

# Elucidating the impacts of aerosol radiative effects on surface O<sub>3</sub> and PM<sub>2.5</sub> for air pollution mitigation strategy in Delhi, India

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## Abstract

Atmospheric aerosol radiative effects regulate surface air pollution (O<sub>3</sub> and PM<sub>2.5</sub>) via both the aerosol–photolysis effect (APE) and the aerosol–radiation feedback (ARF) on meteorology. Here, we elucidate the roles of APE and ARF on surface O<sub>3</sub> and PM<sub>2.5</sub> in the heavily polluted megacity, Delhi, India by using a regional model (WRF-Chem) with constraints from available and limited observation. While APE reduces surface O<sub>3</sub> (by 6%) and PM<sub>2.5</sub> concentrations (by 2.4% via impeding the secondary aerosol formations), ARF contributes to a 17.5% and 2.5% increase in surface PM<sub>2.5</sub> and O<sub>3</sub>, respectively. The synergistic APE and ARF impact contributed to ~1 % of the total concentrations of O<sub>3</sub> and PM<sub>2.5</sub>. Hence, the reduction of PM<sub>2.5</sub> may lead to O<sub>3</sub> escalation due to weakened APE. Sensitivity experiments indicate the need and effectiveness of reducing VOC emission for the co-benefits of mitigating both O<sub>3</sub> and PM<sub>2.5</sub> concentrations in Delhi.

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## **Elucidating the impacts of aerosol radiative effects on surface O<sub>3</sub> and PM<sub>2.5</sub> for air pollution mitigation strategy in Delhi, India**

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### **Key points:**

- The aerosol–photolysis effect contributes to a reduction in surface O<sub>3</sub> and PM<sub>2.5</sub> concentration in Delhi during post-monsoon.
- The aerosol–radiation feedback decreases the boundary layer mixing, increases relative humidity, and aggravates surface PM<sub>2.5</sub> and O<sub>3</sub>.
- Effective control of VOC helps in achieving both O<sub>3</sub> and PM<sub>2.5</sub> reductions in Delhi.

**20 Abstract**

21 Atmospheric aerosol radiative effects regulate surface air pollution ( $O_3$  and  $PM_{2.5}$ ) via both the  
22 aerosol–photolysis effect (APE) and the aerosol–radiation feedback (ARF) on meteorology.  
23 Here, we elucidate the roles of APE and ARF on surface  $O_3$  and  $PM_{2.5}$  in the heavily polluted  
24 megacity, Delhi, India by using a regional model (WRF-Chem) with constraints from available  
25 and limited observation. While APE reduces surface  $O_3$  (by 6%) and  $PM_{2.5}$  concentrations (by  
26 2.4% via impeding the secondary aerosol formations), ARF contributes to a 17.5% and 2.5%  
27 increase in surface  $PM_{2.5}$  and  $O_3$ , respectively. The synergistic APE and ARF impact contributed  
28 to ~1 % of the total concentrations of  $O_3$  and  $PM_{2.5}$ . Hence, the reduction of  $PM_{2.5}$  may lead to  $O_3$   
29 escalation due to weakened APE. Sensitivity experiments indicate the need and effectiveness of  
30 reducing VOC emission for the co-benefits of mitigating both  $O_3$  and  $PM_{2.5}$  concentrations in  
31 Delhi.

**32 Plain Language Summary**

33 Surface ozone ( $O_3$ ) and fine particulate matter ( $PM_{2.5}$ ) are dominant air pollutants in the megacity  
34 Delhi, India. However, controlling  $PM_{2.5}$  concentration by reducing emissions may have  
35 unexpected consequences on  $O_3$  because aerosols may lead to  $O_3$  escalation by increasing  
36 photolysis and at the same time reduce  $O_3$  by increasing the solar input at the surface and hence,  
37 the turbulent mixing. Here we used a regional model to quantify the separate contribution of  
38 aerosol–photolysis effect (APE) and aerosol–radiation feedback (ARF) on surface  $PM_{2.5}$  and  $O_3$   
39 in Delhi and further discuss the measures for reducing both  $PM_{2.5}$  and  $O_3$  levels. This study  
40 elucidates the importance of APE and ARF effects in designing effective mitigation strategies for  
41 both  $PM_{2.5}$  and  $O_3$  pollution. The effective control of VOC emissions is highly recommended for  
42 co-controlling both  $O_3$  and  $PM_{2.5}$  levels in the megacity Delhi.

43

## 44 **1 Introduction**

45 Ground-level ozone ( $O_3$ ) and fine particulate matter with aerodynamic diameter  $\leq 2.5 \mu\text{m}$   
46 or  $PM_{2.5}$  are dominant air pollutants in megacities such as Delhi, India. Delhi has been  
47 experiencing severe air pollution episodes in recent years, especially during the post-monsoon  
48 (Oct. and Nov.) and winter times (Dec., Jan., and Feb.) (Bharali et al., 2019; Kulkarni et al.,  
49 2020; Kumar et al., 2020).  $PM_{2.5}$  and  $O_3$  in this region often exceed the Indian National Ambient  
50 Air Quality Standards (INAAQS) and pose a serious threat to public health (Ghude et al., 2016;  
51 Krishna et al., 2019; Sahu & Kota, 2017).  $PM_{2.5}$  can lead to  $\sim 1$  million premature deaths per  
52 year in India (Conibear et al., 2018; Ghude et al., 2016).  $O_3$ , the second major pollutant adversely  
53 impacting human health after  $PM_{2.5}$  also leads to 31,000 premature mortalities per year (Ghude  
54 et al., 2016). Besides,  $O_3$  and  $PM_{2.5}$  exposure damages crops and significantly reduces wheat and  
55 rice (22–42%) yields in India (Sinha et al., 2015). Therefore, the prediction and process  
56 understanding of both  $PM_{2.5}$  and  $O_3$  are highly essential to improve the air quality and mitigate  
57 their impacts on public health and agriculture in this region.

