred in several counties
478 with a high urbanization level and light KRD degree. Specified strategies to combat KRD and
479 increase social-economic have been suggested according to the calculated coupling indices C_{ks}

480 and $I_{K \leftrightarrow S}$. An increased number of positive coupling relationships between KRD and social-
481 economic development calls for further attention to the study area. The results have demonstrated
482 the effectiveness of the proposed model for measuring the complex coupling relationship between
483 KRD and human activity, supporting a way for exploring win-win for decreasing KRD and
484 improving socio-economic development.

485

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631 **Tables**632 **Table 1 Karst rocky desertification classification standard**

Classification of KRD ¹ type	Percent of bedrock exposure (%)	Landsat 4-5 TM image with false color composite ²	Assigned KRD class-weight value
No KRD	< 20	Scarlet	0.10
Potential KRD	20-30	Shocking pink	0.15
Light KRD	31-50	Pink	0.40
Moderate KRD	51-70	Green in red	0.60
Severe KRD	71-90	Gray in red	0.80
Extremely severe KRD	> 90	White, grey	0.95

633 Note: ¹ KRD means karst rocky desertification;634 ² Landsat 4-5 TM image bands 4, 3, 2 were assigned as red, green, and blue channels

635

Table 2 Indicator system of social-economic activities in Guizhou karst region

Category		Indicator	Data processing
Social-economic development	Urbanization level	Urban population proportion	Urban population / Total population
	Economic development level	Per capita gross domestic product	Obtained from the statistical yearbook
	Household income level	Rural per capita net income	Obtained from the statistical yearbook
Specific human behavior	Population movement	Migrant population proportion	[Immigrant population - (resident population - registered population)]/ Total population
	Steep slope cultivation	Sloping cropland area of >25°	Area of sloping croplands of > 25°
	Ecological restoration measurement	Afforestation area	Area of increased forests except for Grain for Green forests in the last decade of base year

Table 3 Average statistics of social-economic activity indicator in Guizhou karst region

Category		Indicator		2000	2011	Change rate (%)
Social-economic development	Urbanization level	Urban population proportion (%)		24.15	31.74	31.43
	Economic development level	Per capita gross domestic product (Yuan)		3151.96	9758.63	209.61
	Household income level	Rural per capita net income (Yuan)		1521.29	3022.59	98.69
Specific human behavior	Population movement	Migrant population proportion (%)		12.10	34.38	184.13
	Steep slope cultivation	Sloping cropland area of >25° (km ² /county)		53.37	51.94	-2.68
	Ecological restoration measurement	Afforestation area (km ² /county)		6.76	21.39	212.42

638 Table 4 Number proportion of coupling relationships between karst rocky desertification and
639 social-economic activities in Guizhou karst region

				Coupling relationship with karst rocky desertification				
Category	Indicator	Year	High	Moderate	Weak	Moderate	High	
			negative	negative	coupling	positive	positive	
			coupling	coupling		coupling	coupling	
Social-economic development	Urbanization level	Urban population	2000	8.97	11.54	37.19	20.51	21.79
		proportion	2011	7.69	11.54	17.95	29.49	33.33
	Economic development level	Per capita gross domestic product	2000	10.26	20.51	35.9	19.23	14.10
			2011	7.69	7.69	14.10	32.05	38.47
	Household income level	Rural per capita net income	2000	8.97	17.95	39.74	23.08	10.26
		2011	5.13	7.69	28.21	21.79	37.18	
Specific human behavior	Population movement	Migrant population	2000	3.85	7.69	24.36	37.18	26.92
		proportion	2011	1.28	1.28	7.69	19.24	70.51
	Steep slope	Sloping cropland	2000	1.28	1.28	7.69	24.37	65.38
	cultivation	area of >25°	2011	1.28	1.28	6.41	19.24	71.79
	Ecological restoration	Afforestation area	2000	1.28	1.28	5.13	24.36	67.95
	measurement		2011	2.56	1.28	12.82	23.08	60.26

640 Table 5 Coupling relationship intensity of karst rocky desertification and social-economic
641 activities in Guizhou karst region

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643
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Indicator		Coupling relationship intensity with karst rocky desertification		
		2000	2011	Change
Social-economic development	Urban population proportion	0.21	0.28	0.08
	Per capita gross domestic product	0.20	0.30	0.10
	Rural per capita net income	0.19	0.31	0.12
Specific human behavior	Migrant population proportion	0.25	0.31	0.06
	Sloping cropland area of >25°	0.34	0.30	-0.04
	Afforestation area	0.34	0.34	0.00

645 **Figure captions**

646 Figure 1 Location of Guizhou karst region

647 Figure 2 Karst rocky desertification map in Guizhou karst region at a) grid scale in 2000, b) grid
648 sale in 2011, c) county scale in 2000, d) county sale in 2011

649 Figure 3 Examples of spatial distribution of social-economic activities in Guizhou karst region. a)
650 urban population proportion in 2000, b) urban population proportion in 2011, c) afforestation area
651 in 2000, d) afforestation area in 2011

652 Figure 4 Spatial distribution of coupling relationships between karst rocky desertification (KRD)
653 and social-economic development. a) urbanization in 2000, b) urbanization in 2011, c) economic
654 development in 2000, d) economic development in 2011, e) household income in 2000, f)
655 household income in 2011

656 Figure 5 Spatial distribution of coupling relationships between karst rocky desertification (KRD)
657 and specific human behavior. a) population movement in 2000, b) population movement in 2011,
658 c) steep slope cultivation in 2000, d) steep slope cultivation in 2011, e) ecological restoration
659 measurement in 2000, f) ecological restoration measurement in 2011

660 Figure 6 Changes of coupling relationships between karst rocky desertification and urbanization
661 within different urbanization levels

662