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1     **Physics-Informed Neural Networks with New Activation Function**  
2     **and Multi-Objective Optimization for Improving Estimation of Soil**  
3                     **Hydraulic Properties**

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11 **Key Points:**

12 Soil matric potential was more precisely estimated from added sparse calibration  
13 points

14 Incorporating a new strategy into optimizing the multi-objective loss function

15 Saturated hydraulic conductivity was introduced into the activation function as an  
16 optimizable prior knowledge

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## 18 **Abstract**

19 While physics-informed neural networks (PINNs) can solve the problem pertaining to  
20 the absence of boundary conditions in soil water systems, their results exhibit low  
21 accuracy primarily due to insufficient utilization of the available prior knowledge  
22 regarding soil hydraulic parameters. In this research, an improved PINNs framework  
23 is proposed, which introduces an optimizable saturated hydraulic conductivity into the  
24 activation function, and an advanced optimization strategy is developed to identify the  
25 optimal superparameters for the multi-objective loss function. The PINNs was trained  
26 using synthetic volumetric soil water content (VSWC) and soil matric potential (SMP)  
27 data generated by a numerical solution of the Richardson-Richards equation (RRE)  
28 for three soil types (silt loam, loam and sandy loam). The results show that the  
29 proposed framework increases the accuracy of SMP estimations in the unsaturated  
30 soil system. The results reveal that the relative error achieved by the proposed  
31 framework in loam or silt loam has been reduced by two orders of magnitude in  
32 comparison with that achieved by the framework introduced by Bandai and  
33 Ghezzehei (2020), indicating a significant improvement. While there is a slight  
34 reduction in the accuracy of volumetric soil water content estimation, this minor  
35 reduction has minimal practical significance. Both the soil water retention curve and  
36 the soil hydraulic conductivity exhibit superior performance at the near-saturation  
37 scale. For unsaturated flow in homogeneous soil, the proposed PINNs framework  
38 provides accurate estimations of soil hydraulic parameters and holds significant  
39 potential for the practical application and widespread adoption of PINNs in the realm  
40 of soil hydrodynamics.

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# 42 1. Introduction

43 Numerical simulation modelling of water flow in saturated-unsaturated soil systems is  
44 an important method to promote a quantitative understanding of hydrological  
45 processes, which is crucial for remote sensing, weather forecasting, irrigation  
46 management, natural disaster prediction, etc. (Babaeian et al., 2019; Robinson et al.,  
47 2008). The study of soil hydrodynamics frequently relies on the numerical solution of  
48 differential equations, employing both the finite difference method and the finite  
49 element method. (Chávez-Negrete et al., 2018; Yazdchi, Khalili, & Valliappan, 1999).  
50 Despite significant progress, traditional analytical and computational tools still face  
51 enormous challenges due to high computational costs and uncertainties (Assouline,  
52 2006; Durner et al., 2008; Saito et al., 2006). These uncertainties include complex  
53 formulas, new algorithms, and sophisticated computer codes, as well as missing  
54 boundary conditions and significant errors in observations (Bitterlich et al., 2004;  
55 Carrera et al., 2005; Scanlon, et al., 2003, Raissi et al., 2019; Raissi et al., 2020).  
56 PINNs are a numerical simulation algorithm that has rapidly developed in recent years  
57 (Cai et al., 2021). They fit specific solutions to partial differential equations (PDEs)  
58 using neural networks. The loss function of the neural network consists of two parts,  
59 the observation error and the PDEs error, which allows PINN to fit a special solution  
60 of known physical knowledge from the measurement data. This new approach works  
61 well in computational physics.

62 It is worth noting that Tartakovsky et al. (2020) only used soil matric potential data at  
63 observation sites and PINNs to estimate soil hydraulic properties (hydraulic  
64 conductivity functions (HCF) and water retention curves (WRC)) for unsaturated  
65 homogeneous soils. Bandai & Ghezzehei (2021) introduced a priori knowledge into  
66 the model structure and used two monotonic neural networks to estimate the WRC  
67 and HCF of the system, only using soil moisture content data to simulate soil moisture  
68 dynamics. Shi et al. (2022) replaced the known priori physical information with

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69 sparse regression and simulated the homogeneous soil moisture transport process  
70 under the boundary of evaporation and precipitation only using soil moisture content  
71 data. Shi et al. (2023) also found that hydrothermal coupling physical constraints  
72 improved the accuracy of soil hydraulic parameter estimation. PINNs were validated  
73 in complex 2D groundwater flow scenario (Wang et al., 2020). PINNs were used to  
74 perform a joint inversion in a steady-state advection-dispersion problem to simulate  
75 the conductivity, soil matric potential and concentration of the system and to estimate  
76 the parameters in the system. (He et al., 2020). The methods described above all use  
77 datasets generated from the results of hydrological model simulations. The estimation  
78 accuracy of PINNs was also validated by using measured volumetric water content  
79 (VSWC) from soil column infiltration experiments. (Depina et al., 2022)

80 The focus of the above algorithm is to estimate soil hydraulic parameters using water  
81 potential or volumetric water content, combined with a priori knowledge. As sensor  
82 network measurements as infrastructure are more convenient and accurate, Yu et al.  
83 (2021) developed automated sampling devices that can simultaneously measure soil  
84 profile moisture and soil matric potential. PINNs need to adapt to the development of  
85 automated collection devices to utilize diverse measurement data. The diversity of  
86 measurement data advances optimization methods. Multi-task learning is  
87 representative, and its innovation is to automatically assign the weights of multiple  
88 objective functions to improve the generalization of the model (Zhang & Yang, 2018).

89 The automatic assignment of weights for physical information loss and calibration  
90 point loss can improve the robustness and convenience of PINNs.

