

Are natural fractures pervasive?

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Abstract

Fractures and their connectivity are essential for fluid flow in low permeability formations. Abundant outcrops can only provide two-dimensional (2D) information, but subsurface fractures are three-dimensional (3D). The percolation status of 3D fracture networks and their 2D cross-section maps are rarely investigated simultaneously. In this work, we construct 3D fracture networks with their geometries characterized by different stochastic distributions. Then, we take cross-section maps to mimic real outcrops and label clusters to check the percolation status of 3D fracture networks and their 2D cross-section maps. The properties, reflecting the connectivity of two essential phases, are summarized and analyzed. We find that clustering effects impact local intersections significantly but have negligible impacts on fracture intensities of 3D fracture networks. The number of intersections per fracture is not a proper percolation parameter for complex 2D and 3D fracture networks. Fracture intensities are

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scale-dependent and usually decrease with increasing scales. The real fracture networks in the subsurface should be geometrically well-connected and pervasive if their outcrop maps are well connected. In particular, the fracture intensity of the real fracture network can be several times (at least 3.6 times) larger than the intensity at percolation. However, if outcrop maps are not well-connected, but their intensities are large enough (at least 0.43 times as large as the intensity at percolation), corresponding 3D fracture networks can also form a spanning cluster and show good connectivity with a high possibility.

1. Introduction

Fractures play an essential role for any fluid flow in subsurface formations with low permeability, because fractures usually have much higher permeability than the matrix and serve as a high-permeable pathway to any fluid flow. Fractures are typically connected and form complex fracture networks. The connectivity of such a fracture network is crucial in flow characterizations [1].

However, little is known about configurations of real fracture networks in the subsurface. Commonly available approaches, such as borehole images or outcrop observations [2, 3, 4, 5], can only provide one-dimensional or two-dimensional information. While real fracture networks in the subsurface are always three-dimensional. 3D seismic techniques [6] are available for large faults, but for fractures with a size of meters or tens of meters, they are sub-seismic patterns and can not be observed by seismic data. There are also several crosswell imaging techniques, which can resolve higher resolutions of subsurface structures,

15 such as crosswell seismic tomography[7] and crosswell electromagnetic tomog-
16 raphy [8]. However, the well spacing limits the range of available information.
17 Therefore, it is almost impossible to have the detailed mapping of subsurface
18 fracture networks and evaluate their connectivity in 3D with current technolo-
19 gies.

20 Properties of 3D fracture networks in the subsurface cannot be measured
21 directly in detail. For example, fracture intensity, an essential parameter for
22 connectivity of fracture networks, has been investigated extensively by corre-
23 lating 3D intensities with lower-dimensional intensities mostly through stereo-
24 logical interpretations [9, 10, 11]. The 1D intensity measure P_{10} and the 2D
25 measure P_{21} are often linearly correlated with the 3D intensity measure P_{32}
26 under strong assumptions about the distributions of fracture lengths, positions
27 and orientations. Here, P_{ij} notation conforms to the definition of [12], where
28 i refers to the dimension of the sample, and j refers to the dimension of the
29 measure. For example, P_{21} is the length of fracture traces per unit area and P_{32}
30 is the area of fractures per unit volume. However, Zhu et al. [13] investigated
31 the fracture intensities in different dimensions. They found that the correlation
32 between 1D and 3D intensity parameters is weak. 2D fracture intensity param-
33 eters, such as P_{21} , have good correlations with 3D intensity parameters, such as
34 P_{32} , if samples are correctly collected, and the number of independent samples
35 is larger than 20. However, these conditions are almost inaccessible in reality.
36 Furthermore, fracture intensity is an essential factor that impacts connectiv-
37 ity but cannot completely characterize it. The fracture orientations, clustering

38 effects, and length distributions are also crucial for the system connectivity [14].

39 Percolation theory [15] is used to study the connectivity of anything in gen-
40 eral. The theory describes the percolation threshold, when a spanning clus-
41 ter is formed in an infinitely large system, and scaling properties close to the
42 percolation threshold. In particular, the connectivity of fracture networks is
43 also heavily investigated with percolation theory considering finite-size effects
44 [16, 17, 18, 19, 20, 21, 22]. However, the percolation status of 2D and 3D
45 fracture networks are usually investigated as separated issues [23, 24] mostly
46 with stochastic discrete fracture networks. The relationship between the per-
47 colation status of 2D and 3D fracture networks is rarely investigated. In this
48 research, the percolation status particularly refers to the formation of a span-
49 ning cluster instead of the exact percolation parameter and its threshold. We
50 use the formation of a spanning cluster to represent good global connectivity.
51 From our previous research[17], we found that commonly used quantities (total
52 excluded area, total self-determined area and the number of intersections per
53 fracture) are not appropriate percolation parameters for complex fracture net-
54 works, where fracture lengths follow a power-law distribution and positions of
55 fracture centers follow a fractal spatial density distribution. Therefore, finding
56 a proper percolation parameter and its threshold is still an open issue, which
57 should depend on specific configurations of fracture networks and be valid in an
58 infinitely large system.

59 Outcrop maps provide abundant resources to observe natural fractures ex-
60 posed on the surface [25, 26]. If the rock types and structural settings of the

61 surface outcrops and subsurface formations are similar, outcrop analogues can
 62 be regarded as relevant to the subsurface formation. From a collection of 80
 63 outcrops in our previous research [27], we find that most natural outcrop maps
 64 show good geometrical connectivity, and 63 out of 80 outcrop maps have formed
 65 a spanning cluster that connects the outcrop map's boundaries. For small-scale
 66 (<100 m) outcrop maps, such proportion is much higher. One example of out-
 67 crop maps at Achnashellach Culmination field area [28] is shown in Fig. 1, where
 68 the largest cluster is marked in red, and the other small clusters are marked in
 69 green. Outcrops are processed with an automatic fracture detection algorithm
 70 [29, 27], where raw outcrops are converted to polylines for calculations.

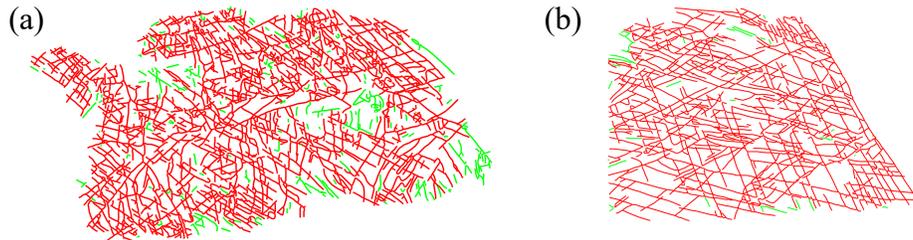


Figure 1: Fracture outcrop map at Achnashellach Culmination field area (Fig. 7B and 7D in [28]). Red line segments are the largest spanning cluster; Green line segments are local clusters.

71 In this work, we assume that an outcrop map is relevant to the subsurface
 72 structures. However, an outcrop map can only be considered as a cross-section
 73 map of the actual 3D fracture network. From well-connected 2D outcrop maps,
 74 can we infer good connectivity of corresponding 3D fracture networks? Do 3D
 75 fracture networks also form the spanning cluster? Can 3D fractures be perva-
 76 sive? In this research, the word "pervasive" means that the fracture intensity is

77 much higher than the intensity at percolation. This information is essential to
78 evaluate the geometrical connectivity of fracture networks in the subsurface but
79 is rarely investigated. The geometrical connectivity is a premise for fluid flow
80 in low permeability formations, because fluid flow happens in connected instead
81 of isolated fractures. This work aims to explore the percolation status of 2D
82 cross-section maps and their corresponding 3D fracture networks.

83 In this research, we adopt the stochastic discrete fracture network method
84 [30, 31, 32, 33], and generate 3D fracture networks with their geometries, such
85 as fracture sizes, orientations, positions of fracture centers, following different
86 stochastic distributions. We also change the system size and evaluate finite-size
87 effects. We take cross-section maps to mimic real outcrops. Then, label clus-
88 ters and check the percolation status of the 3D fracture network and their 2D
89 cross-section maps. The properties, reflecting the connectivity of two important
90 phases, are summarized and analyzed. The properties include P_{30} , P_{32} and I_{3D}
91 for 3D fracture networks, and P_{20} , P_{21} and I_{2D} for 2D cross-section maps. I_{3D}
92 and I_{2D} are the number of intersections per fracture for a 3D fracture network
93 and 2D cross-section map, respectively. Although none of those parameters can
94 characterize the connectivity of a fracture network completely, they are conve-
95 nient to quantify and usually adopted as the termination criterion in stochastic
96 discrete fracture network modellings, especially for fracture intensities. The
97 critical phases considered include: i, when the spanning cluster is formed in the
98 3D fracture network, indicating good connectivity of the 3D fracture network;
99 ii, when a spanning cluster is formed in the 2D cross-section map, indicating

100 good connectivity in 2D cross-section maps. The simulation in this research is
101 conducted with in-house software, HATCHFRAC, efficient software to generate
102 discrete fracture networks in 2D and 3D [34, 13].

