

1 **Influence of topography and winds on the distribution of water masses on**
2 **the Antarctic Continental Shelf**

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10 Central to improving our understanding of ocean temperature change on Antarctica's
11 continental shelf is a better understanding of how the ocean circulation drives the onshore
12 flux of warm deep waters across the shelf break. This study uses a primitive equation ocean
13 model to explore how the circulation regime and changes in surface stress influence the
14 temperature structure on Antarctica's shelf seas. As the shelf temperature changes are largely
15 driven by ocean circulation changes, understanding these becomes our focus. A simple
16 barotropic model is used to describe the linear theory of the difference between throughflow
17 and gyres regimes, and their expected response to changes in forcing. This theory informs our
18 understanding of the barotropic circulation response of the primitive equation model where a
19 momentum budget confirms that over the simulated equilibrated timescales with surface
20 forcing changes, the response is first-order linear. Consistent with previous findings, we find
21 that climate change projection-like wind shifts (stronger westerlies that shift south) have a
22 direct influence on Ekman processes across the shelf break and upwell warmer waters onto
23 the shelf. We also find that the circulation regime (throughflow or gyre -- determined by
24 basin geometry), influences the mean shelf temperature and how susceptible the existing
25 shelf temperatures are to changes in surface stress. While the throughflow regime can
26 experience a complete transition in on-shelf temperatures when the transition between
27 westerly and easterly winds shifts southward, we find relatively modest bottom intensified
28 warming at the Ice Front in a gyre regime.

29

SIGNIFICANCE STATEMENT

30 The Antarctic Slope Front determines how much warm water flows onto the shelf and the
31 subsequent heat that is available to melt the ice shelves. This study explores the impact of
32 basin geometry and wind shifts on the large-scale ocean circulation around Antarctica's
33 continental shelf with a focus on understanding changes in shelf temperature near an
34 imagined Ice Front. Here, meridional topographic barriers change geometry, shedding insight
35 into how different water temperatures on the shelf coalesce despite having the same initial
36 conditions and wind forcing. Wind perturbation simulations suggest why some regions are
37 more sensitive to shifts in winds than others. These findings highlight an underappreciated
38 yet fundamentally important topographical constraint under future changes in winds.

39 1 Introduction

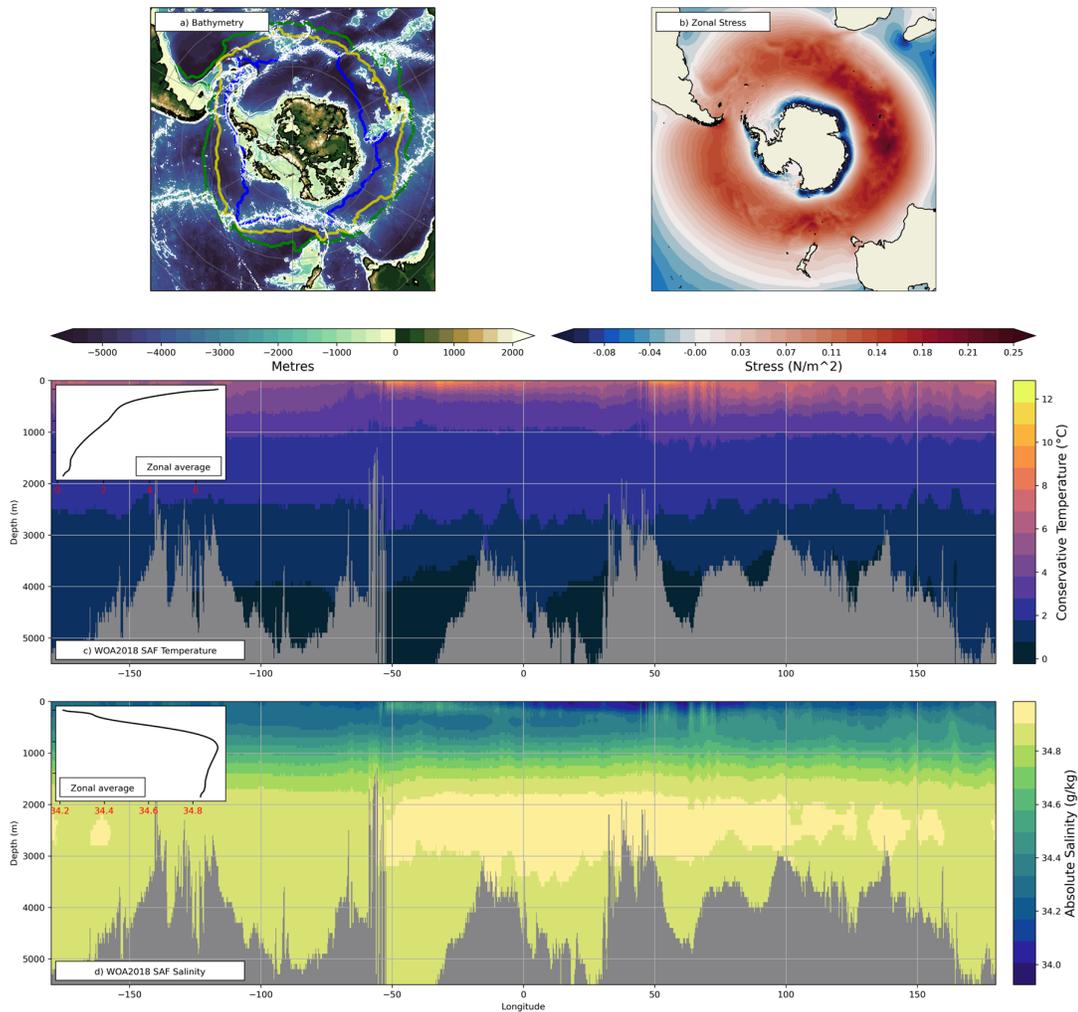
40 In their canonical form, a circumpolar-like throughflow (e.g. Antarctic Circumpolar
41 Current -- ACC) and an ocean gyre (e.g. Weddell gyre) can be created in an open re-entrant
42 channel and a closed box by blowing a uniform wind and a half cosine wind, respectively. In
43 the re-entrant channel case (hereafter, “throughflow regime”), a uniform eastward wind
44 drives an eastward current. Eddy saturation aside, increasing the strength of the wind
45 uniformly, might be expected to drive a stronger eastward current. The closed box (hereafter,
46 “gyre regime”) analogue feels like a paradox in comparison; adding a uniform wind to the
47 half cosine wind, does not strengthen the circulation (Hughes, 1997). This is because the
48 circulation depends on the wind stress curl, here, the meridional gradient of zonal velocity
49 and thus a uniform change in wind does not change the horizontal circulation (Veronis,
50 1996). In a throughflow regime, e.g., a re-entrant channel with a flat bottom, a momentum
51 budget reveals a primary balance between zonal momentum input from the wind balanced by
52 bottom friction which results in an unrealistically strong ACC and a large SSH gradient
53 (Hidaka & Tsuchiya, 1953; D. R. Munday et al., 2015; Olbers et al., 2007). *Munk and*
54 *Palmen* (1951) showed that in the presence of significant bathymetry, topographic form stress
55 (as it is now known) is a more effective sink of momentum, slowing down the eastward flow
56 and creating an abyssal bottom geostrophic return flow that is confined to the height of the
57 bathymetry. In contrast, in the closed box, the insightful perspective (Hughes, 1997; Olbers,
58 1998; Styles et al., 2021) is taken via the curl of the momentum equation, whereby a vorticity
59 budget reveals a primary balance between the curl of the wind stress acting as a source of
60 vorticity and the curl of the bottom friction (flat bottomed) or the curl of the topographic form
61 stress (significant bathymetry) acting as a vorticity sink. This profound importance of
62 boundary conditions has led to the tendency to focus on these distinct dynamical balances
63 when studying throughflows as compared to gyres. As the real system exhibits both kinds of
64 flows, we need a better understanding of the transitory dynamics of these two regimes so we
65 can better predict how they will change as there forcing evolves with climate change.

66

67 In the real Southern Ocean (Figure 1a), the ocean circulation exhibits mixtures of both
68 throughflow and gyre regimes. The zonal wind and sea-ice stress determine the momentum
69 input driving the ocean circulation (Figure 1b). Our throughflow regime currents are the
70 eastward flowing Antarctic Circumpolar Current (ACC) and a shelf confined westward flow

71 that is effectively a combination of the Antarctic Slope Current (ASC) and the Antarctic
72 Coastal Current. Note that the ASC is not quite a circumpolar feature; it is not found along
73 the western Antarctic peninsula where the ACC flows along the continental slope (Thompson
74 et al., 2018; Whitworth et al., 1998). Our gyre regimes, formed through steep f/h contours
75 (Olbers et al., 2007; Patmore et al., 2019; Wilson et al., 2021), are the clockwise flowing
76 Weddell, Ross and Australian-Antarctic gyres. The ACC is predominantly driven by wind
77 and buoyancy forcing and is the world's strongest current (Olbers et al., 2012); modern
78 estimates of Drake Passage transport vary between $137 \pm 7 \text{ Sv}$ (thermal wind only; *Meredith et*
79 *al.*, 2011) and 173.3 for total transport (Donohue et al., 2016). Several studies in realistic
80 settings (e.g. *Masich et al.*, 2015) have confirmed that topographic form stress is the
81 dominant sink of momentum. At the coast, ice shelves flow from the ice sheet and are
82 vulnerable to future changes in sub-surface ocean temperatures.

83



84

85 Figure 1. a) ETOPO1 bathymetry, thick contours indicate Southern Boundary (blue), Polar Front
 86 (yellow), and Subantarctic Front (green) from *Park et al.* (2019); thin white contours highlight -3000, -
 87 2000, -1000 isobaths, and black is the surface land mask. b) JRA zonal stress (time-mean 1986-2000; both
 88 wind and sea ice). WOA2018 Subantarctic Front (green contour in panel a): c) Temperature and d)
 89 Salinity. The insets in c-d show the zonal average of temperature and salinity, respectively; these T/S
 90 profiles are used as initial and northern boundary restoring conditions for the modelling configuration in
 91 this study (see Section 2b).

92

93 The Antarctic Slope Front (ASF) is a landward thickening of the layer of cold surface
 94 waters that, through its position on the continental shelf break, regulates onshore heat
 95 transport associated with inflow of warmer sub-surface waters. In the case of the Weddell and
 96 Ross gyres, the related ice shelves experience low melt rates because warm water has limited
 97 direct access to the ice-shelf base. Much of the recent interest in the ASF (Thompson et al.,
 98 2018) is due to its capacity to modulate the inshore flux of Circumpolar Deep Water melting
 99 the ice shelves. The ASF is mainly driven by the along slope westward wind stress

100 (Pauthenet et al., 2021; Thompson et al., 2018) whereas the Weddell and Ross gyres with
101 transports 30-100 and 23 ± 8 Sv (respectively) are sensitive to local changes in wind stress curl
102 (Armitage et al., 2018; Dotto et al., 2018; Gómez-Valdivia et al., 2023; Neme et al., 2021).
103 The winds over the southern ocean vary on several timescales and all of these current systems
104 are expected to show some sensitivity to the projected southward shift in winds (e.g.
105 Bracegirdle et al., 2013; Goyal et al., 2021) although a signal has not necessarily been
106 observed (e.g. Armitage et al., 2018; Stewart, 2021).

