

# Crosstalk Between Sodium-Glucose Cotransporter Inhibitors and Sodium-Hydrogen Exchanger- 1 and 3 in Cardiometabolic Diseases

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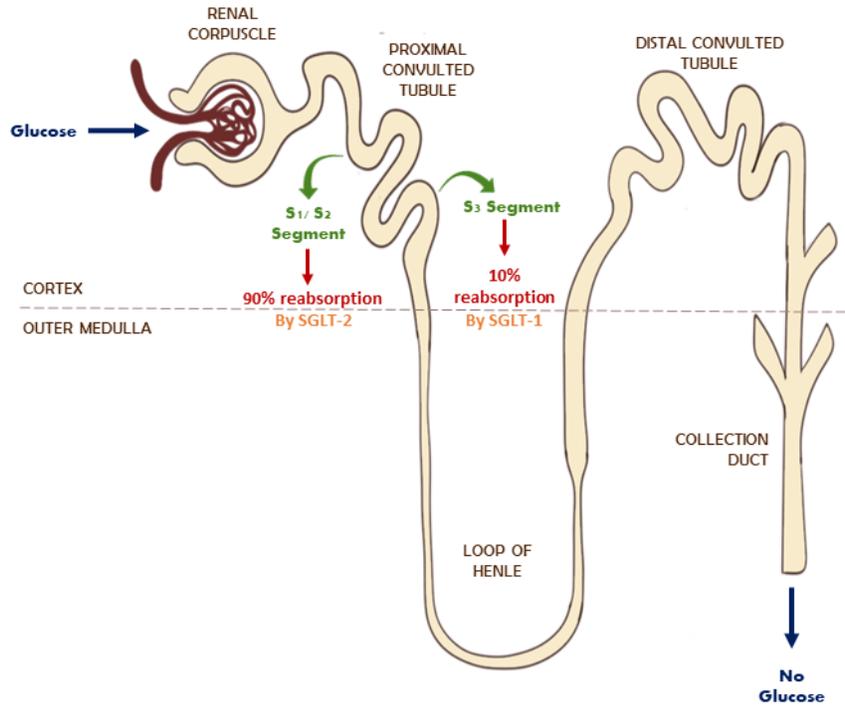
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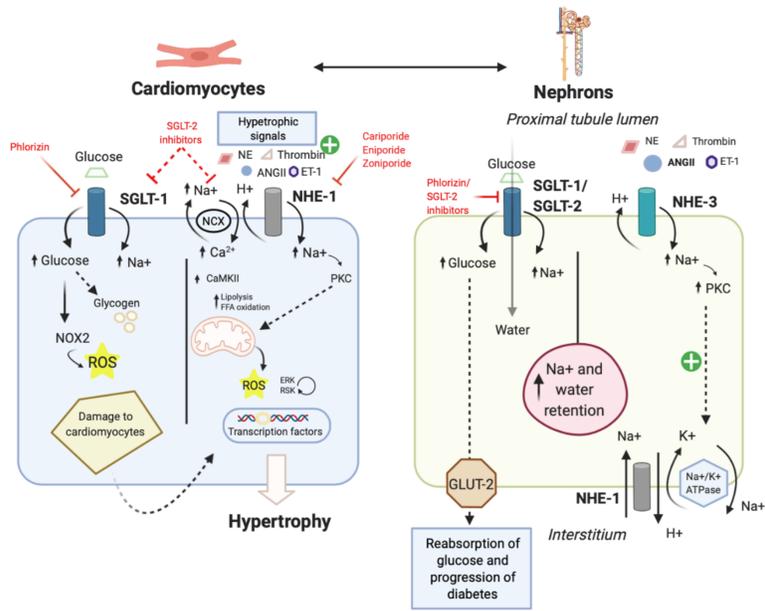
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## Abstract

The hallmark of type 2 diabetes mellitus (T2DM) is abnormal glucose homeostasis due to hyperglycaemia or insulin resistance. Metabolic abnormalities in T2DM lead to cellular dysfunction and the development of diabetic cardiomyopathy and heart failure. New antihyperglycemic agents, such as glucagon-like peptide-1 receptor agonists and the sodium-glucose cotransporter-2 inhibitors (SGLT2i) have shown to attenuate endothelial dysfunction at the cellular level. In addition, they showed cardiovascular safety and cardioprotective effects. How these drugs exert their cardioprotective effects is unknown, although recent studies show that cardiovascular homeostasis occurs through the interplay of the sodium hydrogen exchangers (NHE), specifically NHE1 and NHE3 with SGLT2i. Another theoretical explanation for the SGLT2i cardioprotective effects is through natriuresis by the kidney. This theory highlights the possible involvement of renal NHE transporters in the management of heart failure. This review outlines possible mechanisms predisposing to diabetic cardiomyopathy and discusses the interaction between NHE and SGLT2i in cardiovascular disease.







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33 theoretical explanation for the SGLT2i cardioprotective effects is through natriuresis by the  
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35 management of heart failure. This review outlines possible mechanisms predisposing to  
36 diabetic cardiomyopathy and discusses the interaction between NHE and SGLT2i in  
37 cardiovascular disease.

## 38 1. Introduction

39 Diabetes Mellitus (DM) is a metabolic disorder where the body either does not produce  
40 sufficient amount of insulin, has impaired insulin action or a combination of the two. Type 1  
41 (T1DM) which constitutes ~5-10% of diabetes cases has high incidence in children and  
42 adolescents and is caused by immune destruction of the  $\beta$ -islets in the pancreas. The majority  
43 of cases are Type 2 (T2DM), which results from a combination of beta cell dysfunction and  
44 insulin resistance. Insulin resistance and the accompanied hyperinsulinemia are the early  
45 detected metabolic abnormality in subjects destined to develop T2DM and precedes the  
46 deterioration in glucose homeostasis (American Diabetes, 2017; Kahanovitz et al., 2017).  
47 T2DM affects approximately 463 million people worldwide and future estimates suggest that  
48 102 of 1000 people will be diagnosed with diabetes by 2030 (Saeedi et al., 2019). Chronic  
49 diabetes without appropriate treatment causes microvascular and macrovascular  
50 complications like nephropathy, retinopathy, neuropathy, and atherosclerotic cardiovascular  
51 diseases (CVDs).

52 CVDs are considered the most common cause of morbidity and mortality in diabetic  
53 patients. In the US, CVD death rates are 1.7 times higher among adults with DM than those  
54 without, which is attributable to the increased risk of stroke, myocardial infarction (MI), and  
55 heart failure (HF) (Leon & Maddox, 2015). Patients with T2DM have a two-to-five-folds  
56 increased risk of HF, independent of other risk factors like hypertension, coronary artery  
57 disease, and dyslipidaemia (Martín-Timón et al., 2014) (Nichols et al., 2004). A rise in  
58 glycated haemoglobin by 1% has been associated with an 8% increase in CVD risk (Stratton  
59 et al., 2000). Furthermore, the presence of T2DM worsens the prognosis of heart failure. In  
60 addition, T1DM patients have a 30% risk of HF with every 1% increase in glycated  
61 haemoglobin (Zhao et al., 2014).

62 Hyperglycaemia and insulin resistance are the major etiological factors promoting  
63 cardiomyopathy and HF in diabetic patients (Jia et al., 2016). Ultimately, the progression of  
64 HF in DM is linked to pathological changes to the heart muscle and coronary vasculature,  
65 which eventually lead to diabetic cardiomyopathy (DCM) (Jia et al., 2018).  
66 Recently discovered antihyperglycemic agents, such as glucagon-like peptide-1 receptor  
67 agonists, and the sodium-glucose cotransporter-2 (SGLT2) inhibitors (SGLT2i) have shown  
68 cardioprotective effects. SGLT2i were shown to decrease the rates of HF and hospitalization  
69 from HF in several clinical trials (Ali et al., 2019). How these new antihyperglycemic drugs

70 exert cardioprotection is unknown, although some studies show involvement of the sodium  
71 hydrogen exchanger (NHE) and sodium-glucose transporter (SGLT) families. This review  
72 discusses some of the mechanisms predisposing to diabetic cardiomyopathy and highlights  
73 the role of NHE and SGLT transporters in cardiovascular disease.

## 74 **2. Pathophysiology of Diabetic Cardiomyopathy**

75 DCM is recognized by defects in the structure and performance of the myocardium in  
76 individuals with diabetes, independent of other cardiac risk factors. The structural  
77 abnormalities in DCM progress through three stages, an early stage characterized by diastolic  
78 dysfunction, which gradually develops to systolic dysfunction in the advanced stage, and  
79 eventually to HF in the late stage. (Jia et al., 2016).

