Parameterizing mesoscale eddy buoyancy transport over sloping topography

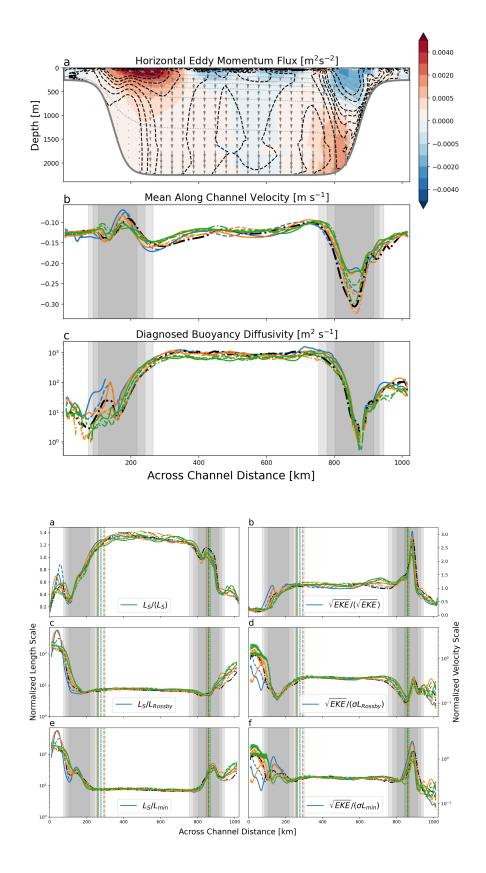
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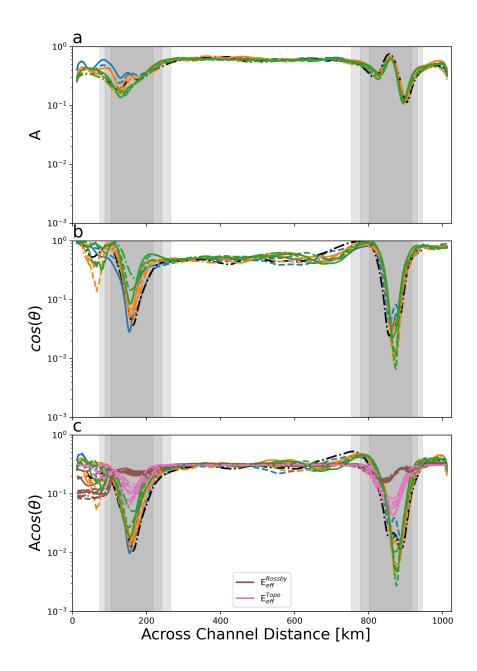
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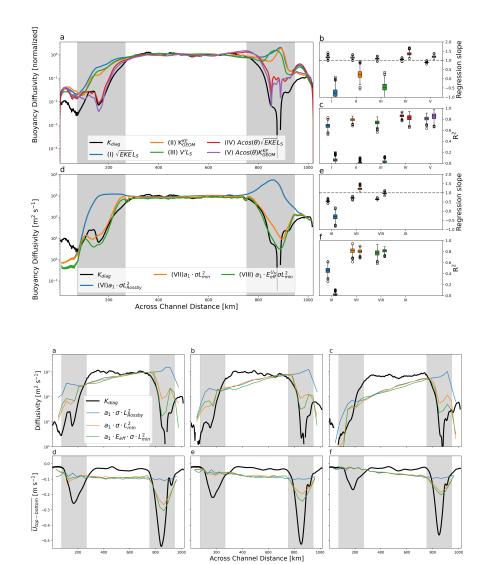
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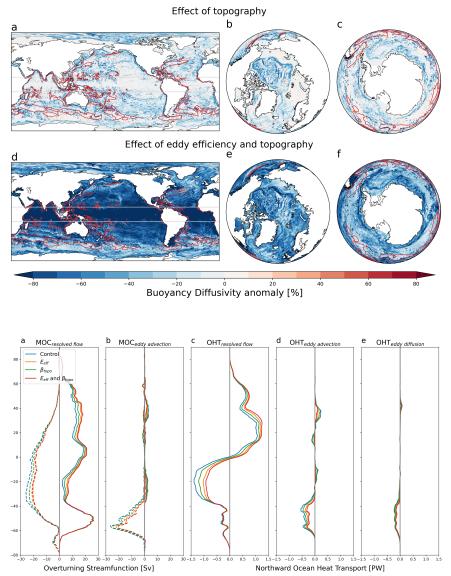
Abstract

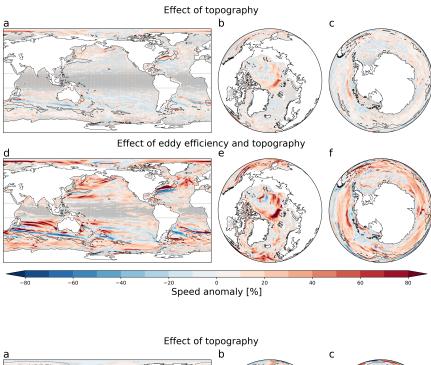
Most of the ocean's kinetic energy is contained within the mesoscale eddy field. Models that do not resolve these eddies tend to parameterize their impacts through down-gradient transport of buoyancy and tracers, aiming to reduce the large-scale available potential energy and spread tracers. However, the parameterizations used in the ocean components of current generation Earth System Models (ESMs) rely on an assumption of a flat ocean floor even though observations and high-resolution modelling show that eddy transport is sensitive to the potential vorticity gradients associated with a sloping sea floor. We show that buoyancy diffusivity diagnosed from idealized eddy-resolving simulations is indeed reduced over both prograde and retrograde bottom slopes (topographic wave propagation along or against the mean flow, respectively) and that the reduction can be skilfully captured by mixing length parameterization by introducing the topographic Rhines scale as a length scale. This modified 'GM' parameterization enhances the strength of thermal wind currents over the slopes in coarse-resolution, non-eddying, simulations. We find that in realistic global coarse-resolution simulations the impact of topography is most pronounced at high latitudes, enhancing the mean flow strength and reducing temperature and salinity biases. Reducing buoyancy diffusivities further with a mean-flow dependent eddy efficiency factor has notable effects also at lower latitudes and leads to reduction of global mean biases.

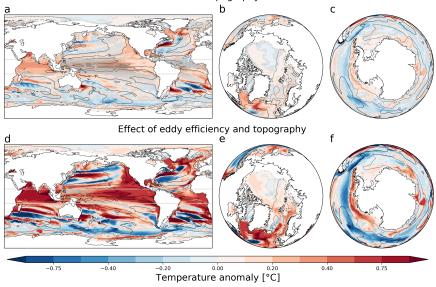


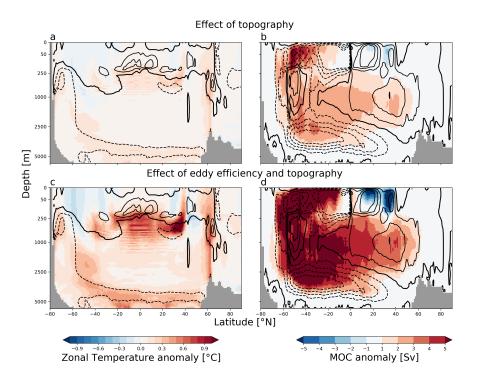












Parameterizing mesoscale eddy buoyancy transport over sloping topography

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« Key Points:

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| 9 | • | Eddy buoyancy diffusivity reduction over bottom slopes can be parameterized us- |
|----|---|---|
| 10 | | ing the Eady growth rate and topographic Rhines scale. |
| 11 | • | Realistic reduction in buoyancy diffusivity in a coarse resolution model enhances |
| 12 | | baroclinic boundary currents. |
| 13 | • | A topographically-aware eddy efficiency factor improves the parameterization and |
| 14 | | further reduces biases in global simulations. |

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15 Abstract

Most of the ocean's kinetic energy is contained within the mesoscale eddy field. Mod-16 els that do not resolve these eddies tend to parameterize their impacts through down-17 gradient transport of buoyancy and tracers, aiming to reduce the large-scale available 18 potential energy and spread tracers. However, the parameterizations used in the ocean 19 components of current generation Earth System Models (ESMs) rely on an assumption 20 of a flat ocean floor even though observations and high-resolution modelling show that 21 eddy transport is sensitive to the potential vorticity gradients associated with a sloping 22 sea floor. We show that buoyancy diffusivity diagnosed from idealized eddy-resolving sim-23 ulations is indeed reduced over both prograde and retrograde bottom slopes (topographic 24 wave propagation along or against the mean flow, respectively) and that the reduction 25 can be skilfully captured by mixing length parameterization by introducing the topo-26 graphic Rhines scale as a length scale. This modified 'GM' parameterization enhances 27 the strength of thermal wind currents over the slopes in coarse-resolution, non-eddying, 28 simulations. We find that in realistic global coarse-resolution simulations the impact of 29 topography is most pronounced at high latitudes, enhancing the mean flow strength and 30 reducing temperature and salinity biases. Reducing buoyancy diffusivities further with 31 a mean-flow dependent eddy efficiency factor has notable effects also at lower latitudes 32 and leads to reduction of global mean biases. 33

³⁴ Plain Language Summary

Due to their high computational costs, global climate models are usually run at coarse 35 spatial resolution, which does not allow them to resolve the ocean weather—mesoscale 36 eddies—which are an important part of the ocean energy cycle and contribute to mix-37 ing of tracers such as heat and carbon. Eddies are instead parameterized in an idealized 38 manner which relates the eddy-driven transport to the strength of the vertical and hor-39 izontal density gradients in the ocean. Such parameterization do not take into account 40 impacts of large-scale bottom bathymetry which have been shown to weaken the eddy 41 driven transport. Here we use high-resolution eddy-resolving simulations to improve ex-42 isting parameterizations so that they become sensitive to the bottom slope. We show 43 that such a parameterization qualitatively captures the transport reduction seen in ide-44 alized high-resolution simulations and can also reduce errors in realistic global simula-45 tions. 46

47 **1** Introduction

At present, the ocean components of most global climate models are used at res-48 olutions that require parameterizing the oceanic mesoscale (Fox-Kemper et al., 2019). 49 And although coupled simulations with eddying ocean fields are slowly emerging (Chang 50 et al., 2020), mesoscale eddy parameterizations are still likely part of ocean models for 51 another decade. Most present-day parameterizations have their origins in the works of 52 Gent and Mcwilliams (1990); Gent et al. (1995) and Redi (1982), tackling eddy-induced 53 advection and tracer mixing, respectively. The 'GM' advection is cast in terms of a hor-54 izontally down-gradient and vertically up-gradient buoyancy diffusion which acts to re-55 duce available potential energy. And 'Redi' diffusion mixes tracers down-gradient along 56 isopycnals (Gent, 2011). In practice, most model implementations focus on estimating 57 an eddy diffusion coefficient, or eddy diffusivity, which is then used to drive both eddy 58 induced advection and mixing. It is generally understood that these are separate pro-59 cesses. However, previous studies have suggested that GM and Redi coefficients differ 60 only in their vertical structure (K. S. Smith & Marshall, 2009; Abernathey et al., 2013; 61 Bachman et al., 2020) and, therefore, that their depth-averaged values should be sim-62 ilar up to a constant factor. 63

Depth-averaged eddy diffusion coefficients in coarse-resolution climate models are 64 often parameterized following mixing length theory, set proportional to the product of 65 some eddy velocity scale and a mixing length scale. Some work has gone into estimat-66 ing the eddy velocity scale by implementing a prognostic equation for eddy energy (Eden 67 & Greatbatch, 2008; Marshall et al., 2012; Mak et al., 2018; Bachman, 2019; Jansen et 68 al., 2019), but this is still very much an active field of research. The study by Visbeck 69 et al. (1997) therefore continues to influence the practical use of the mixing length ap-70 proach. Drawing on earlier works by Green (1970) and Stone (1972), the authors pro-71 posed that the velocity scale be based on the product of the growth rate of baroclinic 72 instability in the linearized Eady model (Eady, 1949) and some length scale. Assuming 73 that the mixing length is also set by the same scale, the diffusivity will then scale as the 74 Eady growth rate and the square of the length scale. Visbeck et al. (1997) associated 75 the mixing length with the 'width of the baroclinic zone' which they defined as "the width 76 of the region where the local growth rate exceeds 10% of the maximum growth rate of 77 the field". The concept, however, is hard to define in any but the the most idealized model 78 geometries, and length scales therefore need to be formed from theoretical dynamical ar-79 guments. 80

As proposed by Stone (1972), one obvious candidate for length scale is the inter-81 nal deformation radius, the approximate scale of fastest unstable growth in the Eady model. 82 Solid observational evidence for the relevance of this length scale has been presented by 83 Stammer (1997) and Eden (2007). However, other relevant scales arise if dynamics be-84 yond the Eady framework is accounted for, most notably bottom friction and internal 85 potential vorticity (PV) gradients. Jansen et al. (2015), for example, examined the role 86 of bottom friction and the planetary vorticity gradient in a two-layer flat-bottom chan-87 nel model. They found that bottom friction primarily influences the vertical distribu-88 tion of eddy energy and that the mixing length in most of their simulations is set by the 89 Rhines scale, i.e. the transition scale between nonlinear and linear PV dynamics on the 90 flat-bottom planetary beta plane (Rhines, 1977). More generally, Jansen et al. (2015) 91 found that in order to cover various dynamical regimes, the smaller of several candidate 92 length scales should be chosen. And, in fact, the observational studies of both Stammer 93 (1997) and Eden (2007) specifically pointed to a minimum of the internal deformation 94 radius and the Rhines scale as a best fit for eddy length scales over much of the world 95 oceans. 96

These principles remain the standard in state-of-the-art models, although devel-97 opment has occurred in later years. As mentioned above, there has been extensive fo-98 cus on developing prognostic equations for eddy energy. And a considerable effort has aq gone into studying effects of horizontal eddy anisotropy (R. D. Smith & Gent, 2004) and 100 the suppression of mixing across strong mean flows (Ferrari & Nikurashin, 2010; Klocker 101 et al., 2012, and references therein). It's worth noting, however, that most of the devel-102 opment up until recently has been guided by observed dynamics in low- and mid-latitudes. 103 Current parameterizations thus lack any treatment of two aspects that are potentially 104 of huge importance in high latitude oceans, namely the presence of sea ice and the po-105 tential vorticity gradients imposed by sloping bottom topography. A sea ice cover can 106 effectively have the same influence as bottom friction on both growth of baroclinic in-107 stability as well as dissipation of existing mesoscale and sub-mesoscale eddies (Meneghello 108 et al., 2021). But this topic will be left out from the present study. We will instead fo-109 cus on the dynamical impacts of bottom slopes, i.e. continental slopes and mid-ocean 110 ridge systems, whose imprints can be easily seen in observations of both mean currents 111 and mesoscale energy fields, especially at high northern latitudes (Nøst & Isachsen, 2003; Koszalka et al., 2011; Trodahl & Isachsen, 2018). Such imprints of topographic PV gra-113 dients can also be seen at lower latitudes, e.g. in drifter and float paths (LaCasce, 2000; 114 Fratantoni, 2001). 115

Sloping bottom topography can suppress growth rate and reduce length scales of 116 baroclinic instability (e.g. Blumsack & Gierasch, 1972; Mechoso, 1980; Isachsen, 2011; 117 Brink, 2012) as well as impact finite-amplitude eddy fields (e.g. Bretherton & Haidvo-118 gel, 1976; Vallis & Maltrud, 1993; Lacasce & Brink, 2000; K. Stewart et al., 2015; Wang 119 & Stewart, 2018). To this end, new topography-aware parameterizations have started 120 to emerge, both for eddy-induced advection and isopycnal mixing. In particular, Wang 121 and Stewart (2020) and Wei et al. (2022) used high-resolution model simulations of flows 122 over idealized continental slopes in a re-entrant channel to test different scaling relations 123 for the GM diffusivity. The two works examined eddy characteristics and fluxes across 124 retrograde and prograde mean currents, respectively, meaning currents that are in the 125 opposite and same direction as topographic waves. Both studies diagnosed the eddy en-126 ergy from the high-resolution fields and used this to examine traditional mixing length 127 formulations in addition to the 'GEOMETRIC' formulation of Marshall et al. (2012) which 128 is based on eddy energy and the inverse of the Eady growth rate. In general, the two for-129 mulations performed similarly, suggesting that a good knowledge of the eddy energy field 130 is key. But, importantly, both studies also found that empirical prefactors that depend 131 on the topographic slope are needed to reproduce the very weak eddy buoyancy fluxes 132 across sloping bottom topography. 133