103 The remainder of this paper is organized as follows: Section. 2 introduces
104 the techniques to construct a 3D fracture network and take cross-section maps.
105 We also evaluate the impact of fracture geometries (lengths and center posi-
106 tions) and system sizes on the connectivity. The method of sensitivity analysis
107 is introduced in Section. 2. Section. 3 presents results on the percolation status
108 of 3D fracture networks and their cross-section maps. The properties at two
109 critical phases are analyzed in detail. Section. 4 discusses the percolation sta-
110 tus in realistic fracture networks and real outcrops. Important conclusions are
111 summarized in Section. 5.

112 **2. Materials and Methods**

113 This section introduces procedures to generate 3D fracture networks, take 2D
114 cross-section samples and check clusters in both 2D and 3D fracture networks.

115 *2.1. Generation of 3D fracture networks and cluster-check*

116 Subsurface fracture networks are complex, and it is almost impossible to have
117 an accurate mapping of them. Discrete fracture network modelling is a practical
118 alternative to represent complex fracture networks with simpler geometries. In
119 this research, we adopt random convex polygons with four vertices to represent
120 fractures in 3D. The random polygon reserves certain degrees of irregularity
121 compared with a disk or ellipse shape. It is also straightforward to convert

122 convex polygons to ellipse shapes or other polygon shapes by adding a few
123 more vertices and minor adjustments to the coordinates. Furthermore, the
124 intersection analysis of convex polygons is much more convenient than that of
125 ellipses. Jing and Stephansson [35] figured out that the significance of fracture
126 shapes decreases with an increase in the fracture population size.

127 Three key geometrical parameters are adopted to describe a fracture net-
128 work, including fracture lengths (sizes), orientations, positions of fracture cen-
129 ters. Different stochastic distributions are summarized mainly from outcrop or
130 experiment observations to characterize those geometrical parameters.

131 A power-law distribution [36, 23] is dominantly used to describe fracture
132 length probably due to the self-similarity of natural fractures [37].

$$n(l) = \alpha l^{-a}, \quad (1)$$

133 where $n(l)dl$ is the number of fractures with lengths ranging from $[l, l + dl]$, α is
134 the proportionality coefficient and a is the power-law exponent. The power-law
135 exponent has to be larger than one, as we derived in our previous research, and
136 usually ranges between 2 and 3 for most cases [23, 17]. The exponent controls
137 the probability of generating long fractures, and the probability of generating
138 very long fractures decreases sharply as a increases. 3D fractures are represented
139 with planar polygons. Therefore fracture lengths are inappropriate to describe
140 their sizes. We first generate convex polygons with the side length randomly
141 varying between 0 and 1, then perform the scaling operation on the polygon
142 with a scale factor of l to change their sizes.

143 The fracture orientations are highly stress-dependent, depending on the cur-

144 rent stress field and the history of stress changes. Over the long geologic history,
145 subsurface rocks may have many different sets of fractures because of stress
146 variations [38]. A von Mises–Fisher distribution [39] is commonly adopted to
147 describe fracture orientations. From outcrop observations, the concentration
148 parameter κ in the distribution is usually small and make the distribution close
149 to a uniform distribution. Therefore, fracture orientations follow a uniform
150 distribution between 0 and π for strikes and dips in this research.

151 The positions of fracture centers are described by a uniform or fractal spatial
152 density distribution[23, 40]. The former one is simple for implementation but not
153 realistic since many outcrop maps show clustered natural fractures [40, 17]. The
154 fractal spatial density distribution introduces clustering effects, characterized by
155 a fractal dimension, F_D . For a three-dimensional space, the fractal dimension
156 varies between 2.0 and 3.0, while a smaller fractal dimension refers to more
157 server clustering effects.

158 After determining the stochastic distributions, we can generate each fracture
159 and form complex networks by adding fractures in succession. To check the
160 percolation status of fracture networks, we need to find fracture clusters and
161 label them. In this research, we extend a fast Monte Carlo algorithm by Newman
162 and Ziff [41] to check clusters instead of the commonly used Hoshen-Kopelman
163 algorithm [42]. The efficiency is significantly enhanced and make it practical to
164 check clusters for large systems and thousands of realizations. The termination
165 of generating new fractures can be any user-defined criterion, such as a given
166 fracture intensity or the formation of a spanning cluster.

167 Fig. 2 shows examples of 3D fracture networks with their geometries char-
 168 acterized by stochastic distributions listed above. The termination criterion is
 169 forming a spanning cluster (red cluster), which connects six faces of the 3D
 170 domain.

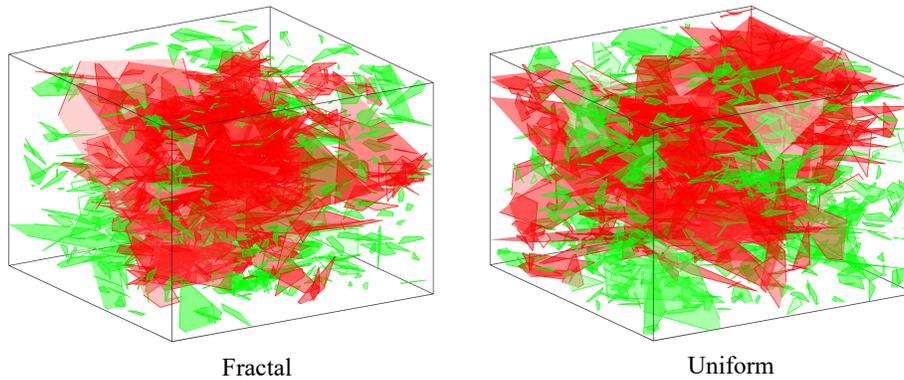


Figure 2: 3D fracture networks. The red fractures form the connected spanning cluster. The green fractures correspond to all other locally connected clusters. In both networks, fracture orientations follow a uniform distribution, lengths obey a power-law distribution, and the fracture apertures are constant. The left network has fracture center positions that follow a fractal spatial density distribution with the fractal dimension of 2.5, and in the right network, the fracture centers follow a uniform distribution.

171 *2.2. Cross-section of 3D fracture networks and cluster-check*

172 Outcrop maps are spread worldwide and provide abundant resources to study
 173 natural fracture networks. However, outcrops are only 2D cross-section maps
 174 of an entire 3D fracture network, where the ground surface serves as the cross-
 175 sectional plane. How to link the connectivity of 2D outcrops and their corre-
 176 sponding 3D structures remains an open issue because the actual 3D structures
 177 are almost inaccessible with current technologies. With the 3D fracture networks

178 generated in the previous section, we can investigate the problem reversely. By
179 taking 2D cross-section maps from the 3D fracture network, we mimic the 2D
180 outcrop maps and investigate the percolation status and its relationship between
181 2D outcrops and their 3D structures.

182 The method to take the cross-section map is trivial. First, define a cross-
183 sectional plane based on a given orientation and position of the plane. Second,
184 find all the intersection lines between the cross-sectional plane and all fractures
185 in the 3D fracture network. The cross-section map of the 3D fracture network is
186 a 2D fracture network. The same cluster-check algorithm can be implemented
187 to check clusters in cross-section maps. Fig. 3 and Fig. 4 provide two examples
188 of a cross-section map taken from a 3D fracture network. The percolation status
189 of the 2D cross-section maps in the two examples are different. In Fig. 3, the 3D
190 fracture network has formed a spanning cluster, suggesting good connectivity,
191 but no spanning cluster is formed in the cross-section map. In Fig. 4, both 3D
192 fracture network and its cross-section map have a spanning cluster formed. The
193 spanning cluster for both 3D fracture networks and 2D cross-section maps are
194 shown in red. The fracture intensity in Fig. 4 is almost three times larger than
195 the intensity in Fig. 3. Therefore, good connectivity in 3D structures cannot
196 ensure good connectivity in 2D outcrop maps. In reverse, good connectivity in
197 outcrop maps may suggest an over-percolated status of the corresponding 3D
198 fracture network. An over-percolated status means that fractures are pervasive,
199 where the intensity is much higher than the intensity at percolation.