58 Past studies have focused on several factors including emission, meteorology, and  
59 atmospheric chemistry governing the high concentrations of  $PM_{2.5}$  and  $O_3$  in the Indian region  
60 (Bran & Srivastava, 2017; Kulkarni et al., 2020; Ojha et al., 2020). One factor often overlooked  
61 in the literature is the impact of aerosol–radiation interaction (ARI) on both  $PM_{2.5}$  and  $O_3$  and the  
62 non-linear synergistic processes therein. The ARI influences  $O_3$  chemistry and atmospheric  
63 oxidation capacity by modulating photolysis rates in the troposphere known as the aerosol–  
64 photolysis effect (APE) which further influences the particle formation process and air quality  
65 (Benas et al., 2013; Dickerson et al., 1997; Li et al., 2011; Liao et al., 1999; Xing et al., 2017).  
66 Modeling studies have highlighted the reduction (enhancement) in photolysis rate due to strong  
67 absorption (scattering) of aerosols (Li et al., 2005; Li et al., 2017; Liao et al., 1999, Tie et al.,  
68 2003), with subsequent effects on the  $O_3$  formation. Several studies have analyzed the impact of  
69 APE in different parts of the world such as the urban environment in China (Xing et al., 2017;  
70 Yang et al., 2022), Mexico (Li et al., 2011), Europe (Real & Sartelet, 2011), and Texas (Flynn et  
71 al., 2010). However, such studies are still limited over the Indian region.

72 ARI not only entails APE but also includes aerosol–radiation feedback or ARF on  
73 meteorology that in turn affects surface  $O_3$  and aerosol distribution. ARI can lead to a substantial  
74 decrease of solar inputs at the ground, thereby reducing surface temperature and the planetary

75 boundary layer (PBL) height. Via absorption of radiation, aerosols can heat the atmosphere,  
76 increase atmospheric stability, and further enhance aerosol concentration in the PBL (Li et al.,  
77 2017; Yang et al., 2020); this positive feedback via ARF is particularly significant during severe  
78 pollution episodes (Bharali et al., 2019; Liu et al., 2018; Wang et al., 2020; Zhao et al., 2019).

79 To study the air pollution mitigation strategy in Delhi, India, here we employ a regional  
80 chemistry transport model (WRF-Chem) to elucidate the relative role of APE and ARF on  
81 surface  $PM_{2.5}$  and  $O_3$  in the National Capital Region (NCR) of India. WRF-Chem has been  
82 widely used for the simulation of  $PM_{2.5}$  and  $O_3$  across the Indian region (Bran & Srivastava,  
83 2017; Jat et al., 2021; Mogno et al., 2021; Ojha et al., 2020; Sharma et al., 2017; Upadhyay et al.,  
84 2018). But only a few studies have focused on the impacts of ARF (Kumar et al., 2020; Bharali  
85 et al., 2019) with no study analyzing the pure and synergistic effect of APE and ARF. Kumar et  
86 al. (2020) showed that the inclusion of ARF in WRF-Chem can lead to a significant  
87 improvement in the  $PM_{2.5}$  forecast by reducing the mean bias up to 25% in NCR Delhi.  
88 Mukherjee et al. (2020) found a 30% reduction in surface  $O_3$  concentration due to the APE  
89 associated with black carbon over South Asia. Hence, while reducing black carbon may lead to a  
90 decrease in  $PM_{2.5}$ , it may lead to an increase in surface  $O_3$  concentration. The overall net effects  
91 of APE and ARF on both  $O_3$  and  $PM_{2.5}$  over the Indian region remain elusive, although the air  
92 pollution mitigation strategy requires scientific consideration of the role of both APE and ARF as  
93 well as their synergistic effects.

94 Here, the pure and synergistic contributions of APE and ARF are quantitatively analyzed  
95 through model sensitivity simulations with the constraint of surface observations (described in  
96 section 2.2), focusing on the post-monsoon period in November 2018 over NCR Delhi. Results  
97 are presented in Section 3, starting from the comparison of the model results with observations  
98 (Section 3.1) to the analysis of the impact of pure and synergistic APE and ARF (Section 3.2–  
99 3.3). The summary and conclusions are provided in Section 4.

## 100 **2 Methodology**

### 101 **2.1 Model Description**

102 A regional model with Unified Inputs (of initial and boundary conditions) for the  
103 Weather Research and Forecasting model coupled with chemistry (UI-WRF-Chem) is used to  
104 simulate  $O_3$  and  $PM_{2.5}$  in Delhi in two nested domains at 12 and 4 km horizontal resolutions,

105 respectively. The outer and inner domains cover the entire northern part of the Indian  
106 subcontinent and NCR Delhi, respectively (Figure S1). There are 47 vertical layers from the  
107 ground to 50 hPa. The UI-WRF-Chem model utilizes MERRA-2 data to provide both  
108 meteorological and chemical initial and boundary conditions. Initial conditions for soil properties  
109 (soil moisture, soil temperature) are taken from the Global Land Data Assimilation System  
110 (GLDAS) at a horizontal resolution of  $0.25^\circ \times 0.25^\circ$ .