Wei and Wang (2021) carried on from Wang and Stewart (2020), but focused on 134 the along-isopycnal tracer (Redi) diffusivity—in retrograde flows only. The authors scaled 135 the Redi diffusivity from (the square root of) the diagnosed eddy kinetic energy and the 136 internal deformation radius. But here too it was found that the effective diffusivity over 137 the slope was suppressed below the original scale estimate. However, instead of testing 138 a set of empirical slope-dependent prefactors, as done by Wang and Stewart (2020) and 139 Wei et al. (2022), this study picked up from Ferrari and Nikurashin (2010) and argued 140 that mean flow suppression could explain the observed reduction in cross-slope fluxes near 141 the surface, whereas eddy velocity anisotropy contributed to the reduction close to the 142 bottom. 143

In other words, both sets of studies (see also Brink, 2012, 2016; Hetland, 2017) con-144 cluded that the strength of eddy fluxes over sloping bottoms is not only given by eddy 145 energy and length (or time) scales but also by additional dynamical impacts of the bot-146 tom topography. Essentially, perfect knowledge of the eddy energy and either length scales 147 or time scales will only produce an upper bound on eddy diffusivity. Eddy velocity anisotropy 148 is one obvious factor which may then bring the diffusivity down from this upper bound. 149 The other and perhaps more important factor is the possibility that velocity and tracer 150 perturbations are not very well in phase (the two being in quadrature would give zero 151 transport). Most likely, the topography-dependent prefactors of the above-mentioned stud-152 ies primarily address such imperfect phase relationships. 153

The present study will focus on eddy buoyancy transport and thus on GM diffu-154 sivilies. It is inspired by and builds directly on the results obtained by Wang and Stew-155 art (2020) and Wei et al. (2022). However, as noted, the above works examined prograde 156 and retrograde flows separately and also constructed diffusivities from eddy energy lev-157 els diagnosed from very idealized but high-resolution fields. So here we aim to i) study 158 fluxes and diffusivities over both types of flow situations under one and the same frame-159 160 work, ii) examine how far one can get without diagnosing the actual eddy energy field and, finally, iii) assess the impacts both in an idealized setting and in a realistic global 161 ocean simulation. 162

In the process, we also revisit the question of what is the relevant eddy length scale over continental slopes. The starting point will be the internal deformation radius since this remains a relevant parameter in the Eady problem, even when this includes a bottom slope (Blumsack & Gierasch, 1972). But we also consider the topographic Rhines scale, i.e. the scale where topographic Rossby waves (rather than planetary Rossby waves) mark the transition between linear and non-linear PV dynamics. The above-mentioned idealized channel studies give conflicting evidence about the relevance of this scale. We
are nevertheless inspired by the findings of Stammer (1997), Eden (2007) and Jansen et
al. (2015) and therefore bring up this approach here again. Finally, we also examine and
attempt to parameterize the role of eddy velocity anisotropy and the phase relationship
between flow and buoyancy perturbations.

The paper is structured as follows: In section 2 we introduce the modelling tools 174 and various diagnostics and parameterizations used. In section 3 we begin by diagnos-175 ing eddy fields from a high-resolution channel simulations that contain both prograde 176 177 and a retrograde flows at the same time. We then see how far mixing-length and GE-OMETRIC parameterizations can take us in reproducing the diagnosed depth-averaged 178 GM diffusivity—with and without accounting for effect of anisotropy and phase relations 179 between eddy velocity and tracer perturbations. At the end of this section we examine 180 the impact of a topographically-aware parameterization in a coarse-resolution version 181 of the channel model. In section 4 we finally employ the new parameterization in real-182 istic global ocean simulation. We then take a critical look into some of our parameter-183 ization choices and their interpretation in section 5 before summarizing our findings in 184 section 6. 185

186 2 Methods

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2.1 Model setup

We use the Bergen Layered Ocean Model (BLOM), the ocean component of the Norwegian Earth System Model (NorESM; Seland et al., 2020), in an idealized channel configuration as well as in a realistic global setup. BLOM uses 51 isopycnal levels (potential density referenced to 2000 dbar) with a 2-level bulk mixed layer at the surface.

The channel setup is re-entrant in the zonal (x) direction. The domain is 416 km 192 long (zonally) and 1024 km wide (meridionally). At both sides of the channel there are 193 continental slopes centered at 150 km from the domain edge, stretching 2000 m in ver-194 tical from the shelf break at 250 m depth to the bottom of the slope at 2250 m depth. 195 In addition, to trigger instabilities we add random noise with standard deviation of 10 m 196 to the bottom topography. The model is initialized from rest with constant salinity and 197 a horizontally homogeneous temperature profile. The temperature, which here determines 198 density alone, has a maximum at the surface and decays exponentially towards the bot-199 tom. We place the channel in the northern hemisphere, using a constant Coriolis param-200 eter, and then force the flow with a constant westward wind stress. The surface mixed 201 layer is kept shallow by parameterization of submesoscale mixed layer eddies (Fox-Kemper 202 et al., 2008) that counter the vertical mixing induced by the constant wind forcing. See 203 Table 1 for further parameter settings. 204

We first run the channel model at eddy-resolving 2 km horizontal resolution. To 205 investigate the effects of the two bottom slopes on eddy transport and, specifically, on eddy diffusivity, we vary the initial stratification and the width of the continental slope. 207 i.e the slope angle. The various experiments are laid out in Table 2. All simulations are 208 spun-up to a semi-equilibrium for 10 years, and the model fields are then diagnosed over 209 an additional 5-year period (so between years 10–15). We then test and compare var-210 ious forms of parameterized eddy buoyancy fluxes at coarse resolution at 32 km resolu-211 tion in the same idealized channel. These are also run for 15 years, with the last 5 years 212 being diagnosed. 213

Finally, the impact of the most skillful parameterization is assessed in realistic global simulations. These are nominal 1° resolution global forced ocean-ice experiments which follow the Ocean Model Intercomparison Project, OMIP-II protocol (Tsujino et al., 2020). In these simulations, the mean grid size north of 62°N and south of 64.5°S is approximately 32 km, similar to the coarse resolution channel. Two simulations are conducted, one with the new parameterization and another with an existing eddy parameterization
which does not include any effects of bottom topography. Each simulation is 110 year
long (2 cycles of 55 long repeat cycle), and we diagnose the results using the last 30 years.
At this point there is still a long term drift in the model (as seen in all models following the OMIP-II protocol; Tsujino et al., 2020), but the general circulation has stabilized.

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2.2 Diagnostics and Paramaterizations

The key parameter of interest is the buoyancy diffusivity, as we have assumed that lateral eddy buoyancy transport can be expressed as down-gradient diffusion. In the idealized zonal channel simulations, where buoyancy is given by temperature, the cross-channel (i.e. meridional) buoyancy diffusivity can be diagnosed from

$$K_{diag} = -\frac{\langle v'T' \rangle}{\partial \langle T \rangle / \partial y},\tag{1}$$

where v and T are meridional velocity and temperature, respectively. Angle brackets indicate a zonal (along-channel) mean and primes indicate deviations from such mean. So v' and T' are the across-channel velocity and temperature perturbations from the zonal mean.

Note that the cross-channel perturbation velocity is related to the eddy kinetic energy density

$$EKE = \frac{\langle u'^2 \rangle + \langle v'^2 \rangle}{2} \tag{2}$$

via the velocity anisotropy factor

$$A = \frac{\langle v'^2 \rangle}{\langle u'^2 \rangle + \langle v'^2 \rangle},\tag{3}$$

so that

$$v' = (2A \cdot EKE)^{1/2}.$$
 (4)

In practice, we use Parseval's theorem to diagnose the buoyancy flux from the cross spectrum of cross-channel velocity and temperature:

$$K_{diag} = \frac{\int \hat{C}o(v', T') \, dk}{\partial \langle T \rangle / \partial y},\tag{5}$$

where $\hat{C}o(v',T')$ is the real part of the cross spectrum which we integrate over all zonal wavenumbers k.

In this study we focus exclusively on the depth-averaged diffusivity, and if not stated otherwise all variables are depth-averaged quantities. We leave the development of depthvarying parameterizations for future studies. A fruitful way forward for this may be to develop a flow-dependent structure function that distributes the depth-averaged diffusivity vertically (see e.g. Bachman et al., 2020; Wei & Wang, 2021). Finally, for analysis of the channel simulations, we also average K_{diag} over time.

Parameterizing the diffusivity starts with a scale estimate. Two approaches are currently in use, the traditional mixing length and the GEOMETRIC approach. In the former, we write

$$K_{ML} \propto VL,$$
 (6)

where V is a representative eddy velocity and L is a lateral mixing scale. Typically, this is often taken to be related to the size of eddies themselves. If complete information exists about the high-resolution eddy fields, it is natural to set $V = \sqrt{EKE}$ or, more correctly for the cross-channel diffusion we study here, $V = \langle v' \rangle$. The eddy length scale

L may also be diagnosed from the shape of velocity spectra. Several possibilities exist (see e.g. Eden, 2007), but here we chose

$$L_{S} = \frac{\int |\hat{v}(k)|^{2} k^{-1} dk}{\int |\hat{v}(k)|^{2} dk}$$
(7)

which can be thought of as a kinetic energy-weighted mean wavelength under the spectrum.

Alternatively, the energy-based diffusivity estimate of the GEOMETRIC framework (Marshall et al., 2012; Mak et al., 2018) is constructed as

$$K_{GEOM} \propto \sigma_E^{-1} E,$$
 (8)

where σ_E is the Eady growth rate and E is the total eddy energy. The Eady growth rate is

$$\sigma_E = 0.3 \frac{f}{Ri^{1/2}} \tag{9}$$

where f is the Coriolis parameter and Ri is the geostrophic Richardson number:

$$Ri = \frac{N^2}{|\partial U_g/\partial z|^2}.$$
(10)

Here

$$N^{2} = -\frac{g}{\rho_{0}} \frac{\partial \rho}{\partial z}$$

$$= \partial b / \partial z$$
(11)

is the squared buoyancy frequency (g is gravitational acceleration, ρ is density and b buoyancy, while ρ_0 is a reference density) and

$$\begin{aligned} |\partial U_g / \partial z| &= |\frac{g}{\rho_0 f} \nabla \rho| \\ &= |\nabla b / f| \end{aligned}$$
(12)

is the magnitude of the thermal wind shear. As said, E is the total eddy energy, i.e. the sum of the EKE and EPE (eddy potential energy). The latter is diagnosed from

$$EPE = \frac{1}{H} \sum_{i=1,n} \frac{1}{2} \frac{\rho_{i+1} - \rho_i}{\rho_0} g\langle \eta_{i+1/2}^{\prime 2} \rangle, \qquad (13)$$

where η is the height of an isopycnal surface (trivially diagnosed from the layered BLOM model). The sum is taken over *n* density surfaces and, as in all of the above, the prime marks deviations from the zonal mean.

Since the work on prognostic eddy energy budgets is still a topic of active research, we set out here to parameterize both the eddy velocity and eddy length scale from coarseresolution variables. Thus, following Visbeck et al. (1997), we write

$$V_{par} = \sigma_E L, \tag{14}$$

which gives

$$K_{par} \propto \sigma_E L^2.$$
 (15)

Two parameterizations for the eddy length scale are then assessed, namely the WKBapproximation to the internal Rossby deformation radius

$$L_R = \frac{\int N \, dz}{|f|},\tag{16}$$

and the parameterized version of the topographic Rhines scale

$$L_T = \left(\frac{V_{par}}{\beta_T}\right)^{1/2} = \frac{\sigma_E}{\beta_T},$$
(17)

where $\beta_T = (|f|/H)|\nabla H|$ and we have assumed $V_{par} = \sigma_E L_T$ (Eden & Greatbatch, 244 2008). Parameterized velocity and length scales are always chosen consistently i.e. the 245 parameterized diffusivities will depend on the Eady growth rate and the squared length 246 scale of choice.

Finally, as outlined in the introductory section, it is important to remember that the above parameterizations most likely give upper bounds on diffusivities, corresponding to situations where there is perfect correlation between the eddy velocity and temperature perturbations, i.e. where the two quantities are either in perfect phase or antiphase. To investigate if and how topographic slopes impact such phase relationship, we also utilize the high-resolution fields from the channel simulation to map out the cosine of the phase angle between the real and imaginary parts of the cross spectrum between v' and T':

$$\cos(\theta) = \frac{Co(v,T)}{\left[\hat{C}o(v,T)^2 + \hat{Q}u(v,T)^2\right]^{1/2}}$$
(18)

where $\hat{Q}u(v,T)$ is the the imaginary part of the cross spectrum (the quadrature spectrum). For analysis, we average θ across all wavenumbers (k) and over time before calculating the cosine. An attempt to parameterize the observed phase relationship is also presented in what follows.

²⁵¹ **3** Eddy fluxes in a channel model

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3.1 Equillibrated flow field and eddy fluxes

Our setup (see section 2.1) is very similar to the setup in the series of papers by 253 Wang and Stewart (2018, 2020), Wei and Wang (2021) and Wei et al. (2022), except that 254 we now have continental slopes on both sides of the channel. The forcing is also slightly 255 different as we employ a westward wind stress which, unlike in the previous studies, is 256 kept constant across the channel. The mean ocean state, however, is very similar. Since 257 the channel is in the northern hemisphere, the westeard wind stress sets up a northward 258 surface Ekman transport. Thus, Ekman divergence in the south and convergence in the 259 north results in a time-mean sea surface tilt which is in geostrophic balance with a west-260 ward mean flow, as shown in the two upper panels of Figure 1. The Ekman-driven over-261 turning circulation in the y-z plane lifts up isopycnals in the south so that they slope with 262 the bathymetry there. Conversely, downwelling in the north sets up isopycnals that slope 263 against the topography. 264

Despite the simple wind forcing, the total baroclinic velocity field is rather complex. In the north there is a strong westward jet over the slope. This jet has a significant thermal wind shear but nonetheless extends all the way to the bottom. Over the southern slope the westward flow is weaker and much more surface-trapped. Lower layers here are almost motionless, so the depth-averaged westward flow takes on a minimum over the slope. Instead there is a broad and nearly barotropic westward current which has its maximum strength immediately off the seaward side of the continental slope.