200 It is worthwhile to mention that fracture intensities of cross-section maps

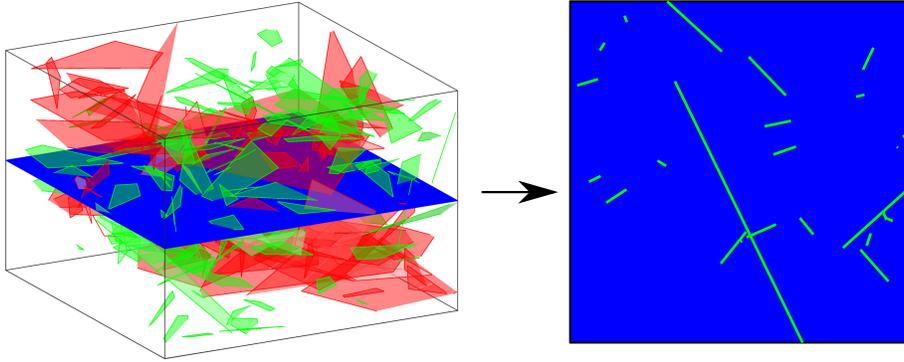


Figure 3: A 3D fracture network and its cross-section map at the middle position. The 3D fracture network has fracture lengths following a power-law distribution with $a = 3$, positions of fracture centers following a uniform distribution and orientations following a uniform distribution. In the 3D fracture network, red fractures form a spanning cluster that connects six faces of the domain. Green fractures are local clusters. In the 2D cross-section map, on spanning cluster is formed, and green fractures are local clusters.

201 vary at different positions. Fig. 5 shows fracture intensities, P_{20} and P_{21} , at
 202 different positions in three directions for a typical 3D fracture network. The
 203 fracture intensities near boundaries are usually small. However, the spatial
 204 variations inside the domain are uncertain, depending on the geometrical prop-
 205 erties of fracture networks. It is unpractical and unnecessary to have many 2D
 206 cross-section maps with limited computational resources. Therefore, we choose
 207 the cross-section map at the middle position (blue plane) of the domain as a rep-
 208 resentative to investigate the percolation status of different dimensional fracture
 209 networks.

210 This research investigates the percolation status and connectivity of 3D frac-
 211 ture networks and their cross-section maps. In particular, we generate fracture

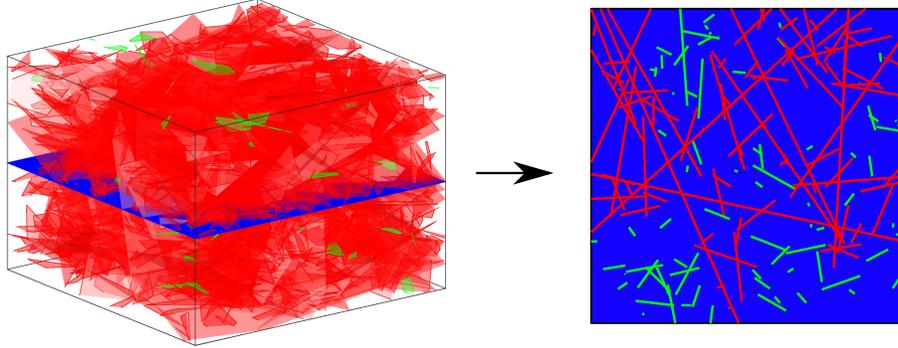


Figure 4: A 3D fracture network and its cross-section map at the middle position. The 3D fracture network has fracture lengths following a power-law distribution with $a = 3$, positions of fracture centers following a uniform distribution and orientations following a uniform distribution. In the 3D fracture network, red fractures form a spanning cluster which connects six faces of the domain. Green fractures are local clusters. In the 2D cross-section map, red fractures form the spanning cluster, which connects four sides of the 2D domain; green fractures are local clusters.

212 networks in 3D and stop generating new fractures when the 2D cross-section
 213 map forms a spanning cluster. This process includes two critical phases. The
 214 first phase is when the spanning cluster is formed in the 3D fracture network,
 215 indicating good connectivity for the 3D fracture network. The second phase is
 216 when a spanning cluster is formed in the 2D cross-section map, indicating good
 217 connectivity for 2D cross-section maps. Several key parameters, essential for
 218 connectivity, are summarized from each realization at both phases, including
 219 P_{30} , P_{32} and I_{3D} for 3D fracture networks, and P_{20}, P_{21} and I_{2D} for 2D cross-
 220 section maps. P_{ij} notation conforms to the definition of Dershowitz et al. [12],
 221 where i refers to the dimension of the sample, and j refers to the dimension of

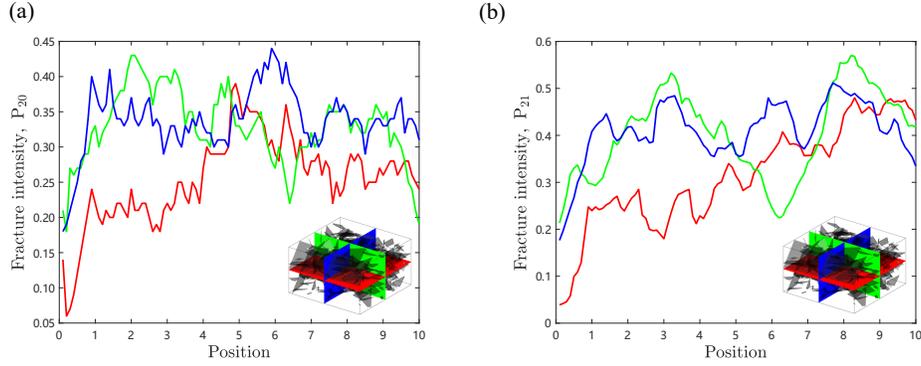


Figure 5: Fracture intensity, P_{20} and P_{21} , of cross-section maps of a typical fracture network at different locations. The typical 3D fracture network has fracture lengths following a power-law distribution with $a = 3$, positions of fracture centers following a uniform distribution and orientations following a uniform distribution. Cross-section maps are taken from different orientations shown in different colors.

222 the measure. For example, P_{21} is the length of fracture traces per unit area and
 223 P_{32} is the area of fractures per unit volume. I_{2D} and I_{3D} are the number of in-
 224 tersections per fracture in 2D cross-section maps and corresponding 3D fracture
 225 networks, respectively. P_{ij} refers to fracture intensity, and I_{2D} and I_{3D} focus
 226 on the intersections. Both of them are essential to evaluate the connectivity of
 227 a fracture network.

228 2.3. Sensitivity analysis

229 We investigate the impacts of critical geometrical properties, including frac-
 230 ture lengths, positions of fracture centers, and system sizes, on the connectivity
 231 of 3D fracture networks and their 2D cross-section maps. Different stochastic
 232 distributions are implemented to describe fracture geometries, as discussed in
 233 the previous section. The power-law exponent, a , usually varies between 2 and 3

234 [17], and we take 11 values from 2 to 3 with a step of 0.1. The fractal dimension,
 235 F_D , for 3D fracture networks should be larger than 2 but smaller than 3. We
 236 take 10 values from 2.1 to 3 with a step of 0.1. The system size, L , is chosen
 237 from 10 to 40 with a step of 10. Each case is stabilized by averaging over 50
 238 realizations.

239 To quantify the impact of each geometrical parameter on the connectivity, a
 240 sensitivity analysis is necessary. We adopt the input/output correlation method,
 241 in which the sensitivity of model response Y to the components of the input
 242 random vector X is calculated by determining the component-wise correlation
 243 coefficients between the two. Consider n samples of the input random vector
 244 $X = \{x^{(1)}, x^{(2)}, x^{(3)}, \dots, x^{(n)}\}$, and the corresponding model responses $Y =$
 245 $\{y^{(1)}, y^{(2)}, y^{(3)}, \dots, y^{(N)}\}$. The linear correlation coefficient ρ_i between the i^{th}
 246 input and output is defined as

$$\rho_i = \rho(X_i, Y) = \frac{E[(X_i - \mu_i)(Y - \mu_Y)]}{\sigma_i \sigma_Y}, \quad (2)$$

247 where μ_i and μ_Y are the expected values of X_i and Y respectively, and σ_i
 248 and σ_Y are the corresponding standard deviations. The importance of each
 249 factor is ranked based on the correlation coefficient. The response can be any
 250 recorded parameter mentioned above, which reflects the connectivity of the
 251 fracture network, and the input vector included a , F_D , L , for both the 2D and
 252 3D fracture networks.

253 **3. Results**

254 This section presents results of I_{2D} , P_{20} and P_{21} of 2D cross-section maps and
255 I_{3D} , P_{30} and P_{32} of corresponding 3D fracture networks in two critical phases.
256 One phase is when the 3D fracture network forms a spanning cluster, and the
257 other phase is when a spanning cluster is formed in cross-section maps. The
258 sensitivity analysis of geometrical properties, including the power-law exponent
259 (a), fractal dimension (F_D) and system size (L), are provided.