107

108 Considerable work has gone into understanding the anticipated changes in Southern
109 Ocean circulation as a result of changes in the westerly winds (e.g. Farneti et al., 2015; David
110 R. Munday et al., 2013; Purich & England, 2021; Spence et al., 2017). Substantial work has
111 gone into characterising eddy saturation of the ACC (Gnanadesikan & Hallberg, 2000;
112 Straub, 1993; Tansley & Marshall, 2001), eddy saturation is reached when the ACC's total
113 transport becomes insensitive to surface forcing stress changes. Despite the total transport not
114 changing, we would expect the barotropic transport to respond to changes in surface forcing
115 in a near linear way (Constantinou & Hogg, 2019). Using a more realistic configuration,
116 *Spence et al.* (2014) has shown that anticipated southward shifts in the southern ocean winds
117 lead to a change in Ekman dynamics at the coast. Specifically, reduced Ekman pumping at
118 the coast leads to a flattening of isotherms, enabling increased inflow of warm waters onto
119 the shelf. Whilst it is tempting to apply these arguments around all of Antarctica, some
120 regions are more susceptible to these shifts than others (Verfaillie et al., 2022). Under a
121 uniform 4° shift, the Amundsen Sea warms the most whereas the Ross Sea cools and the
122 Weddell Sea shelf modestly warms (Figure S5b in *Spence et al.* (2014)). Now, recall that
123 meridionally uniform changes to the winds would not be expected to change the horizontal
124 circulation of a gyre regime but would have a strong response in a throughflow regime
125 (Olbers, 1998; Vallis, 2017; Veronis, 1996). As described above, the work to date has
126 focused on wind shifts that assume a channel-like regime which suggests that we might be
127 over-estimating the effect. A natural question arises: given the projected wind changes are
128 similar to a positive constant offset in the winds (westerly strengthening and southward shift),
129 how do we expect the Southern Ocean to respond in places where there are a mixture of
130 throughflow and gyre regimes?

131

132 The goal of this study then is to re-visit channel and gyre regimes in the context of an
133 idealised Southern Ocean configuration with southward (uniform offset) wind shift
134 experiments. Practically, the community, for the purpose of attribution, needs to understand
135 the implications of a change in wind strength, shifts and curl change; using uniform offsets
136 makes progress towards disentangling these issues. We will use barotropic linear theory to
137 better understand *how* a uniform change in stress is so important in a throughflow regime but
138 not in a gyre regime system, and via a primitive equation ocean model, how the system is
139 complicated by a shelf with baroclinicity. Whilst the integrated changes in horizontal
140 circulation from a re-entrant channel to a hard wall are well known (e.g. Olbers, 1998;
141 Tansley & Marshall, 2001; Vallis, 2017), we believe this is the first time an incremental
142 mixture of these two regimes has been studied in terms of wind shifts and the temperature
143 structure on the shelf. Two questions arise:

144 1. Subject to the same starting point, how are the mean shelf temperatures influenced by a
145 hierarchy of basin geometries?

146 2. How is basin geometry important for modulating shelf temperature changes with shifts
147 in the winds?

148 The paper is presented as follows: linear theory is explored in Section 2. Followed by the
149 numerical model description, experiment design and results in Sections 3.1, 3.2, 4,
150 respectively. A summary and discussion is given in Section 5.

151 **2 Southern Ocean circulation theory: throughflow versus gyre regimes**

152 Figure 2 utilises the *Stommel* (1948) planetary geostrophic equations to highlight
153 throughflow and gyre circulations within an idealised, barotropic, flat-bottom configuration
154 and rigid lid. The idea of using this simple model is that it offers a heuristic, setting our
155 theoretical barotropic expectations for what may happen in a more complex primitive

156 equation ocean model with baroclinicity and a shelf (Section 4). Our 2D, non-
157 dimensionalised¹ equations are:

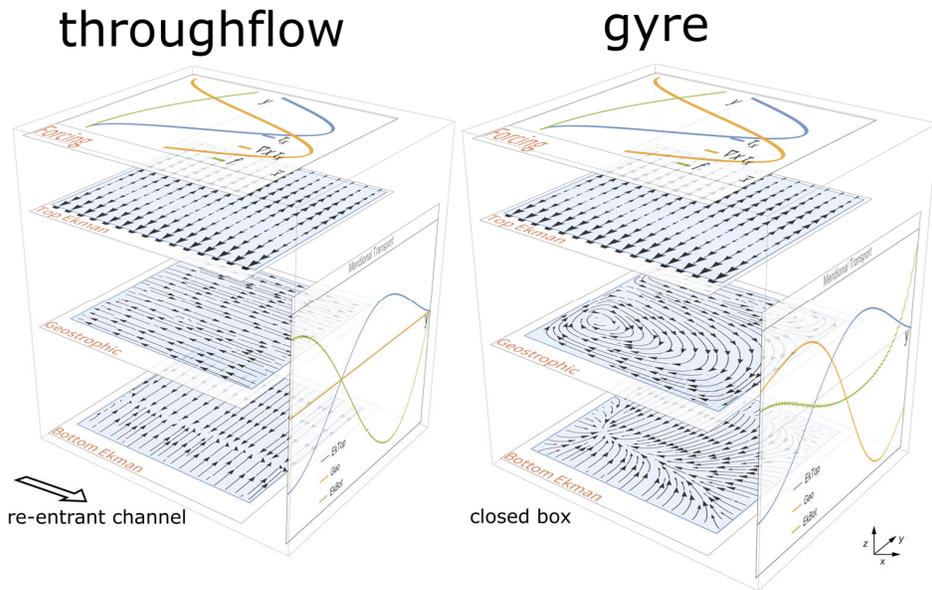
$$158 \quad fk \times \mathbf{u} = -\nabla p - r\mathbf{u} + \tau_x$$

$$159 \quad \nabla \cdot \mathbf{u} = 0$$

160 where f is the Coriolis parameter – negative for the Southern Ocean, \mathbf{u} is a two-
161 dimensional velocity vector, p is pressure, r is a friction coefficient, τ_x a zonal stress and ∇
162 operates horizontally. A zonal surface stress, inspired by the observed pattern (Figure 1) is
163 used. Hereafter, ‘surface stress’ and ‘wind stress’ will be used interchangeably. Compared to
164 previous Southern Ocean idealised studies (e.g. *Abernathey et al. (2011)*), the wind stress
165 here includes Easterlies and has a non-zero stress in the South where we imagine an ice shelf
166 front. A change in the boundary condition, from a re-entrant east-west channel to a wall,
167 leads to fundamental changes in the circulation. Heuristically, we discuss throughflow and
168 gyre regimes in terms of the pictured layers: top ($-\tau_x/f$) and bottom Ekman ($r\mathbf{u}_{geo}/f$)
169 layers, and geostrophic transports ($-\nabla p/f$). Note that, here, all 3 layers are really taking
170 place in one slab of fluid and the transports in the pictured layers are diagnostic. In contrast,
171 in the z-level primitive equation model in Section 4, they will be separated by depth and
172 solved using a depth-dependent momentum equation. This approach is used because it
173 highlights the linear dynamics relevant to our wind shift experiments in Section 4 and shows
174 how a prescribed wind stress sets up the circulation in the lower layers.

¹ The dimensionalised form, with reference density ρ_0 and ocean depth H is:

$H(fk \times \mathbf{u}) = -H(\frac{1}{\rho_0} \nabla p) - r\mathbf{u} + \frac{\tau_x}{\rho_0}$ and $H(\nabla \cdot \mathbf{u}) = 0$. To non-dimensionalise (around r),
parameters ρ_0 and H are set to 1.



175

176 Figure 2. Comparison of throughflow (left) and gyre (right) regimes for an idealized Southern Ocean
 177 wind stress in a single-layer ocean. The channel has a re-entrant east-west boundary whereas the gyre has
 178 walls on all sides. Horizontal layers show the forcing, Ekman and Geostrophic layers. The “forcing” layer
 179 shows the zonally averaged wind stress, wind stress curl and Coriolis parameter. The circulation layers are:
 180 top Ekman, geostrophic and bottom Ekman transports, respectively; the barotropic streamfunction is the
 181 sum of the three layers. The vertical panel shows the zonally averaged meridional transport for each
 182 component.

183 *a. Commonalities and differences to both throughflow and gyre regimes.*

184 As pictured in Figure 2, in both throughflow and gyre regimes, the top (near surface)
 185 Ekman transport is the same. In the Southern hemisphere, the top Ekman transport is directed
 186 90° degrees to the left and surface Ekman suction and pumping arises due to divergences and
 187 convergences (respectively) in the near-surface Ekman transport. Here, a change from
 188 cyclonic to anti-cyclonic wind stress curl leads to a change from upwelling to downwelling
 189 regions either side of the maximum westerlies. We thus expect upwelling South of the peak
 190 westerlies and downwelling to the North. Relatedly, approaching the boundaries for the
 191 ‘control’ wind stress shown, the curl goes to zero in the South but is non-zero in the North,
 192 hence, only the Northern boundary will have curl driven downwelling. Confounding matters,
 193 we have no normal flow conditions at the Southern and Northern boundaries. For the wind
 194 stress shown, at the Southern wall, we have a non-zero Easterly wind which is incompatible
 195 with the boundary condition so by continuity leads to downwelling. In contrast, the Northern
 196 wall goes to zero stress and so the wind *at the boundary* does not drive ‘continuity driven’
 197 downwelling. We will return to these ideas when considering wind shifts.

198

199 In a throughflow regime, the top Ekman transport leads to a meridional pressure gradient
200 that drives geostrophic currents that match the wind direction (Figure 2 throughflow,
201 geostrophic layer), this is enabled by the re-entrant boundary. The bottom Ekman transport
202 then flows to the right of the geostrophic transport returning the flow transported by the top
203 Ekman layer (Figure 2 throughflow, meridional transport panel). Here, a Stommel linear
204 friction is used so the bottom Ekman transport is 90° degrees to the right of the geostrophic
205 transport (rather than 135° for the Ekman solution; *Olbers et al. (2012)*). Thus, in a zonally
206 averaged throughflow regime, we have a clockwise and anti-clockwise overturning cell
207 where the latitude of zero wind stress, delineates the boundary between the two cells that are
208 driven by the Westerly and Easterly winds, respectively.

209

210 In a gyre regime, the no normal flow condition of the eastern and western boundaries
211 results in dramatic changes. In the geostrophic layer, the depth-integrated circulation consists
212 of a balance between the meridional advection of planetary vorticity and the wind stress curl
213 (i.e. Sverdrup balance); in the return flow boundary layer, the advection of planetary vorticity
214 is balanced by the curl of bottom friction. Here, the top Ekman transport is prescribed, this
215 sets off a top Ekman pumping and suction pattern that is now constrained by walls on all
216 sides. Since the geostrophic flow is largely horizontally non-divergent, Ekman
217 pumping/suction through the top and bottom Ekman layers results in stretching and squeezing
218 of fluid columns in the geostrophic layer. The circulation is further constrained: the sum of
219 the Ekman and geostrophic components gives the depth-integrated transport where the
220 bottom Ekman transport is 90° degrees to the right of the geostrophic transport. Unlike in a
221 throughflow regime, a gyre regime has geostrophic zonal and meridional flows that are non-
222 uniform in x . Also unlike in a throughflow regime, the meridional return transport is no
223 longer confined to the bottom Ekman layer but also to the geostrophic return flow (Figure 2
224 gyre, meridional transport panel). This is because in a throughflow regime, there is no
225 western wall to support a pressure gradient and so there can be no geostrophic meridional
226 transport.