The north-south asymmetry is clearly not only a result of the stratification being weaker in the south than in the north. Thus, net impacts of mesoscale eddy fluxes must likely be taken into account. At the most basic level, the tilted isopycnals in both regions are baroclinically unstable, creating an eddy field whose residual mass transport will tend to counter the Ekman-driven oveturning circulation. However, because mesoscale eddies also transport momentum, the mean flow field reflects, in part, the integrated effects of eddy momentum and buoyancy fluxes. Their combined effects can be studied in the Transformed Eulerian Mean (TEM) version of the zonally-averaged zonal momentum equation:

$$\frac{\partial \langle u \rangle}{\partial t} - f \langle v^* \rangle = -\nabla_{yz} \cdot \boldsymbol{F}_{EP} + \frac{\partial \langle \tau^x \rangle}{\partial z}, \qquad (19)$$

where

$$\boldsymbol{F}_{EP} = -\langle v'u' \rangle \hat{\boldsymbol{j}} + f \frac{\langle v'b' \rangle}{N^2} \hat{\boldsymbol{k}}$$
(20)

is the Eliasssen-Palm flux. It consists of a lateral eddy momentum flux and an eddy form 272 stress (this term arises after thickness-weighting). In (19) we have neglected small terms 273 describing the transport of zonal mean momentum by the meridional mean flow as well 274 as vertical flux of momentum (see Wang & Stewart, 2018). Note, however, that the eddy 275 form stress term, which is connected to lateral buoyancy transport under the small-slope 276 approximation, may be thought of as a vertical momentum flux. Finally, the Coriolis term 277 278 contains the *residual* meridional velocity, i.e. the equivalent mass transport velocity which accounts for both the Eulerian-mean flow and the mass transport by eddy correlations. 279

The E-P flux is shown as arrows in the top panel of Figure 1. In general, both in 280 the south and in the north, the downward eddy momentum flux is suppressed over the 281 slopes, in agreement with earlier studies which indicate that baroclinic instability of sup-282 pressed over continental slopes. Our estimate of the depth-averaged cross-channel buoy-283 ancy diffusivity reflects this signature by being reduced by about two orders of magni-284 tude over the continental slopes (lower panel). What these simulations show, as also seen 285 in the simulations of Wang and Stewart (2018) and Manucharyan and Isachsen (2019), 286 is that eddy motions instead bring zonal momentum laterally across the slopes near the 287 surface and dump it where the ocean bottom flattens off towards the deep basin (this 288 lateral component $\langle v'u' \rangle$ is highlighted with color in the plot). And there, over the 289 relatively flat bottom, baroclinic instability kicks in to bring the momentum down to the 290 solid ground below. 291

As lateral eddy momentum fluxes are also clearly important in this and previous 292 simulations, optimal parameterizations will likely need to be build up around down-gradient 293 PV fluxes (see e.g. Wang & Stewart, 2018). However, it is also reasonable to expect that 294 any framework which is successful at reproducing the order-of-magnitude drop in buoy-295 ancy diffusivities seen in Figure 1 will also improve the ocean state in coarse-grained mod-296 els. So we keep this focus here. Hence, on our way towards a practical parameterization 297 of a GM diffusivity over continental slopes, we begin by examining the length scales and 298 velocity scales associated with the mesoscale eddy field. This approach is motivated by 299 the mixing length argument (Prandtl, 1925), relating diffusivity to an eddy velocity scale 300 and a length scale. However, we will also compare this approach with the energy-based 301 GEOMETRIC framework (Marshall et al., 2012; Mak et al., 2018). 302

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3.2 Eddy length and velocity scales

Estimates of eddy length and velocity scales are shown in Figure 2. The length scale 304 is estimated from (7), i.e. by calculating a spectral-weighted mean wavelength associated 305 with north-south velocity perturbations. When normalized by its mean value across the 306 channel the length scale shows a near-universal shape across the various model runs (up-307 per left panel). There is a broad maximum over the mid-basin before length scales drop 308 over the continental slopes on both sides. There is, however, a consistent local maximum 309 over mid-slope on the northern (prograde) side, coinciding with the maximum in mean 310 zonal velocity. Scales then flatten out or even increase over the shelf regions. But, as with 311 other diagnostics below, but we will largely ignore shelf values in the discussion below 312 due to the proximity to the model walls. For the eddy velocity scale we show the square 313 root of EKE. When normalized with the across-channel average (upper right panel), the 314

eddy velocity scale in all runs is reduced over the southern slope, save for a slight increase
over the upper parts of the slope. In stark constrast, the northern slope is dominated
by a large maximum, also that one centered over the upper parts of the slope. The eddy
velocity then drops off and flattens out over both shelf regions.

It would seem that forming a diffusivity from the product of these diagnosed length and velocity scales may reproduce the observed reduction over the southern retrograde slope (Fig. 1), at least qualitatively. But it should also be clear that the same procedure would produce a diffusivity maximum over the northern slope—for which there is absolutely no indication in the model fields. We will return to this issue below but first examine possible scaling approximations to the observed length and velocity scales.

We start by normalizing by the classical Stone (1972) prediction. So the length scale 325 is normalized by the internal deformation radius L_R (16) and the velocity scale by the 326 product of the Eady growth rate (9) and the deformation radius, so $V = \sigma_E L_R$. With 327 such normalization both the length scales and velocity scales collapse really well in the 328 mid-basin (middle panels). The normalized length scales then drop slightly over the lower 329 parts of both slopes, indicating that the deformation radius overestimates scales there 330 somewhat. Finally, there is a dramatic rise in normalized scales over the upper parts of 331 both slopes as the deformation radius drops towards the shallow shelves. As with length 332 scales, the normalized velocities drop over the lower parts of the slopes before rising again 333 over the upper parts. The normalization brings the EKE peak over the upper parts of 334 the slope down to values similar to those seen over the mid-basin, suggesting that the 335 EKE peak there coincides with the region of active baroclinic instability. 336

Finally, we normalize by selecting a smooth minimum of length scales:

$$L_{min} = \frac{L_R L_T}{L_R + L_T},\tag{21}$$

where L_T is the topographic Rhines scale (17). The results are similar over the central basin since the deformation radius is the smaller of the two scales there (the Rhines scale blows up). But now both normalized length and velocity scales peak over the slopes where the Rhines scale becomes the smaller of the two—and is quite clearly too small to explain the observed fields. As such, consideration of the topographic Rhines scale does not seem to bring any improvement in skill over the continental slopes.

But before rejecting this scaling choice it is worth noting again that the construction of a diffusivity from the original (non-normalized) length and velocity scale estimates (i.e. from the curves shown in the top row) would obviously result in a diffusivity maximum over the central northern slope. Such a maximum is in no way suggested from Figure 1. What is missing from the story here is a consideration of how eddy velocity anisotropy and the velocity-temperature phase relationship may act to bring diffusivities down over the slopes. So we turn to this issue next.

3.3 Anisotropy and phase relationship

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Figure 3 shows the eddy velocity anisotropy A(3) and the cosine of the phase an-351 gle between real and imaginary parts of the v' and T' cross-spectra (18). As expected, 352 the eddy velocity field is close to being isotropic in the middle of the basin (upper panel). 353 Values there are around 0.6, implying that cross-channel velocity fluctuations v' are in 354 fact slightly larger than along-channel fluctuations u'. The eddy fluctuations then be-355 come much more anisotropic towards the continental slopes, with A values over the up-356 per parts of the slope close to 0.1 (0.2) in the north (south). This implies that v' is about 357 70% (50%) smaller than u' in the north (south). A notable exception is a peak over the 358 center of the northern slope where v' is about 50% larger than u'. We have also tested 359 other measures of anisotropy, such as the velocity based measure used by K. Stewart et 360

al. (2015) that takes rotational aspects into account, and the results are similar to those shown here.

The general behavior of increased anisotropy over the slopes, with |v'| < |u'|, will 363 work to reduce the scale-based diffusivity there. But the variations in A from mid-basin 364 values are not great and the mid-slope peak (where |v'| > |u'|) would actually increase 365 the estimates there. So we conclude from this that velocity anisotropy alone can not ex-366 plain the consistent drop in diffusivity by two orders of magnitude over the slopes seen 367 in Figure 1. The phase relation, however, is able to explain the observed order-of-magnitude 368 drop over the slopes, as the v' and T' fields are close to 90° out of phase there (middle panel). Importantly, the low phase agreement over the northern slope largely cancels the 370 local peak in anisotropy. 371

The lower panel in Figure 3 shows the product of A and $\cos(\theta)$, an indication of the total suppression of diffusivities over the scale-based upper bound. The total suppression is dominated by the information carried in the phase relationship, and velocity anisotropy primarily plays a role near the edges of the two slopes. The suppression over the slopes amounts to more than an order of magnitude, so it is an effect which clearly needs to be parameterized.

Essentially, the slope-dependent prefactors which previous studies have needed to invoke to explain buoyancy diffusion in similar channel simulations are attempts at such parameterization (Brink, 2012, 2016; Hetland, 2017; Wang & Stewart, 2020; Wei et al., 2022). However, at this point we temporarily detour from those earlier studies and instead take as a starting point an expression which bears some resemblance to the final form of the mean flow suppression expression proposed by Ferrari and Nikurashin (2010). Thus, we construct an eddy efficiency factor as

$$E_{eff} = a_1 \frac{1}{1 + a_2 \left(U_{bc}^2 / V^2\right)}.$$
(22)

Here, U_{bc} is the large-scale baroclinic flow speed obtained after subtracting the depth-378 averaged velocity, V is the eddy velocity scale and a_1 and a_2 are scaling factors which 379 we here take to be constant. The expression does not have a rigorous basis but a sim-380 ple intuitive interpretation. U_{bc} is directly related to the thermal wind shear and, hence, 381 to the underlying energy source of baroclinic instability (e.g. Sutyrin et al., 2021). Qual-382 itatively, if U_{bc} is large and the flow is baroclinically unstable, one would expect V to 383 be relatively large, giving $E_{eff} \sim 1$. But if V remains small despite large U_{bc} , some dy-384 namical constraints (e.g. a sloping bottom) must be reducing the efficiency of baroclinic 385 energy conversion, implying $E_{eff} \ll 1$. 386

We evaluate (22) at each depth but then take the mean over the water column. The 387 large scale baroclinic flow U_{bc} is extracted directly from the resolved (and zonally-averaged) 388 velocity field, while the eddy velocity is parameterized from (14). The lower panel of Fig-389 ure 3 shows the resulting efficiency factor, using either L_R or L_T as length scale. The 390 tuning constants a_1 and a_2 have been chosen manually but it is clear that using L =391 L_T can produce a suppression over the continental slope which is in fairly good agree-392 ment with $A \cdot \cos(\theta)$ over both slopes for a range of different simulations. We note that 393 several tests with using the thermal wind instead of U_{bc} and with evaluating (22) with 394 depth averaged-quantities (instead of taking the mean of a depth dependent expression) 395 all produce similar results. Here we chose to use U_{bc} due the ease of implementation at 396 coarse resolution. 397

³⁹⁸ 3.4 Parameterized diffusivity

Given the above results, we then proceed with parameterizing the diagnosed buoyancy diffusivity. The aim is to capture the order-of-magnitude reduction in diffusivities from the mid-basin to the slope regions. The results are shown in Figure 6 where we dis-

tinguish between diagnostic (panels a-c) and full parameterizations (panels d-e). The 402 diagnostic parameterizations include information about the mesoscale field itself which 403 would not be directly available in a coarse resolution model (but could be parameterized in higher-order schemes), whereas the full parameterizations use large-scale metrics 405 only and are therefore suitable for direct implementation in any existing coarse-resolution 406 model. Panels a and c are from one single simulation, showing both the actual depth-407 averaged diffusivity diagnosed (black line) and the various approximations (distinguished by Roman numerals and color). Panels b-c and e-f then show statistics over both slope 409 regions collected over the whole range of simulations. 410

A first thing to notice from the diagnostic parameterizations (panels a–c) is that the mixing length (I) and GEOMETRIC (II) approaches behave very similarly. As also noted by Wang and Stewart (2020), both give reduced diffusivities over the southern retrograde slope and produce a reasonable fit there $(r^2 > 0.6)$. But the reduction is still underestimated by up to one order of magnitude. In the north, over the prograde slope, both approaches result in a serious qualitative mismatch as the high EKE levels there (seen in Fig. 2) produce a non-existing diffusivity peak over mid-slope.

The observed discrepancies, particularly the qualitative mismatch over the north-418 ern slope, confirms that scaling arguments alone are unable to create diffusivities that 419 reproduce the observed buoyancy transport across the slope regions. Accounting for the 420 diagnosed eddy velocity anisotropy, so that \sqrt{EKE} will be replaced with v' (III) improves 421 the mixing length estimate slightly but not nearly enough. Multiplying the two estimates 422 by $A\cos\theta$, however, largely removes the diffusivity peak in the north and even produces 423 a clear suppression over the slope (IV and V). The values are still higher than the ob-424 served diffusivity, but the regression slope is close to one and the correlation r^2 values 425 above 0.8. Over the retrograde slope in the south the match is even higher. 426

Guided by the observed agreement between the mixing length and GEOMETRIC estimates above, we focus on the former approach when examining how well full paramaterizations can do. So we assume that a diffusivity can be written as the Eady growth rate times the square of a length scale. Including our efficiency factor, the effective diffusivity becomes

$$K = a_1 \frac{K_0}{1 + a_2 \left(U_{bc}^2/V^2\right)},\tag{23}$$

where $K_0 = \sigma_E L^2$ is the scaling estimate of diffusivity before considering the efficiency factor and where, as discussed above, we have a choice to make for the length scale. We start by looking at K_0 first. Using the traditional Stone (1972) expression where the length scale is taken to be the internal deformation radius everywhere, seriously overestimates diffusivities over both slope regions (VI). The estimate, in fact, bears some resemblance with both the mixing length and GEOMETRIC estimates based on diagnosed eddy quantities (I and II), but with even larger discrepancies over the slope regions.

Selecting as length scale the smooth minimum of the deformation radius and the 434 parameterized topographic Rhines scale (VII) improves the estimate dramatically. The 435 results are unchanged over the flat regions, as the deformation radius is selected there. 436 But over the slopes where the Rhines scale is selected, the parameterized diffusivities drop 437 by up to two orders of magnitude and start to match the observations quite well (with 438 $r^2 > 0.8$ over both slopes). Multiplying this estimate with the parameterized efficiency 439 factor (VIII) improves the match somewhat over the prograde slope in the north but not, 440 as it turns out, over the retrograde southern slope. 441

Both Figures 3 and 6 indicate that Stone scaling, i.e. using the deformation radius as length scale, produces better estimates of observed eddy characteristics than does the topographic Rhines scale. And yet, these simulations suggest that applying the Rhines scale is absolutely crucial in reproducing the observed diffusivity reduction over topographic slopes. This apparent contradiction may suggest that our parameterized topographic Rhines scale does not reflect the physical size of equilibrated eddies but rather a reduction in the effective mixing length. In other words, the mixing length is not trivially related to the eddy size. Given our parameterization, the effect will impact the scaling estimate significantly so that the need for an explicit suppression factor (our E_{eff}) becomes smaller. But we leave further speculation on this topic to the discussion section and here carry on to see what effects the parameterized expression (VIII) will have in actual coarse-grained simulations.

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3.5 Performance in a coarse-resolution channel simulation

The coarse-resolution channel setup is similar to the high-resolution channel setup. 455 except for resolution (from 2 km to 32 km) and the activation of the GM-Redi param-456 eterization scheme. The model is forced and run similarly to the high-resolution setup. 457 Figure 5 shows parameterized buoyancy diffusivities and the top-to-bottom thermal wind 458 shear from three of the equilibrated simulations that had wide continental slopes but 459 differing initial stratification. We also show the corresponding diagnosed quantities from 460 the corresponding high-resolution simulations for comparison (thick black lines), but it 461 should be remembered that that one is a distinct simulation. As in Figure 6, we show 462 the three versions of the parameterized diffusivity (and corresponding thermal wind shears): 463 one using the internal deformation scale (with $a_1 = 8$), one using the smooth minimum 464 between internal deformation scale and topographic Rhines scale (with $a_1 = 8$) and, 465 finally, one using the smooth minimum and also applying the parameterized eddy efficiency factor (with $a_1 = 32$ and $a_2 = 1$). In the last case a_1 is tuned so that the pa-467 rameterized buoyancy diffusivity matches the two former cases in the mid-basin. 468

The results show that over the mid-basin, where the internal Rossby radius will al-469 ways be selected as length scale, the parameterized diffusivity magnitude corresponds 470 fairly well to the diagnosed diffusivity in the mid-basin. However, the parameterized dif-471 fusivity shows a clear north-south gradient in the magnitude, an effect caused by a stronger 472 difference in stratification between north and south at coarse resolution which directly 473 impacts the internal deformation radius. The deformation scale-based parameterization 474 (orange line) then suggests local diffusivity maxima over both slopes, as also seen in Fig-475 ure 6. This run has a thermal wind shear which is not at all enhanced over the conti-476 nental slopes. Essentially, the high eddy buoyancy transport over the slope regions ef-477 fectively washes out any density front there. This, it should be remembered, is exactly 478 the effect one wishes to reduce with a slope-sensitive parameterization. 479

The run using a parameterization which selects the minimum of the two length scales does much better over both continental slopes where the topographic Rhines scale kicks in. With suppressed diffusivities, the density front which is set up by the topographic PV gradient is no longer washed out completely. The result is an enhanced thermal wind shear over the northern slope, albeit with a lower absolute strength than in the high-resolution simulation. In the south, where the stratification is much weaker, the parameterization is not able to set up a thermal wind shear.