260 *3.1. Results in phase one*

261 When a spanning cluster is formed in 3D fracture networks, their cross-
262 section maps are usually poorly connected, and there is no spanning cluster
263 formed in cross-section maps. Fig. 6(a-c) show I_{2D} , P_{20} and P_{21} of 2D cross-
264 section maps averaged over 50 realizations. Fig. 6(d-f) show standard deviations
265 of each parameter in the first row. Fig. 6(g-i) show the sensitivity rank of each
266 geometrical parameter (a , F_D , L) with each parameter in the first row as the
267 response. Similarly, Fig. 7(a-c) show I_{3D} , P_{30} and P_{32} of 3D fracture networks
268 over 50 realizations. Fig. 7(d-f) show standard deviations of each parameter in
269 the first row. Fig. 7(g-i) show the sensitivity correlation of each geometrical
270 parameter (a , F_D , L) with each parameter in the first row as the response.

271 In Fig. 6(a), the number of intersections per fracture I_{2D} is low, and for
272 many cases, there is no intersection at all and yield zero for I_{2D} . In Fig. 6(b,
273 c), P_{20} and P_{21} have similar behaviors. They have low values and decrease with
274 system sizes. The standard deviations of P_{20} and P_{21} decrease with increasing

275 system sizes. From the sensitivity analysis, the exponent a and system size L
 276 have strong correlations with P_{20} and P_{21} . The exponent a has a strong positive
 277 correlation with these two parameters, indicating fracture networks dominated
 278 by small fractures tend to have high fracture intensities. System size L has a
 279 strong negative correlation with P_{20} and P_{21} , indicating large system may have
 280 sparse fracture networks. The fractal dimension, representing clustering effects,
 has almost no correlation with fracture intensities.

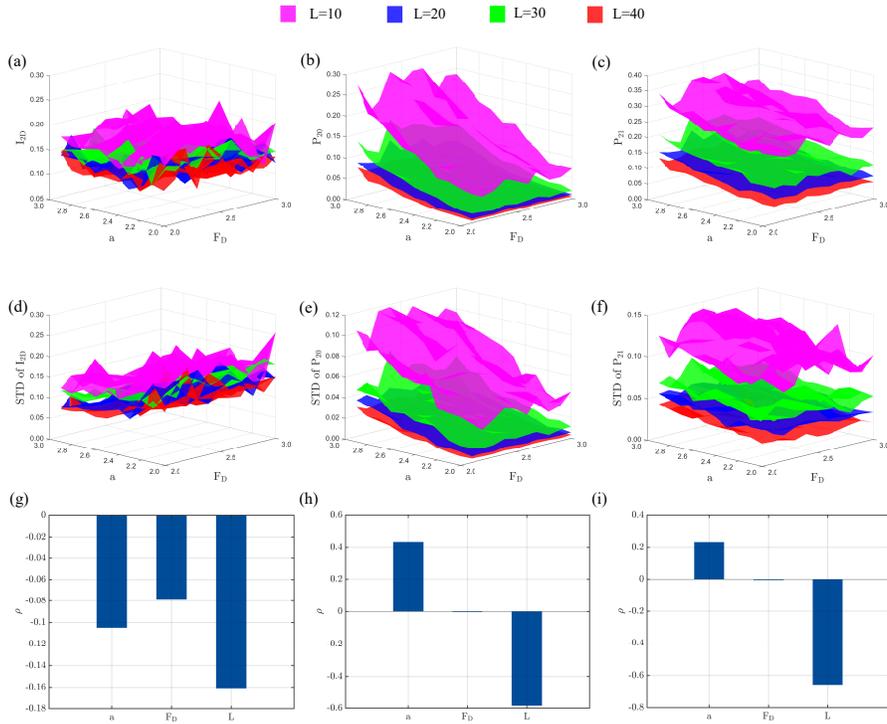


Figure 6: Results of 2D cross-section maps at phase one, where a spanning cluster is formed in each 3D fracture network. (a-c) show I_{2D} , P_{20} and P_{21} of 2D cross-section maps averaged over 50 realizations. (d-f) show standard deviations of each parameter in the first row. (g-i) show the sensitivity rank of each geometrical parameter (a , F_D , L) with each parameter in the first row as the response.

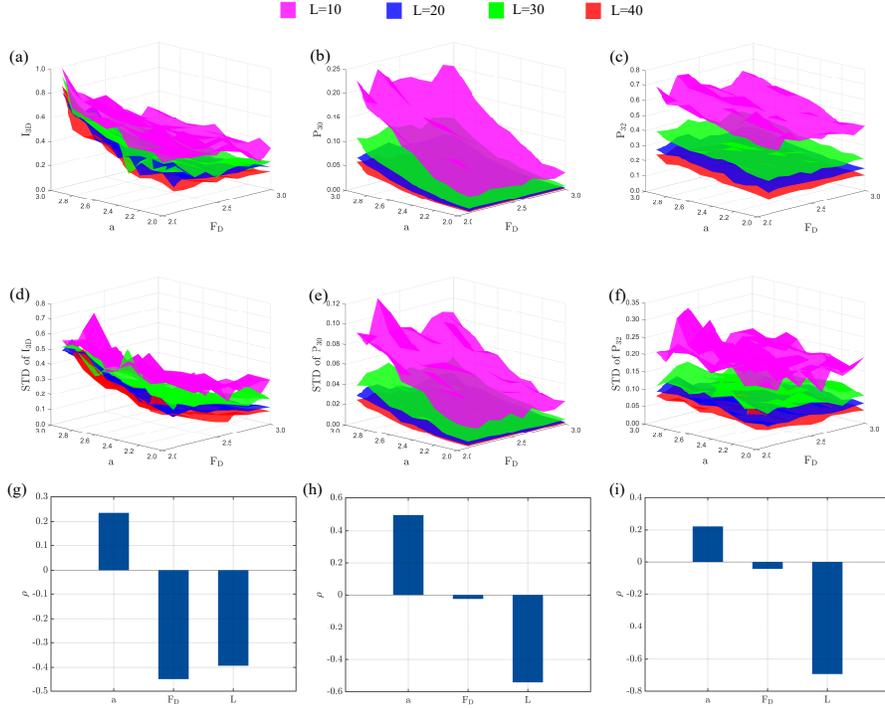


Figure 7: Results of 3D fracture networks at phase one, where a spanning cluster is formed in each 3D fracture network. (a-c) show I_{3D} , P_{30} and P_{32} of 3D fracture networks averaged over 50 realizations. (d-f) show standard deviations of each parameter in the first row. (g-i) show the sensitivity rank of each geometrical parameter (a , F_D , L) with each parameter in the first row as the response. In subfigure (a), I_{3D} has been corrected for the finite-size effect.

281

282 For phase one, there is a spanning cluster formed in 3D fracture networks.
 283 The number of intersections per fracture I_{3D} has been regarded as the perco-
 284 lation parameter for fracture networks [43]. However, Zhu et al. [17] showed
 285 that this parameter is not a valid percolation parameter in complex 2D frac-
 286 ture networks. Here, we can further check the applicability of this parameter
 287 as a percolation parameter in 3D fracture networks with available data. For

288 a quantity to be a valid percolation parameter, this quantity has to fulfill two
 289 requirements: i, there should be finite-size effects in a system with a finite size;
 290 ii, the quantity should yield a constant percolation threshold in an infinitely
 291 large system or a finite system after correcting for finite-size effects. Percolation
 292 theory is used to describe the global connectivity in an infinitely large system.
 293 However, the fracture network we generate always have a finite size. Therefore,
 294 the finite-size effect should be accounted for [23]

$$p_c(L) - p_c^\infty \sim \Delta p_c(L), \quad (3)$$

295 where L is the system size, $p_c(L)$ is the percolation threshold in a finite-size
 296 system, p_c^∞ is the percolation threshold in a infinitely large system and $\Delta p_c(L)$
 297 is the standard deviation of $p_c(L)$.

298 The results of I_{3D} and its standard deviations are shown in Fig. 7(a,d). For
 299 most scenarios, I_{3D} is not constant for fracture networks with different system
 300 sizes after accounting for the finite-size effect. Except for one region with $F_D =$
 301 2.1 and exponent $a = 3$, meaning fracture systems are mainly composed of small
 302 fractures and have strong clustering effects, the variation of I_{3D} is relatively
 303 small in fracture networks of different sizes (from 10 to 40). However, from
 304 Fig. 7(d), standard deviations of I_{3D} have not decreased with increasing system
 305 sizes for this region, indicating that no finite-size effects exist. Therefore, I_{3D} is
 306 not a valid percolation parameter in complex 3D fracture networks, consistent
 307 with the conclusion in [17]. A larger system size and a wider range of exponent
 308 may yield better demonstrations as done in [17]. However, the main focus
 309 of the work is to find percolation status in different dimensionality instead of

310 investigating the validity of I_{3D} as a percolation parameter. In addition, the
311 cluster-check operation of 2D cross-section maps has to be implemented after
312 each new 3D fracture is added to the system, which is highly time-consuming.
313 Therefore we limit the system size to be 40 as the maximum.