80 Early-stage DCM, mainly caused by hyperglycaemia and insulin resistance, presents with  
81 impairment in the left ventricle (LV) diastolic filling, compensated by increased LV and atrial  
82 filling pressure and left atrial enlargement (Seferović & Paulus, 2015). Hyperglycaemia leads  
83 to downregulation of GLUT4, impaired glycolysis, and increased free FA levels (from  
84 impaired FA metabolism). Insulin resistance results in increased lipolysis and elevated  
85 plasma FFA concentration as well leading to increased influx to myocytes and development  
86 of cardiac steatosis. The events result in high levels of ROS, impaired  $Ca^{2+}$  homeostasis,  
87 mitochondrial dysfunction, endoplasmic reticulum stress, oxidative stress, and activation of  
88 the sympathetic nervous system, all of which promote cardiac hypertrophy, fibrosis, and  
89 cardiomyocyte apoptosis (Jia et al., 2016). The advanced stage comprises continued cardiac  
90 injury and further stimulates the Renin-Angiotensin-Aldosterone System (RAAS) and  
91 maladaptive immune responses that culminate in impaired autophagy of cells (Jia et al.,  
92 2016). Advanced stage features include LV hypertrophy and cardiac remodelling, with  
93 impaired cardiac diastolic function. Consequently, the individual may develop HF with a  
94 normal ejection fraction. In late-stage DCM, neurohumoral activation, impaired metabolism,  
95 and myocardial fibrosis weaken coronary microcirculation and the diastolic and systolic  
96 functions of the heart (Aronow & Ahn, 1999; Jia et al., 2018). Additionally, impaired insulin  
97 signalling and oxidative stress both decrease levels of the vasodilator nitric oxide (NO) (Jia et  
98 al., 2016).

## 99 2.1 The Role of Endothelial Dysfunction in the Development of DCM

100 Studies on DCM development using animal models have implicated multiple  
101 pathophysiologic mechanisms, such as mitochondrial dysfunction, RAAS activation,  $\text{Ca}^{2+}$   
102 homeostasis impairment, lipotoxicity, myocardial steatosis, glucose toxicity, and most  
103 recently, endothelial dysfunction. Hyperglycaemia is one of the main factors triggering  
104 endothelial dysfunction by exerting several biochemical changes that damage cardiac and  
105 vascular endothelial cells. Some of these changes trigger ROS production and induce  
106 oxidative stress levels that overwhelm cells, enhance non-enzymatic glycation, activate  
107 protein kinase-C (PKC), and ameliorate the cells' redox potential (Avogaro et al., 2011).  
108 Oxidative stress promotes the formation and deposition of AGE products creating elevated  
109 interstitial collagen deposition and increased myocardial wall stiffness. If untreated, all of  
110 these DCM-related structural changes would result in HF (Jia et al., 2016).

111 The endothelium is a single-layer cellular lining of the whole vascular system. Endothelial  
112 cells have unique functions vital for cardiovascular homeostasis. For example, the  
113 endothelium functions as a semi-permeable barrier between blood and body tissues. The  
114 endothelium also controls vascular tone by secreting the vasodilators nitric oxide,  
115 prostacyclin, and endothelium-derived hyperpolarizing factors, as well as producing  
116 vasoconstrictors like endothelin-1 and thromboxane- $\text{A}_2$ . Endothelial dysfunction,  
117 characterized by low nitric oxide bioavailability, occurs when endothelial cells lose their  
118 barrier property and fail to balance vascular dilatory and constrictive tone, coagulation, and  
119 anticoagulation. T1DM and T2DM patients show decreased vasorelaxation by NO (Avogaro  
120 et al., 2011; Endemann & Schiffrin, 2004). Reduced NO production is observed in diabetic  
121 experimental models (Hink et al., 2001; Shi & Vanhoutte, 2009), and *in-vitro* studies with  
122 endothelial cells have shown that high glucose levels lead to less NO production (De Vriese  
123 et al., 2000). Endothelial dysfunction is considered the first step in developing atherosclerotic  
124 complications in metabolic conditions such as diabetes, pre-diabetes, and obesity (Avogaro et  
125 al., 2006).

126 Several mechanisms contribute to lower NO bioavailability during endothelial  
127 dysfunction. Production of ROS through NADPH oxidase, an electron transport chain  
128 protein, leads to oxidative stress. ROS reacts with NO to produce a cytotoxic oxidant  
129 compound called peroxynitrite. Peroxynitrite increases oxidative stress even further, which in  
130 turn lowers NO production through uncoupling of NO synthases (NOS) and mediates low-  
131 density lipoprotein oxidation. Peroxynitrite also leads to protein dysfunction via nitration of

132 proteins. In insulin resistance, the PI3K/Akt pathway involved in NOS activation is inhibited,  
133 while endothelin-1 and adhesion molecule production pathways remain intact. Also, the  
134 presence of AGE products contributes to oxidative stress and leads to endothelial  
135 dysfunction. Endoplasmic reticulum stress, a pro-apoptotic pathway, is another mechanism  
136 where the pro-survival unfolded protein response becomes chronically activated (Aviello &  
137 Knaus, 2018; Avogaro et al., 2011; Endemann & Schiffrin, 2004; Maamoun et al., 2019;  
138 Muniyappa & Sowers, 2013).

139         Antihyperglycemic medications that target and attenuate endothelial dysfunction such  
140 as liraglutide, metformin, pioglitazone, and SGLT2i (empagliflozin; EMPA, canagliflozin,  
141 CANA; dapagliflozin, DAPA) are becoming of great interest. (Batziar et al., 2018; Eriksson  
142 & Nyström, 2015). In porcine coronary artery cultured endothelial cells, high glucose  
143 increased endothelial dysfunction markers, oxidative stress, and VCAM-1, and reduced NOS  
144 expression. Treatment with SGLT2i exerted a protective effect and prevented endothelial  
145 dysfunction (Khemais-Benkhiat et al., 2020). Additionally, in the obese ZSF1 rat model,  
146 systolic blood pressure (BP) was higher than the lean control group, NOS was  
147 downregulated, and expression of the adhesion molecule VCAM-1 was increased. Chronic  
148 treatment of T2DM ZDF rats with empagliflozin (EMPA) prevented oxidative stress,  
149 signalling and inflammation, AGE products formation, and attenuated endothelial  
150 dysfunction (Steven et al., 2017). In Apo-E<sup>-/-</sup> streptozotocin (STZ)-induced diabetic mice,  
151 treatment with EMPA also attenuated endothelial dysfunction and reduced atherogenesis  
152 (Ganbaatar et al., 2019). The EMBLEM trial included 117 patients with T2DM and  
153 concurrent CVDs, randomized into a 1:1 ratio to receive either placebo or EMPA over 24  
154 weeks (Tanaka et al., 2019). The primary endpoint was the change in reactive hyperaemia  
155 index, an endothelial dysfunction marker, from baseline. Per-protocol analysis did not show  
156 an improvement in endothelial dysfunction. However, the study was limited by the small  
157 number of patients and unrepresentable population. The mean population systolic BP was  
158 130 mmHg and the BMI 26.4 kg m<sup>-2</sup>, which are lower than expected values for diabetic  
159 patients with concurrent cardiovascular disease. In T2DM mice, treatment with dapagliflozin  
160 (DAPA) attenuated endothelial dysfunction, vascular smooth muscle dysfunction, and arterial  
161 stiffness (Lee et al., 2018). Gaspari et al. (2018) showed that DAPA attenuated TNF $\alpha$ - and  
162 hyperglycaemia-induced endothelial dysfunction *in vitro* with a human endothelial cell line.  
163 While *in-vivo*, both adult and aged ApoE<sup>-/-</sup> mice chronically administered with DAPA  
164 showed attenuated endothelial dysfunction and less vascular adhesion molecules.

## 165 **2.2 The Role of Metabolic Disturbances in the Development of DCM**

166 Myocardial cells are characterized by their metabolic flexibility, which is the ability to  
167 utilize several substrates such as glucose, lactate, and fatty acids (FAs) to generate ATP  
168 molecules. In a healthy heart, there is a constant supply of ATP by oxidative phosphorylation  
169 of FAs in the mitochondria (60 – 90%), while maintaining a balance in using other substrates  
170 like glucose and lactate (Stanley & Chandler, 2002).