Further scaling by the eddy efficiency E_{eff} (Fig. 5, green) enhances the diffusivity reduction in the north, but not necessarily in the south, as also observed for the highdiagnostics simulations. Therefore, the feedback to the resolved fields strengthens the baroclinic jet in the north further, but not in the south.

The above results are encouraging. However, although the channel setup is a reasonable test bed for development, it is extremely idealized and lacks multiple features from the real world (e.g. variable Coriolis parameter, uneven topography and complex atmospheric forcing). Therefore, we also test the slope-aware parameterization in the realistic global domain next.

⁴⁹⁶ 4 Realistic global model simulations

4.1 Eddy parameterization adjustments

We carry out a 'control' simulation and 5 different perturbation experiments, but 498 for simplicity, we focus on a comparison between the 'control' simulation and two of the 499 perturbation experiments. All of these simulations operate with 2D diffusivities based 500 on the depth-averaged Eady growth rate and a square length scale, as in (15). The con-501 trol run selects a length scale from the minimum of the internal deformation radius and 502 the planetary Rhines scale. Then, in two distinct 'topo' runs we i) introduce the topo-503 graphic Rhines scale in the minimum function and ii) also turn on the eddy efficiency 504 factor E_{eff} . The OMIP 'topo' runs then differ slightly from the coarse-resolution chan-505 nel setup in the choice of constant scaling factors. The constant factor a_1 which scales 506 the overall diffusivity magnitude is set to 3 and factor a_2 used in E_{eff} is set to 1. In ad-507 dition, we scale the topographic Rhines scale further down with a constant factor 0.5 (stronger 508 sensitivity to slopes). We view these constants as tuning factors specific to one partic-509 ular setup; for example, the resolution of the bottom topography dataset influences the 510 strength of the topographic beta and thereby tuning the topographic Rhines scale might 511 be needed. Finally, in all runs the diffusivity magnitude is scaled down with a resolu-512 tion function (Hallberg, 2013) when the deformation radius is resolved by the model grid. 513 And, for simplicity, the along-isopycnal (Redi) tracer diffusivity is set to be the same as 514 the GM diffusivity. 515

To put these experiments in some context, it should be mentioned that the model 516 settings for the control run are similar to the NorESM model version used in the latest 517 Climate Model Intercomparison Project (CMIP6) except for the GM diffusivity formu-518 lation. The CMIP6 version of the model included a mixing length formulation where the 519 length scale was selected as the minimum of the internal deformation radius and the plan-520 etary Rhines scale—as in our control simulation. However, the local Eady growth rate 521 was then evaluated at each model level, rendering a 3D profile for both eddy driven ad-522 vection (GM) and for along isopycnal mixing (Redi). Finally, the scaling-based diffusiv-523 ity was adjusted by a zonal velocity-dependent mean flow suppression following Ferrari 524 and Nikurashin (2010), and as in the experiments here, a resolution function (Hallberg, 525 2013) was also used. 526

The lack of vertical structure of the 2D parameterization proposed here, turned out 527 to be a clear deficiency in the global domain as our initial simulations showed an unre-528 alistically strong sensitivity to bottom slopes in the low and mid-latitude deep ocean. 529 For example, large reductions in the parameterized diffusivity across mid-ocean ridges 530 were not seen in eddy-permitting studies that diagnosed eddy diffusivity in the global 531 domain (e.g., Bachman et al., 2020). Therefore, to reduce the topographic impact on eddy 532 fluxes in strongly stratified low and mid-latitude regions, we added an ad hoc 'limiter' 533 of topographic effects—based on the assumption that if the resolved flow does not feel 534 the bottom then it is unlikely that mesoscale eddies would do so either. Specifically, the 535 topographic Rhines scale is scaled by $\cos(\alpha)^{-10}$ which rapidly increases the topographic 536 Rhines scale when the angle α between the resolved flow and the bottom slope tangent 537 vector deviate by more than $\sim 30^{\circ}$ i.e. when the resolved flow is not aligned with the bot-538 tom slope. 539

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4.2 Model response in the global domain

As expected, introducing the topographic Rhines scale leads to locally reduced diffusivities over sloping topography, as shown in Figure 6 (top row). The effect is enhanced at high latitudes with a $\sim 50\%$ reduction over Arctic and Antarctic continental slopes. Bringing in the eddy efficiency E_{eff} leads to additional and more severe diffusivity reduction globally, also away from topographic features (bottom row). This is in agreement with other recent studies that found the scaling by mean-flow dependent suppres-

sion to have the largest impact on diffusivity at global scale (Stanley et al., 2020; Zhang 547 & Wolfe, 2022; Holmes et al., 2022). Note that in the tropics, the diffusivity is limited 548 by the grid resolution function (Hallberg, 2013), i.e. the diffusivity is reduced when the 549 grid size is smaller than the local deformation radius. Therefore, the large relative re-550 duction in tropical diffusivity is small in absolute terms and less important there as trans-551 port is dominated by the resolved flow. Finally, we note that a comparison between the 552 top and the bottom rows in Figure 6 shows that in multiple continental slope regions, 553 especially in the Arctic and around Antarctica, the eddy efficiency simply enhances the 554 response seen with the topographic Rhine scale but the pattern stays the same. Indeed, 555 the diffusivity reduction due to introducing the topographic Rhines scale and due to eddy 556 efficiency are close to linearly additive (not shown). 557

As the impact of eddy efficiency on diffusivity is more broad, its impact on flow speed, 558 temperature, and salinity is also more widespread than the impact of the topographic 559 Rhines scale alone. Table 3 collects bias reductions (relative to the control case) across 560 5 different experiments while Figures 7-9 show the spatial patterns for subsurface (100-561 200 m) current speed and temperature, as well as zonal-mean temperature and overturn-562 ing anomalies for the two 'topo' experiments that are in focus here. We show results for 563 the subsurface response since the surface response in these forced simulations is strongly 564 forced by the non-responsive atmosphere. Both the topographic Rhines scale alone and 565 its combination with eddy efficiency increase the mean kinetic energy of the resolved flow globally (at 100–200 m depth, by 2.7% and 10.5%, respectively). This increase is espe-567 cially noticable over sloping bathymetry where the two impacts contribute approximately 568 equally to the overall increase (100–200 m depth where $\beta_t > 5E - 10 \text{ m}^{-1} \text{s}^{-1}$, by 9.1% 569 and 20.8%, respectively). The two modifications also warm the ocean below the global 570 thermocline and cool the surface, reducing the overall temperature bias at depth. But 571 they increase the temperature bias at the thermocline (Table 3; Fig. 9a,c). Overall, the 572 mean overturning response in the 'topo' runs is characterized by a positive (cyclonic) anomaly 573 which implies that the Atlantic overturning cell and the Deacon cell in the Southern Ocean 574 strengthen, whereas the Antarctic Bottom Water cell and the shallow surface overturn-575 ing cells within the subtropical and subpolar gyres weaken. These changes generally re-576 duce biases. The simulated strength of the Atlantic overturning at $26^{\circ}N$ is 15.5 Sv in 577 the the control simulation, 17 Sv when topographic Rhines scale is considered, and 18 578 Sv with the addition of eddy efficiency, whereas the observational estimate from the RAPID 579 array ($\sim 26^{\circ}$ N) is 17±3.3 Sv (Frajka-Williams et al., 2019). The Antarctic bottom wa-580 ter cell at 32° S weakens from 26.0 Sv in the control simulation to 23.5 Sv with topographic 581 Rhines scale and 20.3 Sv with addition of eddy efficiency, whereas inverse modelling sug-582 gest 20.9 ± 6.7 Sv (Lumpkin & Speer, 2007). The Deacon cell strengthens from 13.2 Sv 583 in the control simulations to 15.2 Sv with the topographic Rhines scale and 18.4 Sv when 584 eddy efficiency is considered, whereas previous modelling estimates (Döös et al., 2008) 585 and observational estimates (Speer et al., 2000) suggest a strength of 20 Sv and 20-25 586 Sv, respectively. The vertically-integrated mass and heat transports, plotted in Figure 587 10, show that overall the overturning response leads to increasing heat transport towards 588 the northern hemisphere. The northern hemisphere subtropical peak in northward heat 589 transport in the Atlantic basin (globally) is 0.83 PW (1.07 PW) in the control simula-590 tion, 0.91 PW (1.15 PW) when topographic Rhines scale is considered, and 1.00 PW (1.26 591 PW) with the addition of eddy efficiency, whereas Trenberth et al. (2019) estimate ap-592 proximately 1.1 PW (1.6 PW). 593

Some more specific impacts of the topographic Rhines scale and eddy efficiency are a poleward shift and strengthening of the boundary and slope currents, with E_{eff} generally speeding up the boundary currents at locations where observations show the core of the currents (Fig. 7, observed currents in black). Changes in the net volume transports in most key passages remain small (Table 4), but the results show a strengthening of the ACC (Drake Passage transport; increased bias), a general enhancement of water exchange between the Arctic and mid-latitudes (opposing influence on the bias in dif-

ferent straits), and strengthening of the Gulf Stream (Florida–Bahamas strait transport, 601 reduced bias). The spinup of the ACC is a direct consequence of reduced diffusivities, 602 allowing for stronger thermal wind currents. In the northern North Atlantic, the cur-603 rent speed response is directly reflected in the temperature response as the Atlantic Wa-604 ter warms up along its path from the Nordic Seas to the Arctic (Fig. 8, reduced bias). 605 Despite the speed-up of the Gulf Stream off the North American coast, its observed turn-606 ing around Grand Banks off Newfoundland is not reproduced. Due to this deficiency, the 607 cold bias off Newfoundland strengthens (Fig. 8). This cold bias is a long standing issue 608 in coarse resolution ocean models (Tsujino et al., 2020) and reducing the diffusivity along 609 the current path or along the shelf break clearly does not mitigate the bias. We spec-610 ulate that, similar to the southern retrograde slope in the channel configuration and re-611 cent results on the Gulf Stream reported by Uchida et al. (2022), the eddy momentum 612 flux convergence that is not included in the parameterization plays a crucial role in de-613 termining the current path. 614

Figure 10 summarizes the zonally-integrated impacts, breaking both volume and 615 heat transport into resolved and parameterized eddy components. It illustrates how the 616 reduced eddy mass transport across the ACC (panels a-b) also leads to less poleward 617 heat transport (panels c-e) and therefore a cooling of the Southern Ocean surface, but 618 also warming over the continental slopes (Fig. 8). Both these effects reduce the bias in 619 the model. Note that the heat transport response is dominated by eddy-driven advec-620 tion with a smaller contribution due to the eddy diffusion (panels d–e). In contrast to 621 the Southern Ocean, in the northern mid-latitudes the overall northward mass and heat 622 transport increase as the mean overturning spins up (Fig. 10 panels a and c; Fig. 9 right 623 panels) and the eddy contributions actually weaken (Fig. 10, panels b, d-e). 624

We note that since both the eddy efficiency and the topographic Rhines scale act 625 to reduce the diffusivity, there is a limit to the effectiveness of these parameterizations 626 because other processes and, specifically, the resolved flow start to dominate the model 627 solution as the diffusivity weakens. For example, the reduction of globally integrated tem-628 perature and salinity biases seem to saturate as the topographic Rhines scale is tuned 629 down and the eddy efficiency scaling is included (Table 3). This highlights the need to 630 test parameterizations in realistic global settings and cautions against drawing conclu-631 sions of the effectiveness and utility of a parameterization based on assessing the diffu-632 sivity magnitude alone. 633

5 Discussion

Our study has focused on a relatively small range of parameterization choices, es-635 sentially i) re-examining the topographic Rhines scale as a relevant mixing length and 636 ii) checking the importance of an additional suppression factor which we have called the 637 eddy efficiency E_{eff} . The quite similar idealized studies by Wang and Stewart (2020) 638 and Wei et al. (2022) did a more comprehensive sweep over possible parameterization 639 choices but did not analyse prograde and retrograde bottom slopes under one and the 640 same framework, which has been the intention here. Also, to the best of our knowledge, 641 the current OMIP simulations constitute the first assessment of the impacts of a topographically-642 aware GM parameterization in realistic global ocean models. As such, this work should 643 be taken as a pragmatic investigation into what can be achieved with simple parame-644 terization approaches applied to existing models that do not contain a prognostic eddy 645 energy equation (which in itself requires parameterization choices). As with all param-646 eterizations, the options examined here are far from perfect, and below we discuss some 647 shortcomings and unresolved questions. 648

5.1 The relevance of the topographic Rhines scale

Earlier idealized model studies have given conflicting evidence for the relevance of 650 the topographic Rhines scale. Jansen et al. (2019) reported that a using a generalized 651 Rhines scale which accounts for both planetary and topographic beta in their eddy pa-652 rameterization of flows in an idealized ACC-like domain improved their model skill. But the study, whose primary focus was on parameterizing an eddy energy budget, did not 654 examine the length scale issue at any depth. More in line with our work here, the ide-655 alized channel studies of Wang and Stewart (2020) and Wei et al. (2022) found the to-656 pographic Rhines scale to be a useful choice over retrograde slopes—but not over pro-657 grade slopes. This conclusion was drawn, however, after an empirical slope-dependent 658 prefactor was applied in the retrograde case but not in the prograde case. Both stud-659 ies also constructed diffusivities from diagnosed depth-averaged EKE. In other words, 660 they set the eddy velocity scale to be $V = \sqrt{EKE}$ and then defined $L_{Rh} = \sqrt{V/\beta_t}$, 661 i.e. using the actual definition of the topographic Rhines scale. Our Figure 2, however, 662 shows an EKE peak over the prograde slope which, if using their definition would clearly 663 overestimate diffusivities over this slope. Here we find, somewhat surprisingly, that a full parameterization, using the Eady growth rate and the topographic beta parameter, pro-665 duces a suppression over both prograde and retrograde slopes which nearly matches the 666 'observations' diagnosed from our high-resolution channel model. 667

We do not have a good understanding of this apparent paradox. But one possible problem with constructing a topographic Rhines scale from depth-averaged *EKE* is the fact that in a baroclinic system it is the bottom eddy velocity, rather than the depthaverage, which should enter into the formulation. No considerations of the vertical structure of the eddy velocity was made here, but we suggest that future work on the topic investigates the skill in an equivalent barotropic formulation of the eddy velocity.

Moreover, the results shown in Figure 6 suggest that much of the discrepancy between the pure scaling-based diffusivity and the actual diffusivity is contained in the suppression factor which ours and other studies have pointed to. Essentially, the imperfect phase relationship between eddy velocity and buoyancy perturbations reduces the efficiency of eddy transfer by up to two orders of magnitude over continental slopes, possibly with larger suppression over prograde conditions. Any skilfull parameterization clearly needs to try to account for this effect.