91 Interfaces are needed in the algorithm to encode a priori knowledge based on  
92 historical observations and measurements into the optimizing algorithm. For example,  
93 adding physical information loss to the objective function is the underlying principle  
94 of PINNs (Raissi et al., 2019; Yang et al., 2019; Yang & Perdikaris, 2019; Zhao et al.,  
95 2019; Zhu et al., 2019). Parameters are initialized with positive constraints to restrain  
96 the WCF and the WRC monotonically. Activation functions are important elements of

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97 neural networks, and they mainly play a role in introducing nonlinear transformations  
98 for each neuron in the network, thus enabling the neural network to learn and express  
99 more complex functional relationships (Apicella et al., 2021). Research on activation  
100 functions has focused on improving the robustness of optimization algorithms. The  
101 introduction of optimizable parameters in the activation function allows PINNs to  
102 incorporate more physical information and improve the estimation accuracy of  
103 models.

104 In this paper, we will make enhancement based on the study of Bandai et al (Bandai &  
105 Ghezzehei, 2021). Firstly, we add the soil matric potential calibration points to reduce  
106 the error of the soil matric potential estimation. We also propose a new s-type  
107 activation function that incorporates the shape prior knowledge of the Van Genuchten  
108 (VG) model into the model architecture, and demonstrate the effectiveness of the  
109 model by comparing it with the original version. The framework's performance was  
110 investigated by randomly initializing the neural network parameters on the generated  
111 training set data, repeating the experiment 30 times, and calculating the confidence  
112 interval of the results. To investigate the generalizability of the framework, PINN was  
113 trained on data from three different soil types (loam, sandy loam, and silt loam).

## 114 **2. Background**

### 115 **2.1. Soil Moisture Transport Equation**

116 The Richardson-Richards Equation describes the movement of soil water in  
117 unsaturated soils. This quasilinear partial differential equation is fundamental for  
118 understanding water flow in porous media under variably saturated conditions.

$$119 \quad \frac{\partial \theta(\psi(t,z))}{\partial t} = \frac{\partial}{\partial z} \left[ K(\psi(t,z)) \left( \frac{\partial \psi(t,z)}{\partial z} + 1 \right) \right] \quad (1)$$

120 where  $t$  is time [T];  $z$  is the vertical coordinate (positive upward) [L];  $\theta$  is the

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121 volumetric water content [ $L^3 L^{-3}$ ];  $\psi$  is the soil matric potential [L]; The functions  $K(\psi)$   
122 and  $\theta(\psi)$  are called hydraulic conductivity functions (HCF) and water retention curves  
123 (WRC) respectively.

## 124 **2.2 Neural networks and the Error Backpropagation**

### 125 **Algorithm**

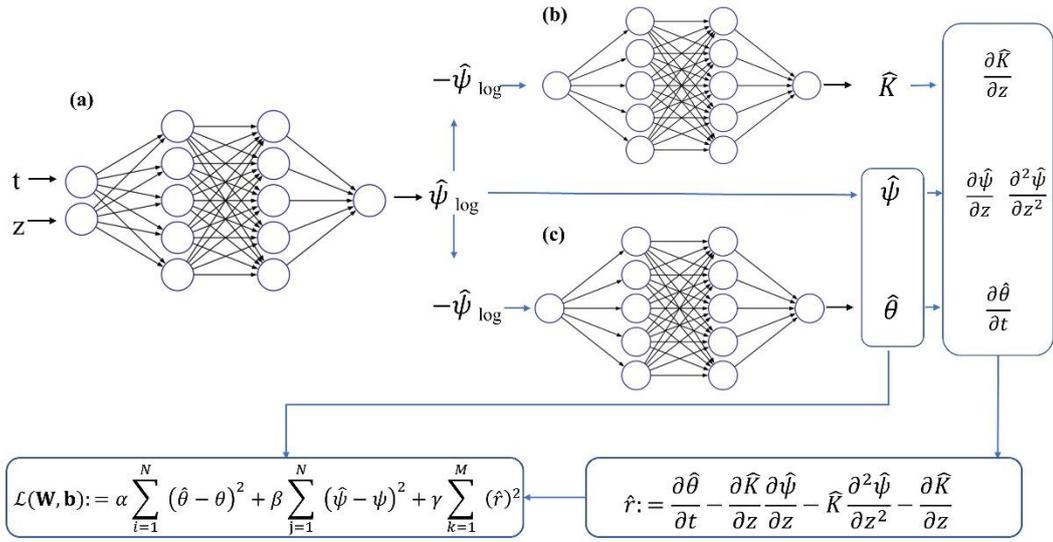
126 Neural networks, inspired by the human brain, are powerful models used in machine  
127 learning (Goodfellow et al., 2016). Artificial neural networks are composed of  
128 interconnected nodes, which are organized into layers. These layers include an input  
129 layer, one or more hidden layers, and an output layer. These networks learn from data  
130 by adjusting their internal parameters, namely weights and biases, to make accurate  
131 predictions or classifications. Backpropagation is a crucial process in neural network  
132 training (Wythoff, 1993). It fine-tunes the weights based on the error (loss) obtained  
133 during forward propagation. During forward propagation, input data is passed through  
134 the network. The error representing the difference between the predicted and actual  
135 results will be calculated. In back-propagation, this error is propagated backwards  
136 through the layers and the weights are adjusted to minimize the error. The goal is to  
137 find optimal weights that lead to lower error rates, thereby improving the  
138 generalization of the model. The input to the PINNs is not the features of the output,  
139 but the coordinate system of the partial differential equations. During error back  
140 propagation, the differential numerical solution for the corresponding grid of the  
141 output is computed while propagating one step forward.

142 "Physics Information Neural Networks" (PINNs) are machine learning algorithms that  
143 combine data and prior knowledge, such as differential equations and parameters, to  
144 improve interpretability and convergence even with imperfect data (Raissi &  
145 Karniadakis, 2018; Raissi et al., 2019). This results in physically consistent and  
146 accurate predictions. PINNs encode prior knowledge into components like the loss  
147 function of machine learning.