314 The fracture intensities P_{30} and P_{32} have similar trends as the 2D intensity
315 parameters P_{20} and P_{21} . Both of them have their values decrease with increas-
316 ing system sizes, indicating the scaling of the total number and total length
317 of fractures is proportional to L^{D_s} , where D_s should be smaller than 3. This
318 observation is consistent with observations in [23] and [44], where they consid-
319 ered the fracture network following a power-law length distribution and uniform
320 position distribution in both 2D and 3D.

321 For P_{30} , P_{32} and I_{3D} , the exponent a positively and the system size L
322 negatively correlate with them. The fractal dimension F_D has a weak correla-
323 tion with intensity parameters, but has a strong negative correlation with I_{3D} ,
324 meaning that clustering effects can increase intersections among fractures, but
325 have an insignificant impact on fracture intensities. To better explain this phe-
326 nomenon, Fig. 8 shows examples of 10,000 spatial points following a uniform
327 or fractal spatial density distribution with $F_D = 2.1$. Compared with the uni-
328 formly distributed points, strong clustering effects exist in the fractal case, and
329 many local clusters are formed in different parts of the domain. Those local
330 clusters can significantly increase intersections of fractures and enhance local
331 connectivity. However, the global connectivity seems not severely affected since
332 3D fractures can connect the other fractures in any direction. However, in 2D

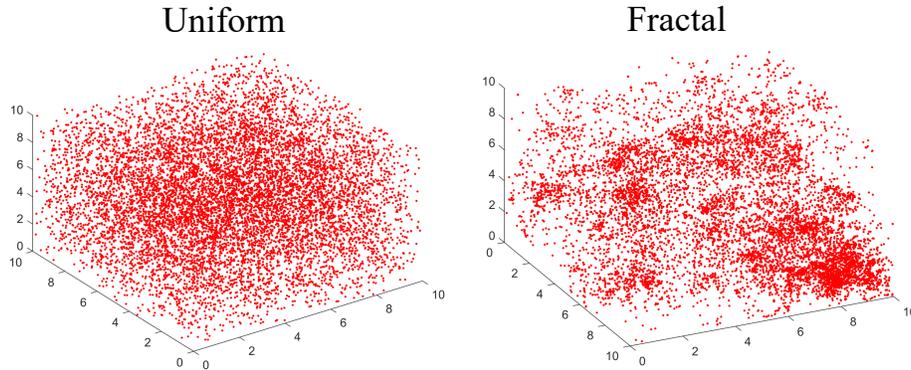


Figure 8: 10,000 3D spatial points follow a uniform (Left) or a fractal spatial density distribution (Right) with the fractal dimension $D = 2.1$.

333 fracture networks, the linkage of fractures is limited in the same plane, and
 334 clustering effects impact their fracture intensity and connectivity significantly.
 335 Those observations are consistent with the conclusion from [14], where the im-
 336 pact of fracture geometries on the connectivity of 2D and 3D fracture networks
 337 are systematically investigated.

338 *3.2. Results in phase two*

339 For phase two, a spanning cluster is formed in a 2D cross-section map. This
 340 scenario is more likely to happen in reality because many outcrop maps show
 341 good connectivity. Fig. 1 shows two examples at the Achnashellach Culmina-
 342 tion field area (Fig. 7B and 7D in [28]), where natural outcrop maps form
 343 spanning clusters, and the fracture intensity is much higher than the intensity
 344 at percolation. Figs. 9 and 10 have similar meanings with Figs. 6 and 7.

345 Fig. 9(a,d) shows the number of intersections per fracture (I_{2D}) in cross-
 346 section maps. I_{2D} has been corrected for finite-size effect since a spanning

347 cluster is formed in the outcrop maps at phase two. However, I_{2D} does not
348 keep constant, indicating I_{2D} is not a proper percolation parameter for complex
349 fracture networks as observed in [17]. The other two intensity parameters, P_{20}
350 and P_{21} , have similar trends as results in phase one, but with much higher values
351 (almost five times higher).

352 The fractal dimension here also has a negligible correlation with intensity
353 parameters. However, this observation is inconsistent with conclusions in [14],
354 where clustering effects have a significant impact on the connectivity of 2D
355 fracture networks. It is worthwhile to mention that the fractal dimension in 3D
356 fracture networks can bring clustering effects in 2D cross-section maps. How-
357 ever, it is different from clustering effects in 2D fracture networks, where their
358 positions of fracture centers directly follow a spatial density distribution. The
359 clustering effects in the cross-section map highly depend on the position of the
360 cross-sectional plane.

361 For comparison, we also generate 2D fracture networks with positions of
362 fracture centers following a fractal spatial density distribution with the fractal
363 dimension (F_D) varying between 1.2 and 2. Their lengths follow a power-law
364 distribution with exponent (a) varying between 2 and 3, and orientations are
365 uniformly distributed between 0 and π . The system size (L) varies between
366 10 and 40. Each scenario is stabilized by averaging over 50 realizations. The
367 sensitivity of P_{20} , P_{21} and I_{2D} with respect to a , F_D and L are shown in Fig. 11.
368 The results are systematically different from the correlations in Fig. 9(g-i). For
369 I_{2D} , exponent a and fractal dimension F_D have similar results as correlations

370 in cross-section maps, but the system size has opposite results. For intensity
 371 parameters, P_{20} and P_{21} , the fractal dimension F_D has a positive correlation,
 372 indicating clustering effects actually can increase the fracture intensity and can
 373 be significant to the connectivity of 2D fracture networks.

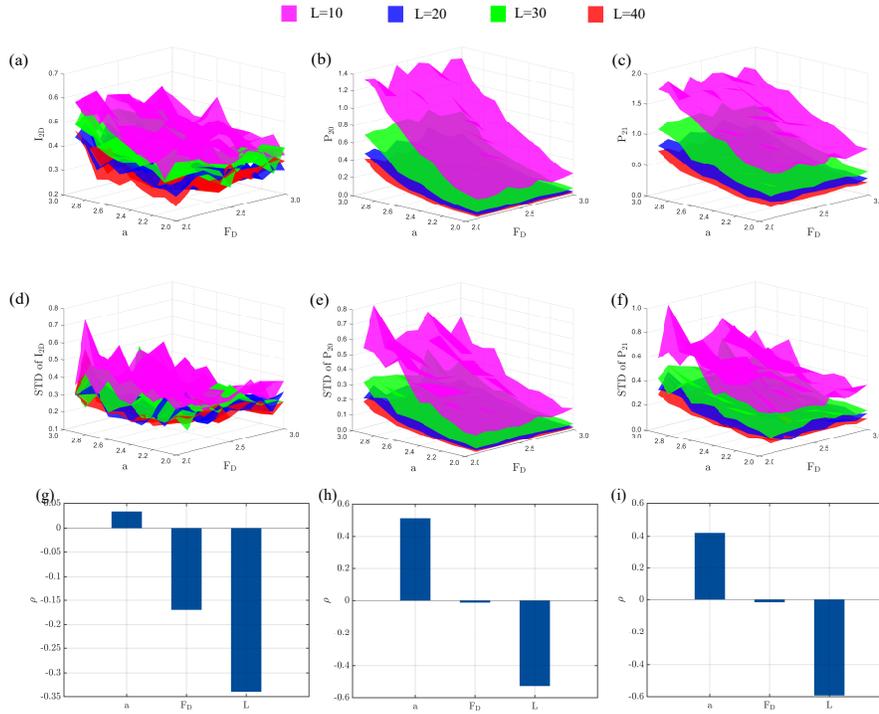


Figure 9: Results of 2D cross-section maps at phase two, where a spanning cluster is formed in the cross-section map. (a-c) show I_{2D} , P_{20} and P_{21} of 2D cross-section maps averaged over 50 realizations. (d-f) show standard deviations of each parameter in the first row. (g-i) show the sensitivity rank of each geometrical parameter (a , F_D , L) with each parameter in the first row as the response. In subfigure (a), I_{2D} has been corrected for the finite-size effect.