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5.2 The interpretation of E_{eff}

Our parameterized expression (22), which is able to qualitatively reproduce such 682 suppression, looks superficially similar to the final form of the mean-flow suppression ex-683 pression of Ferrari and Nikurashin (2010, their equation 17). This first seems odd, given 684 that their findings have traditionally been applied to tracer (Redi) diffusion and, as mentioned in the introduction, appears to quite successfully explain a reduction in passive 686 tracer diffusion over a retrograde continental slope in the idealized simulations of Wei 687 and Wang (2021). But eddy buoyancy transport at any one depth in the ocean is ad-688 vective rather than diffusive, so it's not at all obvious that the kinematic arguments of 689 Ferrari and Nikurashin (2010) should apply to buoyancy diffusivities. 690

Indeed, Abernathey et al. (2013) use high-resolution model simulations to show that 691 the vertical structure of buoyancy diffusivities differs from that other tracer diffusivities 692 (including PV diffusivities). And yet, as their equation 24 suggests, the depth-averaged 693 value of the buoyancy diffusivity should be similar to that of PV and passive tracer dif-694 fusivities, at least in the case where the planetary vorticity gradient can be neglected. 695 This makes some intuitive sense since one end result of a depth-integral of an eddy-induced 696 overturning circulation, driven by baroclinic instability and with zero top-to-bottom vol-697 ume transport, is a 'diffusive' buoyancy transport down the lateral buoyancy gradient (the other end result is an up-gradient vertical buoyancy transport). 699

But there are still difficulties with equating our E_{eff} with the mean-flow suppres-700 sion formulation of Ferrari and Nikurashin (2010). Their suppression effect builds fun-701 damentally on the propagation speed of eddies relative to that of the mean flow. It is 702 based on a set of key key assumptions, including the dominance of one wavenumber and 703 a relationship between eddy decorrelation timescale, wavenumber and EKE. And in reach-704 ing their final equation 17, which takes on a form similar to our (23), they make the fur-705 ther assumption that the eddy speed is proportional to the mean flow speed. Since Ferrari 706 and Nikurashin (2010) originally studied suppression at the sea surface, using satellite 707 altimeter observations to pin down both mean and eddy velocity scales, this amounted 708 to having to tune one proportionality constant. How their final expression 17 can be ap-709 plied through out the entire water column, as we're aiming for here, is less obvious. So 710 we leave a further investigation into this particular relationship for future work. 711

It should also be mentioned that our E_{eff} can be related to the slope-dependent prefactors of some of the earlier channel studies as well as to a controlling parameter in the topographic Eady problem of Blumsack and Gierasch (1972). This connection becomes apparent if we evaluate the 2D version of (22). We begin by setting $U_{bc} = U_{tw}$, where U_{tw} is the top-to-bottom thermal wind shear (a 2D quantity). Then we first consider the slope region where the topographic Rhines scale will be the relevant length scale. So, here, $V = \sigma_E^2/\beta_T$, where σ_E is now the depth-averaged (2D) Eady growth rate. Noting that in the Eady model, where both N^2 and $\partial U_g/\partial z$ are constant, $\sigma_E = 0.3 \cdot U_{tw}/L_R$. This allows us to rewrite (22) as

$$E_{eff} = a_1 \frac{1}{1 + a_3 \left(\beta_T L_R^2 / U_{tw}\right)^2} = a_1 \frac{1}{1 + a_3 \delta^2},$$
(24)

where a_3 is a modified tuning factor. Here $\delta = \beta_T L_R^2 / U_{tw}$ is the slope parameter of Blumsack and Gierasch (1972) which measures the ratio between topographic and isopycnal slopes.

This expression is interesting not only because it brings in the controlling param-714 eter of the modified Eady problem but also for its similarity to the slope-dependent pref-715 actor used by Wang and Stewart (2020) over retrograde slopes in the parameter regime 716 where the bottom slope is not much larger than the isopycnal slope. Their prefactor F_{MLT} 717 (from their table 3) has the topographic delta parameter to the power of one in the de-718 nominator, in contrast to our squared power. But we suggest that the impact of sam-719 pling errors in the empirical fitting be studied in future studies before the correspondence 720 is rejected. We also note that the similar studies of prograde fronts by Brink (2016) and 721 Wei et al. (2022) found best fits using similar expressions but using topographic Burger 722 number Bu in place of the delta parameter, where the two are related via $Bu = (\sigma_E/f) \delta$. 723 The latter study concluded that scalings using δ instead of Bu where not successful over 724 prograde slopes. But, again, a comparison with our results are not straightforward since 725 their diffusivities were constructed using diagnosed EKE while ours were fully param-726 eterized. The relationship between δ -based and Bu-based formulations is an obvious topic 727 for future work. 728

Note, finally, that over the flat regions where the deformation radius will act as the 729 relevant length scale, the 2D version of our efficiency factor becomes constant, in agree-730 ment with the behavior seen in Figure 3. In fact, the 2D version of E_{eff} was able to qual-731 itatively reproduce the observed eddy efficiency behaviour in the idealized channel sim-732 ulations, with some changes required for the tuning constants (not shown). We nonethe-733 less chose to use the 3D version in the realistic OMIP simulations in anticipation of a 734 more complex hydrography and flow field where the various assumptions of the Eady model 735 can be expected to hold to an even lesser degree than in the channel model. Interior thick-736 ness PV gradients, for example, are expected to be small in systems that are only forced 737 by Ekman pumping, as our channel model is (see e.g. Meneghello et al., 2021; Manucharyan 738

⁷³⁹ & Stewart, 2022). In a real ocean, where e.g. thermohaline forcing can produce interior ⁷⁴⁰ PV gradients, the suppression of eddy efficiency will inevitably be governed by additional ⁷⁴¹ non-dimensional parameters beyond Blumsack and Gierasch (1972) δ (or, alternatively, ⁷⁴² the topographic Burger number). Such 3D effects, caused by thermohaline forcing in ad-⁷⁴³ dition to wind stress, may also be the underlying reason for why E_{eff} had a much big-⁷⁴⁴ ger impact in the OMIP simulations than it did in the channel.

⁷⁴⁵ 6 Summary and conclusions

Efforts to include topographic effects into mesoscale eddy parameterizations are 746 warranted, especially at high latitudes where observations show that hydrographic fronts 747 are typically locked to topography. The very existence of such fronts along continental 748 slopes and submarine ridges imply not merely topographic steering of large-scale cur-749 rents but also suppression of mesoscale stirring across topography. Yet, despite all the 750 observational evidence, as well as solid theoretical arguments for e.g. reduced growth rates 751 and length scales of baroclinic instability over sloping topography, most eddy parame-752 terizations still fail to account for any bathymetric influence. 753

Here we have re-examined the relevance of the topographic Rhines scale in the mix-754 ing length approach to parameterizing the Gent-McWilliams diffusivity which is used for 755 eddy advection. Constructing diffusivities using the Eady growth rate and a parameter-756 ized version of the topographic Rhines scale reproduces an observed order-of-magnitude 757 reduction in diffusivity over continental slopes in idealized channel simulations. The sim-758 ulations and analysis cover both prograde and retrograde continental slopes, represent-759 ing mean flows in the same and opposite direction to topographic waves, respectively. 760 Although differing in detail, both the observed and parameterized stirring suppression 761 are of similar order of magnitude on both sides. The skill of the parameterization is en-762 hanced further, at least over the prograde slope, when the diffusivity is multiplied by an 763 eddy efficiency factor E_{eff} that is sensitive to the strength of the mean flow vertical shear 764 relative to the parameterized eddy velocity scale. Finally, we find that selecting a smooth 765 minimum of the topographic Rhines scale and the internal deformation radius for length 766 scale gives good skill over the entire idealized channel domain. 767

The parameterization is then tested in a realistic global ocean simulation. Com-768 parison with a simulation where topographic effects on the GM diffusivity are not in-769 cluded suggests that the parameterized topographic stirring suppression enhances the 770 sharpness of hydrographic fronts and, as such, strengthens the thermal wind shear in bound-771 772 ary currents. The improvement is particularly noticeable at high latitudes, but we also observe large impacts throughout the world oceans. The globally-integrated tempera-773 ture and salinity bias reductions range from O(1%)-O(10%), with largest reductions seen 774 in Southern Ocean temperatures and in Atlantic Water temperatures in the Arctic. How-775 ever, existing low-latitude thermocline biases tend to increase. This is not uncommon 776 in a complex model as global bias reduction is very much a tuning exercise involving a 777 range of free parameters associated with different parameterizations (e.g. eddy transport, 778 vertical mixing and air-sea-ice fluxes). Our parameterization also has free parameters 779 and, as is common, we found that the different model configurations might need differ-780 ent values for these. But we did not attempt a rigorous tuning, especially not for the dy-781 namically complex OMIP simulations. Our focus at this stage has not been on a well-782 tuned realistic global simulation, but rather on illustrating possible impacts of a topography-783 aware eddy parameterization. 784

The suggested parameterization is clearly incomplete. The large difference in importance of the efficiency factor E_{eff} between the channel simulations and the realistic OMIP simulations is one indication of this. A second one is the fact that we had to use an ad hoc limiter when applying this in the OMIP simulations. One key problem is likely that we have been ignoring any vertical structure in eddy velocities and, ultimately,

diffusivities. Fundamentally, the kinematic interaction with the bottom involves eddy 790 bottom velocities, and a number of observations as well as theoretical arguments have 791 indicated that these are often significantly smaller than surface or even depth-averaged 792 eddy velocities (see e.g. Killworth, 1992; Wunsch, 1997; de La Lama et al., 2016; Lacasce, 793 2017). The topographic impact, under such considerations, would probably be smaller 794 than if estimated with depth-averaged quantities. Future work clearly needs to be put 795 on such vertical structure, for example by taking an equivalent barotropic structure as 796 a starting point (Killworth, 1992). We also observe that in our coarse-resolution chan-797 nel simulations the flow remains too baroclinic, similar to the results by Kjellsson and 798 Zanna (2017); Yankovsky et al. (2022). Although addition of vertical structure to the 799 buoyancy diffusivity might mitigate the issue, feeding the mean flow with vertically dis-800 tributed eddy energy might be needed to resolve it (Yankovsky et al., 2022). 801

A related issue which we have entirely neglected in this study is the impact of bot-802 tom roughness or corrugations on fluxes—and how such impact may be asymmetric with 803 respect to the flow direction. As demonstrated by Wang and Stewart (2020), bottom rough-804 ness along a retrograde topographic slope can set up additional eddy buoyancy trans-805 port and, thus, form stresses due to arrested topographic waves. The dynamics govern-806 ing such fluxes are likely distinct from those captured by our parameterizations here for 807 smooth topography. The relevant eddy length scale, for example, is probably not the the 808 same as for transient eddies, as indicated in the study by Khani et al. (2019) of transient vs. standing contributions to eddy form stress in an idealized Southern Ocean domain. 810 The application of standing Rossby wave theory (e.g. Abernathey & Cessi, 2014; A. L. Stew-811 art et al., 2023) appears to give promising results on the planetary beta plane with a flat 812 but rough bottom. A natural next step may therefore be to examine such ideas to the 813 'topographic beta' problem, using e.g. the idealized two-slope model used here. 814

Yet another issue ignored here is the role of lateral eddy momentum fluxes over con-815 tinental slopes. As shown in Figure 1 and also highlighted in earlier studies (e.g. Wang 816 & Stewart, 2018; Manucharyan & Isachsen, 2019), such fluxes bring wind momentum off 817 the slopes to relatively flat regions where baroclinic instability kicks in to transfer the 818 momentum to the ground below. The lateral momentum flux may be up-gradient in places 819 and form eddy-driven jets, as seen offshore of the retrograde slope in our idealized sim-820 ulations (Fig. 1). And, as for eddy form stress, lateral momentum fluxes also appear to 821 be impacted by corrugated bottoms, being associated with the formation of prograde jets 822 near the bottom (Wang & Stewart, 2020). This last effect is again probably related to 823 the formation of arrested topographic waves, as discussed by (e.g. Haidvogel & Brink, 824 1986), as well as being linked to down-gradient PV diffusion in the finite-amplitude limit 825 Bretherton and Haidvogel (1976); Vallis and Maltrud (1993). 826

Finally, it's worth remembering that eddy diffusion, even of buoyancy, may be anisotropic. 827 So what really needs to be parameterized is a diffusion tensor rather than a single scalar. 828 Bachman et al. (2020) discussed such anisotropy of the diffusion tensor and showed that 829 at global scale the direction of the major axis of the tensor is well correlated with the 830 mean flow direction and the minor axis is well correlated with the gradient of Ertel PV. 831 In addition, Nummelin et al. (2021, Appendix A) suggested that in terms of Redi mix-832 ing, the Ferrari and Nikurashin (2010) type of mean flow suppression indeed suppresses 833 834 the across-flow mixing, but that the inverse of the same factor enhances mixing in the along-flow direction. It remains unclear whether our eddy efficiency factor and the other 835 empirical scaling factors (e.g. Wang & Stewart, 2020; Wei et al., 2022) act similarly (i.e. 836 relate to tensor anisotropy) or if they indeed suppress the overall tensor magnitude. In 837 other words, it remains a research question whether the mean flow and topography merely 838 direct the eddy transport or if they impact the overall magnitude of the eddy transport. 839 Nevertheless, if the tensor major axis is correlated with the mean flow (as suggested by 840 Bachman et al., 2020) — and if that mean flow transport dominates over eddy transport— 841 then the focus on the minor axis is likely justified. 842

| Name | Symbol | Value |
|---|----------------------|-----------------------------------|
| Wind stress | $	au_x$ | $0.05 \text{ N} \text{ m}^{-2}$ |
| Horiz. grid size | $\Delta x, \Delta y$ | 2 km |
| Baroclinic timestep | Δt | 120 s |
| Domain x-size | L_x | $416 \mathrm{km}$ |
| Domain y-size | L_y | $1024~\mathrm{km}$ |
| Gravitational acceleration | g | 9.806 m s^{-2} |
| Coriolis parameter | f_0 | $1 \times 10^{-4} \text{ s}^{-1}$ |
| Slope mid-point distance from domain edge | Y_S | $150 \mathrm{~km}$ |
| Shelf depth | H_{Shelf} | $250 \mathrm{m}$ |
| Slope height | H_{Slope} | 2000 m |

Table 1. BLOM model constants for the channel simulations

Table 2. Channel model experiments. L_{Rossby} is the mean deformation radius in the central basin (where bottom depth is larger than 2250 m).

| Name | L_{Rossby} | Slope Width |
|--------------------|---------------------------|--------------------|
| Exp 1 | $34.1~\pm1.3~\mathrm{km}$ | $75 \mathrm{km}$ |
| Exp 2 | $34.1~{\pm}1.1~{\rm km}$ | $100 \mathrm{km}$ |
| Exp 3 | $34.4~{\pm}1.0~{\rm km}$ | $125 \mathrm{km}$ |
| Exp 4 | $30.6~{\pm}1.3~{\rm km}$ | $75 \mathrm{km}$ |
| Exp 5 | $30.6~{\pm}1.2~{\rm km}$ | $100 \mathrm{km}$ |
| Exp 6 | $30.4~{\pm}1.0~{\rm km}$ | $125 \mathrm{~km}$ |
| Exp 8 | $24.9~{\pm}1.2~{\rm km}$ | $75 \mathrm{km}$ |
| Exp 9 | $25.9~{\pm}1.0~{\rm km}$ | $100 \mathrm{km}$ |
| $\mathrm{Exp}\ 10$ | $24.9~{\pm}1.0~{\rm km}$ | $125 \mathrm{~km}$ |

So important questions remain. But despite its many shortcomings, the relatively 843 simple parameterization investigated here at least reduces an excessive washing out of 844 hydrographic fronts over submarine ridges and continental slopes in ocean climate models— 845 a known problem with eddy parameterizations that are insensitive of bathymetry. One 846 of several important consequences of such adjustment is likely a more accurate repre-847 sentation of oceanic heat transport across Antarctic and Greenland continental slopes 848 and onward to the great ice sheets whose melt rates depend intimately on such trans-849 port. For this and other reasons, further scrutiny of all of the above unresolved issues 850 and their impacts in both regional and global realistic simulations are much needed. 851

7 Open Research

The model configuration is available at https://github.com/NorESMhub/BLOM and the specific namelist for running the experiments used in this study can be obtained from the first author. The key model output and scripts to reproduce the data are made available through https://archive.norstore.no/ and will be made available through https://github.com/AleksiNummeli upon publication.