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### 149 **3. Methods**

150 This paper uses physics-informed neural networks (PINNs) to obtain the solution of  
151 the Richards' equation (RRE) and soil hydraulic parameters from time series data of  
152 VSWC and SMP at various depths. Figure 1 illustrates the network structure, which  
153 comprises three fully connected neural networks. The neural network (fig. 1a) maps  
154 the system's coordinates  $t$  and  $z$  to the SMP. The hidden layer uses the hyperbolic  
155 tangent function as its activation function, while the output layer uses a negative  
156 exponential function to ensure a negative output SMP. In practice, neural network (fig.  
157 1b) is used to estimate hydraulic conductivity  $K$  and its output layer activation  
158 function is shown in Figure 2. Various soil hydraulic parameters correspond to  
159 different parameters  $c$ . A neural network (fig. 1c) is employed to estimate VSWC. The  
160 output layer also utilizes a new activation function. The value of parameter  $\gamma$  is 1.  
161 The SMP output by the neural network (fig. 1a) is converted to a logarithmic scale,  
162 which makes it easier to draw WRC and HCF diagrams, and can also reduce the SMP  
163 fitting error in the loss function. The logarithmic scale estimate of soil matrix  
164 potential was used as input to two neural networks to map hydraulic conductivity  $K$   
165 and VSWC. The new activation function makes changes based on the sigmoid  
166 function, which contains some of the properties of the sigmoid function, such as the  
167 output value is positive and ranges from 0-1, and his parameter  $\gamma$  improves the  
168 fitting performance of the neural network in the arid region.



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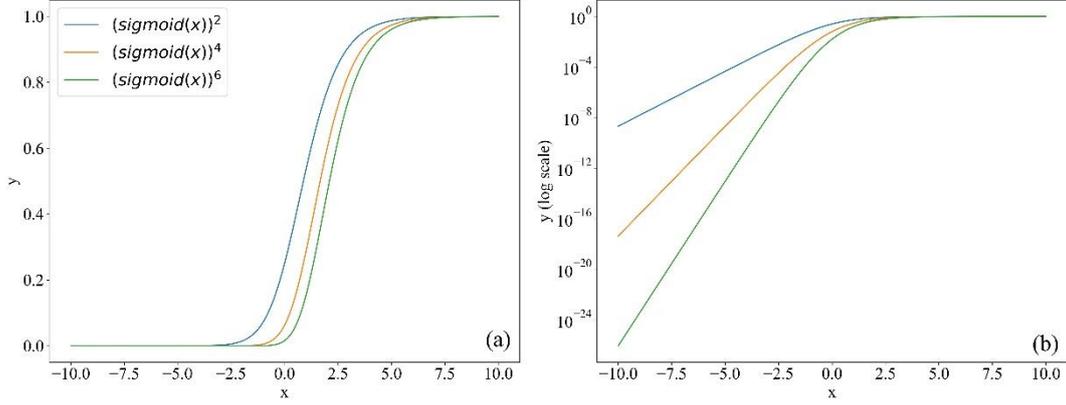
170 Figure 1. A physical information neural network (PINNs) is employed to estimate the specific  
 171 solution of the Richardson-Richards equation (RRE), using a new activation function. The  
 172 network comprises three parts, which are used to fit the hydraulic conductivity, soil matric  
 173 potential (SMP), and volumetric soil water content (VSWC). The loss function has a  
 174 hyperparameter in front of each item to adjust the focus of the fitting.

175

176 To incorporate a priori knowledge of the VG model, an activation function is  
 177 introduced to improve the estimation accuracy of hydraulic parameters in the  
 178 saturated and arid zones. The activation function is as follows:

179 
$$\sigma(z) = a \left( \frac{1}{1 + e^{-(z-b)}} \right)^c \quad (2)$$

180 The parameter  $a$  may refer to either the saturated hydraulic conductivity or the  
 181 saturated water content. The position of the center of symmetry of the S-curve is  
 182 controlled by parameter  $b$ . The steepness of the low value region is controlled by  
 183 parameter  $c$ . The image of the activation function is shown in Figure 2.



184

185 Figure 2. (a) Illustration of the activation function, (b) Illustration of the activation function in  
 186 logarithmic scale.

187 Integrating multi-source data into existing models remains a challenge in practice, as  
 188 it requires complete and accurate data. It is essential to develop a new approach that  
 189 can identify multi-dimensional correlations, unify the multi-scale modelling process  
 190 and reduce the difficulty of parameter estimation (Karniadakis et al., 2021). The  
 191 parameter set of the neural network is solved by minimizing the objective function,  
 192 and the loss function is:

193 
$$\mathcal{L}(\mathbf{W}, \mathbf{b}) := \alpha \sum_{i=1}^N (\hat{\theta} - \theta)^2 + \beta \sum_{j=1}^N (\hat{\psi} - \psi)^2 + \gamma \sum_{k=1}^M (\hat{r})^2 \quad (3)$$

194 The loss function comprises of three terms. The first two terms represent the fitting  
 195 error of the VSWC and soil SMP, while the third term represents the constraint  
 196 imposed by the partial differential equation. The coefficients of the activation function  
 197 are represented by  $\alpha$ ,  $\beta$ , and  $\gamma$ .

198 Other studies on PINNs have also introduced boundary and initial conditions for  
 199 PDEs in the objective function. Bandai's experiments have shown that PINNs can fit  
 200 the specific solution of RRE and soil hydraulic parameters if a large enough data set  
 201 of VSWC time series is provided without introducing boundary conditions and initial  
 202 conditions. PINNs use automatic differentiation to calculate the derivatives of partial  
 203 differential equations. The residuals of a partial differential equation can be computed  
 204 in an arbitrary domain, which means that we can reduce the complexity of the loss  
 205 function by controlling the size of the residuals of the partial differential equation. A