227 *b. Linear responses of both systems to wind shifts.*

228 We consider a constant positive, zonally uniform change in wind stress called “c”. This
229 change only offsets the pictured Figure 2 wind profile and so aside from at the boundaries,
230 the wind stress curl does not change. In an idealised sense, this is similar to what we expect

231 with climate change; an increase in the strength of the westerly winds, a weakening of the
232 easterlies and a shift of the easterly-westerly transition zone south. Changes in the top Ekman
233 layer are common to both regimes, but the means in which the lower layers balance the
234 momentum input is different due to the change in boundary condition. In a throughflow
235 regime, as “ c ” increases, the north-south sea surface height gradient associated with the zonal
236 geostrophic flow gets stronger and so it directly modifies the strength of the zonal
237 geostrophic currents. In a gyre regime however, the *zonal* Sverdrup transport is related to *the*
238 *gradient* in the wind stress curl, and thus the zonal transport is locked. In both regimes, away
239 from boundaries, the curl is not changing so neither can the region or magnitude of upwelling
240 and downwelling. The magnitude of Ekman transport does change, so by continuity, the
241 downwelling transport at the northern and southern boundaries has to change, and in this
242 instance an increase in one leads to a compensating decrease in the other.

243

244 In a throughflow regime, the eastward and westward jets associated with the eastward and
245 westward stresses increase and decrease in strength (respectively). With positive c , as the
246 geostrophic eastward jet accelerates the bottom Ekman transport also increases. Most
247 importantly, the two Ekman overturning cells described earlier in this Section shift south.
248 Since the latitude of the easterly-westerly transition zone has shifted south, the upwelling of
249 the northern cell has also shifted south; this change will be a crucial feature in our numerical
250 experiments in Section 4. So how is it that a change in surface stress can have such a
251 dramatic effect in a throughflow regime as compared to a gyre?

252

253 *Veronis* (1996) and *Vallis* (21.7.6; 2017) provide some clues for how we can understand
254 the gyre regime response. As a heuristic, consider a closed box with a uniform, zonal,
255 eastward wind and a free surface. This sets up a northward Ekman transport which then
256 drives an eastward geostrophic current. The eastward geostrophic current creates a raised sea

257 surface height in the east, driving a geostrophic current southward². Bottom Ekman transport
258 aside, this geostrophic current returns the volume displaced by the original northward Ekman
259 transport. At equilibrium, there is no zonal flow but there is a west-east gradient in sea
260 surface height and a meridional overturning circulation with northward transport in the
261 surface Ekman layer and a southward return flow in the geostrophic interior. Moreover, if we
262 now uniformly increase the strength of the wind, at equilibrium, we only expect an increase
263 in the gradient of sea surface height (21.7.6 in *Vallis* (2017)) and an associated increase in the
264 meridional overturning. Returning to the wind and layered box in Figure 2, since the total
265 circulation streamfunction is determined by the curl of the stress and our constant offset c has
266 no curl, it does not change the total streamfunction. Exploiting our simple previous example,
267 the sea surface height gradient *change* only depends on the sign of c , not on the direction of
268 the winds. Moreover, for the total streamfunction to not change, the vertical structure of the
269 flow has to compensate via a change in upwelling or downwelling at the northern and
270 southern walls.

271

272 *Veronis* (1996) shows that a constant offset of the stress effects both the Ekman and
273 geostrophic transports in a compensating manner, whilst very large offsets are explored we
274 will consider smaller changes in this study. *Veronis* (1996) suggests that the compensation
275 occurs equally between geostrophic and a top Ekman component, bottom Ekman is not
276 considered. Here, we also consider changes in the bottom Ekman layer as they are important
277 for how deep, relatively warm waters get up and across the shelf break, this means that any
278 changes in the top Ekman transport driven by c , needs to be compensated by a change in the
279 geostrophic transport *and* bottom Ekman transport (driven by changes in the geostrophic
280 transport). Since the zonal Sverdrup transport is related to the gradient in the wind stress curl
281 and here, we only have zonal winds, the geostrophic compensation will occur in the
282 meridional transport. In Figure 2, right panel, imagine adding a constant offset c : as the top

² In contrast, in the throughflow case, the increased zonal momentum input is balanced by stronger bottom friction.

283 Ekman transport moves uniformly up the geostrophic transport will uniformly shift down
 284 (Stommel, 1957) to compensate. In the meridional average (not shown), the southward
 285 meridional geostrophic transport gets stronger with constant offset c . The consequences of
 286 this simple linear theory will now be explored in a primitive equation ocean model.

287 3 Model and experimental design

288 *a NEMO model configuration*

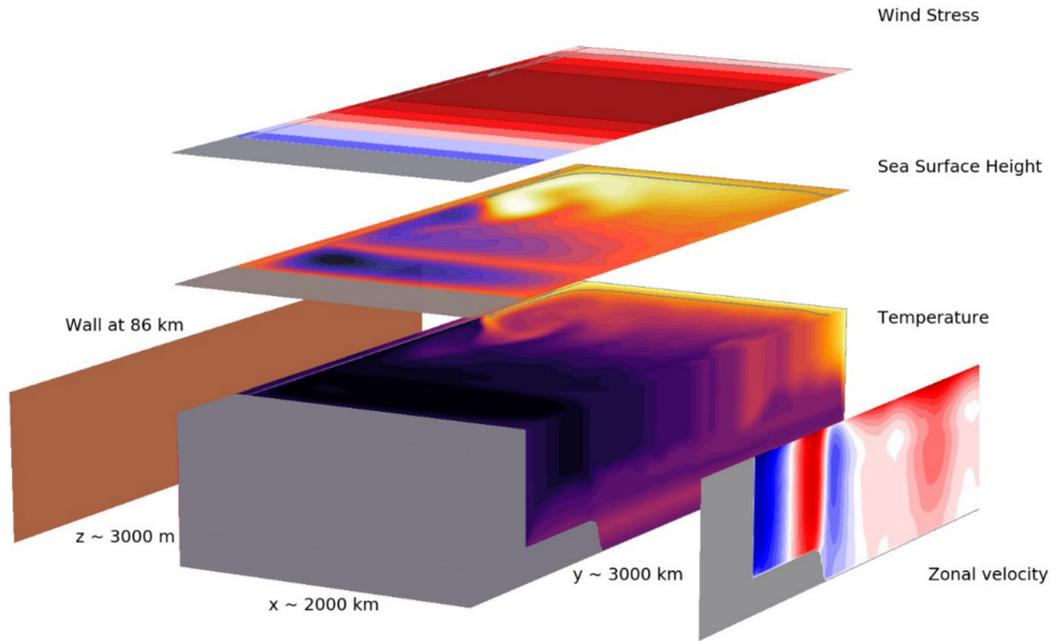
289 The ocean general circulation model used in this study is version 4.0.4 of the Nucleus for
 290 European Modelling of Ocean model (NEMO; *Gurvan et al. (2017)*). NEMO solves the
 291 incompressible, Boussinesq, hydrostatic primitive equations with a split-explicit free-surface
 292 formulation. NEMO here uses a z^* -coordinate (varying cell thickness) C-grid with partial
 293 cells at the bottom-most and top-most ocean layers in order to provide more realistic
 294 representation of bathymetry (Bernard et al., 2006) and the ice-shelf geometry, respectively.
 295 Our model settings include: a 55-term polynomial approximation of the reference
 296 Thermodynamic Equation of Seawater (TEOS-10; IOC and IAPSO (2010)), nonlinear bottom
 297 friction, a free-slip condition at the lateral boundaries (at both land and ice shelf interfaces),
 298 energy- and enstrophy-conserving momentum advection scheme and a prognostic turbulent
 299 kinetic energy scheme for vertical mixing. Laterally, we have spatially varying eddy
 300 coefficients (according to local mesh size) with a Laplacian operator for iso-neutral diffusion
 301 of tracers and a biharmonic operator for lateral diffusion of momentum.

Symbol	Value	Description
L_x, L_y	2003.7, 3025	Domain size
H	3047 m	Depth of domain
Δ_x, Δ_y	7.9 km	Horizontal resolution
Δ_z	68 m	Vertical resolution
f_0	$-1.46 * 10^{-4}$	Southern boundary Coriolis
f_y	$-1.28 * 10^{-4}$	Northern boundary Coriolis
L_{EW}	770 km	Value of x where winds transition from easterly to
$L_{easterlies}, L_{westerlies}$	385, 2239 km	Distance over which the easterly and westerly
τ_E, τ_w	-0.05, 0.2 N	Peak easterly and westerly wind stress

302 Table 1. Key parameters used in the configuration with model reference winds (τ_0).

303

304 The modelling setup is pictured in Figure 3 with key parameters in Table 1. The
305 modelling domain is on a β -plane with 257 x 385 regularly spaced points in x and y,
306 respectively. The ocean floor is limited to 3023 m and is represented by 45 vertical levels.
307 Walls exist on the northern and southern boundaries where the external forcing is a restoring
308 condition at the northern boundary and a surface wind stress (Figure 3), where the restoring is
309 towards the initial state. The western-eastern boundary is re-entrant, Figure 3 however is
310 effectively in a gyre regime due to a wall at 86 km. The simulations are initialised from rest
311 with initial conditions (Figure 1 insets), these fields then also set the northern restoring
312 condition as the simulation evolves. The configuration has no: ice-shelf, sea ice and tides, but
313 is inspired by previous southern ocean idealised channel modelling (e.g. (Abernathey et al.,
314 2011; Morrison et al., 2011)), where the interest here was to have a simple system in which to
315 understand the momentum balance's role in setting shelf properties from wind stress forcing
316 alone. All simulations in this paper have a spin-up of 90 years where the time-mean values of
317 a further 10 years are used for all analysis. Spin-up metrics including domain averaged SSH
318 and domain integrated salinity, temperature and kinetic energy, combined with test
319 simulations of 370 years, suggest that the 90 year spin-up is sufficient to capture the
320 equilibrated response of the ocean circulation to the forcing.



321

322 Figure 3. A 3D snapshot of the model's temperature field from a gyre experiment. The temperatures
 323 range from -1.9 to 1.5 °C. Overlaid, above: sea surface height (-5 to 20 cm) and the control wind stress (τ_0 ;
 324 -0.05 to 0.2); right: zonal mean zonal velocity (-20 to 20 cm/s); left: most experiments have some kind of
 325 wall at 86 km, here, there is a wall the full length of the domain.