374 When a spanning cluster forms in the cross-section map, the corresponding
 375 3D fracture network is pervasive, with a much higher fracture intensity at perco-

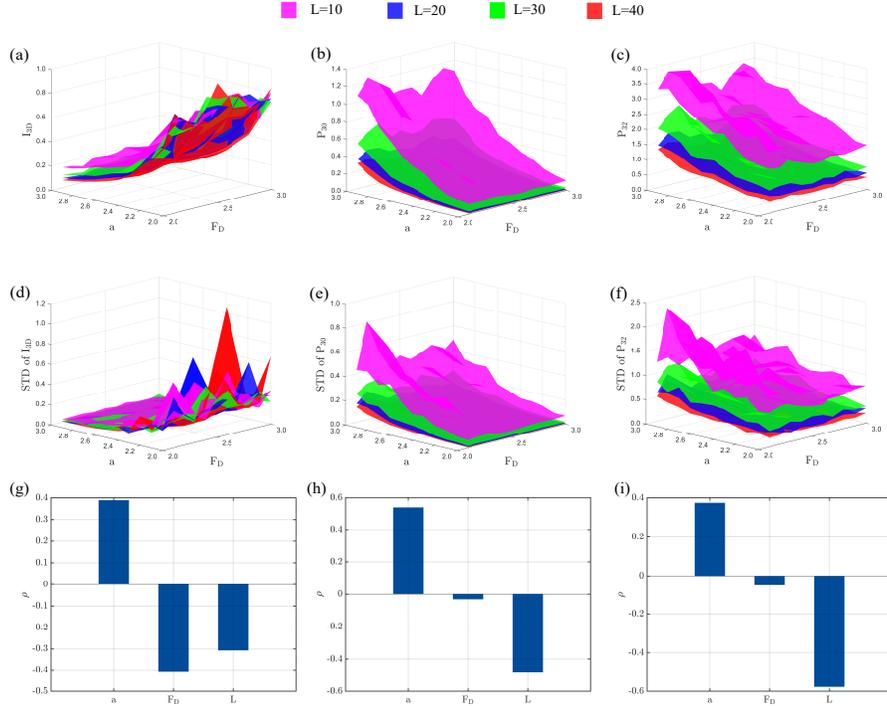


Figure 10: Results of 3D fracture networks at phase two, where a spanning cluster is formed in the cross-section map. (a-c) show I_{3D} , P_{30} and P_{32} of 3D fracture networks averaged over 50 realizations. (d-f) show standard deviations of each parameter in the first row. (g-i) show the sensitivity rank of each geometrical parameter (a , F_D , L) with each parameter in the first row as the response.

376 lation. In Fig. 10, intensity parameters, P_{30} and P_{32} , have much higher values
 377 compared with the results at percolation in Fig. 7, but keep similar trends.
 378 However, I_{3D} has an opposite trend compared with I_{3D} in phase one. When
 379 exponent a is small, I_{3D} has a higher value.

380 Furthermore, two phases can be linked with the ratios of fracture intensity
 381 parameters. In particular, we show the number ratio and area ratio in Fig. 12.

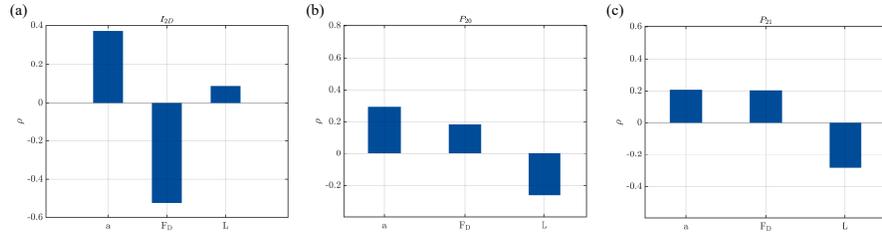


Figure 11: Sensitivity ranks of the exponent (a), fractal dimension (F_D) and system sizes L on the I_{2D} , P_{20} and P_{21} in 2D fracture networks

382 The number ratio is the ratio between the total number of 3D fractures at phase
383 two and the number of 3D fractures at phase one. The area ratio is the ratio
384 between the total areas of fractures at phase two and at phase one. These
385 ratios reflect the degree of over-percolation. A larger ratio means the number
386 of fractures in reality is much larger than the number of fractures required
387 at percolation. There are several spikes in Fig. 12, especially in sub-figures
388 (a) and (b). Those spikes usually happen with an intermediate exponent a ,
389 where a few large fractures can form the spanning cluster in the 3D fracture
390 networks. However, many fractures are needed to form a spanning cluster in the
391 cross-section map, making the ratio extremely large. A few anomalous values
392 significantly increase the mean and standard deviation since only 50 realizations
393 are implemented to stabilize the results. A larger number of realizations can
394 make results smoother. However, it will not change the conclusion that the 3D
395 fracture network has to be pervasive when a spanning cluster is formed in its
396 cross-section map.

397 Both number and area ratios have a weak correlation with all three param-

398 eters shown in Fig. 12, indicating that this phenomenon is common and does
399 not depend on fracture geometries and system sizes. The area ratio has a rel-
400 atively strong correlation with the power-law exponent, a , indicating systems
401 dominated by small fractures may have a large area ratio.

402 Fig. 13 provides the histogram and cumulative distribution function of mean
403 ratios. For the number ratio, most cases have a value smaller than 10, and
404 the mode value is 3.55. The area ratio has a relatively uniform distribution
405 compared with the number ratio, and the mode value is 3.08. In the CDF plot,
406 the low (P_{10}), median (P_{50}) and high (P_{90}) estimates of the mean ratios are
407 denoted. For the mean number ratio, those estimates are 4.54, 5.94 and 8.17,
408 respectively. For the mean area ratio, they are 3.83, 4.86, 6.17, respectively.
409 The minimum value of the number ratio is 3.6, which can be regarded as a
410 lower limit to predict the fracture intensity of 3D fracture networks based on
411 their outcrop maps. Real subsurface fracture networks have their intensities at
412 least 3.6 times larger than the intensity at percolation if their outcrop maps
413 show good geometrical connectivity.

414 Subsurface fracture networks have to be pervasive if their outcrop maps are
415 well connected. However, if their outcrop maps are not well connected, can
416 we infer any information on the connectivity of 3D fracture networks? The
417 number ratio or length ratio of 2D cross-section maps at two phases can provide
418 a criterion to predict the formation of the spanning cluster in corresponding 3D
419 fracture networks. Fig. 14 shows the mean value of number ratio and length
420 ratio, their standard deviations and sensitivity analysis. The number ratio of a

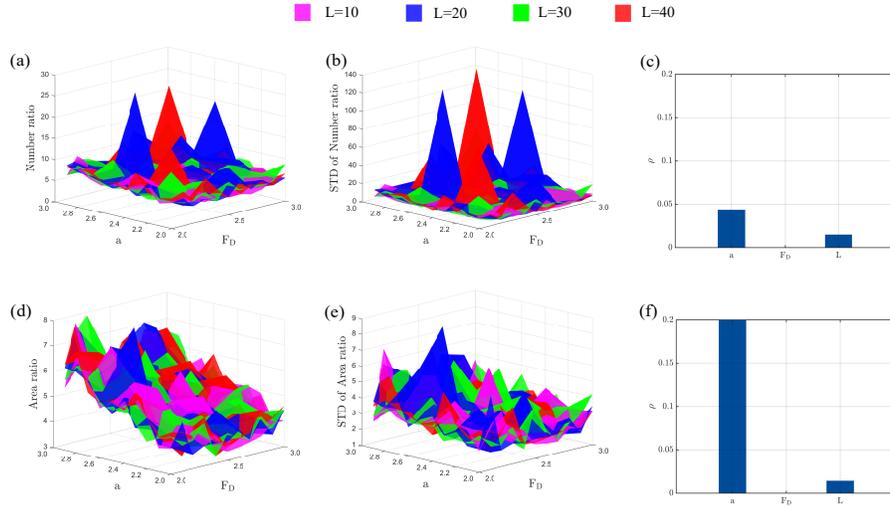


Figure 12: (a,d) mean values of the number ratio and area ratio; (b,e) standard deviations of the number ratio and area ratio; (c,f) sensitivity ranks of each geometrical parameter (a , F_D , L) with the number ratio and area ratio as the response. Number ratio/ Area ratio refer to ratios between the total number/area of 3D fractures at phase two and the total number/area of 3D fractures at phase one.