171 In diseases such as HF and DM, the metabolic balance is impaired. The failing heart  
172 increases the utilization of glucose over FAs to increase energy production. However, in  
173 diabetic heart, there may be a metabolic shift toward FA oxidation rather than glucose  
174 oxidation. This shift is thought to be due to the chronic hyperglycaemia, insulin deficiency,  
175 and insulin resistance. The enhanced FA oxidation observed in a diabetic heart might exceed  
176 cardiac utilization capacity and predispose the heart to triacylglycerols (TAGs) and ceramides  
177 disposition, which in turn contributes to cardiac hypertrophy and stenosis. Along with the  
178 burden created by advanced glycated end products (AGEs), the cardiac metabolic changes  
179 promote collagen deposition and induce myocardial fibrosis leading to the damage of the  
180 cardiomyocytes present in DCM (Fuentes-Antrás et al., 2015).

181 Furthermore, in cardiac diseases, ischemia and hypoxia promote a shift to anaerobic  
182 respiration. The activity of adenosine monophosphate kinase (AMPK), an energy balancing  
183 enzyme that promotes anaerobic ATP production, is allosterically regulated by the ratio of  
184 AMP to ATP. When ATP is abundant, it binds to AMPK and inactivates it. Therefore, during  
185 pathological low energy states when AMP is abundant, AMPK is activated to provide the  
186 heart with ATP. In addition to energy production, AMPK activation protects cells against  
187 myocardial injury during ischemia, reduces reactive oxygen species (ROS), and attenuates  
188 endoplasmic reticulum stress. Additionally, sodium (Na<sup>+</sup>) overload, a characteristic of HF,  
189 increases calcium (Ca<sup>2+</sup>) efflux which interferes with the Krebs cycle, that is adding up to the  
190 metabolic disturbances (Qi & Young, 2015).

## 191 **3. Characteristics of NHE & SGLT membrane transporters**

### 192 **3.1 NHE Overview**

193 The sodium hydrogen exchangers (NHE) family of integral membrane protein antiporters  
194 consists of 10 isoforms that function by exchanging sodium cations with protons through cell  
195 membranes (Packer, 2017). NHE1 and NHE3 are two well-studied isoforms involved in renal

196 and cardiovascular homeostasis. NHE1, ubiquitous in mammalian cells, is the dominant  
197 isoform in the heart where it regulates intracellular pH, cell volume, and proliferation and  
198 shows the highest expression in the kidney (Das et al., 1987; Packer, 2017; Parker et al.,  
199 2015). NHE3 contributes to regulating extracellular volume and BP by reabsorption of Na<sup>+</sup> in  
200 the kidney (Dominguez Rieg et al., 2016; Packer, 2017).

### 201 **3.1.1 Activity and Regulation of NHE1 and NHE3**

202 NHE1 influxes Na<sup>+</sup> in response to intracellular acidification, where the protein exhibits  
203 an allosteric binding site for protons. Regulation of NHE1 can also occur in response to  
204 different membrane receptors that can exert conformational changes or C-terminal  
205 phosphorylation. Extracellular and hormonal pathways, such as angiotensin II (ANG-II),  
206 endothelin-I, and thrombin, control the activity of NHE1 regulators. Receptor regulators of  
207 NHE1 include protein kinases, G-coupled receptors, and integrin receptors (Vallés et al.,  
208 2015). Tyrosine kinase activation increases NHE1 activity through the Ras-mediated ERK  
209 cascade, including Ras downstream effectors such as MEK1/2, Raf-1, and ERK. However,  
210 the serine/threonine kinase ERK downstream effector called p90 ribosomal S6 kinase  
211 (p90<sup>RSK</sup>) directly phosphorylates NHE1 instead of ERK (Putney et al., 2002). The RSK  
212 family of transporters includes four isoforms (RSK1-4). RSK1, also designated as p90<sup>RSK</sup>,  
213 sustains regular cardiac function, making this enzyme essential (Lara et al., 2013). p90<sup>RSK</sup>  
214 hyperactivity induces cardiac hypertrophy and HF. In neonates, p90<sup>RSK</sup> activation increases c-  
215 Fos and Egr-1 expression in ventricular myocytes to promote myocytes' development  
216 (Takahashi et al., 1999). Furthermore, p90<sup>RSK</sup> activation reduces glycogen synthase kinase-3β  
217 (GSK-3β) activity in mice with defective ryanodine receptor ion channels leading to cardiac  
218 hypertrophy progression (Q. He et al., 2010). A study by Takeishi et al. (1999) found aberrant  
219 activation of p90<sup>RSK</sup> in guinea pig pressure-overload-induced hypertrophic myocardium.  
220 Moreover, patients with dilated cardiomyopathy had higher levels of activated p90<sup>RSK</sup> than  
221 their healthy peers (Horie et al., 1992; Javadov et al., 2009; Muthusamy et al., 2013; Takeishi  
222 et al., 2002; Yamaguchi et al., 2011). These findings highlight the role of p90<sup>RSK</sup> in inducing  
223 cardiac dysfunction, remodelling, and its role in NHE1 activation.  
224 Akt is another kinase known to regulate NHE1 activity. The duration of Akt activation is the  
225 determinant of its effect (Takeishi et al., 2002). Short-term Akt activation promotes  
226 physiological hypertrophy during postnatal cardiac development characterized by normal or  
227 enhanced contractile function (Walsh, 2006), while contractile dysfunction characterizes

228 long-term Akt activation (Shiojima et al., 2002). A study done on mouse embryo fibroblasts  
229 showed that Akt inhibition reduced NHE1 activity by blocking the translocation of NHE1 to  
230 the cell membrane. Furthermore, upstreaming of Akt enhances p90<sup>RSK</sup> activation and thus  
231 plays a role in cardiomyopathy (Clement et al., 2013; Kemi et al., 2008).

232 The role of cardiac AMPK, one of the NHE channel regulatory kinases, in cardiac  
233 metabolism is not known. However, studies suggest that activating AMPK by  
234 phosphorylation triggers the trafficking of glucose transporters (GLUT1 and GLUT4) to the  
235 sarcolemma and increases glucose uptake (Qi & Young, 2015; Rotte et al., 2010).

236 The G protein-coupled receptor subunits Ga<sub>q</sub> and Ga<sub>13</sub> also activate NHE1. Ga<sub>13</sub> activates  
237 NHE1 through the GTPase RhoA pathway, while Ga<sub>q</sub> activates NHE1 through the PKC-  
238 dependent mechanism. The suppression of PKC in several Ga<sub>q</sub> protein-coupled receptors,  
239 namely α1-adrenergic, vasopressin, and endothelin-1, impairs NHE1 activation. However, in  
240 some Ca<sup>2+</sup> mobilizing Ga<sub>q</sub> coupled receptors, NHE1 activation can occur independently of  
241 PKC. Moreover, integrin receptors can activate NHE1, which may be due to the shared  
242 signalling pathway with Ga<sub>13</sub> that activates NHE1 (Avkiran & Haworth, 2003; Kitamura et  
243 al., 1995).

244 Other than receptor-mediated regulation, NHE1 regulation occurs through the direct  
245 binding of regulatory proteins to the C-terminal. Accessory proteins, which take part in the  
246 regulation of cardiac NHE1 activity were also investigated, such as carbonic anhydrase-II  
247 (CAII), Ca<sup>2+</sup>-binding proteins (calmodulin and calcineurin B homologous proteins [CHPs]),  
248 and phospholipids. Cellular Na<sup>+</sup> concentration, regulated by NHE1, is instrumental for  
249 function, playing roles in Ca<sup>2+</sup> regulation, metabolism, contractility, and heart stability  
250 (Lambert et al., 2015).