326 *b Experiment design*

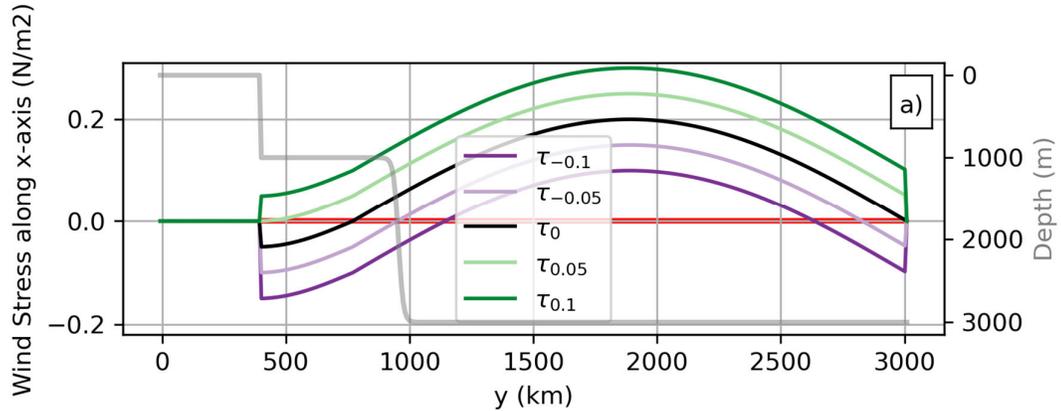
327 1) SURFACE FORCING AND BATHYMETRY

328 Given the idealized nature of this study, we choose an idealized surface forcing and
 329 boundary conditions. The wind stress forcing is the same zonally throughout the domain
 330 (meridional wind stress is zero) and is intended to represent a zonal average of the Southern
 331 Ocean's easterlies and westerlies (Figure 1b):

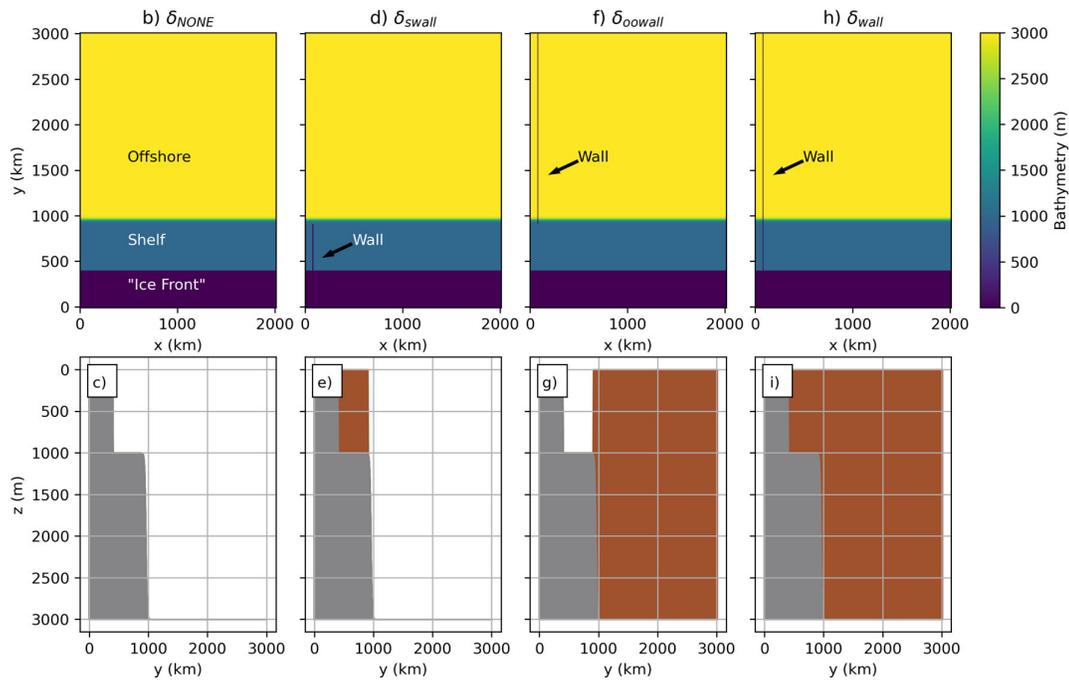
$$332 \quad \tau_c(y) = \begin{cases} 0, & 0 < y < 393 \text{ km} \\ \tau_E * \sin\left(-0.5 \pi y / L_{easterlies}\right) + c, & 393 \leq y \leq 770 \text{ km} \\ \tau_W * \sin\left(\pi y / L_{westerlies} - L_{EW} \pi / L_{westerlies}\right) + c, & 770 \leq y \leq 3025 \text{ km} \end{cases}$$

333 $c = 0$ is the control simulation (τ_0). Four additional values of c give the four perturbation
 334 forcings: $\tau_{-0.1}$, $\tau_{-0.05}$, $\tau_{0.05}$, $\tau_{0.1}$. The wind stress fields used are shown graphically in Figure
 335 4a. To emulate the geometry of the Southern Ocean (see Figure 1a and Section 1 discussion),

336 we then have four different bathymetries (Figure 4 middle and bottom row). All bathymetries
337 have the same common sea floor and shelf (gray line Figure 4a) that is zonally uniform. The
338 first case (δ_{NONE}) only consists of this zonally uniform sea floor and shelf, whereas cases 2-4
339 (δ_{swall} , δ_{oowall} , δ_{wall}) have a wall at 86 km that is a single grid cell wide. Since the western-
340 eastern boundary is re-entrant, the construction of a wall is effectively changing the boundary
341 condition. Thus, in the case of δ_{NONE} we are in a throughflow regime and in δ_{wall} gyre
342 regime.
343



344



345

346 Figure 4. a) Five zonally uniform wind stress forcings applied to each of the 4 bathymetries (lower
 347 panels); black (τ_0) is the control simulation and perturbation experiments are created by adding ($\tau_{0.05}$, $\tau_{0.1}$)
 348 or removing ($\tau_{-0.05}$, $\tau_{-0.1}$) a constant. Red horizontal line at zero highlights transition from easterlies to
 349 westerlies, where applicable. Gray line (twin axis, scale on right) shows the bathymetry common to all
 350 experiments (i.e. δ_{NONE}). Middle and bottom rows show the 4 different bathymetries (δ_{NONE} , δ_{swall} ,
 351 δ_{oowall} , δ_{wall}) used to create different “boundary conditions”. b-i) Prescribed bathymetry used for
 352 experiments 1-20 experiments (surface forcing shown in Figure 1), each column is a new bathymetry.
 353 Middle row: plan view. Bottom row: meridional slice where gray shows the bathymetry (unchanged across
 354 all bathymetries) and brown shading (b-d) shows the wall at $x = 86$ km (approx). All experiments are listed
 355 in Table 2.

356

2) EXPERIMENTS

357

From Figure 4, we have five different surface forcings (Figure 4a; $\tau_{-0.1}$, $\tau_{-0.05}$, τ_0 , $\tau_{0.05}$,

358

$\tau_{0.1}$) and four different bathymetries (Figure 4 middle and bottom row; δ_{NONE} , δ_{swall} ,

359 $\delta_{oowall}, \delta_{wall}$), taking the combinations leads to 20 experiments (Table 1). The simulations
 360 are designed to highlight the dependence of the strength and location of the ASF to changes
 361 in boundary condition and changes in surface forcing.

362

Number	Name	Boundary condition is				Surface Forcing (c)
		Fully Open	Shelf Block	Deep Ocean Block	Fully Closed	
1	$\delta_{NONE}\tau_{-0.1}$	✓				-0.01
2	$\delta_{NONE}\tau_{-0.05}$	✓				-0.05
3	$\delta_{NONE}\tau_0$	✓				0
4	$\delta_{NONE}\tau_{0.05}$	✓				.05
5	$\delta_{NONE}\tau_{0.1}$	✓				.1
6	$\delta_{swall}\tau_{-0.1}$		✓			-0.01
7	$\delta_{swall}\tau_{-0.05}$		✓			-0.05
8	$\delta_{swall}\tau_0$		✓			0
9	$\delta_{swall}\tau_{0.05}$		✓			.05
10	$\delta_{swall}\tau_{0.1}$		✓			.1
11	$\delta_{oowall}\tau_{-0.1}$			✓		-0.01
12	$\delta_{oowall}\tau_{-0.05}$			✓		-0.05
13	$\delta_{oowall}\tau_0$			✓		0
14	$\delta_{oowall}\tau_{0.05}$			✓		.05
15	$\delta_{oowall}\tau_{0.1}$			✓		.1
16	$\delta_{wall}\tau_{-0.1}$				✓	-0.01
17	$\delta_{wall}\tau_{-0.05}$				✓	-0.05
18	$\delta_{wall}\tau_0$				✓	0
19	$\delta_{wall}\tau_{0.05}$				✓	.05
20	$\delta_{wall}\tau_{0.1}$				✓	.1

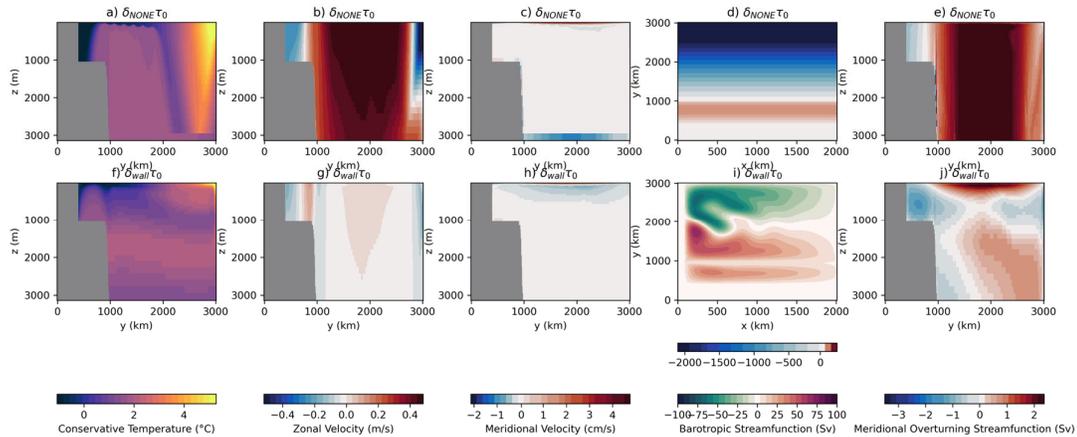
363 Table 2. List of Experiments. Name indicates the bathymetry (δ) and surface forcing (τ_c) used. A red
 364 tick highlights the experiment which uses the control forcing (τ_0) in each bathymetry. See Figure 1 for
 365 details.
 366

367 4 Numerical Results

368 *a Summary of mean state circulation for throughflow and gyre regimes.*

369 Figure 5 shows mean circulation metrics of the two “bookend” cases with a control
370 surface stress, namely, $\delta_{NONE}\tau_0$ which is in a fully throughflow regime and $\delta_{wall}\tau_0$ which is
371 in a gyre regime. Comparing Figure 5a,f the throughflow case has a strong temperature front
372 and relatively cold waters towards the southern boundary, in the gyre case on the shelf, the
373 isotherms form an inverted bowl with a small dip of colder waters at the shelf break forming
374 the well-known v-shaped front (Thompson et al., 2018). The circulation metrics in the
375 subsequent columns are broadly consistent with the linear circulation response discussed in
376 Section 2, with the addition of more complex physics and the addition of a shelf. The
377 barotropic streamfunction in Figure 5d,i gives a circulation that is consistent with linear
378 theory from Section 2a. In Figure 5b,d, like Figure 2, we see a zonal flow that matches the
379 wind direction, due to a flat bottomed deep ocean the transports are very large. In Figure 5i,
380 the addition of a shelf introduces steep f/h contours, leading to an additional gyre in the
381 south (same direction as the curl is unchanged over that region). Figure 5i also has non-
382 linear, eddy features (standing eddies, meanders and eddy-recirculation) in-between the two
383 large gyres due to vorticity transport (Stewart et al., 2021). Comparing Figure 5b,g, the
384 additional zonal flows in Figure 5g are consistent with the three gyres observed in Figure 5i.
385 Looking at the meridional velocities in Figure 5c,h, transport in the top and bottom Ekman
386 layers is also consistent with Section 2a; in particular, the change of direction in the top and
387 bottom layers in a throughflow regime coincides with the change in the wind direction. As
388 expected, in a throughflow regime, the return flow is confined to a bottom Ekman layer
389 whereas in a gyre regime the geostrophic return flow is higher in the water column.
390 Combining these perspectives we can consider the overturning streamfunction, for a
391 throughflow regime (Figure 5e), we have a clockwise and anti-clockwise overturning cells
392 which are separated by the change in wind direction. For a gyre regime (Figure 5j), two
393 similar cells are much closer to the surface and we have an additional 3 sub-surface cells that
394 arise from the change in zonal currents (Figure 5g). Consideration of how temperature on the
395 shelf and the overturning changes in terms of the experiments in Table 2 (Figure 11) is a key
396 objective of this study.