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206 grid that is denser than the sampling points is used, with spatial spacing of 5 cm and  
207 temporal spacing of 0.1 days. Prior to training the PINNs in this study, weight  
208 parameters  $W$  were initialized through a uniform distribution, and bias of nodes were  
209 set to zero. Next, these parameters were trained by optimizing the loss function. The  
210 hyperparameters in the loss function are critical to the PINNs because an accurate fit  
211 of the VSWC and SMP is the basis for estimating soil hydraulic parameters. However,  
212 the weights of the physical PDEs constraints are not easy to determine. Since the  
213 physical constraints and fitting errors are of different orders of magnitude, their  
214 impact on the neural network varies greatly. When there are multiple constraints, it is  
215 a challenge to adjust the weight coefficients. The annealing training method based on  
216 gradient learning rate proposed by Wang et al. balances multiple constraints by  
217 controlling the coefficients of the physical PDEs constraints (Wang et al., 2023). In  
218 our work, we draw on this idea to balance two physical constraints. We take the  
219 physical constraint with the smallest order of magnitude as the baseline and adjust the  
220 coefficients so that the orders of magnitude of the VSWC and SMP fitting errors are  
221 the same as the orders of magnitude of the physical constraints. The determination of  
222 the coefficients generally requires multiple rounds of iterations, with the coefficients  
223 of the soil matric potential fitting error doubling at the end of each round of training  
224 until the HCF and the WRC are not smooth. Our first step is running the Adam  
225 optimizer in Pytorch for 120,000 iterations using its default settings and setting the  
226 decay rate to 0.99. We then fine-tune the parameters using the L-BFGS-B algorithm to  
227 minimize the objective function.

228 To ensure monotonicity of the WRC and HCF, the parameters of the fully connected  
229 layers of the neural networks (b and c) are initialized to small positive numbers, e.g.  
230 0.05. This ensures that the neural networks b and c fit into monotonically increasing  
231 functions and correspond to the physical properties of the soil water movement.

232 HYDRUS-1D was used to generate the VSWC and SMP. The artificial data were used  
233 to (1) study the adaptive weight loss function algorithms and (2) investigate the

234 generalizability of the PINNs. The HYDRUS-1D simulated system dynamics for 25  
 235 days covering 100 cm of three different homogeneous soils (loam, sandy loam and  
 236 silty loam). The soil column was uniformly discretized at 0.1 cm grid spacing. The  
 237 initial VSWC was chosen to vary linearly from 15% to 25% from the top to the  
 238 bottom for each depth. The Neumann boundary condition is used as the lower  
 239 boundary condition, while the upper boundary condition is the time-varying  
 240 atmospheric upper boundary condition. Table 1 displays the VG model parameters for  
 241 the three soils used in the numerical simulation.

242 Table 1. Van Genuchten(VG) model parameters for three soil types.

VG model parameters	Silt Loam	loam	Sandy loam
$\theta_r$ [cm <sup>3</sup> cm <sup>-3</sup> ]	0.067	0.078	0.065
$n$ [-]	1.41	1.56	1.89
$K_s$ [cm day <sup>-1</sup> ]	10.8	24.96	106.1
$\alpha$ [cm <sup>-1</sup> ]	0.02	0.036	0.075
$\theta_s$ [cm <sup>3</sup> cm <sup>-3</sup> ]	0.45	0.43	0.41

243 To assess the PINNs performance, we calculated the relative errors of the true and  
 244 predicted SMP, VSWC, soil water flux density (SWFD) and hydraulic conductivity.

245 We quantized the prediction error over time  $t \in [0, 25]$  days and spatial domain  $z \in$   
 246  $(-100, 0]$  cm for all four of them as relative  $L_2$  errors defined as:

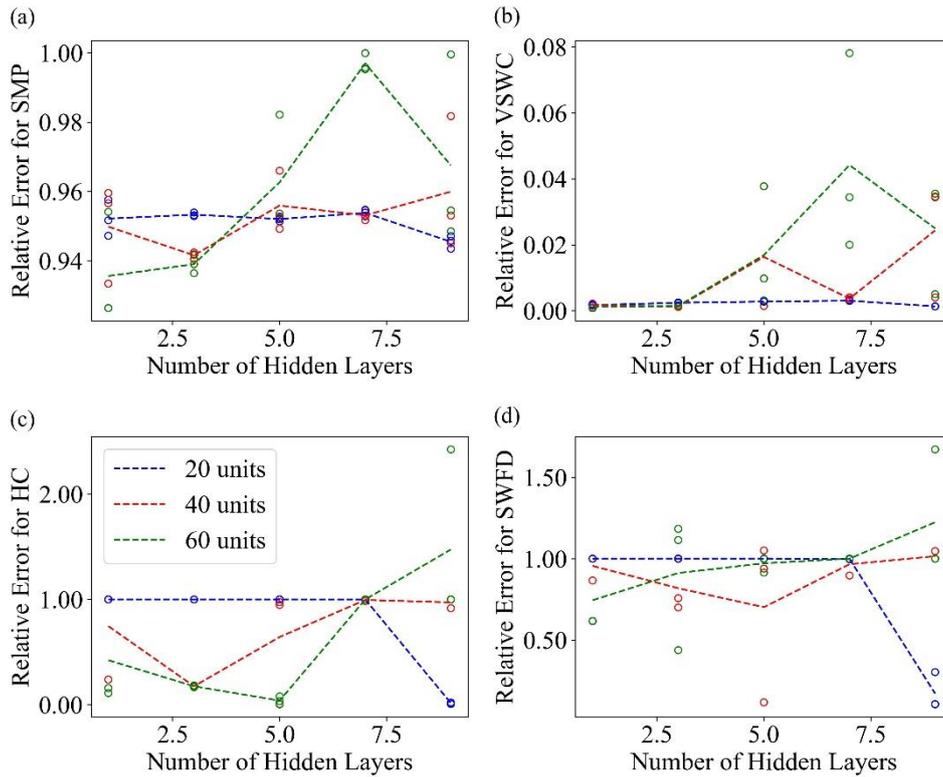
$$247 \quad \epsilon^\gamma := \frac{\sum_{t \in [0, 25]} \sum_{z \in (-100, 0]} (\hat{\gamma}(t, z) - \gamma(t, z))^2}{\sum_{t \in [0, 25]} \sum_{z \in (-100, 0]} \gamma(t, z)^2} \quad (4)$$

248 To demonstrate the effectiveness of the improved PINNs, we also trained the original  
 249 PINNs (i.e. without the new activation function and error adaptation) with the same  
 250 training data for comparison.