Table 3. CORE-II hydrography bias (root mean square error) reduction compared to the bias of the control case. The observational data sets are the WOA 2018 climatologies for temperature (Locarnini et al., 2018) and salinity (Zweng et al., 2018).

| Name | zonal mean T | zonal mean S | $T_{100-200m}$ | $\mathrm{T}_{200-500m}$ | $T_{500-1000m}$ |
|-------------------------------|--------------|--------------|----------------|-------------------------|-----------------|
| $\overline{L_T}$ | 6% | 4% | -2% | 1% | 3% |
| $0.5 \cdot L_T$ | 12% | 9% | -4% | 3% | 7% |
| E_{eff} | 25% | 21% | -11% | 2% | 12% |
| L_T and E_{eff} | 28% | 24% | -13% | 2% | 16% |
| $0.5 \cdot L_T$ and E_{eff} | 28% | 26% | -16% | 2% | 18% |

Table 4. Observed and simulated current transport in selected straits. The various perturbation experiments show percentage changes relative to the control case. The references for the observational values are as follows: Arctic Ocean gateway transports come from de Boer et al. (2018) with the original citations being Ingvaldsen et al. (2004) for Barents Sea Opening, Beszczynska-Möller et al. (2015) for Fram Strait, Curry et al. (2014) for Davis Strait (CAA), and Woodgate (2018); Woodgate et al. (2015) for Bering Strait; ACC transport come from Xu et al. (2020), for pure observational estimates see Koenig et al. (2014) and Donohue et al. (2016); and Florida–Bahamas Strait transport come from Larsen and Sanford (1985)

| Name | obs | control | L_T | $0.5 \cdot L_T$ | E_{eff} | L_T and E_{eff} | $0.5 \cdot L_T$ and E_{eff} |
|------------------------|-----------------------|----------------------|-------|-----------------|-----------|---------------------|-------------------------------|
| Barents Opening | 2.1 Sv | $2.4 \mathrm{Sv}$ | 0% | 1% | 4% | 6% | 7% |
| Bering Strait | $1.0 \ Sv$ | $0.7 { m Sv}$ | 2% | 3% | 5% | 7% | 8% |
| Canadian Arctic | $-1.7 \ Sv$ | -1.6 Sv | 4% | 8% | 14% | 15% | 16% |
| Fram Strait | -2.2 Sv | -1.3 Sv | -3% | -6% | -9% | -6% | -6% |
| Drake Passage (ACC) | $157.3 \ \mathrm{Sv}$ | $152 \mathrm{~Sv}$ | 0% | 1% | 4% | 5% | 7% |
| Florida–Bahamas Strait | $32 \mathrm{Sv}$ | $13.2 \ \mathrm{Sv}$ | 1% | 3% | 4% | 5% | 6% |

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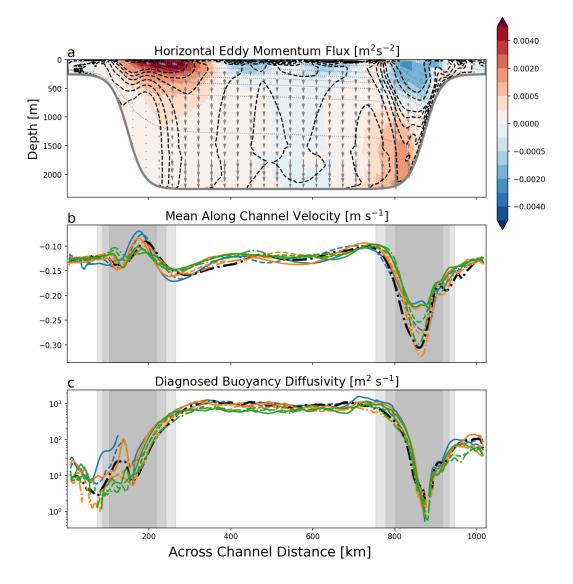


Figure 1. Cross section of zonally and temporally-averaged (a) horizontal eddy momentum flux (shading), E-P flux (gray arrows), mean velocity (dashed black contours), and mean density (dotted gray contours), (b) vertically-averaged along-channel velocity and (c) vertically-averaged meridional buoyancy (temperature) diffusivity. In panels b and c we indicate stratification by color (in descending order: blue, orange, green) and slope width (steepness) by line-style (in descending order: dashed-dotted, dashed, solid). The black line is experiment 3 (Table 2) and corresponds to the case shown in panel a. Gray shading shows the location of the slope regions in the different simulations (where 300m < H < 2250m). For some of the simulations the diffusivity lines are broken because of negative diffusivities that are not shown on the log scale.

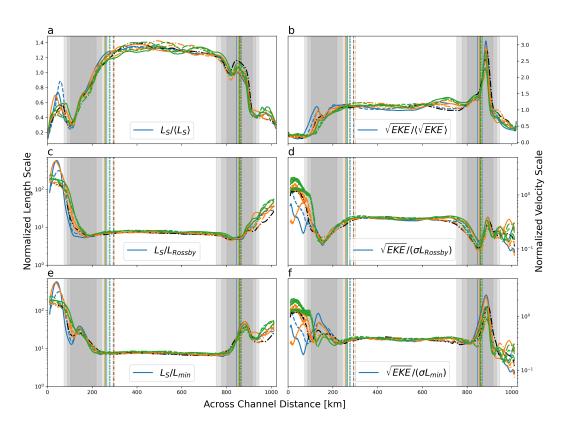


Figure 2. Diagnosed length scales (panels on the left) and velocity scales (panels on the right) for all experiments. All measures have been normalized. The top row (panels a and b) are normalized by the basin mean values. Length scales in panel c and panel e are normalized by the deformation radius and by the minimum of the deformation radius and topographic Rhines scale, respectively. In panels d and f we normalize by the parameterized velocity scale, using length scales from c and e, respectively. Colors and line styles as in Fig. 1. Gray shadings indicate the slope regions (similar to Fig. 1) and vertical lines indicate the location of maxima in depth-averaged velocity in each experiment.

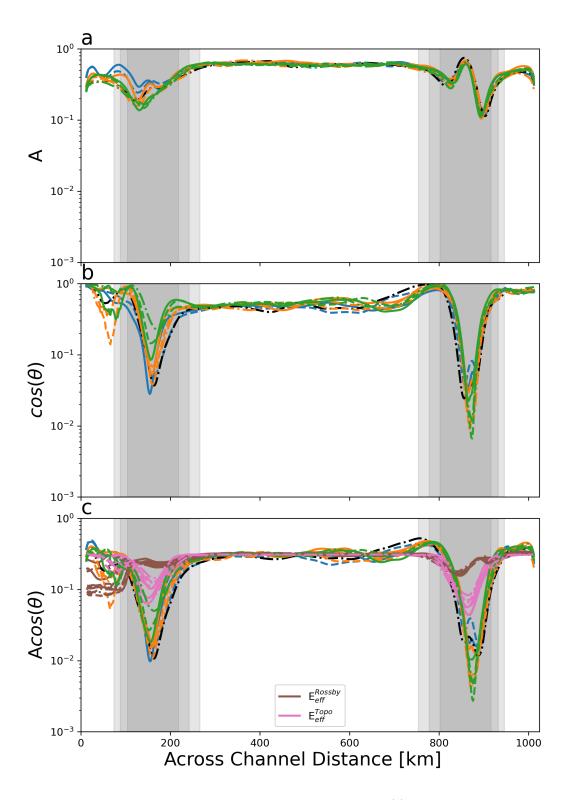


Figure 3. Measures of anisotropy and phase angle relationships: (a) eddy velocity anisotropy (A), (b) cosine of the phase angle between T' and v' and (c) the product of (a) and (b), as well as the parameterized eddy efficiency factors E_{eff} (brown when using deformation radius, pink when using the topographic Rhines scale). For the two E_{eff} estimates we use $a_2 = 10$ and $a_1 = 0.35$ and $a_1 = 0.32$, respectively, to match the mid-basin values of $A\cos(\theta)$. Colors and line styles for the diagnosed cases as in Fig. 1, and gray shadings indicate the slope regions (similar to Fig. 1)

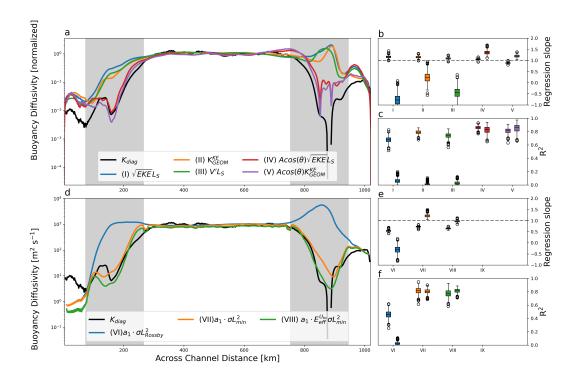


Figure 4. Partly-parameterized (a–c) and fully-parameterized (d–e) across-slope buoyancy diffusivities. The left panels show across-basin profiles for experiment 3 (Table 2) whereas the right panels summarize the statistics of linear fits between the diagnosed and parameterized diffusivities across all experiments (b–c, e–f; statistics are from a linear regression using 200 points across all cases that is repeated 5000 times). Boxes and whiskers come in pairs, with the left and right ones corresponding to the southern and northern slope, respectively. Linear regressions are done over the slope regions only (gray shading; similar to Fig. 1). Panel a shows diffusivities normalized by their basin mean value, whereas panel d shows absolute values. In panel d, $a_1 = 0.25$ for estimate VI, for VII–VIII $a_1 = 0.02$, and in VIII $a_2 = 10$.

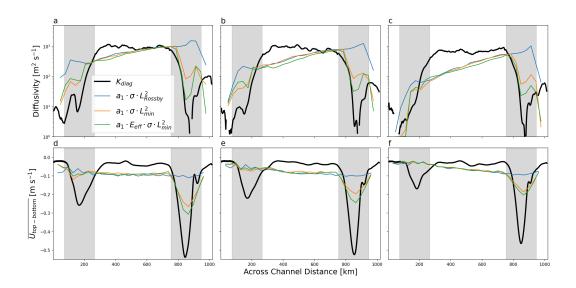


Figure 5. Buoyancy diffusivity (top panels) and top-to-bottom thermal wind shear (lower panels) in the coarse-resolution channel simulation compared to the high-resolution simulation (thick black line). The different columns are separated by stratification such that the initial conditions are the same as for Exp 3, 6 and 9 in the left, middle and right columns, respectively.

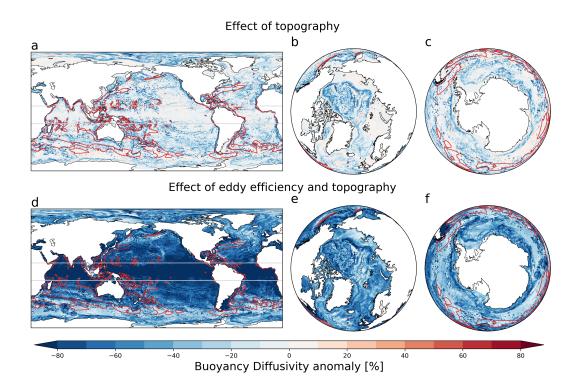


Figure 6. Anomalies from the control case in parameterized (depth-averaged) GM diffusivity due to implementation of (top row) the topographic Rhines scale and (bottom row) eddy efficiency in addition to the topograhic Rhines scale. Red contours show the 1000 m² s⁻¹ isoline for diffusivity in the control case and light gray contours show areas in the tropics where the grid size is smaller than the internal deformation radius and therefore the resolution function (Hallberg, 2013) reducing the GM coefficient is in effect.

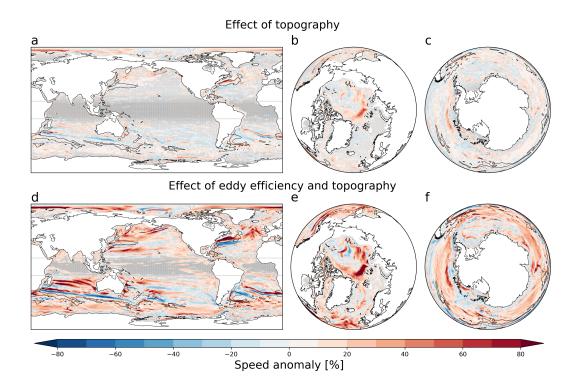


Figure 7. Flow speed anomalies from the control case at 100–200 m depth due to implementation of: (top row) the topographic Rhines scale and (bottom row) eddy efficiency in addition to the topograhic Rhines scale. Black contours show the 0.25 m s⁻¹ isolines for observational estimate of the quasi-geostrophic current speed (Buongiorno Nardelli, 2020) in the same 100–200 m depth interval. Gray dots mark grid cells where the mean difference from the control case is not significant at the 5% level (student's t-test).

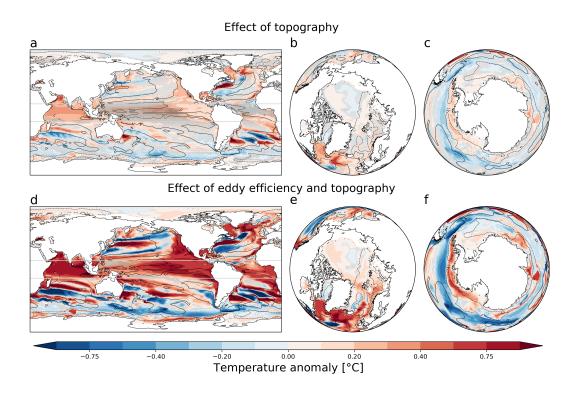


Figure 8. Temperature anomalies from the control case in resolved temperature field between 100–200 m depth due to implementation of: (top row) the topographic Rhines scale and (bottom row) eddy efficiency in addition to the topograhic Rhines scale. Black contours show the $\pm 1^{\circ}$ C (solid/dashed) isoline for the control case bias relative to the WOA observations. Therefore, whenever solid (dashed) contours surrounds blue (red) areas the bias is reduced. Gray dots mark grid cells where the mean difference from the control case is not significant at the 5% level (student's t-test).

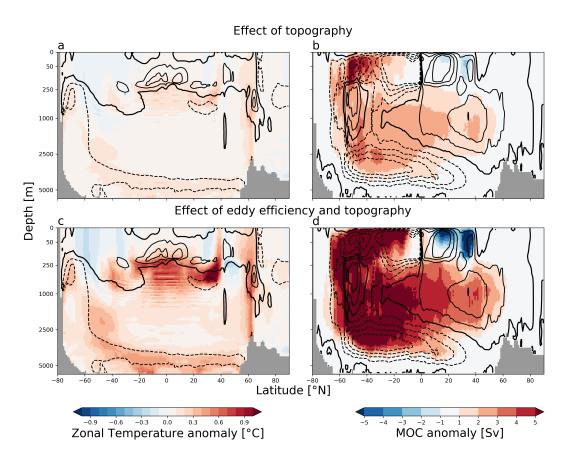


Figure 9. Zonal-mean temperature anomalies (left panels) and global meridional overturning stream function anomalies (right panels), relative to the control simulation, due to implementation of: (top row) the topographic Rhines scale and (bottom row) eddy efficiency in addition to the topograhic Rhines scale. For temperature, black contours show the control case bias relative to the WOA observations in 0.25°C intervals (dashed for negative, solid for positive, the thick solid curve shows the zero contour). Therefore, whenever solid (dashed) contours surround blue (red) areas the bias to the observations is reduced. For MOC the contours show the control case MOC at 5 Sv intervals with the thick solid curve indicating the 0 Sv contour. Therefore solid (dashed) contours surrounding red (blue) indicates intensifying overturning.

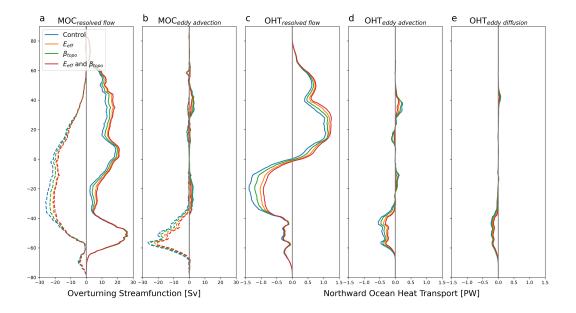


Figure 10. Resolved and eddy contributions to the global meridional overturning circulation (MOC, panels a and b) and to the global northward ocean heat transport (OHT, panels c–e). For the MOC we show the maximum (solid) and minimum (dashed) below 500 m to avoid the shallow surface overturning cells. For the OHT we show both advective and diffusive eddy contributions (panels d and e, respectively).

Figure 1.