111 The WRF-Chem emission preprocessing system (WEPS) designed in-house is used to  
112 prepare the anthropogenic and biogenic emissions needed for UI-WRF-Chem (Sha et al., 2021;  
113 Zhang et al., 2022). Anthropogenic emissions are based on Emissions Database for Global  
114 Atmospheric Research - Hemispheric Transport of Air Pollution (EDGAR-HTAP) (Janssens-  
115 Maenhout et al., 2015), which includes  $PM_{10}$ ,  $PM_{2.5}$ , BC, OC,  $NH_3$ , NMVOCs, CO,  $NO_x$  and  
116  $SO_2$  at a horizontal resolution of  $0.1^\circ \times 0.1^\circ$ . Biomass burning emissions from the Fire Locating  
117 and Modeling of Burning Emissions Inventory (FLAMBE) (Reid et al., 2009) is used to specify  
118 the sources of BC, OC, and gaseous species (CO,  $NO_2$ ) as a function of time (at injection height  
119 of 800 m above the surface). Further details of FLAMBE and WEPS can be found elsewhere  
120 (Ge, Wang, & Reid, 2013; Ge et al., 2017; Wang et al., 2013). Regional Acid Deposition Model  
121 version 2 (RADM2) (Stockwell et al., 1990) coupled with the Modal Aerosol Dynamics for  
122 Europe (MADE) and the Secondary Organic Aerosol Model (SORGAM) (Schell et al., 2001) are  
123 used to simulate the gas-phase chemistry and aerosols. Currently, the inorganic chemistry system  
124 considered in MADE is limited to sulfate, nitrate, ammonium, and water components in the  
125 aerosol phase. The Fast Tropospheric UV and Visible Radiation Model (FTUV) (Li et al., 2005;  
126 Tie et al., 2003) is used to evaluate aerosol effects on photolysis rates and the Goddard  
127 shortwave radiative transfer module (Chou & Suarez, 1994) is employed for estimating  
128 shortwave radiation. Other physics parameterization schemes (Table S1) used here are based on  
129 the earlier studies using the WRF-Chem model over the Indian region (Chutia et al., 2019; Ojha  
130 et al., 2020).

## 131 **2.2 Simulation scenarios and analysis method**

132 We utilized the factor separation approach (FSA) method (Stein & Alpert, 1993) to obtain  
133 the pure contribution of APE and ARF and their synergistic contributions due to the mutual  
134 interactions among APE and ARF. This method has been extensively applied in the analysis of

135 numerical simulations (Li et al., 2018; Qu et al., 2013). Based on the FSA, four simulations such  
 136 as BASE, NOALL, APE only, and ARF only, have been performed to quantify the pure and  
 137 synergistic impacts of APE and ARF on O<sub>3</sub> and PM<sub>2.5</sub> (See Table S2). In the BASE simulation,  
 138 the impacts of both ARF and APE are considered. In NOALL, ARF and APE are both turned off.  
 139 Considering that  $f_{ARF+APE}$ ,  $f_{ARF}$ ,  $f_{APE}$ , and  $f_0$  are the simulation results including both APE  
 140 and ARF (i.e., BASE), ARF only, APE only, and neither APE nor ARF (i.e., NOALL),  
 141 respectively, one can show that the synergistic contributions between APE and ARF are as  
 142 follows:

$$143 \quad f'_{ARF+APE} = f_{ARF+APE} - f_{APE} - f_{ARF} + f_0 \quad (i)$$

144 Each simulation is performed from 22 October to 30 November 2018 with the first 10 days as the  
 145 model spin up.

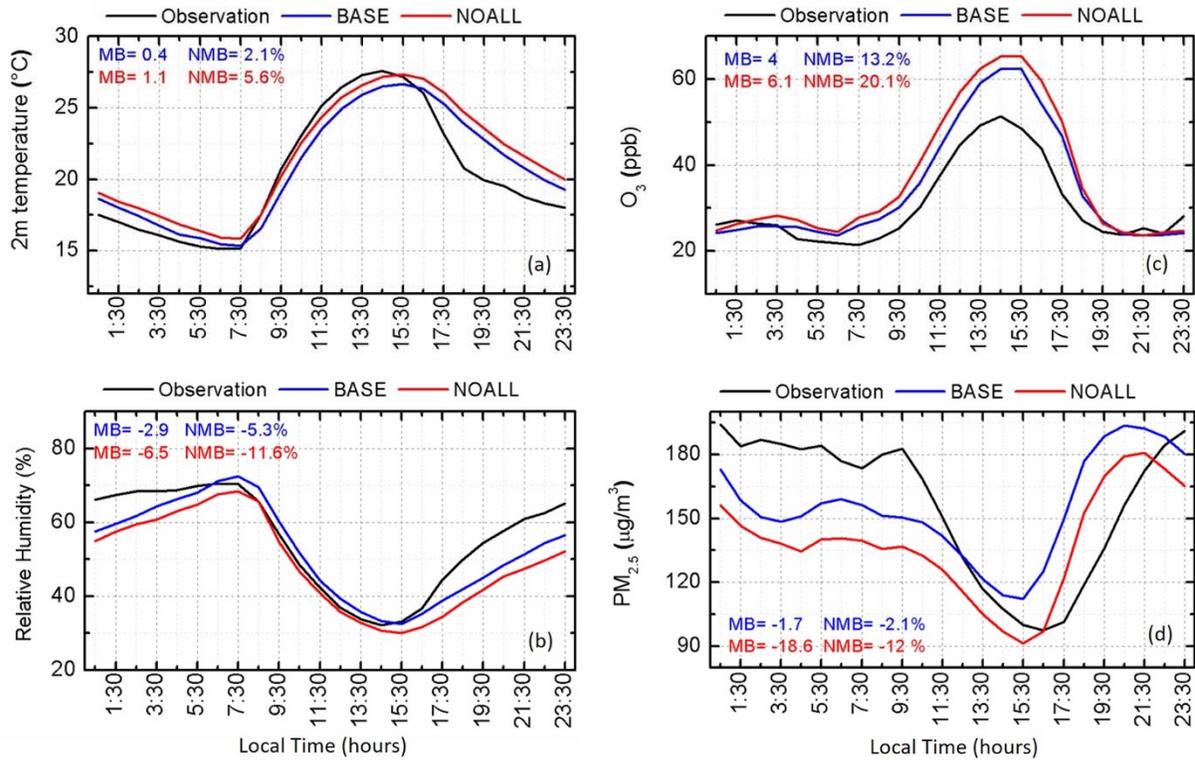
## 146 **2.3 Observational Data**

147 Ground-based measurements of PM<sub>2.5</sub>, O<sub>3</sub>, and meteorological parameters (temperature and  
 148 relative humidity) at different stations over NCR Delhi (Figure S1b) are obtained from the  
 149 Central Pollution Control Board (CPCB), India. The instruments are periodically calibrated, and  
 150 measurements are regularly checked and controlled with quality assurance by the CPCB  
 151 ([cpcb.nic.in/quality-assurance-quality-control/](http://cpcb.nic.in/quality-assurance-quality-control/)). Additional data assurance is considered by  
 152 removing very high (> 1500 mg m<sup>-3</sup>) and low (< 10 mg m<sup>-3</sup>) PM<sub>2.5</sub> values following Kumar et al  
 153 (2020).

## 154 **3 Results**

### 155 **3.1 Model evaluation**

156 The BASE and NOALL simulations are first used for the evaluation of overall impact of  
 157 ARI on the model results. The diurnal pattern and magnitude of 2 m air temperature (T2) and  
 158 relative humidity (RH) are captured well by the UI-WRF-Chem in both simulations, with a  
 159 relatively smaller bias in the BASE case (Figure 1a–b and Table S3). The normalized mean bias  
 160 (NMB) between the observation and model is reduced from –11% in NOALL to –5% in the  
 161 BASE for RH and 5% to 2% for T2.



162

163 **Figure 1.** Diurnal variation of observed (black) and simulated variables (blue for BASE and red  
 164 for NOALL experiments). **(a)** 2m temperature (° C), **(b)** relative humidity (%), **(c)** O<sub>3</sub> (ppb), and  
 165 **(d)** PM<sub>2.5</sub> (µg m<sup>-3</sup>) in megacity Delhi during November 2018.

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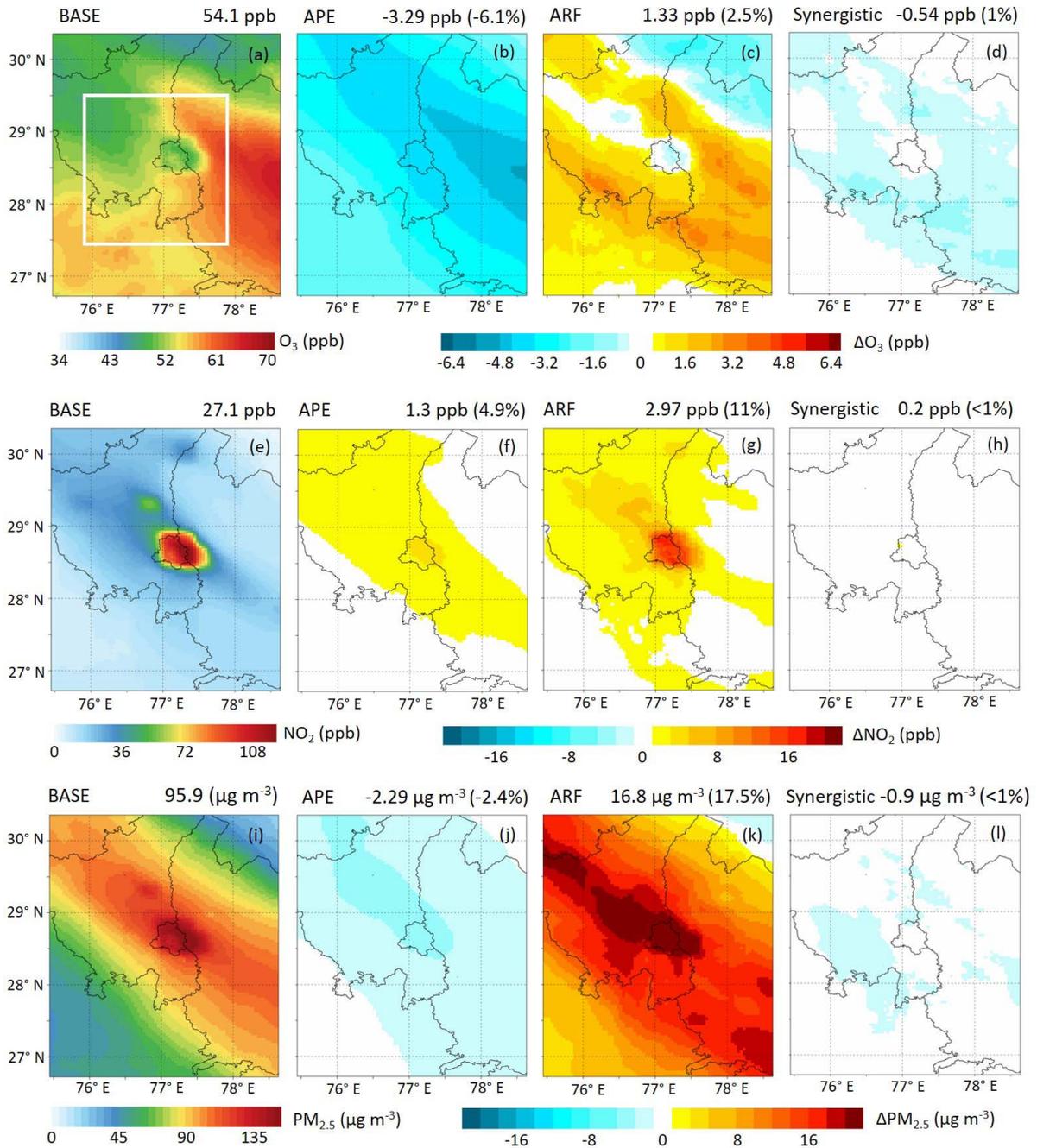
Figures 1(c–d) illustrate the monthly averaged diurnal variation of observed and simulated surface PM<sub>2.5</sub> and O<sub>3</sub> concentrations in megacity Delhi during November 2018. The UI-WRF-Chem captured the observed diurnal variations of surface O<sub>3</sub> and PM<sub>2.5</sub> with a good correlation (of 0.96 – 0.97 and 0.52 – 0.62, respectively) in both BASE and NOALL simulations (Figure 1c–d and Table S3). However, the model overestimates the daytime O<sub>3</sub> peak in both simulations (MB of 16 ppb in the NOALL case), with relatively less bias in the BASE case (MB of 11 ppb). Additionally, the peak of the observed PM<sub>2.5</sub> mass related to the morning rush hour traffic emissions is not captured well by the UI-WRF-Chem. Nevertheless, the modeled correlation of diurnal variation with observation has coefficients of 0.52 – 0.62. The differences between the model and observation could be associated with uncertainties in the input emissions, boundary layer processes, meteorology, and chemical processes. Furthermore, the absolute levels of O<sub>3</sub> and PM<sub>2.5</sub> simulated here are also consistent with the earlier model-based studies in this region (Hakim et al., 2019; Ojha et al., 2020). However, the model performed better in the BASE