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251 **4 Results and Discussions**

252 **4.1 Neural Network Architecture in Physics-Informed**  
253 **Neural Networks**



254  
255 Figure 3. The relative errors  $\epsilon$  of the (SMP), VSWC, soil water flux density (SWFD) and  
256 hydraulic conductivity (HC) change with different hidden layers and unit numbers of the neural  
257 network. The three neural networks are designed to change simultaneously. The lines represent the  
258 average of three repeats of each net structure.

259 The number of neural network hidden layers and units in the PINNs with the new  
260 activation function was tested by exploring different combinations of layers and units.  
261 The trend of the relative error  $\epsilon$  for SMP, hydraulic conductivity, VSWC, and SWFD  
262 for varying numbers of hidden layers and units of the neural networks is shown in Fig.  
263 3. The structure of the three neural networks was changed synchronously.

264 The relative errors of soil matric potential, volumetric water content, soil water  
265 flux density, hydraulic conductivity, and for the PINNs using the new activation  
266 function do not follow the same trend as the number of cells increases. As the number

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267 of hidden layers increases, the relative errors of SMP and VSWC increase and then  
268 decrease. The relative error of hydraulic conductivity, on the reverse, decreases and  
269 then increases, while the relative error of soil water flux always increases at a hidden  
270 layer number of 60. When the number of hidden layer units is 10, the relative errors of  
271 SMP and VSWC remain almost unchanged, and the relative errors of hydraulic  
272 conductivity and soil water flux change very little at the beginning and decrease at the  
273 end. When there are 40 hidden layer cells, the relative errors of SMP and VSWC  
274 fluctuate, and the relative errors of hydraulic conductivity and soil water flux decrease  
275 initially and increase at the end, but the minimum mean is not the same as in the  
276 hidden layer. A nonlinear correlation was found between the relative errors of  
277 hydraulic conductivity, SWFD and VSWC and the number of hidden layers. When the  
278 number of hidden layers of soil matric potential is 3, the number of hidden layers of  
279 VSWC is 1, the number of hidden layers of hydraulic conductivity K is 5 and the  
280 number of hidden layers of SWFD is 9, the mean relative error is the lowest.

281 To enhance the algorithm's robustness, we utilize various random number seeds  
282 to initialize the network and compute the range of relative error variation. We  
283 excluded all network structures when the hidden layer unit was 60, the relative errors  
284 of SMP and VSWC were very unstable when the hidden layer was more than 5. There  
285 was no noticeable improvement in the relative errors when the hidden layer was less  
286 than 5, compared to a hidden layer unit of 40. Reducing the hidden layer number  
287 further demonstrates the benefits of improving the stability of the optimizing. It was  
288 observed that when the hidden layer units are very small, the relative errors in  
289 hydraulic conductivity and SWFD remain large until the number of hidden layers  
290 reaches 9. This is attributed to the fact that the hidden layer units are too small to  
291 represent the non-linear relationships in the dataset. When the hidden layer units of  
292 the neural network were fixed, the relative errors in hydraulic conductivity, VSWC  
293 and SMP were minimal at 3 hidden layers. In summary, the structures of the PINNs  
294 are defined as shown below: neural networks consist of 3 hidden layers and 40 units.

295 However, the performance of PINNs using the new activation function is sensitive to  
 296 the neural network structure. Therefore, we recommend optimizing the network  
 297 structure again after changing the data set or loss function to improve the model's  
 298 accuracy.

## 299 4.2 Generalization Ability of PINNs

300 Data-driven algorithms can accurately fit data, but their poor generalization often  
 301 leads to inaccurate predictions or a failure to learn the intrinsic relationships within  
 302 the data (Shen et al., 2023). The PINNs' generalization capability was evaluated using  
 303 synthetic data derived from HYDRUS-1D with the new activation function and  
 304 monotonicity constraints. Table 2 displays the relative error for SMP ( $\epsilon\psi$ ), hydraulic  
 305 conductivity ( $\epsilon K$ ), VSWC ( $\epsilon\theta$ ), and SWFD ( $\epsilon q$ ). The newly proposed PINNs can be  
 306 identified by the small values of the relative error for the soil matric potential  $\epsilon\psi$  and  
 307 the large values for the VSWC  $\epsilon\theta$ . Both models performed well in terms of relative  
 308 error for hydraulic conductivity and SWFD. This is mainly due to the soil matric  
 309 potential data in the training dataset, which can be well handled by the newly  
 310 proposed PINNs, as shown in Figure 1. Thus, the subsequent sections will concentrate  
 311 on the outcomes for PINNs using the new activation function.

312 Table 2. Relative error (mean ( $\pm$  standard deviation)) of PINNs trained from VSWC and SMP for  
 313 soil matric potential  $\epsilon\psi$ , volumetric water content  $\epsilon\theta$ , soil water flux density  $\epsilon q$  and hydraulic  
 314 conductivity  $\epsilon K$ .

Relative error	Silt loam	Loam	Sandy loam
PINNs with new activation function using VWC and SMP			
$\epsilon^\theta$	$1.56(\pm 0.92) \times 10^{-3}$	$1.25(\pm 0.52) \times 10^{-3}$	$7.56(\pm 1.26) \times 10^{-4}$
$\epsilon^\psi$	$9.43(\pm 0.03) \times 10^{-1}$	$9.82(\pm 0.01) \times 10^{-1}$	$9.95(\pm 0.03) \times 10^{-1}$
$\epsilon^K$	$2.07 \pm 1.84) \times 10^{-1}$	$5.42(\pm 1.08) \times 10^{-1}$	$2.90(\pm 1.88) \times 10^{-2}$
$\epsilon^q$	$8.01(\pm 2.98) \times 10^{-1}$	$3.24(\pm 0.34) \times 10^{-2}$	$1.35(\pm 1.02) \times 10^{-2}$