251 Several physiological and hormonal modulators regulate NHE3 activity. The majority of  
252 the NHE3 regulatory hormones are coupled to protein kinases associated with intracellular  
253 signalling cascades. Different mechanisms such as direct phosphorylation, protein trafficking,  
254 and interaction with accessory proteins modulate NHE3 activity (Dydia et al., 2010; Pedersen  
255 & Counillon, 2019). Moreover, in a normal state, the regulation of NHE3 is dependent on its  
256 C-terminal phosphorylation. Various kinases, including casein kinase 2 (CK2), serum  
257 glucocorticoid-regulated kinase-1 (SGK1), protein kinase A (PKA), Ca<sup>2+</sup>/Calmodulin-  
258 dependent Protein Kinase-II (CaMKII), cGKII, GSK-3, AKT, ERK and p90<sup>RSK</sup> mediate  
259 NHE3 phosphorylation (Dydia et al., 2010). No et al. (2015) demonstrated that  
260 lysophosphatidic acid (LPA) stimulated NHE3 activity by LPA5 receptor and EGF receptor  
261 (EGFR) transactivity. This, in turn, activated proline-rich tyrosine kinase 2 (Pyk2) and ERK

262 specifically in the apical membrane. The authors hypothesized that RSK could be an  
263 associated effector of Pyk2 and ERK since RSK is a well-known effector of EGFR and ERK.  
264 In contrast, the regulation of RSK by Pyk2 is still not known. The study showed that RSK2,  
265 but not RSK1, regulated direct phosphorylation of NHE3 and concluded that RSK2  
266 phosphorylation of NHE3 mediates NHE3 regulation by LPA.

## 267 **3.2 SGLT Receptors Overview**

268 Sodium-glucose co-transporters (SGLTs) are active symporters that belong to the solute-  
269 carrier family-5 (SLC5) of active glucose transportation, and facilitate glucose homeostasis  
270 (Wright et al., 2011). The human SLC5 transporter family contains 12 members, with up to  
271 six different SGLT receptors identified in human cells. Functional studies showed that all  
272 SLC5 family proteins weigh between 60- to 80-kDa (580–718 amino acids). The most-  
273 studied isoforms of this family, SGLT1 and SGLT2, are involved in glucose absorption and  
274 glucosuria.

### 275 **3.2.1 Activity and Regulation of SGLT**

276 Several studies have focused on the activity and expression of SGLT under different  
277 physiological/pathophysiological settings. SGLT1 expression was in the small intestine,  
278 kidneys, liver, lungs, cardiac myocytes, and highly expressed in the human heart. SGLT2  
279 expression was primarily found in the kidney and pancreatic alpha cells. (Kashiwagi et al.,  
280 2015). SGLT1 levels are elevated further in cardiac ischemia or hypertrophy disease states.  
281 This increase in SGLT1 expression can be linked to the increased phosphorylation of  
282 secondary messengers such as ERK 1/2 and the mammalian target of rapamycin (mTOR),  
283 involved in the signaling pathways of cardiac ischemia/hypertrophy. However, further studies  
284 are required to confirm the proposed mechanism (Di Franco et al., 2017).

285 The kidney plays a vital role in glucose homeostasis by promoting the reabsorption of  
286 filtered glucose. The two isoforms carry out reabsorption across the apical cell membranes  
287 (Poulsen et al., 2015). SGLT2 is located on the luminal membrane of the proximal  
288 convoluted tubule in S1 and S2 segments, whereas SGLT1 is expressed in the S3 segment  
289 (Figure 1) (Chao, 2014). A healthy kidney reabsorbs 90% of filtered glucose from the  
290 proximal tubule via SGLT2, whereas a diabetic kidney increases its reabsorption of glucose  
291 by 20% more than the normal rate through the overexpression of SGLT2. The active  
292 transport of glucose by both isoforms is linked with the transport of Na<sup>+</sup> into the intracellular

293 fluid (Chao, 2014; Novikov & Vallon, 2016). Inhibition of this process promotes the  
294 reduction of intracellular Na<sup>+</sup> levels and excretion of glucose in urine (glucosuria), leading to  
295 the correction of hyperglycaemia (Chao, 2014).

#### 296 **4. Role of SGLT & NHE1 and 3 in Diabetes**

297 DM can stimulate the proliferation of vascular smooth muscle cells (VSMCs) to  
298 proliferate through insulin and insulin-like growth factor 1 (IGF-1), which is in turn mediated  
299 by NHE1. Insulin can stimulate the transcription of NHE1 directly and regulate the activity of  
300 NHE1 in nonvascular cells, while IGF-1 regulates NHE1 activity in vascular cells. Moreover,  
301 hyperglycaemia affects the activity of NHE1. For example, hyperglycaemia increases the  
302 production of diacylglycerol precursors, leading to the PKC activation, consequently  
303 activating NHE1. Also, NHE1 in VSMCs can be activated by the oxidized LDL which has  
304 been shown to be elevated in DM and hyperlipidaemia. Furthermore, AGEs react with the  
305 extracellular matrix, resulting in the thickening of vessel walls. Besides, VSMCs adhesion,  
306 which is mediated by cell surface integrins and extracellular matrix proteins, promotes PKC  
307 activation and stimulation of NHE1 activity. Interestingly, it was speculated that glycation of  
308 the extracellular matrix protein fibronectin inhibited NHE activity and suppressed the growth  
309 of VSMCs (Hannan & Little, 1998).

310 On the other hand, the activity of NHE3 is stimulated as a result of increased levels of  
311 insulin, glucose, and specific adipokines in T2DM. The increased activity and upregulation of  
312 NHE3 may be instrumental to developing chronic complications in diabetic patients such as:  
313 diabetic nephropathy and uric acid nephrolithiasis (Packer, 2017). The early phase of diabetic  
314 kidney disease presents changes in eGFR, elevated reabsorption of salt and water, and  
315 expanded extracellular volume, all of which advance to hypertension, hyperfiltration, and  
316 eventually renal hypertrophy (Girardi & Sole, 2012).

317 The number of main Na<sup>+</sup> and water transporters are hypothesized to increase in diabetic  
318 kidneys as a compensatory mechanism due to extensive water and Na<sup>+</sup> loss (Song et al.,  
319 2003). The study demonstrated that STZ- induced T1DM rats had an increased protein  
320 content of Na<sup>+</sup> and water transporters NHE3 (204% of the vehicle mean), thiazide-sensitive  
321 Na<sup>+</sup>/Cl<sup>-</sup> co-transporter, and  $\alpha$ ,  $\beta$ , and  $\gamma$  subunits of the epithelial sodium channel. According  
322 to another study conducted by Klisic et al. (2006) using a similar animal model, brush-border  
323 membrane NHE3 activity was significantly higher by 40% after seven days and 37% after 14  
324 days compared to control rats. However, the increased activity of NHE3 was not associated

325 with changes in NHE3 protein or mRNA. Unlike Song et al. (2003), they selectively used  
326 cortical brush-border membrane vesicle for analysis to reflect proximal tubule NHE3 and not  
327 the analysis of whole kidney homogenates. An STZ-induced diabetic rat study demonstrated  
328 that diabetic kidneys were 67% larger in size, had 22% longer proximal tubules, and 20%  
329 longer distal tubules compared to normal rat kidneys Rasch (1984). Since the reabsorption of  
330 Na<sup>+</sup> occurs mainly in the proximal tubules, its elongation can easily result in increased  
331 activity of NHE3 (Girardi & Sole, 2012). Hyperglycaemia also enhances ANG-II production  
332 by stimulation of angiotensinogen and RAAS. This further activates NHE3 via the SGK1  
333 signalling cascade involving phosphatidylinositol 3-kinase (PI3-kinase) and 3-  
334 phosphoinositide-dependent protein kinase-1 (PDK1) (Ackermann et al., 2009; Stevens et al.,  
335 2008). Another signal cascade of ANG-II induced NHE3 stimulation includes the non-  
336 receptor tyrosine kinase (c- Src), PI3-kinase activation, PKC (du Cheyron et al., 2003;  
337 Tsuganezawa et al., 1998), and Ca<sup>2+</sup> and CaMKII (P. He et al., 2010). In the proximal tubule,  
338 the uptake of albumin requires the involvement of the megalin/cubilin complex. In diabetic  
339 nephropathy, there is decreased endocytosis of albumin due to decreased megalin expression,  
340 characterized by microalbuminuria (Tojo et al., 2001). The decreased albumin uptake leads to  
341 elevated intratubular albumin concentration, stimulating NHE3 activity and further worsening  
342 kidney damage (Girardi & Sole, 2012). In the Opossum kidney cells, high glucose levels  
343 resulted in hypertrophy due to increased osmolality (Drumm et al., 2003). Consequently,  
344 albumin uptake increased because of NHE3 overactivity.