397



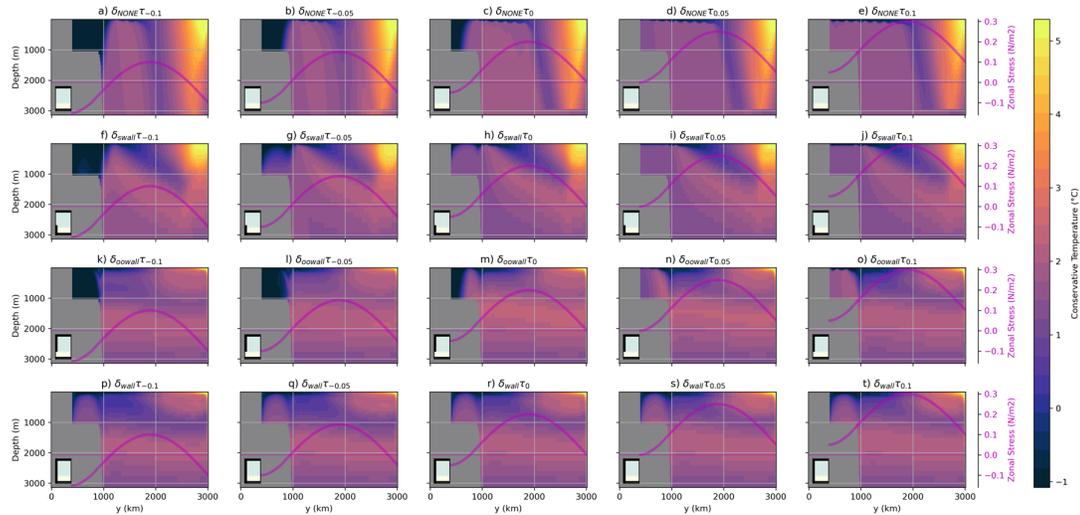
398

399 Figure 5. Overview of the mean state of the open (first row; δ_{NONE}) and closed channel (second row;
 400 δ_{wall}) with control wind stress (τ_0). Along the columns: Conservative Temperature ($^{\circ}\text{C}$), Zonal Velocity
 401 (m/s), Meridional Velocity (cm/s), Barotropic Streamfunction (Sv) and Meridional Overturning
 402 Streamfunction (Sv) where the first 3 are the zonal average. To compensate for very large transports, rows
 403 1-2 have a different colourbar for the Barotropic Streamfunction.

404 *b Shelf temperatures are modulated by winds and circulation regime*

405 Figure 6-7 are the main results in this study that we wish to explain through subsequent
 406 analysis; Figure 6 shows the zonal average and the response of the ASF whereas Figure 7
 407 highlights the heat that is available on the southern boundary to be fluxed into an imagined
 408 ice shelf (not represented here). Figure 6 shows that there are two mechanisms by which the
 409 strength and structure of the warm waters on the shelf can be altered, by shifting the winds
 410 (Figure 6 columns) or by creating a gyre via a change in the boundary constraint (Figure 6
 411 rows). Looking down any Figure 6 column where the coastal downwelling is fixed, we note
 412 that the boundary constraint is a key factor in determining the intensity and location of the
 413 ASF. For example, focusing on the central column (control wind stress; τ_0), the warmest
 414 waters reach the southern boundary when the shelf has a wall (Figure 6h) whereas the
 415 presence of a deep ocean gyre, reduces the temperature of warm waters in the slope current
 416 on the shelf (Figure 6c,m). Additionally, the winds are another key constraint, looking left to
 417 right *across the rows*, a southward shift in the transition zone from westerlies to easterlies
 418 increases the intensity of warm waters on the shelf. This shift is most effective, however,
 419 when the winds are shifted over an open channel region (Figure 6 rows 1-3) where the
 420 northern Ekman overturning cell's upwelling region is shifted south of the shelf break. In
 421 short, the presence of a wall increasingly hinders the capacity of the winds to drive warmer
 422 waters across the shelf and so the winds are maximally effective in a fully throughflow
 423 regime (Figure 6a-e; δ_{NONE}). The other extreme then, is the introduction of a full north-south

424 wall (Figure 6 row 4; δ_{wall}) where changes in the winds are no longer able to (dramatically)
 425 change the intensity and location of the ASF. This is due to there being no change in the
 426 (total) horizontal circulation in the presence of a wall because, by design, changes in τ_c do
 427 not (generally) change the wind stress curl, how this is achieved baroclinically is discussed in
 428 Section 4c. Finally, a wall in the deep ocean (Figure 6 row 3; δ_{oowall}) flattens isotherms in
 429 the deep ocean thus reducing the amount of available heat close to the shelf break to be
 430 brought up onto the shelf when the winds are shifted.



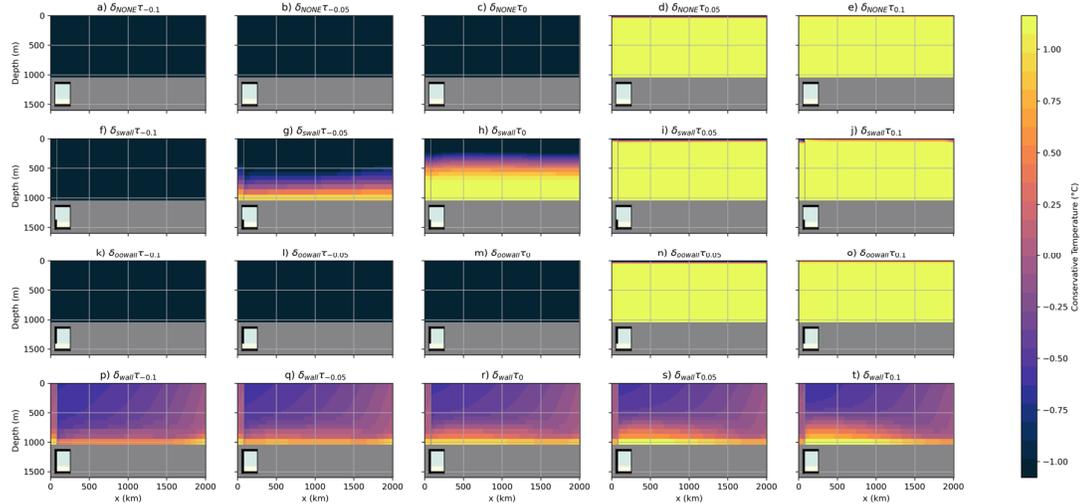
431

432 Figure 6. Zonal mean temperature for all experiments (Table 2). Each column has a new wind stress
 433 forcing (Figure 4a) where the middle column is the control wind stress. The magenta line highlights the
 434 zonally averaged wind stress. Each row has a different boundary condition, in order: i) fully re-entrant, ii)
 435 blocked shelf, iii) blocked deep ocean and iv) fully blocked shelf and deep ocean, respectively. The small
 436 glyphs (bottom-left) schematically indicate the geometry under consideration in each panel. This panel-
 437 experiment layout applies to Figures 6-8, 10-11 and S1-S5.

438

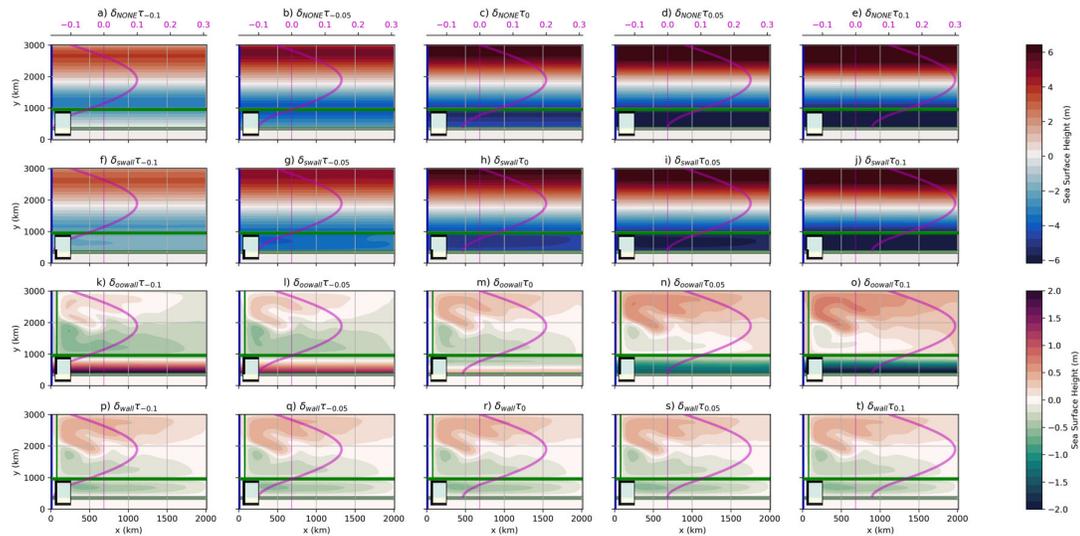
439 Sub-surface temperature changes at our imagined ice front are particularly relevant to ice
 440 shelves, Figure 7 then refines our focus towards the zonal temperature changes at the
 441 southern boundary. Due to the presence of a wall and changes in top-layer Ekman transport,
 442 we do expect and observe differences in downwelling at the southern boundary (e.g. Figure
 443 7g-h). Figure 7 highlights the importance of identifying when a region is in a gyre regime
 444 (boundary condition). Comparing rows, the shelf gyre is important by the warmer ice front
 445 temperatures in Figure 7g,h as compared to the runs without a wall on the shelf (Figure
 446 7b,c,l,m). In these instances, the wall on the shelf provides the most effective transport of
 447 shelf edge temperatures to the ice front by enhancing the cross-shelf transport of waters, only
 448 in the presence of a wall is there any meridional geostrophic flow. When the shelf is open

449 (row 1 Figure 7), waters cannot cross the shelf edge so effectively, so even when the winds
 450 push warm waters onto the outer shelf they do not get to the Ice Front. The deep ocean gyre's
 451 importance is readily seen by comparing the δ_{wall} simulations (Figure 7 row 4) with the other
 452 boundary conditions (Figure 7 rows 1-3), the δ_{wall} simulations have a zonal structure and
 453 temperature variability with depth. The caveat is that the deep ocean gyre is only important
 454 when both the shelf *and* deep ocean is blocked (comparing Figure 7 rows 1,3 and rows 2-4).
 455 As compared to Figure 6, Figure 7 shows nuanced changes with the δ_{wall} simulations in
 456 terms of the zonal temperature structure (Figure 7 row 4). Figure 6 row 4 suggests that δ_{wall}
 457 removes any sensitivity to changes in wind stress; Figure 7 however shows zonal changes,
 458 namely, the bottom temperature intensifies with weaker easterlies and the bottom temperature
 459 is western intensified with stronger westerlies (Figure 7 bottom row). This is likely driven by
 460 the increase in southward meridional geostrophic transport highlighted in Section 2b.