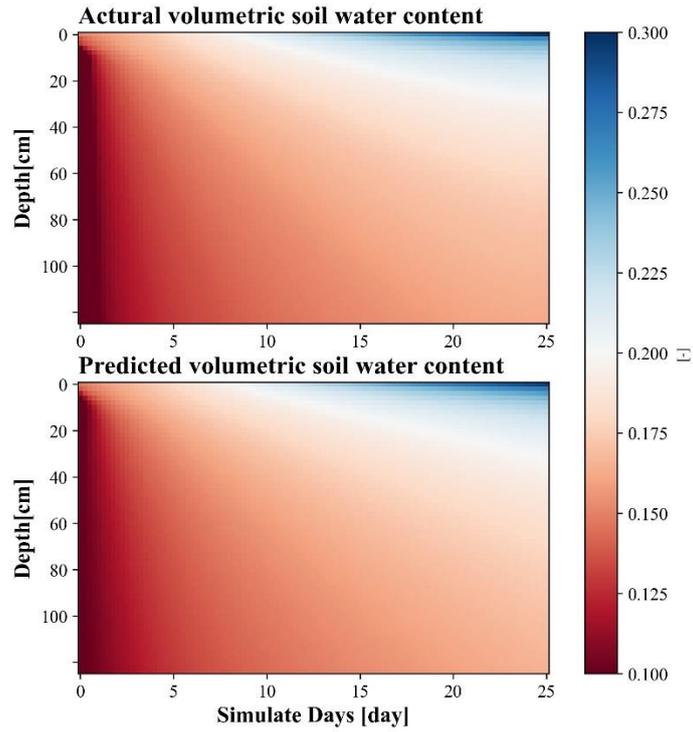
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	PINNs with monotonicity constraints using VWC		
$\epsilon^\theta$	$5.86(\pm 0.67) \times 10^{-5}$	$3.36(\pm 0.49) \times 10^{-5}$	$4.27(\pm 0.45) \times 10^{-5}$
$\epsilon^\psi$	$3.37(\pm 0.91) \times 10^1$	$7.65(\pm 2.54) \times 10^2$	$9.71(\pm 0.25) \times 10^{-1}$
$\epsilon^K$	$6.32 \pm 5.64) \times 10^{-1}$	$2.38(\pm 0.62) \times 10^{-2}$	$1.52(\pm 9.86) \times 10^{-2}$
$\epsilon^q$	$1.03(\pm 3.50) \times 10^{-1}$	$2.16(\pm 4.01) \times 10^{-2}$	$2.69(\pm 7.65) \times 10^{-2}$

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315 **4.2.1 Soil Matric Potential and Volumetric Soil Water Content**

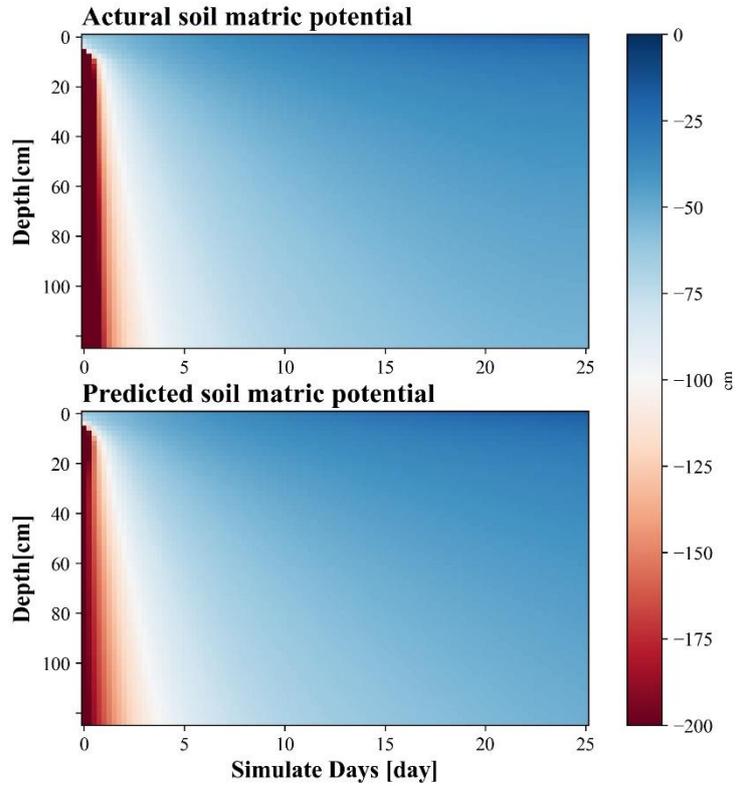
316 Figure 4 illustrates the VSWC predicted by the PINNs for the sandy loam soil  
317 using the new activation function. The training data allowed the PINNs to accurately  
318 capture the actual pattern of soil moisture motivation. However, larger errors were  
319 observed when the initial conditions changed suddenly. This suggests that the neural  
320 networks were unable to capture such drastic changes in soil moisture dynamics.  
321 Following rainfall, the upper boundary conditions change, resulting in predicted  
322 values that are smaller than the true values. This could be due to insufficient data or  
323 the fact that surface soil moisture dynamics are not solely driven by RRE. The soil  
324 matric potential also shows a similar trend, as shown in Figure 5.