179 experiment i.e., when both the APE and ARF are considered. The overall NMB between the  
180 model and observed O<sub>3</sub> is reduced from 20% in NOALL to 13% in the BASE experiment.  
181 Similarly, the NMB decreased from 12% in NOALL to 2% in the BASE simulation for PM<sub>2.5</sub>.  
182 This supports previous findings by Kumar et al. (2020) where they have reported that ARF can  
183 lead to ~21–25% reduction in the mean bias of the PM<sub>2.5</sub> forecast in Delhi; however, the APE  
184 effects on O<sub>3</sub> and the synergistic APE and ARF effects were not quantified in their study. The  
185 contrast between BASE and NOALL suggests that UI-WRF-Chem has the fidelity needed to  
186 study the role of APE and ARF toward the improvement of UI-WRF-Chem simulation of surface  
187 O<sub>3</sub> and PM<sub>2.5</sub>.

### 188 **3.2 Pure contribution of APE and ARF**

189 Figures 2(b, c, f, g, j, k) and Table 1 illustrate the pure contribution of APE and ARF on  
190 surface O<sub>3</sub>, NO<sub>2</sub>, and PM<sub>2.5</sub> concentrations over NCR Delhi. The pure APE contributed to a  
191 reduction in the O<sub>3</sub> concentration by 3.29 ppb (6.1%) via weakening the efficiency of the  
192 photolytic reaction. In the pure APE scenario, the surface photolysis rates J[NO<sub>2</sub>] and J[O<sup>1</sup>D] are  
193 decreased by ~23% over NCR Delhi (Figure S2a, d, Table1) which in turn reduces the surface O<sub>3</sub>  
194 and OH radical concentration (Figure S2g). The reduction in J[NO<sub>2</sub>] and J[O<sup>1</sup>D] is particularly  
195 significant (Figure S3) during the early morning (07:30 – 08:30 LT) and late afternoon hours  
196 (15:30 – 16:30 LT, i.e., when the solar zenith angle is at around 60°), signifying the influence of  
197 long path length of aerosol optical extinction for incoming UV radiation (Li et al., 2011). In  
198 contrast, the pure impact of ARF increases surface O<sub>3</sub> over most areas of the simulated domain  
199 by up to 3 ppb but slightly decreases in the megacity Delhi by up to 0.5 ppb. Overall ARF  
200 increases the surface O<sub>3</sub> by 2.5% over the entire simulated domain (Figure 2c). This results  
201 primarily from the reduction of the boundary layer height and surface energy budget by ARF  
202 (Figure S4). The aerosol-induced solar dimming ( $-47 \text{ W m}^{-2}$ ) leads to a cooling of  $-1^\circ\text{K}$  at the  
203 surface and decreases the surface wind speed ( $-0.11 \text{ ms}^{-1}$ ) and the noontime boundary layer by  
204 ~143 m over the simulated domain (Figure S4). The reduced ventilation due to the shallower  
205 atmospheric boundary layer and weaker winds caused by the ARF enhances the precursor levels  
206 resulting in greater O<sub>3</sub> chemical formation. Contrarily, in megacity Delhi, which is a VOC-  
207 limited regime (Nelson et al., 2021), increased NO<sub>x</sub> (see Figure 2g) concentrations at the surface  
208 associated with the ARF inhibit O<sub>3</sub> formation due to the enhanced titration by NO. However, the

209 O<sub>3</sub> reduction due to ARF in megacity Delhi is far less significant than the changes caused by  
 210 APE.



211  
 212 **Figure 2.** Spatial distribution of the monthly mean concentrations of O<sub>3</sub> (upper panel), NO<sub>2</sub>  
 213 (middle panel), and PM<sub>2.5</sub> (lower panel) averaged during the daytime (07:30–17:30 LT) in  
 214 November 2018. (a, e, i) are from BASE simulation; (b, f, j) are the change of concentrations  
 215 due to the pure APE, (c, g, k) are similar to (b, f, j) but due to the pure ARF, and (d, h, l) are the

216 changes due to the synergistic APE and ARF. The calculated values averaged over NCR Delhi  
 217 (denoted as white box panel (a)) are shown at the top of each panel.