461

462 Figure 7. Same panel-experiment layout as Figure 6 but for southern boundary ("Ice Front" indicated
 463 Figure 5b) mean temperature.
 464



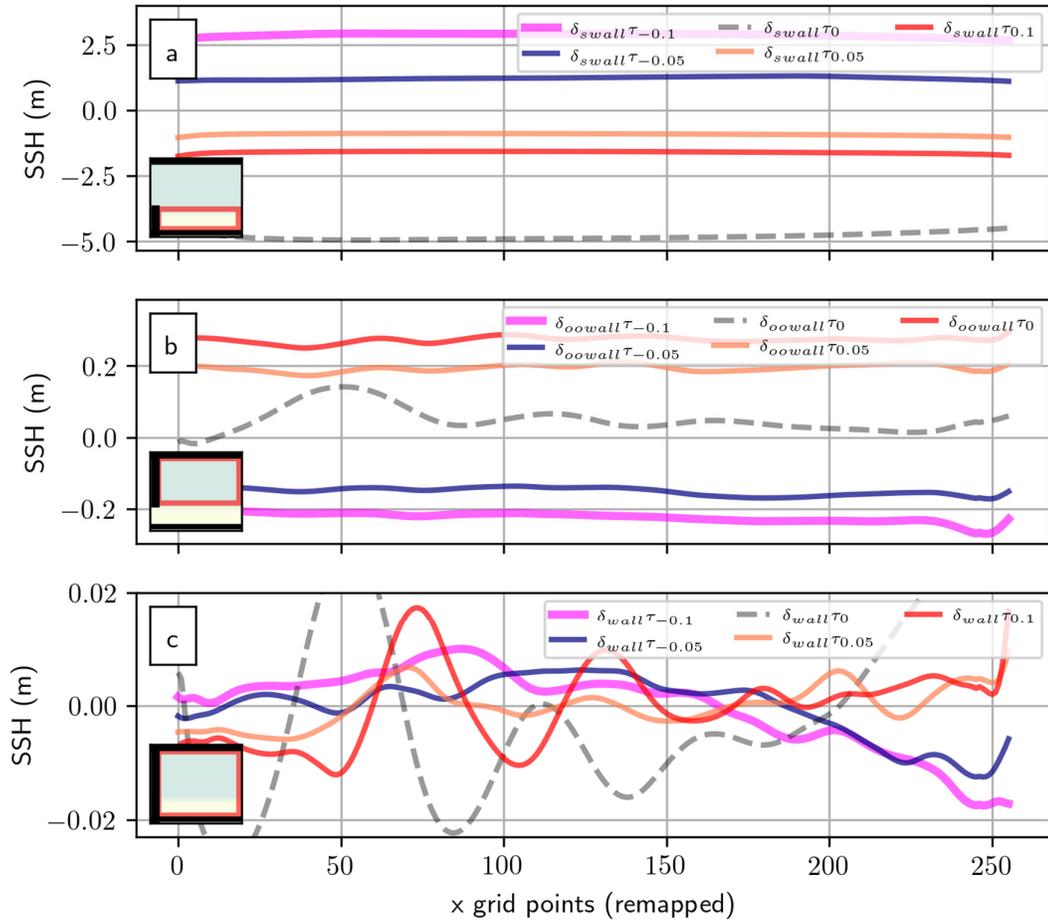
466

467 Figure 8. Same panel-experiment layout as Figure 6 but for sea surface height for all experiments. To
 468 compensate for large throughflow transports (Hidaka's dilemma; Hidaka & Tsuchiya, 1953) rows 1-2 (a-j)
 469 use a different colourbar to rows 3-4 (k-t). Thin green lines are isobaths.

470

471 Figures 8-11 summarize the horizontal and overturning circulation changes that lead to
 472 the described changes in temperature on the shelf. We consider horizontal circulation changes
 473 in terms of sea surface height (Figure 8-9) and the barotropic streamfunction (Figure 10).
 474 Figure 8-9 highlights how the near-surface geostrophic transport responds to changes in
 475 boundary conditions under the same top Ekman transport. A throughflow regime (top row
 476 Figure 8) is readily understood by considering the simpler case of when the stress is only
 477 eastward: $\delta_{NONE}\tau_{0.05}$ and $\delta_{NONE}\tau_{0.1}$ (Figure 8d-e) then, have a north-south SSH gradient,
 478 driven by northward Ekman transport that leads to an SSH maximum in the north and a
 479 minimum in the south (Figure S2d-e). The gradient is non-linear as the stress has curvature
 480 and f is varying. In contrast, $\delta_{NONE}\tau_{-0.05}$ and $\delta_{NONE}\tau_{-0.1}$ (Figure 8a-b), have two inflection
 481 points created from the introduction of a westward wind. Combining this view with the
 482 integrated transport in Figure 10, the strength and positions of the westward and eastward
 483 currents are modulated by the strength of the wind and the shift in location for the sign
 484 change in the winds.

485



486

487 Figure 9. Sea surface height differences along x, across gyre regime experiments, solid lines show
 488 experiment – control, where control’s raw values are shown in dashed gray line. For readability, the x-axis
 489 is remapped so that the $x=1$ is on the eastern side of the wall at 86km and the last point at $x=257$ is
 490 adjacent to the wall on the western side. a) δ_{ssh_wall} experiments with $\delta_{ssh_wall}\tau_0$ control; meridionally
 491 averaged over the shelf, b) $\delta_{ssh_oo_wall}$ experiments with $\delta_{ssh_oo_wall}\tau_0$ control; meridionally averaged over the
 492 deep ocean, c) δ_{ssh_wall} experiments with $\delta_{ssh_wall}\tau_0$ control; meridionally averaged over whole domain. The
 493 small glyphs schematically indicate the geometry and averaging region (red) under consideration in each
 494 panel. Note the y-axis changes in scale across the 3 panels.

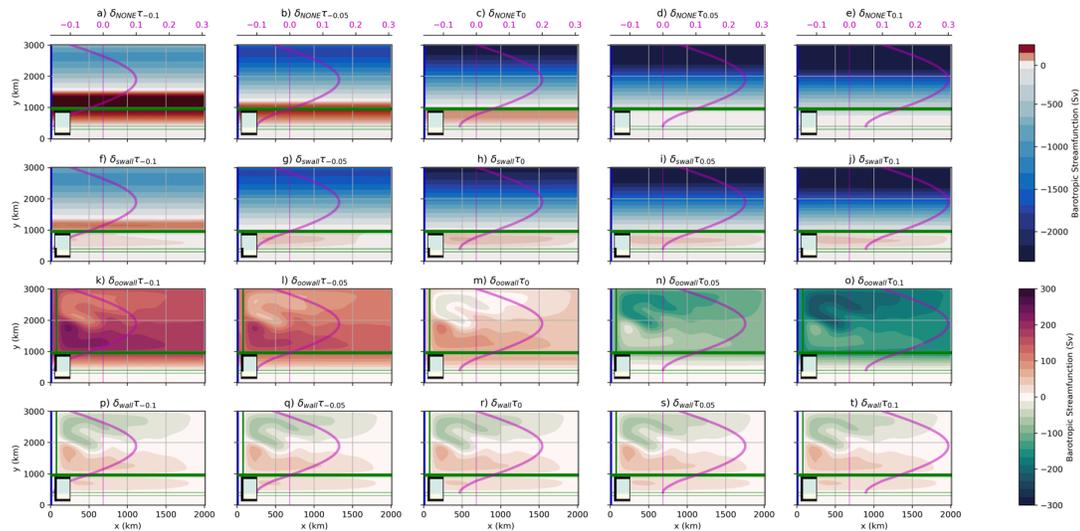
495

496 Introducing a wall on the shelf (second row in Figure 8,10 and Figure 9a), the response in
 497 the deep ocean is the same as before but now with a gyre on the shelf. With a wall on the
 498 shelf, *sea surface height* on the shelf goes uniformly down with positive c (Figure 8i,j and 9a)
 499 and uniformly up with negative c (Figure 8f,g and 9a), this is due to the change in top Ekman
 500 transport with the change in c . With positive c , the top Ekman transport is northward over the
 501 shelf and increasingly stronger south with negative c . Similarly, introducing a wall in the
 502 deep ocean and changing the winds (third row in Figure 8, 10 and Figure 9b) leads to a clear
 503 change in the gyres in *sea surface height* in Figure 8. Specifically, as the easterlies get

24

504 stronger (Figure 8k,l) the southern gyre gets larger as the southward top Ekman transport
 505 increases. Conversely, as the westerlies get stronger (Figure 8n,o), the top northward Ekman
 506 transport gets stronger and the northern gyre gets larger. Figure 9b shows that these SSH
 507 changes are mostly a uniform offset, this again can be explained by changes in top Ekman
 508 transports, see wall on the shelf case (above). As expected (see Section 2b), since it is the
 509 difference between contours that determines the transport in the streamfunction, the
 510 integrated circulation for the deep ocean gyres in Figure 10 is essentially unchanged across
 511 row 3; they look different as the barotropic streamfunction is calculated by integrating
 512 through the unblocked shelf first which has changed its transport, much like the shelf region
 513 in row 1. Finally, since the wind stress curl is unchanged, unsurprisingly, the barotropic
 514 streamfunction is unchanged in the full gyre regime experiments (bottom row Figure 10).
 515 Also as expected from Section 2b, the sea surface height does raise and lower as the strength
 516 of the offset is increased and decreased, respectively, see Figure 9c. The above described
 517 changes in Ekman transport and SSH are corroborated by commensurate changes in the zonal
 518 mean SSH (Figure S2).

519



520

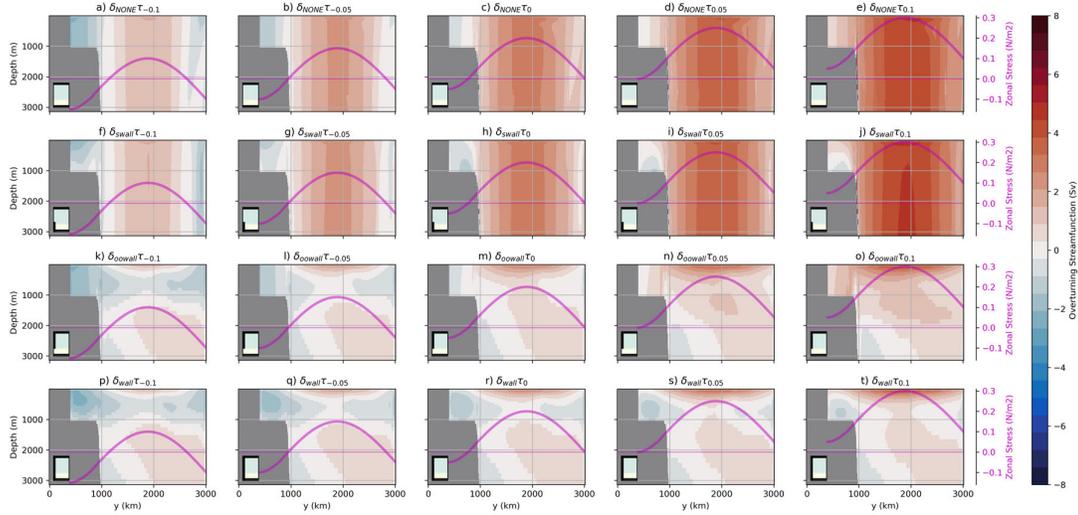
521 Figure 10. Same panel-experiment layout as Figure 6 but now the Barotropic Streamfunction for all
 522 experiments. To compensate for large throughflow transports, rows 1-2 use a different colourbar to rows 3-
 523 4. Thin green lines are isobaths.