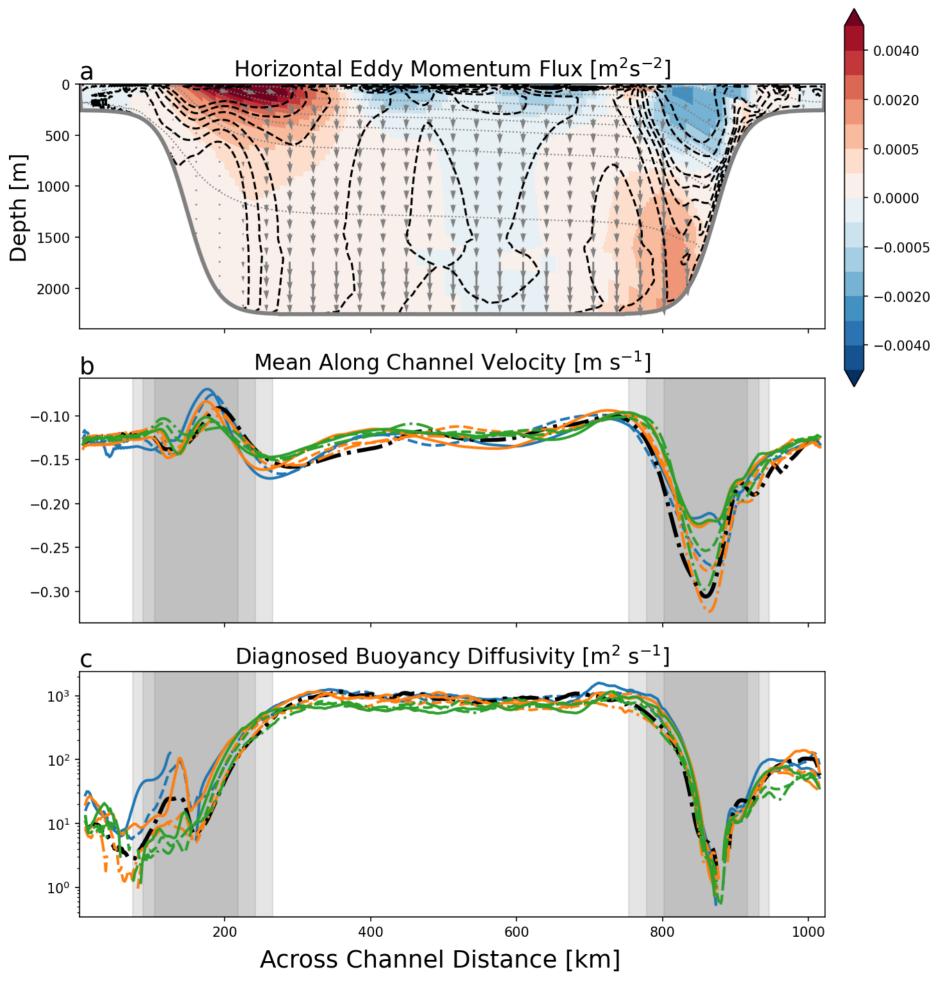


Figure 2.

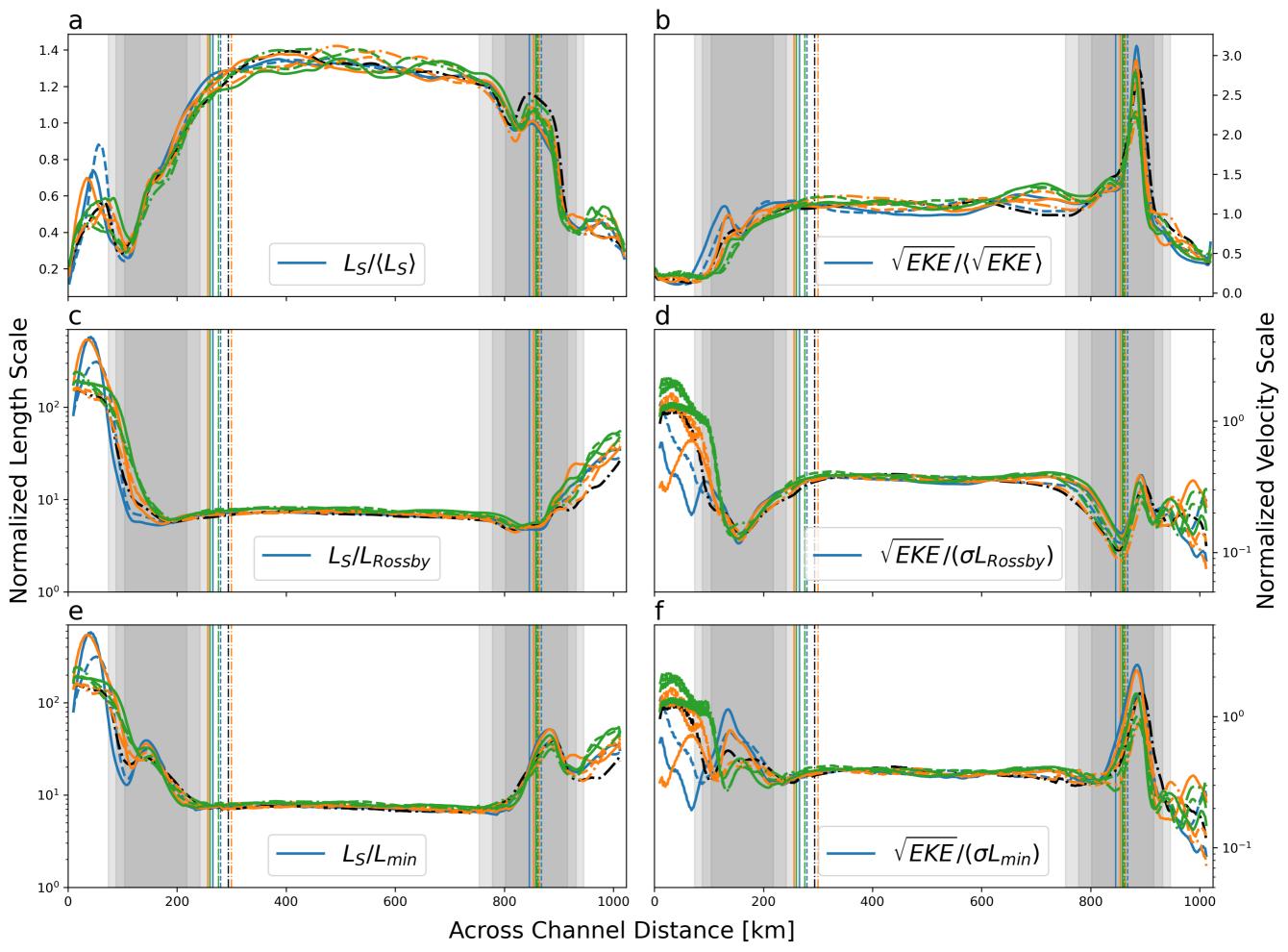


Figure 3.

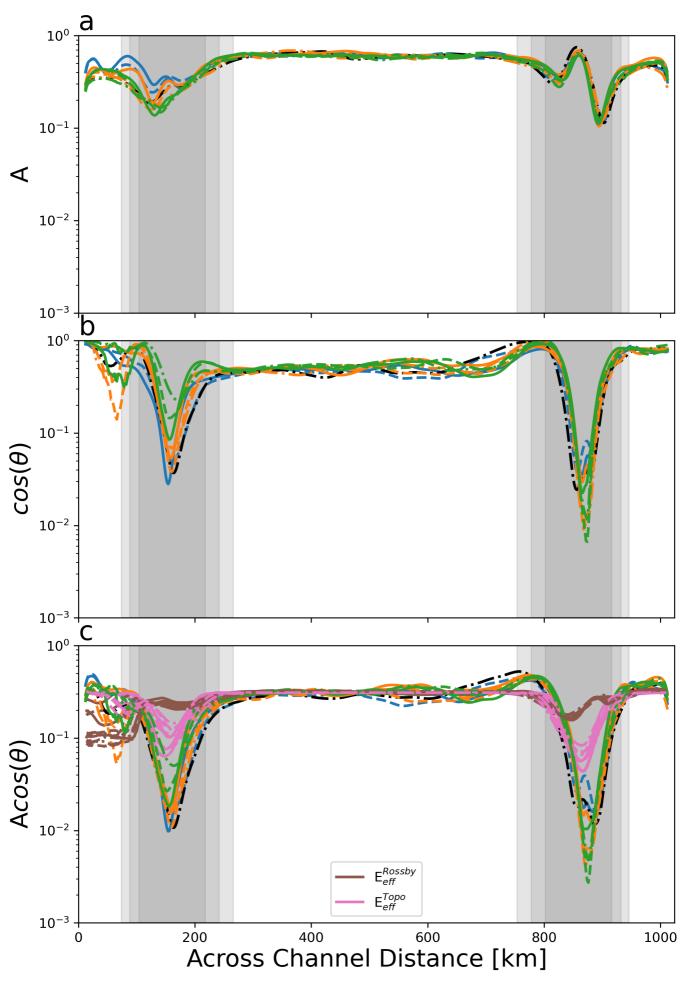


Figure 4.

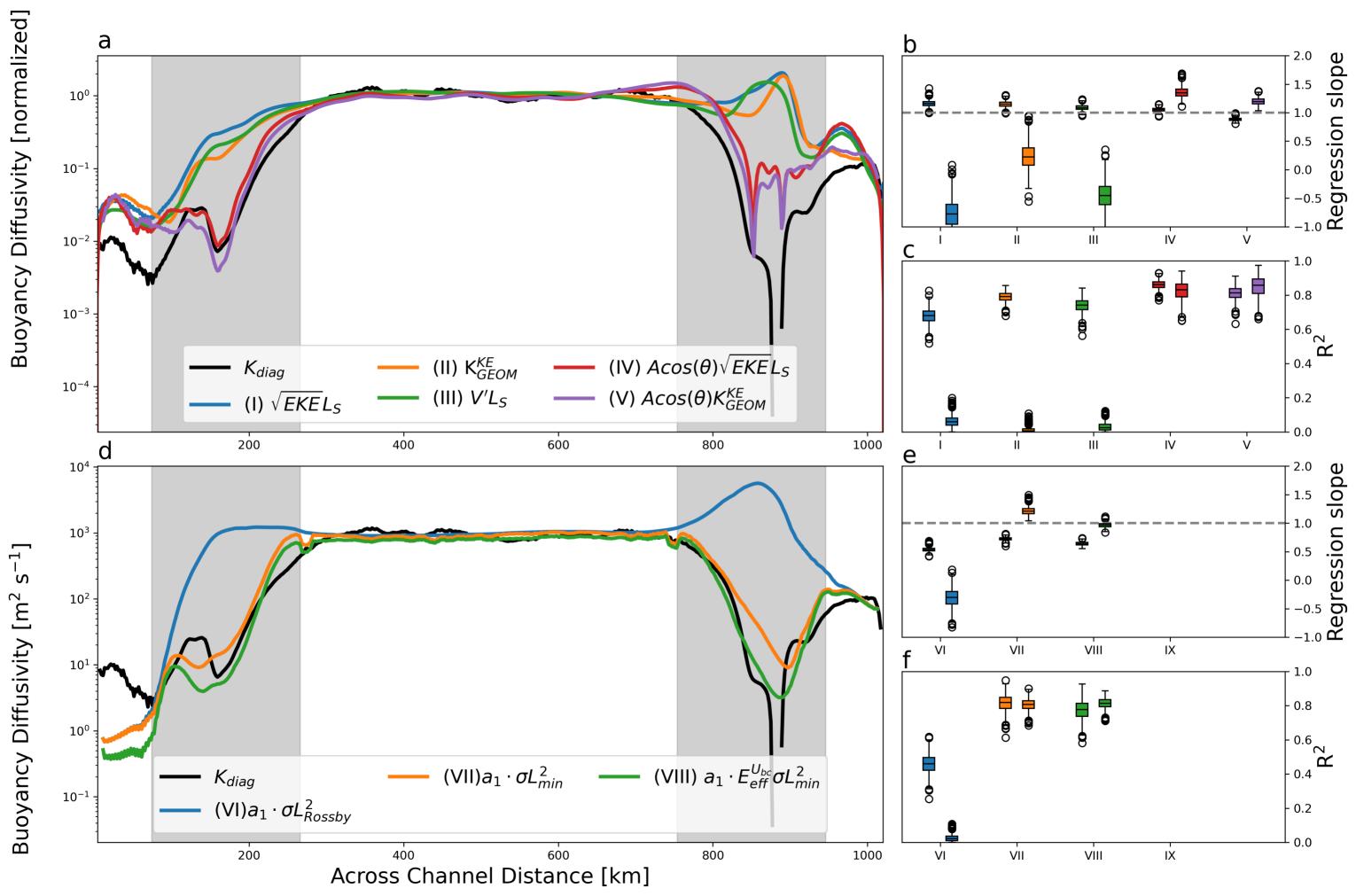


Figure 5.