## 345 **5. Role of SGLT & NHE1 and 3 in Cardiovascular Diseases**

### 346 **5.1 Ischemia-Reperfusion Injury, Cardiac Remodelling, and Hypertrophy**

347 HF is a syndrome often developed after several remodelling processes in the heart that  
348 includes LV hypertrophy, fibrosis, and diastolic dysfunction (Uthman et al., 2018). In  
349 diabetes, the heart is in a state of metabolic overload due to cardiac metabolism. Several vital  
350 mechanisms were linked to the induction of cardiac impairment and the early development of  
351 HF that overlap with other CVDs. NHE and SGLT's potential relevance to the direct effects  
352 in the myocardium will be discussed concerning the early stages of HF development.  
353 As NHE 1 is the main plasma membrane isoform in the heart, it takes an essential part in  
354 cardiac functioning in normal and disease states. Hormones such as endothelin-1, ANG-II,  
355 and  $\alpha$ -adrenergic stimulators, contribute to NHE1 activity in cardiac remodelling (Odunewu-  
356 Aderibigbe & Fliegel, 2014; Wakabayashi et al., 2013). Overactivity of NHE1 has been

357 proven to cause several pathological changes in the myocardium, including ischemia-  
358 reperfusion injury (IRI), cardiac remodelling, hypertrophy, and apoptosis that eventually can  
359 progress to HF, The potential mechanisms underlying the role of NHE1 in the remodelling  
360 process can be summarized by the role of both  $\text{Na}^+$  accumulation and mitochondrial  
361 remodelling (Karmazyn et al., 2008). During the disease state, as an adaptive mechanism,  
362 NHE1 activity is increased to correct the reduced intracellular pH. Since the  $\text{Na}^+/\text{K}^+$  ATPase  
363 becomes inactive during ischemia, NHE-mediated  $\text{Na}^+$  influx leads to the intracellular  
364 accumulation of  $\text{Na}^+$  (Cingolani Horacio & Ennis Irene, 2007; Imahashi et al., 2007;  
365 Karmazyn et al., 2008). This rise in intracellular  $\text{Na}^+$  consequently leads to the two-folds  
366 elevation in intracellular  $\text{Ca}^{2+}$  by direct reversal of  $\text{Na}^+/\text{Ca}^{2+}$  exchanger (Figure 2)  
367 (Wakabayashi et al., 2013), leading to an intracellular  $\text{Ca}^{2+}$  overload which in turn triggers  
368 deleterious pathways that lead to myocardial injury, hypertrophy, and subsequent dysfunction  
369 (Figure 3). Additionally, impairment of mitochondrial function and structure due to swelling,  
370 ATP depletion/dysfunction, ROS production, and opening of the mitochondrial permeability  
371 transition pore (MPTP) often accompanies cardiac hypertrophy. On the other hand, NHE1  
372 inhibition and gene ablation attenuates the opening of MPTP and balances the amounts  
373 fission and fusion proteins on the mitochondria. Hence, NHE1 inhibition serves as a  
374 cardioprotective mechanism to prevent  $\text{Na}^+$  and  $\text{Ca}^{2+}$  accumulation and subsequent activation  
375 of intracellular pathways, which in turn may improve mitochondrial function and structure  
376 integrity, and the cumulative adverse effects on the myocardium (Odunewu-Aderibigbe &  
377 Fliegel, 2014). Various studies have shown that inhibition and genetic ablation of NHE1 of  
378 in-vivo models protected the myocardium from ischemia-reperfusion injury (Wang et al.,  
379 2003). In another study, although transgenic mice models overexpressing NHE1 had no  
380 significant effect on cardiac function, intracellular pH, intracellular  $\text{Na}^+$ , and ischemia-  
381 reperfusion injury, NHE1 inhibition with cariporide prior to the development of ischemia  
382 prevented accumulation of  $\text{Na}^+$  and  $\text{Ca}^{2+}$  and decreased ischemia-reperfusion injury, showing  
383 that baseline NHE1 activity was not the rate-limiting step (Imahashi et al., 2007).

384 The effect of SGLT on diabetic hearts has been well researched within the last few  
385 years. Although most of the literature reported on the impact of SGLT2i preventing CVD in  
386 T2DM, SGLT2 receptors were not detected in the heart. New data confirmed that SGLT1  
387 expression and activity are upregulated in ischemia, hypertrophic, failing, and diabetic hearts  
388 in humans with end-stage cardiomyopathy and animal models (García-Ropero et al., 2019;  
389 Uthman et al., 2018). Ramratnam et al. (2014) reported that overexpression of SGLT1 in  
390 transgenic mice was associated with pathologic cardiac hypertrophy and LV dysfunction.

391 During ischemia, glucose uptake and utilization increase along with a 2-to-3-fold  
392 upregulation of SGLT1. This upregulation was postulated to be an adaptive response to injury  
393 and as a response to AMPK and ERK1/2 activation (García-Ropero et al., 2019). How  
394 SGLT1 up-regulation makes an impact is not known, and discrepancies between studies leave  
395 it uncertain whether SGLT1 receptors exert a protective or deleterious role in cardiac  
396 physiology.

397 During acute injuries, SGLT1 over-expression facilitates glucose uptake and  
398 generates ATP molecules for the heart through anaerobic glycolysis. Kashiwagi et al. (2015)  
399 provided evidence of the protective role of SGLT1 against IRI. Using the ex-vivo murine  
400 langendorff model, they studied the role of SGLT1 inhibition by phlorizin on cardiac  
401 function. During IRI, the use of phlorizin resulted in significant impairment in the recovery of  
402 LV contractions and increased infarct size (due to increased CPK activity). There was also a  
403 reduction in ATP content associated with a decrease in glucose uptake and glycolysis,  
404 showing that SGLT1 inhibition during ischemia-reperfusion impairs cardiac metabolism.  
405 On the other hand, several studies showed that SGLT1 inhibition leads to the improvement of  
406 cardiomyopathy. This is evidenced by several experimental studies in SGLT1 knockdown  
407 models. Ramratnam et al. (2014) reported that double-transgenic mice (SGLT1 knockdown  
408 with PRKAG2 mutation) have attenuated cardiac glycogen accumulation, cardiac  
409 hypertrophy, and LV dysfunction. Similarly, Z. Li et al. (2019) discovered that  
410 pharmacological and genetic inhibition of SGLT1 prevented injuries following ischemia-  
411 reperfusion (in in-vivo, ex-vivo, and in-vitro models) and reduced ROS, myocardial necrosis,  
412 infarct size, along with improved hemodynamic functions. Furthermore, an in-vitro study  
413 (Balteau et al., 2011) on adult rat myocytes demonstrated that increased glucose transport  
414 through SGLT1 results in NADPH oxidase (NOX2) activation, leading to increased  
415 production of ROS and subsequent damage to cardiomyocytes (Figure 3). This effect was  
416 counteracted by phlorizin, an SGLT1 inhibitor.

417 In contrast to SGLT1, SGLT2 receptors were not detected in the heart. The  
418 mechanism of SGLT2i cardioprotection is still undetermined, but studies have shown that  
419 SGLT2i affect cardiomyocytes by directly inhibiting NHE and improving mitochondrial  
420 function. Future studies should investigate if there is a link between SGLT2i and SGLT1 in  
421 failing hearts and whether dual inhibition may have other beneficial effects on the  
422 myocardium. However, Lee et al. (2021) induced MI through left anterior descending artery  
423 ligation in non-diabetic mice. Three-days after MI induction, there was a transient expression  
424 of SGLT2 in the site of occlusion in the heart showed by immunofluorescence and western

425 blot. However, the authors could not conclude if SGLT2 is expressed by cardiomyocytes or  
426 by the inflammatory cells migrating to the infarct site.

427 Overall, there is an interplay between the two membrane transporters (SGLT1 and NHE1)  
428 in mediating cardiac effects in failing hearts. While the role of NHE1 inhibition is well  
429 defined, the cardioprotective mechanism of SGLT2 inhibition is unknown with the exemption  
430 of SGLT2i direct NHE1 inhibition. Interestingly, a preclinical in-vitro study in  
431 lipopolysaccharide (LPS) stimulated mouse cardio-fibroblasts tested the hypothesis that  
432 DAPA can cause NHE1 downregulation AMPK- dependent pathway. Ye et al. (2018)  
433 reported that DAPA resulted in elevated levels of the phosphorylated form of AMPK in LPS  
434 stimulated cardio-fibroblasts. The results showed that DAPA mitigated the rise in NHE1  
435 mRNA and confirmed the relation between NHE1 and Hap70 through the AMPK dependent  
436 pathway. Similarly, Uthman et al. (2018), proved that the three SGLT2i available in the  
437 market directly suppressed NHE1 activity in-vitro.