524

525 The Eulerian meridional overturning streamfunctions shown in Figure 11 highlights how
 526 each boundary condition alters the means by which the vertical structure compensates for the

527 changes in top Ekman transport as the stress changes. At the large scale, the overturning is
528 throughflow dominant with boundary condition δ_{NONE} , δ_{swall} (rows 1-2 Figure 11), and gyre
529 dominant for δ_{oowall} and δ_{wall} (rows 3-4 Figure 11). Across all regimes, the change in the
530 direction of the top Ekman transport coincides with the latitude at which the winds change
531 direction. In a fully throughflow regime (top row Figure 11), the northern (Figure 11a-e) and
532 southern (Figure 11a-c) overturning cells, are modulated by the position and strength of the
533 westerlies/easterlies, and the transition zone of the westerlies to easterlies (Figure 11a-c).
534 This highlights how the change in upwelling up the shelf break occurs, driving the discussed
535 shelf temperature changes (Section 4b; Figure 6). Introducing a wall on the shelf (row 2
536 Figure 11), the overturning is similar to δ_{NONE} (row 1 Figure 11) except that the return flow
537 on the shelf is no longer confined to the bottom Ekman layer but is higher up in the water
538 column as a geostrophic return flow, this makes the anti-clockwise cell on the shelf more
539 baroclinic. Despite there being no westward wind in Figure 11i-j, there is a weak, sub-surface
540 anti-clockwise overturning cell on the shelf, this is likely a closure for the now opposing
541 zonal flows and the geostrophic return flow created by the gyre on the shelf. The deep ocean
542 wall simulations δ_{oowall} (row 3 Figure 11) are readily understood by considering the δ_{wall}
543 case and the above arguments. Introducing a full north-south wall (Figure 11r) leads to three
544 gyres and their associated overturning (see Section 4a). As the winds are modified, e.g., with
545 uniform westward wind (Figure 11p,q), the anti-clockwise cells above 1000m get larger and
546 stronger. This is due to the increase in southward top Ekman transport at the southern
547 boundary and the now southward top Ekman transport at the northern boundary. Similarly,
548 with a uniform addition of eastward wind (Figure 11s,t), the near-surface clockwise-
549 overturning cell gets larger and stronger. Re-visiting Figure 6 but now with the control
550 simulations removed (middle $c = 0$ column), Figure S1 shows that the zonally averaged
551 temperature does change in a consistent, modest way with these overturning changes. The
552 observed linking of the bottom and top overturning cells in Figure 11s-t, likely also explains
553 the modest temperature changes seen in δ_{oowall} row 4 in Figure 7. Here, we have only shown
554 the Eulerian overturning, based on *Stewart and Thompson* (2012), since we have non-zero
555 Easterlies at the Southern boundary we expect the eddy overturning to be small on the shelf
556 and as the response to surface forcing change is linear (next Section), we do not expect large
557 eddy overturning changes (across the rows of Figure 11).

558



559

560 Figure 11. Same panel-experiment layout as Figure 6 but now the Meridional Overturning
 561 Streamfunction for all experiments.

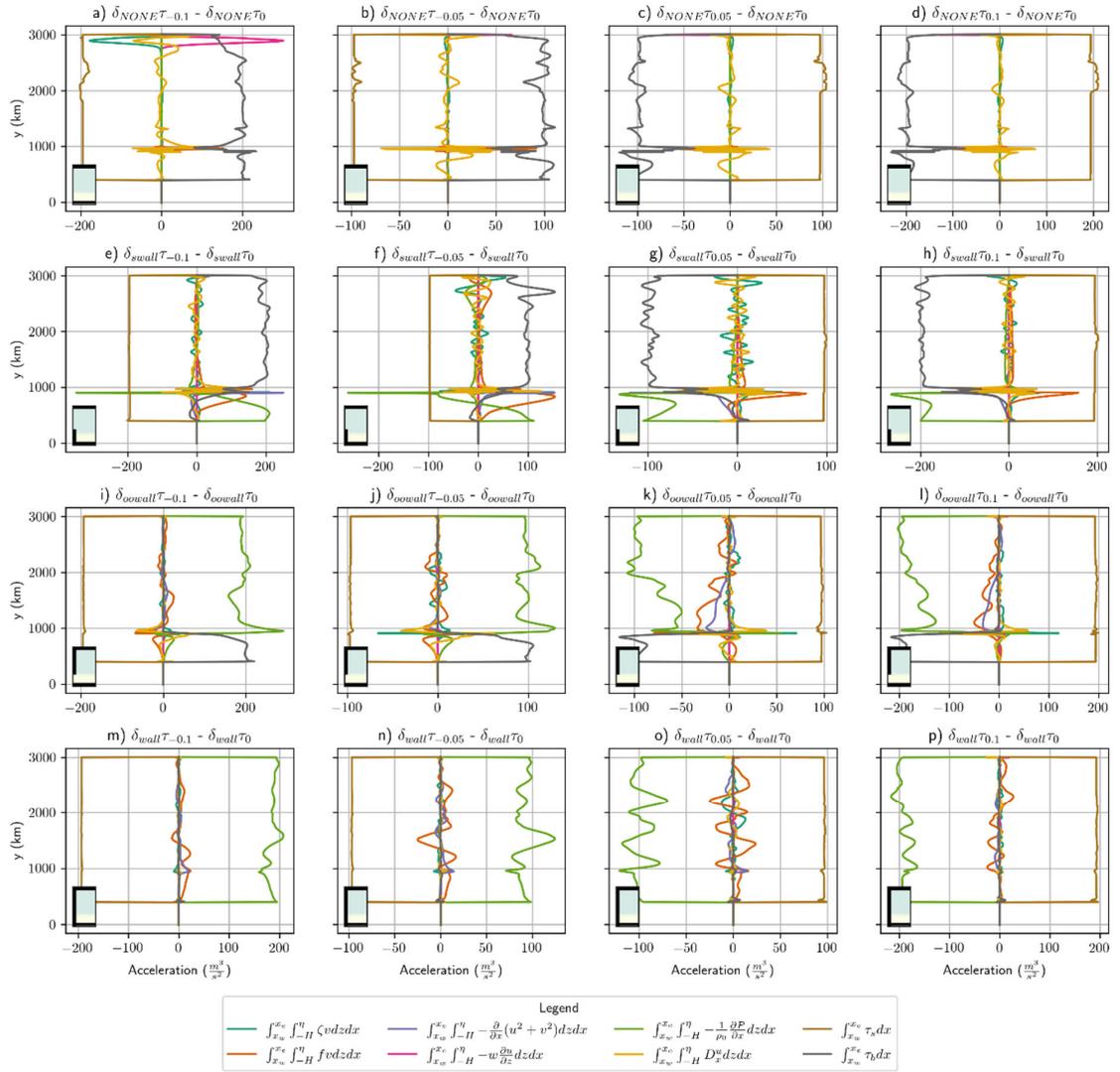
562 *d Momentum budget*

563 Several studies have found that eddies are a critical feature for fluxing heat and mass
 564 across the shelf break (Stern et al., 2015; Stewart & Thompson, 2015; St-Laurent et al.,
 565 2013). Throughout this study we have assumed that the changes in shelf temperatures
 566 are largely a result of momentum advection from circulation changes. Here, using depth
 567 and zonally integrated momentum budgets, we look to test whether these circulation
 568 changes are indeed linear (not related to eddies) and that the described geostrophic and
 569 Ekman dynamics (Section 2 and 4c) are what is driving those changes. The NEMO vector
 570 invariant form of the momentum equation is:

571
$$\frac{\partial \mathbf{u}_h}{\partial t} = - \left[(\nabla \times \mathbf{u}) \times \mathbf{u} + \frac{1}{2} \nabla \mathbf{u}^2 \right]_h - f(k \times \mathbf{u})_h - \frac{1}{\rho_0} \nabla_h P + D^u + F^u$$

572 where f is the Coriolis parameter, \mathbf{u}_h is the horizontal velocity vector, ∇ and ∇_h is
 573 the 3D and 2D gradient operators, respectively. $[\cdot]_h$ is the horizontal component of a
 574 vector. F^u is the vertical divergence of the vertical diffusive momentum fluxes, i.e.
 575 $\frac{\partial}{\partial z} (\kappa_z \frac{\partial \mathbf{u}_h}{\partial z})$ which includes the top and bottom stress where $\int_{-H}^{\eta} F^u dz = \tau_s - \tau_b$. D^u is the
 576 horizontal divergence of the horizontal diffusive momentum flux (i.e. $\nabla \cdot (\kappa_h \frac{\partial \mathbf{u}_h}{\partial x} +$
 577 $\kappa_h \frac{\partial \mathbf{u}_h}{\partial y})$), with the turbulent horizontal (κ_h) and vertical (κ_z) viscosities.

578



579

580 Figure 12. The 20 year time-average vertically and zonally integrated zonal momentum budget with
 581 control wind experiment removed. The terms are as described in Section 4.4 and indicated by the legend.
 582 Each row has a different boundary condition, in order: i) fully re-entrant, ii) blocked shelf,
 583 blocked deep ocean and iv) fully blocked shelf and deep ocean, respectively. The small glyphs (bottom-left)
 584 schematically indicate the geometry under consideration in each panel.

585

586 Figure 12 shows the depth and zonally integrated x -momentum balance with the
 587 control wind stress for their respective boundary condition removed. As the control
 588 wind stress is removed, Figure 12-13 are primarily intended to be compared along the
 589 rows (Figure S4 and S5 show the respective raw values). Under a change in wind
 590 forcing, over a long time-mean ($\frac{\partial u_h}{\partial t} = 0$), Figure 12 highlights the importance of bottom

591 friction and pressure gradients in balancing the input of momentum by the wind stress.

592 In other words, unsurprisingly, the following terms dominate:

593
$$\int_{x_w}^{x_e} \tau_s dx = \underbrace{\int_{x_w}^{x_e} \tau_b dx}_{\text{throughflow}} + \underbrace{\int_{x_w}^{x_e} \int_{-H}^{\eta} \frac{\partial p}{\partial x} dz dx}_{\text{gyre}}$$

594 In regions where there is a throughflow regime (rows 1-3), the change in surface stress

595 $\int_{x_w}^{x_e} \tau_s dx$ (light brown) is matched by a commensurate and opposite change in bottom stress

596 $\int_{x_w}^{x_e} \tau_b dx$ (dark grey), along each row that is: over the whole domain, in the deep ocean and

597 on the shelf for rows 1-3, respectively. In contrast, in regions where there is a gyre regime

598 (rows 2-4), consistent with previous studies (D. R. Munday et al., 2015; Olbers et al., 2007),