Contribution	O <sub>3</sub> (ppb)	PM <sub>2.5</sub> ( $\mu\text{g m}^{-3}$ )	NO <sub>2</sub> (ppb)	Sulfate ( $\mu\text{g m}^{-3}$ )	Nitrate ( $\mu\text{g m}^{-3}$ )	Ammonium ( $\mu\text{g m}^{-3}$ )	J[NO <sub>2</sub> ] ( $10^{-3} \text{ s}^{-1}$ )	J[O <sup>1</sup> D] ( $10^{-6} \text{ s}^{-1}$ )	OH (ppt)
BASE	54.11	95.9	27.14	4.258	40.175	13.29	3.898	9.519	0.075
Pure ARF	1.33	16.77	2.97	0.26	10.397	3.118	0.003	-0.084	-0.0068
Pure APE	-3.29	-2.29	1.3	-0.25	-1.60	-0.558	-0.872	-2.26	-0.024
Synergistic APE & ARF	-0.54	-0.89	0.2	-0.029	-0.64	-0.197	-0.024	-0.044	-0.0007

218 **Table 1.** Pure and synergistic contributions of APE and ARF on O<sub>3</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, SNA,  
 219 photolysis rates, and OH radical concentration

220 In the case of PM<sub>2.5</sub>, pure ARF contributed substantially to the PM<sub>2.5</sub> accumulation near  
 221 the surface with an average contribution of 17.5 % ( $16.8 \mu\text{g m}^{-3}$ ) over the simulated domain  
 222 (Figure 2k). The pure impact of ARF on PM<sub>2.5</sub> is prominent in the megacity Delhi contributing  
 223 more than 20%. The increased atmospheric stability due to the pure ARF hinders the PM<sub>2.5</sub>  
 224 dispersion and subsequently aggravates PM<sub>2.5</sub> pollution near the surface. On the other hand, pure  
 225 APE inhibits the PM<sub>2.5</sub> concentrations and leads to a decrease of 2.4% ( $2.29 \mu\text{g m}^{-3}$ ). To  
 226 corroborate this finding, changes in the secondary inorganic aerosols such as sulfate, nitrate, and  
 227 ammonium (SNA) in the pure ARF and APE scenarios are analyzed (Figure S5). Bawase et al.  
 228 (2021) reported that SNA ions ( $31.44 \pm 20.69 \mu\text{g m}^{-3}$ ) are one of the largest contributors to PM<sub>2.5</sub>  
 229 along with organic matter in Delhi. SNA are mostly produced in the atmosphere through  
 230 oxidation (including OH, and NO<sub>3</sub> emitted from the photolysis reactions) and neutralization of  
 231 precursor gases (such as SO<sub>2</sub>, NO<sub>2</sub>, and NH<sub>3</sub>). As seen in Figure S5 and Table 1, pure ARF  
 232 substantially enhances the surface SNA concentration while pure APE leads to a slight reduction.  
 233 On average, sulfate, nitrate, and ammonium concentrations are increased by  $0.26 \mu\text{g m}^{-3}$  (6.1%),  
 234  $10.4 \mu\text{g m}^{-3}$  (25.9%), and  $3.1 \mu\text{g m}^{-3}$  (23%), respectively due to pure ARF. The extent of SNA  
 235 changes due to APE is relatively smaller than the changes caused by the pure ARF effect. Pure  
 236 APE decreases sulfate, nitrate, and ammonium concentration by  $0.25 \mu\text{g m}^{-3}$  (5.9%),  $1.6 \mu\text{g m}^{-3}$

237 (4%) and  $0.56 \mu\text{g m}^{-3}$  (4.2%), respectively. The lower abundances of atmospheric oxidants due to  
238 the modification of photolysis by pure APE decreases the rate of SNA formation and  
239 subsequently alleviates the  $\text{PM}_{2.5}$  concentrations near the surface.