## 438 **5.2 Diabetic Cardiomyopathy**

439 A plethora of evidence suggests that NHE1 is noticeably involved in mediating cardiac  
440 hypertrophic responses in DCM, and therefore a potential therapeutic target (Karmazyn,  
441 2003). Mraiche et al. (2011) used two transgenic mouse models; one expressing wild type  
442 NHE1 and another expressing an activated form to investigate the effect of NHE1 activation  
443 on cardiac hypertrophy. NHE1 hyperactivation has been linked to elevated glucose levels in  
444 DCM induced by PKC-dependent mechanisms. Additionally, an increase in heart weight to  
445 body weight, apoptosis, fibrosis, and a decrease in cardiac functionality was recorded.  
446 Studies had shown an enhanced mitochondrial NHE1 activity in the hearts of diabetic rats.  
447 Allen and Xiao (2003) have illustrated that the main pathway for Na<sup>+</sup> entry during  
448 reperfusion of an ischemic diabetic heart is NHE1. Na<sup>+</sup> concentration changes are linked to  
449 altered Ca<sup>2+</sup> influx, production of ROS, and cell damage. Additionally, expression of the  
450 activated form of NHE1 increased the sensitivity to neurohormonal stimulation (using  
451 phenylephrine). Indeed, patients with DM experience neurohormonal dysregulation. During  
452 HF, neurohormonal systems like norepinephrine, ANG-II, aldosterone, and neprilysin are  
453 activated, causing impaired insulin sensitivity and microvascular complications (Doliba et al.,  
454 2018; Packer, 2017). Reduced insulin sensitivity and adipokine abnormalities are  
455 characteristic of DM and pathophysiological for HF.

456 Compared to NHE1, the NHE3 isoform distribution is mainly limited to the kidney and  
457 gastrointestinal epithelial cells. The main role of NHE3 in DCM is related to its regulation of  
458 Na<sup>+</sup> reabsorption in the proximal tubules, which regulates sodium uptake following  
459 glomerular filtration. NHE3 activity is enhanced with neurohormonal stimulation by  
460 norepinephrine, ANG-II, and aldosterone in HF. Additionally, insulin, glucose, and some  
461 adipokines, which are elevated in T2DM, stimulate NHE3. In HF, NHE3 activity is elevated  
462 in the kidney mediating Na<sup>+</sup> reabsorption, leading to fluid and Na<sup>+</sup> retention, peripheral  
463 oedema, and diuretic resistance. NHE3 hyperactivity in DM also leads to kidney mesangial  
464 cell proliferation, hyperfiltration, and diabetic nephropathy, contributing to cardiac overload  
465 and further worsening of HF (Packer, 2017; Silva dos Santos et al., 2019). Considering all  
466 these pathophysiological changes, concluding that the NHE family could link HF and DM is  
467 reasonable.

468         Regarding the SGLT family, evidence shows enhanced SGLT1 expression in end-  
469 stage cardiomyopathy in obese mice with T2DM. Controversially, reduced expression of  
470 SGLT1 is recorded in T1DM. This suggests that the increase in its expression might be  
471 attributed to the hyperinsulinemia state found in T2DM, but not T1DM. SGLT1 expression  
472 was linked to cardiac fibrosis and collagen deposition in the heart (Zhou et al., 2015).  
473 Hypertrophic cardiomyopathy was induced through a transverse aortic constriction in a titin-  
474 truncated mouse model that increases interstitial fibrosis in wild-type mice without affecting  
475 SGLT1 deficient mice. Additionally, SGLT1 contributes to the oxidative stress seen in DCM,  
476 as its destruction in mouse atrium cardiomyocytes protects the cells against hypoxia and  
477 reoxygenation injury (Kuznetsov et al., 2015). Furthermore, mice with cardiomyocyte-  
478 specific SGLT1 knockdown were resistant to both in-vivo and ex-vivo myocardial  
479 ischemia/reperfusion injury (Yoshii et al., 2019).

480         SGLT2 is an isoform mainly present in the kidneys, while there is a limited-to-no  
481 expression in the heart. However, the cardioprotective effects of SGLT2i suggest that SGLT2  
482 is involved in DCM by expression in the kidney; there is an increased expression of renal  
483 SGLT2 and enhanced glucose reabsorption (Vallon & Sharma, 2010). Studies using knockout  
484 mice as a negative control have shown an enhanced SGLT2 expression in T2DM and T1DM  
485 mice. However, the biological mechanism for SGLT2 upregulation in DM is not understood.  
486 A study with human embryonic cells (HEK-293T) showed that insulin phosphorylated the  
487 SGLT2 Ser624 residue, which increased ROS production, further damaging kidney cells  
488 (Novikov & Vallon, 2016). Interestingly, using hypoinsulinemic T1DM, there was also  
489 enhanced expression of SGLT2, which suggests the involvement of other regulatory proteins.

490 ANG-II can increase SGLT2 expression, and its role in inducing cardiac hypertrophy, heart  
491 failure, and DCM is proven. This shows a link between the expression of SGLT2 in the  
492 kidneys and DCM (Vallon & Sharma, 2010).

### 493 **5.3 Hypertension**

494 Hypertension occurs as an autoregulatory response to increased  $\text{Na}^+$  concentration due to  
495 increased reabsorption.  $\text{Na}^+$  reabsorption is mediated by activating the RAAS and the  
496 consequent triggering of the ANG-II Type 1 (AT1) receptor, stimulating NHE3-induced  $\text{Na}^+$   
497 influx (Dominguez Rieg et al., 2016). Increased  $\text{Na}^+$  influx promotes the significant  
498 expansion of extracellular volume and cardiac output and mediates a rise in peripheral  
499 vascular resistance resulting in elevated BP (Girardi & Sole, 2012). Hypertension signals the  
500 body to promote re-establishment of the expanded volume via decreased eGFR followed by  
501 pressure natriuresis.

502 Overexpression of NHE3 in proximal tubules was detected in the spontaneously  
503 hypertensive rat (SHR) model of human primary hypertension (X. C. Li et al., 2019).  
504 Interestingly, ANG-II leads to the overexpression of NHE3 in cultured cells of the proximal  
505 tubules as it stimulates the exocytosis of NHE3. In fact, it was found that along with NHE  
506 regulatory factor 1, IRBIT protein forms a complex with NHE3 during exocytosis after ANG-  
507 II stimulation. (He et al., 2016). Other anti-natriuretic peptide hormones such as insulin and  
508 glucocorticoid caused the activation of NHE3 in proximal tubules (Fuster et al., 2007; X. C.  
509 Li et al., 2019; Pao et al., 2010; Wang et al., 2007). Li et al. (2015) studied the role of NHE3  
510 in hypertension using NHE3<sup>-/-</sup> mice with the transgenic rescue of NHE3 in the small intestine  
511 and affirmed their hypothesis that NHE3 is essential for ANG-II induced hypertension. In  
512 mice with ANG-II-induced hypertension, the selective genetic deletion of NHE3 of the  
513 proximal tubule attenuated the condition (X. C. Li et al., 2019). Studies showed that 50% of  
514 hypertensive individuals were insulin resistant. Moreover, hypertensive patients are at an  
515 high risk of developing CVDs (Lima et al., 2009). NHE3 participates in  $\text{Na}^+$  reabsorption in  
516 proximal tubules and plays a critical role in the absorption of dietary  $\text{Na}^+$  from the gut. Two  
517 studies had investigated the role of gut NHE3 using oral NHE3 inhibitor with low systemic  
518 absorption on obese SHR. The treatment had significantly reduced the absorption of  $\text{Na}^+$   
519 from the gut and reduced BP (Linz et al., 2016; Linz et al., 2012).

520 NHE1 contributes to pH, salt, and volume regulation, linking it to hypertension. Using  
521 NHE1-overexpressing transgenic mice, Kuro-o et al. (1995) showed that NHE1

522 overexpression caused salt-sensitive BP elevation in mice. Primary hypertensive animal  
523 models and peripheral cells of primary hypertensive donors also showed increased NHE1  
524 activation (Orlov et al., 1999). Conversely, NHE1 knockout in mice leads to a reduction in  
525 BP and artery tension (Boedtkjer et al., 2012). It is suggested that NHE1 overactivity in  
526 VSMCs increases intracellular  $\text{Na}^+$ , reduces  $\text{Na}^+/\text{Ca}^{2+}$  exchangers, and leads to elevated  
527 intracellular  $\text{Ca}^{2+}$  and increased contraction. With chronic NHE1 overactivation, abnormal  
528 cell proliferation can occur in VSMCs (Bobulescu et al., 2005). In proximal tubules,  
529 alterations in  $\text{Na}^+$  transporters impact the extracellular volume thus changing BP  
530 independently from transporters in other renal segments. In hypertension, there is an increase  
531 in  $\text{Na}^+$  reabsorption that mainly occurs in the proximal tubule and loop of Henle. SGLT2,  
532 which is localized in the proximal tubule, is responsible for 60-90% of renal uptake of  $\text{Na}^+$   
533 and glucose (Cianciolo et al., 2020; Thomas & Cherney, 2018).