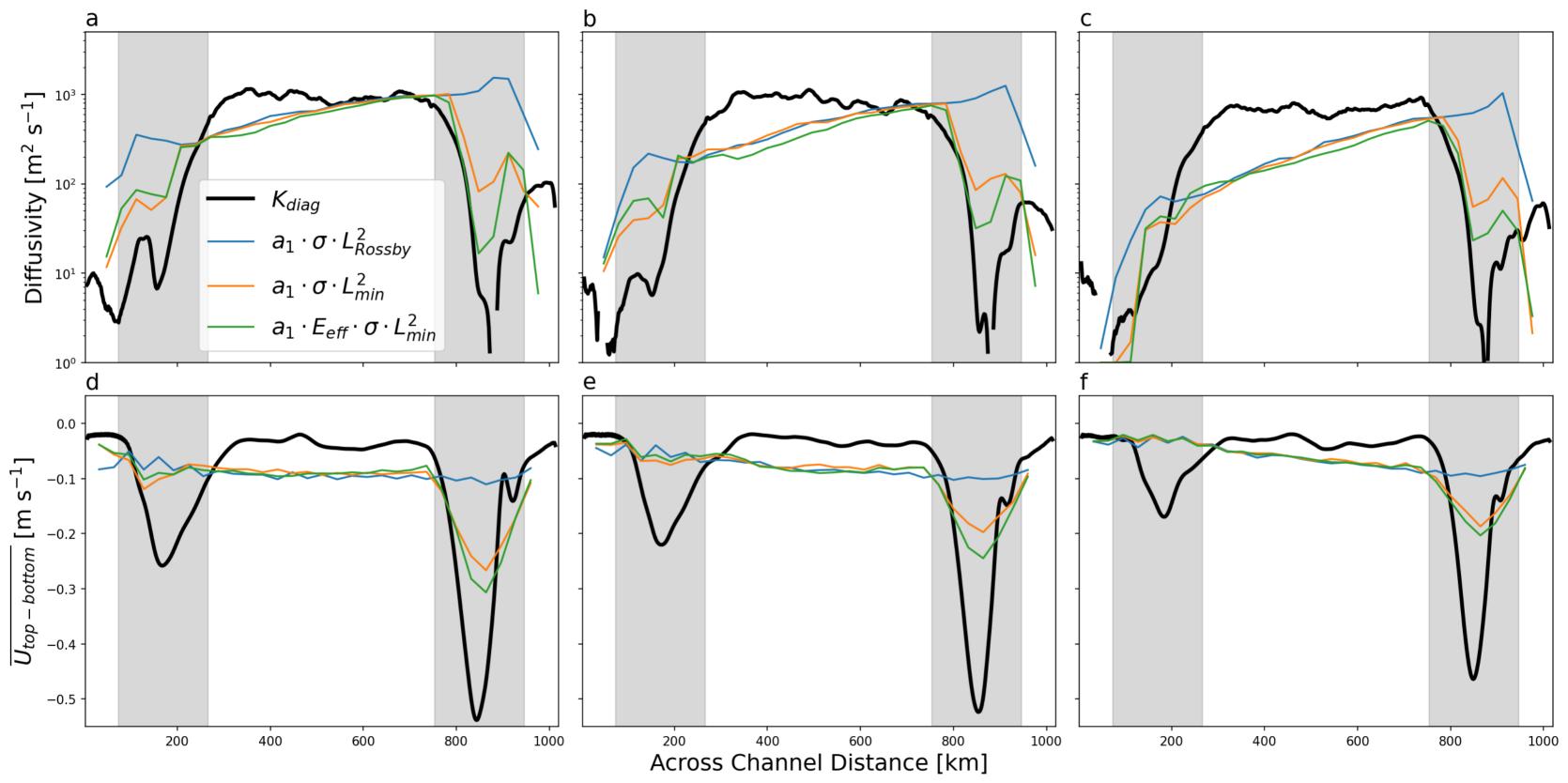
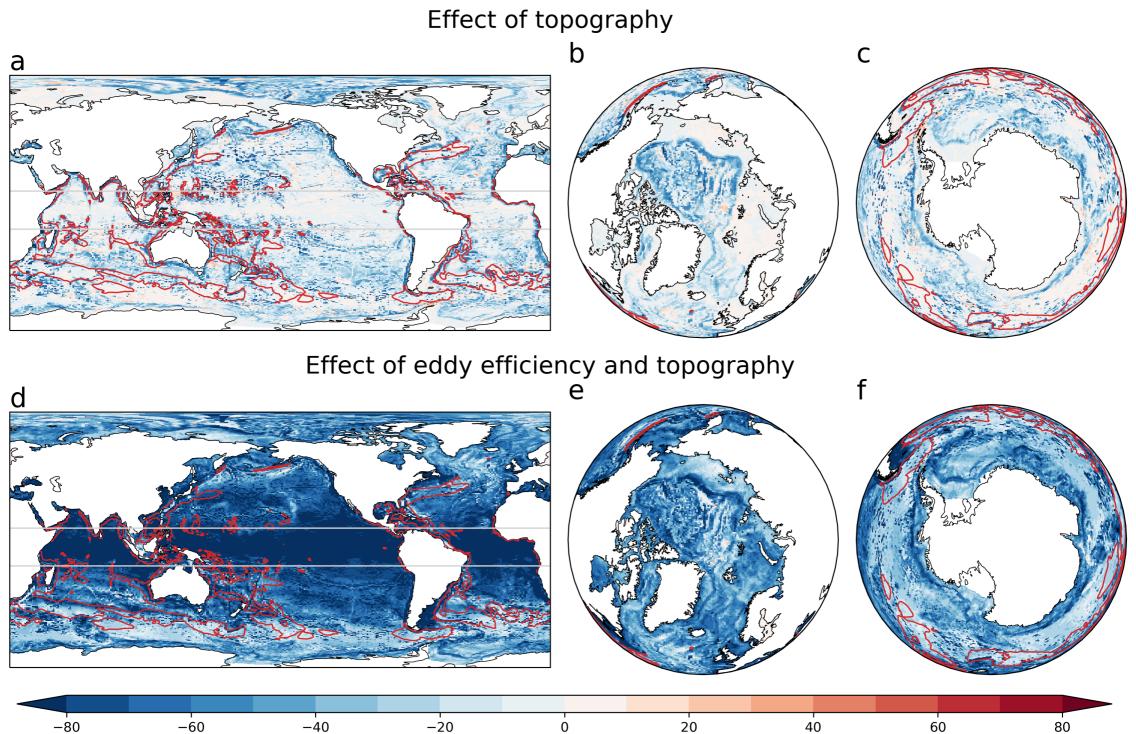
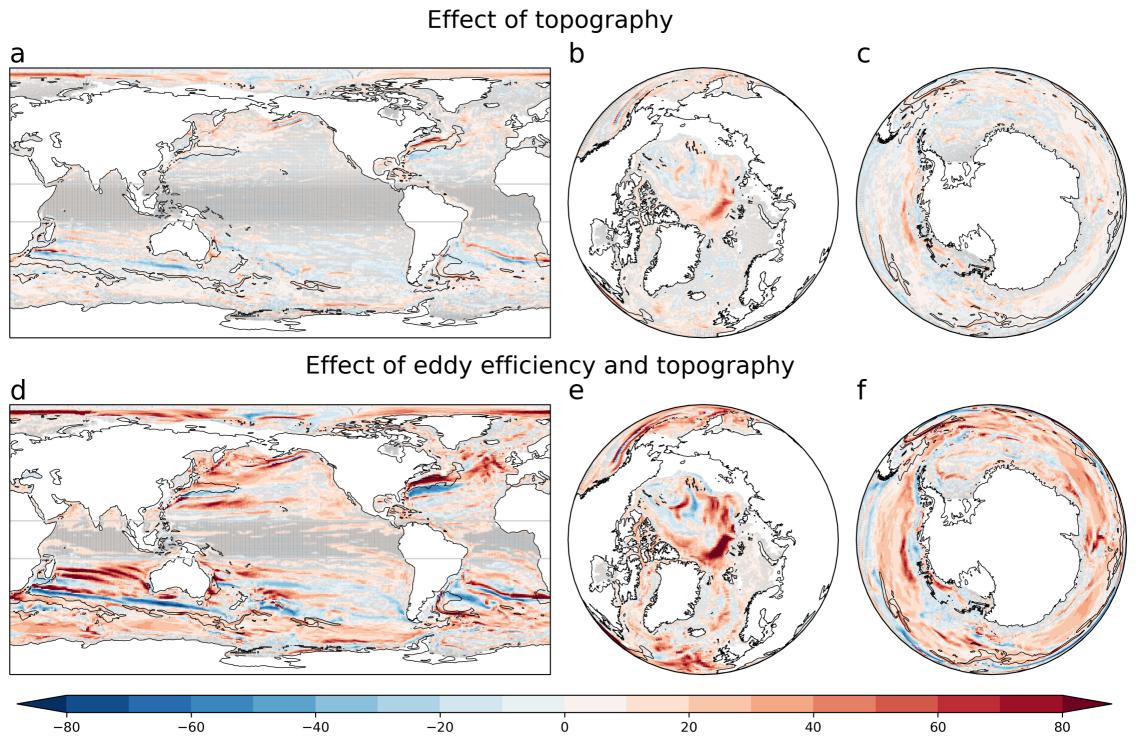


Figure 6.



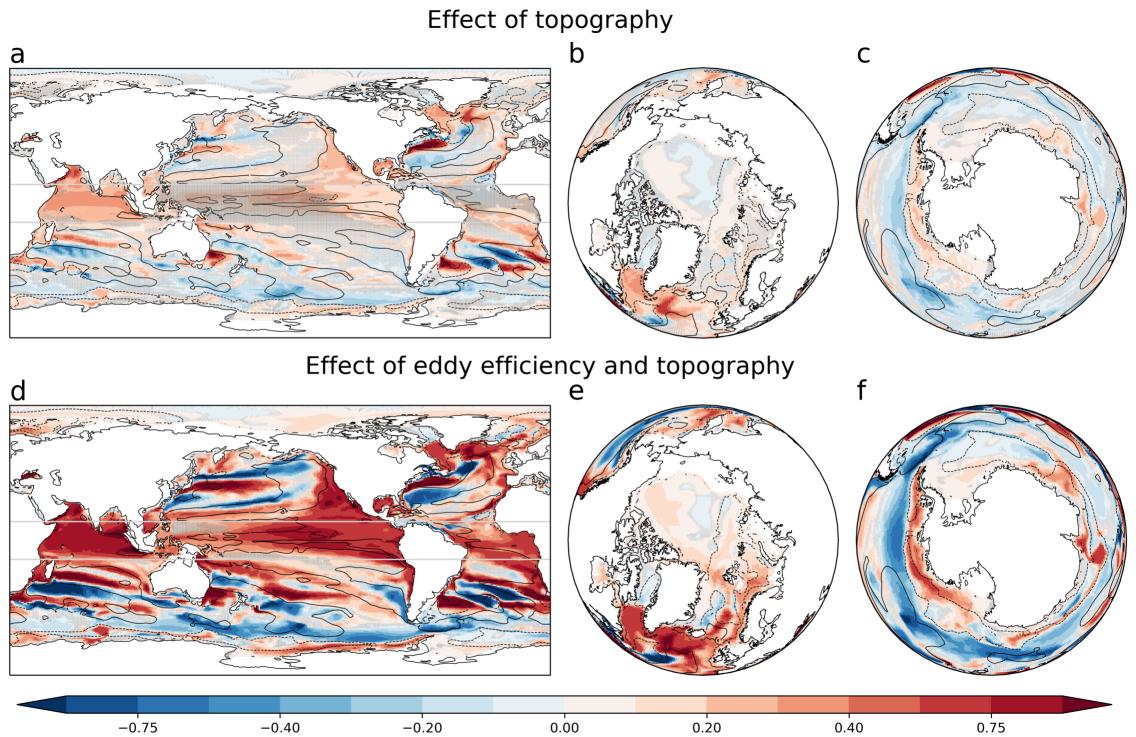
Buoyancy Diffusivity anomaly [%]

Figure 7.



Speed anomaly [%]

Figure 8.



Temperature anomaly [°C]

Figure 9.

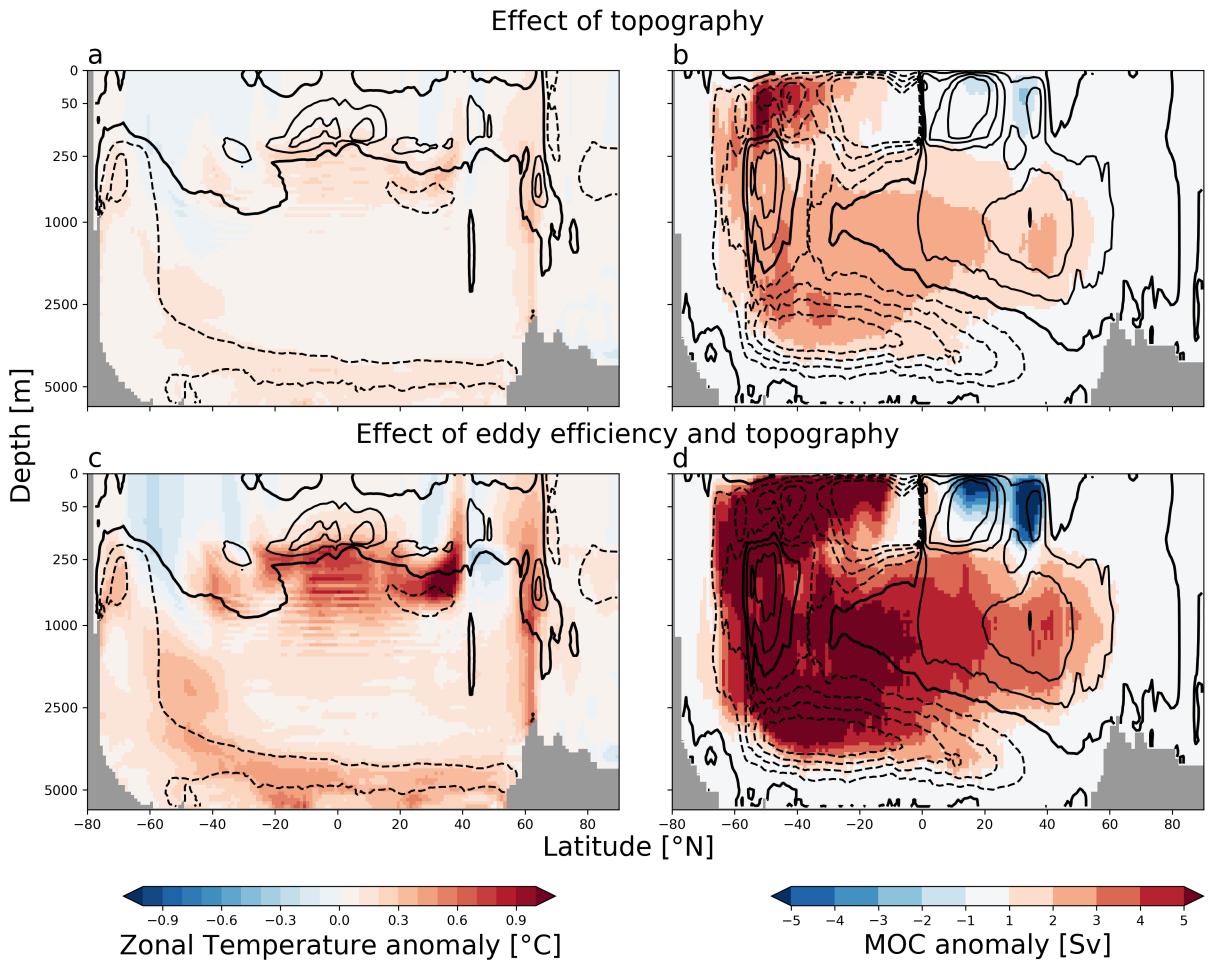
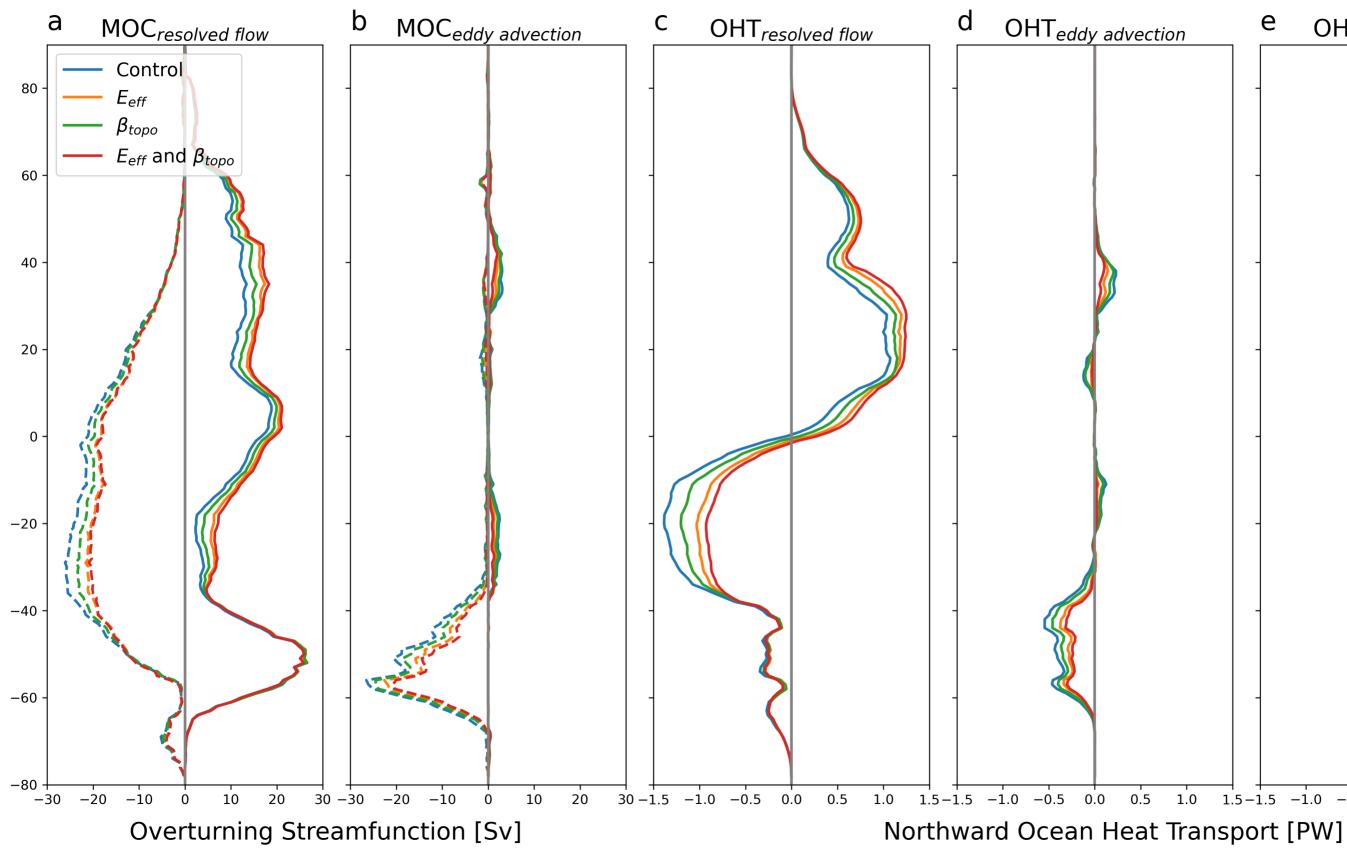


Figure 10.



е

OHT_{eddy} diffusion