421 2D cross-section map is the ratio between the total number of fractures in the
 422 2D outcrop map at phase one and the number of fractures at phase two. Similar
 423 concepts are defined for the length ratio. For example, take 0.3 as the number
 424 ratio, and it means that for outcrop maps, if the fracture intensity is 0.3 times
 425 as large as the fracture intensity required to form the spanning cluster, there is a
 426 high possibility that a spanning cluster is formed in the corresponding subsurface
 427 3D fracture network. Therefore, if outcrops are not well connected, we can
 428 add fractures manually to form a spanning cluster and check the ratio between
 429 the original number of fractures and the number of fractures at percolation
 430 to predict the formation of a spanning cluster in the subsurface. The added

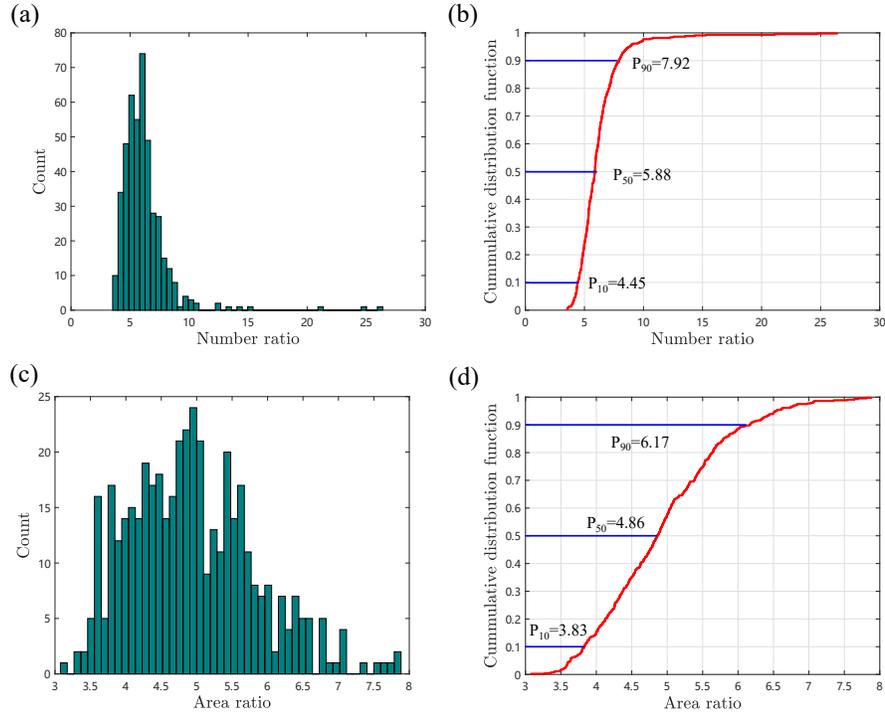


Figure 13: (a,c) The histogram of the number ratio and area ratio; (b,d) The cumulative distribution function of the number ratio and area ratio.

431 fractures should follow the statistical distributions summarized from existing
 432 fractures.

433 In Fig. 14, clustering effects and the system size have negligible impacts
 434 on the number ratio and length ratio. The impact of the exponent is slightly
 435 higher and negative, indicating that larger fractures make the ratios smaller and
 436 easier to form a spanning cluster in 3D fracture networks. Fig. 15 provides the
 437 histogram and cumulative distribution function of the mean ratios, and both the
 438 number and length ratios have a similar distribution. In the CDF plot, the low
 439 (P_{10}), median (P_{50}) and high (P_{90}) estimates of the mean ratios are denoted.

440 For the mean number ratio, those estimates are 0.22, 0.29 and 0.37, respectively.
 441 For the mean length ratio, those estimates are 0.21, 0.27, 0.35, respectively. The
 442 maximum value of the number ratio is 0.43, which can be regarded as a lower
 443 limit to predict the formation of a spanning cluster in 3D fracture networks
 444 based on their outcrop maps. If the fracture intensity is 0.43 times as large as
 445 the intensity at percolation in the outcrop map or higher, the corresponding
 446 3D fracture network can form a spanning cluster in the subsurface with a high
 447 possibility.

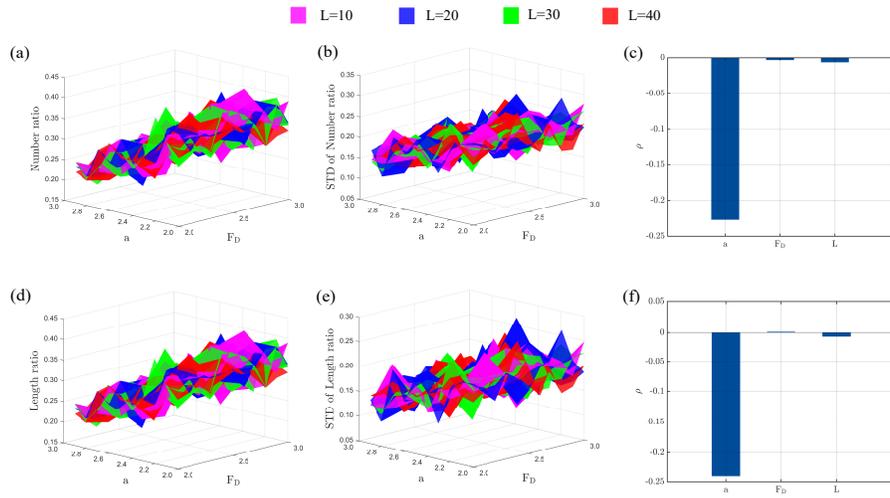


Figure 14: (a,d) mean values of the number ratio and length ratio; (b,e) standard deviations of the number ratio and area ratio; (c,f) sensitivity ranks of each geometrical parameter (a , F_D , L) with the number ratio and length ratio as the response. Number ratio/ Length ratio refer to ratios between the total number/length of 2D fractures at phase one and the total number/length of 2D fractures at phase two.

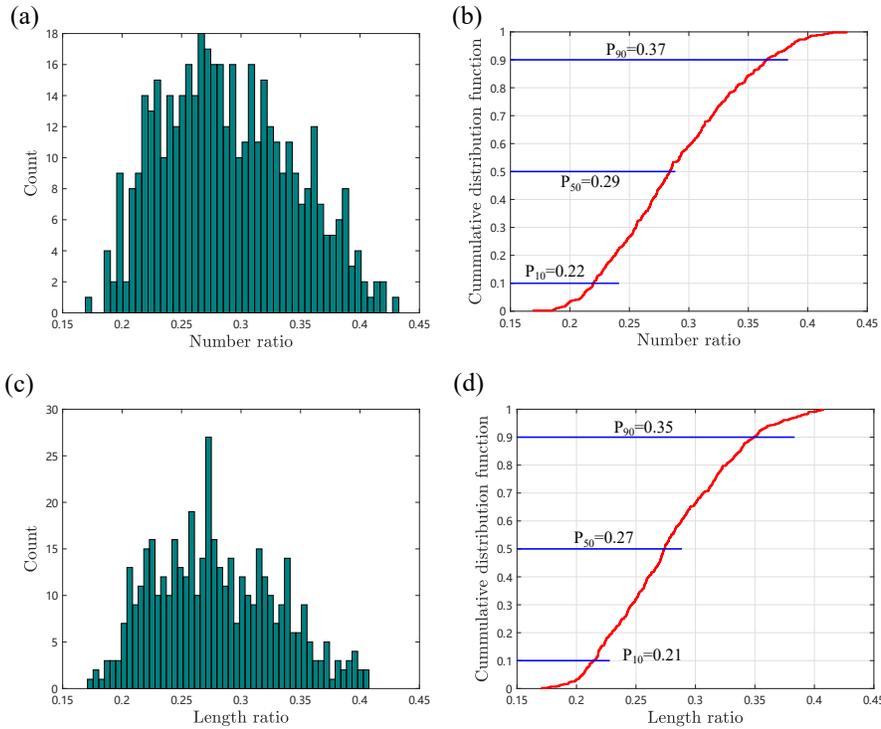


Figure 15: (a,c) The histogram of the number ratio and length ratio; (b,d) The cumulative distribution function of the number ratio and length ratio.

448 4. Discussion

449 The fracture networks concerned above have their fracture lengths, positions,
 450 and orientations follow a single stochastic distribution, respectively. This may
 451 not be true because rocks could form different sets of fractures during their
 452 long geological history and each fracture set has its own distributions. In this
 453 section, we constrain fracture networks with simple geomechanics principles and
 454 outcrop characteristics to make them more geologically meaningful. Similar
 455 approach is adopted in [13]. To this effect, we have introduced four types of

joints [45, 46], sketched in Fig. 16a. Type 1 joints are in blue and type 2 joints

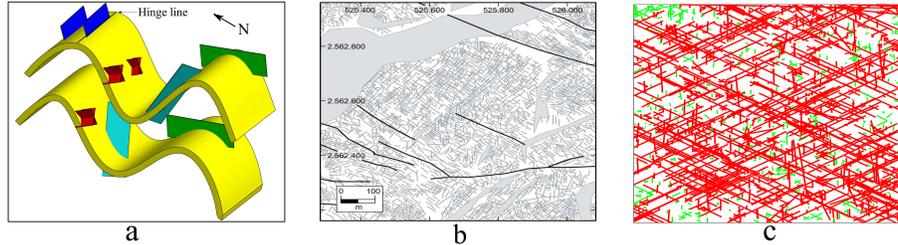


Figure 16: (a) A sketch map to illustrate four types of joints in a fold structure; (b) An outcrop map from Holland et al. [47]; (c) A cross-section map of our fracture network. Red fractures are the largest cluster; Green fractures are local clusters.