534 The relationship between SGLT2 activity and hypertension is not known yet. When  
535 Bautista et al. (2004) compared SGLT2 activity in the proximal tubule of renovascular  
536 hypertensive rats with normotensive rats, they saw that  $\text{Na}^+$ -dependent glucose uptake and  
537 SGLT2 expression were higher in the renovascular hypertensive group. In chronically infused  
538 ANG-II Wistar rats, the activity and expression of SGLT2 were increased. Using EMPA did  
539 not affect the BP; however, losartan, a RAAS inhibitor, reduced BP. In this study, Losartan  
540 prevented renal damage, while EMPA produced a minimal protective effect. Nonetheless,  
541 EMPA attenuated oxidative stress (Reyes-Pardo et al., 2019). Clinical trials have consistently  
542 shown that SGLT2i reduces BP (Sanidas et al., 2020). In the EMPA-REG OUTCOME trial,  
543 EMPA was correlated with minimal BP reduction (Zinman et al., 2015). Similarly, CANVAS  
544 and CANVAS-R studies showed a reduction in systolic BP by 3.9 mmHg in the canagliflozin  
545 (CANA) treated group compared to placebo (Neal et al., 2017). In the DECLARE-TIMI 58  
546 trial, patients treated with DAPA had lower BP by 2.7 mmHg versus placebo (Wiviott et al.,  
547 2018). A meta-analysis comprised of 27 RCTs with 12,960 participants concluded that  
548 SGLT2i resulted in lower systolic and diastolic BP by 4 mmHg (95%CI, -4.4 to -3.5), and 1.6  
549 mmHg (95%CI, -1.9 to -1.3), respectively from baseline (Baker et al., 2014).  
550 SGLT2 upregulation could be a partial contributor to hypertension pathogenesis, however  
551 several hypotheses explain the role of SGLT2 and its inhibition in BP regulation (Sanidas et  
552 al., 2020). Diuresis associated with SGLT2i may cause reduced BP. However, diuresis is a  
553 temporary SGLT2i effect, while BP reduction from baseline is a sustained effect (Filippatos  
554 et al., 2016; Sanidas et al., 2020).

555 A direct relationship between SGLT1 and BP has not been established. SGLT1 deficient  
556 (SGLT1<sup>-/-</sup>) mice show glucose-galactose malabsorption; however, the absence of SGLT1 did  
557 not affect BP compared to wild-type mice (Gorboulev et al., 2012). BP exhibits a diurnal  
558 rhythm and SGLT1 expression exhibits a similar rhythm with the highest expression in the  
559 morning (Tavakkolizadeh et al., 2001). Remarkably, a hypertensive animal model showed a  
560 downregulation in SGLT1 function and expression (Mate et al., 2006). More research is  
561 needed to determine how SGLT1 is involved in hypertension pathophysiology (Poulsen et  
562 al., 2015).

563 In summary, SGLT and NHE exhibit different roles in hypertension. Hypertension can  
564 worsen the prognosis of DCM where it contributes to the enlargement of the cardiac wall  
565 thickness and mass. Increased BP, along with other stimuli, causes vasoconstriction and fluid  
566 overload that aggravates cardiac hypertrophy and fibrosis of the myocardium.

## 567 **6. Available Inhibitors and Their Clinical Outcomes**

### 568 **6.1 Clinical Evaluation of NHE-1 Inhibitors**

569 Substantial evidence supports the protective role of inhibiting NHE1 in reducing IRI  
570 development, cardiac hypertrophy, systolic dysfunction, and HF. Several NHE1 inhibitor  
571 studies (e.g., cariporide, eniporide, and zoniporide) showed significant protection against CV  
572 injuries (Packer, 2017). Despite that, clinical studies in human subjects showed varying  
573 results. Therefore, a cardioprotective role of NHE1 inhibition in humans is controversial.  
574 The ESCAMI randomized trial investigated eniporide effect on patients (n=1389) with ST-  
575 elevation MI (Zeymer et al., 2001) for the primary outcome of the change in infarct size with  
576 eniporide as add-on therapy to reperfusion in IRI. However, eniporide did not reduce the  
577 infarct size nor improve patients' clinical outcomes. However, the protective effect of  
578 cariporide in animal models may have been due to the administration of cariporide during  
579 ischemia and not during reperfusion (Klein et al., 2000). Rupprecht et al. (2000) tested the  
580 effect of cariporide (40 mg) on 100 patients with acute anterior MI getting direct coronary  
581 angioplasty. Compared to placebo, patients who received cariporide had higher ejection  
582 fraction (50% vs. 40%; P<0.05), lower end-systolic volume (69 vs. 97 mL; P<0.05),  
583 significant improvement in wall motion abnormalities, and reduced cumulative release of  
584 CK-MB (p= 0.047). Thus, NHE inhibition by cariporide may prevent reperfusion injury and  
585 aid in the recovery from ventricular dysfunction. This study contradicts the ESCAMI study's  
586 findings concerning the effects of NHE inhibition, as an adjunct to reperfusion therapy, on

587 the myocardium. The GUARDIAN study assessed the safety and efficacy of cariporide (20,  
588 80, or 120 mg) in a cohort of patients (n=11,590) at risk for myocardial necrosis (Chaitman,  
589 2003). The cardioprotective effect was only evident in patients who underwent coronary  
590 artery bypass graft surgery (CABG) and treated with 120 mg cariporide. The EXPEDITION  
591 study was the first phase-3 myocardial protection trial to examine cardioprotective effects of  
592 cariporide in high-risk patients (n=5,761) undergoing CABG (Mentzer et al., 2008). The drug  
593 resulted in increased mortality rates associated with increased cerebrovascular events (2.2%  
594 with cariporide vs. 1.5% with placebo; P=0.02). The incidence of death or MI was  
595 significantly reduced from 20.3% in the placebo group to 16.6% in the cariporide-group  
596 (P=0.0002). However, due to the increased mortality, the study was early terminated. The  
597 findings suggested that NHE1 inhibition could significantly reduce ischemia-reperfusion  
598 injuries and that cariporide is unlikely to be used clinically. The mixed findings obtained  
599 from the clinical research of NHE inhibitors conflict with the highly favourable evidence  
600 from experimental studies and emphasize the challenges facing the translation of potential  
601 therapies from the laboratory to the clinic.

## 602 **6.2 Clinical Evaluation of SGLT Inhibitors**

603 As SGLT1 and SGLT2 are considered the primary transporters involved in glucose  
604 homeostasis, several drugs have been developed to inhibit their activity. Inhibiting SGLT1  
605 results in better post-meal blood glucose control by blocking glucose uptake in the intestine,  
606 which decreases the glycaemic burden. Furthermore, as most glucose reabsorption processes  
607 in the proximal convoluted tubule are mediated by SGLT2, inhibition of this transporter  
608 reduces the kidney glucose threshold and excretion of glucose lowers glucose plasma levels.  
609 This effect is insulin-independent, and therefore, if this class of inhibitor is used alone, the  
610 risk of hypoglycaemia is low. These drugs can also increase weight loss by promoting urinary  
611 glucose excretion (Raskin, 2013).