325

326 Figure 4. Simulated and real spatiotemporal volumetric soil water content distributions in sandy  
 327 loam.

328

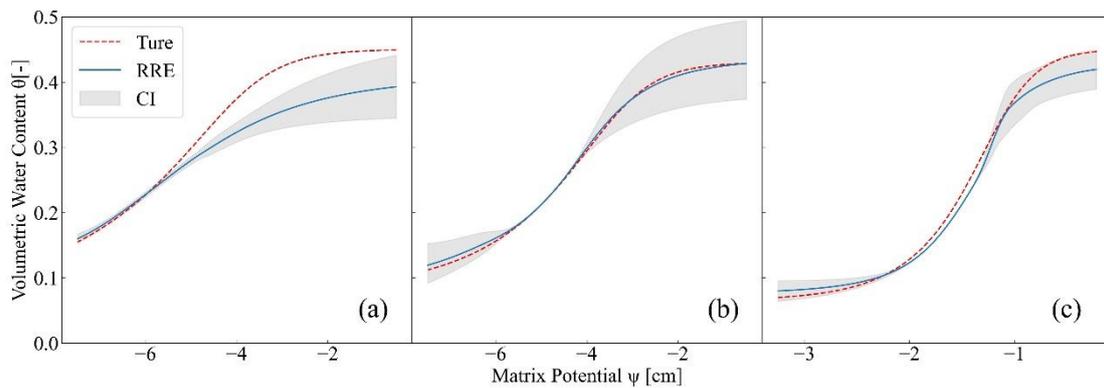


329

330 Figure 5. Simulated and real spatiotemporal soil matric potential distributions in sandy loam.

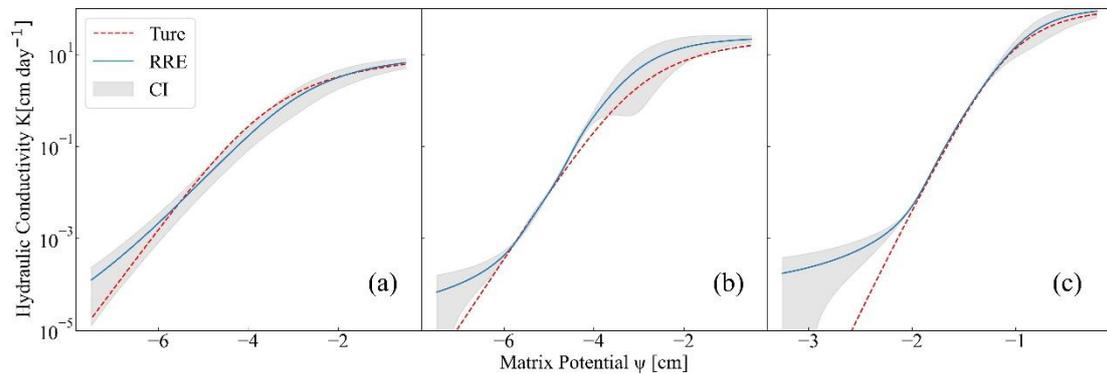
## 331 4.2.2 Soil Water Retention Curves

332 The study evaluates the ability of PINNs, with a new activation function, to  
333 estimate soil hydraulic properties. The use of moisture and substrate potential data  
334 from different sites to estimate soil water retention curves was one of the original  
335 aims of the paper. As previously stated, the PINNs model with monotonicity  
336 constraints provides inadequate predictions for the WRC at both the near-saturated  
337 and drought scale due to the limited estimation of parent potential. In particular, the  
338 outcomes for low and high VSWC were not good. As Figure 6 shows, the predicted  
339 water retention curves of sandy loam and loam are similar to the actual water  
340 retention curves, whether in arid or saturated areas. The water retention curve  
341 prediction for silty loam soil has the largest error in the near-saturated zone. This may  
342 be due to insufficient data in these ranges or because the special solution of RRE at  
343 the near-saturated is not smooth. Wang et al. (2023) reported similar observations  
344 where the soil matric potential was accurately estimated using VSWC and soil  
345 temperature data through the use of PINNs derived from the coupled  
346 soil-hydrothermal model.



347 Fig. 6. Water retention curves (true) for three soils compared to those predicted by PINNs using  
348 the new activation function. WRCs for (a) silt loam, (b) loam, and (c) sandy loam. Grey shaded  
349 areas are confidence intervals taken as the mean plus or minus three times the standard deviation  
350 after 30 replications.  
351