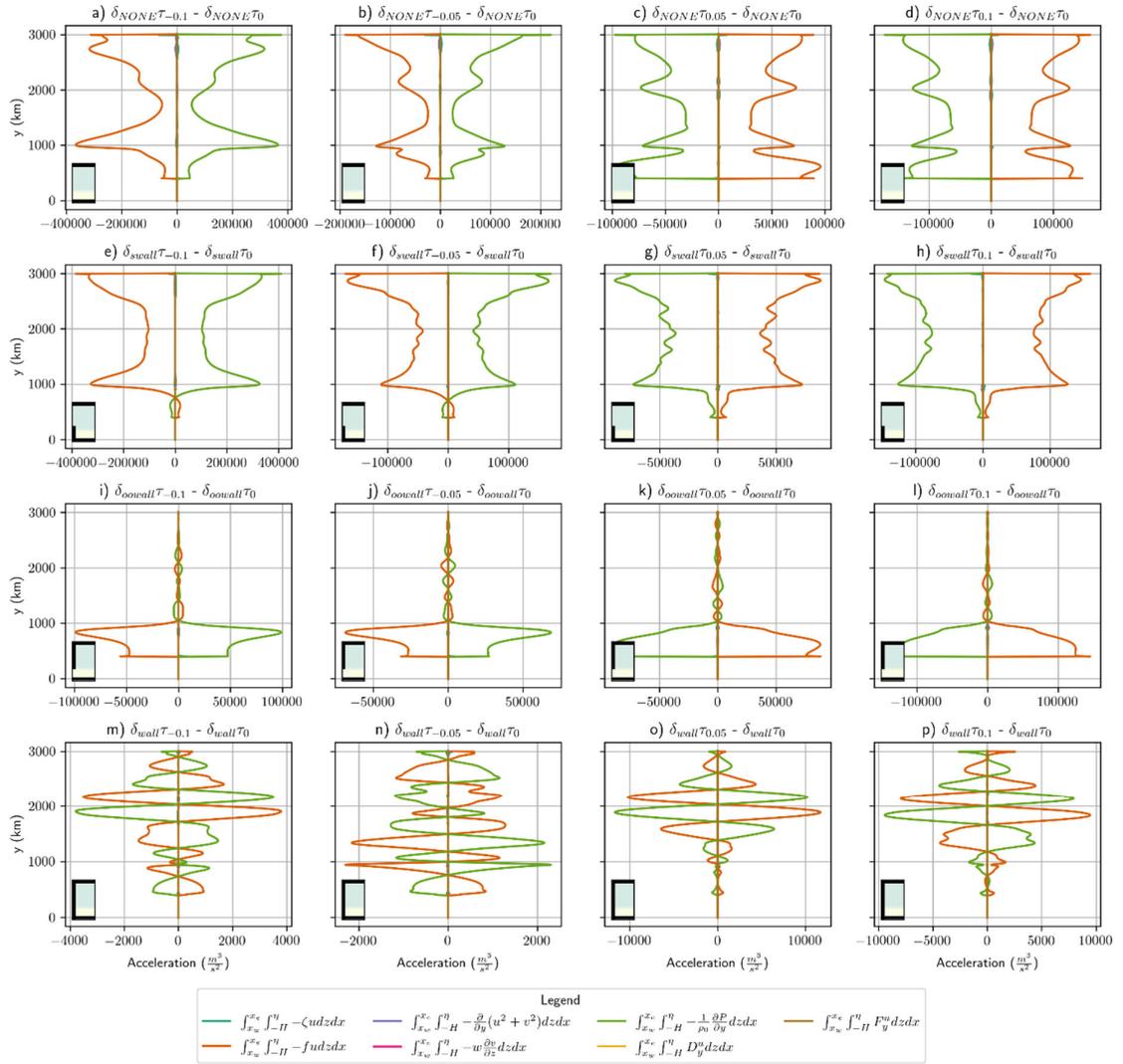
599 the change in surface stress $\int_{x_w}^{x_e} \tau_s dx$ (light brown), is matched by a commensurate and

600 opposite change in the depth and zonally integrated pressure gradient $\int_{x_w}^{x_e} \int_{-H}^{\eta} \frac{\partial p}{\partial x} dz dx$ (i.e.

601 continental/topographic form stress), along each row that is: on the shelf, in the deep ocean

602 and over the whole domain for rows 2-4, respectively.

603



604

605 Figure 13. Same as Figure 12 but for the zonally integrated meridional momentum budget with control
 606 wind experiment removed.

607

608 Figure 13 shows the depth and zonally integrated y -momentum balance with the
 609 control wind stress experiment removed (Figure S5 shows the raw values). For context,

610 recall that these simulations have no meridional stress and Figure S3 shows that the
 611 zonally averaged currents co-locate with the raw $\int_{x_w}^{x_e} \int_{-H}^{\eta} fu dz dx$ values of Figure S5.

612 Here, under a change in wind forcing (comparing across the rows), over a long time-
 613 mean ($\frac{\partial u_h}{\partial t} = 0$), Figure 12 highlights the importance of geostrophy, namely

614
$$\int_{x_w}^{x_e} \int_{-H}^{\eta} fu dz dx = -\frac{1}{\rho_0} \int_{x_w}^{x_e} \int_{-H}^{\eta} \frac{\partial p}{\partial y} dz dx$$
 where the change in the remaining terms is

615 negligible. As the zonal momentum input from the wind changes across the rows in

616 Figure 13, this changes the strength of the zonal flow in the throughflow regimes where
617 a pressure gradient compensates (rows 1-3). In particular, within rows 1-3, columns 1-2
618 have weaker winds, so the zonal currents decelerate, whereas columns 3-4 have
619 stronger winds, so the zonal currents accelerate. As discussed earlier, there are small
620 changes in the horizontal circulation in a fully gyre regime (row 4) which is why we see
621 relatively small changes in geostrophic balance (note x -axis reduction in scale in row 4).

622 **5 Summary and Discussion**

623 In this study, we have explored how basin geometry and wind shifts have a large role in
624 determining the temperature structure on Antarctica's shelf seas, furthermore, the basin
625 geometry influences how susceptible the shelf temperatures are to changes in winds in the
626 future.

627

628 We have used a simple barotropic model (Stommel's planetary geostrophic equations in
629 Section 2), to explore the linear dynamical balances that change when the circulation regime
630 changes from a channel to a gyre, we also examined how the Ekman and geostrophic
631 circulations respond to changes in surface forcing within these two regimes, all in the absence
632 of baroclinicity and topography. We then used the primitive equation ocean model NEMO
633 (Section 4) with varying temperature to see if the barotropic arguments from Section 2 could
634 explain the more complex circulation changes (Section 4c). Despite the NEMO model
635 configuration used here including complications such as bathymetry and baroclinicity, we
636 find that the time-mean results are largely understood by the simple barotropic model. In the
637 simple model, we considered Ekman layers and geostrophic transports diagnostically, all
638 three layers were within one slab of fluid whereas in NEMO, the described layers are now in
639 separate fluid layers. Fundamentally, the time-mean equilibrated differences between the
640 geometries and winds, can be understood by changes in the Ekman layers and a geostrophic
641 circulation compensating for changes in boundary and surface stress induced top Ekman layer
642 transport (Section 2b-c and 4c). Specifically, irrespective of boundary condition, from a
643 zonally integrated perspective, the westerly and easterly stresses create a near surface
644 northward and southward transport in the top Ekman layer, respectively. As we discuss in
645 Section 2 and Section 4, the interior responds to these transports where the boundary
646 condition determines how the response is constrained. In brief, we summarise the response as

647 follows. In the case of a throughflow, as the easterly-westerly wind transition moves south, so
648 does the confluence region in the bottom layers, and critically, the upwelling region that
649 brings warm waters onto the shelf. We note that these results are consistent with more
650 realistic simulations in the Amundsen Sea (Caillet et al., 2023; Haigh et al., 2023). In a gyre
651 regime, when a wall is introduced, the return flow is no longer confined to the frictionally
652 balanced bottom Ekman layers but rather a wall creates topographic form stress enabling a
653 geostrophic return flow at every depth where the wall is present. We noted that the
654 introduction of a deep ocean wall (Figure 6) led to the flattening of isotherms in the deep
655 ocean, with less warm waters close to the shelf. Applying the above arguments, we see this is
656 because the return flow set up by the near-surface Ekman transport is more evenly distributed
657 throughout the water column whereas when the return flow is in the frictionally balanced
658 bottom Ekman layer. Whilst these largely linear dynamics balance have been understood for
659 some time (e.g. *Veronis* (1996) and *Vallis* (2017)), we think this is the first time they have
660 been re-visited in terms of Antarctic shelf temperatures in a realistic primitive equation ocean
661 model.

662

663 When it comes to understanding future Southern Ocean projections, from the gyre regime
664 simulations with a constant offset in the winds, we think this work demonstrates that we need
665 to diagnose how the winds change, i.e. strength, a latitudinal shift, a change in curl as this
666 allows us to manage our expectations for the change we expect. Despite several studies
667 focuses on eddies as a critical feature for fluxing heat and mass across the shelf break (Stern
668 et al., 2015; Stewart & Thompson, 2015; St-Laurent et al., 2013), in this instance, NEMO's
669 momentum budget shows that over equilibrated timescales, the system response to *surface*
670 *forcing changes* is first-order linear (some geometry changes, e.g., Figure 7c,h and S4c,h
671 involve non-linear advection). The linear nature of the surface forcing response may be
672 because of the focus on equilibrated changes and the relatively coarse resolution of the model
673 (7.9 km); *Stewart and Thompson* (2015) found that 1km or finer is required to resolve the
674 eddies for cross-shelf heat transport. However, on the larger scale, Stewart and Thompson
675 (2012) found that 5 km was sufficient to resolve the Easterly eddy overturning on the shelf.
676 Future work, could re-visit these problems with finer resolution. Furthermore, while these
677 simulations lack many important features (e.g., buoyancy forcing changes, varying forcing,
678 sea ice, ice shelves, meridional winds, realistic bathymetry et cetera) we hope this study

679 encourages others to consider basin geometry and easterlies in related idealized studies; our
680 own work is ongoing in adding other features.

681

682 A remaining question is how the simplest of geometries and forcing considered here is
683 relevant to the real ocean. To manage expectations, as winds change, we need metrics to
684 diagnose when we are in a gyre or throughflow regime, blocked f/h contours offer some
685 barotropic insight here but the mechanisms described in this paper apply in partial cases too.
686 In this study, we intentionally made the transitions distinct, making the diagnostics simple
687 (Section 4d) but in the real Southern Ocean the dynamics is more mixed. Indeed, Masich et
688 al. (2015) found that 95% of the momentum input by the wind at ACC latitudes is balanced
689 by topographic form stress, reinforcing that the real Southern Ocean is very different to the
690 idealized flat bottomed channels discussed here. We thus need a stratification dependent
691 metric to diagnose the degree to which a region is in a channel or gyre regime. We think
692 work such as Figure 5 from *Waldman and Giordani (2022)* diagnosing the dominant vorticity
693 balance in different regions is work in the right direction. We assume this kind of diagnostic
694 would need to reconcile throughflow and gyre dynamics into a singular framework,
695 considerable discussion has occurred on this topic (Hughes, 2000, 2002; Hughes & Cuevas,
696 2001; Jackson et al., 2006; Olbers, 1998; Olbers et al., 2004; Warren et al., 1996). On
697 applying Sverdrup theory in the Southern Ocean, *Hughes (2002)* used Sverdrup like theories
698 from (Stommel, 1957; Webb, 1993) to estimate ACC transport, see (LaCasce & Isachsen,
699 2010) for a more general review of linear theories. For vorticity in gyres alone, direct
700 buoyancy forcing aside, the dominant terms are still debated. For example, *Hughes (2000)*
701 notes that the historical tendency to focus on gyres in boxes with straight walls (Walter H.
702 Munk, 1950; Stommel, 1948) has led to the view that the return flow in western boundary
703 currents occurs due to friction and viscosity. In reality, coastlines are sloped, leading to
704 inviscid western currents where, like the channel regime, the wind stress is balanced by
705 topographic form stress, or in vorticity parlance bottom pressure “torques” balance the wind
706 stress curl (e.g. Schoonover et al., 2017; Styles et al., 2021). Here, we are interested in heat
707 transport across Antarctica’s shelf break, if we think of this in terms of momentum transport
708 across f/h contours then the above theories highlight (for vorticity), that momentum
709 transport across a sloping shelf break or bottom ridge requires an additional source of
710 vorticity, and the kind of dissipation (e.g. Munk, Stommel et cetera) changes depending on

711 the nature of the feature. If we are to understand how Antarctica’s shelf temperatures will
712 respond to a warming climate, then further work is needed on how to apply these ideas across
713 mixed flow regimes.

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723 *Data Availability Statement.*

724 Key NEMO configuration files and model outputs will be made available on Zenodo
725 following final revisions of this article. The NEMO configuration used in this article uses
726 NEMO version 4.0.4 with the following branch:
727 branches/UKMO/NEMO_4.0.4_momentum_trends @ 15194. This branch can be found on
728 the (old svn) repository at: <https://forge.ipsl.jussieu.fr/nemo/browser/NEMO/>. The finite
729 element method solve for Stommel’s planetary geostrophic equations in Section 2 used
730 Mathematica v13.2.

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