456

457 are in green. These are tension joints that are, respectively, approximately
 458 parallel and perpendicular to the hinge line. The Type 3 conjugate shear joints
 459 (actually microfaults) are in red. They have dihedral angles equal to 60° and
 460 their angle bisectors are parallel to the maximum principal stress σ_1 . Type
 461 4 shear joints (microfaults) are in cyan. They have random strikes and dips
 462 because of the local anisotropy. The existence of random shear joints brings
 463 more complexity and uncertainties to the network. The system size is 100^3
 464 of arbitrary units. The orientations of the maximum and minimum principal
 465 stress σ_1 , σ_3 are north-south and east-west, respectively. The distributions of
 466 fracture lengths, strike angles, dip angles and the positions of fracture centers
 467 are listed in Table. 4. More detailed description of procedures to construct
 468 realistic 3D fracture networks can be found in [13]. After generating the 3D
 469 fracture network, we take the cross-section map at the middle position, shown
 470 in Fig. 16(c). Compared with the natural outcrop in Fig. 16(b), the cross-

Table 1: Distributions of each type of joints

Type of joints	Probability ^a	Center position	Strike	Dip	Length
1	0.02	Uniform ^b	von Mises-Fisher ($\mu = 90^\circ, \kappa = 300$)	90°	2L
2	0.02	Uniform	von Mises-Fisher ($\mu = 0^\circ, \kappa = 300$)	90°	Power-law ^e ($L_{max} = L, a = 3$)
3	0.72	Uniform	^d N60°E, S60°E	90°	Power-law ($L_{max} = L, a = 2.5$)
4	0.24	Fractal ^c	Uniform ($[0, 2\pi]$)	Uniform ($[0, 2\pi]$)	Power-law ($L_{max} = L, a = 3$)

^a probability of generation.

^b a uniform spatial distribution.

^c a fractal spatial density distribution and the fractal dimension is 2.5 in this research.

^d the dihedral angles equal to 60° and angle bisectors are parallel to σ_1 .

^e L_{max} is the maximum length of the fracture; a is the exponent of the power-law distribution.

471 section maps is not identical, but they share many common characteristics, like
 472 preferential fracture orientations, and different fracture sets.

473 The total number of fractures in the 3D fracture networks is 49,979, and the
 474 entire area is 8,347,170. We also find the largest cluster in the cross-section map
 475 and mark them in red. The cross-section map is over-percolated. Furthermore,
 476 the corresponding 3D fracture network is also over-percolated. The number of
 477 fractures at percolation is 3,222 after checking clusters, and the total area is
 478 543,271. Therefore, the number ratio between the total number of 3D fractures
 479 and the number at percolation is 15.5. The corresponding area ratio is 15.4. 3D
 480 fractures is pervasive in realistic fracture networks to ensure good connectivity

481 in their cross-section maps.

482 Renshaw et al. [48] used ice as a model for rock and conducted systematic ex-
483 periments, where samples were subjected to uniaxial compressive loading. From
484 their experiments, they observed that crack density remains nearly constant af-
485 ter the onset of percolation. They concluded that only limited fracture growth
486 is possible after the onset of percolation. However, from outcrop observations,
487 natural fracture networks have their fracture intensities much larger than the
488 intensity at percolation. Fig. 17 shows the fracture intensity of 80 outcrop maps
489 collected from different parts of the world [49, 50, 51, 52, 53, 54, 55, 56, 57, 58,
490 59, 28, 60, 61, 62, 63]. The scales vary from millimeters to tens of kilometers.
491 The fracture intensity parameters, P_{20} and P_{21} , are calculated for the entire
492 map instead of local regions. Their values vary in a wide range and almost do
493 not correlate with scales. The correlation coefficients between the scale and P_{20} ,
494 P_{21} are -0.1 and -0.06, respectively. Red circles refer to outcrop maps where
495 a spanning cluster is formed. Green circles refer to outcrop maps where no
496 spanning cluster is formed. There are 63 out of 80 maps that have a spanning
497 cluster formed. Two examples from the Achnashellach Culmination field area
498 [28] are shown in Fig. 1.

499 From observations of this research, the 3D fracture network has to be over-
500 percolated if its cross-section map forms a spanning cluster. This conclusion
501 is independent of fracture geometries and system sizes. If this conclusion is
502 valid in reality, the corresponding subsurface fracture networks of those outcrop
503 maps must be pervasive, which have a much higher intensity than the intensity

504 at percolation. The conclusion from Renshaw et al. [48]'s experiment is valid
505 in their experimental environment, where the excess strain is accompanied by
506 the opening of existing fractures rather than generating new fractures. For
507 natural rocks existing for a long geological history, stress conditions changed,
508 and different sets of fractures with various orientations [64, 28] were generated.
509 Thus they can form complex and well-connected fracture networks.

510 It is also worth mentioning that the well-connected fracture networks cannot
511 ensure good hydraulic connectivity of subsurface fracture networks because: i,
512 outcrops can only be regarded as relevant to the subsurface formation if the rock
513 types structural settings of the surface outcrops and subsurface formations are
514 similar. However, weathering, stress-release during the upward movement and
515 complex surface topography can cause outcrops to differ from the subsurface
516 systems significantly[2]; ii, compression and cementation can cause the closure
517 and sealing of fractures over geologic time, which together significantly reduce
518 the fracture permeability [65, 66]. The hydraulic connectivity of subsurface frac-
519 ture networks thus depends on many factors, such as sealing patterns, current
520 global and local stress states. More detailed investigations can be found in our
521 previous researches [27, 67].

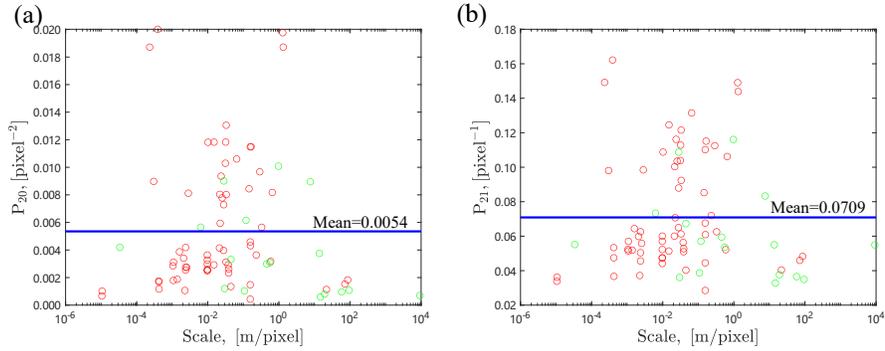


Figure 17: Fracture intensities, P_{20} and P_{21} , of 80 published outcrop maps. Red data points refer to outcrops with a spanning cluster formed; Green data points refer to outcrops without a spanning cluster formed.

522 5. Conclusions

523 This research systematically investigates the percolation status of 3D frac-
 524 ture networks and their cross-section maps based on the assumption that the
 525 outcrop map is relevant to the subsurface structure and can be regarded as a
 526 cross-section map of the corresponding 3D fracture network. Several key con-
 527 clusions are summarized:

- 528 • Clustering effects impact the local intersections significantly but have neg-
 529 ligible impacts on fracture intensities of 3D fracture networks.
- 530 • The number of intersections per fracture, I_{2D} or I_{3D} , is not a proper
 531 percolation parameter for complex 2D and 3D fracture networks.
- 532 • Fracture intensities are scale-dependent and usually decrease with increas-
 533 ing scales.

- 534 • The real fracture networks in the subsurface should be geometrically well-
535 connected and pervasive if their outcrop maps are well connected. In
536 particular, the fracture intensity of the real fracture network can be several
537 times (at least 3.6 times) larger than the intensity at percolation.
- 538 • If 2D outcrop maps are not well connected, but their intensity is large
539 enough (at least 0.46 times as large as the intensity at percolation), it is
540 highly possible that their corresponding 3D fracture networks can form a
541 spanning cluster.

542 **Data Availability**

543 All data are synthetically generated by our in-house built DFN modelling
544 software, HatchFrac.

545 **Declaration of Competing Interest**

546 The authors declare that they have no known competing financial interests or
547 personal relationships that could have appeared to influence the work reported
548 in this paper.

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