### 352 4.2.3 Hydraulic Conductivity Functions

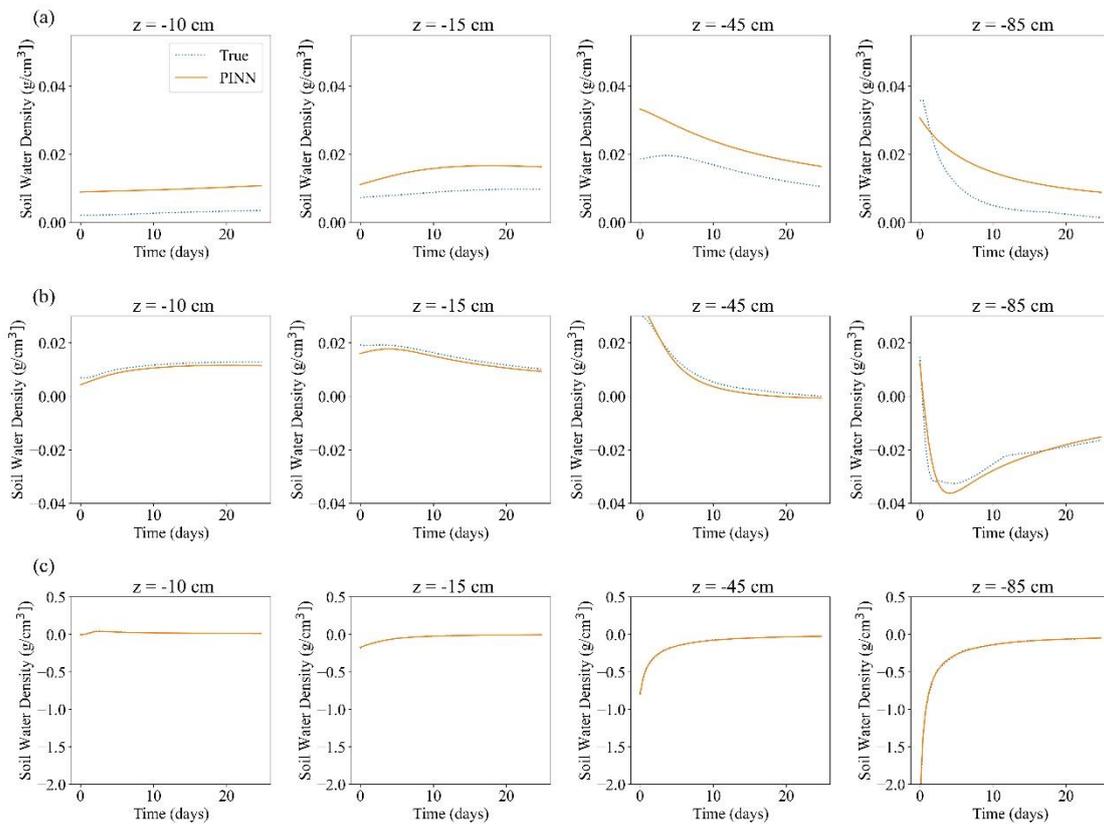


353  
354 Fig. 7. Hydraulic conductivity functions of three soils compared with those predicted by the new  
355 activation function of PINNs. HCFs for (a) silt loam, (b) loam, and (c) sandy loam. Grey shaded  
356 areas are confidence intervals taken as the mean plus or minus three times the standard deviation  
357 after 30 replicates. The lower confidence interval for loam is 2.5 times the standard deviation,  
358 rather than three times, to avoid negative values that would distort the image on the log scale.  
359 The predicted HCFs for the three soils are shown in Figure 7. The estimated hydraulic  
360 conductivity function of the silt loam is similar to the actual hydraulic conductivity  
361 function, whether in dry or saturated areas. The monotonic constraint is insufficient to  
362 represent the strong nonlinearity of HCF and does not provide enough data on the  
363 humidity scale. To address this issue, we introduce a priori saturated hydraulic  
364 conductivity and saturated water content. It is important to note that the prior  
365 knowledge must be within a suitable range, otherwise PINNs convergence will not be  
366 achieved. Sandy loam and loam soils exhibit the greatest error in HCF under dry  
367 conditions. The PINNs using the new activation function can estimate the HCF,  
368 especially in the middle range. However, it is not possible for PINNs to accurately  
369 estimate the HCF at the dry scale. The dry data are concentrated in the surface 5 cm  
370 range, and since the data are sparse, the training data are poorly distributed in this  
371 range and do not help to learn the HCF in the dry range. Depina et al. (2022) found  
372 that hydraulic conductivity in the intermediate range can be estimated from soil matric  
373 potential or VSWC. The method proposed in this paper does not reduce the residuals  
374 of the RRE, but only distributes the residuals uniformly over all the estimated features,  
375 which is shown by the increase in the relative error of the VSWC and the decrease in

376 the relative error of the SMP. In order to promote the application of PINNs in the field  
 377 of soil moisture dynamics simulation and to adapt the sampling method to the existing  
 378 sensor networks, the algorithm provides more optimizable parameters such as  
 379 saturated hydraulic conductivity, saturated water content. Compared to other methods  
 380 of estimating hydraulic conductivity, the PINNs method has the advantages of being  
 381 able to use a priori information about the HCF, such as saturated hydraulic  
 382 conductivity.

#### 383 4.2.4 Soil Water Flux Density

384



385

386 Figure 8. Estimated versus true soil water flux density values for (a) silt loam, (b) loam, (c) sandy  
 387 loam at four different depths.  $z = -10$  cm,  $z = -15$  cm,  $z = -45$  cm, and  $z = -85$  cm.

388 The performance of the PINNs framework in estimating SWFD will be tested. Figure  
 389 8 presents a comparison between the estimated and true soil water flux density at four  
 390 depths ( $z = -1$ ,  $-15$ ,  $-45$ ,  $-85$  cm) in sandy loam. Rapid changes in soil water flux  
 391 density and large errors were found near the wetting front and at the surface. Despite

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392 the relatively high errors for loam and silt loam, the PINNs with the new activation  
393 function adequately represents the pattern of SWFD, as illustrated in Figure 8.  
394 The potential of the PINNs approach to estimate soil evaporation from subsurface soil  
395 measurements in the field is significant. The widespread availability of sensors and  
396 the decreasing manufacturing costs have resulted in the accumulation of a large  
397 amount of usable observational data (collected or generated), which are crucial for  
398 mathematical modelling (Jackson et al., 2008; Kamai et al., 2008). This can be  
399 achieved through continuous measurements of SMP and VSWC using mobile FDR  
400 sensors (Yu et al., 2021) and pipeline robots (Yan et al., 2023), which are becoming  
401 increasingly popular. Therefore, this approach is important for applications in surface  
402 modelling (e.g. Sadeghi et al., 2020) and agricultural engineering (Umutoni & Samadi,  
403 2024).

## 404 **5 Summary and Conclusions**

405 This paper proposes a new framework that uses Physics-Informed Neural Networks  
406 (PINNs) to estimate soil hydraulic parameters, specifically the WRCs and HCFs, from  
407 limited VSWC and SMP measurements. The saturated hydraulic conductivity was  
408 introduced into the neural network through a parameterized S-type activation function.  
409 Therefore, our framework is more practical for modelling soil moisture dynamics. To  
410 assess the capabilities of the new framework, its comparison with the original PINNs  
411 was carried out. The PINNs were trained using datasets from three different soil  
412 characteristics (loam, sandy loam and silty loam). The generalizability of the  
413 framework, i.e. the ability to estimate WRC, HCF and SWFD, was tested. PINNs with  
414 a new activation function can estimate the true soil water dynamics from various  
415 types of synthetic soil data. In regards to soil water holding curves, PINNs with a new  
416 activation function performs worse in estimating the near-saturated scale of silt loam  
417 and better in estimating the sandy loam and loam. In contrast to WRC, PINNs with  
418 the new activation function can predict the HCF of silt loam well, but performs worse

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419 on the dry scale. The results suggest that the model estimation accuracy can be  
420 improved by using the new activation function and adding soil matric potential  
421 calibration points in PINNs. This approach has the advantage of not requiring initial  
422 and boundary conditions, and can leverage more prior knowledge.  
423

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424

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430 **Open Research**

431 Data Availability Statement

432 The dataset (Fan, 2024a) and code (Fan, 2024b) used in this study are available. The  
433 dataset is from numerical simulations and the original files are published on github  
434 <https://github.com/fgzml/Physics-Informed-Neural-Networks-with-New-Activation-F>  
435 [unction.git](https://github.com/fgzml/Physics-Informed-Neural-Networks-with-New-Activation-F) (Fan, 2024a) The software associated with this manuscript is licensed  
436 under MIT. Figures were made with Matplotlib version 3.2.1 (Caswell et al., 2020;  
437 Hunter, 2007), available under the Matplotlib license at <https://matplotlib.org/>.

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