### 240 **3.3 Synergistic contribution of APE and ARF**

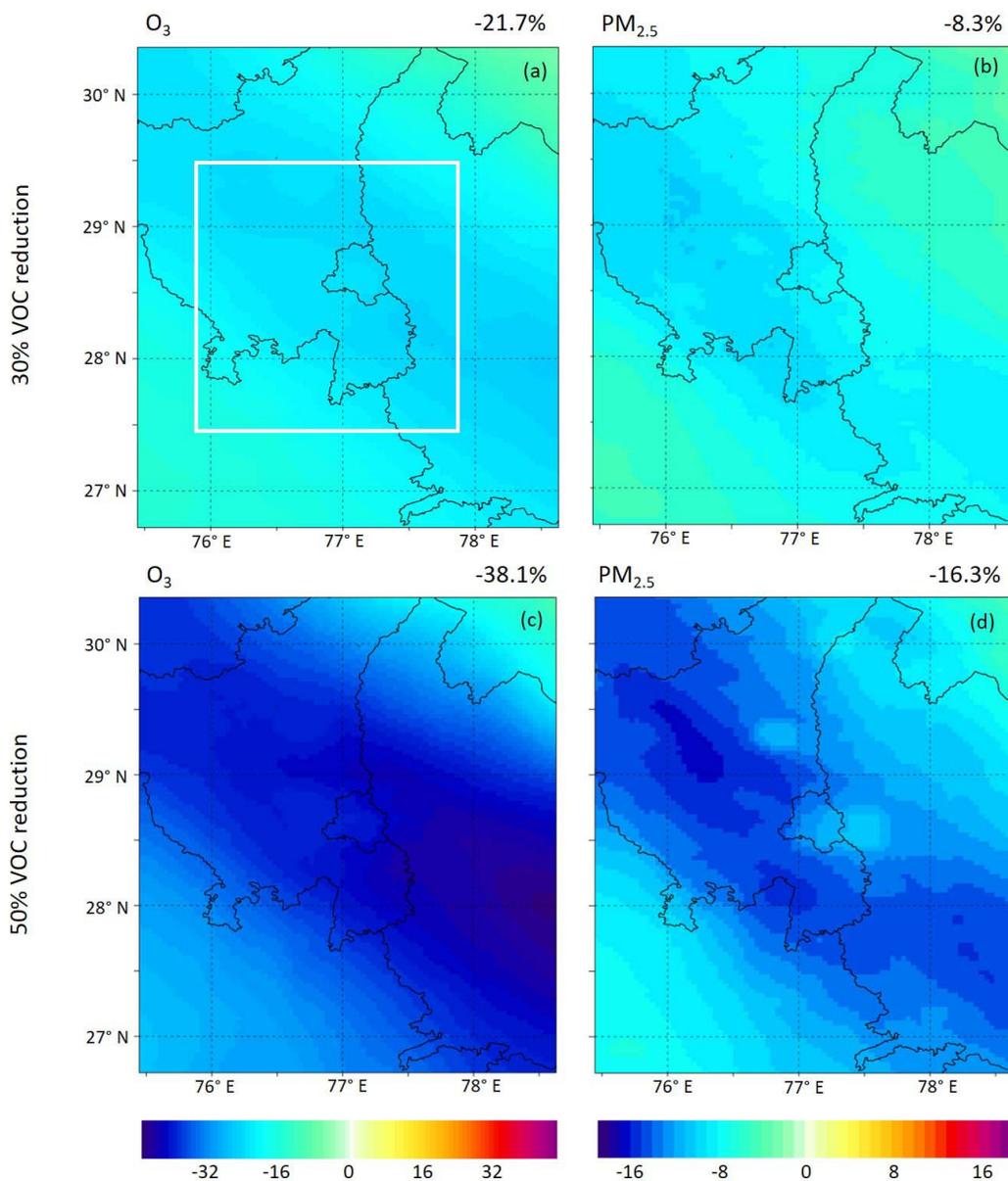
241 The synergistic impact includes the mutual interactions between the APE and ARF.  
242 Figures 2(d, h, l) illustrate the synergistic contribution of APE and ARF on surface  $\text{O}_3$ ,  $\text{NO}_2$ , and  
243  $\text{PM}_{2.5}$  concentrations. The synergistic APE and ARF result in an overall decrease of  $-0.54$  ppb  
244 (1%) in the monthly mean surface  $\text{O}_3$  concentration averaged during the daytime (07:30–17:30  
245 LT). In the case of daily peak (13:30–16:30 LT)  $\text{O}_3$ , the synergistic APE and ARF contributed –  
246 0.8 ppb of total concentration (Figure S6d). However, in VOC-limited regimes such as in  
247 megacity Delhi, the synergistic impact is nearly insignificant ( $<0.5\%$ ) (Figures 2d and S6d).  
248 Similarly, the synergistic APE and ARF have negligible effect on modifying the photolysis rates  
249 ( $<0.5\%$ ) (Figure S2c, f) and consequently little impact on the OH radical (1%) (Figure S2i) and  
250 secondary particulate concentration ( $<2\%$ ) (Figures S5 d, h, l). In the case of  $\text{NO}_2$  and  $\text{PM}_{2.5}$ , the  
251 synergistic impact contributed less than  $\pm 1\%$  of the total concentrations. Overall, the calculated  
252 synergistic impact of APE and ARF contributed very little to the  $\text{O}_3$  and  $\text{PM}_{2.5}$  concentrations  
253 and the impact is far less significant than pure APE and ARF impact.

### 254 **3.4 Discussions**

255 Figure S7 summarizes the different pathways of APE and ARF affecting  $\text{O}_3$  and  $\text{PM}_{2.5}$ .  
256 The ARF cools the surface, reduces turbulent mixing, and is conducive to the increase of relative  
257 humidity (see Figure S4g), all of which are contributory to the enhancement in surface  $\text{PM}_{2.5}$  and  
258 precursor gas concentration. The enhanced chemical loss via strong NO titration effect ( $\text{NO} + \text{O}_3$   
259  $\rightarrow \text{NO}_2 + \text{O}_2$ ) associated with the high  $\text{NO}_x$  emissions plays a critical role in weakening  $\text{O}_3$   
260 production in the megacity Delhi.

261 In the case of pure APE, the weakening  $\text{O}_3$  and OH concentration further impedes the  
262 secondary aerosol formations and subsequently alleviates the near-surface  $\text{PM}_{2.5}$  concentrations.  
263 A substantial reduction ( $\sim 23\%$ ) in surface  $\text{J}[\text{NO}_2]$  due to APE has been observed in Beijing,  
264 China during haze events further hindering the secondary aerosols (3.5–9.4%) and  $\text{PM}_{2.5}$  (4.2%)  
265 concentrations (Wu et al., 2020), which is consistent with our results. The pure contributions of

266 APE and ARF on surface  $O_3$  as a function of  $PM_{2.5}$  in megacity Delhi (See Figure S8) further  
 267 show that the extent of  $O_3$  changes due to APE is larger than ARF. The APE-induced  $O_3$   
 268 reduction is higher when surface  $PM_{2.5}$  can reach high levels larger than  $180 \mu\text{g m}^{-3}$  (Figure S8).  
 269 Relatively strong APE effects on the surface  $O_3$  formation compared to ARF have also been  
 270 reported in North China (Yang et al., 2022); however, the synergistic effects were not quantified  
 271 in their study.