612 The development of SGLT inhibitors started in 1835 with the discovery of phlorizin,  
613 which was speculated to treat malaria and infections until 1886 when it was reported to cause  
614 glucosuria and renal effects (Chasis et al., 1933; Dominguez Rieg & Rieg, 2019).  
615 Administration of subcutaneous phlorizin to diabetic rats with insulin resistance normalized  
616 insulin sensitivity and glucose levels (Rossetti, Shulman, et al., 1987; Rossetti, Smith, et al.,  
617 1987). However, the clinical use of phlorizin was limited due to its poor bioavailability, low

618 solubility, and non-selectivity in SGLT inhibition with increased selectivity to SGLT2  
619 compared to SGLT1 (Crespy et al., 2001; Dominguez Rieg & Rieg, 2019).  
620 Due to the limitations of phlorizin, other compounds were developed, such as T-1095 and its  
621 active form T-1095A which are synthetic compounds derived from phlorizin. Oral T-1095  
622 exhibited dose-dependent elevation in urine glucose excretion by inhibiting SGLT2 in the  
623 proximal tubule, resulting in reduced blood glucose concentration (Oku et al., 1999).  
624 Additionally, T-1095 reduced postprandial blood glucose levels in STZ-induced diabetic rats  
625 via inhibition of SGLT1 in the intestine. However, the clinical use of T-1095 was limited due  
626 to its non-selectivity.

627         Currently, several SGLT2 inhibitors are approved for clinical use in the US and  
628 worldwide, and others are under development. Sotagliflozin is an example of a dual SGLT1/2  
629 inhibitor with only ~30-folds higher selectivity for SGLT2 over SGLT1, seeking approval by  
630 the FDA (Dominguez Rieg & Rieg, 2019). Two randomized controlled trials, SOLOIST-  
631 WHF and SCORED, randomized T2DM patients with CKD or recent HF hospitalizations,  
632 respectively, to receive either sotagliflozin or placebo and found a statistically significant  
633 reduction in death from cardiovascular causes, HF hospitalizations, urgent visits for HF, and  
634 all-cause mortality (Bhatt, Szarek, Pitt, et al., 2020; Bhatt, Szarek, Steg, et al., 2020).  
635 Other SGLT inhibitors are still under investigation, such as Mizagliflozin, a selective SGLT1  
636 inhibitor; and licogliflozin, a dual SGLT1/2 inhibitor (Dominguez Rieg & Rieg, 2019).  
637 Recently, several SGLT2i were developed and approved to be used in T2DM patients. In  
638 addition to their glucose-lowering effects, CANA DAPA and EMPA showed clinical  
639 evidence of improved clinical outcome of HF, chronic kidney disease, and CVD in patients  
640 with adequate eGFR.(García-Roperó et al., 2019). The CANVAS program joined the analysis  
641 of CANVAS and CANVAS-R, which included patients with T2DM and increased CV risk to  
642 assess CANA use compared to placebo (Neal et al., 2017). The CANVAS trial assessed CV  
643 risk and major adverse cardiac events, while the CANVAS-R trial assessed the progression of  
644 albuminuria in patients using CANA versus placebo. The combined analysis showed CANA  
645 lowers CV events and probably attenuates albuminuria progression. However, it increases the  
646 risk of metatarsal amputation compared to placebo. EMPA is another example of an SGLT2  
647 inhibitor with cardioprotective evidence. In the EMPA-REG OUTCOME trial (Zinman et al.,  
648 2015), EMPA was reported to reduce CV death by 38%, HF hospitalization by 35%, and  
649 death from any cause by 32% in T2DM patients at high CV risk. Additionally, the  
650 DECLARE-TIMI trial evaluated the effect of DAPA in patients with T2DM and established  
651 CVD or CV risk factors (Wiviott et al., 2018). Although DAPA was associated with lower

652 rates of HF hospitalization or CV death than placebo, there was no difference in major  
653 adverse cardiac events between placebo and DAPA. Furthermore, the DAPA-HF and  
654 EMPEROR-REDUCED trials found a protective effect of DAPA and EMPA, respectively,  
655 against CV death plus HF hospitalizations in HF patients regardless of the presence of  
656 diabetes (McMurray et al., 2019; Packer et al., 2020). As per these findings, the American  
657 Diabetes Association recommends a combination therapy of metformin and SGLT2 inhibitor  
658 for established ASCVD, HF, or chronic kidney disease (ADA, 2021). Other clinical studies  
659 pointed to the natriuretic effects of SGLT2i, which impact CV benefits through a reduction in  
660 fluid retention and the risk of developing HF. Using immunofluorescence, Pessoa et al.  
661 (2014) reported that NHE3 co-localizes with SGLT2, not SGLT1, concluding that SGLT2i  
662 causes diuresis via NHE3 inhibition. A recent randomized placebo-controlled crossover study  
663 in 20 patients with T2DM and HF treated with EMPA monotherapy showed a significant  
664 increase in fractional excretion of Na<sup>+</sup> (FENa) compared to placebo (P=0.001). A synergistic  
665 effect on the FENa was reported when combined with bumetanide (P=0.001). Moreover, after  
666 14 days of SGLT2 inhibition by EMPA and its persistent natriuretic effect, there was a  
667 reduction in blood volume (P=0.035) and plasma volume (P=0.04) without inducing  
668 neurohormonal activation, off-target electrolyte wasting, and renal dysfunction. Thus, the  
669 benefits of long-term use of EMPA in HF patients may be volume management attributed to  
670 the natriuretic effects (Griffin et al., 2020).

## 671 **7. Conclusion**

672 Diabetes mellitus is highly associated with cardiovascular disease, as hyperglycaemia  
673 triggers cardiac metabolic imbalances, endothelial dysfunction, ROS production, RAAS  
674 activation, and impaired Ca<sup>2+</sup> homeostasis, leading to heart failure. There is an increasing  
675 evidence supporting the cardioprotective role of SGLT2i. Overall, there is an interplay  
676 between SGLT and NHE in mediating cardiac effects seen in the failing hearts. NHE1 and  
677 NHE3 are two well-studied isoforms involved in renal and cardiovascular homeostasis. In the  
678 heart, NHE1 regulates intracellular pH, cell volume, proliferation, and Na<sup>+</sup> concentration,  
679 which in turn plays a role in Ca<sup>2+</sup> regulation, metabolism, contractility, and stability of the  
680 heart. On the other hand, renal NHE3 contributes to the regulation of extracellular volume  
681 and BP. While the role of NHE1 inhibition is well defined, the exact cardioprotective  
682 mechanism of SGLT2 inhibition has not been determined, with the exemption of SGLT2i  
683 directed NHE1 inhibition. Further studies are needed to investigate the interaction between  
684 NHE3 and SGLT2.

685 **Figure Captions**

686 **Figure 1.** Glucose reabsorption through SGLT1 & SGLT2 in the normal kidney

687 **Figure 2.** Potential Pathways Underlying the Hypertrophic Effect of NHE1

688 **(A)** During non-ischemic events (normal conditions) NHE is relatively quiescent. The Na<sup>+</sup>  
689 K<sup>+</sup> ATPase (Na<sup>+</sup> pump) utilizes ATP to extrude Na<sup>+</sup>, and the bidirectional Na<sup>+</sup>/Ca<sup>2+</sup>  
690 exchanger works predominantly in the forward (Ca<sup>2+</sup> efflux) mode. **(B)** During ischemic  
691 events [Na<sup>+</sup>]<sub>i</sub> rises during ischemia concomitant with a fall in pH. NHE becomes activated in  
692 response to intracellular acidosis and other hypertrophic stimulatory factors. Since the Na<sup>+</sup>/K<sup>+</sup>  
693 ATPase becomes inactive during ischemia, NHE-mediated Na<sup>+</sup> influx leads to the  
694 intracellular accumulation of Na<sup>+</sup>. Increased Na<sup>+</sup> elevates intracellular Ca<sup>2+</sup> by altering the  
695 reversal potential of Na<sup>+</sup>/Ca<sup>2+</sup> exchangers. Elevated Ca<sup>2+</sup> activates various pro-hypertrophic  
696 factors, including CaN and CaMKII, and increases MPTP, contributing to mitochondrial  
697 remodelling. Mitochondrial remodelling results in increased ROS production, which in  
698 combination with other factors contributes to activating transcriptional factors resulting in  
699 cardiac hypertrophy.

700 **Figure 3. The Role of SGLT, NHE, and their inhibitors, in Diabetes and Cardiovascular**  
701 **Diseases.** Increased SGLT activity in the proximal tubules leads to decreased natriuresis and  
702 increased reabsorption of glucose, worsening heart failure and diabetes, respectively. In the  
703 heart, hypertrophic signals such as endothelin-1, ANG-II, thrombin, and norepinephrine  
704 increase NHE1 activity, leading to Na<sup>+</sup> accumulation and mitochondrial dysfunction which  
705 activates pro-hypertrophic transcription factor. Hyperglycaemia leads to increased glucose  
706 transport through SGLT1, leading to increased NOX2 activity, and subsequent damage to the  
707 cardiomyocytes through ROS.

708

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