272  
 273 **Figure 3.** (a, c)  $O_3$  and (b, d)  $PM_{2.5}$  responses to the 30% and 50% reduction of VOC emissions  
 274 over NCR Delhi in November 2018.

275 Overall, the elucidation of the role of APE and ARF shows the importance of a  
276 simultaneous mitigation strategy to co-control both  $O_3$  and  $PM_{2.5}$  concentrations in the megacity  
277 Delhi. The results suggest that  $PM_{2.5}$  reduction may lead to  $O_3$  escalations due to the weakened  
278 ARI. Since surface  $O_3$  formation in Delhi is VOC limited and VOCs are common precursors for  
279 both  $O_3$  and  $PM_{2.5}$ , effective control of VOC emissions is required to counterbalance future  $O_3$   
280 escalations. To examine the  $O_3$  and  $PM_{2.5}$  responses to VOC emission reduction, we have  
281 performed two more sensitivity experiments by reducing VOC anthropogenic emissions by 30%  
282 and 50% (Figure 3 and Figure S9). The 30% VOC reduction scenario showed a decrease in  
283 surface  $O_3$  concentration by 21% over NCR Delhi. The  $O_3$  decrease became 38% in the 50%  
284 VOC emission reduction scenario and leads to a large decrease (48%) in the OH radical  
285 concentration (Figure S10). As OH is the key reactive species in the formation of secondary  
286 inorganic aerosols, the reduction in VOC emissions by 30–50% reduces the sulfate, nitrate, and  
287 ammonium concentration by 12–26% (See Figure S11). As a result, the 30% and 50% reduction  
288 of VOC leads to a decrease in  $PM_{2.5}$  concentration by 8% and 16%, respectively over NCR Delhi  
289 (Figure 3b, d). A recent analysis over Delhi (Chen et al., 2020) showed that a reduction in local  
290 traffic emission by 50% alone reduces  $PM_{2.5}$  concentration by 15–30% in Delhi but increases  $O_3$   
291 by 20–25%. However, the reduction in emissions of regional transport of pollution from the  
292 NCR surrounding Delhi by 25–30% at the same time while reducing traffic emissions in Delhi  
293 would further reduce  $PM_{2.5}$  by 5–10% and avoid the  $O_3$  increase (Chen et al., 2020) in line with  
294 our result. Our study suggests that effective control of VOC emission helps in achieving  $O_3$   
295 reduction directly and also indirectly via weakening ARF effects from the reduced  $PM_{2.5}$ ,  
296 emphasizing the need and efficacy of VOC control for simultaneous mitigation of  $O_3$  and  $PM_{2.5}$   
297 in Delhi.

#### 298 **4 Summary and conclusions**

299 The pure and synergistic impacts of APE and ARF on surface  $O_3$  and  $PM_{2.5}$  are quantified  
300 using a regional model UI-WRF-Chem employing the FSA method over NCR Delhi in  
301 November 2018. The model performance in simulating surface  $O_3$  and  $PM_{2.5}$  is improved after  
302 the inclusion of ARI (both APE and ARF) with a significant reduction in mean bias in the  
303 megacity Delhi. The results reveal that APE reduces the surface  $O_3$  and  $PM_{2.5}$  concentrations by  
304 6% and 2.4%, respectively over NCR Delhi. On the other hand, the increased atmospheric

305 stability due to ARF hinders the pollutants outflow and enhances the PM<sub>2.5</sub> (17.5%) and O<sub>3</sub>  
306 (2.5%) concentrations. The synergistic APE and ARF contributed very little (~1%) to the surface  
307 O<sub>3</sub> and PM<sub>2.5</sub> concentration. This study implies that reducing PM<sub>2.5</sub> concentrations may lead to  
308 O<sub>3</sub> escalation due to weakened aerosol radiation interactions. Considering the remarkable impact  
309 of APE and ARF on O<sub>3</sub> and PM<sub>2.5</sub>, these effects need to be considered in designing policies for  
310 co-controlling O<sub>3</sub> and PM<sub>2.5</sub>. Reducing VOC emissions (by 50%) results in a decrease in the  
311 oxidant levels (38–48% decrease in O<sub>3</sub> and OH) and secondary aerosols (26%) and leads to a  
312 16% PM<sub>2.5</sub> reduction, highlighting the effectiveness of VOC control in achieving O<sub>3</sub> and PM<sub>2.5</sub>  
313 reductions in Delhi.

314 This study provides first-hand information on evaluating the APE and ARF effects on O<sub>3</sub>  
315 and PM<sub>2.5</sub> using a meteorology–chemistry modeling framework in Delhi. The elucidation of the  
316 role of APE and ARF is particularly significant to understand the complex PM<sub>2.5</sub>–O<sub>3</sub> nexus over  
317 polluted regions and the co-benefits attributed to the reduction in both pollutants. However, other  
318 factors such as heterogeneous reactions associated with aerosol and aerosol-cloud interactions  
319 also need to be considered for further insights into the impact of aerosol radiative effects on O<sub>3</sub>  
320 and PM<sub>2.5</sub> concentration.

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## 326 **Open Research**

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334 [https://edgar.jrc.ec.europa.eu/dataset\\_htap\\_v2](https://edgar.jrc.ec.europa.eu/dataset_htap_v2).

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